Potential of heart fatty-acid binding protein, neurofilament light, interleukin-10 and S100 calcium-binding protein B in the acute diagnostics and severity assessment of traumatic brain injury

Pia Koivikko MD, MSc^{1,2}, Jussi P. Posti MD, PhD^{3,4}, Mehrbod Mohammadian, MSc^{4,5}, Linnea Lagerstedt, PhD⁶, Leire Azurmendi, PhD⁶, Iftakher Hossain, MD ^{3,4}, Ari J. Katila, MD^{1,2}, David K. Menon, MD, PhD⁹, Virginia F. Newcombe, MD, PhD⁹, Peter J. Hutchinson, MD, PhD¹⁰, Henna-Riikka Maanpää, MD^{3,5}, Jussi Tallus, MD^{5,11}, Henrik Zetterberg, MD, PhD ^{7,8,12,13,14}, Kaj Blennow, MD, PhD^{7,8}, Olli Tenovuo, MD, PhD^{4,5}, Jean-Charles Sanchez, PhD⁶ and Riikka S.K. Takala, MD, PhD^{1,2}

Manuscript classification: Article

Names of Departments and Institutions: ¹Perioperative Services, Intensive Care Medicine, and Pain Management, Turku University Hospital and University of Turku, Finland; ²Anaesthesiology, Intensive Care, Emergency Care and Pain Medicine, University of Turku, Finland; ³Neurocenter, Department of Neurosurgery, Turku University Hospital, Finland; ⁴Turku Brain Injury Center, Turku University Hospital, Finland; ⁵Neurocenter, University of Turku, Finland; ⁶Department of Specialities of Internal Medicine, Faculty of Medicine, University of Geneva, Geneva, Switzerland; ⁷Institute of Neuroscience and Physiology, Department of Psychiatry and Neurochemistry, The Sahlgrenska Academy at the University of Gothenburg, Mölndal, Sweden; ⁸Clinical Neurochemistry Laboratory, Sahlgrenska University Hospital, Mölndal, Sweden; ⁹Division of Anaesthesia, University of Cambridge, Addenbrooke's Hospital, Cambridge, United Kingdom; ¹⁰Department of Clinical Neurosciences, Neurosurgery Unit, University of Cambridge, Addenbrooke's Hospital, Cambridge, United Kingdom; ¹¹Department of Radiology, Turku University Hospital, Turku, Finland; ¹²UK Dementia Research Institute at UCL, London, United Kingdom; ¹³Department of Neurodegenerative Disease, UCL Institute of Neurology, London, United Kingdom; ¹⁴Hong Center for Neurodegenerative Diseases, Hong Kong, China. Corresponding author: Dr. Pia Koivikko, <u>piaknuu@utu.fi</u>, ORCID 0000-0002-9227-146X, Tel: +358 40 749 4229, Fax: +358 2 313 3960, Perioperative Services, Intensive Care Medicine, and Pain Management, Turku University Hospital and University of Turku, Anaesthesiology, Intensive Care, Emergency Care and Pain Medicine, University of Turku, PO BOX 52, Fl20520 Turku, Finland **Running head:** Protein biomarkers in acute TBI diagnostics Title character count: 171 Abstract word count: 289

Word count: 2983

Mailing address and contact information

Pia Koivikko, MD, MSc, piaknuu@utu.fi

Perioperative Services, Intensive Care Medicine, and Pain Management, Turku University Hospital and University of Turku, Anaesthesiology, Intensive Care, Emergency Care and Pain Medicine, University of Turku, Kiinamyllynkatu 4-8, Rakennus 11 A 5, Fl20520 Turku, Finland Tel: +358 40 749 4229, Fax: +358 2 313 3960

Jussi P. Posti, MD, PhD, jussi.posti@utu.fi

Neurocenter, Department of Neurosurgery, Turku Brain Injury Center, Turku University Hospital and Department of Clinical Neurosciences, University of Turku, Hämeentie 11, FI20521 Turku, Finland Tel: +358 2 313 0000, Fax: +358 2 313 3052

Mehrbod Mohammadian, MSc, mehmoh@utu.fi

Turku Brain Injury Center, Turku University Hospital and Department of Clinical Neurosciences, University of Turku, Hämeentie 11, FI20521 Turku, Finland Tel: +358 2 313 0000, Fax: +358 2 313 9022

Linnéa Lagerstedt, PhD, linnea.lagerstedt@unige.ch

Department of Human Protein Sciences, Faculty of Medicine, University of Geneva, 24 rue du Général-Dufour 1211 Genève 4, Geneva, Switzerland Tel: +41 22 379 00 00, Fax: +41 22 379 11 34

Leire Azurmendi, PhD, <u>leire.azurmendi@unige.ch</u> Department of Human Protein Sciences, Faculty of Medicine, University of Geneva, 24 rue du Général-Dufour 1211 Genève 4, Geneva, Switzerland Tel: +41 22 379 00 00, Fax: +41 22 379 11 34 Iftakher Hossain, MD, ifthos@utu.fi

Department of Clinical Neurosciences, Neurosurgery Unit, University of Cambridge, Addenbrooke's Hospital, Cambridge, United Kingdom Neurocenter, Department of Neurosurgery, Turku Brain Injury Center, Turku University Hospital and Department of Clinical Neurosciences, University of Turku, Hämeentie 11, Fl20521 Turku, Finland Tel: +358 2 313 0000, Fax: +358 2 313 3052

Ari J. Katila, MD, ajkatila@gmail.com

Perioperative Services, Intensive Care Medicine and Pain Management, University of Turku and Turku University Hospital, Hämeentie 11, FI20521 Turku, Finland Tel: +358 2 313 0000, Fax: +358 2 313 3960

David K. Menon MD, PhD, <u>dkm13@wbic.cam.ac.uk</u>

Division of Anaesthesia, University of Cambridge, Addenbrooke's Hospital, Hills Road, CB2 0QQ, Cambridge, United Kingdom Tel: +44 1223 217889, Fax: +44 1223 217887

