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2 **Unique relationship between tropical rainfall and SST**
3 **to the north of the Mozambique Channel in boreal winter**

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25 **Abstract**

26 In this study, we investigate a possible mechanism for the dichotomy in climatology
27 of marine rainfall and sea surface temperature (SST) over a part of the southwestern
28 Indian Ocean (SWIO) during boreal winter (January and February) with state-of-the-
29 art satellite and reanalysis datasets. Rainfall to the north of the Mozambique Channel,
30 bounded 10°S-5°S and 40°E-50°E, is found to be quite feeble despite being in the
31 warm sea surface temperature (SST) regime of up to 29-29.5 °C. The rainfall intensity
32 is rather found to be highly associated with the atmospheric surface divergence. The
33 vigorous rainfall is associated with the more convergence over the Inter-tropical
34 Convergence Zone (ITCZ), while the weak rainfall is linked with the divergence to
35 the north of the Mozambique Channel. The surface divergent flow to the north of the
36 Mozambique Channel is associated with a deep southward penetration of the
37 northerly Indian Winter Monsoon (IWM). Corresponding to the surface divergent
38 field, a relatively high sea level pressure (SLP) compared to the SLP in the ITCZ,
39 weak subsidence, and low-level stratiform clouds are formed to the north of the
40 Mozambique Channel, despite the warm, tropical SST. These atmospheric conditions
41 are most likely conducive to the inhibition of cumulus convection over the region and
42 the unique relationship between rainfall and SST seems peculiar. Our analysis also
43 shows that the rare occurrence of tropical cyclones over the area is attributed to a
44 high-pressure surge and the associated positive surface vorticity (anti-cyclonic). This
45 study suggests that the area to the north of the Mozambique Channel is dynamically
46 interesting for climatological studies.

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49 **1. Introduction**

50 The Islands and territories of the Southwest Indian Ocean (SWIO) are often
51 facing damages from weather-induced disasters, such as tropical cyclones and floods
52 (e.g., du Plessis, 2012; Reason and Keibel 2004; Malherbe et al., 2012; Woodruff et
53 al., 2013). The tropical cyclones forming over the SWIO are approximately 14% of
54 the global total tropical cyclones (e.g., Mavume et al., 2013). The severe weather
55 effects are most likely exacerbated by the effects of climate change. The effect of
56 climate change on the regional characteristic of the SWIO rainfall is unclear but is
57 likely important given the large precipitation totals in the boreal winter season
58 (January and February) (e.g., Jury 2016).

59 The SWIO is dominated by the cross-equatorial northeasterly/northwesterly
60 Indian Winter Monsoon (IWM) flow originating from the Indian Subcontinent. This
61 basin-scale monsoon flow forces an ocean monsoonal circulation system in the Indian
62 Ocean (e.g., Schott and McCreary, 2001; Schott et al., 2009; Talley et al., 2011). The
63 IWM forms the Intertropical Convergence Zone (ITCZ) or monsoon trough over the
64 SWIO region by colliding against the southeasterly/easterly trade winds associated
65 with the Mascarene High over the southern Indian Ocean. Deep cumulus convection
66 occurs frequently (e.g., Roca et al., 2002) and tropical cyclones and monsoon
67 depressions are triggered in the ITCZ over the SWIO due to the underlying warm sea
68 surface and low-level atmospheric convergent flow (e.g., Jury, 1993; Waliser et al.,
69 1993; Klinman and Reason, 2008; Fauchereau et al., 2009; Baray et al., 2010).

70 The ITCZ over the SWIO is connected to a rainfall belt associated with the
71 Tropical Temperature Troughs (TTT, e.g., Macron et al., 2014) over the southern
72 African Continent through the Mozambique Channel and Madagascar as shown

73 Fig.1a. Jury (2016) has investigated the austral summer climate (December-to-March)
74 over Madagascar comprehensively and concluded the following : rainfall activity in
75 the December-to-February period over Madagascar is positively well-correlated with
76 the IWM and the cyclonic circulation over the Mozambique Channel. The diurnal
77 cycle and high-elevated topography (up to 1700 m) causes more rainfall interacting
78 with these background winds over Madagascar. Macron et al. (2016) showed a
79 connection among Madagascar rainfall intra-seasonal variability, the MJO and TTT in
80 austral summer season. Reason (2007) suggested that a cyclonic anomaly can be a
81 favourable condition for the development of the tropical cyclone Dera (initiated over
82 the Mozambique Channel) that caused the severe flooding disaster over Mozambique
83 during 9-11 March in 2001.

