

# An implicit nodal integration based PFEM for soil flow problems

Xue Zhang<sup>1</sup>, Jingjing Meng<sup>2,3\*</sup>, Shengyang Yuan<sup>3</sup>

1. Department of Civil Engineering and Industrial Design, University of Liverpool, Liverpool, United Kingdom

2. Department of Civil, Environmental and Natural Resources Engineering, Luleå University of Technology, Luleå, Sweden

3. MOE Key Laboratory of High-speed Railway Engineering, Southwest Jiaotong University, China

## 1 Abstract

2 An implicit Nodal integration based Particle Finite Element Method (N-PFEM) is developed to model  
3 soil flow problems. The governing equations are discretised by an implicit time integration scheme,  
4 while the spatial integration is conducted over cells, rather than finite elements, using a nodal  
5 integration scheme. Compared with the conventional PFEM, the developed N-PFEM requires no  
6 variable information transferring from old to new integration points when modelling large  
7 deformation problems. Additionally, the nature of implicit time integration makes the method  
8 particularly suitable for handling soil dynamic problems of low to medium frequency which are most  
9 likely scenarios in geotechnical engineering. The verification of the proposed method is achieved by  
10 reproducing two lab testings.

11  
12 **Keywords:** PFEM, Soil flow, Large deformation, Nodal integration

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## 15 **1. Introduction**

16

17 Extensive attempts have been made to tackle soil flow problems in the past decades because the large  
18 soil deformation cannot be resolved by the traditional Lagrangian Finite Element Method (FEM).  
19 Typical numerical approaches developed for this purpose can be categorized into discrete methods,  
20 such as the Discrete Element Method (DEM) (Cundall and Strack 1979) which treats soils as an  
21 assembly of rigid grains, and continuum approaches. The DEM has been implemented in both explicit  
22 (Cundall and Strack 1979, Ciantia, Arroyo et al. 2015) and implicit (Zhou, Chu et al. 2016, Meng,  
23 Cao et al. 2019) manners and succeeded not only in handling large soil deformations (Huang, da Silva  
24 et al. 2013, Lu, Tang et al. 2014, Meng, Huang et al. 2017, Kermani and Qiu 2020) but also in  
25 micromechanical investigations of geomaterials (Ciantia, Arroyo et al. 2015, Zhou, Chu et al. 2016,  
26 Jiang, Zhang et al. 2019, Zhu and Zhao 2021), despite its drawback in heavy computational demands  
27 and parameter calibrations. Continuum approaches developed include, but are not limited to, the pure  
28 mesh-based method (e.g. the FEM with remeshing techniques (Tian, Cassidy et al. 2014), the  
29 Arbitrary Lagrangian Eulerian Method (Nazem, Sheng et al. 2008, Tolooiyan and Gavin 2011), and  
30 the Coupled Eulerian Lagrangian Method (Qiu, Henke et al. 2011, Dey, Hawlader et al. 2015)), the  
31 pure particle approaches (e.g. the Smoothed Particle Hydrodynamics (Bui, Fukagawa et al. 2011,  
32 Kermani and Qiu 2020, Trujillo-Vela, Galindo-Torres et al. 2020, Yang, Bui et al. 2020)) and the  
33 hybrid methods (e.g. the Material Point Method (Soga, Alonso et al. 2016, Wang, Vardon et al. 2018,  
34 Tran and Sołowski 2019) and the Particle Finite Element Method (Zhang, Krabbenhoft et al. 2013,  
35 Dávalos, Cante et al. 2015, Monforte, Arroyo et al. 2017, Zhang, Oñate et al. 2019)).

36

37 As a hybrid method, the Particle Finite Element Method (PFEM) (Oñate, Celigueta et al. 2011,  
38 Cremonesi, Franci et al. 2020) uses particles to represent configurations but solve the governing

