

1 **Forum: Steps to increase the reproducibility of geotechnical laboratory test data**

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15 The ability to reproduce (or not) the experimental results of other researchers forms one of
16 the key tenets of the scientific method. For many disciplines of science, where the materials
17 tested can be derived from relatively basic chemical elements, or are of a common biological
18 origin, it is possible for different research teams to obtain what is needed to carry out
19 identical experiments to check reproducibility. Similarly, scientific methods that are based on
20 numerical tools are amenable to checks by different researchers. Indeed, to this end, there
21 is an increased prevalence for peer-reviewed geotechnical journals to include data
22 availability statements, further increasing the likelihood of such sharing, the benefits of which
23 were argued by Jefferies (2016).

24 Unlike some of the previous examples, geotechnical laboratory testing is an area where
25 assessing reproducibility is difficult. Different soils and tailings are generally impossible to
26 reproduce given their varying origins and mineralogy. While laboratory-standard materials
27 offer some options for testing reproducibility, much of the work required in our field involves
28 materials that are not commercially available. The first three authors were inspired to
29 prepare this forum, and seek the involvement of others, because of a recent round robin
30 exercise they led (Reid et al. 2021a), the results of which provided many learnings between
31 laboratories. This built on a long history of round robin exercises in geotechnical laboratory
32 testing (Yamashita et al. 2009, Toki et al. 1986, Tarantino et al. 2011), and examples such
33 as that of Been et al. (1987) where sharing of material between laboratories led directly to
34 the development of methods to interpret state parameter from the cone penetration test that
35 were instrumental in most subsequent developments in this field (Shuttle and Jefferies 1998,
36 Ghafghazi and Shuttle 2008, Shuttle and Jefferies 2016).

37 Future benefits of increased sharing of soils could include, but are certainly not limited to:

- 38 • Checks on experimental reproducibility, generally. There are a number of
39 researchers carrying out testing that requires reconstitution using various methods,
40 but quite limited comparison of how similar the outcomes of seemingly similar
41 experimental procedures in different laboratories actually are. Increased sharing of
42 material would assist in this area.

- 43 • Fabric studies to investigate different preparation methods. This has been an area of
44 intense study and debate for decades (Vaid et al. 1999, Høeg et al. 2000, Chang et
45 al, 2011, Reid and Fanni 2020), yet still lacking in consensus in many areas. As
46 such, an ability for the work of one team to be expanded by another team who uses
47 different approaches would be very useful.
- 48 • Further investigation by researchers on materials relevant to recent tailings storage
49 facility (TSF) failures. While, to our knowledge, material cannot currently be obtained
50 for research purposes from any of the major recent TSF failures, it is conceivable that
51 this will change in the future. For example, recently published industry guidelines
52 support the public sharing of data wherever possible (ICMM 2020), seemingly
53 consistent with the philosophy of this forum. Given the intense interest in these
54 failures and the finite timeframe available for the failure investigations, the ability of
55 other researchers to later carry out further testing on the same materials would be of
56 great benefit. An example of this in practice can be seen through the decades of
57 study that followed the Lower San Fernando Dam (Castro et al. 1985, Castro et al.
58 1992, Baziar and Dobry 1995, Jefferies and Been 2006, Robertson 2010, Chowdhury
59 et al. 2019), which present a rich library that has contributed much to our
60 understanding and methods to assess liquefaction - activities that would often only
61 be possible with free sharing of materials in a manner proposed by this forum
- 62 • A myriad of other interesting and currently studied aspects of soil behaviour, such as
63 transitional behaviours (Coop 2015, Xu and Coop 2017), investigating the range of
64 accessible laboratory densities compared to in situ states (Shuttle and Cuning 2007,
65 Reid et al. 2018, Reid 2021), and different means to try to reproduce in situ bonding
66 or structure (Schneider and Moss 2011, Robertson 2016, Robertson et al. 2019)
67 could all benefit from additional studies that include checks on reproducibility.
- 68 • Research may have focussed on a particular or unusual test, for example calibration
69 chamber studies of the CPT, with soil behaviour established by the researchers'

70 preference for, say, drained triaxial tests. However, if another framework evolves
71 then that particular or unusual data can be given new life if testing for the new
72 framework can be done on the originally used soils. An example of this was the
73 study into unifying CPT calibrations by Been et al. (1987) where researchers from
74 Ente Nazionale Energia Elettrica-Centro Ricerca Idraulica e Strutturale, Norwegian
75 Geotechnical Institute, Turin Polytechnic, and the Universities of Southampton,
76 Berkeley, and Florida were all able to share samples of their reference soils for
77 further testing to determine the respective critical state properties. A little additional
78 laboratory testing then brought the very-expensive-to-redo chamber test data into
79 new use – and that could not have been done without stockpiles of soils that could be
80 shared.

81 The authors of this forum therefore advocate that those in the geotechnical testing
82 community wherever possible consider whether their testing programs can be carried out in
83 such a manner that sharing of the soil with other researchers is feasible. The main steps to
84 accomplish this end would be recognition in the early stages of the work when preparing a
85 bulk sample for testing was being carried out (i.e. by ensuring sufficient material is prepared
86 and/or mixed) and a willingness to agree to such sharing. Some of the authors of this forum
87 have themselves begun including the following wording in the data availability statements at
88 the end of their laboratory publications (Reid and Fanni 2020, Reid et al, 2021b) whenever
89 possible to promote such sharing:

90 ***The authors indicate that in the interests of enabling checks by other researchers as***
91 ***to the reproducibility of our results, and to build on the current work, untested***
92 ***material from this study can be made available to others upon reasonable request and***
93 ***provided sufficient material is still available.***

94 While the authors of this forum intend on using such wording whenever possible in their
95 work, should this become increasingly widespread in the geotechnical testing community a
96 useful way forward would be for papers to include a “Material availability statement” similar
97 to that now provided for electronic data in many leading journals. The requirement to

98 explicitly answer questions as to the availability of material in submissions would likely itself
99 promote an increase in planning and allowance for sharing by geotechnical testers.

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101 **DATA AVAILABILITY STATEMENT**

102 No data, models, or code were generated or used during the study.

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