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# Peppermint protocol: first results for gas chromatography-ion mobility spectrometry

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Supplementary material for this article is available [online](#)

## Abstract

The *Peppermint Initiative* seeks to inform the standardisation of breath analysis methods. Five *Peppermint Experiments* with gas chromatography-ion mobility spectrometry (GC-IMS), operating in the positive mode with a tritium <sup>3</sup>H 5.68 keV, 370 MBq ionisation source, were undertaken to provide benchmark *Peppermint Washout* data for this technique, to support its use in breath-testing, analysis, and research. Headspace analysis of a peppermint-oil capsule by GC-IMS with on-column injection (0.5 cm<sup>3</sup>) identified 12 IMS responsive compounds, of which the four most abundant were: eucalyptol;  $\beta$ -pinene;  $\alpha$ -pinene; and limonene. Elevated concentrations of these four compounds were identified in exhaled-breath following ingestion of a peppermint-oil capsule. An unidentified compound attributed as a volatile catabolite of peppermint-oil was also observed. The most intense exhaled peppermint-oil component was eucalyptol, which was selected as a peppermint marker for benchmarking GC-IMS. Twenty-five washout experiments monitored levels of exhaled eucalyptol, by GC-IMS with on-column injection (0.5 cm<sup>3</sup>), at  $t = 0$  min, and then at  $t + 60$ ,  $t + 90$ ,  $t + 165$ ,  $t + 285$  and  $t + 360$  min from ingestion of a peppermint capsule resulting in 148 peppermint breath analyses. Additionally, the *Peppermint Washout* data was used to evaluate clinical deployments with a further five washout tests run in clinical settings generating an additional 35 breath samples. Regression analysis yielded an average extrapolated time taken for exhaled eucalyptol levels to return to baseline values to be  $429 \pm 62$  min ( $\pm 95\%$  confidence-interval). The benchmark value was assigned to the lower 95% confidence-interval, 367 min. Further evaluation of the data indicated that the maximum number of volatile organic compounds discernible from a 0.5 cm<sup>3</sup> breath sample was 69, while the use of an in-line biofilter appeared to reduce this to 34.

## 1. Introduction

Volatile organic compounds (VOC) in exhaled breath have been reported as non-invasive indicators

of health since 1970 [1, 2], and many exhaled compounds have been proposed as biomarkers [3–7]. However, the variation in reported values is large and in some cases as high as a factor of 1,000 [8, 9].

This arises from: the variability between, and within, individuals as well as from sampling and analysis methods. Standardisation is a crucial step for further development and translation of breath research into clinical and deeper-research applications. The International Association for Breath Research is addressing this challenge with the *Peppermint Initiative*; an international multi-centre benchmarking study seeking to provide a set of comparative data [10].

The *Peppermint Experiment* establishes a ‘peppermint’ background before inducing a measurable chemical change in the breath of participants with a standardised dose of ingested peppermint-oil, followed by the collection of breath-samples for a further 6 h. A detailed description of the washout experiment and rationale behind the *Peppermint Experiment* is given in an introductory paper [10]. This work presents the first results from running the *Peppermint Experiment* with gas chromatography-ion mobility spectrometry (GC-IMS) systems.

IMS is a gas-phase detection technique which distinguishes compounds based on the differences in ion mobilities in an electric-field under controlled conditions [11]. The technique was originally developed for military and security applications to detect traces of explosives, narcotics and chemical warfare agents [12]. Its applications have since been expanded to encompass use-cases requiring trace-detection of VOC [13–15], as well as an adjunct to mass spectrometry [11]. Coupling IMS with GC significantly increases the dimensionality of the analysis by combining the analytical-selectivity from high-resolution chromatographic separation with the analytical-sensitivity of IMS (limits of detection range from  $0.2 \mu\text{g m}^{-3}$  to  $2 \text{mg m}^{-3}$ , with analyte ionisation chemistries and ionisation sources as important operational factors). GC-IMS has subsequently been used to study breath VOC in lung cancer [8], chronic obstructive pulmonary disease [16], asthma [17] sarcoidosis [18], and recently, COVID-19 [19]. This portable technique is a promising candidate for diagnostic and research applications undertaken at point-of-care and point-of-need settings.

This work seeks to provide GC-IMS benchmark values for the *Peppermint Experiment*.

## 2. Methods

### 2.1. Ethics

This work was undertaken in accordance with the Helsinki Declaration and was approved by: Loughborough University Independent Ethics Committee (Ethics No: G09-P5); Radboud University, Ethics Committee Science (ECS17012); University of British Columbia, Ethics Committee (H19 02114); Southeast Scotland Research Ethics Committee 01 (16/SS/0059); and Oslo Regional Committee for Medical and Health Research Ethics (2016/698/REK North).

Informed written consent was obtained from each participant.

