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ACTIVATION SCAVENGING OF AEROSOL : EFFECT OF TURBULENCE AND AEROSOL-COMPOSITION

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ACTIVATION SCAVENGING OF AEROSOL : EFFECT OF TURBULENCE AND AEROSOL-COMPOSITION

By

Abu Sayeed Md Shawon

A DISSERTATION

Submitted in partial fulfillment of the requirements for the degree of

DOCTOR OF PHILOSOPHY

In Applied Physics

MICHIGAN TECHNOLOGICAL UNIVERSITY

2021

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This dissertation has been approved in partial fulfillment of the requirements for the Degree of DOCTOR OF PHILOSOPHY in Applied Physics.

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This dissertation is dedicated to my-

Ammu & Abbu

Rashida Akter & Late Mohd. Ismail Hossine

A person who never gave up on me, &

A person whom I wish were here, for this day.

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— Rumi, Masnavi

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Abstract

The interaction of aerosol particles with solar radiation is a significant contribution to the global radiation balance. The magnitude of this aerosol-radiation interaction, among other parameters, depends on different aerosol properties, including how readily these particles would act as cloud condensation nuclei (CCN). These properties are governed by the formation and scavenging processes of aerosol. This dissertation explores some of these scavenging processes.

Favorable humidity and preexisting aerosol particles acting as CCN are the *sine qua non* conditions to form cloud droplets in Earth's atmosphere. Forming cloud droplets (known as activation), meanwhile, acts as a wet scavenging mechanism for those CCN. Given the required humidity, size, and chemical composition of an aerosol particle, determine its probability to activate. Through targeted experiments in a cloudy, turbulent environment in Michigan Tech's II chamber, we show that turbulent fluctuation blurs correspondence between activation and a particle's size and chemical composition. We also show that turbulence enhances the activation efficiency and can mimic the effect of heterogeneity in the size and chemical composition of the aerosol particles. In the absence of clouds, we discuss how turbulence affects the dry scavenging of aerosol particles. Finally, we propose an operational protocol to improve the temporal resolution of an instrument that counts the number of CCN present in an environment as a function of supersaturation (i.e., relative humidity > 100%)

"We have in this fine dust a most beautiful illustration of how the little things in this world work great effects in virtue of their numbers. The importance of the office, and the magnitude of the effects wrought by these less than microscopic dust particles, strike one with as great wonder, as the great depths and vast areas of rock which, the palaeontologist tells us, is composed of the remains of microscopic animals."

- Dr. John Aitken (1880)

1

Preface

1.1 A Brief History

Who among us has not been mesmerized by a giant puff billowing towards the endless sky and has never imagined a wild shape coming out of that? The Cloud Appreciation Society, in their manifesto, "pledged to fight blue-sky thinking" and said, "…clouds are the expressions of the atmosphere's mood… and… Life would be dull if we had to look up at cloudless monotony day after day…"[4]. From ancient times, clouds, in their all shapes and forms, fascinated the scientists and the littérateurs alike. And, the scientists and littérateurs alike, before the late nineteenth century, no one ever pondered how the monotonous, inconspicuous *dusts* could be the reason behind those puffy, joyful clouds.

The importance of *dust* particles in the air for cloudy condensation was first identified by Paul-Jean Coulier in 1875. During his experiments, Coulier noticed that condensation of water vapor required a fresh supply of air. This is the first hypothesis that considered the requirement of "condensation nuclei". However, having difficulties explaining some of his later results where condensation occurred without the supply of apparent fresh air, Coulier concluded that this requirement of condensation nuclei could not be a generalized condition [5, 6].

During 1880, completely unaware of Coulier's work, John Aitken carried out almost similar experiments using filtered and unfiltered air and discovered that the filtered air did not produce any condensation. From these observations, he hypothesized that having *free surfaces* (e.g., *dusts*) is required for water to condense (i.e., change its condition). Aitken, in this work, strongly argued that there would be no *cloudy condensation*; therefore, no fogs, mists, or cloud droplets without the presence of particles in the air [7]. Afterward, repeating Coulier's experiments, he also showed that the ambiguity of Coulier's later experiments came from the existing particles in the tube [5].

Though Aitken's experiments distinctly showed the necessity of preexisting particles for cloud formation, they could not predict its dependency on the properties of those particles. About fifty years later, Köhler developed a theory describing the equilibrium hygroscopic growth of aerosol. Köhler's work led to the detailed understanding of how the *supersaturation* along with the size, chemical composition, and morphology of aerosol particles affect this growth [8]. *Supersaturation* refers to a condition when the vapor pressure in air, *e* is larger than an equilibrium value, *e*_s at the same temperature (i.e., $\frac{e}{e_s} > 1$).

One of the most crucial results from Köhler's work was to understand droplet formation as a threshold phenomenon. An aerosol particle gets activated (i.e., becomes a droplet) when it encounters a supersaturation larger than a critical value. After activation, it will grow continuously as long as the ambient environment is saturated. Turbulence affects this ambient supersaturation by affecting the scalars like temperature and vapor pressure. The effect of turbulence on the aerosol-cloud interaction (ACI) (i.e., aerosol-cloud-turbulence) was first reported by Kaufman and Tanré [9]. Through a simple aerosol growth model, they showed that a slight fluctuation in the ambient supersaturation could lead to a significant increase in the number of activated particles. A year later, Zapadinsky et al. pointed out that fluctuations in saturation ratio (due to turbulence or otherwise) may enhance the probability of heterogeneous nucleation [10]. Afterward, Kulmala et al. argued the possibility of subsaturated clouds in a turbulent environment [11].

A notable amount of work can be found discussing how turbulence affects the growth of droplets and its size distribution, even before Kaufman and Tanré [9]. In comparison, the effort to understand the effect of turbulence on aerosol partition, aerosol growth or activation is inadequate. Recent efforts that considered aerosol-cloud-turbulence interaction include Abade et al. and Chandrakar et al. [12, 13]. The investigation in this dissertation is our effort to explore further the effect of turbulence on aerosol activation in a laboratory setting and understand its implication to the atmosphere.

1.2 Motivation

1.2.1 Aerosol-cloud-turbulence Interaction

In the earlier part of the last decade, Koren and Feingold compared the system involving aerosol, cloud, and precipitation with a predator-prey system. Cloud, being the seed for rain, acts as prey while the latter acts as the predator forming on the existing cloud droplets. Aerosol plays the role of a modulator that may change clouds' properties through mere changes in number concentration [14].

The very first step for an aerosol-cloud-precipitation system is the activation of aerosol particles. Aerosol activation refers to the mechanism involving aerosol particles acting as the embryo for cloud droplets in a favorable humid condition. Primarily, activation of an aerosol particle depends on three parameters, *size*, *chemical composition*, and *ambient supersaturation*. Ambient supersaturation is affected by the turbulent forcing, creating conditions that either favor or oppose the activation process. Therefore, analogous to the aerosol-cloud-precipitation system, in the aerosol-cloud-turbulence system, one may consider aerosol acting as prey, cloud droplets acting as predators, and the turbulence forcing as the modulator.

Being part of two important systems, the interaction between aerosol and cloud plays a significant role in physiochemical and biogeochemical processes in the Earth system. Since precipitation is the primary mechanism to transport water from the atmosphere to the Earth's surface, this interaction affects the hydrological cycle. However, the most notable impact of ACI on the atmosphere comes from its interaction with solar and thermal radiation. Based on the temporal and spatial distribution, atmospheric aerosol particles scatter or absorb solar or thermal radiation and affect meteorological conditions such as temperature and stability. For example, on a cloudless day, aerosol particles can cool or warm the surface, depending on their scattering or absorbing properties. These effects on Earth's radiative budget are known as the direct aerosol effects.

In addition, aerosols serve to form clouds by acting as cloud condensation nuclei (CCN) or ice nuclei (IN). Aerosol also influences properties like the lifetime or thickness of an existing cloud. These effects are known as the aerosol indirect effects. The first indirect effect refers to the increment of the optical depth of an existing cloud with increasing cloud droplet number concentration (CDNC) and fixed liquid water content (LWC), known as the Twomey Effect, or the Cloud


Figure 1.1: A schematic showing the aerosol direct and aerosol indirect effect. Aerosol particles affect the radiative budget by directly scattering or absorbing solar radiation or by modulating cloud properties.

Albedo Effect [15]. The second indirect effect includes the reduction of precipitation efficiency with the accretion of the smaller cloud droplets. Since this process increases the LWC, it eventually augments the cloud lifetime (Albrecht effect) [16], and the cloud thickness or cloud height [17, 18]. Although the mechanisms of these radiative effects due to ACI are well investigated in the literature, they cumulatively pose the largest uncertainty in the climate models.

1.2.2 Aerosol Scavenging

Exploring the properties of aerosol is the key element to understanding ACI. The life cycle and the behavior of atmospheric aerosols depend primarily on their interactions with various atmospheric processes and are characterized by their physical and chemical properties such as size distribution, mass, chemical composition, etc. These properties of aerosol vary depending on events like formation and removal. Understanding these mechanisms is essential to have a deeper appreciation of the role of aerosols in the atmosphere. Besides, since the aerosol particles determine many cloud properties, understanding the plausible removal mechanism is vital to simulate aerosol-climate effects.



Figure 1.2: An illustration showing different sources and sinks of aerosol. The sources of aerosol can either be natural (e.g. mineral- or volcanic- dust, seasalt, etc.) or anthropogenic (e.g., ship track, industrial aerosol, etc.). Their sink mechanisms include dry- and different wet- depositions. In this dissertation, we focus on dry deposition and nucleation scavenging.

In general, the removal processes of aerosol are *wet* and *dry deposition*. Activation of preexisting aerosol in the atmosphere is one of the wet removal processes and the primary focus of this dissertation. Some aspects of the dry removal processes are also within the scope of this dissertation.

The theories to understand the physics of turbulence effects on different collection processes are well developed and confirmed through simulation and experiments [19, 20]. Nonetheless, how turbulent flow enhances the collection efficiency has yet to be explored in detail. The motivation of this work is to improve our understanding of these aerosol scavenging processes in a controlled environment and in order to quantify the rate at which aerosol particles are removed by activation or dry deposition.

1.3 Outline

This dissertation is constituted of primarily three different chapters. Each of these self-contained chapters poses a different set of research questions.

Chapter 2, titled *Dependence of Aerosol-droplet Partitioning on Turbulence in a Laboratory Cloud,* discusses the effect of turbulence on the activation scavenging of aerosol. We explore the turbulence regime dependency on activation. The research questions we asked in this chapter are:

- ▷ How does turbulence affect aerosol-droplet partitioning?
- ▷ What is the relative contribution of -
 - Mean Saturation Ratio, and
 - Fluctuation in saturation ratio due to turbulence ?

Chapter 3 explores the dry removal processes of aerosol in a turbulent environment. In this chapter, we discuss how turbulence influences the dry removal process. This investigation aims to quantify a rate of turbulence-induced dry removal and residence time of the aerosol particles in a Rayleigh-Bénard convection chamber. This chapter delineates some initial results and proposes a set of experiments to improve our understanding of the above-mentioned topics.

Chapter 4 focuses on instrumentation that measures the number concentration of cloud droplets as a function of supersaturation. We report a protocol to improve the temporal resolution of a dual-column cloud condensation nuclei counter.

The last chapter discusses the possible future work to further the study regarding aerosol scavenging through activation mechanism.

2

Dependence of Aerosol-droplet Partitioning

on Turbulence in a Laboratory Cloud

This chapter is about the laboratory study of understanding the effect of turbulence on the aerosol activation process. It is based on a collaborative research published in JGR:Atmospheres [A].¹ Supplementary materials of this chapter can be found in appendix A. A brief discussion on the *Integral Diameter* can be found on appendix B which is also related to this chapter.

¹An edited version of this paper was published by AGU. Copyright (2021) American Geophysical Union

2.1 Abstract

Activation is the first step in aerosol-cloud interactions, which have been identified as one of the principal uncertainties in Earth's climate system. Aerosol particles become cloud droplets, or activate, when the ambient saturation ratio exceeds a threshold, which depends on the particle's size and hygroscopicity. In the traditional formulation of the process, only average, uniform saturation ratios are considered. However, turbulent environments like clouds intrinsically have fluctuations around mean values in the scalar fields of temperature and water vapor concentration, which determine the saturation ratio. Through laboratory measurements, we show that these fluctuations are an important parameter that needs to be addressed to fully describe activation. Our results show, even for single-sized, chemically-homogeneous aerosols, that fluctuations blur the correspondence between activation and a particle's size and chemical composition, that turbulence can increase the fraction of aerosol particles which activate, and that the activated fraction decreases monotonically as the concentration of aerosol increases. Taken together, our data demonstrate that fluctuations can have effects equivalent to the aerosol limited and updraft limited regimes, known from adiabatic parcel theory.