84 Referring to Fig. 1a, there is a latitudinal discontinuity of the rainfall belt
85 associated with the ITCZ over the SWIO, which is as follows: between 30°E and
86 50°E, the centre of the rainband tilts in northwest-southeast direction with a small
87 angle. While the rainband becomes weakened slightly over the southern part of the
88 Mozambique Channel (30°S-20°S and 35°E-40°E), the vigorous rainfall sits over the
89 northern Mozambique Channel towards Madagascar (20°S-15°S). Along the eastern
90 coast of Madagascar, cumulus convection is still highly vigorous, which is also
91 associated with a diurnal variation of land breeze circulation (Jury 2016) and
92 interaction with the easterly trade winds. To the east of Madagascar, the rainband core
93 jumps suddenly up to 7.5°S eastward over the SWIO. On the other hand, there is an
94 area where rainfall activity is weak (1-4 mm/day) at the northern entrance of the
95 Mozambique Channel (10°S-5°S and 40°E-50°E) and over the subtropical SWIO to
96 the east of Madagascar (20°S-15°S and 52°E-80°E). In particular, the area to the north

97 of the Mozambique Channel is located at the same tropical latitude as the ITCZ over
98 the SWIO in Fig. 1a, while the eastern Madagascar is almost in the subtropical zone.

99 There are, in general, few studies on the boreal winter (January and February)
100 rainfall climatology and associated dynamical processes over the Madagascar and
101 Mozambique regions (e.g., Matyas 2015). Furthermore, the western and northwestern
102 areas of Madagascar are less investigated than the eastern Madagascar. Hence, further
103 investigation on the boreal winter rainfall climatology and its dynamical perspective
104 is important because of the following (1) despite being in the tropical ocean region,
105 the area to the north of the Mozambique Channel is relatively dry and (2) there is a
106 latitudinal discontinuity of the ITCZ during boreal winter, and such discontinuity in
107 the ITCZ has not been reported elsewhere in the tropics. This study investigates the
108 boreal winter rainfall associated with the IWM, focusing on these two aspects.

109 The rest of this paper is constructed as follows. Section 2 provides the details
110 of datasets utilized in this work. We will describe climatological states to the north of
111 the Mozambique Channel and build a relationship between the rainfall and other key
112 atmospheric variables over the region in Section 3. Finally, Section 4 will summarize
113 the results of analysis with a discussion.

114

115 **2. Data and Methodology**

116 In this study, we use various datasets of state-of-the-art satellite observational
117 and reanalysis products. The data length was chosen based on the availability. For the
118 satellite observations, the 3-hourly Tropical Rainfall Measuring Mission (TRMM-
119 3B42, Huffman et al., 2007) of rainfall for 1998-2012, the daily Optimum
120 Interpolated Sea Surface Temperature (OISST, Reynolds et al., 2002) of SST for

121 1982-2012, the daily QuikSCAT (Mears et al., 1999) of surface wind over the ocean
122 for 2000-2008, the International Satellite Cloud Climatology Project (ISCCP, Schiffer
123 and Rossow, 1983) of low-level cloud fraction for 1983-2000 are utilized. We
124 investigate the climatological mean of rainfall, surface wind, SST, and clouds and
125 their relationships over the SWIO in boreal winter. Additionally, the International Best
126 Track Archive for Climate Stewardship (IBTrACS, Knapp et al., 2010; Levinson et
127 al., 2010) for 1900-2010 will be used for a brief investigation on cyclogenesis over
128 the SWIO.

129 For the reanalysis, we use the monthly Modern Era Retrospective-analysis for
130 Research and Applications (MERRA, Rienecker et al., 2011) for the investigation of
131 monsoon-related atmospheric fields. The MERRA is strong in the better representing
132 hydrological cycle with data assimilation than the previous products (e.g., Wong et
133 al., 2011; Posselt et al., 2012). In particular, MERRA has improved rainfall and water
134 vapour climatology. The observation and reanalysis products are summarized in Table
135 1. We investigate on possible mechanism through atmospheric diagnostics utilizing
136 MERRA datasets. We focus on the January-February throughout the paper based on
137 the monthly mean and its climatology. In addition, a lag correlation and regression
138 between daily climatological SST and rainfall will be performed in order to
139 investigate the response of rainfall to the underlying SST.

