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Archivum Mathematicum, Vol. 58 (2022), No. 1, 49–63

Persistent URL: <http://dml.cz/dmlcz/149446>

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A HALF-SPACE TYPE PROPERTY IN THE EUCLIDEAN SPHERE

MARCO ANTONIO LÁZARO VELÁSQUEZ

Dedicated to Manofredo P. do Carmo, in memory

ABSTRACT. We study the notion of strong r -stability for the context of closed hypersurfaces Σ^n ($n \geq 3$) with constant $(r + 1)$ -th mean curvature H_{r+1} immersed into the Euclidean sphere \mathbb{S}^{n+1} , where $r \in \{1, \dots, n - 2\}$. In this setting, under a suitable restriction on the r -th mean curvature H_r , we establish that there are no r -strongly stable closed hypersurfaces immersed in a certain region of \mathbb{S}^{n+1} , a region that is determined by a totally umbilical sphere of \mathbb{S}^{n+1} . We also provide a rigidity result for such hypersurfaces.

1. INTRODUCTION AND STATEMENTS OF THE RESULTS

The notion of *stability* concerning closed hypersurfaces of constant mean curvature in Riemannian manifolds was first studied by Barbosa and do Carmo in [8], and Barbosa, do Carmo and Eschenburg in [9], where they proved that geodesic spheres are the only stable critical points in a simply connected space form of the area functional for volume-preserving variations. On the other hand, with respect to the notion of *strong stability* related to constant mean curvature closed hypersurfaces (that is, for all variations, not necessarily volume-preserving variations), it is well known that *there are no strongly stable closed hypersurfaces with constant mean curvature in the Euclidean sphere \mathbb{S}^{n+1}* (for instance, see [3, Section 2]). Following the same direction, the author together with Aquino, de Lima and dos Santos obtained in [6] an extension of this result when the space form is either the Euclidean space \mathbb{R}^{n+1} or the hyperbolic space \mathbb{H}^{n+1} . More precisely, they proved that there does not exist a strongly stable closed hypersurface with constant mean curvature H immersed in either \mathbb{R}^{n+1} or \mathbb{H}^{n+1} ($n \geq 3$) and such that its total umbilicity operator Φ satisfies the condition

$$|\Phi| \leq \frac{2\sqrt{n(n-1)}(H^2 + c)}{(n-2)|H|},$$

2020 *Mathematics Subject Classification*: primary 53C42; secondary 53C21.

Key words and phrases: Euclidean sphere, closed hypersurfaces, $(r + 1)$ -th mean curvature, strong r -stability, geodesic spheres, upper (lower) domain enclosed by a geodesic sphere.

Received March 7, 2021. Editor J. Slovák.

DOI: 10.5817/AM2022-1-49

where $c = 0$ or $c = -1$ according to the space form be \mathbb{R}^{n+1} or \mathbb{H}^{n+1} , respectively. When $n = 2$ they also showed that there does not exist strongly stable closed surface with constant mean curvature immersed in either \mathbb{R}^3 or \mathbb{H}^3 .

In [1], Alencar, do Carmo and Colares extended the results of [8] and [9] to the context of closed hypersurfaces with constant scalar curvature in a space form. More specifically, they showed that closed hypersurfaces with constant scalar curvature of a space form are the critical points of the so-called 1-area functional for volume-preserving variations and, for the case \mathbb{S}^{n+1} and \mathbb{R}^{n+1} , they also proved that a closed hypersurface with constant scalar curvature is stable if and only if it is a geodesic sphere. More recently Alías, Brasil and Sousa [4] and Cheng [12] have studied the notion of strong stability of closed hypersurfaces with constant (normalized) scalar curvature R immersed into \mathbb{S}^{n+1} , where they obtained characterizations of the Clifford torus via some estimates of the first eigenvalue of stability when $R = 1$ and $R > 1$, respectively.

The natural generalization of mean and scalar curvatures for an n -dimensional hypersurface of space forms are the r -th mean curvatures H_r , for $r \in \{0, \dots, n\}$, where H_0 is identically equal to 1 by definition. In fact, H_1 is just the mean curvature H and H_2 defines a geometric quantity which is related to the scalar curvature.

In [7], Barbosa and Colares studied the notion of r -stability (see item (a) of Remark 2 to understand this concept) for closed hypersurfaces immersed with constant $(r+1)$ -th mean curvature H_{r+1} , $r \in \{0, \dots, n-2\}$, in space forms. In this setting, they showed that such hypersurfaces in a simply connected space form are r -stable if and only if they are geodesic spheres. Moreover, in [14], the author and de Lima were able to establish another characterization result concerning r -stability through the analysis of the first eigenvalue of an operator naturally attached to the r -th mean curvature.

Motivated by all the work described above, a question appears naturally:

Are there closed hypersurfaces which are strongly r -stable with constant $(r+1)$ -th mean curvature H_{r+1} , $r \in \{1, \dots, n-2\}$?

With the intention of addressing this issue and seeking a possible answer (affirmative or not), we can slightly change our question and propose the new question:

On what conditions is it possible to guarantee the existence (or nonexistence) of hypersurfaces with constant $(r+1)$ -th mean curvature H_{r+1} , $r \in \{1, \dots, n-2\}$, that are strongly r -stable?

Our proposal here is to investigate the strong r -stability concerning closed hypersurfaces $\psi : \Sigma^n \looparrowright \mathbb{S}^{n+1}$ with constant $(r+1)$ -th mean curvature H_{r+1} , $r \in \{1, \dots, n-2\}$, immersed into the $(n+1)$ -dimensional Euclidean sphere \mathbb{S}^{n+1} , with $n \geq 3$ (see Definition 1). For this, in Section 2 we recorded some main facts about the hypersurfaces immersed in \mathbb{S}^{n+1} and in Section 3 we describe the variational problem that gives rise to the notion of strong r -stability. Next, initially we prove that geodesic spheres of \mathbb{S}^{n+1} are strongly r -stable (see Proposition 2), which provides an affirmative answer to our first question. Afterwards, to achieve our goals,