2.2 Plain Language Summary

Formation of cloud droplets occurs when the relative humidity exceeds a threshold value, inducing condensation of water vapor onto preexisting aerosol particles. Which of the preexisting particles become cloud droplets depends on their size and chemical composition. In the conventional view of the process, only the average value of the relative humidity is considered. Our laboratory experiments show that fluctuations, caused by turbulence, must also be considered. These fluctuations can increase the fraction of aerosol particles which become cloud droplets and, in general, can mimic the effect of heterogeneity in size and chemical composition of aerosol particles.

2.3 Introduction

In the late nineteenth century John Aitken showed the importance of dust particles in the formation of fogs and clouds [5]. Since that beginning, the importance of aerosol-cloud interactions for climate as well as the hydrological cycle has been well established [21, 22, 23]. One of the best known examples of aerosolcloud interactions, the first indirect effect, is that, for constant liquid water content, an increase in the number of aerosol particles increases the concentration of droplets and eventually creates a cooling effect [15, 24]. A change in the aerosol particle loading can also change cloud lifetime [16] and thickness [17]. In a mixed phase cloud, the aerosol concentration may affect the number of ice crystals, increasing the precipitation efficiency [25]. Clearly, the concentration of aerosol particles in the atmosphere plays a crucial role in determining their interactions with clouds. In turn, aerosol number concentration is determined by the net difference of source and sink processes, *i.e.* how they are formed and how they get removed from the atmosphere.

The primary removal mechanism for accumulation mode particles (those with a diameter on the order of a tenth of a micrometer) is incorporation into a cloud-precipitation system [26]. Particles of this size range are neither small enough to be to be lost efficiently by diffusive process nor large enough to fall out by gravitational settling quickly. However, most accumulation mode particles readily act as cloud condensation nuclei (CCN), form cloud droplets, and can ultimately be removed from the atmosphere by precipitation.

The aerosol particle-cloud droplet transition, known as activation and described by Köhler theory, occurs in the atmosphere when aerosol particles take up water in response to an increase in the environmental saturation ratio. When a particle reaches a critical diameter, condensation occurs spontaneously and it is considered activated. The saturation ratio ($S \equiv \frac{e}{e_s(T)}$) or supersaturation ($s \equiv S - 1$) at which this occurs is known as the critical supersaturation, s_c . (Here, e is the partial pressure of water vapor and e_s is the saturation vapor pressure of water at temperature T.) The critical diameter and supersaturation are functions of the size and chemical composition of the particles. Lower critical supersaturations (larger critical diameters) are characterized by larger dry sizes and more soluble substances.

As supersaturation increases, for example in a rising parcel of air undergoing adiabatic expansion, more and more aerosol particles activate. With an increasing number of cloud droplets, the sink for excess water vapor increases until, eventually, the condensation depletes supersaturation faster than expansion creates it. The maximum supersaturation reached then determines the number concentration of cloud droplets. (Droplet concentration can change due to other processes later in the cloud's life cycle.) This process is generally described in terms in which the values of e and T are uniform throughout the parcel. In other words, the supersaturation has a single, uniform value in the parcel, and the peak supersaturation is a single value [27, 28].

However, the assumption of a single peak saturation ratio is adequate only for a non-turbulent environment. In a turbulent environment, because of spatial and temporal variations in the values of scalar quantities like water vapor pressure and temperature, the saturation ratio within a parcel is characterized not by a single value but rather by a distribution of values. Recent field measurements suggest that this variability in supersaturation in a stratocumulus cloud can reach 0.1 to 0.3% [29]. The effect of variability in the saturation ratio has been considered in theory and models, showing that it can be significant. [9] argued that fluctuations in supersaturation in stratocumulus clouds could activate even small sulfate particles, which could then add mass through aqueous phase sulfate production. They proposed that such a mechanism could quadruple the effect of anthropogenic emissions of SO_2 on climate through cloud processes. [11] pointed out that, even though activation strongly depends on the mean saturation ratio, a particle can grow in a stochastic saturation field with a subsaturated mean. More recently, a super-droplet method was implemented by [12] to address the difference in efficiency of aerosol activation in a mean-plus-fluctuation field. They suggested that, in a turbulent parcel, even if the mean supersaturatioin is subcritical, some "lucky" aerosols might get activated because of the fluctuations.

A wider range of literature may be found discussing the effect of turbulence on the cloud droplet distribution. [30] and [31] developed theoretical frameworks which suggested that both small- and large-scale turbulence broadens the droplet distribution. They argued that the close link between updraft velocity and supersaturation breaks in a turbulent environment. This phenomenon causes droplets to be exposed to different supersaturation values and eventually causes broadening in the droplet size distribution. [32] and [33] further explored the relevance of the phase relaxation time to this kind of broadening. Another mechanism for producing supersaturation fluctuations that may contribute to the broadening of droplet size distributions is the clustering of droplets in turbulence due to their finite inertia [34, 35]. In all of those scenarios, however, droplet growth is explored without consideration of the aerosol activation process. Activation is fundamentally different from droplet growth because it is a threshold phenomenon, implying that a single fluctuation can lead to a change in the system that persists for long times. How supersaturation fluctuations combine to affect both activation and droplet growth together is a more complex problem that is not considered here.

Laboratory investigations of the role of fluctuations in cloud microphysics have been more sparse, primarily because of the difficulty inherent in subjecting a parcel of air to a well characterized, turbulent, cloudy environment. The recent development of Michigan Tech's Π chamber has enabled experiments where the role of fluctuations could be explored [33, 36, 37, 38, 39] though the primary focus to date has been on effects on the cloud droplet size distribution. However, [13] explicitly considered activation and scavenging, showing that in the Π chamber's turbulent environment, aerosol particles of the same size and chemical composition could be found simultaneously in both cloud droplets and unactivated haze drops. More recently, [3] suggested three regimes of activation in a turbulent cloud, based on values of particles' critical saturation ratios relative to the environmental saturation ratio, using experiments from the Π chamber as motivation. In this study, we use the Π chamber to explore the effect of the mean saturation as well as the fluctuations that occur due to turbulence on aerosol activation. In previous experiments, the mean saturation ratio and fluctuations about the mean were inextricably linked. Here, we have developed a procedure to study the two independently. In the following two sections, we describe our experimental setup and analyses. Following that, results are presented and discussed. The last section includes a discussion of implications for the atmosphere and a brief summary of our study.

2.4 Instrumentation

Experiments were carried out in a multiphase, turbulent chamber, known as the Π Chamber. It is a Rayleigh-Bénard convection chamber with a vertical separation of 1 m between the top and bottom plates. A cylindrical insert reduces the volume of the 4 m³ cuboid to 3.14 m³. The temperatures of the lower and upper control surfaces, as well as the side walls, can be controlled independently. Moist filter paper is generally used to keep all the walls saturated throughout the experiments. A supersaturated environment in the chamber is generally created through turbulent mixing. When an unstable temperature difference, ΔT , is set between the top and bottom plates, positively buoyant, moist air from the warmer bottom plate rises and mixes with negatively buoyant, moist air from the side walls are kept at the bulk temperature to minimize their effect on values of temperature and water vapor concentration [40]. More details of the Π chamber are discussed in [36].

As noted above, turbulent mixing creates a supersaturated environment. To create a cloud, aerosol particles or cloud condensation nuclei (CCN), are injected into the chamber. We used sodium chloride (NaCl), generated using a constant output atomizer (TSI model 3076). The atomizer produces wet, polydisperse particles. After drying using a diffusion drier, the desired size distribution was selected using a differential mobility analyzer (DMA, TSI model 3071). We selected a mobility diameter of 130 nm unless otherwise noted in the text. The size selected aerosol were then injected into the center of chamber, at the midplane, with a flow rate of 2 slpm. A schematic of the cylindrical insert along with the aerosol injection and sampling systems is shown in Figure 2.1. Further details, including the dry size distribution of the aerosol injected, are shown in the Supporting Information.



Figure 2.1: Schematic showing the instrumentation setup for the experiments. Atomizer generated aerosols are size selected using a DMA. The sampling inlet for the interstitial aerosol projected into the center of the chamber at the midplane. The interstitial outlet was \sim 30cm shorter than the inlet to avoid collecting the injected aerosols. The WELAS and PCVI were used to obtain the *in situ* size distribution of haze and cloud in the chamber and the size distribution of the cloud droplet residuals, respectively.

We sampled aerosol particles from the chamber using a 6.35 mm od stainless steel tube projecting into the chamber at the midplane, 30 cm from the center. Particles with diameters $\leq 1\mu$ m diameter in the humid conditions in the chamber are collected, dried by diffusion drier, and sized using a Scanning Mobility Particle Sizer (SMPS, TSI model 3080); larger particles fall out of the sampling tube before exiting the chamber. This data is a measure of the dry size distribution of the interstitial particles. We measured the size of hydrated interstitial aerosol and cloud droplets directly with a WELAS optical particler counter (digital 2000, PALAS), in the size range 0.6 to 40 μ m diameter. In some experiments, cloud droplets are collected through a pumped counter flow virtual impactor (PCVI, Brechtel model 8100). The droplets were dried and the size distribution of the residuals measured with the SMPS. Note that we have only a single SMPS system; the size distributions of interstitials and residuals were not measured simultaneously. However, they were both measured in the same steady state conditions.

The saturation ratio in the chamber was calculated using collocated measurements of water vapor concentration and temperature near the center of the chamber. An open path H₂O analyzer (LI-COR 7500 A) was used to measure the water vapor concentration at 1 Hz. The LI-COR has a working path length of 12.5 cm and averages over approximately 10 cm³. Four resistance temperature detectors (RTDs) were set up to measure the temperature across the working length of the LI-COR. The RTDs measured the temperature at the same rate as the LI-COR. The measurements of water vapor concentration and temperature were then used to calculate saturation ratio. While we cannot determine the absolute value of the saturation ratio obtained in this manner accurately enough to use for cloud microphysics calculations, previous work has indicated that the relative changes are reliable [1]. In other words, there is almost certainly an offset in the value of *S* derived in this manner, but the σ_S is sound.

2.5 Methods and Analysis

2.5.1 Saturation Ratio

As noted in the beginning of Section 2.4, the supersaturation in the Π chamber is created through a turbulent mixing process, initiated by an unstable ΔT . The environment initially is cloud-free (because of the absence of CCN) and does not contain any droplets. We denote the mean and variation of the saturation ratio for these conditions as S_0 and σ_{S_0} (s_0 or σ_{s_0} in terms of supersaturation). These are the initial conditions. Injecting aerosol (*i.e.* CCN) at a constant rate into this supersaturated environment creates a cloudy condition and after a brief transient the system reaches a new, lower, steady-state supersaturation, s. This new supersaturation is the in-cloud supersaturation. The mean, s, and variation, σ_s , of in-cloud supersaturation can be modulated by changing the number concentration of injected aerosol. However, changing the aerosol number concentration changes both s and σ_s simultaneously.

The evolution of supersaturation in the cloud chamber can be described as the combination of source and sink term like in a natural cloud, and can be written

as [3, 33, 41]-

$$\frac{ds}{dt} = \frac{s_0 - s}{\tau_t} - \frac{s}{\tau_c} \tag{2.1}$$

where $\frac{s_0-s}{\tau_t}$ is the source term which is similar to the quantity αw used in the adiabatic parcel model; α is a thermodynamic factor and w is the updraft velocity of the parcel. Here, τ_t is the turbulence correlation time and τ_c is the characteristic time scale that s_0 requires to reach the new, steady state value, s. This time scale is known as the phase relaxation time and defined as

$$\tau_c = \left(2\pi\Lambda n_d \overline{D}_w\right)^{-1} \tag{2.2}$$

where Λ is the diffusion coefficient of water vapor in air, modified to account for the release of latent heat as water condenses, n_d is the number concentration of cloud droplets, and \overline{D}_w is the mean cloud droplet diameter [42]. The product $n_d \overline{D}_w$ is defined as the integral diameter.