39 equations by the FEM. Thus it inherits the advantages of particle approaches for handling large  
40 deformation and the solid mathematical foundations of the FEM. Originated in fluid mechanics for  
41 fluid-structure interactions (Oñate, Idelsohn et al. 2004), the PFEM has been extended for  
42 geotechnical problems such as granular flows (Cante, Dávalos et al. 2014, Zhang, Krabbenhoft et al.  
43 2014, Dávalos, Cante et al. 2015, Zhang, Ding et al. 2016, Jin, Yuan et al. 2020), soil-structure  
44 interaction problems (Oñate, Celigueta et al. 2011, Zhang, Krabbenhoft et al. 2013, Zhang, Sheng et  
45 al. 2015, Monforte, Arroyo et al. 2017, Monforte, Arroyo et al. 2018, Sabetamal, Carter et al. 2021),  
46 consolidation problems (Yuan, Zhang et al. 2019), subaerial and submarine landslides (Zhang,  
47 Krabbenhoft et al. 2014, Salazar, Irazábal et al. 2016, Cremonesi, Ferri et al. 2017, Zhang, Oñate et  
48 al. 2019, Zhang, Wang et al. 2019, Mulligan, Franci et al. 2020, Yuan, Liu et al. 2020, Jin, Yin et al.  
49 2021), debris flows (Franci and Zhang 2018), etc. Despite its advantages in modelling large  
50 deformation problems, a drawback of the conventional PFEM for modelling geotechnical problems is  
51 the requirement of variable mapping from old to new integration points after mesh generation (Zhang,  
52 Krabbenhoft et al. 2013, Monforte, Arroyo et al. 2017). This operation is essential in the conventional  
53 PFEM when handling soils which are history-dependent materials. The presence of low-quality  
54 meshes regardless of the re-construction of meshes based on particles is another drawback of the  
55 PFEM. This is because large deformations disturb the spatial distribution of particles that meshes  
56 constructed based on these particles may have small angles and edges.

57

58 In this paper, an implicit Nodal integration based PFEM (N-PFEM) is developed to simulate  
59 geotechnical problems with soil flows. The developed N-PFEM is an extension of the PFEM in  
60 (Zhang, Krabbenhoft et al. 2013, Zhang, Oñate et al. 2019) with difference in the integral over cells  
61 rather than elements. The nodal integration enables the use of three-node triangular elements without  
62 volumetric locking issue and eliminates the requirement of variable mapping from integration points.  
63 Due to the implicit nature, the developed N-PFEM is more suitable to simulate geotechnical problems

64 in quasi-static processes (e.g. cone penetration tests and foundation consolidations) or of dynamics  
65 with low to medium frequency (e.g. soil responses in earthquake-induced landslides and rainfall-  
66 induced debris flow) that are the most likely scenarios in practice. Last but not least, as the FE  
67 formulation in the N-PFEM is developed using the generalized Helinger-Reissner (HR) variational  
68 principle, the solutions are resolved with second-order cone programming (Zhang, Oñate et al. 2019).  
69 It possesses numerous advantages such as the convergence properties, straightforward treatment of  
70 contacts and singularities in yield criterion, etc., as indicated in (Zhang, Krabbenhoft et al. 2013,  
71 Zhang, Oñate et al. 2019). To show its correctness and robustness, the proposed N-PFEM is adopted  
72 to simulate problems in both quasi-static and dynamic processes.

73

## 74 **2. Nodal integration based Particle Finite Element Method (N-PFEM)**

### 75 *2.1 Min-max problem*

76 A Nodal integration based finite element method in Second Order Cone Programming (SOCP) is first  
77 developed in this section. According to (Zhang, Oñate et al. 2019), the time discretised governing  
78 equations for dynamic analysis of elastoplastic models with volume  $\Omega$  and boundary  $\Gamma$  are  
79 equivalent to the following min-max problem

$$\begin{aligned}
& \min_{\Delta \mathbf{u}} \max_{(\boldsymbol{\sigma}, \mathbf{r})_{n+1}} \int_{\Omega} \boldsymbol{\sigma}_{n+1}^T \nabla^T (\Delta \mathbf{u}) d\Omega + \int_{\Omega} \frac{1-\theta_1}{\theta_1} \boldsymbol{\sigma}_n^T \nabla^T (\Delta \mathbf{u}) d\Omega - \int_{\Omega} \tilde{\mathbf{b}}^T \Delta \mathbf{u} d\Omega - \int_{\Gamma_t} \tilde{\mathbf{t}}^T \Delta \mathbf{u} d\Gamma \\
& - \frac{1}{2} \int_{\Omega} \Delta \boldsymbol{\sigma}^T C \Delta \boldsymbol{\sigma} d\Omega - \frac{1}{2} \int_{\Omega} \mathbf{r}_{n+1}^T \frac{\Delta t^2}{\tilde{\rho}} \mathbf{r}_{n+1} d\Omega + \int_{\Omega} \mathbf{r}_{n+1}^T \Delta \mathbf{u} d\Omega \quad (1) \\
& \text{subject to} \quad F(\boldsymbol{\sigma}_{n+1}) \leq 0
\end{aligned}$$