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141 **3. Results: Climatological state of IWM around Madagascar**

142 In this section, we investigate the climatological state to the north of the
143 Mozambique Channel. With comparison to other part of the SWIO, a relationship

144 among rainfall, SST and the other key atmospheric variables will be established in the
145 region.

146 We begin our analysis with from satellite datasets. Figures 1b and 1c provide
147 satellite-monitored boreal winter (January-February) climatology of surface winds
148 from QuikSCAT and sea surface temperature (SST) from OISST, respectively. With a
149 macroscopic view, the northeasterly winds associated with the IWM prevails from the
150 Indian Subcontinent to the Arabian Sea and the IWM changes its direction to
151 northwesterly after the equator reaching 10°S, as shown in Fig. 1b. The southeasterly
152 or easterly trade wind blows in the south of the domain and reaches around 10°S. The
153 vigorous rainfall is approximately located between these northwesterly and
154 southeasterly winds. On the other hand, the IWM intrudes deeply into the
155 Mozambique Channel down to approximately 20°S. Nassor and Jury (1998) have
156 shown that this monsoon deep penetration activates cumulus convection over
157 Madagascar. Remarkably, the meridional component of the surface wind still remains
158 -6 to -4 m/s to the north of the Mozambique Channel while that shrinks to more than -
159 2 m/s over the SWIO from 50°E to 80°E. This southward deep penetration of the
160 IWM seems to generate the cyclonic circulation with the southeasterly wind
161 associated with the Mascarene High over the Mozambique Channel.

162 According to Fig. 1c, the SST is quite warm (above 28 °C) everywhere the
163 SWIO and it can be expected that deep cumulus convection tends to be generated
164 frequently here. In fact, one of the warm peaks is located broadly between 60°E and
165 80°E at 2°S where it is adjacent to the strong rainfall zone over the SWIO (Fig. 1a).
166 Another warm SST is found along the Madagascan western coast and the rainfall is
167 also vigorous there, as shown in Fig. 1a. Interestingly, the SST to the north of the
168 Mozambique Channel, where the rainfall is infrequent or weak (Fig. 1a), is also a

169 warm SST peak (up to 29 °C) in the SWIO. Another feature worth mentioning is that
170 the SST is relatively cool in the western basin of the Arabian Sea and a cold-tongue-
171 like structure is formed along the eastern coast of the Arabian Peninsula to Somalia.
172 This cool SST co-exists with the IWM and a similar co-existence can be seen in other
173 sub-basin of the South China Sea (e.g., Koseki et al., 2013; Thompson et al., 2016).
174 The latent heat flux is relatively stronger along this cool SST in the Arabian Sea (not
175 shown). This high evaporation contributes to the cooling of the SST in the Arabian
176 Sea (e.g., Prasanna Kumar and Prasad, 1996; Schott et al., 2009).

177 Although the rainfall is slightly weaker, particularly, over the Mozambique
178 Channel, the southward intrusion of the IWM into the Mozambique Channel (wind
179 speed in MERRA is also relatively weaker than QuikSCAT) and the dry area over the
180 warmest underlying sea temperature to the north of the Mozambique Channel is well
181 represented in the MERRA reanalysis (Figs.1d and e). In addition, there is a
182 qualitative agreement between MERRA and QuikSCAT in terms of the surface
183 cyclonic circulation over the Mozambique Channel, shown in Figs.1b and e. The
184 location and latitudinal discontinuity of the ITCZ are also reproduced realistically. On
185 the other hand, the eastern/western coastal rainfall is relatively weak over
186 Madagascar. The coastal rainfall is mainly due to diurnal variation indicating that
187 MERRA may not represent the local sea/land breeze well. Although Fig. 1f shows
188 ocean skin temperature, warm ocean temperature is geographically consistent with the
189 observed SST (see Fig. 1c). A relationship between the tropical marine rainfall and
190 underlying sea water temperature is stated more clearly in Fig. 2. This scatter plot is
191 shown in three different boxes in the following: (i) the ITCZ over the SWIO (Box-A
192 (black), 50°E-80°E and 20°S-5°S, black), (ii) to the north of the Mozambique Channel
193 (Box-B (red), 30°E-50°E and 10°S-5°S, red) and (iii) the Mozambique Channel (Box-