For an experiment in typical conditions, the temperature difference between the bottom and top boundaries, $T_{bottom} - T_{top} \equiv \Delta T$ induces an isobaric mixing which leads to a supersaturated condition. Since this mixing process is inherently turbulent, an increase in ΔT results in larger values of s_0 and an increase in the turbulent intensity. As a result, the fluctuations about the mean value, (*i.e.* σ_{s_0}) increase with ΔT . A measurement of the turbulent intensity is discussed in section 2.5.3. Figure 2.2 is a schematic showing how mixing leads to a mean supersaturation. (See [43] for further discussion of supersaturation produced by isobaric mixing.)



Figure 2.2: An illustration depicting how saturation ratio was modulated in the cloud chamber. The solid black curve represents the saturated vapor pressure of the flat surface of pure water (Clausius-Clapeyron curve). The dotted straight lines represents the mixing line. Note that, both Clausius-Clapeyron curve and the mixing line are schematics (*i.e.*, not scaled). A temperature difference between the top and bottom plates creates a supersaturation through the mixing process (subscripts *w* and *s* represent the water boundary and salt boundary respectively). An increase in ΔT increases the mean supersaturation while salinity of the water at the bottom boundary decreases the equilibrium vapor pressure. A balance between the salt concentration at the bottom boundary and its variance independently.

In the typical configuration, it is not possible to decouple s_0 and σ_{s_0} . Both are controlled by the magnitude of ΔT . To vary the two independently, another degree of freedom is needed. We achieve this by replacing the wet filter paper at the bottom boundary with a shallow pool of water (≈ 1 cm depth), to which salt can be added (we use NaCl.) At the same temperature, the equilibrium vapor pressure over a salt solution is lower than over pure water. Thus, by simultaneously decreasing the vapor pressure at the bottom boundary by adding salt to

the pool and increasing the temperature difference between the plates, the mean supersaturation, s_0 , can be matched, but at a different σ_{S_0} because of the larger temperature gradient. This is shown schematically in Figure 2.2.

2.5.2 Cloud Droplets, Residuals, Interstitials, and Activated Fraction

2.5.2.1 Cloud Droplets:

In our analyses, the critical diameter, D_c , was calculated using a single parameter form of the Köhler equation based on the hygroscopicity (κ) of the aerosol – known as κ -Köhler theory [44, 45]. D_c is the diameter of a particle at the critical supersaturation, and separates haze droplets, which are assumed to be in instantaneous equilibrium with the environmental saturation ratio, and cloud droplets, which are either growing or shrinking in response to the saturation ratio to which they are exposed. In all the experiments, size selected NaCl (dry diameter = 130 nm, κ = 1.28) were used as CCN. At $T = 20^{\circ}$ C (which was the mean temperature maintained in all the experiments), the critical diameter of 130 nm diameter NaCl aerosol is $\approx 2 \,\mu$ m. Therefore, we considered all hydrometeors with a diameter greater than 2 μ m as cloud droplets. The size distribution of particles in the chamber was measured with a WELAS optical particle counter, in the range 0.6 μ m to 40 μ m diameter. The WELAS has a detection efficiency of approximately 1 down to 0.45 μ m diameter [46]. Panel (b) of figure 2.6 in section 2.6.2, shows a few examples of the size distribution haze and cloud droplets measured by the WELAS.

2.5.2.2 Cloud Droplet Residuals:

The CCN upon which cloud droplets form can be isolated by evaporating the droplets, leaving residual particles. We collect cloud droplets using the PCVI, evaporate the water, then measure the dry aerosol size distribution using an SMPS. In the absence of collision-coalescence (which, to first order, is the case for the Π chamber), the residuals are the size distribution of the particles that were activated. The PCVI collected cloud droplets which are larger than $\approx 4.5 \mu$ m. Since the PCVI has a low collection efficiency, the number concentration measured was corrected using the WELAS data. A multiplication factor, *M*, was introduced and defined as the ratio of measured number concentration of droplets larger than $\approx 4.5 \mu$ m, measured by the WELAS, to the number concentration obtained from the PCVI, *i.e.* $M = \frac{n_d(D_w \ge 4.5)}{n_r}$, where n_r is the number concentration measured using the SMPS was then multiplied with this factor to obtain the final residual size distribution.

2.5.2.3 Interstitial:

As noted above, the critical diameter, D_c , separates those CCN that have exceeded the peak in the Köhler curve and become cloud droplets from those that have not. In other words, if an aerosol does not overcome the threshold diameter (i.e. does not encounter the critical supersaturation), it remains as a haze particle and maintains an equilibrium size based on the environmental saturation ratio. The unactivated haze particles are called interstitials [47]. In our analysis, particles with diameter less than 2 μ m were considered interstitial aerosol. Haze

droplets with diameters of 1 μ m or less were sampled using a stainless steel tube that projected into the chamber, and their diameter were measured using the SMPS (see Sec. 2.4 for details). Larger haze droplets could not be sampled in this manner. The number and (wet) size of those particles are determined using the WELAS. The number concentration of particles with wet diameters between 1 and 2 microns (measured with the WELAS) and the concentration measured with the SMPS were added to obtain the total number concentration of interstitial aerosol.

2.5.2.4 Activated Fraction:

The activated fraction, F_n , of aerosol particles can be considered as their activation efficiency [48]. It is defined as

$$F_n \equiv \frac{n_d}{n_d + n_i} \tag{2.3}$$

where, n_d and n_i are the concentration of cloud droplets and concentration of interstitial aerosols, respectively.

In all experiments, we set up the desired ΔT , to obtain a supersaturation and turbulent forcing. Size selected NaCl aerosol particles were introduced after the system reached thermodynamic steady state. All data reported here (unless otherwise noted) are for a second steady state condition, reached when the injection of aerosol particles was balanced by the removal of droplets through sedimentation. Figure 2.3 shows the number concentration of interstitial aerosol as a



Figure 2.3: Time series of the number concentration of interstitial aerosols, showing an approach to steady-state. The uncertainty bar (at approximately the 53rd minute) shows the first standard deviation around the mean value of n_i at the steady-state. At the start of the aerosol injection, the source (injection) was greater than the sink (activation). Aerosol-cloud steady state was reached when source was balanced by the sink and the concentration of interstitial reaches a plateau.

function of time where the plateau signifies the aerosol-cloud steady state condition.

2.5.3 Turbulence in the Chamber

The supersaturation in the experiments was created through turbulent mixing via Rayleigh-Bénard convection. The level of turbulence in the chamber was modified by adjusting the temperature difference between the top and bottom plates. However, in cloud-free or cloudy cases, there is a gradient in both temperature and water vapor concentration. The Rayleigh number was therefore calculated as [49]:

$$Ra_{\nu} = \frac{g\Delta T H^3}{T_0 \nu \kappa_T} + \frac{g\epsilon \Delta q_{\nu} H^3}{\nu \kappa_T}$$
(2.4)

where ΔT is the temperature difference between top and bottom plates, T_0 is the mean temperature, g is the gravitational acceleration, ν is the kinematic viscosity of the fluid, H is the distance between the plates, κ_T is the thermal diffusivity and $\epsilon = 0.61$. Using this relation we can obtain an estimate of the turbulent kinetic energy dissipation rate, given by [50]:

$$\langle \varepsilon \rangle = \frac{\nu^3}{H} (Nu - 1) Ra_v P r^{-2}$$
 (2.5)

where, Ra_v is the Rayleigh number obtained from Eqn. 2.4, Pr is the Prandlt number ($Pr = \frac{v}{\kappa_T}$) and Nu is the Nusselt number ($Nu \simeq 0.27Pr^{-1/7}Ra^{2/7}$).

2.6 **Results and Discussion**

Our focus was to explore aerosol activation and its dependence on the cloudfree, S_0 , and in-cloud, S, saturation ratios in a turbulent environment. In our experiments, S_0 is the forcing, and the quantity over which we have the most direct experimental control. The analogous variable from adiabatic parcel theory is the rate at which supersaturation increases in the absence of droplets, frequently written as αw where α is a function of T and w is the updraft. In the chamber, when CCN are injected, the initial saturation ratio, S_0 , is reduced as water condenses. In adiabatic parcel theory, this value, S, is analogous to the value of supersaturation in the parcel once αw and the sink are both considered. In essence, S is the response of the system considering all sources and sinks. (See [42] for a more complete discussion of supersaturation in an adiabatic parcel.) We alter S_0 by changing ΔT and the saturation ratio at the lower boundary. We have limited control over *S*, but by holding S_0 constant and varying the injection rate of CCN, we can change the sink in the system, thus changing *S*.

Through the laboratory measurements, we examined:

1) The effect of the mean forcing, S_0 , and the turbulent fluctuations about the mean, σ_{S_0} , on aerosol partitioning between the interstitials and cloud droplets, and

2) The effect of in-cloud supersaturation (*S* and σ_S) on the activated fraction.

Since the experiments were performed in a controlled environment, the chemical composition and the size of the aerosol are constant in all the experiments, isolating the effects of the parameters listed above. We used size selected 130 nm NaCl as CCN for all the experiments. The number concentration of the input aerosols was also kept constant, with the exception of experiments designed to determine the effect of the number concentration of aerosol on the activation process.

2.6.1 Effect of Fluctuations on Aerosol Partitioning

Our first result is that fluctuations in the supersaturation blur the relationship between size, chemical composition, and activation. In the traditional formulation, where all particles in a parcel are assumed to be exposed to the same, uniform supersaturation, activation can be predicted by the particle's size and chemical composition [27, 28]. In a turbulent environment, a particle's critical



Figure 2.4: Size distributions of interstitial (solid, red) and residual (dashed, blue) aerosol for a turbulent, cloudy condition in the chamber. The shaded region indicates one standard deviation. NaCl was size-selected at 130 nm and injected at a constant rate of $\approx 170 \text{ cm}^{-3}\text{min}^{-1}$. The peak at $\approx 210 \text{ nm}$ is from doubly charged particles, which have the same electrical mobility as singly charged 130 nm particles. The peak at $\approx 90 \text{ nm}$ is from particles that were singly charged when they were injected into the chamber, but doubly charged when they were measured with the SMPS after being sampled from the chamber. The distributions shown here are the average of approximately 10 distributions collected over 30 min in steady state conditions. The size cut used for the PCVI was 4.5 μ m diameter. $\Delta T = 18$ K for these experiments.

supersaturation is still a function of those two parameters, but not all particles will be exposed to the same supersaturation. Figure 2.4, a plot of the size distribution of interstitial and residual aerosol, shows this clearly because the quasimonodisperse CCN are seen in both interstitial and residual distributions.

For this experiment, there was a temperature difference of 18 K between the plates in the chamber, corresponding to Ra = 2.3×10^9 and $\langle \varepsilon \rangle = 2 \times 10^{-3}$ m² s⁻³. The almost complete overlap of the two distributions shows that particles of the same critical supersaturation were both activated and remained as interstitial. Because of the spatial and temporal heterogeneity of the saturation ratio in the

chamber, there were injected particles that did not encounter a supersaturation great enough for activation before being sampled. These are the interstitials (It is possible that some aerosol that are sampled as interstitial have activated but then encountered a subsaturated region and deactivated. We think that this is unlikely here. This issue is addressed below.) There are also particles that were injected into the chamber that did encounter a sufficiently large supersaturation, became a cloud droplet, and were sampled with the PCVI. These are the residuals. The fact that particles of the same size and chemical composition, and thus the same critical supersaturation, are seen in both interstitial and residual distributions is an unambiguous demonstration that fluctuations from turbulence blur the concept of a single peak supersaturation in a parcel and the clear dividing line between activated and interstitial based on that peak supersaturation.



Figure 2.5: The size distribution of haze and cloud droplets measured from the chamber using the WELAS. Clean and polluted conditions are shown (blue circles and red squares respectively). The orange dotted line corresponds to the the cutoff diameter considered to delineate interstitials and droplets. The numbers in the parentheses indicate the corresponding aerosol injection rate.