Virginia F. Newcombe, MD, PhD, vfjn2@cam.ac.uk

Division of Anaesthesia, University of Cambridge, Addenbrooke's Hospital, Hills Road, CB2 0QQ, Cambridge, United Kingdom Tel: +44 1223 217889, Fax: +44 1223 217887

Peter Hutchinson, MD, PhD, FMedSci, <u>pjah2@cam.ac.uk</u> Department of Clinical Neurosciences, Neurosurgery Unit, University of Cambridge, Addenbrooke's Hospital, Hills Road, CB2 0QQ, Cambridge, United Kingdom Tel: +44 1223 336946, Fax: +44 1223 216926

Henna-Riikka Maanpää, MD, hrmaan@utu.fi

Neurocenter, Department of Neurosurgery, Turku Brain Injury Center, Turku University Hospital and Department of Clinical Neurosciences, University of Turku, Hämeentie 11, FI20521 Turku, Finland Tel: +358 2 313 0000, Fax: +358 2 313 3052

Jussi Tallus, MD, jptall@utu.fi

Turku Brain Injury Center, Department of Clinical Neurosciences, and Department of Radiology, University of Turku and Turku University Hospital, Hämeentie 11, FI20521 Turku, Finland Tel: +358 2 313 0000, Fax: +358 2 313 9022

Henrik Zetterberg, MD, PhD, <u>henrik.zetterberg@clinchem.gu.se</u>

Institute of Neuroscience and Physiology, Department of Psychiatry and Neurochemistry, the Sahlgrenska Academy at the University of Gothenburg, S-431 80 Mölndal, Sweden Clinical Neurochemistry Laboratory, Sahlgrenska University Hospital, S-431 80 Mölndal, Sweden UK Dementia Research Institute at University College London, London WC1E 6BT, United Kingdom Department of Neurodegenerative Disease, University College London Institute of Neurology, Queen Square, London WC1E 6BT, United Kingdom Tel: +46 (0)76-867 26 47, Fax: Fax: +46 31 419289

Kaj Blennow, MD, PhD, kaj.blennow@neuro.gu.se

Institute of Neuroscience and Physiology, Department of Psychiatry and Neurochemistry, the Sahlgrenska Academy at the University of Gothenburg, S-431 80 Mölndal, Sweden Clinical Neurochemistry Laboratory, Sahlgrenska University Hospital, S-431 80 Mölndal, Sweden Tel: +46 31 3431791, Fax: +46 31 419289

Olli Tenovuo, MD, PhD, olli.tenovuo@tyks.fi

Neurocenter, Turku Brain Injury Center, Turku University Hospital and Department of Clinical Neurosciences, University of Turku, Hämeentie 11, Fl20521 Turku, Finland Tel: +358 2 313 0000, Fax: +358 2 313 9022

Jean-Charles Sanchez, PhD, jean-charles.sanchez@unige.ch

Department of Human Protein Sciences, Faculty of Medicine, University of Geneva, 24 rue du Général-Dufour 1211 Genève 4, Geneva, Switzerland Tel: +41 22 379 00 00, Fax: +41 22 379 11 34

Riikka S.K. Takala, MD, PhD, riikka.takala@gmail.com

Perioperative Services, Intensive Care Medicine and Pain Management, University of Turku and Turku University Hospital, Hämeentie 11, FI20521 Turku, Finland Tel: +358 2 313 0000, Fax: +358 2 313 3960

Abstract

Background There is substantial interest in blood biomarkers as fast and objective diagnostic tools for traumatic brain injury (TBI) in the acute setting.

Methods Patients (189) with all severities of clinically diagnosed TBI and orthopedic injury controls were prospectively recruited during 2011-2013 at Turku University Hospital, Finland. The severity of TBI was classified with GCS. Serum samples were collected within 24h of admission and biomarker levels analyzed with high-performance kits. The biomarker levels were studied with nonparametric tests.

Results Neurofilament light (NF-L) was obtained from 175 patients with TBI and 40 controls and S100 calcium-binding protein B (S100B), heart fatty-acid binding protein (H-FABP), and interleukin-10 (IL-10) were analyzed from 184 patients with TBI and 39 controls. There were statistically significant differences between levels of all biomarkers between the severity classes, but none of the biomarkers was able to distinguish patients with moderate TBI (moTBI) from patients with severe TBI (sTBI). Patients with mTBI discharged from the emergency department had lower levels of IL-10 (0.26, IQR=0.21, 0.39 pg/mL), H-FABP (4.15, IQR=2.72, 5.83 ng/mI) and NF-L (8.6, IQR=6.35, 15.98 pg/mI) compared to those admitted to neurosurgical ward, IL-10 (0.55, IQR=0.31, 1.42 pg/mL), H-FABP (6.022, IQR=4.19, 20.72 ng/mI) and NF-L (13.95, IQR=8.33, 19.93 pg/mI). We observed higher levels of H-FABP and NF-L in older patients with mTBI. None of the biomarkers or their combinations was able to distinguish computed tomography (CT)-positive (N=36) or CT-negative (N=58) patients with mTBI from controls.

Conclusions S100B, H-FABP, NF-L and IL-10 levels in patients with mTBI were significantly lower than in patients with moTBI and sTBI. None of the biomarkers or their combinations were able to distinguish patients with mTBI from orthopedic controls suggesting that these biomarkers cannot be used alone to diagnose mTBI in trauma patients in acute phase setting.

Word count: 289/300

Keywords: traumatic brain injury, biomarkers, severity assessment, acute diagnostics

Key messages

> What is already known on this subject

Traumatic brain injury (TBI), especially mild TBI, is still lacking objective, efficient and fast acute diagnostic tools. Blood-based biomarkers have been a target of interest as they could provide a fast and cost-efficient tool for diagnosis.

> What this study adds

We studied S100B, H-FABP, NF-L and IL-10 in the acute diagnostics of TBI and found that the levels are significantly lower in mild TBI than in the more severe classes. None of these biomarkers or their combinations were able to distinguish patients with mild TBI from the orthopedic controls in this patient population.