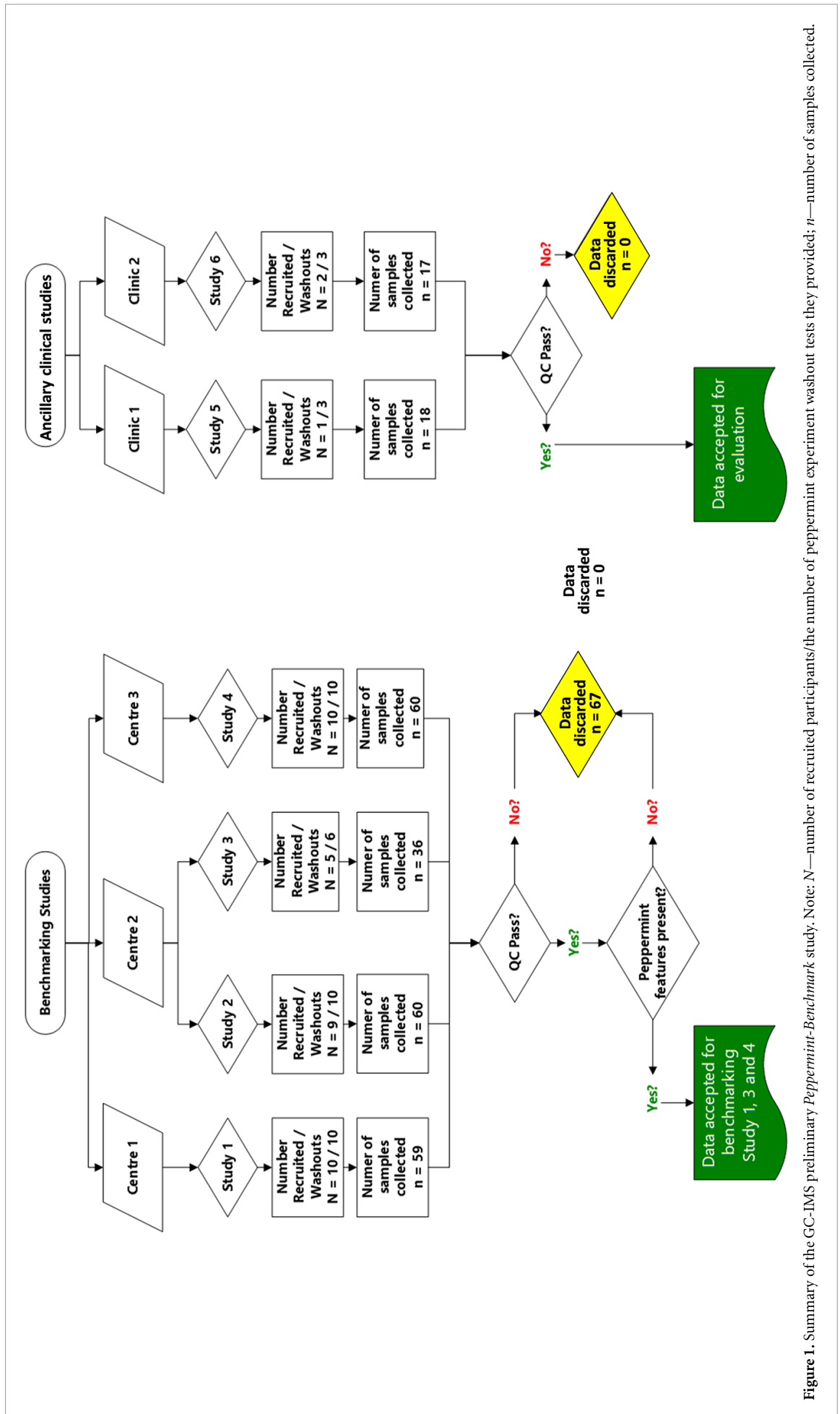
### 2.2. The Peppermint experiment

Three laboratories ran the GC-IMS *Peppermint Experiment* where a reference breath sample was analysed by GC-IMS before ingestion of a peppermint oil capsule (Product no. 10115320, Boots UK Ltd) at  $t = 0$  min, followed by a further five GC-IMS breath measurements at  $t + 60$ ,  $t + 90$ ,  $t + 165$ ,  $t + 285$ , and  $t + 360$  min, [10] (Studies 1–4, figure 1 and table S1 available online at [stacks.iop.org/JBR/16/036004/mmedia](https://stacks.iop.org/JBR/16/036004/mmedia)). Additional peppermint washout data were acquired during proficiency-testing for breath analysis undertaken as part of the H2020 funded project TOXI-triage [20]. In these ancillary clinical studies, a limited group of participants provided peppermint GC-IMS washout data (Studies 5 and 6, figure 1 and table S1) with breath samples collected at  $t = 0$  min, and then at  $t + 60$  min followed by collection at every 30 and/or 60 min intervals, over a period of 5 h.