Simultaneous coexistence of particles with the same critical supersaturation as interstitials and as cloud droplets is also evident in Figure 2.5, a plot of the particle probability density function from two different experiments in the chamber $(\Delta T = 18 \text{ K})$. In both cases, size selected aerosol were injected, but the injection rate was varied to create clean to polluted cloud conditions. In the cleanest conditions (shown with blue circles), the steady state supersaturation in the chamber was high, activating essentially all the particles. The activated droplets quickly grew to diameters exceeding 10 μ m, where they settled out of the chamber. In contrast, for higher injection rates (shown with red squares), where competition for water vapor is greater and the in-cloud supersaturation is lower, there are two clearly identifiable populations of interstitial aerosol particles and activated droplets. (The vertical dashed line in the figure shows the critical diameter for 130 nm diameter NaCl.) The fact that there is a reservoir of both unactivated particles and a population of droplets in the chamber, is the evidence that there are significant spatial and temporal variations of the saturation ratio in the chamber, activating some particles and leaving some as interstitial. Fluctuations in the turbulent environment destroy the one-to-one correspondence between activation and chemical composition and size.

There are limited instances of concurrent measurements of interstitial aerosol and cloud droplet residuals, due in part to the difficulty in measuring the interstitial distribution from an aircraft. One case in which the two were observed, performed at the mountaintop observatory at Jungfraujoch, showed particles of the same size present as both interstitial and cloud droplet residual [51]. The investigators in that study attributed it to differing chemical compositions. Another measurement at Jungfraujoch, performed by [52], focused on aerosolpartitioning in mixed phase clouds. The size distribution of both activated and interstitial aerosol were measured using a total inlet, and only interstitials separately using an SMPS. The comparison between the total inlet and the interstitial showed coexistence of interstitials and activated aerosols of same size in both warm and mixed phase clouds. This overlapping of particles was attributed to the heterogeneity in chemical composition and evaporation of the droplets due to the presence of ice crystals. [53] measured the in-cloud residuals and below-cloud aerosol of stratocumulus clouds over the southeast Pacific Ocean to determine which particle sizes acted as CCN for the clouds they investigated. They found particles of the same size in both interstitial and residual, which was also shown in LES modeling of the cases. It should be noted that in both cases, the distributions were averaged over several seconds of flight time such the particles shown in the same distribution may have had quite different thermodynamic histories. The first experimental study, to our knowledge, showing particles present as both cloud droplets and interstitial solely as the result of turbulence was [13]. Polydisperse aerosol was used as the input to the Π Chamber in that case. More recently, results from another type of turbulent cloud chamber have shown co-existence of droplets and interstitial for monodisperse aerosol particles of a single chemical composition [54].

The partitioning of aerosol without a clear separation of cloud droplets and haze droplets (for the same size and chemical composition) constitutes the importance of turbulence in the activation process. However, to distinguish the effect of how the initial (*i.e.*, no-cloud) mean saturation ratio, S_0 , and turbulent fluctuations

about that value, σ_{S_0} , affect the activation rate independently, we modified the chamber's boundary condition, as discussed in Section 2.5 above. Using the modified setup, we investigated the effect of mean saturation ratio by keeping the same ΔT , which corresponds to the same turbulent forcing, but reducing the vapor pressure at the bottom boundary, thus reducing the mean saturation ratio. We also investigated the effect of fluctuations by holding the mean saturation ratio constant, while increasing ΔT (as illustrated in Fig. 2.2). We discuss the effect of the mean saturation ratio first.

2.6.2 The Effect of Mean Forcing, S₀

As outlined at the beginning of the section, one of the goals in these experiments was to quantify the effect of the mean forcing (*i.e.* S_0) and the fluctuations around it. To that end, panel a of Figure 2.6 is a plot of the activated fraction, F_n , as a function of the mole fraction of water, χ_{H_2O} at the bottom boundary of the chamber, which is a proxy for S_0 . (See Section 2.5 for details of the boundary conditions.) In these experiments, $\Delta T = 20$ K and aerosol injection was constant at $\sim 70 \text{ cm}^{-3} \text{ min}^{-1}$, so adding salt to the bottom boundary (*i.e.* decreasing χ_{H_2O}) had the effect of reducing the forcing while holding the fluctuations constant. Note that the Rayleigh number is dominated by ΔT , so a small reduction in vapor pressure at the bottom boundary as we added salt did not reduce the overall turbulent fluctuations significantly. (The Rayleigh number given by eqn. 2.4 is dominated by the term proportional to ΔT ; the correction afforded by term proportional to Δq_v is an order of magnitude lower.) We have plotted χ_{H_2O} , not

 S_0 , because our determination of the absolute value of the saturation ratio in the chamber does not have sufficient accuracy.

There is a monotonic decrease in F_n as χ_{H_2O} , and thus S_0 , decreases. At the highest supersaturation ($\chi_{H_2O} = 1$), the majority of aerosol particles injected into the chamber become cloud droplets. As S_0 is reduced, fewer of the aerosol particles encounter a supersaturation greater than their critical value. At $\chi \approx 0.95$, F_n drops below 0.5; the rate of decrease in F_n changes noticeably, suggesting a change in the mechanism.

To see this more clearly, we examine the size distributions of particles in the chamber (droplets and interstitials), shown in Panel b of Fig. 2.6. Distributions from three different values of S_0 are plotted, corresponding to high, medium, and low F_n , labeled I, II, and III in Panel a. At point I, a high value of F_n , there are clearly two modes in the distribution, corresponding to interstitial aerosol and cloud droplets (the orange line indicates the critical diameter for 130 nm NaCl), but there are more droplets than interstitials. At point II, the minimum between the two modes is also clearly evident, but the proportion of interstitials and droplets has reversed. At point III, F_n has essentially gone to zero; most of the particles in the chamber are interstitial, with a very small number of droplets.

The trend seen in Figure 2.6 is analogous to the three regimes of CCN activation described by [2]: aerosol-limited, updraft-limited, and transitional. The regimes were proposed based on the peak supersaturation and fraction of aerosol activated in a cloud parcel model, where updraft (*i.e.* adiabatic cooling) is the source of the supersaturation and growing cloud droplets are the sink. (See [55]



Figure 2.6: Effect of S_0 on activation with fluctuations held constant, showing (*a*) activated fraction as a function of the mole fraction of water (χ_{H_2O}) at the bottom boundary, and (*b*) size distributions of interstitial aerosol and droplets for three different cases. χ_{H_2O} gives an estimation of the relative change in forcing, S_0 , in the system. As χ_{H_2O} decreases S_0 also decreases. All these experiments had the same ΔT and the same injection rate of NaCl aerosol particles. The only variable was S_0 . Thus, in panel (a), the largest activated fraction was achieved when we have only fresh water at the bottom, *i.e.*, at the highest S_0 . No activation was observed when the bottom boundary had the highest amount of salt, *i.e.*, at lowest S_0 . Size distributions shown in blue, red, and purple correspond to the three points marked I, II, and III in panel (a). The orange dashed line, showing the critical diameter of 130 nm NaCl aerosol (D_c), is the demarcation between the cloud and the haze droplets.

for an extension of these ideas, covering relative dispersion.) In our experiments, S_0 is the source term. Panel a of Figure 2.6 shows at least two distinct regimes achieved in the controlled laboratory environment. The cases with higher activated fraction could be considered as the transitional regime while the final plot

in Panel b corresponds to the updraft limited regime, which is low supersaturation to the point of subsaturation.

Though there are similarities between the regimes suggested by [2] and the regimes achieved in the Π chamber, the fundamental difference is the inclusion of fluctuations. At a given altitude or moment in time, the parcel model is characterized by a single value of supersaturation. A particle in the parcel with a given size and chemical composition either will or will not activate, depending on the relationship between the particle's critical supersaturation and the supersaturation in the parcel. As noted by [3] and discussed more extensively there, in our experiments, there are fluctuations around S_0 . We characterize the regimes in the chamber based on the relative values of S_0 and σ_{S_0} and the critical saturation ratio of the particles, S_c . There is a regime where S_0 is dominant, a regime where σ_{S_0} is dominant, and an intermediate regime between those two. In the mean dominated regime, S_0 is significantly greater than S_c for the particle. One of the important characteristics of this regime is that it exhibits a dominant mode in the particle distribution, corresponding to the droplets. Essentially, F_n is unity. The saturation ratio is always large enough to activate all aerosol particles, even considering fluctuations. We do not see this regime in the experiments shown in figure 2.6, though the cleanest case shown in Figure 2.5 exhibits this behavior. In the intermediate or fluctuation-influenced regime, $S_0 > S_c$, but the distribution of the saturation ratio in the chamber is such that a significant portion of it is less than S_c . The particles that encounter these subcritical values remained as interstitials. $F_n < 1$ and two distinctive modes, corresponding to haze and cloud droplets with a distinctive minimum between the two, characterize this regime. Since the distributions of the first two cases (I and II) show a distinctive minimum and modes of both interstitial and cloud droplets, these two cases fit in the fluctuation influenced regime. Lastly, a fluctuation-dominated regime can be characterized by a dominant mode of interstitials without any distinctive minimum. In this regime S_0 is lower than S_c and aerosol only activate through positive fluctuations in the saturation ratio. F_n is expected to be very low as a result. Point III in Figure 2.6 is in this regime. (For a more comprehensive discussion of these regimes and an underlying theory, see [3].) We return to the discussion of the fluctuation-dominated regime in Section 2.6.3.

2.6.3 Effect of Fluctuations in Forcing, σ_{s_0}

In the previous section, we describe experiments in which we explored the role of the mean forcing in the partitioning between activated and unactivated aerosol. We now turn to the role of fluctuations. To isolate the effects of fluctuations about the mean in the forcing, we reconfigured our experimental setup to hold the mean saturation ratio constant but vary the level of turbulence, and thus the fluctuations. We considered two base cases. Case A had a low S_0 with $\Delta T = 6 \text{ K} (S_{0,6})$, and case B had higher S_0 at $\Delta T = 9 \text{ K} (S_{0,9})$. To hold S_0 constant, but change σ_{S_0} , we added salt to the bottom boundary, while simultaneously changing ΔT . Adding salt decreases S_0 , but an increase in ΔT increases it. The two changes together can be used to match S_0 at two values of ΔT (as illustrated in Fig. 2.2). The higher value of ΔT will have the higher σ_{S_0} . (See Section 2.5 for further details.) CCN of the same size and chemical composition (130 nm

diameter NaCl) were used in all the experiments with a constant injection rate of approximately $33 \text{ cm}^{-3} \text{ min}^{-1}$.



Figure 2.7: Probability density functions of S_0 for case A (left) and B (right). Note that the mean is constant, but that the width of the PDFs increase with ΔT . There is an offset between the measured S_0 and actual values of S_0 in the system. However, the relative changes among different cases as well as the measured σ_{S_0} are reliable [1].

Figure 2.7 shows the probability distribution functions of S_0 for cases A and B. In case A (left panel), the base is for ΔT of 6 K. Subsequent experiments at $\Delta T =$ 7, 10, and 15 K had the same mean saturation ratio, $S_{0,6}$, but larger σ_{S_0} . For $\Delta T =$ 9 K, experiments at 10.6 and 13 K had the same mean saturation ratio, $S_{0,9}$ (right panel). There is an evident change in the width of the PDFs at the different ΔT . As discussed in section 2.4, S_0 measured using LI-COR and RTDs may have an offset from the actual value. However, the relative changes in S_0 and measured σ_{S_0} are reliable [1]. The activated fractions, F_n , for all these cases are shown as a function of ΔT in Figure 2.8. The blue and red triangles represent F_n for cases A and B respectively. The second x axis shows the equivalent turbulent kinetic energy dissipation rate, $\langle \varepsilon \rangle$, calculated using equation 2.5. ε calculated for the cloud chamber is comparable to those seen in stratocumulus clouds [56, 57, 58, 59], which is in the order of 10^{-3} m² s⁻³. This dissipation rate is sufficiently weak that inertial clustering and its influence on supersaturation fluctuations can be



Figure 2.8: Activated fraction as a function of ΔT in the chamber. Blue (downward pointing) and red (upward pointing) triangles represent F_n for cases A and B respectively. The mean saturation ratio was held constant for each case, but the fluctuations increased, as shown in Figure 2.7. The secondary x axis shows the turbulence energy dissipation rate corresponding to ΔT . Since case A had a lower initial saturation ratio, it also has a lower initial activated fraction..

neglected. Specifically, droplet Stokes numbers are of order 10^{-2} and below and prior work has demonstrated that in that regime, combined with the importance of gravitational settling, inertial clustering is extremely weak [60, 61, 62].

It is evident from the figure that F_n increases with increasing ΔT (therefore, increasing $\langle \varepsilon \rangle$), corresponding to increasing fluctuations in the saturation ratio. Though the mean saturation ratio is the same for each case, an increase in the fluctuations exposed a higher fraction of the aerosol in the chamber to a saturation ratio greater than their critical value.