81 where  $\Delta \mathbf{u}$  is the displacement increment,  $\boldsymbol{\sigma}$  is the stress,  $\mathbf{r}$  is the dynamic force,  $C$  is the elastic  
82 compliance matrix,  $F$  is the yield function,  $\tilde{\mathbf{b}} = \frac{1}{\theta_1} \mathbf{b} + \tilde{\rho} \frac{\mathbf{v}_n}{\Delta t}$  and  $\tilde{\mathbf{t}} = \frac{1}{\theta_1} \bar{\mathbf{t}}$  are known variables where  
83  $\mathbf{b}$  is the unit weight,  $\mathbf{v}_n$  is the velocity at  $t_n$ ,  $\bar{\mathbf{t}}$  is the prescribed traction on the boundary and  $\tilde{\rho} = \frac{\rho}{\theta_1 \theta_2}$   
84 with  $\rho$  being the density. Subscripts  $n+1$  and  $n$  refer to the corresponding states at  $t_{n+1}$  and  $t_n$ .  $\theta_1$  and  
85  $\theta_2$  are the time integration parameters for the standard  $\theta$ -method, taking values in  $[0, 1]$  and  $\nabla$  is the  
86 linear strain-displacement differential operator. The time integration scheme is unconditionally stable  
87 provided that  $\theta_1 \geq \frac{1}{2}$  and  $\theta_2 \geq \frac{1}{2}$  (Zhang, Krabbenhoft et al. 2013) while it coincides with the  
88 backward Euler scheme if  $\theta_1 = \theta_2 = 1$  (Wang, Zhang et al. 2021).

89

90 For Mohr-Coulomb model, the yield criterion is

$$91 \quad F = \sqrt{(\sigma_{xx} - \sigma_{yy})^2 + 4\sigma_{xy}^2} + (\sigma_{xx} + \sigma_{yy}) \sin \phi - 2c \cos \phi \quad (2)$$

92 where  $\phi$  is the friction angle and  $c$  is the cohesion. When plastic flow is non-associated with a  
93 dilation angle of  $\psi$ , the yield criterion is then approximated by

$$94 \quad F \approx F^* = \sqrt{(\sigma_{xx} - \sigma_{yy})^2 + 4\sigma_{xy}^2} + (\sigma_{xx} + \sigma_{yy}) \sin \psi - 2\tilde{c} \cos \psi \quad (3)$$

95 according to (Zhang, Sheng et al. 2016) where  $\tilde{c}$  is

$$96 \quad \tilde{c} = c \frac{\cos \phi}{\cos \psi} + \frac{1}{2} \left( \tan \psi - \frac{\sin \phi}{\cos \psi} \right) (\sigma_{xx} + \sigma_{yy})_0 \quad (4)$$

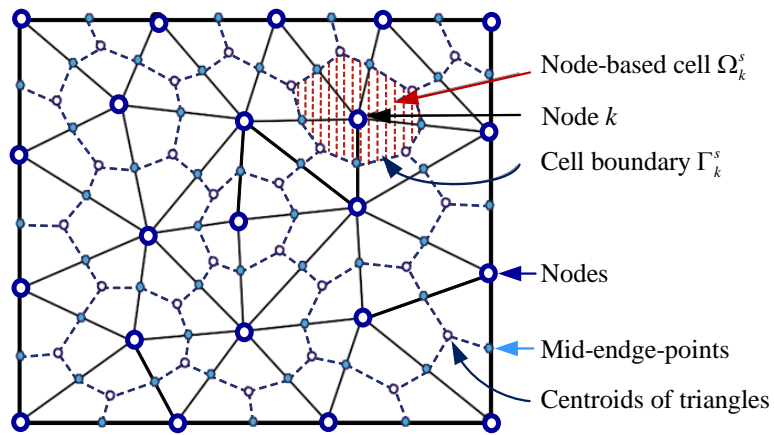
97 with subscript 0 referring to the current, known state which means  $\tilde{c}$  is a known constant updated at  
 98 the end of each time step.

99

100 *2.2 Spatial discretisation*

101 The min-max problem (1) is then discretised in space using three node triangular elements and node-  
 102 based cells, for instance  $\Omega_k^s$ , are constructed by connecting the centroid of each triangle to the  
 103 corresponding three mid-edge points (Figure 1).

104



105

106 *Figure 1 Node-based cells (also called smoothing domains) based on triangle mesh (after (Meng,*  
 107 *Zhang et al. 2020)).*

108

109 The displacement  $\mathbf{u}$  and dynamic force  $\mathbf{r}$  are approximated over the three node triangular element