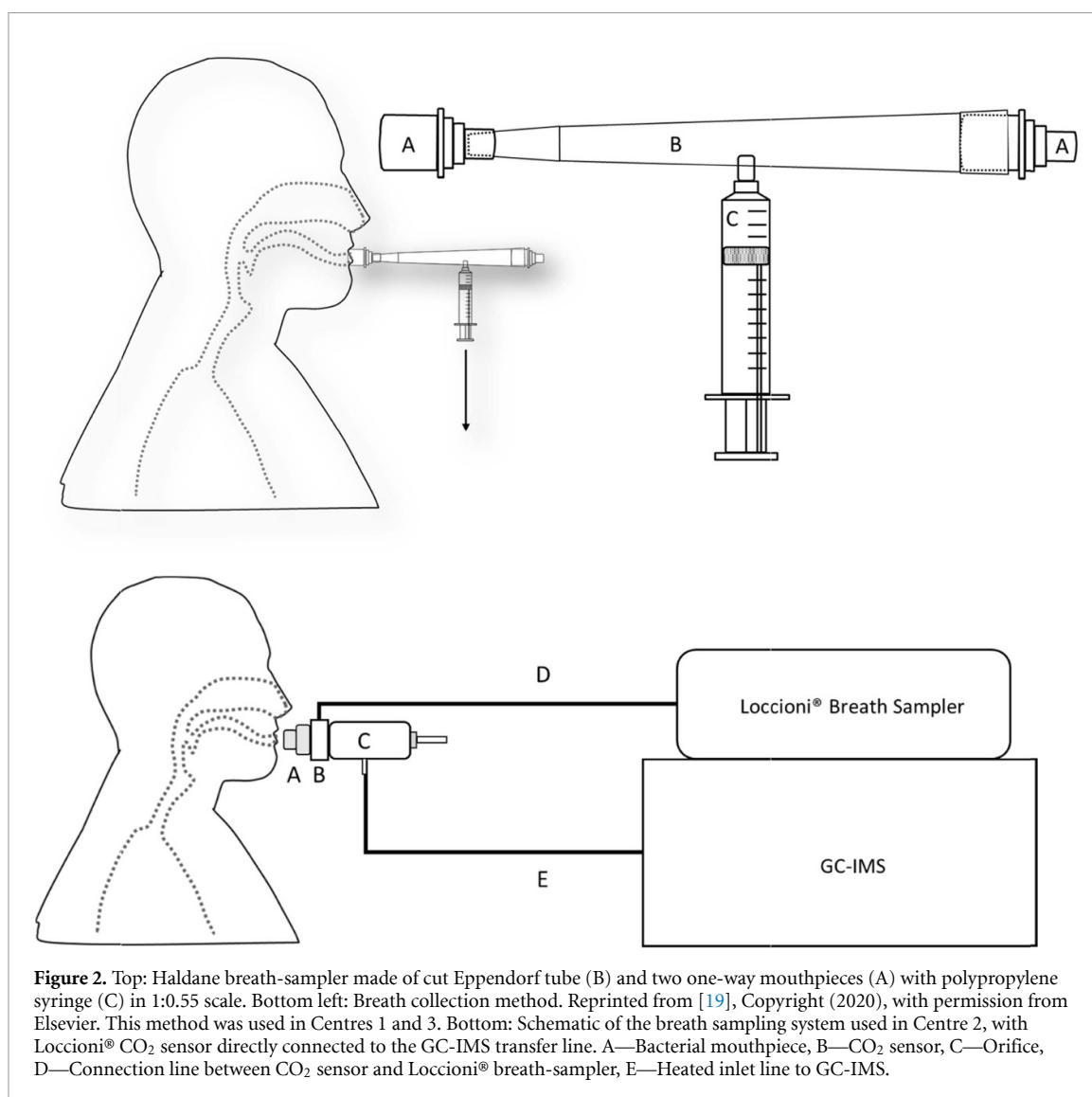
### 2.3. Breath sampling

#### 2.3.1. Method 1 (Studies 1, 4–6)

This method adopted a robust, easy-to-use single use disposable sampler for use in an acute or emergency care setting [19, 20]. A single breath was collected with a disposable Haldane tube breath-sampler (GAS Dortmund), made from two one-way mouthpieces (ACE Instruments) and a  $10 \text{cm}^3$  Eppendorf tube drilled and cut to accept a  $5 \text{cm}^3$  polypropylene syringe tip and fit the mouthpieces (figure 2 Top). Immediately before sampling, the breath-sampler was assembled, fitted with a  $5 \text{cm}^3$  polypropylene syringe (Norm-Ject, DE) and handed to the participant to provide a breath-sample. The participant was coached in how to provide a sample by breathing out slowly through the tube and ‘empty-their-lungs’. At the end of expiration, the plunger of the syringe was withdrawn to collect  $5 \text{cm}^3$  of the end-tidal portion of the exhaled breath. The syringe was immediately removed from the sampler and fitted to a disposable 3-way polypropylene stopcock connected by 4 cm of 1/8" stainless steel transfer line tubing to the injection port of the instrument with a 1/8" stainless steel Swagelok® fitting. The polypropylene stopcock protected the inlet of the GC-IMS from possible atmospheric contaminants and aerosols. It was also possible to fit a luer lock syringe body filled with adsorbent to the third port to prevent possible vapour ingress while enabling the sample inlet pump to continue to run and ventilate the inlet lines with purified air, The inlet port was tubing was fitted into a heated block to prevent cold-spots ( $T_4$  in table S2 and figure S1) Environmental air samples were collected using the same type of syringe and injected into the GC-IMS in the same way.



**Figure 1.** Summary of the GC-IMS preliminary Peppermint-Benchmark study. Note: N—number of recruited participants/the number of peppermint experiment washout tests they provided; n—number of samples collected.



### 2.3.2. Method 2 (Study 2 and 3)

Breath-samples were collected with a commercial Loccioni® CO<sub>2</sub> sensor-controlled unit coupled to a heated line of the GC-IMS (figure 2 Bottom). The Loccioni® sampler provides control over the sampling parameters, such as exhalation flow, and the phase of breath that is sampled. Exhaled CO<sub>2</sub> concentration was monitored whilst the participant maintained a constant exhalation flow. Participants were asked to exhale for a minimum of 20 s at 50 cm<sup>3</sup> s<sup>-1</sup> before starting the analysis program. Monitoring the CO<sub>2</sub> and exhalation flow ensured that only the end tidal portion of the breath was sampled and analysed.

### 2.3.3. Sampling safety precautions

This study was undertaken before the current pandemic outbreak; nevertheless, infection control safety procedures were used to protect participants and researchers. Nitrile gloves were worn when handling samplers and whenever participants were present. The GC-IMS was cleaned and wiped down with a paper towel wetted with distilled water after

each sample was run. The Haldane samplers were single use disposable items (figure 2 Top), disposed accordingly to local procedures for waste management (clinics), or as general laboratory waste for studies undertaken in university laboratories. For the breath collection with in-line sampling (figure 2 Bottom) a new disposable bio-HEPA filter was attached between participant and the Loccioni® sampler for each participant. The filter was disposed through general laboratory waste.

Since the onset of the SARS-CoV-2 pandemic, new guidelines for breath sampling and analysis have been prepared and evaluated, and these have been reported in a separate technical note [21]. Fixed sampling lines are now heated to above 60 °C for more than 20 min between participants [22]. Gas exhausts from GC-IMS systems are now vented through a HEPA bio-filter.

## 2.4. Instrumentation

The BreathSpec GC-IMS breath analyser was used in all studies (GAS Gesellschaft für analytische

Sensorsysteme mbH Dortmund (GAS Dortmund)). The system consists of an IMS coupled to a gas chromatographic column supplied with purified air for the GC and IMS from a circular gas flow unit (CGFU; figure S1) containing a pump (**P**) and gas purification filters (**F1** to **F3**). The IMS was fitted with a tritium ( $^3\text{H}$ ) beta ionisation source, (5.68 keV, 370 MBq) and was operated in the positive mode. The drift gas flow for the IMS was maintained using an electronic pressure control unit (**EPC**<sub>1</sub>). A 30 m long 0.52 mm internal diameter (ID) capillary column with a 1  $\mu\text{m}$  thick trifluoropropylmethylpolysiloxane stationary phase FS-OV-210 was used for Studies 1, 2, 3, 5, and 6, and a 30 m long, 0.53 mm ID and 0.5  $\mu\text{m}$  thickness MXT-WAX (Study 4) were used for chromatographic separations. The carrier gas flow for the column was maintained with a second electronic pressure control unit (**EPC**<sub>2</sub>). Both the IMS and capillary column were heated (**T**<sub>1</sub> and **T**<sub>2</sub>). Injected breath-samples (figure 2: Top) were drawn into a 1  $\text{cm}^3$  heated stainless steel sample loop fitted to a heated 6-port-valve (**V**, **T**<sub>3</sub>) by an internal pump (**P**). Switching the 6-port valve injected the breath-sample onto the column (figure S1) that eluted into the ionisation region of the IMS through a heated transfer line (**T**<sub>5</sub>).

The centres used slightly different methods with some variations in injection-time, injection-volume, chromatographic conditions, gas-flows and temperatures across the GC-IMS systems, and these are summarised in table S2. Chromatographic separation was controlled by carrier-gas pressure programming and the IMS drift gas flow was set to 150  $\text{cm}^3 \text{min}^{-1}$  in all instances. The six port-valve was set to the loading Position A and switched to the injection Position B at the start of the analysis.

## 2.5. Verification and calibration

The GC-IMS response to the peppermint-oil capsule was evaluated by opening the gelatine shell and transferring the peppermint oil to a 20  $\text{cm}^3$  headspace vial that was immediately sealed. The vial was maintained at 39 °C for 20 min before 0.5  $\text{cm}^3$  of headspace was withdrawn and serially diluted 100-fold before injecting 1  $\text{cm}^3$  of the diluted headspace into the GC-IMS. This procedure was undertaken using the Study 1 and 4 methods (table S2).

The GC-IMS sample inlets were modified for injections of test atmosphere standards and peppermint capsule headspace samples by: replacing the breath-sample inlet with a stainless steel injection port fitted with a GC septum enabling the sample loop to be flushed and loaded directly with a gas sample for injection onto the column for the Study 1 set up; directly connecting to a diluted mixture of gas standards (Prepared for this study by the National Physical Laboratory, Teddington, UK.) for the Study 2 and 3 configuration; and, for Study 4, directly

injecting diluted headspace vapour standards into the sampling port of the instrument.

In Study 1 (table S2) eucalyptol,  $\alpha$ -pinene and  $\beta$ -pinene, menthone and menthol were used to make individual gas-standards by injecting 10  $\mu\text{l}$  of each compound into a sealed 20  $\text{cm}^3$  headspace vial maintained at 39 °C in a heated block. After 20 min equilibration, the standard had vapourised and mixed into the vial, and 1  $\text{cm}^3$  of the test-atmosphere was extracted with a headspace syringe followed by serial dilutions with environmental air to produce gas-phase concentrations over the range 20–643  $\mu\text{g m}^{-3}$ . Additionally, a mixture of these standards was produced by mixing 1  $\text{cm}^3$  of each standard vapour into a 20  $\text{cm}^3$  headspace vial.