we make use of the Riemannian warped product $(0, \pi) \times_{\sin \tau} \mathbb{S}^n$, $\tau \in (0, \pi)$, which models a certain open region Ω^{n+1} of \mathbb{S}^{n+1} (see equations (4.1), (4.2) and (4.3)) and, in Proposition 3, we calculate the differential operator L_r (associated with the variational problem that defines the notion of strong r -stability) acting on an support function ξ (see equation (4.9)) naturally attached to a hypersurface $\psi : \Sigma^n \looparrowright \Omega^{n+1} \subset \mathbb{S}^{n+1}$ with constant $(r+1)$ -th mean curvature H_{r+1} , $r \in \{1, \dots, n-2\}$, immersed in Ω^{n+1} . Then, under a suitable restriction on H_r and H_{r+1} , we use the formula of $L_r(\xi)$ to show that if a closed hypersurface $\psi : \Sigma^n \looparrowright \Omega^{n+1} \subset \mathbb{S}^{n+1}$ with constant $(r+1)$ -th mean curvature H_{r+1} , $r \in \{1, \dots, n-2\}$, in \mathbb{S}^{n+1} is strongly r -stable, then it must be a geodesic sphere contained in the closure of the upper domain enclosed by the geodesic sphere of $\Omega^{n+1} \subset \mathbb{S}^{n+1}$ of level $\tau_0 = \frac{\pi}{4}$ (for a better understanding of this region, we recommend the reader to see Definition 2), which provides a partial converse of Proposition 2. More specifically, we have established the following rigidity result for strongly r -stable hypersurfaces in \mathbb{S}^{n+1} :

Theorem 1. *Let $\psi : \Sigma^n \looparrowright \Omega^{n+1} \subset \mathbb{S}^{n+1}$ ($n \geq 3$) be a strongly r -stable closed hypersurface with constant $(r+1)$ -th mean curvature H_{r+1} , $r \in \{1, \dots, n-2\}$. If the r -th mean curvature H_r of $\psi : \Sigma^n \looparrowright \Omega^{n+1}$ obeys the condition*

$$(1.1) \quad H_{r+1} \geq H_r \geq 1 \quad \text{on } \Sigma^n,$$

then $\psi(\Sigma^n)$ is isometric to a geodesic sphere contained in the closure of the upper domain enclosed by the geodesic sphere of $\Omega^{n+1} \subset \mathbb{S}^{n+1}$ of level $\tau_0 = \pi/4$.

The motivation to assume the hypothesis (1.1) in Theorem 1 is described in Remark 3, while the restrictions $r \neq \{0, n-1, n\}$ are explained in item (b) of Remark 2. As an immediate consequence of this result, we establish a result of nonexistence for strongly r -stable closed hypersurfaces immersed in \mathbb{S}^{n+1} , which can be understood as an answer to our second question.

Theorem 2. *There is no strongly r -stable closed hypersurface Σ^n ($n \geq 3$) with constant $(r+1)$ -th mean curvature H_{r+1} , $r \in \{1, \dots, r+2\}$, immersed into the lower domain enclosed by the geodesic sphere of $\Omega^{n+1} \subset \mathbb{S}^{n+1}$ of level $\tau_0 = \pi/4$, with r -th mean curvature H_r satisfying the inequality $H_{r+1} \geq H_r \geq 1$ on Σ^n .*

From our results listed above we can conclude that the region of \mathbb{S}^{n+1} that contains the set of closed hypersurfaces $\psi : \Sigma^n \looparrowright \mathbb{S}^{n+1}$ ($n \geq 3$) with constant $(r+1)$ -th mean curvature H_{r+1} , $r \in \{1, \dots, n-2\}$, which are strongly r -stable and whose r -th mean curvature H_r satisfies the condition (1.1), is small. It is in this configuration that our results can be understood as a half-space type property of strongly r -stable closed hypersurfaces in the Euclidean sphere \mathbb{S}^{n+1} (cf. Remark 4).

Finally, in Corollary 1 and 2 we write Theorems 1 and 2 for the case of closed hypersurfaces immersed into \mathbb{S}^{n+1} with constant (normalized) scalar curvature R . The proofs of the main results of this work is carried out in Section 4.

2. BACKGROUND

Unless stated otherwise, all manifold considered on this work will be connected, while *closed* means compact without boundary. Let \mathbb{S}^{n+1} be the $(n+1)$ -dimensional

Euclidean sphere. We will consider immersions $\psi : \Sigma^n \looparrowright \mathbb{S}^{n+1}$ of closed orientable hypersurfaces Σ^n in \mathbb{S}^{n+1} . In this setting, we denote by $d\Sigma$ the volume element with respect to the metric induced by ψ , $C^\infty(\Sigma^n)$ the ring of real functions of class C^∞ defined on Σ^n and by $\mathfrak{X}(\Sigma^n)$ the $C^\infty(\Sigma^n)$ -module of vector fields of class C^∞ on Σ^n . Since Σ^n is orientable, one can choose a globally defined unit normal vector field N on Σ^n . Let

$$(2.1) \quad \begin{aligned} A &: \mathfrak{X}(\Sigma^n) &\rightarrow &\mathfrak{X}(\Sigma^n) \\ Y & &\mapsto &A(Y) = -\bar{\nabla}_Y N. \end{aligned}$$

denote the shape operator with respect to N , so that, at each $q \in \Sigma^n$, A restricts to a self-adjoint linear map $A_q : T_q \Sigma \rightarrow T_q \Sigma$.

According to the ideas established by Reilly [16], for $1 \leq r \leq n$, if we let $S_r(q)$ denote the r -th *elementary symmetric function* on the eigenvalues of A_q , we get n functions $S_r \in C^\infty(\Sigma^n)$ such that

$$\det(tI - A) = \sum_{r=0}^n (-1)^r S_r t^{n-r},$$

where $I : \mathfrak{X}(\Sigma^n) \rightarrow \mathfrak{X}(\Sigma^n)$ is the identity operator and $S_0 = 1$ by definition. If $q \in \Sigma^n$ and $\{e_1, \dots, e_n\}$ is an orthonormal basis of $T_q \Sigma$ formed by eigenvectors of A_q , with corresponding eigenvalues $\lambda_1, \dots, \lambda_n$, one immediately sees that

$$(2.2) \quad S_r = \sigma_r(\lambda_1, \dots, \lambda_n),$$

where $\sigma_r \in \mathbb{R}[X_1, \dots, X_n]$ is the r -th elementary symmetric polynomial on the indeterminates X_1, \dots, X_n .