To further illustrate the influence of fluctuations, we consider the integral diameter, $I = n_d \overline{D_w}$, defined as part of Eqn 2.2. The integral diameter is a measure of
the total sink for water vapor in a cloud. The upper panel of Figure 2.9 shows the integral diameter for these experiments as a function of the temperature difference between the plates in the chamber. An immediate conclusion from this plot is that fluctuations are driving changes in *I*. *S*₀, the forcing, is a constant for each series of experiments, yet *I* is changing with ΔT , which forces the fluctuations. The two lower panels in Figure 2.9 illustrate this even more clearly. For



Figure 2.9: Figure showing relationships between integral diameter and fluctuations in the chamber. **Top:** integral diameter as a function ΔT for both case A and B. **Bottom left:** Size distributions of particles in the chamber for case A. **Bottom right:** Size distributions of particles in the chamber for case B. Note that a constant S_0 was maintained for all the gradients in case A ($S_{0,6}$), and all the gradients in case B ($S_{0,9}$). Only σ_{S_0} was varied in these cases (see Figure 2.7). Note the differences in the number concentrations of particles in the chamber for all cases, the residence time of particles in the chamber changes, resulting in a different concentration of particles in the chamber.

case A, the absence of a minimum in the droplet size distribution at $\Delta T = 6$ and 7 K indicate that the system is subsaturated in the mean (See [3] for a more complete discussion of this point.) The integral radius is nonzero only because fluctuations in the chamber produce local regions of supersaturation where cloud droplets form and grow. As fluctuations increase further, more cloud droplets with larger diameters were observed.

The size distributions in the right panel of Figure 2.9 are in the fluctuationinfluenced regime as both interstitial and cloud droplet modes are visible, with a clear minimum separating them. The presence of the droplet mode indicates that the mean saturation ratio of the base case ($\Delta T = 9$ K) is higher than case A. With increasing fluctuations, more droplets are activated and droplets can grow slightly larger resulting in a larger integral diameter. The increase in the integral diameter with ΔT is not as large in this case as in the previous case because the integral diameter is already fairly large.

2.6.4 Effect of In-cloud Saturation Ratio, *S* and σ_S

Having considered the effects of the initial forcing, S_0 , and the initial fluctuations, σ_{S_0} , we turn to an explicit investigation of the in-cloud saturation ratio, S. While S_0 is determined by the temperature difference between the plates in the chamber, S is a result of both the source and the sink. As noted above, S_0 can be considered the source term, while S is the overall response of the system. In very clean conditions, $S \approx S_0$ because the sink of only a few growing cloud droplets is insufficient to deplete the vapor concentration. In contrast, in polluted conditions, $S \approx 1$ because a large number of droplets constitute a large sink.



Figure 2.10: Activated fraction, F_n , as a function of aerosol injection rate. Upward pointing triangles (blue) and downward pointing triangles (red) correspond to the smaller (singly charged, 130 nm) and larger doubly charged particles (220nm, doubly charged) aerosol respectively. The lines are the linear fits calculated for each mode. The temperature difference in the chamber was held at 18 K; thus the forcing, S_0 , was constant in these experiments. Differences occur because the in-cloud saturation ratio, S, is changing.

To explore the response of F_n to S, we performed experiments where the only variation in conditions was the rate of injection of aerosol into the chamber. The initial forcing was held constant with a ΔT of 18 K between the plates. The lowest injection rate was 8 particles cm⁻³ min⁻¹; the highest injection rate was 172 particles cm⁻³ min⁻¹. Activated fraction as a function of injection rate is shown in Figure 2.10. As noted above, all experiments were conducted using aerosol particles size selected with a DMA. Here, we use the fact that smaller, singly charged particles have the same electrical mobility as larger, doubly charged particles; both sizes are "selected" by the DMA. We use the cloud droplet residuals, measured using a PCVI, and the interstitials to calculate the activated fraction for both modes separately. (Note that because they are different sizes, the



Figure 2.11: Normalized size distribution of interstitial (solid lines) and cloud droplet residuals (dashed lines) from the cleanest (grey lines) and most polluted (red lines) cases shown in Figure 2.10. The distributions are normalized by the total number concentration of aerosols in each case. In the cleanest condition, there was almost no interstitial, with nearly all the aerosols found in residuals. However, in the polluted condition, the scenario was reversed, and more interstitials were found than residuals.

two modes will have different critical supersaturations.) F_n is observed to decrease with increasing injection rate, because competition among cloud droplets for available water vapor increases. Though the trend is not dramatic, the larger particles are more likely to become cloud droplets with decreasing *S*, even in a turbulent environment. This trend is also apparent in Figure 2.11, a plot of the size distributions of the cloud droplet residuals and the interstitials from the cleanest and most polluted cases shown in Figure 2.10. In the cleanest case, a comparison of the size distributions of the interstitials and droplet residuals shows that the supersaturation in the chamber, even when a cloud had formed was high enough to activate essentially all of the aerosol particles injected; i.e., there are only a few of the smallest particles seen in the distribution of residuals. In the polluted case, approximately half of the particles are unactivated; furthermore the distributions of the interstitials and cloud droplet residuals are quite similar and reflective of the distribution injected into the chamber. (The slight shift between the interstitials and residuals is the object of ongoing experimentation.) In both cases, however, the effect of fluctuations about *S* are evident.

2.6.5 An Expression for Activated Fraction, *F_n*

Based on the results shown in the preceding sections and the context of the framework presented in [3], we propose a complementary error function as a simple model for the fraction of activated aerosol, shown in equation 2.6 and illustrated in Figure 2.12. In this model, F_n , depends on a particle's critical saturation ratio, S_c , the mean saturation ratio in the environment, S, and the width of the distribution of S_{env} , σ_S . For monodisperse particles with the same chemical composition as we have used in our experiments, S_c is constant, represented by the red vertical dashed line in the figure. We assume that the profile of saturation ratio follows a Gaussian distribution, which measurements in the atmosphere suggest is valid (e.g. [59]). The activated fraction in this system is then the probability for a particle to encounter a saturation ratio greater than its critical value,

$$F_n(S_c) = \frac{1}{2} \ erfc\left[\frac{S_c - S}{\sqrt{2}\sigma_s}\right]$$
(2.6)

The function and figure show that the activated fraction responds to both *S* and σ_S . A change in *S* or σ_S alone can give the same activated fraction. This equation

has some other notable properties. For example, half of the aerosol will activate if *S* coincides with S_c of the aerosol. The distribution of saturation ratios, characterized by σ_S , will aid both in activating and deactivating particles. From this perspective and referring back to Figure 2.10, equation 2.6 suggests that in the most polluted condition $S \approx 1.0008$, which is the critical supersaturation for a 130 nm diameter NaCl aerosol particle. If we consider a value for $\sigma_s = 0.001$, which is a reasonably conservative value from an atmospheric context, *S* has to be approximately 1.002 to activate most of the particles. This corresponds to the mean-dominated regime, which requires that $S - Sc \ll \sigma_S$. Additionally, when *S* has a subcritical value, turbulence aids the activation process. For example, in figure 2.6, the second lowest activated fraction ($F_n \approx 0.1$), can be achieved even if *S* is subsaturated. This will correspond to a possible sub-regime of the fluctuation-dominated regime, when *S* is subsaturated.

2.7 Implications for the Atmosphere and Summary

In the traditional view of activation in the atmosphere, based on a concept of a parcel of air rising through the atmosphere, the number fraction of aerosol that become cloud droplets is calculated based on the particles' size and chemical composition and the peak supersaturation in the parcel. [27, 28]. In this view, the environmental saturation ratio has a single peak value, and if it is larger than the critical supersaturation of an aerosol particle, the aerosol activates. Therefore, the larger and more hygroscopic particles become cloud droplets and the smaller and less hygroscopic particles remain as interstitials. However, in a turbulent environment, this concept of a single supersaturation is not a complete



Figure 2.12: Schematic of the proposed model of F_n . The saturation ratio of the environment, S_{env} is considered to have a Gaussian profile, with a mean *S* (blue vertical line), and standard deviation, σ_S (pink horizontal line). The red, dashed vertical line depicts the critical saturation of monodisperse and chemically homogeneous aerosol. The probability of a particle to be exposed to a saturation ratio larger than S_c is represented by the shaded blue region. Eqn. 2.6 gives the area under the curve of that region.

picture of the aerosol activation process [63]. The saturation ratio in a turbulent environment is a distribution, rather than a single value, and hence, aerosols in a parcel have the possibility to be exposed to different "peak" saturation ratios. Viewed from that perspective, the dynamics of the activation/deactivation process are quite different.

These two views of supersaturation and activation can be further illustrated through a contrasting comparison between the regimes described by [2] (see also [55] and [64]) and those introduced by [3] and explored more completely here. In Sec. 2.6.2, these two perspectives were introduced in the context of our experiments. Here, we discuss them from a more holistic point of view. (See Fig.

2.13 for a schematic representation.) [2] considered a parcel model to describe three distinctive regimes of activation. In this model, the source of supersaturation is an adiabatic cooling due to an updraft. We focus on the two extremes, the aerosol-limited and the updraft-limited regimes. The number of cloud droplets in the aerosol-limited regime is limited by the available CCN present in the system. The updraft, or forcing, is large enough to activate essentially all the CCN available. In contrast, the forcing in the updraft-limited regime is such that the peak supersaturation is low, and therefore, only particles with small S_c (i.e., either relatively large or more hygroscopic particles) activate in this regime. These two regimes can be compared respectively with the mean dominated and the



Figure 2.13: A schematic comparing the aerosol-limited (panel a) and updraftlimited (panel b) regimes described by [2], and the mean (panel a') and fluctuation dominated (panel b') regimes discussed here and by [3]. Note that the abscissas for panels a and b are the aerosol critical saturation ratio, S_c , while in a' and b', the abscissas are the saturation ratio in the environment, S_{env} . The variable considered changes because [2] considered polydisperse particles exposed to a single peak supersaturation in a parcel (vertical lines in the figure) while in [3] and here we have examined particles of a single size and composition (vertical lines in the figure) which are exposed to a distribution of saturation ratios in the environment.

fluctuation dominated regime. In the mean dominated regime, the forcing, or mean supersaturation, S_0 , is high enough to activate all the particles (e.g. the cleanest condition shown in Fig. 2.10). In the fluctuation dominated regime, the mean forcing is smaller than the required critical supersaturation to activate any particles. Particles in this regime activate only due to the turbulence-induced fluctuations in the saturation ratio (e.g. Fig. 2.8).

As Fig. 2.13 shows, an activated fraction of approximately 1 is possible from either of the viewpoints represented. If the forcing is large enough, all aerosol particles will act as CCN, even in the presence of fluctuations in the saturation ratio. The figure also shows that a small activated fraction is possible in either case. The most striking difference is the possibility of activation even when the mean saturation ratio is equal to or less than unity. This phenomenon is only possible if the effects of fluctuations are considered.

In the atmosphere, these concepts may play a particularly important role in the transition from continental to maritime clouds (maritimization) or in the transition from stratocumulus to cumulus clouds. Clouds being swept from relatively particle-rich environments (*e.g.* continents) over cleaner ones (*e.g.* oceans) undergo a slow cleansing process that eventually results in a qualitative transition from a closed to an open cell convection pattern [65, 66]. This cleansing process is strongly influenced by predator-prey type interactions between aerosols, cloud droplets, and drizzle [14]. As noted in the Introduction, the dominant removal mechanism for the accumulation mode is precipitation, and even for modest drizzle rates, aerosol populations can be strongly modified by collection

[67, 68, 69]. [70] have pointed out that in the absence of drizzle (or for imperceptible drizzle rates), clouds can act as a net loss for CCN through droplet coalescence. As we show above, fluctuations associated with turbulence can activate CCN that would remain as interstitial without it. In the initial stages of cleansing, when cloud droplet concentrations are high and in-cloud supersaturation low, activation because of fluctuations in the saturation ratio, with a subsequent reduction in the concentration of CCN in the cloud (through coalescence or low drizzle rates) may play a role in the cleansing that eventually leads to a qualitative transition [13, 69].