Introduction

Traumatic brain injury (TBI) is diagnosed based on clinical and imaging findings. Mild TBI (mTBI) is challenging to diagnose and lacks objective, efficient and fast acute diagnostic tools. The diagnosis of moderate (moTBI) and severe TBI (sTBI) is easier as clinical signs are more reliable and patients have traumatic findings on head computed tomography (CT).¹ Blood-based biomarkers have been a target of interest as they could provide a fast and cost-efficient tool for diagnosis and aid in the referral to head CT scan.² TBI is a complex condition affecting several brain structures. Structural markers, S100 calcium-binding protein B (S100B), heart fatty-acid binding protein (H-FABP), neurofilament light (NF-L) and an inflammation marker interleukin-10 (IL-10) were studied.

In the context of TBI, the release of S100B to circulation represents astrocyte damage.³ S100B is also expressed in other tissues and its levels increase after polytrauma and exercise.⁴ S100B can be used to rule out intracranial lesions in selected patients with mTBI.⁵ H-FABP is expressed in the heart and predominantly in the neuronal cell bodies in the brain.⁶ H-FABP has shown promise in the diagnosis of mTBI.⁷ However, as it is also a marker for cardiac injury, its performance as a specific marker of brain injury remains undetermined.⁸ NF-L is a marker of myelinated axonal injury⁹ and possibly identifies patients requiring acute brain imaging following TBI.¹⁰ IL-10 is an anti-inflammatory cytokine expressed in response to brain injury. Although the correlation of IL-10 with GCS in patients with TBI remain conflicting ^{11 12}, it seems to distinguish between CT-positive and CT-negative patients with mTBI.¹¹

TBI is a heterogenous condition and diagnostics based on a single biomarker is perhaps not adequately sensitive and specific.¹³ Accordingly, biomarker panels have been studied and combined biomarkers indicating different kinds of structural injuries are likely to be more efficient in diagnostics than single biomarkers alone.¹³

The first aim of this study was to evaluate how the biomarkers of different neural structures correlate with the severity of TBI. The second aim was to assess if the biomarkers or their combinations could distinguish patients with mTBI - with or without positive CT findings - from orthopedic controls.

10

Methods

Study Population

This prospective study was part of the EU-funded TBIcare project (Evidence-based Diagnostic and Treatment Planning Solution for Traumatic Brain Injuries). Patients were recruited (from 8 a.m. to 10 p.m., convenience sampling) at Turku University Hospital between November 2011 and October 2013. Biomarkers were available for 189 patients with all severity of TBI and 40 orthopedic controls.

Inclusion criteria for the TBI group were: age ≥18 years, clinical diagnosis of TBI with indications for acute head CT according to National Institute for Health and Care Excellence criteria.¹⁴ Exclusion criteria were head injury without an indication for CT, blast-induced or penetrating injuries, prior neurological disease causing inability to live independently, more than two weeks from the injury, chronic subdural hematoma, inability to speak Finnish or no consent obtained. The orthopedic controls were ≥18 years old and had acute non-trivial orthopedic injuries to the extremities or trunk. Exclusion criteria were any suspicion of earlier TBI or degenerative neurological disease, polytrauma needing intensive care, or trivial injuries not needing acute interventions or follow-up. All patients or their proxies were given oral and written information about the study and written consent was obtained. Southwest Finland Hospital District Research Ethics Committee (decision 68/180/2011) approved the study.

Traumatic brain injury severity classes and head computed tomography classifications

The severity of TBI was based on the lowest GCS before possible intubation, either at the scene of accident or emergency department (ED). GCS 13-15 was classified as mTBI; GCS 9-12 moTBI and GCS 3-8 sTBI.

CT scans were classified according to Marshall grading system.¹⁵ Neuroradiologists at the Turku University Hospital and a senior neurosurgeon (JPP) double-read the CT scans.

Biomarker analyses

Blood samples for NF-L, H-FABP, IL-10 and S100B were obtained within 24 hours from admission. NF-L levels were measured using the Human Neurology 4-Plex A assay (N4PA) on an HD-1 Single molecule array (Simoa) from Quanterix (Quanterix, Lexington, MA). LLoD (lower limit of detection) for NF-L was 0.104 pg/mL, LLoQ (lower limit of quantification) 0.241 pg/mL, calibration ranging from 0.533 pg/mL to 453 pg/mL. The K151HTD kit was used to analyze H-FABP and K151QUD for IL-10, both from Meso Scale (Meso Scale Diagnostics, Rockville, MD, USA). LLoD for H-FABP was 0.103 ng/mL with calibration range of 0.137-100 ng/mL. LLoQ had not been established as the test has not been fully validated yet. LLoD for IL-10 was 0.04 pg/mL with LLoQ being 0.298 pg/mL, calibration rate being 0.0774-317 pg/mL. S100B was measured using EZHS100B-33K kit from Millipore (Millipore, Billerica, MA, USA). LLoD was 2.7 pg/mL with calibration ranging from 2.7 to 2000 pg/mL. There were no samples below the LLoDs and LLoQs. All the kits were used according to the manufacturers' recommendations. The measurements were performed by board-certified laboratory technicians blinded to clinical data using one batch of reagents in one round of experiments. Intra-assay coefficients of variation monitored using high and low QC samples that were common across plates, were below 10% for all analytes.