194 C (blue), 30°E-50°E and 20°S-10°S, blue) only over ocean grids. Figure 2a from
195 satellite observations shows that the rainfall intensity increases monotonically as the
196 SST warms up until approximately 28 °C, as seen in Box-A, and the rainfall appears
197 to be independent of the SST between 28 and 28.5 °C, even though the intensity is
198 still largely high. The modest marine rainfall to the east of Madagascar (c.f. Fig. 1a) is
199 due to a relatively cool SST (c.f. Fig. 1c). This rainfall-SST relationship appears to be
200 consistent with the results and conclusions of previous studies have concluded (e.g.,
201 Graham and Barnett, 1987; Waliser et al., 1993; Sabin et al., 2013).

202 Over Box-C, where the SST is slightly warmer than that in Box-A, the rainfall
203 is still strong and the relationship between rainfall and the SST seems to be the same
204 as that over the ITCZ. Conversely, the relationship in Box-B differs extremely from
205 that in the other two boxes. Although some grids are overlapping with those in the
206 Mozambique Channel (this is because two boxes are connected meridionally, the
207 overlapping scatters may be in a marginal zone between two boxes), there is a main
208 cluster of scatters located in an area of weak-rainfall (approximately 2 mm/day) and
209 warm-SST (29 °C). In particular, consolidating with the scatters of the Mozambique
210 Channel, a width of rainfall variation at 29 °C ranges from approximately 1 mm/day
211 to 16 mm/day, which is wider than the range of rainfall over the ITCZ between 26.5
212 and 28.5 °C of the SST. Waliser et al. (1993) discussed that the intensity of deep
213 convection drops down after 29.5 °C over the tropical oceans based on satellite
214 observations. Indeed, the SST on some grid **cells** over the MC exceeds to this SST
215 threshold and the rainfall is somewhat moderate (10 mm/day), although the number of
216 grid **cells** may not be enough to prove a statistical significance. Sabin et al. (2013)
217 also showed that 29-29.5 °C is a threshold of intense deep cumulus convection and
218 the decreasing of rainfall as warming SST exceeds to the threshold is remarkable

219 especially over the warm pool in the tropical Pacific and Indian Oceans. With respect
220 to discussions by Sabin et al. (2013), our results on the rainfall-SST relationship over
221 Box-B seems to be singular because the rainfall intensity is quite weak despite not
222 exceeding to the SST traditional criteria of 29-29.5 °C. The MERRA also draws this
223 extraordinary relationship between the rainfall and SST to the north of the
224 Mozambique Channel as shown in Fig. 2b, while the rainfall of the MERRA is
225 relatively moderate over the Mozambique Channel compared to that of the
226 observation (Box-C). Another satellite rainfall dataset, TMI (TRMM Microwave
227 Imager, e.g., Gentemann et al., 2010), also illustrated similar singularity between
228 rainfall and SST to the north of the Mozambique Channel (not shown).

229 The simultaneous relationship suggests that the rainfall activity is explainable
230 by the classical relationship with the underlying SST over the ITCZ (Box-A), but the
231 relationship north of the Mozambique Channel (Box-B) differs from this. On the
232 other hand, it has been concluded that deep cumulus convection continues to be
233 intensified after meeting the criteria of 29-29.5 °C of the SST over tropical oceans
234 (e.g., Wu and Kirtman, 2005; Nair and Rajeev, 2013; Roxy 2014). In particular, Roxy
235 (2014) found that there is a time lag of several days when rainfall responds to the SST
236 in the North Indian Ocean by lag-regression analysis. Here, we perform a lag
237 correlation and regression analysis over Box-A and Box-B and investigate the time
238 lag of rainfall response to the SST in the southwest Indian Ocean. For this analysis,
239 the daily climatology of TRMM and OISST (1998-2012) is used from January 1st to
240 February 28th.