In summary, we performed a set of controlled experiments in Michigan Tech's Π chamber to understand the effect of the mean saturation ratio, and fluctuations about the mean, on aerosol activation processes. Overall, we see that knowledge of a particle's size, chemical composition, and the mean supersaturation in the environment is not sufficient to quantify aerosol activation because of spatial and temporal variations in the saturation ratio induced through turbulence. In addition, we show relationships among the mean supersaturation, fluctuations in the supersaturation, and the activated fraction of aerosol. Finally, we showed that, for constant forcing, increasing aerosol concentration does result in an increase in cloud droplet number, but results in a smaller fraction of the aerosol being activated.

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3

Dry Scavenging of Aerosol: Laboratory

Investigation of the Effect of Turbulence

3.1 Introduction

Based on the contribution of precipitation (or activation), the removal processes of atmospheric aerosol can be categorized as either *wet deposition* or *dry deposition*. In the absence of precipitation, atmospheric particles can be removed by different dry removal processes [47, 71]. These processes include diffusion, impaction, interception, and gravitational settling.

Though wet deposition of some aerosol is estimated to be even four times larger than the corresponding dry deposition, the uncertainty associated with dry removal is substantial [72, 73]. Dry deposition is also an essential parameter for understanding indoor aerosol particles' transmission and their impact on human health [74]. This knowledge becomes more relevant considering the recent events of public health emergencies (e.g., the spread of COVID-19). Besides, it has also been argued that spatial distribution of aerosol deposition on lungs, along with its physiochemical properties, affects the toxicity of the particles [75].

The effect of turbulence on the dry deposition of aerosol was previously investigated through atmospheric measurements, targeted experiments, and modeling [20, 76, 77, 78]. In the atmosphere, measuring dry removal requires the measurement of relative aerosol flux. Measuring aerosol flux comes with its difficulties and significant uncertainty [6, 72]. Knowledge of several other parameters such as vegetation, roughness, etc., is also necessary for these measurements.

Targeted experiments without turbulence focus only on specific size ranges. Experiments that considered turbulence generally use mechanical mixing, such as using a wind tunnel [76, 77]. Regardless of the consideration of turbulence, most of these experiments were carried out in chamber or vessel settings. Unlike the atmosphere, these chambers have sidewalls, and losses to the walls also need to be considered.

Loss mechanisms in a chamber can be characterized in *tranquil* and *stirred* settling conditions. In the absence of any fluid motion (i.e., tranquil condition), larger particles will settle solely due to gravity. In this environment, the number concentration of decaying monodisperse particles will follow a linear relationship with time. However, suppose there is a continuous and vigorous stirring mechanism present in the chamber so that the concentration of aerosol is always uniform throughout the chamber. In that case, both number concentration and the rate of the change in the number concentration change with time. Therefore, this relationship could be given by -

$$\frac{dN_{a,t}(t)}{dt} \propto N_{a,t} \tag{3.1a}$$

$$\frac{dN_{a,t}(t)}{dt} = -\beta N_{a,t} \tag{3.1b}$$

where, $N_{a,t}(t)$ is the number concentration at a time, t. β is known as the deposition coefficient. Earlier literature suggested a simple form of β , considering the loss due to diffusion and gravitational settling [76, 79].

$$\beta = \frac{D_{\nu}S}{\delta_{\rm dbl}V} + \frac{v_{st}}{H}$$
(3.2)

Here, *S*, *V*, and *H* denotes the surface area, volume, and height of the chamber respectively. δ_{dbl} is the width of the diffusive boundary layer. D_{ν} and v_{st} are the diffusivity and the settling velocity of the particles, defined as [80]

$$v_{st} = \frac{\rho D_p^2 g C_c}{18\eta} \quad \text{for } Re \le 1$$
(3.3a)

$$D_{\nu} = \frac{kTC_c}{3\pi\eta D_p} \tag{3.3b}$$

here, *Re* is the *Reynolds number* of the particle, D_p is the diameter of the particle, *k* is the Boltzmann constant, *T* is the absolute temperature, η is the gas viscosity, ρ is the particle bulk density, *g* is the gravitational acceleration, and *C_c* is the Cunningham slip correction factor.

Along with mixing, equation 3.2 assumes that Brownian diffusion is the only diffusive transport mechanism and is appreciable only near the wall. Therefore, gravitational settling (the second term of equation 3.2) is independent of diffusive settling (the first term).

Crump et al. [76] argued that the form of β given by equation 3.2 is inadequate for general cases, and the diffusive term is not entirely independent of the gravitational settling . However, in this dissertation, we discuss some preliminary results, and exploring the complexity of β is beyond this report's scope.

Our motivation behind this work is to understand and quantify the turbulenceinduced dry removal rate and the size dependency of the effect of turbulence. It would also help us quantify the residence time of aerosol particles in the chamber as a function of ΔT , without additional challenges posed by the humidity. We discuss the method used for calculating a decay time constant in the method section. Following that, the results are discussed. In the summary section, we discuss the caveats of our experiments and propose some experiments as possible future work.

3.2 Method and Instrumentation

We performed targeted sets of experiments to explore the effect of turbulence on the dry removal of aerosol and any corresponding size or composition dependency of the aerosol. The experiments were carried out in Michigan's Tech Pi chamber, which is a Rayleigh-Bénard convection chamber. A short description of this chamber was discussed in section 2.5, and further technical details can be found in Chang et. al. [36].

The turbulence intensity of this chamber can be controlled by creating a temperature gradient between the top and bottom plates. Unlike the cloudy conditions discussed in section 2.4, the relative humidity for these experiments was maintained below the aerosol particles' deliquescence relative humidity (DRH). This condition ensures dehydrated aerosol particles (i.e., no condensation on aerosol particles). The Rayleigh number of the flow in this condition can be described using the first part of equation 2.4, and the turbulent kinetic energy dissipation rate can be determined by equation 2.5 using the new Rayleigh number.

In all cases, mobility size-selected aerosol particles were used, which helped reduce the uncertainty of polydisperse particles [77]. The aerosol composition was changed either to obtain a larger diameter or a different density. Note that, between diffusion and Stokes settling, only the latter has a density dependence. In contrast, deposition due to diffusion is independent of the density and depends only on the size of the particles.

Size selection of the aerosol particles was obtained using either DMA (TSI model 3071) or an aerodynamic aerosol classifier (AAC, Cambustion). DMA is based on the electric mobility diameter of a particle, while AAC selects the sizes based on the equivalent aerodynamic diameter. However, the focus is on the relative comparisons, and conversion between aerodynamic diameter to mobility diameter (and vice versa) is possible for a known shape factor.

After size selection, aerosol particles were injected into the chamber. The injection was stopped after reaching a substantial number concentration. Since a temperature gradient was always maintained for each experiment, and the flow is fully turbulent, it is safe to assume that the aerosol was well mixed and that the concentration was uniform throughout the chamber. Therefore, following the relation given in equation 3.1a, the decay in number concentration is

$$N_{a,t}(t) = N_{a,0} \exp\left[\frac{-t}{\tau_{\text{decay}}}\right]$$
(3.4)

Here, $N_{a,0}$ is the initial number concentration (i.e. at t = 0), and τ_{decay} is the decay time constant. τ_{decay} is defined as the time that requires for the number concentration to reach 1/e of the original number concentration. In our case, $\tau_{decay} = 1/\beta$. Since, $\beta \sim 1/D_v$ (from equation 3.2), $\tau_{decay} \sim D_v$.

Taking a natural logarithm on the both side of equation 3.4, gives us equation of a straight line, where $-\frac{1}{\tau_{decay}}$ is the slope. Both $N_{a,0}$ and $N_{a,t}(t)$ were measured using the SMPS system. Figure 3.1 shows one of the examples to calculate τ_{decay} .



Figure 3.1: An example of calculating τ_{decay} from linear fit from natural log of the measured number concentration. Only the 70 nm size bin was considered in this particular case. $\tau_{decay,70}$ calculated from the fit was 90 minutes. The corresponding number concentration data and the exponential fit of the data are shown in the inset. The same method was applied for calculating τ_{decay} in other experiments as well.

3.3 Result and Discussion

3.3.1 Effect of Turbulence on τ_{decay}

In our attempt to understand how turbulence may affect the dry deposition of aerosol, we performed experiments where we varied the turbulent intensity and size of the aerosol. The primary motivation for the series of experiments is to understand if turbulence affects the dry removal of aerosol. For this set of experiments, we used size-selected NaCl and AgI as our compositions. The diameters considered in these cases were 70 nm, 130 nm, 200 nm, and 300 nm. The size selections were performed through a DMA.



Figure 3.2: τ_{decay} as a function of D_p at different ΔT . Blue, red and black points correspond to 4 K, 12 K, and 20 K, respectively. τ_{decay} were calculated considering five neighboring bins of the selected sizes. The markers show the mean τ_{decay} of those five bins, and the uncertainty bars correspond to 1 standard deviation.

The reason to choose two different chemical compositions in these cases were, 1) not being able to have a significant number concentration from large NaCl particles, 2) because of the propensity for coagulation, it was difficult to produce small AgI particles. Note that, NaCl and AgI have different density of 2.17 g/cm^3 and 5.68 g/cm^3 respectively. According to earlier literature, dry deposition of this size range is primarily propelled by the diffusion process [20, 78, 81]. Since the diffusivity depends only on the size of the particles, we assumed that the effect of density would be negligible in this size range.

The three ΔT used in these cases were 4 K, 12 K, and 20 K. Since $D_v \sim 1/D_p$ and $\tau_{decay} \sim 1/D_v$, we examined the changes in τ_{decay} as a function of D_p . Three colors blue, red, and black represent $\Delta T = 4$ K, 12 K and 20 K respectively. This figure shows that turbulence is aiding the dry loss mechanism at this size range. Also, the linear relation between τ_{decay} suggests that diffusion is the dominant mechanism, considering equations 3.2 and 3.3b.

Another parameter that may affect the diffusive settling is the thickness of the diffusive boundary layer, δ_{dbl} . δ_{dbl} was calculated using equation 3.2. Harrison et. al. argued that molecular diffusion is significantly predominant inside δ_{dbl} , and δ_{dbl} is a function of D_p [82]. Figure 3.3(a) and 3.3(b) shows δ_{dbl} as a function of D_p and as a function of ΔT , respectively. Figure 3.3(a) exhibits a dependence of δ_{dbl} on D_p . Though quantitatively different, this dependence of δ_{dbl} on D_p was previously reported by Harrison et al. [82]. However, these results are



Figure 3.3: (a) δ_{dbl} as a function of the mobility diameter, D_p at different ΔT . (b) δ_{dbl} as a function of ΔT for different sizes of the particles.

qualitatively similar. We also found a decrease in the boundary layer thickness with increasing particle diameter, as Harrison et al. suggested. The quantitative difference between this work and Harrison et al. can come from differences in aerosol composition, shape factor, container shape, and turbulent intensity, among other factors. Figure 3.3(b) suggests that δ_{dbl} decreases with increasing ΔT (i.e., increasing turbulent intensity).

3.3.2 Other Preliminary Data

In the previous section, we discussed the impact of turbulence intensity on τ_{decay} . In this section, we will discuss some preliminary data to understand if this effect of turbulence has any size dependency.

To understand the effect of aerosol, we conducted a set experiments where ΔT was kept same but we changed the size of the aerosol particles. We used size-selected NaCl and Arizona Test Dust (ATD). NaCl and ATD have a similar density (2.17 g/cm³ and 2.65 g/cm³ respectively). Since the Stokes settling velocity v_{St} of a particle depends more on diameter ($\sim D_p^2$) than its density ($\sim \rho$), the change in density of these two particle would not significantly change v_{St} (e.g., 800 nm NaCl and ATD have v_{St} of 51 μ m/s , and 61 μ m/s respectively).

We used different instrumentation to select the mobility size of the particles. As discussed earlier in section 3.2, though AAC measures the aerodynamic diameter of the particles, the corresponding mobility diameter can be calculated if the shape factor is known. The mobility diameters chosen for these experiments were 55 nm, 200 nm, 800 nm for NaCl and 1.6 μ m and 3.2 μ m for ATD. These

Table 3.1: A table showing some preliminary data from the experiments conducted to understand the effect of size on dry removal of aerosol in a turbulent environment. The column *Instrument Used* correspond to the instrument that was used to size-select the aerosol and measuring the size distribution afterwards. AAC = Aerodynamic Aerosol Sizer, DMA = Differential Mobility Analyzer, CPC = Condensation Particle Counter, and SMPS = Scanning Mobility Particle Sizer.