Statistical Analysis

All available data was used without a priori sample size estimation. Data were analyzed using IBM SPSS Statistics version 24 (IMB Corporation, Armonk, New York, USA). Demographics of the patients are presented as mean ± SD. Normality of the biomarkers was assessed by Kolmogorov-Smirnov test. The biomarker levels were not normally distributed and nonparametric tests were used, the results presented as medians (IQR). Correlations of biomarker levels with gender and age for all severities of patients with TBI were analyzed with Mann-Whitney U test and Pearson's correlation coefficient, respectively. Mann-Whitney U test was used to compare the levels of biomarkers between the severities of TBI and to identify the patients with mTBI who were admitted to hospital vs the discharged patients. P<0.05 was considered statistically significant.

mTBI patients' neurological symptoms may be vague and not fulfill the criteria for a head CT. Therefore, diagnostic ability of the biomarkers in differentiating between orthopedic controls and all patients with mTBI and patients with mTBI with or without CT findings was evaluated with the area under the receiver operating characteristic (ROC) (pROC package for S+ version 8.1 (TIBCO, Software Inc.))¹⁶ curve (AUC). AUC of 0.8-1.0 was considered good, AUC of 0.7-0.8 adequate, and AUC < 0.7 poor. All tests were two-tailed. Partial AUC (pAUC) was used to compare only a clinically significant portion of the AUC curves (sensitivity range of 90–100%). Its value summarizes a prespecified range of interest of the ROC curve leaving out regions with low levels of sensitivity or specificity.

Combinations of biomarkers were obtained using PanelomiX,¹⁷ which uses iterative permutationresponse calculations. The cut-off values of each molecule were changed iteratively by 2% increment quantiles. In order to reduce the false negative cases and to never discharge a mTBI patient, after each iteration the specificity (SP) was calculated using a sensitivity (SE) set between 90%–100%.

А maximum number of three biomarkers or clinical parameters in each model were investigated. Cross validation and ROC analysis were used to evaluate the performance of the model. When evaluating a combination of biomarkers, only patients with all tested parameters were included in the analysis. All the patients with missing data were excluded from the panel testing. The index test results were crosstabulated against the results.

Patient and Public Involvement

No patient involved.

Results

The mean age was 49±20 and 52±19 years in patients with TBI and orthopedic controls, respectively. Most patients with TBI were male 135/189 (71%) whereas most orthopedic controls were female 22/40 (55%). mTBI was diagnosed in 108/189 (57%), moTBI in 48/189 (25%) and sTBI in 33/189 (18%) of the patients. Most TBIs resulted from falls. CT was negative (Marshall I) in 77/189 (41%) patients and positive (Marshall II-VI) in 112/189 (59%) patients. Table 1 demonstrates patient characteristics.

 Table 1
 Demographics of the patients with TBI and orthopedic controls including the data for CT positive and CT

negative patients.

	TBI (n=189)	Controls	P-value	TBI CT+ (n=112)	TBI CT- (n=77)	P-value
Age (years)	49 ± 20	52 ± 19	0.351ª	53±20	42±18	P<001 ª
Sex, n (%)						
Male	135 (71.4)	18 (45)	0.431 ^b	86 (76.8)	49 (63.6)	0.049 ^b
Female	54 (28.6)	22 (55)		26 (23.2)	28 (36.4)	
Severity, n (%)						
Mild (GCS 13-15)	108 (57.1)			41 (36.6)	67 (87)	
Moderate (GCS 9-12)	48 (25.4)			42 (37.5)	6 (7.8)	
Severe (GCS 3-8)	33 (17.5)			29 (25.9)	4 (5.2)	
Injury Severity Score ¹⁸ (median [IQR])	13 (16)			17 (16)	6 (11)	P<0.001
Cause of injury, n (%)						
Incidental fall	105 (55.6)			70 (62.5)	35 (45.5)	0.038 ^b
Road traffic crash	55 (29.1)			29 (25.9)	26 (33.8)	
Violence/assault	18 (9.4)			8 (7.1)	10 (13)	
Other non-intentional injury	4 (2.1)			0 (0)	4 (5.2)	
Suicide attempt	2 (1.1)			1 (0.9)	1 (1.3)	
Other	5 (2.6)			4 (3.6)	1 (1.3)	
CT findings (Marshall Grade), n (%)						
No visible pathology	77 (40.7)			0 (0)	77 (100)	
Diffuse injury	37 (19.6)			37 (33)		
Diffuse injury with swelling	6 (3.2)			6 (5.4)		
Diffuse injury with shift	2 (1.1)			2 (1.8)		
Evacuated mass lesions	37 (19.6)			37 (33)		
Unevacuated mass lesions	30 (15.9)			30 (26.8)		
Pupil reactivity, n (%)						
Unreactive	17 (9)			15 (13.4)	2 (2.6)	0.009 ^b
Sluggish	6 (3.2)			5 (4.5)	1 (1.3)	
Reactive	154 (81.5)			82 (73.2)	72 (93.5)	
Missing data	12 (6.3)			10 (8.9)	2 (2.6)	
Total	189			112	77	

^a Student t-test significance; ^b Chi-squared test significance; ^c Mann-Whitney U test significance.

Marshall grade I = CT-negative (no visible pathology), Marshall grade II-VI = CT-positive (pathological findings in CT)

Blood samples were obtained within 24h from admission. Time elapse from injury to blood sampling was 15.6±12.4 hours with patients whose exact time of injury was known (N=84). The exact time of injury was unavailable for 105 patients and 33 controls and was estimated using the best available information. Out of these 40 patients and 21 controls were sampled within 24h and 65 patients and 12 controls more than 24h from the injury. For 7 controls estimation could not be done as no injury time was available at all.

Single biomarkers

The results for single biomarkers for all severities of TBI are reported in Table 2 and Figure 1. For all individual biomarkers, there were significant differences between patients with mild TBI vs moderate TBI and mild TBI vs severe TBI, but no significant difference between patients with moderate TBI vs severe TBI.

 Table 2
 Levels of single biomarkers in patients with mTBI [n(NF-L)=98, n(IL-10, S100B, H-FABP)=104], moTBI [n(NF-L)=46, n(IL-10, S100B, H-FABP)=47] and sTBI [n(NF-L)=31, n(IL-10, S100B, H-FABP)=33].