For Study 3 (table S2, figure S2), a gas cylinder containing a traceable standard mixture of eucalyptol, menthone,  $\alpha$ -pinene, and R-(+)-limonene at 500 ppb (v/v) was prepared by the UK National Physical Laboratory and used to provide a traceable calibration. The gas standard mixture, operating within a flow range between 3.3 and 16.7  $\text{cm}^3 \text{min}^{-1}$ , was diluted with a clean air supply (66.7–80  $\text{cm}^3 \text{min}^{-1}$ ) to generate a range of concentrations from 129  $\mu\text{g m}^{-3}$  to a maximum of 643  $\mu\text{g m}^{-3}$ .

In Study 4 (table S2), permeation sources were made from 8 mm crimp-top chromatography vials fitted with an aluminium crimp seal fitted with a polytetrafluoroethylene (PTFE) septum (Thermo scientific C40086A) containing either eucalyptol,  $\alpha$ -pinene or  $\beta$ -pinene. These were maintained at 20 °C and gravimetrically calibrated for more than 6 weeks (200  $\text{ng min}^{-1}$ ) before use. Static headspace test-atmospheres were generated by placing a permeation tube, or tubes, into a 100  $\text{cm}^3$  airtight glass mixing vessel for 1 min, to produce vapour concentrations of 2  $\text{mg m}^{-3}$ . 1  $\text{cm}^3$  of the resultant permeation tube headspace within the mixing vessel was withdrawn with a 5  $\text{cm}^3$  polypropylene syringe, and then serially diluted to concentrations across the range 30–550  $\mu\text{g m}^{-3}$ . 1  $\text{cm}^3$  of the diluted standard-headspace was injected into the inlet of the instrument and analysed using the Study 4 method.

## 2.6. Quality control and data evaluation

### 2.6.1. Study 1

Statistical process control was used to evaluate and verify instrument performance. Before the start of the *Peppermint Experiment*, nine instrumentation and responses (table S3) were recorded from 20 environmental blank runs collected over 5 d using the Study 1 method (table S2). Table S3 summarises the data collected that were used to evaluate the z-scores used to monitor the GC-IMS performance throughout the *Peppermint Experiment* study (figure S3). Thirty QC runs were performed, and no response

was observed to exceed the  $\pm 3z$  limit. The drift time of the RIP increased to a value of 7.48 ms from 7.34 ms, indicative of an increase in the water concentration in the drift gas over the course of 59 breath-samples; however, this was not statistically significant, and no other changes in the performance of this instrument were observed throughout Study 1. Additionally, breath samples were evaluated against a minimum intensity level for the acetone signal intensity (2.5 V) to evaluate injection/sampling process. All of the samples in the data set 1 passed this evaluation criteria.

### 2.6.2. Study 3

Note that the data from Centre 2's first study (Study 2; ten washouts) were discarded during the retrospective evaluation phase when it became clear that the peppermint oil features were suppressed. The sampling system was reinstalled with an updated sampling method and a further six washouts were collected as Study 3; this time, the expected signals were observed; demonstrating how the *Peppermint Experiment* concept rapidly identified that the analytical system was not operating optimally.

The instrument performance responses in table S3 were evaluated retrospectively using the data from the daily environmental blank runs collected at the beginning, and the end, of each day of analysis over the course of the *Peppermint Experiment*. The results are summarised in table S3 and figure S3, and indicate that no significant change in the instrument was observed over the course of the studies. The level of acetone in the breath samples was also evaluated, and all the samples passed the minimum intensity level criteria.

### 2.6.3. Study 4

Statistical process control and acetone evaluation was used to evaluate the instrument performance and breath sampling over the course of the study; similar to Study 1. Two QC environmental samples were run each day during the campaign and were scored for nine spectral and operational responses. The data are summarised in table S3. All responses were within  $\pm 3z$  limit, and no significant change in the instrument was observed over the course of Study 4. All of the samples passed minimum intensity level for acetone presence in breath, figure S3.

## 2.7. Data processing

Data were visualised using Excel, Matlab and Origin, while commercial and proprietary software (LAV software version 2.2.1; GAS Dortmund) was used to identify, extract and integrate the GC-IMS peak volumes for features of interest. The reactant ion peak (RIP) with known mobility was used as an internal ion mobility standard to compensate for run-to-run instrument variability in drift time measurements. This was accomplished within the LAV software using

a normalisation function defined as the relative drift time,  $t_{Dr}$  [23, 24] equation (1):

$$t_{Dr} = t_D / t_{D_{RIP}}. \quad (1)$$

Eucalyptol washout curves were processed and benchmark washout values were estimated using the method described previously [10].