For $1 \leq r \leq n$, one defines the r -th *mean curvature* H_r (also called *higher order mean curvature*) of $\psi : \Sigma^n \looparrowright \mathbb{S}^{n+1}$ by

$$(2.3) \quad \binom{n}{r} H_r = S_r = S_r(\lambda_1, \dots, \lambda_n).$$

In particular, for $r = 1$,

$$H_1 = \frac{1}{n} \sum_{k=1}^n \lambda_k = H$$

is the *mean curvature* of the hypersurface $\psi : \Sigma^n \looparrowright \mathbb{S}^{n+1}$, which is the main extrinsic curvature. When $r = 2$, H_2 defines a geometric quantity which is related to the (intrinsic) *normalized scalar curvature* R of $\psi : \Sigma^n \looparrowright \mathbb{S}^{n+1}$. More precisely, it follows from the Gauss equation of $\psi : \Sigma^n \looparrowright \mathbb{S}^{n+1}$ that

$$(2.4) \quad R = 1 + H_2.$$

We can also define (cf. [16, Section 1]), for $0 \leq r \leq n$, the so-called r -th *Newton transformation* $P_r : \mathfrak{X}(\Sigma^n) \rightarrow \mathfrak{X}(\Sigma^n)$ by setting $P_0 = I$ and, for $1 \leq r \leq n$, via the recurrence relation

$$P_r = S_r I - A P_{r-1}.$$

A trivial induction shows that

$$P_r = S_r I - S_{r-1} A + S_{r-2} A^2 - \dots + (-1)^r A^r,$$

so that Cayley-Hamilton theorem gives $P_n = 0$. Moreover, since P_r is a polynomial in A for every r , it is also self-adjoint and commutes with A . Therefore, all bases of $T_p(\Sigma^n)$ diagonalizing A at $p \in \Sigma^n$ also diagonalize all of the P_r at p . Let $\{e_1, \dots, e_n\}$ be such a basis. Denoting by A_i the restriction of A to $\langle e_i \rangle^\perp \subset T_p(\Sigma^n)$, it is easy to see that

$$\det(tI - A_i) = \sum_{j=0}^{n-1} (-1)^j S_j(A_i) t^{n-1-j},$$

where

$$(2.5) \quad S_j(A_i) = \sum_{\substack{1 \leq j_1 < \dots < j_m \leq n \\ j_1, \dots, j_m \neq i}} \lambda_{j_1} \cdots \lambda_{j_m}.$$

With the above notations, it is also immediate to check that

$$(2.6) \quad P_r(e_i) = S_r(A_i)e_i,$$

and hence (cf. [7, Lemma 2.1])

$$(2.7) \quad \begin{cases} \operatorname{tr}(P_r) = (n-r)S_r = b_r H_r; \\ \operatorname{tr}(AP_r) = (r+1)S_{r+1} = b_r H_{r+1}; \\ \operatorname{tr}(A^2 P_r) = S_1 S_{r+1} - (r+2)S_{r+2} = n \frac{b_r}{r+1} H H_{r+1} - b_{r+1} H_{r+2}, \end{cases}$$

where $b_r = (r+1) \binom{n}{r+1} = (n-r) \binom{n}{r}$.

Associated to each Newton transformation P_r one has the second order linear differential operator $L_r: C^\infty(\Sigma^n) \rightarrow C^\infty(\Sigma^n)$, given by

$$(2.8) \quad L_r(f) = \operatorname{tr}(P_r \operatorname{Hess} f).$$

We observed that $L_0 = \Delta$, the Laplacian operator on Σ^n , and $L_1 = \square$, the Yau's square operator on Σ^n (cf. [13, Equation (1.7)]).

3. THE VARIATIONAL PROBLEM

For a closed orientable hypersurface $\psi: \Sigma^n \looparrowright \mathbb{S}^{n+1}$ as in the previous section, a *variation* of it is a smooth mapping $X: (-\epsilon, \epsilon) \times \Sigma^n \rightarrow \mathbb{R}\mathbb{P}^{n+1}$ such that, for every $t \in (-\epsilon, \epsilon)$, the map

$$(3.1) \quad \begin{aligned} X_t &: \Sigma^n \looparrowright \mathbb{S}^{n+1} \\ q &\mapsto X_t(q) = X(t, q) \end{aligned}$$

is an immersion, with $X_0 = x$. In what follows, we let $d\Sigma_t$ denote the volume element of the metric induced on Σ^n by X_t , and N_t will stand for the unit normal vector field along X_t .

The *variational field* associated to the variation $X: (-\epsilon, \epsilon) \times \Sigma^n \rightarrow \mathbb{S}^{n+1}$ is $\frac{\partial X}{\partial t}|_{t=0} \in \mathfrak{X}(X((-\epsilon, \epsilon) \times \Sigma^n))$. Letting

$$(3.2) \quad f_t = \left\langle \frac{\partial X}{\partial t}, N_t \right\rangle,$$

we get

$$\frac{\partial X}{\partial t} = f_t N_t + \left(\frac{\partial X}{\partial t} \right)^\top,$$

where $(\cdot)^\top$ stands for the tangential component.

The *balance of volume* of the variation $X: (-\epsilon, \epsilon) \times \Sigma^n \rightarrow \mathbb{S}^{n+1}$ is the functional

$$\begin{aligned} \mathcal{V}: (-\epsilon, \epsilon) &\rightarrow \mathbb{R} \\ t &\mapsto \mathcal{V}(t) = \int_{\Sigma^n \times [0, t]} X^*(dV), \end{aligned}$$

and we say that $X: (-\epsilon, \epsilon) \times \Sigma^n \rightarrow \mathbb{S}^{n+1}$ is a *volume-preserving* variation for $x: \Sigma^n \looparrowright \mathbb{S}^{n+1}$ if $\mathcal{V}(t) = \mathcal{V}(0) = 0$, for all $t \in (-\epsilon, \epsilon)$. Moreover, following [7], we define the *r-th area functional*

$$\begin{aligned} \mathcal{A}_r: (-\epsilon, \epsilon) &\rightarrow \mathbb{R} \\ t &\mapsto \mathcal{A}_r(t) = \int_{\Sigma^n} F_r(S_1(t), S_2(t), \dots, S_r(t)) d\Sigma_t, \end{aligned}$$

where $S_r(t) = S_r(t, \cdot)$ is the *r-th elementary symmetric function* of Σ^n via the immersion (3.1) and F_r is recursively defined by setting $F_0 = 1$, $F_1 = S_1(t)$ and, for $2 \leq r \leq n-1$,

$$F_r = S_r(t) + \frac{(n-r+1)}{r-1} F_{r-2}.$$

The following lemma is well known and can be found in [7].