	Mild	Moderate	Severe	P-value
IL-10 median (IQR)	0.436 (0.25, 0.89)	1.41 (0.67, 5.36)	1.38 (0.62, 4.33)	< 0.0001
HFABP median (IQR)	5.17 (3.78, 10.41)	8.67 (5.47, 21.25)	12.66 (8.37, 46.11)	<0.0001
S100B median (IQR)	78.05 (44.36, 114.39)	168.24 (63.14, 278.95)	184.45 (69.02, 498.87)	<0.0001
NFL median (IQR)	12.35 (7.52, 19.02)	70.95 (49.75, 154.70)	79.4 (41.7, 179)	<0.0001

Orthopedic controls vs mTBI

In orthopedic controls the median S100B (N=39), H-FABP (N=39), NF-L (N=40) and IL-10 (N=39) were 85.1 (IQR 42.4, 137.5) pg/ml, 7.1 (IQR 5.0, 11.1) ng/ml, 10.7 (IQR 6.8, 20.7) pg/ml and 0.51 (IQR 0.27, 0.92) pg/ml, respectively (Figure 1). In an attempt to discharge only control patients without CT scanning, analyses were performed in high SE. As shown in Table 3 none of the biomarkers were able to distinguish patients with mTBI from orthopedic controls, nor were they able to distinguish the patients with mTBI with or without CT findings from the orthopedic controls

(Supplemental Tables 1, 2). Frequencies of the below and above thresholds of measured biomarkers in patients with mTBI and controls are represented in Supplemental Table 3.

Table 3Ability of the individual biomarkers in discriminating between all patients with mTBI (n=94, CT-positive andCT-negative) and orthopedic controls (n=39) with sensitivity set to >90%.

	AUC	pAUC	Threshold	SE (%) (95% CI)	SP (%) (95% CI)	
	(95% CI)	(95% CI)				
H-FABP (ng/ml)	0.592 (0.495-0.688)	0.2 (0.0-0.8)	53.31	98.9 (96.8-100.0)	2.6 (0.0-7.7)	
IL-10 (pg/ml)	0.544 (0.438-0.649)	0.3 (0.0-1.2)	83.70	100.0 (100.0- 100.0)	2.6 (0.0-7.7)	
S100B (pg/ml)	0.527 (0.413-0.642)	0.7 (0.1-1.7)	244.90	94.7 (89.5-98.9)	10.3 (2.6-20.5)	
NF-L (pg/ml)	0.526 (0.416-0.636)	0.4 (0.0-1.4)	4.2	97.9 (94.7-100.0)	2.6 (0.0-7.7)	

When the SE is set to > 90%, the examination area of the ROC curve covers only the range established between 90 to 100% SE. According to that, pAUC values that are displayed in this manuscript moves from 1 to 10%, being 10 a perfect partial ROC curve and 5 a non-relevant discrimination. SE = sensitivity, SP = specificity, Threshold = Biomarker concentration.

Patients with mTBI discharged from the ED had lower levels of IL-10, 0.26 (IQR 0.21, 0.39) pg/ml, H-FABP 4.15 (IQR 2.72, 5.83) ng/ml and NF-L 8.6 (IQR 6.35, 15.98) pg/ml compared to those admitted to neurosurgical ward, IL-10 0.55 (IQR 0.31, 1.42) pg/ml (p<0.001), H-FABP 6.022 (IQR 4.19, 20.72) ng/ml (p<0.001) and NF-L 13.95 (IQR 8.33, 19.93) pg/ml (p=0.018).

The effect of age or gender were studied with all severities of TBI. They did not have any correlation with S100B or IL-10. Levels of H-FABP (Pearson's r=0.300, p=0.002) and NF-L (r=0.315, p=0.002) correlated positively with age only in mTBI. Males had higher levels of NF-L than females, 14.40 (IQR 8.5, 19.95) vs 8.80 (IQR 6.7, 15.75) (p=0.04) also in mTBI only, whereas gender did not have any correlation with H-FABP.

Table 4Demographics of the discharged (n=30) vs admitted (78) patients with mTBI.

	Home	Ward	P-value
Age (years)	39 ± 18	46 ± 19	0.093ª
Sex, n (%)			
Male	16 (53.3)	55 (70.5)	0.092 ^b
Female	14 (46.7)	23 (29.5)	
Injury Severity Score (median [IQR])	3 (4.5)	12 (13)	
No of patients with GCS 13-15			
15	24 (80.0)	53 (67.9)	
14	6 (20.0)	19 (24.4)	
13	0	6 (7.7)	

^a Student t-test significance; ^b Chi-squared test significance.

Combination of biomarkers

PanelomiX was used to assess if combinations of biomarkers could distinguish patients with mTBI from orthopedic controls, or patients with mTBI with or without CT findings from orthopedic controls. When sensitivity was set to >90%, none of the single biomarkers (Table 3, Supplemental Tables 1,2) or their combinations (Table 5) was able to distinguish patients with mTBI (all or those with or without CT findings) from orthopedic controls.

Table 5PanelomiX: Panels of the best biomarker combinations in discriminating patients with mTBI (CT-positive and CT-negative) and orthopedic controls with sensitivityset to > 90% (n(mTBI)=94, n(mTBI, CT-negative)=58, n(mTBI, CT-positive)=36, n(orthopedic controls)=39).

	Number of biomarkers	(pg/ml)	Biomarkers H- FABP(ng/ml) S100B(pg/ml)	(pg/ml)	No of biomarkers needed to be +	Sensitivity(%) (95%Cl)	Specificity(%) (95%Cl)	pAUC (%) (95% CI)	р
mTBI vs controls	3	IL-10 (<0.359)	H-FABP (<4.66)	NF-L (>11.8)	1	90.4 (84.0- 95.7)	33.3 (20.5- 48.7)	1.7 (0.8-3.2)	0.1494
mTBI (CT-) vs controls	3	IL-10 (<0.274)	H-FABP (<4.06)	NF-L (>10)	1	91.4 (82.8- 98.3)	30.8 (17.9- 46.2)	1.8 (0.7-3.5)	0.32993
mTBI (CT+) vs controls	3	IL-10 (<0.269)	S100B (<47.9)	NF-L (>12)	1	91.7 (80.6- 100.0)	33.3 (17.9- 48.7)	2.0 (0.7-3.9)	0.52813

When the SE is set to > 90%, the examination area of the ROC curve covers only the range established between 90 to 100% SE. According to that, pAUC values that are displayed in this manuscript moves from 1 to 10%, being 10 a perfect partial ROC curve and 5 a non-relevant discrimination. CT- = CT-negative, CT+ = CT-positive.