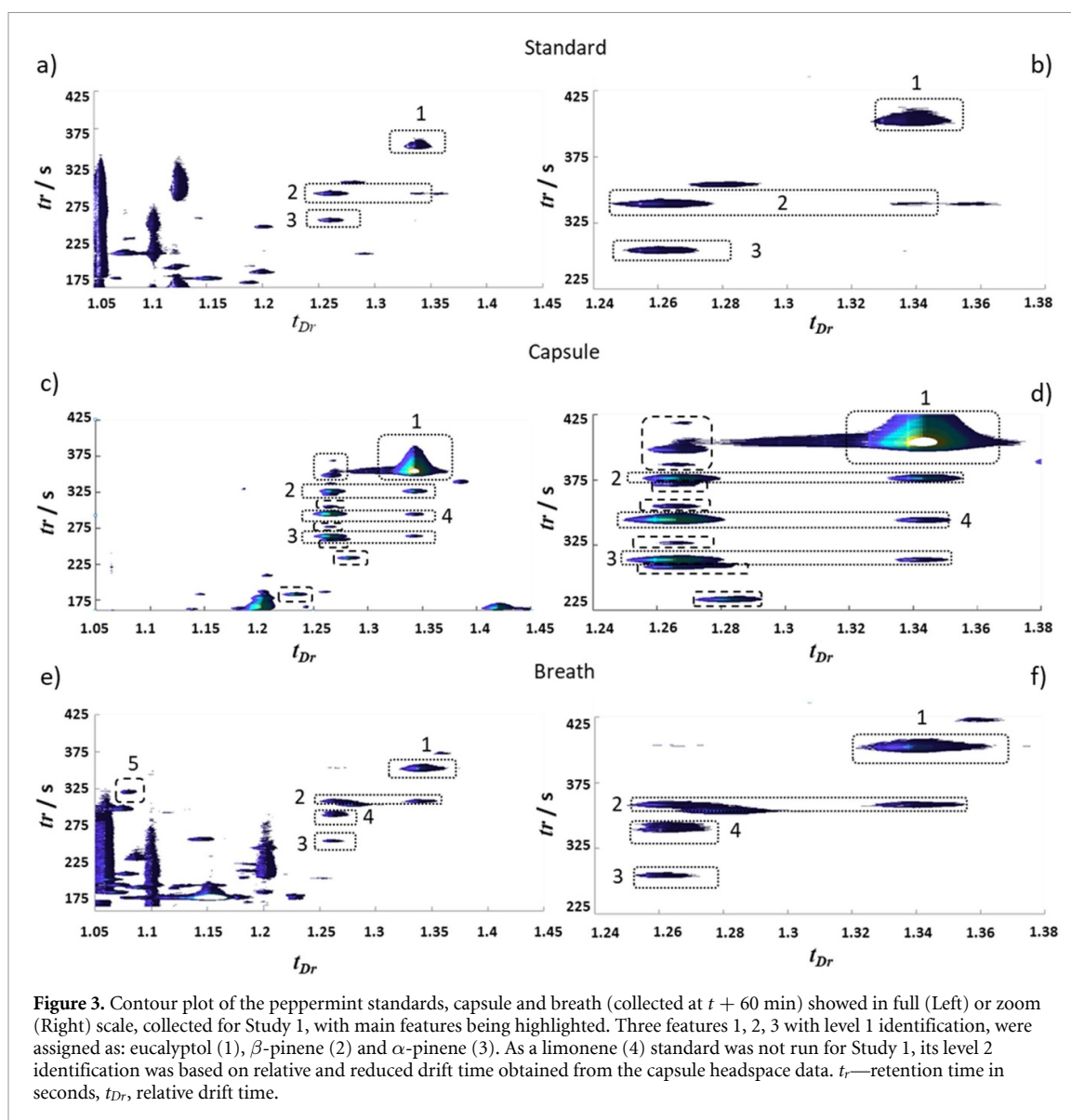
## 3. Results and discussion

### 3.1. Identification of peppermint compounds

The test atmosphere standards verified the assignment of GC-IMS features to peppermint-oil compounds in figure 3 and table 1 that compared responses obtained from gas standards, peppermint oil headspace analysis, and breath-samples. (Menthone and menthol responses were not observed because the chromatographic run time was not long enough to record the elution of these compounds.) This combination of traceable gas standards, prepared by a National Measurement Laboratory, and fieldable methods that may be applied by most laboratories enabled identification and semi-quantification of the VOC of interest. It is helpful to note that the nominal vapour-phase concentrations would have been subject to some wall and adsorption artefacts, and thus the true values may be lower than the values reported. Twelve compounds attributed to peppermint were detected in the headspace analysis of the capsule, and four were identified as: eucalyptol,  $\beta$ -pinene,  $\alpha$ -pinene, and limonene.  $\beta$ -pinene,  $\alpha$ -pinene, and limonene concentrations in the capsule and standards were high enough to produce both monomer and dimer ions. However, in breath, only monomer signals were observed, apart from limonene. Hence, in this work monomer responses are highlighted, with the exception of Limonene where dimer responses are also noted. Note also that monomer and dimer ion assignments are tentative and require mass selected ion mobility characterisation to verify their identity.

Participants exhibited varying peppermint washout profiles. Eucalyptol,  $\beta$ -pinene,  $\alpha$ -pinene, and an additional unassigned feature were observed to increase in exhaled concentration and then washout over the following 6 h. (The unassigned feature was not found in current IMS data bases, and was not observed in analysis of peppermint-oil headspace. It was attributed to a catabolite from the peppermint-oil [25]). At  $t + 60$  min eucalyptol was the highest intensity feature observed with all participants in all studies.  $\beta$ -pinene was observed in eight out of ten participants in Study 1, four out of six participants in Study 3 and in six out of ten participants in Study 4.  $\alpha$ -pinene was detected in seven out of ten, not observed, and in four out of ten participants in Studies 1, 3 and 4 respectively. Limonene monomer and dimer ions were observed sporadically, and definitive washout profiles were not observed in all the studies.





### 3.2. Calibration

Combining eucalyptol monomer and dimer product ion signal volumes provided calibration data, see figure S4 and table 2 for a summary. The limit of detection (LOD) was defined as the  $y$ -axis intercept  $+ 3 \times \sigma$  of  $y$ -axis intercept [26], and at concentrations above  $500 \mu\text{g m}^{-3}$  the calibration responses become non-linear. The IMS used in Study 1 was also calibrated using a peak extraction method [27] and the IMS detector LOD was estimated to be  $33 \text{ fg s}^{-1}$ ; in line with previous studies see figure S5.

### 3.3. Washout profiles

Eucalyptol,  $\beta$ -pinene,  $\alpha$ -pinene, as well as the monomer and dimer ions of limonene were all resolved within the GC-IMS data, figure S6. Figure 4 shows how Eucalyptol washout behaviour varied amongst the participants with the data grouped according to the time at which maximum eucalyptol abundance was observed; that is at either  $t + 60$ ,  $t + 90$  or  $t + 165$  min. The elimination profile

followed the power relationship described previously [10], see equations (2) and (3)

$$I = \beta_0 t^{\beta_1} \quad (2)$$

where,  $I$ : intensity [ $\text{mV}^2$ ];  $t$ : time [h]; and,  $\beta_0$  and  $\beta_1$  are coefficients transformed to a linear form, as follows:

$$\log_{10}(I) = \log_{10}(\beta_0) + \beta_1 \log_{10}(t). \quad (3)$$

In studies 1, 3 and 4, the highest observed exhaled eucalyptol concentration was at  $t + 60$  min for 11 out of 25 participants (44%) with a mean concentration increase of 6.7-fold, varying over the range 1.7-fold to 13.2-fold. Nine out of 25 (36%) participants had a maximum observed exhaled eucalyptol concentration at  $t + 90$  min with an average fold-change of 3.8-fold, ranging from 1.6-fold to 6.0-fold. Five of the 25 participants (20%) had a maximum observed concentration at  $t + 165$  min, with an average fold-change of 2.0-fold. The results agree

**Table 1.** Summary of retention times ( $t_r$ ) and relative drift times ( $t_{Dr}$  relative to reactant ion peak drift time) for compounds identified in the peppermint oil capsule using GC-IMS. Note: NA\*—either not run (Study 1) or not present in the standard mixture (Study 2); M—monomer. D—Dimer; ND—compound not detected; NA\*—not available (standard not run).

Compound	$t_r$ (s)			$t_{Dr}$		
	<i>Std</i>	<i>Cap</i>	<i>Br</i>	<i>Std</i>	<i>Cap</i>	<i>Br</i>
<i>Study 1</i>						
Eucalyptol M	352	355	355	1.348	1.355	1.342
$\beta$ -pinene M	293	294	290	1.264	1.266	1.262
$\alpha$ -pinene M	258	261	254	1.262	1.264	1.262
Limonene M	NA*	323	308	NA*	1.266	1.265
Limonene D	NA*	323	308	NA*	1.354	1.337
Catabolite unassigned			317			1.078
<i>Study 3</i>						
Eucalyptol M	441	439	440	1.328	1.345	1.342
$\beta$ -pinene M	NA*	356	355	NA*	1.221	1.217
$\alpha$ -pinene M	318	316	ND	1.250	1.222	ND
Limonene M	403	401	ND	1.251	1.221	ND
Limonene D	403	401	ND	1.329	1.343	ND
Catabolite unassigned			389			1.049
<i>Study 4</i>						
Eucalyptol M	398	397	396	1.338	1.338	1.340
$\beta$ -pinene M	298	297	295	1.258	1.257	1.259
$\alpha$ -pinene M	243	244	242	1.258	1.257	1.259
Limonene M	NA*	310	311	NA*	1.259	1.261
Limonene D	NA*	310	ND	NA*	1.339	ND

Note. Analysis of the standards (std), peppermint-oil headspace (cap) and breath (Br) were not performed at the same time for Studies 1 and 3. Consequently, batch effects with shifts in drift times and retention times, and normalisation methods may be discerned, although statistical process controls indicated the instruments' parameters were all within limits.