Mobility Diameter	Δ Τ	Instrument Used	Composition	τ _{decay}
nm	к			min
50	19	DMA + SMPS	NaCl	66
		AAC + SMPS		56
200	19	DMA + CPC	NaCl	102
		DMA + SMPS		96
		DMA + SMPS		98
		DMA + SMPS		96
		AAC + SMPS		101
800	19	AAC + CPC	NaCl	166
		AAC + CPC	ATD	121
1600	19	AAC + CPC	ATD	121
3200	19	AAC + CPC	ATD	128

sizes were carefully selected because of their preference for different removal mechanisms. Diffusion is the prevalent removal mechanism for 55 nm, 200 nm, while gravitational settling is the primary mechanism for 3.2 μ m aerosol. 800 nm and 1.6 μ m are in the transitional regime where both diffusion and gravitational settling play a significant role [20, 78].

Note that the data presented in table 3.1 is preliminary. The difference in the shape factors between NaCl and ATD imposes a large enough uncertainty that any conclusions remain ambiguous. It requires a more targeted experiment to eliminate these uncertainties. In the summary section (section 3.4), some possible experiments are suggested.

3.4 Summary

Two sets of targeted experiments were performed to understand the effect of turbulence on the dry removal of aerosol particles of different sizes. We showed that for the same sizes, increasing turbulent intensity aids the dry removal of aerosol. We also showed that for a given turbulent intensity, the removal process has size dependency.

While these experiments provided valuable information, some significant uncertainties could not be evaluated. For example, for the experiment described in section 3.3.1, larger diameter aerosol could not be used because of the unavailability of the proper instrumentation. The experiments with larger size particles needed to be performed to explore if the same trends hold for the larger diameters. Besides, we have used two different chemical compositions in both sets of experiments described in section 3.3.1 and section 3.3.2. In the first case, AgI has approximately three times larger density than NaCl. This difference in density may have a significant effect on the larger sizes and may introduce ambiguity in the results. Secondly, though NaCl and ATD have similar densities, they have pretty different shape factors. For example, NaCl has a shape factor of 1.08 while the shape factor of ATD varies (ranging 1.05 - 1.88) [80]. Therefore, we propose experiments using the same chemical composition with known properties such as density, dynamic shape factor, etc. We also propose to vary the turbulent intensity (from isothermal condition to highest achievable ΔT in a dry condition). Figure 3.4 shows the proposed experiments in a nutshell.



~ Use Same Aerosol throughout

Figure 3.4: Figure showing the future experiments that can be performed to determine the effects of turbulence and quantify a residence time of aerosol as a function of size and turbulent intensity

4

To Improve the Temporal Resolution of a

Dual Column CCN Counter

4.1 Introduction

How readily an aerosol particle acts as a *seed* for cloud droplets, has important consequences on the Earth's radiative budget [83]. Given the governing parameters for aerosol activation such as ambient supersaturation, size, and chemical composition of aerosol, the Köhler equation and its consequent parameterization (such κ -Köhler equation) can predict the probability of aerosol-activation [8, 44, 45]. However, the physio-chemical properties of atmospheric aerosol are too complex and too variable to predict the CCN concentration in the field and laboratory settings using theories, and requires reliable measurement capabilities [84, 85].

Historically, different kinds of CCN counters have been around and widely used since Twomey(1963) [86]. The type of CCN counters Twomey developed creates supersaturation by generating a temperature gradient between two parallel, wet horizontal plates. Though some of the limitations were resolved by better instrumentation and calibration, these thermal gradient diffusion chambers were always limited by the minimum achievable supersaturation and poor temporal resolution [87, 88]. For example, the lowest achievable set supersaturation in this type of setup is $\approx 0.2\%$ which is more than the supersaturation found in some marine stratus clouds [89, 90]. The uncertainty associated with the CCN concentration measurements using Twomey counter is also high [90, 91].

A number of these limitations are overcome by designing CCN counters in cylindrical shapes that create supersaturations along the axial direction by creating stream-wise thermal gradients [89, 90, 92]. These CCN counters operate based on the difference in thermal diffusivity and water vapor diffusivity [89, 90, 92, 93]. The design from Roberts & Nenes (2005) showed advantages such as obtaining constant supersaturation, higher resolution than predecessors, etc. It was later commercialized by Droplet Measurement Technology (DMT) [94].

The effective supersaturation of a DMT CCN counter (DMT-CCNC) depends on the thermal gradient, pressure, and the flow rate. Conventionally, supersaturation in a DMT-CCNC is changed by changing the temperature gradient along the streamline of aerosol while keeping the flow and pressure constant. Since temperature stabilization is a slow process, this step-wise change in thermal gradient translates to a slow change in supersaturation. For example, it may take over 3 mins to stabilize the instrument temperature and the optical particle counter temperature [95]. During airborne and field campaigns where the meteorological conditions can change quickly, these limitations may significantly affect the CCN activity measurements. We significantly improved this resolution by altering some built-in instrumentation and developing a new method of operation where flow parameters varied instead of the temperature gradient. We also obtained a calibration curve showing relative humidity as a function of the flow parameters (in contrast to the traditional relative humidity as a function of temperature gradient curve).

In the following section, we will discuss the instrumentation and method we followed. Then, we will present the calibration plot, the caveats in our assumptions and possible future improvements. Lastly in the summary section, we will discuss the benefits of using this methodology in an atmospheric field measurement context.

4.2 Method and Instrumentation

A regular calibration of the instrument was performed before continuing with our methodology. A calibration curve was obtained relating ΔT and set supersaturation. Size-selected ammonium sulfate was used for performing the calibration. The workflow, example figure, and the calibration curve are discussed in appendix D.

4.2.1 Parameter Setup

The methodology is inspired by an earlier work by Moore & Nenes [95]. This mode of operation of DMT-CCNC, known as the scanning flow CCN analysis (SFCA), has been used in several field measurements and laboratory experiments [96, 97, 98]. In our case, we used a dual column DMT-CCNC. As the name suggests, a dual column DMT-CCNC has two identical cylinders (or columns) instead of one. It has the same working principle as a single column DMT-CCNC. The control parameters of these two columns can be set independently, resulting in a wider range of achievable supersaturation. We have also automated the flow control as a possible use in field measurements. We realized that the following three questions need to be answered before starting the calibration in scanning mode:

1. Given a constant pressure, P, and a constant temperature difference, ΔT along the aerosol streamline, how are the flow rate, Q_T , and the supersaturation, S_{ccnc} along the streamline related?

- 2. Given the changes in Q_T , would particles have adequate time to grow enough to be detected by the optical particle counter (OPC)? And,
- 3. Given the answers for the last two questions, what would be an adequate range of Q_T , and how fast can it be scanned through, i.e., what would be an adequate ramp time, for a given Q_T ?

Both Robert & Nenes (2005), and Moore & Nenes (2009), showed that for standard pressure, the supersaturation along the axial direction changes linearly with the flow [89, 95]. Moore & Nenes (2009) carried out simulations that showed that even for the highest flow rate (Q_{max}) of 1 lpm, particles would grow large enough to be detected by the OPC. However, (a) those particles have to be



Figure 4.1: Basic Illustration of a column of a DMT-CCNC. For a dual column DMT-CCNC, there are two similar columns. Aerosol flow, sheath flow, T_1 , T_2 , T_3 , and OPC temperatures of each column can be controlled separately. The inner cylinder is made of thermally conductive materials and kept wet. A thermal difference, ΔT along T_1 and T_3 creates a supersaturation along the streamline of the aerosol flow. The inner cylinder illustrates the aerosol streamline.

hydrophilic, and (b) the flow rate has to correspond to the particles' supersaturation, $Q_C > Q_{max}$. It was also shown that the relation between the supersaturation and the flow rate deviates from linearity for a ramp time of less than 30*s*.

Considering the findings in earlier literature, we set the pressure, P_{ccnc} at 1 atm, ΔT of 18K, and 6K, along column A and column B, respectively. The range of flow rate we considered was between 0.2 lpm and 1 lpm. We chose size-selected ammonium sulfate for this calibration, scanning through 25 nm to 160 nm with intervals.

4.2.2 Instrumentation

Figure 4.2 shows the instrumentation set that was used for the calibration process. A 0.003 wt% ammonium sulfate solution (using DI water as solvent) was used for the experiment. This solution was aerosolized using a constant output atomizer (TSI model 3076). These particles then went through a diffusion dryer and were size selected through a DMA (TSI model 3071). A condensation particle counter (CPC, TSI model 3750), measured the total number concentration of particles that were generated by the atomizer. Three mass flow controllers $(M_m, M_a, \& M_b)$ were used to control the flows. Green arrows in figure 4.2 show the directions of the flows. The DMA and CPC operate on a constant flow of 0.3 lpm. The internal pumps of the dual column DMT-CCNC were replaced with external pumps and the flows through column A and column B were controlled by M_a and M_b . M_m supplies the dry air required to make up the flow necessary to have a constant flow through the CPC. All the flow controllers were equipped and controlled with micro-controllers (Arduino Model Uno Rev3). The flow controlled by M_m , M_a , & M_b follows a cosine function given by -

$$Q_T(t) = m_m + a_m \times \cos \frac{\pi \times t}{T}$$
(4.1)

where, *t* is time in seconds, *T* is the time period m_m is the mid-point of the distribution, and a_m is the amplitude.





4.2.3 Calibration method

For the calibration process we scanned through 25 nm - 160 nm diameter size selected ammonium sulfate. Ammonium sulfate has known hygroscopicity, and therefore, known critical supersaturation. The diameters used and their respective supersaturation are documented in a table in appendix D.

After size selecting the particles through a DMA (with a sheath flow of 3 lpm and aerosol flow of 0.3 lpm), the number concentration was measured by both by a CPC and the dual columns DMT-CCNC. Constant thermal gradients on column A and column B (see figure 4.2) were set at, $\Delta T_A = 18$ K, and $\Delta T_B = 6$ K respectively. Q_T for both column A and column B changed between 0.2 lpm and 1.0 lpm using eqn 4.1. *T* was set to be 60 seconds. To maintain a constant flow through the CPC, dry air was passed through M_m following the same equation. Every cycle contained one 60s lowering flow (down-scan), 30s constant flow and one 60s rising flow (up-scan). Figure 4.3 exemplifies one of cycles of Q_T through column A and column B.

Even though the CPC and DMT-CCNC were closer to each other, there was a time lag between them. We measured the maximum and minimum time lag (which corresponds to the minimum and maximum Q_T respectively). Using those maximum and minimum value, we calculated the time lag for each Q_T following eqn 4.1. This time lag was taken under consideration while calculating the activated fraction. In this case, the activated fraction is defined as -

$$F_{n,CCN} = \frac{\text{Number Concentration of Cloud Droplets, } N_{CCN}}{\text{Total Number Concentration of aerosols, } N_{CPC}}$$



Figure 4.3: Example shows one of the flow cycles following eqn 4.1. Note the rising and lowering part of the flow denoted as up scan and down scan respectively. Blue and red curve shows the corresponding Q_T of column A and column B.

After measuring N_{cpc} and N_{CCN} , and $F_{n,CCN}$, we established a set of rules to find a relation between Q_T and supersaturation. To have a reliable concentration, we considered only values when $N_{CCN} > 10/cc$ and when this value was stable for 3 consecutive measurements. Figure 4.4 graphically shows the work flow in the form of a flow chart.



were passed through a dual column DMT-CCN (with variable flow rate) and CPC (with constant flow rate). Then the Figure 4.4: A schematic showing the work flow of the calibration process. Size selected ammonium sulfate particles time lag between their measurement has been calculated. Afterwards a set of rules were established to analyze the data.Up-scan and down-scan were considered separately for analysis. Ultimately we calculated the supersaturation as a function of Q_T .


Figure 4.5: Example of a time Series of (a) Total flow (Q_T) through column A and column B, (b) number Concentration of droplets (N_{CCN}), (c) total number concentration of aerosol, (N_{cpc}), (d) activated fraction, and (e) time lag in the measurement between DMT-CNN and CPC. In this case, column A and column B had $\Delta T = 18$ K, and $\Delta T = 6$ K respectively. 140nm diameter size-selected ammonium sulfate was used as aerosol.

Figure 4.5 shows a time series of various parameters when 140 nm size selected ammonium sulfate was used. column A and column B was set at $\Delta T = 18$ K, and $\Delta T = 6$ K respectively. Note that, smaller N_{CCN} and $F_{n_{CCN}}$ for column B compared to column A, suggests that the supersaturation was only large enough to activate very few particles. In case of column A, supersaturation increased with flow and was large enough to nucleate droplets.