110 
$$\mathbf{u} \approx \mathbf{N}_u \hat{\mathbf{u}} \tag{5}$$

111 
$$\mathbf{r} \approx \mathbf{N}_r \hat{\mathbf{r}} \tag{6}$$

112 where  $\hat{\mathbf{u}}$  and  $\hat{\mathbf{r}}$  are vectors consisting of displacements and dynamic forces at mesh nodes, and  $\mathbf{N}_u$   
 113 and  $\mathbf{N}_r$  are matrices of shape functions. The strain over finite element is

$$114 \quad \boldsymbol{\varepsilon} \approx \nabla(\mathbf{N}_u \hat{\mathbf{u}}) = \mathbf{B}_u \hat{\mathbf{u}} \quad \text{with} \quad \mathbf{B}_u = \nabla \mathbf{N}_u \quad (7)$$

115 For each cell, we assume both the stress and strain are uniform. The strain at each cell is then estimated  
 116 as a weighted average of the strain at all the one-third elements adjacent to the node

$$117 \quad \bar{\boldsymbol{\varepsilon}}_k = \frac{1}{A_k^c} \sum_{i=1}^{N_s} \frac{1}{3} A_i^e \boldsymbol{\varepsilon}_i^e = \bar{\mathbf{B}}_k \hat{\mathbf{u}}_i^e \quad \text{with} \quad \bar{\mathbf{B}}_k = \frac{1}{A_k^c} \sum_{i=1}^{N_s} \frac{1}{3} A_i^e \mathbf{B}_i^e \quad (8)$$

118 where  $i$  is the element number and  $A_i^e$ ,  $\boldsymbol{\varepsilon}_i^e$ ,  $\mathbf{B}_i^e$  and  $\hat{\mathbf{u}}_i^e$  are the area, the strain, the strain gradient matrix  
 119 and the displacement of the  $i$ th triangular element, respectively;  $N_s$  is the total number of elements

120 adjacent to the  $k$ th node; and  $A_k^c = \sum_{i=1}^{N_s} \frac{1}{3} A_i^e$  is the area of the  $k$ th cell  $\Omega_k^c$ . For simplicity, the strain

121 over a cell is written as

$$122 \quad \boldsymbol{\varepsilon} = \nabla \mathbf{u} = \bar{\mathbf{B}} \hat{\mathbf{u}} \quad (9)$$

123 The stress over the cell is expressed as

$$124 \quad \boldsymbol{\sigma} \approx \mathbf{N}_\sigma \bar{\boldsymbol{\sigma}} \quad (10)$$

125 where  $\bar{\boldsymbol{\sigma}}$  is the vector of stress components at the node of the cell; and  $\mathbf{N}_\sigma$  is in fact an identity matrix.

126 Substituting Eqs. (5), (6), (9) and (10) into the min-max problem (1) leads to

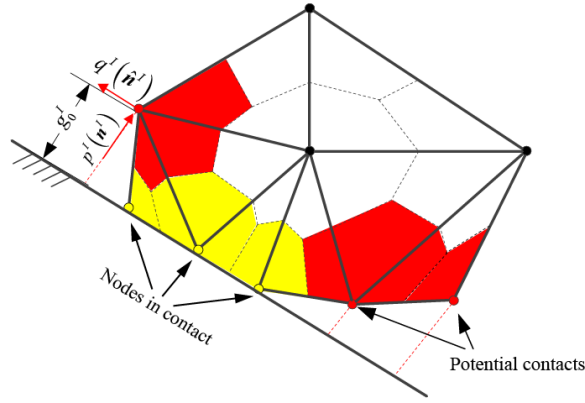
$$127 \quad \begin{aligned} & \min_{\Delta \hat{\mathbf{u}}} \max_{(\hat{\boldsymbol{\sigma}}, \hat{\mathbf{r}})_{n+1}} \Delta \hat{\mathbf{u}}^T \mathbf{B}^T \bar{\boldsymbol{\sigma}}_{n+1} - \frac{1}{2} \Delta \bar{\boldsymbol{\sigma}}_{n+1}^T \mathbf{C} \Delta \bar{\boldsymbol{\sigma}}_{n+1} - \frac{1}{2} \Delta t^2 \hat{\mathbf{r}}_{n+1}^T \mathbf{D}_r \hat{\mathbf{r}}_{n+1} + \Delta \hat{\mathbf{u}}_{n+1}^T \mathbf{A}^T \hat{\mathbf{r}}_{n+1} - \Delta \hat{\mathbf{u}}^T \tilde{\mathbf{f}} \\ & \text{subject to} \quad F(\hat{\boldsymbol{\sigma}}_{n+1}) \leq 0 \end{aligned} \quad (11)$$