**Table 2.** Summary of GC-IMS eucalyptol air concentration ( $[i]$ ) calibration data from the three centres for the calibration equation.

$$I(\text{mV}^2) = B_0(\text{mV}^2) + B_1(\text{mV}^2 \text{m}^3 \mu\text{g}^{-1}) \times [i] B_1(\mu\text{g m}^{-3})$$

Study	$B_0$ (mV <sup>2</sup> )	$B_1$ (mV <sup>2</sup> m <sup>3</sup> $\mu\text{g}^{-1}$ )	$R^2$	LOD ( $\mu\text{g m}^{-3}$ ); ppb (v/v)	Comment
1	66 ( $\pm 90$ )	5.0 ( $\pm 0.40$ )	0.99	23, 3.7	Static gas standard
3	-32 ( $\pm 280$ )	6.9 ( $\pm 0.90$ )	0.99	61, 9.7	Dynamic test atmosphere
4	-12 ( $\pm 122$ )	2.7 ( $\pm 0.48$ )	0.99	90, 14.3	Permeation tube headspace

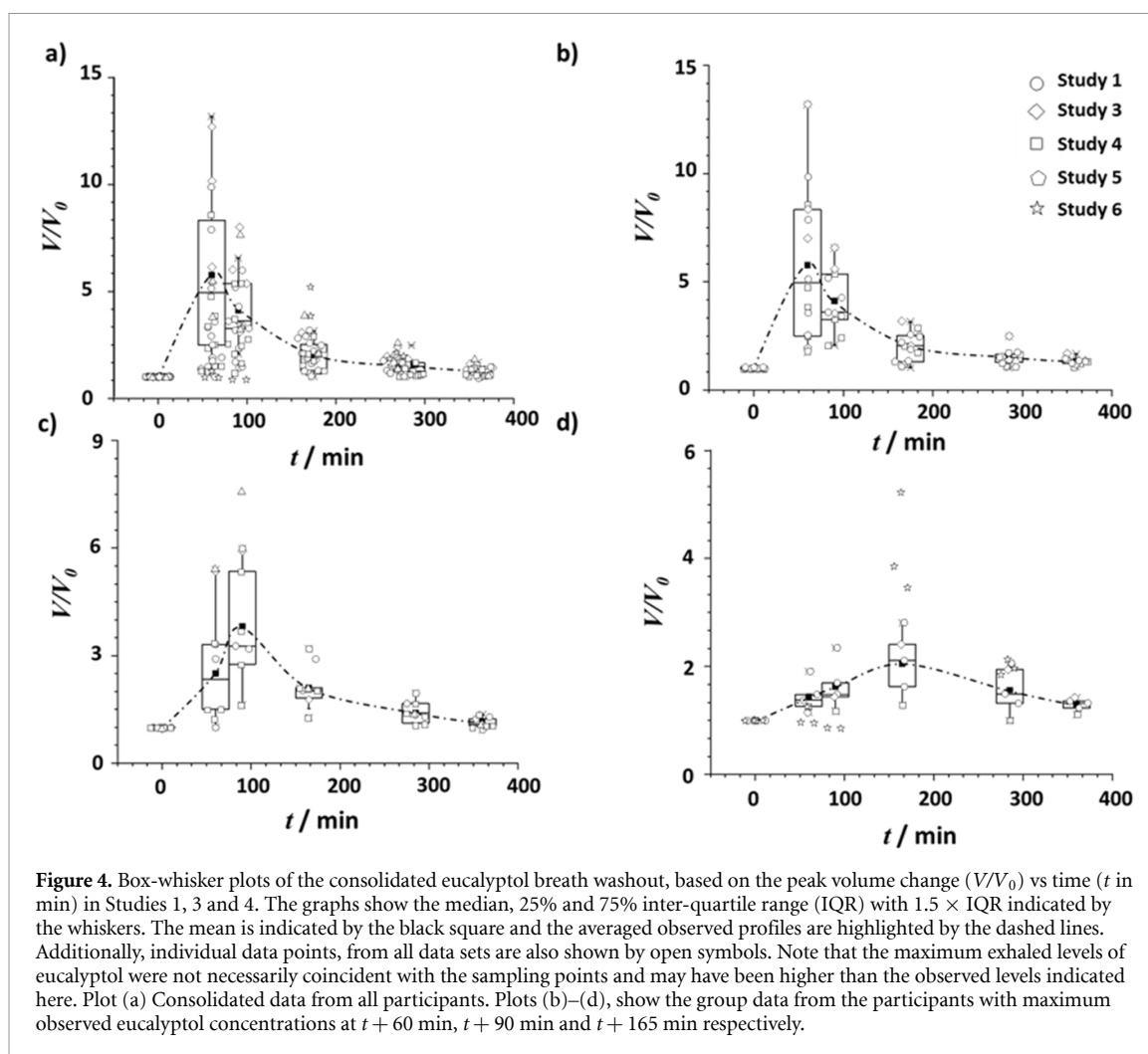
Note. Values in brackets denote 95% confidence intervals, and the limit of detection (LOD) value indicates the upper 95% level for the extrapolated estimate.

with other studies, reporting elimination of the eucalyptol from breath measurements [28–30]. Not all participants had a well-defined washout pattern, with three cases indicating a more complex metabolic pattern such that the maximum observed concentration was not followed by a washout of the form indicated in equation (2).

Three out of six participants from the ancillary studies (Studies 5 and 6) had a maximum exhaled eucalyptol concentration at  $t + 60$  min with an average 2.0-fold increase in exhaled eucalyptol abundance. One of the ancillary participants had a maximum exhaled concentration level at  $t + 90$  min; however, it should be noted that only two out of the six participants had their data collected at  $t + 90$  min.

$\beta$ -pinene,  $\alpha$ -pinene and limonene were present at lower levels with elimination profiles observed for  $\alpha$ -pinene and limonene indicative of more complicated processes than release and elimination [31].  $\beta$ -pinene along with the unassigned catabolite had the same build up and elimination behaviour as that observed for eucalyptol, see figure S7.

