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Research Compliance Newsletter, Spring 2022

Amanda Ashe

Office of the Vice President for Research and Dean of the Graduate School

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Research Compliance Newsletter

Spring 2022

From the [Office of Research Compliance](#),
part of the [Office of the Vice President for Research and Dean of the Graduate School](#)

In this issue:

- Welcome from ORC Director, Amanda Ashe
- Spotlight on Research Security
- New resources on the ORC website
- IACUC News: OLAW clarifies institutional responsibilities regarding NIH Grants
- Paula Portalatin named Assistant Director of Research Compliance
- New to UMaine? Leaving UMaine? Compliance steps to take
- Research compliance in the news



Welcome to the first ever Research Compliance Newsletter from the Office of Research Compliance (ORC)!

This newsletter is designed to keep you up to date on research compliance topics at UMaine and beyond, and is planned to be published each semester. Our goal is ultimately to further the mission of ORC, which is to promote a culture of ethics, integrity, and compliance with applicable laws, regulations, and policies governing research. The Research Compliance Newsletter will often include helpful resources and guidance, updates on policy, spotlights on various areas of compliance, and more. We hope you will find this newsletter informative, and as always, we look forward to assisting you with all of your compliance needs.

Sincerely,
Amanda Ashe, Director of Research Compliance

Spotlight on Research Security

ORC has recently launched a new [webpage on Research Security & Foreign Influence](#).

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collaboration, investigators must be transparent about their foreign relationships and activities, and take steps to protect their research. Failure to mitigate foreign influence in research jeopardizes the research enterprise at UMaine and the U.S. at large.



This new [Research Security webpage](#) serves as a resource for guidance regarding foreign influence in research and research security, including steps the University is taking to address and mitigate these concerns, sponsor-specific guidance, and investigator responsibilities.

Please [contact ORC](#) with any questions about research security and foreign influence.

New Compliance Resources

The [ORC website](#) is frequently updated with resources and information to help make gaining and maintaining compliance as easy as possible. We invite you to explore some of the new resources that have been recently added to our site.

- [Research Compliance Reference Sheet \(PDF\)](#)
- Animal Care
 - [Expanded IACUC FAQ page](#)
 - [IACUC Inspection Checklist \(Word\)](#)
 - [Occupational Health & Safety Program webpage](#)
- Biosafety
 - [IBC Protocol Submission Decision Tree \(PDF\)](#)
 - [IBC FAQ page](#)
- Human Subjects
 - [Expanded IRB FAQ page](#)
 - [IRB Regulations page](#)
 - [New optional CITI training: IRB Risk Assessment of Technologies in Human Subjects Research](#)
 - [Tips for SONA and IRB Approval](#)

Notice to IACUC Users: [OLAW Provides Clarification of Institutional Responsibilities Regarding NIH Grant to Protocol Congruence Review](#)

ORC's New Assistant Director of Research Compliance

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Director of Research Compliance. Paula has worked in the field of research compliance for 11 years, and has worked in the UMaine ORC for 5 years as a Research Compliance Officer. She assists with the oversight, development, and implementation of programs to ensure compliance with federal regulations pertaining to numerous areas of research, and assists with managing the operation of the Office of Research Compliance. Paula also serves as both IRB and IACUC Administrator. Please join us in congratulating Paula on this well-deserved promotion!

New to UMaine?

First, welcome! The [ORC website](#) has a wealth of information to help you get started. We highly encourage you to:

1) Review the resources on our website to become familiar with the various areas of compliance and determine if any trainings or approvals are required before initiating your research.



2) Complete your [Financial Conflict of Interest training](#).

Don't hesitate to [contact us](#) to schedule a consultation, we're here to help.

Leaving UMaine?

When a researcher leaves UMaine or retires, there are important compliance steps that must be taken prior to departure. As a researcher leaving UMaine, you should:

1) [Contact ORC](#) to **close** protocols, applications, registrations, labs, etc. or **transfer** protocols, etc. to another researcher at UMaine. Please note: protocol transfer requests must be submitted *at least* 14 business days prior to your planned departure.

2) If you plan to continue your research, **contact the new institution** in advance to see what steps might be needed to open the study there.

The sooner a researcher notifies ORC of their plans to leave UMaine, the easier the transition will be for the researcher, as well as all institutions involved. For further information, please visit the applicable compliance area on the [ORC website](#), and [reach out to ORC](#) with any questions.

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- [Harvard Chemist Found Guilty of Lying About Chinese Funding](#) - December 22, 2021
- [To Study Zika, They Offered Their Kids. Then They Were Forgotten.](#) - October 6, 2021
- [Henrietta Lacks Estate Sues Thermo Fisher Over HeLa Cell Line](#) - October 4, 2021
- [Penn Medicine apologizes for notorious doctor who conducted experiments on Holmesburg Prison inmates](#) - August 20, 2021

ORC Website

Research Compliance Reference Sheet (PDF)

Joining or Leaving UMaine?

Contact ORC

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