128 where

$$\begin{aligned}
 \underline{\mathbf{B}}^T &= \int_{\Omega} (\bar{\mathbf{B}})^T \mathbf{N}_{\sigma} d\Omega, & \underline{\mathbf{C}} &= \int_{\Omega} \mathbf{N}_{\sigma}^T \mathbf{C} \mathbf{N}_{\sigma} d\Omega, \\
 \underline{\mathbf{D}}_r &= \int_{\Omega} (\mathbf{N}_u)^T \hat{\rho}^{-1} \mathbf{N}_u d\Omega, & \mathbf{A}^T &= \int_{\Omega} (\mathbf{N}_u)^T \mathbf{N}_u d\Omega, \\
 \tilde{\mathbf{f}} &= \int_{\Omega} \mathbf{N}_u^T \tilde{\mathbf{b}} d\Omega + \int_{\Gamma} \mathbf{N}_u^T \tilde{\mathbf{t}} \Gamma - \frac{1-\theta_1}{\theta_1} \mathbf{B}^T \bar{\boldsymbol{\sigma}}_n
 \end{aligned}
 \tag{12}$$

130 Remarkably, the underlined terms in Eq. (12) are related to stresses and strains and integrated over  
 131 cells using the nodal integration scheme whereas the rests are integrated over finite elements using the  
 132 Gauss integration scheme.

133



134

135 *Figure 2. The boundary condition for a deformable body.*

136

137 The contact between a deformable body and a rigid boundary can be handled as in the elastoplastic  
 138 static cases (Meng, Zhang et al. 2020). The non-penetration conditions for a potential contact node,  
 139 marked as  $I$ , are (see also Figure 2)

140

$$\begin{aligned}
 g^I &= g_0^I + (\Delta \hat{\mathbf{u}}^I)^T \mathbf{n}^I \geq 0 \\
 p^I g^I &= 0
 \end{aligned}
 \tag{13}$$



141 where  $\Delta\hat{\mathbf{u}}^I$  is the displacement increments of the node,  $\mathbf{n}^I$  is the outward normal vector of the boundary,  
 142  $p^I$  is the contact force,  $g_0^I$  is the initial gap and  $g^I$  is the gap at the next step.

143

144 After enforcing the non-penetration conditions, the problem (11) is extended to

$$\begin{aligned}
 & \min_{\Delta\hat{\mathbf{u}}} \max_{(\hat{\boldsymbol{\sigma}}, \hat{\mathbf{r}})_{n+1}, \mathbf{p}, \mathbf{q}} \Delta\hat{\mathbf{u}}^T \mathbf{B}^T \bar{\boldsymbol{\sigma}}_{n+1} - \frac{1}{2} \Delta\bar{\boldsymbol{\sigma}}_{n+1}^T \mathbf{C} \Delta\bar{\boldsymbol{\sigma}}_{n+1} - \frac{1}{2} \Delta t^2 \hat{\mathbf{r}}_{n+1}^T \mathbf{D}_r \hat{\mathbf{r}}_{n+1} + \Delta\hat{\mathbf{u}}_{n+1}^T \mathbf{A}^T \hat{\mathbf{r}}_{n+1} - \Delta\hat{\mathbf{u}}^T \tilde{\mathbf{f}} \\
 & - \Delta\hat{\mathbf{u}}^T (\mathbf{n}\mathbf{p} + \hat{\mathbf{n}}\mathbf{q}) - \sum_{I=1}^{N_b} g_0^I p^I \tag{14} \\
 & \text{subject to} \quad F(\hat{\boldsymbol{\sigma}}_{n+1}) \leq 0 \\
 & \quad \quad \quad F_b(\mathbf{p}, \mathbf{q}) \leq 0
 \end{aligned}$$

146 where  $N_b$  is the total number of nodes in potential boundary contact; the normal and tangential vectors  
 147 of the boundaries are collected in  $\mathbf{n}$  and  $\hat{\mathbf{n}}$ ; contact forces in the normal and tangential directions are  
 148 organized into vectors  $\mathbf{p}$  and  $\mathbf{q}$ , respectively, and  $F_b(\mathbf{p}, \mathbf{q}) \leq 0$  is the cohesive-frictional contact  
 149 condition as in (Meng, Zhang et al. 2020).

150

151 The minimization problem in (14) can be resolved analytically leading to the following maximization  
 152 problem

$$\begin{aligned}
 & \max_{(\hat{\boldsymbol{\sigma}}, \hat{\mathbf{r}})_{n+1}, \mathbf{p}, \mathbf{q}} -\frac{1}{2} \Delta\bar{\boldsymbol{\sigma}}_{n+1}^T \mathbf{C} \Delta\bar{\boldsymbol{\sigma}}_{n+1} - \frac{1}{2} \Delta t^2 \hat{\mathbf{r}}_{n+1}^T \mathbf{D}_r \hat{\mathbf{r}}_{n+1} - \sum_{I=1}^{N_b} g_0^I p^I \\
 & \text{subject to} \quad \mathbf{B}^T \bar{\boldsymbol{\sigma}}_{n+1} + \mathbf{A}^T \hat{\mathbf{r}}_{n+1} - \tilde{\mathbf{f}} - (\mathbf{n}\mathbf{p} + \hat{\mathbf{n}}\mathbf{q}) = \mathbf{0} \tag{15} \\
 & \quad \quad \quad F(\hat{\boldsymbol{\sigma}}_{n+1}) \leq 0 \\
 & \quad \quad \quad F_b(\mathbf{p}, \mathbf{q}) \leq 0
 \end{aligned}$$