Lemma 1. *Let $\psi: \Sigma^n \looparrowright \mathbb{S}^{n+1}$ be a closed hypersurface. If $X: (-\epsilon, \epsilon) \times \Sigma^n \rightarrow \mathbb{S}^{n+1}$ is a variation of $\psi: \Sigma^n \looparrowright \mathbb{S}^{n+1}$ then*

- (a) $\frac{d}{dt} \mathcal{V}(t) = \int_{\Sigma^n} f_t d\Sigma_t$, where f_t is the function defined in (3.2). In particular, $X: (-\epsilon, \epsilon) \times \Sigma^n \rightarrow \mathbb{S}^{n+1}$ is a volume-preserving variation for $\psi: \Sigma^n \looparrowright \mathbb{S}^{n+1}$ if and only if $\int_{\Sigma^n} f_t d\Sigma_t = 0$ for all $t \in (-\epsilon, \epsilon)$.
- (b) $\frac{d}{dt} \mathcal{A}_r(t) = -b_r \int_{\Sigma^n} H_{r+1}(t) f_t d\Sigma_t$, where $b_r = (r+1) \binom{n}{r+1}$ and $H_{r+1}(t) = H_{r+1}(t, \cdot)$ is the $(r+1)$ -th mean curvature of Σ^n via the immersion (3.1).

Remark 1. From [9, Lemma 2.2], given a closed hypersurface $\psi: \Sigma^n \looparrowright \mathbb{S}^{n+1}$, if $f \in C^\infty(\Sigma^n)$ is such that

$$(3.3) \quad \int_{\Sigma^n} f d\Sigma = 0,$$

then there exists a volume-preserving variation $X: (-\epsilon, \epsilon) \times \Sigma^n \rightarrow \mathbb{S}^{n+1}$ for $\psi: \Sigma^n \looparrowright \mathbb{S}^{n+1}$ whose variational field is just $\frac{\partial X}{\partial t}|_{t=0} = fN$.

In order to characterize hypersurfaces of \mathbb{S}^{n+1} with constant $(r+1)$ -th mean curvature, we will consider the variational problem of minimizing the *r-th area functional* \mathcal{A}_r for all volume-preserving variations of the closed hypersurface $\psi: \Sigma^n \looparrowright \mathbb{S}^{n+1}$.

The *Jacobi functional* \mathcal{J}_r associated to the problem is given by

$$\begin{aligned} \mathcal{J}_r: (-\epsilon, \epsilon) &\rightarrow \mathbb{R} \\ t &\mapsto \mathcal{J}_r(t) = \mathcal{A}_r(t) + \rho \mathcal{V}(t), \end{aligned}$$

where ϱ is a constant to be determined. As an immediate consequence of Lemma 1 we get

$$\frac{d}{dt} \mathcal{J}_r(t) = \int_{\Sigma^n} \{-b_r H_{r+1}(t) + \varrho\} f_t d\Sigma_t,$$

where f_t is the function defined in (3.2) and $b_r = (r+1) \binom{n}{r+1}$ and $H_{r+1}(t) = H_{r+1}(t, \cdot)$ is the $(r+1)$ -th mean curvature of Σ^n via the immersion (3.1). In order to choose ϱ , let

$$\bar{\mathcal{H}} = \frac{1}{\text{Area}(\Sigma^n)} \int_{\Sigma^n} H_{r+1} d\Sigma$$

be a integral mean of the function H_{r+1} along the Σ^n . We call the attention to the fact that, in the case that H_{r+1} is constant, one has

$$(3.4) \quad \bar{\mathcal{H}} = H_{r+1},$$

and this notation will be used in what follows without further comments. Therefore, if we choose $\varrho = b_r \bar{\mathcal{H}}$, we arrive at

$$\frac{d}{dt} \mathcal{J}_r(t) = b_r \int_{\Sigma^n} \{-H_{r+1}(t) + \bar{\mathcal{H}}\} f_t d\Sigma_t.$$

In particular,

$$(3.5) \quad \left. \frac{d}{dt} \mathcal{J}_r(t) \right|_{t=0} = b_r \int_{\Sigma^n} \{-H_{r+1} + \bar{\mathcal{H}}\} f_0 d\Sigma.$$

Now, following the same ideas of [8, Proposition 2.7], from (3.5), (3.4) and Remark 1 we can establish the following result, which characterizes all the critical points of the variational problem described above.

Proposition 1. *Let $\psi: \Sigma^n \looparrowright \mathbb{S}^{n+1}$ be a closed hypersurface. The following statements are equivalent:*

- (a) $\psi: \Sigma^n \looparrowright \mathbb{S}^{n+1}$ has constant $(r+1)$ -th mean curvature functions H_{r+1} ;
- (b) we have $\delta_f \mathcal{A}_r = \left. \frac{d}{dt} \mathcal{A}_r(t) \right|_{t=0} = 0$ for all volume-preserving variations of $\psi: \Sigma^n \looparrowright \mathbb{S}^{n+1}$;
- (c) we have $\delta_f \mathcal{J}_r = \left. \frac{d}{dt} \mathcal{J}_r(t) \right|_{t=0} = 0$ for all variations of $\psi: \Sigma^n \looparrowright \mathbb{S}^{n+1}$.

Motivated by the ideas established in [4], [2] and [12], we exchanged our studying problem and now we wish to detect hypersurfaces $\psi: \Sigma^n \looparrowright \mathbb{S}^{n+1}$ which minimize the Jacobi functional \mathcal{J}_r for all variations of $\psi: \Sigma^n \looparrowright \mathbb{S}^{n+1}$. Then, Proposition 1 shows that the critical points for this new variational problem coincide with those of the first variational problem, namely, are the closed hypersurfaces $\psi: \Sigma^n \looparrowright \mathbb{S}^{n+1}$ with constant $(r+1)$ -th mean curvature H_{r+1} . Currently, geodesic spheres of \mathbb{S}^{n+1} and Clifford hypersurfaces of \mathbb{S}^{n+1} are examples for these critical points. So, for such a critical point, we need computing the second variation $\delta_f^2 \mathcal{J}_r = \left. \frac{d^2}{dt^2} \mathcal{J}_r(t) \right|_{t=0}$ of the Jacobi functional \mathcal{J}_r . This will motivate us to establish the following notion of stability.

Definition 1. Let $\psi: \Sigma^n \looparrowright \mathbb{S}^{n+1}$ ($n \geq 3$) be a closed hypersurface with constant $(r+1)$ -th mean curvature H_{r+1} , $r \in \{1, \dots, n-2\}$. We say that $\psi: \Sigma^n \looparrowright \mathbb{S}^{n+1}$ is strongly r -stable if $\delta_f^2 \mathcal{J}_r \geq 0$ for all $f \in C^\infty(\Sigma^n)$.