241 Figure 3 presents plots of lag correlation and regression coefficients between
242 SST and rainfall rate. In Box-A, the highest correlation coefficient of approximately
243 0.6 is found around minus 10 days. Correspondingly, the precipitation is regressed

244 strongly to SST by a 10 day lag. This indicates that precipitation over the ITCZ is
245 enhanced by the warm SST after 10-day. This result is consistent with results by Roxy
246 (2014) for over the North Indian Ocean during the Indian Summer Monsoon. Roxy
247 (2014) concluded that the SST-regressed precipitation increases monotonically after
248 the traditional threshold of 29-29.5 °C. Our result also suggests that such a monotonic
249 increase in precipitation with SST can be detected over the Southwest Indian Ocean
250 during the boreal winter. However, based on satellite data of OISST, climatological
251 daily SST in Box-A rarely exceeds this criterion of SST during January to February
252 (not shown), while SST warmer than the criteria is observed frequently in the North
253 Indian Ocean (Roxy 2014).

254 On the other hand, the lag correlation is quite small for the whole of lagged
255 time period in Box-B, while relatively high correlation is seen around minus 5 days
256 (but still smaller than 0.2 which is not statistically significant). The lag regression
257 coefficient reaches 2.0 mm/day/°C, which is comparable with the results of Roxy
258 (2014). However, this high value of regression is induced from the small daily
259 variability of SST (not shown). Since the correlation coefficient is insignificant in this
260 context, so is the regression coefficient. This small lag-correlation suggests that
261 rainfall is not sensitive to the underlying SST to the north of the Mozambique
262 Channel.

263 Figures 4a shows a surface atmospheric divergence obtained from satellite
264 observation. A strong convergence is located over the ITCZ where the intense rainfall
265 is generated (see Fig. 1a). Additionally, there is a relatively strong convergence over
266 the Mozambique Channel. These convergent zones are well consistent with the
267 intense rainfall (Figs.1a and d). On the other hand, the divergent surface flow is
268 dominant to the north of the Mozambique Channel, elongating from the Arabian Sea

269 along the east African coast. In according to another scatter plot between rainfall and
270 surface divergence (Figs. 4c), the rainfall over the SWIO is highly related to the
271 surface divergence as follows: the vigorous rainfall is over the surface convergence
272 (Box-A) and weak rainfall concentrates over the divergence (Box-B). Over the
273 Mozambique Channel, the relationship between rainfall and divergence seems to be
274 weaker than the other two regions, although a relationship of strong rainfall and
275 convergence is still seen. Over the ITCZ (Box-A), the precipitation seems strongly
276 dependent on both the underlying SST and surface divergence. This result may
277 suggest the three-way relationship among precipitation, SST and divergence
278 suggested by Lau et al. (1997) and Roxy et al. (2013). On the other hand, the
279 precipitation is not dependent on the warm SST, but only on the surface divergence to
280 the north of the Mozambique Channel (Box-B) indicating that the three-way
281 relationship is not applied to this region. In the three-way relationship, the warm SST
282 plays a role in affecting the atmospheric circulation. However, our analysis suggests
283 that the underlying SST does not influence the above atmosphere to the north of the
284 Mozambique Channel. This suggestion is supported by the lagged analysis shown in
285 Fig.3.

286 The MERRA also captures the relationship between the rainfall and the
287 surface divergence shown in Figs. 4b and d although a range of surface divergence is
288 relatively narrow. In particular, the southward intrusion of the divergence into the
289 Mozambique Channel is well represented (Fig. 4b). Therefore, we mainly focus on
290 the MERRA to survey what induces this unique relationship to the north of the
291 Mozambique Channel, henceforth.