154 which apparently is equivalent to

155

$$\begin{aligned}
& \min_{\bar{\sigma}_{n+1}, \tilde{r}_{n+1}, \mathbf{p}, \mathbf{q}} \quad \frac{1}{2} \Delta \bar{\sigma}_{n+1}^T \mathbf{C} \Delta \bar{\sigma}_{n+1} + \frac{1}{2} \Delta t^2 \tilde{r}_{n+1}^T \mathbf{D}_r \tilde{r}_{n+1} + \sum_{l=1}^{N_b} g_0^l p^l \\
& \text{subject to } \mathbf{B}^T \bar{\sigma}_{n+1} + \mathbf{A}^T \tilde{r}_{n+1} = \tilde{\mathbf{f}} + n\mathbf{p} + \hat{n}\mathbf{q} \\
& \quad F(\bar{\sigma}_{n+1}) \leq 0 \\
& \quad F_b(\mathbf{p}, \mathbf{q}) \leq 0
\end{aligned} \tag{16}$$

156

157 Following (Zhang, Oñate et al. 2019), optimisation problem (16) can be reformulated as a standard

158 SOCP problem

$$\begin{aligned}
& \min_{\bar{\sigma}_{n+1}, \tilde{r}_{n+1}, \mathbf{p}, \mathbf{q}} \quad \dot{X} + \dot{I} + \sum_{l=1}^{N_b} g_0^l p^l \\
& \text{subject to } \mathbf{B}^T \bar{\sigma}_{n+1} + \mathbf{A}^T \tilde{r}_{n+1} = \tilde{\mathbf{f}} + n\mathbf{p} + \hat{n}\mathbf{q} \\
& \quad \xi_{\bar{\sigma}} = \mathbf{C}^{\frac{1}{2}} \Delta \bar{\sigma}_{n+1}, \dot{Y} = 1, (\dot{X}, \dot{Y}, \xi_{\bar{\sigma}}) \in \mathcal{K}_r \\
& \quad \mathcal{K}_r = \left\{ (\dot{X}, \dot{Y}, \xi_{\bar{\sigma}}) \in \mathbb{R}^{m+2} \mid 2\dot{X}\dot{Y} \geq \xi_{\bar{\sigma}}^T \xi_{\bar{\sigma}}, \dot{X} \geq 0, \dot{Y} \geq 0 \right\} \\
& \quad \xi_{\tilde{r}} = \Delta t \mathbf{D}_r^{\frac{1}{2}} \tilde{r}_{n+1}, \dot{J} = 1, (\dot{I}, \dot{J}, \xi_{\tilde{r}}) \in \mathcal{K}_r \\
& \quad \mathcal{K}_r = \left\{ (\dot{I}, \dot{J}, \xi_{\tilde{r}}) \in \mathbb{R}^{m+2} \mid 2\dot{I}\dot{J} \geq \xi_{\tilde{r}}^T \xi_{\tilde{r}}, \dot{I} \geq 0, \dot{J} \geq 0 \right\} \\
& \quad F(\bar{\sigma}_{n+1}) \leq 0 \\
& \quad F_b(\mathbf{p}, \mathbf{q}) \leq 0
\end{aligned} \tag{17}$$

159

160 in which the quadratic terms in the objective function are replaced by two auxiliary variables  $\dot{X}$  and

161  $\dot{I}$  with additionally constraints (e.g. the boxed terms). The minimization problem (17) can be solved

162 using the interior-point method.