From [7, Proposition 4.4] we get that the sought formula for the second variation $\delta_f^2 \mathcal{J}_r$ of \mathcal{J}_r is given by

$$(3.6) \quad \delta_f^2 \mathcal{J}_r = -(r+1) \int_{\Sigma^n} f \mathcal{L}(f) d\Sigma,$$

where

$$(3.7) \quad \mathcal{L} = L_r + \frac{nb_r}{r+1} H H_{r+1} - b_{r+1} H_{r+2} + b_r H_r$$

is the *Jacobi differential operator* associated with our variational problem. Here, L_r is the differential operator defined in (2.8), H , H_r , H_{r+1} and H_{r+2} are the mean curvature, the r -th mean curvature, the $(r+1)$ -th mean curvature and the $(r+2)$ -th mean curvature of $\psi: \Sigma^n \looparrowright \mathbb{S}^{n+1}$, respectively, and $b_k = (k+1) \binom{n}{k+1}$ for $k \in \{r, r+1\}$.

Remark 2. Regarding our definition of strong stability, we note that:

- (a) From a geometrical point of view, the notion of r -stability, namely, when $\delta_f^2 \mathcal{A}_r \geq 0$ for all $f \in C^\infty(\Sigma^n)$ satisfying the condition (3.3), is more natural than the notion the strong r -stability. However, from an analytical point of view, the strong r -stability is more natural and easier to use. The analytical interest is due to its possible applications to Geometric Analysis such as: the approach of bifurcation techniques related to our variational problem, the study of evolution problems related to the differential operator of Jacobi \mathcal{L} , problems of eigenvalue of \mathcal{L} , the search for notions of parabolicity for \mathcal{L} , uniqueness (or multiqueness) of solutions to problems of initial value involving \mathcal{L} , among others.
- (b) In Definition 1, we put the restriction $r \neq 0$ due to the fact that there are no strongly stable constant mean curvature closed hypersurfaces in \mathbb{S}^{n+1} (cf. [3, Section 2]), whereas the constraint $r \neq \{n+1, n\}$ is due to the explicit expression that admits $\delta_f^2 \mathcal{J}_r$ (see equations (3.6) and (3.7)).

In [7, Proposition 5.1] was established that the geodesic spheres of \mathbb{S}^{n+1} are r -stable. We note that the proof of this result can be used to affirm that the geodesic spheres of \mathbb{S}^{n+1} are also strongly r -stable. Here, for completeness of content, we present a proof.

Proposition 2. *For any $r \in \{1, \dots, n-2\}$, the geodesic spheres of \mathbb{S}^{n+1} ($n \geq 3$) are strongly r -stable.*

Proof. Let Σ^n be a geodesic sphere of \mathbb{S}^{n+1} and let $\iota: \Sigma^n \looparrowright \mathbb{S}^{n+1}$ be its inclusion map into \mathbb{S}^{n+1} . Since Σ^n is totally umbilical then its principal curvatures are all equal to a certain constant λ . By choosing the normal vector we may assume that $\lambda \geq 0$. Thus, from (2.2), (2.3) and (2.5), respectively, we have for $r \in \{1, \dots, n-2\}$ that

$$S_r = \binom{n}{r} \lambda^r = \text{constant}, \quad H_r = \lambda^r = \text{constant}$$

and

$$(3.8) \quad S_r(A_i) = \binom{n-1}{r} \lambda^r = \text{constant}.$$

Next, if e_1, \dots, e_n are the principal directions of Σ^n , from (2.8), (2.6) and (3.8), we get

$$\begin{aligned} L_r(f) &= \sum_{i=1}^n \langle \text{Hess}(f)(e_i), P_r(e_i) \rangle \\ &= \binom{n-1}{r} \lambda^r \sum_{i=1}^n \langle \text{Hess}(f)(e_i), e_i \rangle = \binom{n-1}{r} \lambda^r \Delta f, \end{aligned}$$

for all $f \in C^\infty(\Sigma^n)$.

Then, from (3.6), (3.7) and (2.7), we obtain

$$\begin{aligned} (3.9) \quad \delta_f^2 \mathcal{J}_r &= - \int_{\Sigma^n} \left\{ \binom{n-1}{r} \lambda^r \Delta f + b_r H_r f \right. \\ &\quad \left. + \left(n \frac{b_r}{r+1} H H_{r+1} - b_{r+1} H_{r+2} \right) f \right\} f d\Sigma \\ &= - \int_{\Sigma^n} \left\{ \binom{n-1}{r} \lambda^r f \Delta f + (n-r) \binom{n}{r} \lambda^r f^2 \right. \\ &\quad \left. + \left[n \binom{n}{r+1} \lambda^{r+2} - (n-r-1) \binom{n}{r+1} \lambda^{r+2} \right] f^2 \right\} d\Sigma \\ &= - \binom{n-1}{r} \lambda^r \int_{\Sigma^n} \{ f \Delta f + n f^2 + n \lambda^2 f^2 \} d\Sigma \\ &= \binom{n-1}{r} \lambda^r \int_{\Sigma^n} \{ -f \Delta f - n(1 + \lambda^2) f^2 \} d\Sigma. \end{aligned}$$

Now, let η_1 be the first eigenvalue of the Laplacian Δ of $\iota: \Sigma^n \looparrowright \mathbb{S}^{n+1}$, which admits the following min-max characterization (cf. [11, Section 1.5])

$$(3.10) \quad \eta_1 = \min \left\{ - \int_{\Sigma^n} f \Delta f d\Sigma / \int_{\Sigma^n} f^2 d\Sigma : f \in C^\infty(\Sigma^n), f \neq 0 \right\}.$$

Since $\lambda \geq 0$, from (3.9) and (3.10) we get

$$\delta_f^2 \mathcal{J}_r \geq \binom{n-1}{r} \lambda^r \int_{\Sigma^n} \{ \eta_1 - n(1 + \lambda^2) \} f^2 d\Sigma,$$

for all $f \in C^\infty(\Sigma^n)$. But, since $\iota(\Sigma^n)$ is isometric to an n -dimensional Euclidean sphere with constant sectional curvature equal to $\lambda^2 + 1$, we have that $\eta_1 = n(\lambda^2 + 1)$. Hence, for every $f \in C^\infty(\Sigma^n)$ we get

$$\delta_f^2 \mathcal{J}_r \geq \binom{n-1}{r} \lambda^r \int_{\Sigma^n} \{ \eta_1 - n(1 + \lambda^2) \} f^2 d\Sigma = 0.$$

Therefore, according to Definition 1, $\iota: \Sigma^n \looparrowright \mathbb{S}^{n+1}$ must be strongly r -stable. \square

4. PROOF OF THE MAIN RESULTS

In order to obtain a rigidity result concerning to strongly r -stable closed hyper-surfaces immersed into $(n + 1)$ -dimensional unit Euclidean sphere \mathbb{S}^{n+1} , we need to describe a Riemannian warped product that models a certain region of \mathbb{S}^{n+1} .