Discussion

All studied biomarkers showed significantly lower levels in patients with mTBI than in cases with moTBI and sTBI. There were no differences in the biomarker levels between the patients with moTBI and sTBI. None of the single biomarkers or biomarker panels were able to distinguish patients with mTBI (all or those with or without CT findings) from the orthopedic controls. The level of IL-10 was significantly higher in patients with mTBI who were admitted to ward than in patients who were discharged.

Significantly higher levels of S100B have been found in CT-positive patients with mTBI than in CTnegative patients with mTBI.¹³ Scandinavian guidelines suggest using S100B in decision-making for a head CT in patients with mTBI¹⁹ and this suggestion has been validated.⁵ We compared S100B in patients with mTBI with or without abnormal CT findings to orthopedic controls and found no significant differences. This was expected and in accordance with other studies²⁰ as S100B is not entirely brain-specific. Another explanation may be the sampling time. S100B should be sampled within 6 hours of injury¹⁹ and the sampling time in most of our TBI patients exceeded 6 hours. Also, our choice of Millipore assay instead of Elecsys may have influenced the results.

Significantly higher levels of H-FABP have been found in CT-positive than in CT-negative patients with mTBI.^{10 13} We did not find any difference between the patients with mTBI with or without CT findings and orthopedic patients. The kinetics of H-FABP seems to be fast²¹ and would require blood sampling within a few hours of the injury, and the sampling time exceeded this in most of our patients. H-FABP however is not brain specific as higher levels of H-FABP are observed in patients with polytrauma compared with patients with isolated TBI.²² This is in line with our finding that H-FABP is also released in orthopedic trauma. The combination of TBI and orthopedic trauma may cause an additive increase in the biomarker level.

Only few studies on serial sampling of NF-L in TBI have been done. The level of NF-L increases slowly, the half-life time is very long and is not yet known properly.²³ This could indicate that NF-L might perform better if blood samples were collected at later time points.

We found that patients who were clinically in better condition and were discharged had lower levels of IL-10 compared to those admitted to a ward. Significantly higher levels of IL-10 have been found in CT-positive than CT-negative patients with mTBI.¹³ There were no difference between the biomarker levels of the mTBI patients with or without abnormal CT findings and the orthopedic controls, suggesting that IL-10 increases also in orthopedic trauma.

Interestingly, in our study the levels of IL-10 in moTBI were higher than in sTBI. The levels of IL-10 seem to increase fast after TBI and stay elevated for several days.¹² The time frame from the accident to the blood sampling varied substantially in our study, causing a possible confounding factor. This may also echo the overlapping features in moTBI and sTBI, although higher levels were only seen with IL-10 in moTBI. According to literature, S100B and H-FABP levels in sTBI seem to increase quickly and the highest levels are seen after 6h.²² Half-life time of S100B is reported to be 2-6 hours in mTBI and about 24 hours in sTBI.²³ Half-life time for H-FABP is not well studied, likely just a few hours.²¹ Half-life time of NF-L seems to be the longest of the studied biomarkers.²³

The finding of higher level of IL-10 in moTBI than in sTBI is somewhat contradictory to the finding of higher level of NF-L in sTBI than in moTBI as the peak time of NF-L appears later. However, the clinical classification of moTBI and sTBI at acute phase can be difficult as they are classified by GCS and CT findings. Estimation of GCS depends on the experience of the clinician, even the definition of moTBI has been under debate and the severity of moTBI can be underestimated. In our study the biomarkers could not distinguish between moTBI and sTBI, supporting the assumption that the severity of TBI diagnosed at acute phase by GCS is artificial and that moderate and severe TBI are close to each other but differ from mTBI. As IL-10 reflects an inflammatory response, it is not self-evident that the response is directly correlated to the degree of TBI itself.

As none of the biomarkers could alone distinguish between the patients with mTBI and the orthopedic controls, PanelomiX, was used to assess if their combination would perform better. The biomarkers represent injuries in different structures of the brain and combining them in a diagnostic panel could provide better precision than any biomarker alone. Biomarker panels have been shown

21

to discriminate CT-negative and CT-positive mTBIs^{10 13} as well as TBIs of all severities.¹⁰ In our previous study,¹⁰ partially consisting of the same study population, the best-performing individual biomarker to discriminate CT-positive patients with mTBI from CT-negative were NF-L, glial fibrillary acidic protein (GFAP) and tau. These same individual biomarkers discriminated CT-positive patients with TBI from CT-negative when all severities were included. The best biomarker panel to discriminate CT-positive patients with mTBI from CT-negative was H-FABP, S100B and tau whereas a combination of GFAP, H-FABP and IL-10 discriminated best CT-positive patients with TBI from CT-negative in the group including all severities.¹⁰ However, in the current study, none of the single biomarkers or their combinations distinguished patients with mTBI from orthopedic controls.

Age did not affect the levels of S100B in our study, which is discordant with another study on patients with mTBI over 65 years of age.²⁴ However, that study used a cutoff point at 65 years, whereas we did not have any specific cutoff point. Neurodegenerative diseases or brain aging per se might have an effect on the results.²⁴ We observed higher levels of H-FABP and NF-L in older patients with mTBI, supporting previous finding that the levels of NF-L are age dependent.²⁵ Age-related cut-offs for elevated levels will probably be needed for some TBI biomarkers. Gender affected only the levels of NF-L with males having higher levels than females in mTBI.

Our study has limitations. The severity assessment of TBI based on GCS is artificial and defined at certain point does not represent the biological seriousness of the trauma well. The time of the accident was not known in all cases causing variable delays to the blood sampling and variability on the levels of biomarkers. However, in clinical reality different delays after the injury will always remain a problem. Admission sample represents only a narrow window on the dynamic pathophysiological processes of TBI. Several samples at standard timepoints would be more informative. Finally, our recruitment logistic favored patients with mTBI admitted to ward and the percentage of patients with mTBI was thus smaller than in many other studies. Therefore, our results are not necessarily applicable to the mildest patients with mTBI who are discharged from the ED, many without a head CT scan.