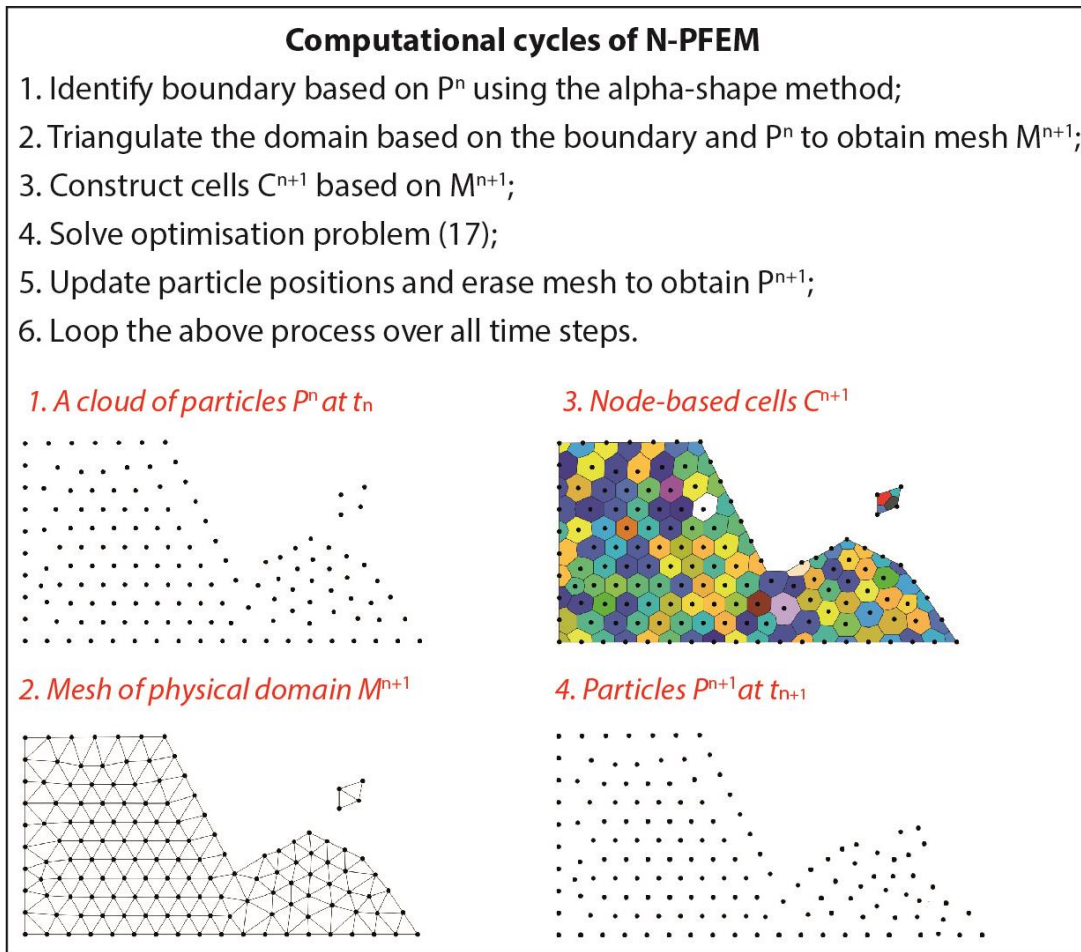
### 163 2.3 N-PFEM

164 Formulation (17) can be implemented in the standard PFEM framework to form N-PFEM for

165 modelling soil flow problems. Since the terms relevant to stresses and strains (see also Eq. (12)) are

166 integrated on cells rather than finite elements, variable mapping from old Gauss points to new Gauss

167 points is not necessitated even handling history-dependent materials. A typical computational cycle  
168 of the N-PFEM modelling is detailed in Figure 3 for reference.



169

170

171

172 Remarkably, the recently developed Smoothed PFEM (Zhang, Yuan et al. 2018, Yuan, Wang et al.  
173 2019) also employs the nodal integration scheme to avoid variable mapping, its explicit nature makes  
174 the simulation very time-consuming. On the contrary, the implicit N-PFEM developed in this study is  
175 more suitable for geotechnical problems which are commonly quasi-static or low- to medium-  
176 frequency dynamic. It also enjoys some unique advantages when modelling nonlinear problems as  
177 indicated in the introduction and (Zhang, Krabbenhoft et al. 2013, Zhang, Oñate et al. 2019).

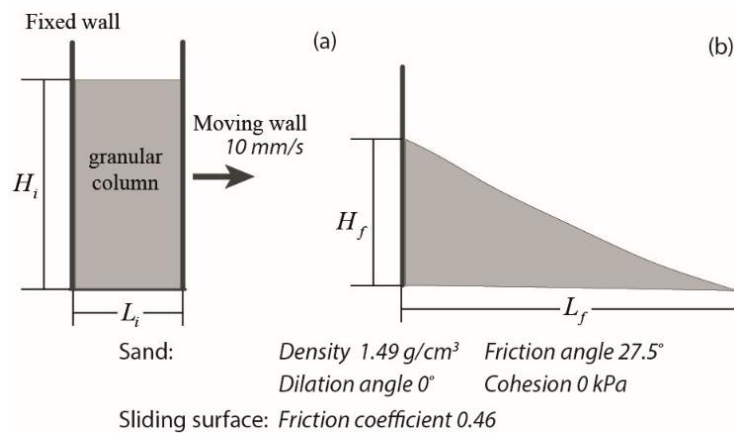
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### 179 3. Numerical Examples

180 Two laboratory tests are reproduced using the proposed implicit N-PFEM to demonstrate its capability  
181 in modelling soil flow problems in this section.