Let \mathbf{P} be the *north pole* of \mathbb{S}^{n+1} and \mathbb{S}^n be the *equator* orthogonal to \mathbf{P} . From [15, Example 2], the open region

$$(4.1) \quad \Omega^{n+1} := \mathbb{S}^{n+1} \setminus \{\mathbf{P}, -\mathbf{P}\}$$

is isometric to the Riemannian warped product

$$(4.2) \quad (0, \pi) \times_{\sin \tau} \mathbb{S}^n, \quad \tau \in (0, \pi).$$

At the moment, making $\mathbf{P} = (0, \dots, 0, 1) \in \mathbb{S}^{n+1}$ and identifying the point $q = (q_1, \dots, q_{n+1}) \in \mathbb{S}^n$ with $q = (q_1, \dots, q_{n+1}, 0) \in \mathbb{S}^{n+1}$, we have that the correspondence

$$(4.3) \quad \begin{aligned} \Psi : (0, \pi) \times_{\sin \tau} \mathbb{S}^n &\rightarrow \Omega^{n+1} \subset \mathbb{S}^{n+1} \\ (\tau, q) &\mapsto \Psi(\tau, q) = (\cos \tau) q + (\sin \tau) \mathbf{P}, \end{aligned}$$

defines an isometry between (4.2) and (4.1). We denote by

$$(4.4) \quad \Phi : \Omega^{n+1} \subset \mathbb{S}^{n+1} \rightarrow (0, \pi) \times_{\sin \tau} \mathbb{S}^n$$

as being the inverse of Ψ .

If $d\tau^2$ and $d\sigma^2$ denote the metrics of $(0, \pi)$ and \mathbb{S}^n , respectively, then

$$\langle \cdot, \cdot \rangle = (\pi_I)^* (d\tau^2) + (\sin \tau)^2 (\pi_{\mathbb{S}^n})^* (d\sigma^2),$$

is the tensor metric of the Riemannian warped product (4.2), where π_I and $\pi_{\mathbb{S}^n}$ denote the projections onto the $(0, \pi)$ and \mathbb{S}^n , respectively. In this context, the vector field

$$(\sin \tau) \frac{\partial}{\partial \tau} \in \mathfrak{X}((0, \pi) \times_{\sin \tau} \mathbb{S}^n)$$

is a *conformal* and *closed* one (in the sense that its dual 1-form is closed), with conformal factor $\cos \tau$. Moreover, from [15, Proposition 1], for each $\tau_0 \in (0, \pi)$, the *slice* $\{\tau_0\} \times \mathbb{S}^n$ of the *foliation*

$$(0, \pi) \ni \tau_0 \mapsto \{\tau_0\} \times \mathbb{S}^n$$

is a n -dimensional geodesic sphere of \mathbb{S}^{n+1} , parallel to the equator \mathbb{S}^n , with shape operator (see (2.1)) A_{τ_0} given by

$$(4.5) \quad \begin{aligned} A_{\tau_0} : \mathfrak{X}(\{\tau_0\} \times \mathbb{S}^n) &\rightarrow \mathfrak{X}(\{\tau_0\} \times \mathbb{S}^n) \\ Y &\mapsto A_{\tau_0}(Y) = -\bar{\nabla}_Y(-\partial_\tau) = \frac{(\cos \tau_0)}{(\sin \tau_0)} Y \end{aligned}$$

with respect to the orientation given by $-\frac{\partial}{\partial \tau}$. Thus, from (2.2), (2.3) and (4.5), we get for $r \in \{0, \dots, n\}$ that the r -th elementary symmetric function \mathcal{S}_r and the r -th mean curvature \mathcal{H}_r of each slice $\{\tau_0\} \times \mathbb{S}^n$ are

$$(4.6) \quad \mathcal{S}_r = \binom{n}{r} (\cot \tau_0)^r \quad \text{and} \quad \mathcal{H}_r = (\cot \tau_0)^r,$$

respectively. We note that \mathcal{S}_r and \mathcal{H}_r are constant on $\{\tau_0\} \times \mathbb{S}^n$.

In order to facilitate the understanding of certain regions in the Euclidean sphere, we have established the following notions.

Definition 2. Fixed $\tau_0 \in (0, \pi)$, the region

$$\Phi^{-1} \left((0, \tau_0) \times_{\sin \tau} \mathbb{S}^n \right) = \{ q \in \mathbb{S}^{n+1} : \Phi(q) \in (0, \tau_0) \times_{\sin \tau} \mathbb{S}^n \}$$

of \mathbb{S}^{n+1} that corresponds to

$$(0, \tau_0) \times_{\sin \tau} \mathbb{S}^n \subset (0, \pi) \times_{\sin \tau} \mathbb{S}^n$$

will be called of upper domain enclosed by the geodesic sphere of Ω^{n+1} of level τ_0 . Similarly, the region

$$\Phi^{-1} \left((\tau_0, \pi) \times_{\sin \tau} \mathbb{S}^n \right) = \{ q \in \mathbb{S}^{n+1} : \Phi(q) \in (\tau_0, \pi) \times_{\sin \tau} \mathbb{S}^n \}$$

of \mathbb{S}^{n+1} that corresponds to

$$(\tau_0, \pi) \times_{\sin \tau} \mathbb{S}^n \subset (0, \pi) \times_{\sin \tau} \mathbb{S}^n$$

will be called of lower domain enclosed by the geodesic sphere of Ω^{n+1} of level τ_0 . In turn, the regions

$$\Phi^{-1} \left((0, \tau_0] \times_{\sin \tau} \mathbb{S}^n \right) = \{ q \in \mathbb{S}^{n+1} : \Phi(q) \in (0, \tau_0] \times_{\sin \tau} \mathbb{S}^n \}$$

and

$$\Phi^{-1} \left([\tau_0, \pi) \times_{\sin \tau} \mathbb{S}^n \right) = \{ q \in \mathbb{S}^{n+1} : \Phi(q) \in [\tau_0, \pi) \times_{\sin \tau} \mathbb{S}^n \}$$

of \mathbb{S}^{n+1} that corresponds to

$$(0, \tau_0] \times_{\sin \tau} \mathbb{S}^n \subset (0, \pi) \times_{\sin \tau} \mathbb{S}^n$$

and

$$[\tau_0, \pi) \times_{\sin \tau} \mathbb{S}^n \subset (0, \pi) \times_{\sin \tau} \mathbb{S}^n,$$

respectively, will be called of closure of the upper domain and closure of the lower domain enclosed by the geodesic sphere of Ω^{n+1} of level τ_0 , where Φ is the isometry given in (4.4).