292 Here, more details of other atmospheric variables over the SWIO are
293 investigated as shown in Fig. 5. The distribution of lower sea level pressure (SLP)

294 appears to be consistent with that of the ITCZ and the Mozambique Channel, shown
295 in Figs. 1a and d. Higher SLPs are found in both the northern and southern sides of the
296 domain, indicating the northeasterly monsoon-associated high over the Arabian Sea
297 and the Mascarene High over the subtropical southern Indian Ocean, respectively. It is
298 worth of pointing out that the relatively high SLP spreads along the east African coast
299 and the Arabian Peninsula to the north of the Mozambique Channel and the SLP ridge
300 forms between 40°E and 50°E (note that the SLP interval is exaggerated between
301 1010 and 1012 hPa in Fig. 5a). The distribution of vertical motion at 500 hPa is
302 consistent **roughly** with that of the SLP in Fig. 5a. The intense upward motion exists
303 around the ITCZ and the Mozambique Channel with a good agreement with the
304 intense rainfall. Interestingly, a cross-equatorial weak subsidence is detected along the
305 eastern African coast where the relatively high SLP penetrates southward. The weak
306 subsidence still survives in the north of the Mozambique Channel, although the
307 underlying SST is warmest in the SWIO (Figs. 1b and 1e).

308 Corresponding to the higher SLP and downward motion, a part of the SWIO is
309 covered by low-level clouds due to large-scale condensation process shown in Fig.
310 5b. One dominant, low-level cloud formation is over the subtropical southern Indian
311 Ocean. This low-level cloud may be associated with the Mascarene High (e.g., Wood
312 2012). In general, subtropical stratocumulus cloud cover is noted over the subsidence
313 region (e.g., Klein and Hartman, 1993). Another low-level cloud formation is
314 remarked over the southwestern Arabian Sea to the north of the Mozambique Channel
315 along the east coast of Africa. This low-level cloud also co-occurs with the relatively
316 higher SLP along the east African coast elongating from the Arabian Sea (Fig. 5a). On
317 the other hand, the low-level cloud is infrequent over the ITCZ and the Mozambique
318 Channel where deep cumulus convection is supposed to be strong. Supportively, Fig.

319 5c shows that the low-level cloud is relatively dominant from the Arabian Sea towards
320 the Mozambique Channel in a satellite observation. Because Figs.5b and 5c are
321 different quantities, it does not make sense to argue about the two plots quantitatively.
322 However, their qualitative distributions are roughly identical. Bony et al. (2000)
323 showed a frequent low-level cloud formation over the Arabian Sea and east African
324 coast during January to February with other satellite observations.

325 A vertical-longitude section also provides another unique characteristics of the
326 north of the Mozambique Channel with respect to those in the ITCZ, shown in Fig.
327 6a. From the surface up to 900 hPa, the atmospheric boundary layer over the tropical
328 SWIO is highly wet (climatological relative humidity exceeds 85%) everywhere
329 (40°E-80°E), as shown in Fig. 6a. On the other hand, from 850 hPa up to 250 hPa, the
330 atmosphere to the north of the Mozambique Channel (40°E-50°E) is relatively dry and
331 that which is over the ITCZ (50°E-80°E) is wet. The relatively wetter middle-
332 troposphere (up to 600-500 hPa) in the ITCZ indicates that cumulus convection
333 occurs there and condensation occurs quite effectively. The drier middle/upper-
334 troposphere to the north of the Mozambique Channel suggests less cumulus
335 convection and, additionally, that the subsidence transports a drier air-mass from the
336 upper to the lower troposphere because the cooler air, in general, contains less water
337 vapour, based on Clausius-Clapeyron's relation.

338 This singularity to the north of the Mozambique Channel can be summarized
339 in Fig. 6b. The rainfall and SLP shows a straightforward relationship over the SWIO
340 (less rainfall/higher SLP and more rainfall/lower SLP). Correspondingly, the surface
341 divergence can also explain the rainfall longitudinal variation over the SWIO. On the
342 other hand, the sea skin temperature (a proxy of SST) is warmest between 40°E and
343 50°E and decreases eastward (although the range of values is small). Even though the

344 warmest temperature does not exceed to the SST-criteria for deep cumulus convection
345 (Waliser et al.,1993; Sabin et al., 2013), the atmospheric boundary layer to the north
346 of the Mozambique Channel bears relatively unfavourable conditions for deep
347 cumulus convection because of the weak subsidence (Fig. 7b) and corresponding
348 divergent flow (Fig. 4) there.