Background or baseline concentrations in breath were close to, or below the extrapolated calibration detection limits, with concentrations estimated to be on average 9, 12 and 26  $\mu\text{g m}^{-3}$  for Study 1, 3 and 4 respectively. Average maximum concentrations at  $t + 60$  to  $t + 90$  min were 64, 79 and 60  $\mu\text{g m}^{-3}$  (10.1, 12.5, and 9.5 ppb(v/v)) for Studies 1, 3 and 4 respectively, and correspondingly lower maximum



**Figure 4.** Box-whisker plots of the consolidated eucalyptol breath washout, based on the peak volume change ( $V/V_0$ ) vs time ( $t$  in min) in Studies 1, 3 and 4. The graphs show the median, 25% and 75% inter-quartile range (IQR) with  $1.5 \times$  IQR indicated by the whiskers. The mean is indicated by the black square and the averaged observed profiles are highlighted by the dashed lines. Additionally, individual data points, from all data sets are also shown by open symbols. Note that the maximum exhaled levels of eucalyptol were not necessarily coincident with the sampling points and may have been higher than the observed levels indicated here. Plot (a) Consolidated data from all participants. Plots (b)–(d), show the group data from the participants with maximum observed eucalyptol concentrations at  $t + 60$  min,  $t + 90$  min and  $t + 165$  min respectively.

exhaled levels were observed with participants as their time to maximum eucalyptol concentration increased, see figure 4.

### 3.3.1. Eucalyptol washout time to reach baseline ( $t_0$ ) values—benchmark

Eucalyptol was selected as the peppermint marker for benchmarking analysis for GC-IMS. The lower 95% confidence interval of the  $x$ -axis intercept of the washout function (equation (3)) was proposed as the benchmarking metric by the *Peppermint Initiative* (figure 5). This enabled analytical-sensitivity, as well as sampling and analytical-reproducibility, to be assessed. Data from participants with a maximum exhaled eucalyptol concentration at either 60 min or 90 min were used to produce the model.

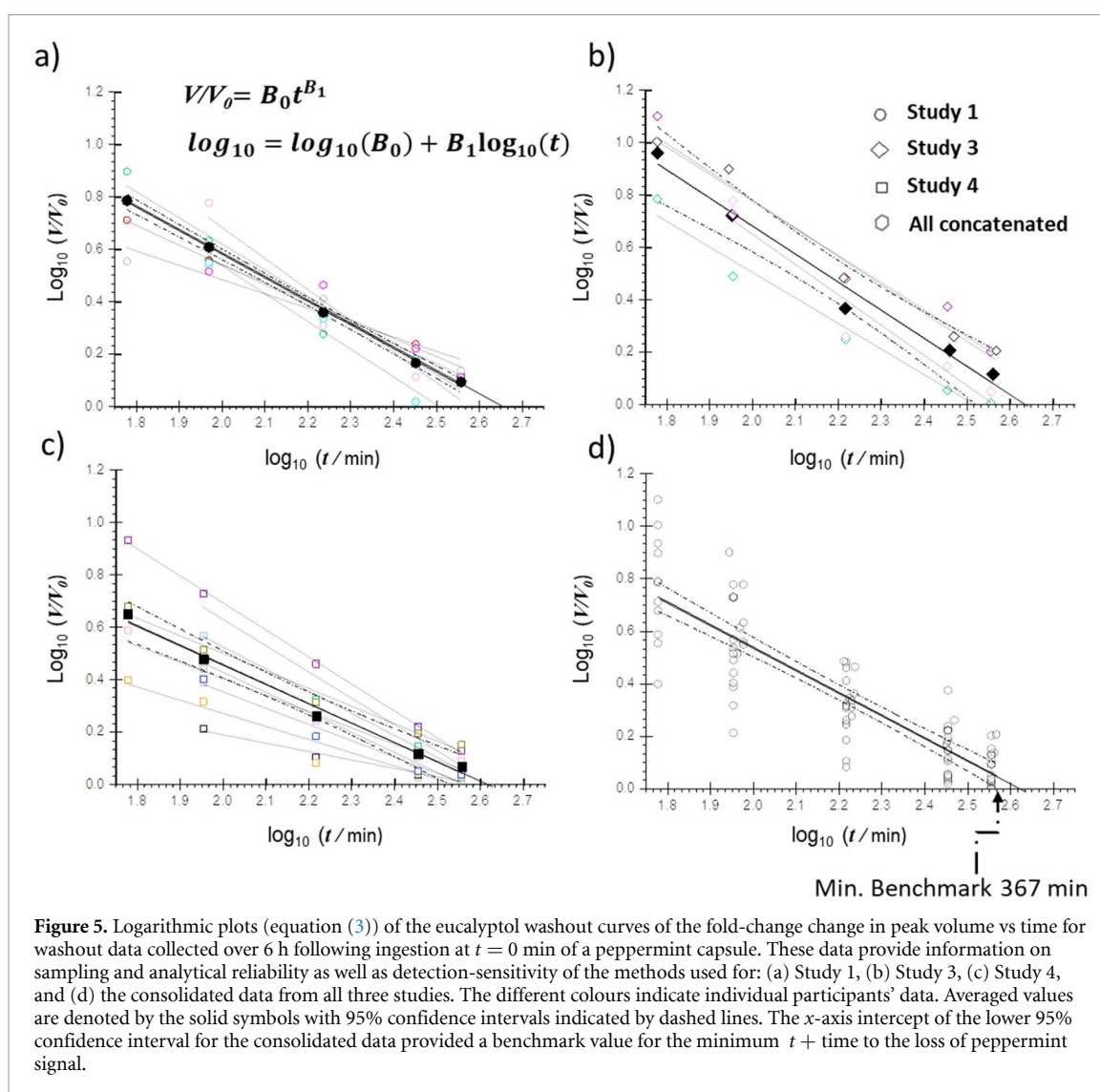
The consolidated benchmark time from Studies 1, 3 and 4 was estimated at  $t + 367$  min, with an average  $x$ -axis intercept of  $t + 422$  min, see table 3.

The ancillary studies (Studies 5 and 6) undertaken at clinical point of care settings enabled the application of this benchmark to be evaluated in operational settings. The average washout time for Study 5 was

250 min, and 390 min for study 6. Figure S8 shows the *Peppermint Experiment* test data obtained during the setup and training phase of a study being undertaken with GC-IMS by a clinical team using GC-IMS for the first time. With the loss of the eucalyptol signal at  $t + 250$  min, and comparison of their data against the benchmark data from this work in accordance with the *Peppermint Methodology* [10], enabled the clinical team to identify enhancements in the test-setup and method; assuring their research before admitting clinical participants into their study.

### 3.3.2. Data fidelity

Slight changes in sampling and instrument operation have the potential to significantly affect the analytical fidelity of the VOC profile obtained from GC-IMS, and the *Peppermint Experiment* may be augmented through comparison of the cumulative frequency and signal intensity distribution of the exhaled VOC features acquired from breath samples. Figure S9 shows average cumulative frequencies from the three studies with the cumulative  $t$  numbers of VOC isolated and characterised plotted against retention time. On



**Table 3.** Summary of regression parameters (equation (3)) obtained from plotting average Eucalyptol logarithmic washout curves of eucalyptol peak volume fold-change vs  $t +$  time for each benchmarking data sets with  $N$  participants, as well as the consolidated fit of three studies.