For example, from Definition 2 we have that the upper domain enclosed by the geodesic sphere of Ω^{n+1} of level $\tau = \pi/2$ is the open upper hemisphere (minus the north pole \mathbf{P}) of \mathbb{S}^{n+1} , which is isometric to the Riemannian warped product

$$\left(0, \frac{\pi}{2} \right) \times_{\sin \tau} \mathbb{S}^n, \quad \tau \in (0, \pi/2)$$

According to the ideas established in [5, Section 5], we will consider that the orientable hypersurfaces $\psi: \Sigma^n \looparrowright \Omega^{n+1} \subset \mathbb{S}^{n+1}$ for which their Gauss map N satisfies

$$-1 \leq \left\langle \Phi_*(N(q)), \frac{\partial}{\partial \tau} \right\rangle_{\Phi(\psi(q))} < 0$$

for all $q \in \Sigma^n$. In this setting, for such a hypersurface $\psi: \Sigma^n \looparrowright \Omega^{n+1} \subset \mathbb{S}^{n+1}$ we define the *normal angle* θ as being the smooth function

$$(4.7) \quad \begin{aligned} \theta: \Sigma^n &\rightarrow \left[0, \frac{\pi}{2} \right) \\ q &\mapsto \theta(q) = \arccos \left(- \left\langle \Phi_*(N(q)), \frac{\partial}{\partial \tau} \right\rangle_{\Phi(\psi(q))} \right). \end{aligned}$$

Thus, on Σ^n the normal angle θ verifies

$$(4.8) \quad 0 < \cos \theta = -\left\langle \Phi_*(N), \frac{\partial}{\partial \tau} \right\rangle \leq 1.$$

Moreover, since the orientation of the slice $\{\tau_0\} \times \mathbb{S}^n$ is given by $-\frac{\partial}{\partial \tau}$, the normal angle θ of $\{\tau_0\} \times \mathbb{S}^n$ is such that $\cos \theta = 1$.

We need the following result, whose proof is a consequence of a suitable formula due to Barros and Sousa [10].

Proposition 3. *Let $\psi: \Sigma^n \looparrowright \Omega^{n+1} \subset \mathbb{S}^{n+1}$ ($n \geq 2$) be an orientable hypersurface with constant $(r+1)$ -th mean curvature H_{r+1} , $r \in \{0, \dots, n-2\}$. If*

$$(4.9) \quad \begin{aligned} \xi: \Sigma^n &\rightarrow \mathbb{R} \\ q &\mapsto \xi(q) = -\sin \tau \cos \theta(q), \end{aligned}$$

where θ is the normal angle of Σ^n defined in (4.7), then the formula of the differential operator L_r defined in (2.8) acting on ξ is given by

$$(4.10) \quad \begin{aligned} L_r(\xi) = &-\left(\frac{nb_r}{r+1} HH_{r+1} - b_{r+1}H_{r+2} + b_rH_r\right)\xi \\ &- b_rH_r \sin \tau \cos \theta - b_rH_{r+1} \cos \tau. \end{aligned}$$

where H , H_r , H_{r+1} and H_{r+2} are the mean curvature, r -th mean curvature, $(r+1)$ -th mean curvature and $(r+2)$ -th mean curvature of $\psi: \Sigma^n \looparrowright \mathbb{S}^{n+1}$, respectively, and $b_k = (k+1)\binom{n}{k+1}$ for $k \in \{r, r+1\}$. Here, for simplicity we are adopting the abbreviated notations $H_j = H_j \circ \psi^{-1} \circ \Phi^{-1}$, $j \in \{1, r, r+1, r+2\}$, where Φ is the isometry described in (4.4).

Proof. From Theorem 2 of [10],

$$(4.11) \quad \begin{aligned} L_r(\xi) = &-\left(\frac{nb_r}{r+1} HH_{r+1} - b_{r+1}H_{r+2} + b_rH_r\right)\xi \\ &- b_rH_r \Phi_*(N)(\cos \tau)(\cos \tau) - b_rH_{r+1} \cos \tau. \end{aligned}$$

Observing that

$$\bar{\nabla} \cos \tau = \left\langle \bar{\nabla} \cos \tau, \frac{\partial}{\partial \tau} \right\rangle \frac{\partial}{\partial \tau} = (\cos \tau)' \frac{\partial}{\partial \tau} = -\sinh \tau \frac{\partial}{\partial \tau},$$

from (4.8) we have that

$$(4.12) \quad \begin{aligned} \Phi_*(N)(\cos \tau) &= \langle \bar{\nabla} \cos \tau, \Phi_*(N) \rangle \\ &= -\left\langle \frac{\partial}{\partial \tau}, \Phi_*(N) \right\rangle \sin \tau = \sin \tau \cos \theta. \end{aligned}$$

Substituting (4.12) into (4.11) we obtain (4.10). \square

Remark 3. For $1 \leq r \leq n-1$, from (4.6) we can observe that the $(r+1)$ -th mean curvature \mathcal{H}_{r+1} , of slice the $\{\tau_0\} \times \mathbb{S}^n$, with $\tau_0 \in (0, \frac{\pi}{4})$, of the Riemannian warped product $(0, \pi) \times_{\sin \tau} \mathbb{S}^n$ verify the inequalities

$$\mathcal{H}^{r+1} = \mathcal{H}_{r+1} > \mathcal{H}_r > \dots > \mathcal{H}_2 > \mathcal{H} > 1.$$

Taking into account this situation, we established in Theorem 1 a rigidity result for strongly r -stable closed hypersurfaces immersed into \mathbb{S}^{n+1} .