349 Additionally, we analyse the cyclogenesis of tropical cyclones over the SWIO
350 that can be related to the IWM. The surface relative vorticity has a clear contrast
351 between the 40°-50°E and 50°-80°E longitudinal zones (Figs. 7a and 7b). Associated
352 with the high-pressure surge, the anti-cyclonic vorticity forms along the Somali coast
353 to the north of the Mozambique Channel. Inversely, a cyclonic vorticity is generated
354 over the SWIO and the Mozambique Channel. In general, genesis of tropical cyclones
355 is a function of low-level relative vorticity in addition to Coriolis forcing, underlying
356 SST, vertical wind shear and atmospheric low-level humidity (e.g., Camargo et al.,
357 2007; [Matyas, 2015](#)). There is a geographical agreement between convergence and
358 negative vorticity over the ITCZ and the Mozambique Channel and vice versa north
359 of the Mozambique Channel. The cyclogenesis over the SWIO seems to reflect this
360 surface vorticity pattern shown in Fig. 7c as follows: an occurrence of tropical
361 cyclones is largely high over the ITCZ and Mozambique Channel whereas the
362 cyclogenesis is relatively low to the north of the Mozambique Channel. In particular,
363 there is no occurrence in 5°S-10°S and 40°E-45°E even though this area is located
364 over the warm SST. In addition to the positive vorticity, the relative dry middle
365 troposphere (see Fig. 6b) can also contribute to the inhibition of the tropical
366 cyclogenesis to the north of the Mozambique Channel.

367

368 4. Discussion and Concluding Remarks

369 This study has investigated a latitudinal discontinuity of the Indian winter
370 monsoonal ITCZ over the southwest Indian Ocean (SWIO) in January and February
371 using state-of-the-art satellite and reanalysis datasets. Deep cumulus convection, and
372 thus intense rainfall over the SWIO and the Mozambique Channel is due to the
373 interaction of the northeasterly and northwesterly IWM with the southerly trade
374 winds. On the other hand, deep cumulus convection is suppressed strongly over the
375 northern entrance of the Mozambique Channel where the latitude is the same as the
376 ITCZ over the SWIO. Nevertheless, the SST in this region is warmest (29-29.5 °C) in
377 the SWIO. This peculiar relationship of warm SST and extremely weak cumulus
378 convection differs from what previous studies have concluded (e.g., Waliser et
379 al.,1993; Sabin et al., 2013).

380 Further, it is evident from the lagged correlation analysis (Fig. 3) that rainfall
381 is not sensitive to the underlying warm SST to the north of the Mozambique Channel.
382 Rather the feeble rainfall north of the Mozambique Channel can be explained by the
383 surface divergence (Fig. 4). There seems to be a three-way relationship among warm
384 SST, strong rainfall and surface convergence (e.g., Lau et al.,1997; Roxy et al., 2013)
385 in the ITCZ. Conversely, the north of the Mozambique Channel is only characterized
386 by weak rainfall and surface divergence, which is a two-way relationship. That is, the
387 underlying warm SST does not control cumulus convection in the north of the
388 Mozambique Channel.

389 The inhibition of deep cumulus convection to the north of the Mozambique
390 Channel can be attributed to the monsoonal high-pressure surge and this is associated
391 with the weak subsidence over the region. Correspondingly, the low-level stratiform

392 cloud forms more frequently from the western Arabian Sea to the north of the
393 Mozambique Channel even over the tropical warm ocean. Co-existence of high-
394 pressure SLP and low-level stratus clouds are, in general, ubiquitously observed in the
395 basin-scale subtropical anti-cyclone systems (e.g., Klein and Hartmann, 1993). Our
396 study reveals that a similar co-occurrence is also detected over the tropical warm
397 ocean. The frequent occurrence of stratus/stratocumulus is probably due to a relatively
398 cool SST in the western Arabian Sea to the Somali coast (Figs. 1b and 1e). Further,
399 the strong latent heat flux is found to be roughly consistent with this cool SST along
400 the Arabian Sea and Somali coast (not shown). This latent heat flux may also enhance
401 the low-level cloud formation in this region. The low-level clouds are often associated
402 with cooling and high SLP features (e.g., Koseki et al., 2012). The high-pressure
403 surge over the north of the Mozambique Channel may also be influenced
404 thermodynamically by the low-level clouds. Coinciding with the surface divergent
405 field, the surface relative vorticity is negative over the ITCZ and Mozambique
406 Channel. The surface relative vorticity is positive to the north of the Mozambique
407 Channel (Fig. 7). These vorticity distributions seem to be related to the
408 cyclonegenesis over the SWIO.