4.3 Result and Discussion

Figure 4.6: Figure showing a calibration curve for column A and column B for the upscan, delineating a relation between total flow and the resulted supersaturation. This calibration curves were obtained averaging over 3 cycles for each diameter. Column A and and Column B was set at constant thermal gradient of 18K and 6K. The green shadow shows the overlapping supersaturation at two different ΔT and different Q_T .

Following the methodology discussed in the previous section, we have achieved the calibration curves shown in fig. 4.6 and fig. 4.7 which corresponds to up scan and down scan cycles, respectively. Both curves are averaged over 3 cycles for each diameter. Note that, the number of points used for the calibration is not same as the number of diameter used in the process. The primary reason behind that is either we had not achieved high enough supersaturation even with the largest flow for the smaller diameters, or the achieved supersaturation already exceeded the critical supersaturation for larger diameters.

Figure 4.6 shows the calibration curve for the up scan cycles. Blue and red triangles represents the supersaturation obtained by column A and column B respectively. Column A was set at higher ΔT than column B (18K vs 6K, respectively). As expected, for the same flow rate, we achieved higher supersaturation through column A than column B. Blue and red straight lines correspond to the linear fit of the data for column A and column B respectively. The green shadow shows



Figure 4.7: Calibration curve for column A and column B for the downscan. All the other parameters are same as the up scan case (see figure 4.6).

the overlapping region where same supersaturation was achieved at two different flow rate and thermal gradient.

Calibration curve for the down scan cycles is shown in figure 4.7. Blue and red triangle and lines correspond to column A and column B, respectively. For down scan cycles as well, column A showed higher supersaturation than column B as expected. However, the slopes for down scan are not same as the up scan slopes. The linear fits for the down scan case also suggest that the down scan flow, in general, achieved higher supersaturation than up scan flow at the same ΔT . Besides, in this case, we did not achieve the overlapping supersaturation region as we did for the up scan case.

4.4 Summary

A complete cycle, containing up scan (60 s), down scan (60 s), and dwelling times in between (30 s each), requires in total of 3 minutes. Since the flow change is faster, a large range of supersaturation can be obtained faster than by changing ΔT . The number of achievable supersaturation values is also limited.

To understand how this method would aid in the context of atmospheric measurement, let us consider field measurement data ¹. Figure 4.8 shows a time series of CCN counter measurements from the Southern Great Plains (SGP), Oklahoma. Only five discrete supersaturation can be achieved in one hour time period. Besides, a few minutes are required between the change in supersaturation to stabilize the temperature gradients.

¹Uin, Janek, Cynthia Salwen, and Gunnar Senum. "Cloud Condensation Nuclei Particle Counter (AOSCCN2COLAAVG)." Atmospheric Radiation Measurement (ARM) user facility.



Figure 4.8: Time series of CCN counter measurement at the SGP. Quality control (QC) algorithm was applied to get a stable data set. Five supersaturation is achieved in one hour time

Therefore, for any short-term atmospheric events, we would lose information on the CCN activity through regular mode operation. Conversely, having properly calibrated in scanning mode, the same range of supersaturation can be achieved in a few minutes. Therefore, this mode of operation is more useful in atmospheric measurement context. "Like a traveller who has landed in an unknown country, I am conscious my faltering steps have extended but little beyond the starting point. All around extends the unknown, and the distance is closed in by many an Alpine peak, whose slopes will require more vigorous steps than mine to surmount. It is with reluctance I am compelled for the present to abandon the investigation. It is, however, to be hoped it will be taken up by those better fitted for the work, and that soon the roughness of the way will be levelled, the difficulties bridged, the country mapped, and its resources developed."

- Dr. John Aitken (1880)



Summary

5.1 Summary

Besides affecting Earth's climate through radiative balance and hydrological cycle, scavenging processes of aerosol have notable impacts on human health and the ecosystem [77, 99, 100]. Still, the scavenging processes discussed in this dissertation represent one of the most considerable uncertainties in the climate models. The motivation behind this dissertation is to improve our notions about some of these processes through planned experiments. We also look into the possible improvements that can be implemented in the available field measurement systems.

This dissertation explored how significant turbulent fluctuation is for the activation process and how much information we may lose without considering turbulence while parameterizing the activation process. One of the most commonly used parameterizations is known as *Twomey Activation*, where the number concentration of CCN is described as a function of a single peak supersaturation [27, 101]. However, in a cloudy condition, where turbulence is prevalent, we showed that considering changes due to fluctuation is necessary for a better insight into the activation process. Chapter 2.4 and the corresponding discussion in section 2.6.1exemplifies one of the phenomena. Appreciating the effect of turbulence is essential to explain the coexistence of interstitial and cloud droplet residual of monodisperse and chemically homogeneous aerosol particles. As another example, changes in integral diameters in two different cases (same S_0 but different σ_{S_0} , and decreasing S_0 with same σ_{S_0}) are discussed in appendix B. A decrease in integral diameter with decreasing saturation ratio cannot be explained through conventional wisdom. Keeping these examples in consideration, in chapter 2, we attempted to introduce a relation among S_0 , σ_{S_0} , and F_n . Though this relationship has its caveats, it initiates the possibility of further parameterization.

Our investigation also suggest that dry deposition of aerosol is also affected by turbulent fluctuations. The preliminary results outlined in this dissertation indicate that, in a turbulent environment, the stirred settling time is not a good approximation for the aerosol deposition time scale.

5.2 Future Possibilities

Other Scavenging Processes

Regarding wet scavenging processes, the scope of this dissertation is limited to activation scavenging. However, turbulence may increase the collision efficiency, and therefore, may affect the other scavenging mechanisms such as diffusion or phoretic effects [101]. The stationary cloud droplets can capture a flux of aerosol particles. This scavenging process follows simple Brownian diffusion. The efficiency of aerosol scavenging sometimes increases because of the large fall velocity of the droplets. In the cloud chamber, diffusive losses may occur both to the chamber surfaces or the cloud droplets. Chapter 3 discusses some aspects of diffusive losses to the chamber surfaces. Diffusive losses to the cloud droplets can be explored with some complementary experiments and measurements. For example, we can collect the cloud droplet residual particles and measure them using Scanning Electron Microscope (SEM) and electron dispersive x-rays (EDX) and quantify the presence of different materials in the collected interstitial and residuals. In addition to diffusive processes, one may consider exploring the effect of *thermo-* and *diffisio-* phoresis. These phoretic forces together may have a significant influence on in-cloud and below-cloud scavenging processes [102].

Effect of Chemical Composition

For our experiments discussed in chapter 2, we developed a method to untwine the effect mean and fluctuation in saturation ratio on aerosol activation. Since this procedure helps to minimize the number of parameters, the impact of chemical composition on activation and the droplet size distribution could be explored independently. How the hygroscopicity of aerosol particles affects the activation process is well known. Nonetheless, in a turbulent environment, this effect may vary. We showed that (chapter 2) turbulence can mimic the effect of chemical composition on aerosol activation. However, the relative effects of turbulence intensity and the chemical composition are yet to be explored. Furthermore, it will be interesting to explore the possibility of obtaining different turbulent regimes. Three regimes were discussed and examined in chapter 2 and Prabhakaran et al. (2020). Given the wide range of solubility of atmospheric particles, one may ask if it is possible to achieve similar regimes only by varying the chemical composition.



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Integral Diameter

As another perspective on the relationship between F_n and S_0 , we consider the integral diameter, $I = n_d \overline{D}_w$, defined previously (see eqn 2.2). As discussed in section 2.5, $\tau_c \propto (n_d \overline{D}_w)^{-1}$. Therefore, in a steady state, an increase in $n_d \overline{D}_w$ indicates a decrease in S_0 with the caveat that I does not contain information on σ_{S_0} . Figure B.1 shows $n_d \overline{D}_w$ as a function of χ_{H_2O} at the bottom boundary, which, as noted above, is a proxy for S_0 . Two trends are immediately apparent. Initially, $n\overline{D}_w$ increases monotonically with decreasing S_0 , as expected. However, after reaching $\chi_{H_2O} = 0.93$, $n_d \overline{D}_w$ decreases with decreasing S_0 , suggesting a change in regimes. F_n and the size distributions of particles in the chamber are consistent with a continuously decreasing value of S_0 . What happens at $\chi_{H_2O} = 0.93$? At the highest value of χ and thus S_0 , the system is in the transitional regime. The mean value of the saturation ration in the chamber is greater than S_c for the aerosol being injected, though there are regions in the chamber where fluctuations carry the saturation ratio below S_c , as seen by the presence of the interstitial mode in the distributions from points I and II. As S_0 decreases as salt is added to the bottom boundary (decreasing χ_{H_2O}), the mean saturation ratio in the chamber approaches S_c . Once $S_0 < S_c$, cloud droplets only form and grow because of fluctuations. Smaller values of $n_d \overline{D}_w$ are associated with more subsaturated conditions where droplets only form by rare, large excursions of the saturation ratio in the chamber above S_c .



Figure B.1: $I = n_d \overline{D}_w$ as a function of the mole fraction of water, χ_{H_2O} , at the bottom boundary of the chamber. High χ_{H_2O} corresponds to the high S_0 and *vice versa*. $n_d \overline{D}_w$ increases monotonically with decreasing S_0 until $\chi_{H_2O} = 0.93$. The decrease in *I* after that point indicates that the mean saturation ratio was either subcritical or subsaturated and activation was dominated by the fluctuations. In such cases, an increase in the integral diameter is no longer proportional to the mean saturation ratio.

case	$\Delta T (K^{-1})$	Integral Radius (μ m cm^{-3})	Activated Fraction
case I	6K/m	$3.0 imes 10^3$	0.23 ± 0.010
	7 K/m	$5.4 imes10^3$	0.25 ± 0.003
	10 K/m	$6.9 imes 10^3$	0.38 ± 0.003
	15 K/m	$9.8 imes10^3$	0.48 ± 0.01
case II	9 K/m	$3.5 imes 10^{3}$	0.54 ± 0.010
	10.6 K/m	$3.7 imes 10^{3}$	0.56 ± 0.010
	13 K/m	$4.3 imes10^3$	0.63 ± 0.008

Table B.1: Integral diameter and activated fraction for constant *S*₀.(cases I and II)

C

Supplementary - Chapter 2

C.1 Size-selected Sampling of Aerosols

Figure C.1 shows the size distribution of injected aerosol. The distribution adjacent to peak A was our expected size distribution. However, since DMA classifies particles based on their electric mobility, a secondary distribution (Peak B) was observed. These particles were doubly charged, and therefore required larger diameters to have the same electric mobility. The remaining small peak on the left is actually 130nm particles with two charges. Since $\sim 90nm$ singly charged and 130nm doubly charged particles has the same electric mobility, SMPS shows those particles as 87nm particles.



Figure C.1: Size selected NaCl was injected at a constant rate inside the chamber to create and sustain cloud condition. The first peak (A) and surrounding bins were the desired size bins. The secondary peak (B) and the surrounding bins were almost twice as big as the desired size bins which were selected by the DMA because of their same electric mobility. The small peak (C) on the left of the primary peak was our desired size with two charges. Note that, this figure shows the number concentration out of the atomizer. Inside the chamber this concentration has a dilution factor because of the volume difference between the cloud chamber and the atomizer.



Figure C.2: Time series of saturation ratio. The saturation ratio (yellow lines) was measured using the collocated LICOR (measuring vapor pressure) and RTD (measuring temperature). Both RTD and LICOR have the sampling frequency frequency of 1Hz. The blue line shows 5 min moving average. Please note the drop saturation ratio approximately around 2500th second. We started injecting aerosol around that time period. The profile of saturation ratio before aerosol injection corresponds to S_0 , σ_{S_0} . The saturation ratio reaches a new steady state after brief transient which is the in-cloud saturation ratio (S, σ_S).



Supplementary - Chapter 3







Figure D.2: Sigmoid fit for the plot Activated Fraction vs diameter at ΔT = 4K. The diameters that was chosen is shown in D.1. Critical dry diameter shown in the figure was obtained by determining 50% of the maximum activated fraction. The critical supersaturation of this critical dry diameter is the supersaturation obtained by the DMT-CCNC at 4K thermal gradient.



Figure D.3: Calibration curve showing ΔT vs supersaturation. The reason behind using ΔT vs supersaturation (not other way around) is that the operating software requires the slope and y intersect in this particular way.

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