Proof of Theorem 1. Since the hypersurface

$$(4.13) \quad \Phi \circ \psi: \Sigma^n \looparrowright (0, \pi) \times_{\sin \tau} \mathbb{S}^n$$

is strongly r -stable, where Φ is the isometry described in (4.4), from (3.6) and (3.7) following Definition 1 we get

$$0 \leq - \int_{\Phi(x(\Sigma^n))} \left\{ L_r(f) + \left(\frac{nb_r}{r+1} H H_{r+1} - b_{r+1} H_{r+2} + b_r H_r \right) f \right\} f d\Phi(\Sigma)$$

for all $f \in C^\infty(\Sigma^n)$, where L_r is the differential operator defined in (2.8), $d\Phi(\Sigma)$ denotes the volume element of Σ^n induced by (4.13), $b_k = (k+1) \binom{n}{k+1}$ for $k \in \{r, r+1\}$ and, for simplicity, we use the notations $H_j = H_j \circ \psi^{-1} \circ \Phi^{-1}$, $j \in \{1, r, r+1, r+2\}$. In particular, considering the smooth function $\xi = -\sin \tau \cos \theta$ defined in (4.9), from Proposition 3 we obtain

$$(4.14) \quad \begin{aligned} 0 &\leq b_r \int_{\Phi(\psi(\Sigma^n))} (-H_r \sin \tau \cos \theta - H_{r+1} \cos \tau) \sin \tau \cos \theta d\Phi(\Sigma) \\ &\leq b_r \int_{\Phi(\psi(\Sigma^n))} (H_r \cos \theta - H_{r+1}) \cos \tau \sin \tau \cos \theta d\Phi(\Sigma) \\ &\leq b_r \int_{\Phi(\psi(\Sigma^n))} (\cos \theta - 1) H_r \cos \tau \sin \tau \cos \theta d\Phi(\Sigma) \end{aligned}$$

where in the last inequality we use the condition (1.1). Now, since $H_r \geq 1$ on Σ^n , the normal angle θ of Σ^n verifies the inequalities established in (4.8), and $\cos \tau$ and $\sin \tau$ are positive values when $\tau \in (0, \pi/4]$, then from the (4.14) we obtain

$$0 \leq b_r \int_{\Phi(\psi(\Sigma^n))} (\cos \theta - 1) H_r \cos \tau \sin \tau \cos \theta d\Phi(\Sigma) \leq 0.$$

Therefore, $\cos \theta = 1$ on Σ^n and, consequently, there is $\tau_0 \in (0, \pi/4]$ such that $\Phi(\psi(\Sigma^n)) = \{\tau_0\} \times \mathbb{S}^n$. \square

With respect to the notion of strong stability related to closed hypersurfaces with constant mean curvature immersed into Euclidean sphere \mathbb{S}^{n+1} , it is well known that *there are no strongly stable closed hypersurfaces with constant mean curvature in \mathbb{S}^{n+1}* (cf. [3, Section 2]). In the context of the higher order mean curvatures, from Theorem 1 we can establish a nonexistent result to strongly r -stable closed hypersurfaces immersed in \mathbb{S}^{n+1} (see Theorem 2).

Proof of Theorem 2. Assuming that there is a strongly r -stable closed hypersurface $\psi: \Sigma^n \looparrowright \Omega^{n+1} \subset \mathbb{S}^{n+1}$ ($n \geq 3$) with constant $(r+1)$ -th mean curvature H_{r+1} , $r \in \{1, \dots, r+2\}$, immersed into the lower domain enclosed by the geodesic sphere of $\Omega^{n+1} \subset \mathbb{S}^{n+1}$ of level $\tau_0 = \pi/4$ and with r -th mean curvature H_r satisfying $H_{r+1} \geq H_r \geq 1$ on Σ^n , from Theorem 1 we get that $\psi(\Sigma^n)$ is isometric to a geodesic sphere contained in the closure of the upper domain enclosed by the geodesic sphere of $\Omega^{n+1} \subset \mathbb{S}^{n+1}$ of level $\tau_0 = \pi/4$, obtaining a contradiction. \square

Remark 4. Consider all closed hypersurfaces $\psi : \Sigma^n \looparrowright \mathbb{S}^{n+1}$ ($n \geq 3$) with constant $(r + 1)$ -th mean curvature H_{r+1} , $r \in \{1, \dots, n - 2\}$, which are strongly r -stable and that satisfy the condition $H_{r+1} \geq H_r \geq 1$, where H_r is the r -th mean curvature of $\psi : \Sigma^n \looparrowright \mathbb{S}^{n+1}$, from Theorems 1 and 2 we can conclude that the region of the Euclidean sphere \mathbb{S}^{n+1} that contains all these hypersurfaces is small when compared to the set of closed hypersurfaces of \mathbb{S}^{n+1} that do not verify all these assumptions. It is in this context that our results can be understood as a half-space type property for this class of hypersurfaces of \mathbb{S}^{n+1} .

For the case $r = 1$, taking into account (2.4), we can exchange the second mean curvature H_2 for the normalized scalar curvature R in equation (3.5) and then rewrite our Definition 1 in terms of R . In this context, an immediate application of Theorem 1 and Theorem 2 gives the following results.

Corollary 1. *Let $\psi : \Sigma^n \looparrowright \Omega^{n+1} \subset \mathbb{S}^{n+1}$ ($n \geq 3$) be a strongly 1-stable closed hypersurface with constant normalized scalar curvature R . If the mean curvature H of $\psi : \Sigma^n \looparrowright \Omega^{n+1}$ obeys the condition $R - 1 \geq H \geq 1$ on Σ^n , then $\psi(\Sigma^n)$ is isometric to a geodesic sphere contained in the closure of the upper domain enclosed by the geodesic sphere of $\Omega^{n+1} \subset \mathbb{S}^{n+1}$ of level $\tau_0 = \pi/4$.*

Corollary 2. *There is no strongly 1-stable closed hypersurface Σ^n ($n \geq 3$) with constant normalized scalar curvature R immersed into the lower domain enclosed by the geodesic sphere of $\Omega^{n+1} \subset \mathbb{S}^{n+1}$ ($n \geq 3$) of level $\tau_0 = \pi/4$, with mean curvature H satisfying the condition $R - 1 \geq H \geq 1$ on Σ^n .*

Acknowledgement. The author would like to thank the referees for their comments and suggestions, which enabled him to reach at a considerable improvement of the original version of this work. The author is partially supported by CNPq, Brazil, grant 311224/2018-0.

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