409 Whereas our present study can conclude that the unusual or unique SST-
410 rainfall relationship to the north of the Mozambique Channel is due to the cross-
411 equatorial monsoonal high-pressure surge into this area, there arises some research
412 questions of interest. One of possibilities is to understand what dynamical
413 thermodynamical processes determine such the IWM horizontal distribution in terms
414 of climatology. For example, The other monsoonal systems are affected by the
415 regional cool SST allowing the monsoon flows to penetrate more deeply (e.g.,
416 Okumura and Xie, 2004; Koseki et al., 2013). It can be expected that the cool SST in

417 the Arabian Sea also influence the IWM. Other is to perform a model simulation to
418 understand why the monsoonal high-pressure can survive even over the tropical warm
419 ocean under conditions of low-level stratus cloud formation. These research topics
420 will be taken into account in our future work.

421

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430

431 **Figure Captions**

432 Figure 1. Climatology of JF-mean (a) TRMM 3B42 rainfall rate (mm/day) for 1998-
433 2014 period, (b) QuikSCAT surface wind (vector, m/s) for 2000-2008 period and its
434 meridional component (**shading**, only shown wind less than 3 m/s), (c) OISST sea
435 surface temperature (°C) for 1982-2012 period; and MERRA climatology during
436 1979-2010 period for (d) rainfall rate (mm/day), (e) 10m wind (m/s, vector) and its
437 meridional component (**shading**, only shown wind less than 3 m/s), and (f) sea skin
438 temperature (°C). The 3 boxes are regions for scatter plot in Figs.2 and 4.

439

440 Figure 2. Scatter plots of JF-mean climatological rainfall versus sea temperature for
441 (a) observation and (b) MERRA over Indian Ocean monsoon trough (box-A, 20°S-
442 5°S and 50°E-80°E), northern entrance of the Mozambique Channel (box-B, 10°S-5°S
443 and 30°E-50°E), and Mozambique Channel (box-C, 20°S-10°S and 30°E-50°E). The
444 plots are only over the ocean grid. The black dashed-line denotes 29.5 °C that is the
445 threshold by Waliser et al. (1993). The box for each region is shown in Fig.1a.

446

447 Figure 3. Lag correlation (solid) and regression (dashed) coefficients between daily-
448 mean precipitation and SST over the ITCZ (box-A, 20°S-5°S and 50°E-80°E, shown
449 by black) and northern entrance of Mozambique Channel (box-B, 10°S-5°S and 40°E-
450 50°E, shown by gray). Label on left (right) is for lag correlation (lag regression).

451

452 Figure 4. JF-mean climatology of surface divergence for (a) QuikSCAT and (b)
453 MERRA. (c) and (d) same as Fig.4, but for rainfall versus surface divergence for
454 QuikSCAT and MERRA, respectively. For (c), QuikSCAT data is interpolated into
455 MERRA's grid box.

456 Figure 5. JF-mean climatology of (a) SLP (color) and vertical motion at 500hPa
457 (contour, dashed is negative and solid is positive) and (b) mixing ratio of cloud water
458 due to large-scale condensation at 925 hPa from MERRA in 1979-2010. Note that the
459 color scale is exaggerated between 1010 and 1012 hPa and the contour interval in (a)
460 is 0.01 and 0.005 Pa/s for negative and positive values, respectively. (c) JF-mean
461 climatology of low-level cloud fraction between 1000 and 680 hPa obtained from
462 ISCCP in 1983-1999.

463

464 Figure 6. Pressure-longitude section of (a) JF-mean climatological relative humidity
465 averaged between 10°S and 5°S. (b) Latitude-averaged (10°S-5°S) plots of sea level
466 pressure (solid), rainfall (dashed), skin temperature (dot), and surface divergence
467 (solid with triangle marker). All plots are from MERRA.

468

469 Figure 7. JF-mean climatology of surface relative vorticity for (a) QuikSCAT (2000-
470 2008) and (b) MERRA (1979-2010). (c) JF cyclongenesis over the SWIO estimated
471 from IBTrACS in 1900-2010. Only the initial location of each tropical cyclone is
472 binned into 2°×2° grid.

473

474

475 Table 1. A detailed list of data sets used in this study.

476

477

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