In conclusion, studied biomarkers showed significantly lower levels in patients with mTBI compared to more severe TBIs, but were not able to distinguish moTBI from sTBI reliably. None of the studied biomarkers or their panels helped in distinguishing patients with mTBI from orthopedic controls or aid in decision making for CT scanning. Our study highlights the need to assess the reliability and usability of different diagnostic biomarkers at various time points and in various patient populations after a TBI.

Acknowledgements

We greatly appreciate the contribution of research nurses Patricia Bertényi and Satu Honkala to this study.

Contributorship statement

PK, JPP and RSKT conceived and designed the current study. JPP, RSKT, AJK, HRM, JT and OT recruited the patients. JPP, RSKT, AJK, MM, IH, HRM, JT, PK and OT collected and curated the data. MM, LA and LL conducted the statistical analyses. HZ, KB and JS supervised the biomarker analyses. PH, DKM, VFN and OT supervised the TBIcare study. PK drafted the manuscript with critical contributions from JPP and RSKT. All authors substantially contributed to the revision of the manuscript.

Funding

This study was a part of the EU-funded TBIcare project (Evidence-based Diagnostic and Treatment Planning Solution for Traumatic Brain Injuries). This work was partially funded by Academy of Finland (Grant #17379, JPP), Finnish Government's Special Financial Transfer tied to academic research in Health Sciences (Grant #11129, JPP). VN is supported by an Academy of Medical Sciences/The Health Foundation Clinician Scientist Fellowship. HZ is a Wallenberg Scholar supported by grants from the Swedish Research Council (#2018-02532), the European Research Council (#681712), Swedish State Support for Clinical Research (#ALFGBG-720931), the Alzheimer Drug Discovery Foundation (ADDF), USA (#201809-2016862), the European Union's Horizon 2020

research and innovation programme under the Marie Skłodowska-Curie grant agreement No 860197 (MIRIADE), the UK Dementia Research Institute at UCL and Centrum för Idrottsforskning (#P2019-0198). KB holds the Torsten Söderberg Professorship in Medicine at the Royal Swedish Academy of Sciences, and is supported by the Swedish Research Council (#2017-00915), the Swedish Alzheimer Foundation (#AF-742881), Hjärnfonden, Sweden (#FO2017-0243), and a grant (#ALFGBG-715986) from the Swedish state under the agreement between the Swedish government and the County Councils, the ALF-agreement.

Competing interests

Dr. Menon reports grants from European Union, during the conduct of the study; grants, personal fees and non-financial support from GlaxoSmithKline, personal fees and non-financial support from Pfizer Ltd, personal fees from NeuroTrauma Sciences, personal fees from Calico Ltd, grants and personal fees from PressuraNeuro Ltd, grants and personal fees from Integra Neurosciences, grants and personal fees from Lantmannen AB, outside the submitted work; Dr. Newcombe reports grants from Grant from Roche, outside the submitted work; Dr. Zetterberg reports that he has served at scientific advisory boards for Denali, Roche Diagnostics, Wave, Samumed, Siemens Healthineers, Pinteon Therapeutics and CogRx, has given lectures in symposia sponsored by Fujirebio, Alzecure and Biogen, and is a co-founder of Brain Biomarker Solutions in Gothenburg AB (BBS), which is a part of the GU Ventures Incubator Program; Dr. Blennow reports that he has served as a consultant, at advisory boards, or at data monitoring committees for Abcam, Axon, Biogen, JOMDD/Shimadzu, Julius Clinical, Lilly, MagQu, Novartis, Roche Diagnostics, and Siemens Healthineers, and is a co-founder of Brain Biomarker Solutions in Gothenburg AB (BBS), which is a part of the GU Ventures Incubator Program; Dr. Blennow reports that he has served as a consultant, at advisory boards, or at data monitoring committees for Abcam, Axon, Biogen, JOMDD/Shimadzu, Julius Clinical, Lilly, MagQu, Novartis, Roche Diagnostics, and Siemens Healthineers, and is a co-founder of Brain Biomarker Solutions in Gothenburg AB (BBS), which is a part of the GU Ventures Incubator Program; All the other authors have nothing to disclose.

Data availability statement

Data are available upon reasonable request. De-identified clinical, imaging, and biochemical data not published within the article can be shared with a qualified investigator by request.

24

Disclosures

Pia Koivikko has no financial disclosures. PK is part of a group holding a patent on Androgen Receptor Modulating Compounds (treatment for non-metastatic castrate-resistant prostate cancer); Jussi P. Posti has no financial disclosures. JPP has received a speaker's fee from Finnish Medical Association: Mehrbod Mohammadian has no financial disclosures; Linnéa Lagerstedt has no financial disclosures; Leire Azurmendi has no financial disclosures; Iftakher Hossain has no financial disclosures; Ari J. Katila has no financial disclosures; David K. Menon has collaborative research or consultancy agreements with GlaxoSmithKline Ltd; Ornim Medical; Shire Medical; Calico Inc; Pfizer Ltd; Pressura Ltd; Glide Pharma Ltd; NeuroTraumaSciences LLC; Lantasman AB; Virginia F. Newcombe has no financial disclosures; Peter J. Hutchinson has no financial disclosures but is supported by the NIHR (Research Professorship, Cambridge BRC, Global Health Research Group on Neurotrauma) and the Royal College of Surgeons of England; Henna-Riikka Maanpää has no financial disclosures; Jussi Tallus has no financial disclosures; Henrik Zetterberg has served at advisory boards for Roche Diagnostics, Wave, Samumed and CogRx, has given lectures in symposia sponsored by Biogen and Alzecure, and is a co-founder of Brain Biomarker Solutions in Gothenburg AB, a GU Ventures-based platform company at the University of Gothenburg; Kaj Blennow has served as a consultant or at advisory boards for Alzheon, Amgen, Axon, BioArctic, Biogen, Eli Lilly, Novartis, and Roche Diagnostics, and is a co-founder of Brain Biomarker Solutions in Gothenburg AB, a GU Ventures-based platform company at the University of Gothenburg; Olli Tenovuo has no financial disclosures; Jean-Charles Sanchez has no financial disclosures; Riikka S.K. Takala has no financial disclosures. RSKT has received speakers' fee from Abbott, Fresenius-Kabi, Orion corporation and UCB, conference funding from Pfizer and Steripolar and is stockholder of Orion.