Study	$\beta_0$	$\beta_1$	$R^2$	$N$	$t +$ time benchmark (range)(min)
1	$2.38 \pm 0.04$	$-0.897 \pm 0.02$	1.00	7	446 (412–482)
3	$2.83 \pm 0.12$	$-1.073 \pm 0.09$	0.98	4	432 (314–596)
4	$1.95 \pm 0.10$	$-0.745 \pm 0.05$	0.99	9	410 (324–518)
Consolidated	$2.26 \pm 0.12$	$-0.863 \pm 0.05$	0.76	20	422 (367–484)

*Note.* Data from delayed elimination profiles (time to observed maximum  $> t + 90$  min) was excluded for there were insufficient data points for reliable regression analysis.

average 69, 34 and 57 exhaled VOC were isolated in Studies 1, 3 and 4, respectively. It should be noted that Laboratory 2 (Study 3) used an inline biofilter (figure 2 Bottom). The peppermint oil components noted previously are indicated by solid features. Five were found within the Study 1 data, two (eucalyptol and  $\beta$ -pinene) were isolated by Study 3, and 4 were isolated with Study 4 (table 1). The distributions of the signal intensity of the VOC features indicates a log

normal distribution across three orders of magnitude with the peppermint oil features highlighted by solid symbols, figure S9.

### 3.3.3. Biological variability and confounding factors

GC-IMS appears to be well suited for point-of-care applications within a clinical environment. It is ideal for hypothesis testing and biomarker verification, and with the right markers will have significant

diagnostic, prognostic and theragnostic capabilities. The low resource burden and speed of analysis means that high frequency sampling and analysis may take place, and the inherent analytical-sensitivity of the technique allows small sample volumes, in this case  $0.5 \text{ cm}^3$  to  $1 \text{ cm}^3$ , to be used for analysis.

It is helpful to note that the atmospheric pressure chemical ionisation processes within the reaction region of the ion mobility spectrometer mean that only compounds with a proton affinity higher than that of the RIP will be detected, which in this study was water ( $\text{PA} = 697 \text{ kJ mol}^{-1}$ ). Further, the charge exchange mechanism for ionisation means that a sample overloaded with contaminants, such as, alcoholic hand sanitizer, will encounter charge sequestration causing the suppression of some signals with similar retention times to the contaminant; referred to as a matrix effect in atmospheric pressure chemical ionisation mass spectrometry. Therefore, care is required when setting up studies and operations with GC-IMS, ideally with clean allocated room for sampling and additional cleaning protocols, minimising presence of solvents/contaminant chemicals in the environment, surfaces, and operator.

It is most important that temperature, pressure, and water levels within the ion mobility spectrometer are monitored and maintained at constant levels for reproducible operation. Statistical process control is essential for longer term studies, and interlaboratory collaborations will require standardisation of quality control and instrument calibration procedures as parts of their standard operating procedures.

Different sampling and operating conditions were used in this benchmarking study and the potential effect on the data from the use of a bio-filter in a sampling line may be discerned in Study 3. This study highlights how changes in configuration of sampling and operation for GC-IMS may be objectively evaluated through a *Peppermint Experiment*. Although superficially simple, polypropylene Haldane tubes are compatible with IMS operations as the potential impurities outgassed from polypropylene have low proton affinities and tend not to be ionised, and thus are not observed with many GC-IMS ionisation sources. Further, the hydrophobic nature of polypropylene suppresses adsorption losses of IMS-active compounds (those with labile protons, and a proton affinity higher than that of water). It is also helpful to note that raising the temperature of the Haldane tube to  $40 \text{ }^\circ\text{C}$  prior to taking a sample suppresses analyte condensation and condensate losses thus increasing the number of compounds recovered; note, however, that this procedure was not adopted in this study.

Finally, physiological and environmental factors such as ethnicity, BMI, age or diet may also influence the metabolic processes of peppermint oil components and their washout behaviour [31]. Although the *Peppermint Experiment* has not been designed

to answer such questions, the data suggests that these factors contribute to the observed variability in peppermint washout profiles; for example, the wider spread of maximum eucalyptol concentration observed in Study 4 was taken from a cohort with the oldest and most ethnically variable participants (table S4).

## 4. Conclusion

One hundred and forty-eight peppermint washout samples were analysed in this work with 35 ancillary tests used to evaluate the results. Combined with the results from peppermint-oil headspace analysis, the results indicated that eucalyptol was the most reliable marker for peppermint-oil washout breath analysis using GC-IMS with the collection method. The responses obtained by all centres provided traceable exponential washout profiles within detectable concentration levels and within a given timescale. Following ingestion, the exhaled concentrations of eucalyptol increased over the range  $24\text{--}153 \mu\text{g m}^{-3}$  with 80% of participants reaching maximum exhaled concentration within  $t + 90 \text{ min}$ . The remaining 20% of participants showed either delayed or complex elimination profiles with lower maximum concentrations suggestive of confounding and unknown factors such food intake and/or the effect of age, sex, race/ethnicity, and body mass. The implication of such phenotypic variability within the *Peppermint Experiment* will be the subject of a future study.

Despite the differences between sampling techniques and fidelity of the data, eucalyptol exhibited stable responses in all studies making it a good candidate for future benchmarking activities. All studies returned similar times to the loss of detection for eucalyptol at elevated levels: 446, 432 and 410 min for Studies 1, 3 and 4 respectively. The minimum benchmark value based on 95% confidence interval obtained from data from all three studies was established to be 367 min. The intra-participant  $R^2$  values for the eucalyptol washout model (equation (3)) were typically greater than 0.95 indicating reproducible sampling and analytical activity. The aggregated data for each study reflected phenotypic variability with  $R^2$  values of 0.9; the incorporation of phenotype variability into the design is helpful for it enables the methodology to be tested against a range of participant types and therefore, not unduly affected by the results from any single individual.

This benchmarking study has shown how a *Peppermint Experiment* may be used with GC-IMS in different operational settings, including clinical deployments, notably with the simplest of breath sampling techniques delivering  $0.5 \text{ cm}^3$  of end-tidal breath to the analyser. Further studies would be helpful in verifying this preliminary benchmark, and additional work is needed to fully describe the effect

of bio-filters in sampling lines, as well as the biological variation observed, with specific reference to food intake, diet and other factors such as age, sex, race/ethnicity.

### Data availability statement

The data that support the findings of this study are available upon reasonable request from the authors.

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Figure 2 Top was reprinted from *EclinicalMedicine*, 29–30, Ruskiewicz D, Sanders D, O'Brien R, Hempel F, Reed M, Riepe A, Baillie K, Brodrick E, Darnley K, Ellerkmann R, Mueller O, Skarysz A, Truss M, Wortelmann T, Yordanov S, Thomas C L P, Schaaf B, Eddleston M, Diagnosis of COVID-19 by analysis of breath with gas chromatography-ion mobility spectrometry—a feasibility study, 100609, 2020 with permission from Elsevier.

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