References

- 1 Zetterberg H, Blennow K. Fluid biomarkers for mild traumatic brain injury and related conditions. *Nat Rev Neurol* 2016;12:563–74.
- 2 Calcagnile O, Anell A, Undén J. The addition of S100B to guidelines for management of mild head injury is potentially cost saving. *BMC Neurol* 2016;16.
- 3 Donato R, Sorci G, Riuzzi F, *et al.* S100B's double life: Intracellular regulator and extracellular signal. *Biochim. Biophys. Acta Mol. Cell Res.* 2009;1793:1008–22.

- 4 Hasselblatt M, Mooren FC, Ahsen Von N, et al. Serum S100β increases in marathon runners reflect extracranial release rather than glial damage. *Neurology*, 2004;62:1634–1636.
- 5 Minkkinen M, Iverson GL, Kotilainen A-K, *et al.* Prospective Validation of the Scandinavian Guidelines for Initial Management of Minimal, Mild, and Moderate Head Injuries in Adults. *J Neurotrauma, 2019;36:2904-2912.*
- 6 Pelsers MMAL, Hanhoff T, Van Der Voort D, *et al.* Brain- and heart-type fatty acid-binding proteins in the brain: Tissue distribution and clinical utility. *Clin Chem* 2004;50:1568–75.
- 7 Lagerstedt L, Egea-Guerrero JJ, Bustamante A, *et al.* H-FABP: A new biomarker to differentiate between CT-positive and CT-negative patients with mild traumatic brain injury. *PLoS One* 2017;12.
- 8 Viswanathan K, Kilcullen N, Morrell C, *et al.* Heart-Type Fatty Acid-Binding Protein Predicts Long-Term Mortality and Re-Infarction in Consecutive Patients With Suspected Acute Coronary Syndrome Who Are Troponin-Negative. *J Am Coll Cardiol* 2010;55:2590–8.
- 9 Zetterberg H, Smith DH, Blennow K. Biomarkers of mild traumatic brain injury in cerebrospinal fluid and blood. *Nat Rev Neurol* 2013;9:201–10.
- 10 Posti JP, Takala RS, Lagerstedt L, *et al.* Correlation of blood biomarkers and biomarker panels with traumatic findings on computed tomography after traumatic brain injury. *J Neurotrauma* 2019;36:2178–89.
- 11 Lagerstedt L, Egea-Guerrero JJ, Rodríguez-Rodríguez A, *et al.* Early measurement of interleukin-10 predicts the absence of CT scan lesions in mild traumatic brain injury. *PLoS One* 2018;13.
- 12 Garcia JM, Stillings SA, Leclerc JL, et al. Role of interleukin-10 in acute brain injuries. Front.

Neurol. 2017;8:244.

- 13 Lagerstedt L, Egea-Guerrero JJ, Bustamante A, *et al.* Combining H-FABP and GFAP increases the capacity to differentiate between CT-positive and CT-negative patients with mild traumatic brain injury. *PLoS One* 2018;13.
- 14 National Institute for Health and Care Excellence. Head injury: assessment and early management. Guidence and Guidelines. NICE Guidelines.
- 15 Marshall LF, Marshall SB, Klauber MR, *et al.* A new classification of head injury based on computerized tomography. *J Neurosurg* 1991;75:S14–20.
- 16 Robin X, Turck N, Hainard A, *et al.* pROC: an open-source package for R and S+ to analyze and compare ROC curves. *BMC Bioinformatics* 2011;12:77.
- 17 Robin X, Turck N, Hainard A, *et al.* PanelomiX: A threshold-based algorithm to create panels of biomarkers. *Transl Proteomics* 2013;1:57–64.
- 18 Baker SP, O'Neill B, Haddon W, *et al.* The injury severity score: a method for describing patients with multiple injuries and evaluating emergency care. *J Trauma* 1974;14:187–96.
- 19 Undén J, Ingebrigtsen T, Romner B. Scandinavian guidelines for initial management of minimal, mild and moderate head injuries in adults: An evidence and consensus-based update. *BMC Med* 2013;11.
- 20 Ohrt-nissen S, Friis-hansen L, Dahl B, *et al.* How does extracerebral trauma affect the clinical value of S100B measurements ? *Emerg Med J* 2011;:2–6.
- 21 Lippi G, Dipalo M, Aloe R, *et al.* Early kinetics of heart-type fatty acid binding protein in patients undergoing dipyridamole stress echocardiography and relationship with high-sensitivity troponin. *Kardiol Pol* 2014;72:527–33.

- 22 Walder B, Robin X, Rebetez MML, *et al.* The Prognostic Significance of the Serum Biomarker Heart-Fatty Acidic Binding Protein in Comparison with S100b in Severe Traumatic Brain Injury. *J Neurotrauma* 2013;30:1631–7.
- 23 Thelin EP, Zeiler FA, Ercole A, *et al.* Serial Sampling of Serum Protein Biomarkers for Monitoring Human Traumatic Brain Injury Dynamics: A Systematic Review. *Front Neurol* 2017;8:300.
- 24 Calcagnile O, Holmén A, Chew M, *et al.* S100B levels are affected by older age but not by alcohol intoxication following mild traumatic brain injury. *Scand J Trauma Resusc Emerg Med* 2013;21:2–7.
- Iverson G, Reddi P, Posti J, et al. Serum Neurofilament Light is Elevated Differentially in Older
 Adults with Uncomplicated Mild Traumatic Brain Injuries. J Neurotrauma 2019;36:2400-6.