#### 182 3.1 Quasi-static granular column collapse

183 The N-PFEM is used to simulate the experiment of the quasi-static collapse of granular columns  
184 reported in (Mériaux 2006). The model setup is shown in *Figure 4*. Thanks to the implicit nature of  
185 the proposed method, a large time step (from 0.001 s to 0.1 s) which is adaptive to the maximum speed  
186 of granular flow are used in the simulation.



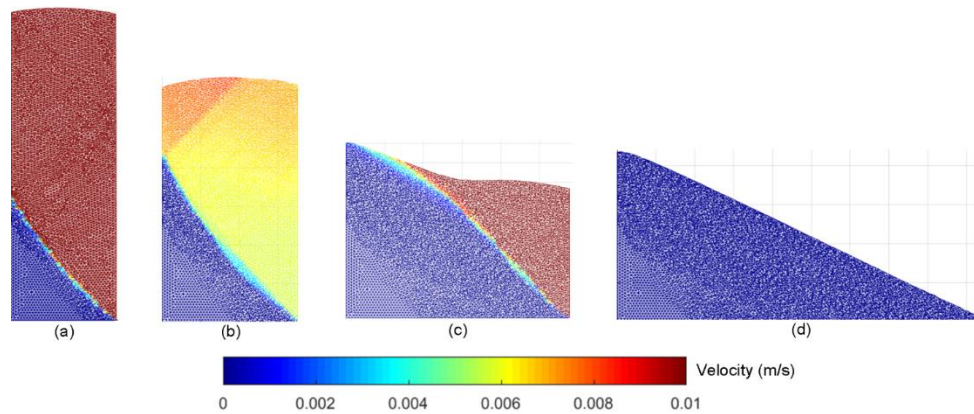
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188 *Figure 4 Schematic representation of the quasi-static fall of granular columns: (a) initial*  
189 *configuration and (b) final deposit*

190

191 The fall of a granular column with aspect ratio  $A = H_i/L_i = 5.5$  is first simulated with the N-PFEM as  
192 illustrated in *Figure 5*. The heights of the left and right sides of the column in the collapse process are  
193 measured and compared with the experimental results and available DEM results (Owen, Cleary et al.

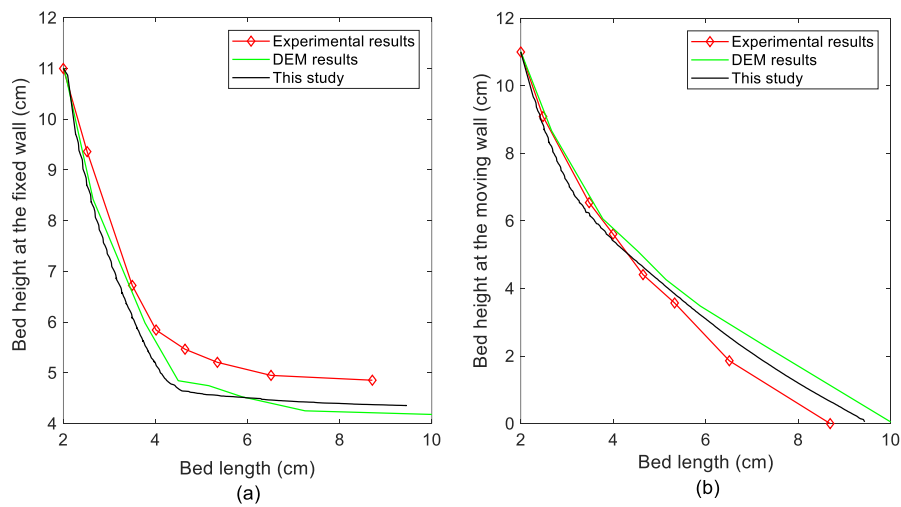
194 2009). As shown in *Figure 6*, a good agreement between numerical and experimental results has  
 195 achieved which verifies the proposed approach for analysing granular flow.



196

197 *Figure 5 Evolution of granular column with time for  $A=5.5$ : (a)  $t/T = 0.1$ , (b)  $t/T = 0.2$ , (c)  $t/T = 0.5$*   
 198 *and (d)  $t/T = 1.0$ , where  $t$  is simulation time and  $T=7.6$  s is total time required in the simulation.*

199



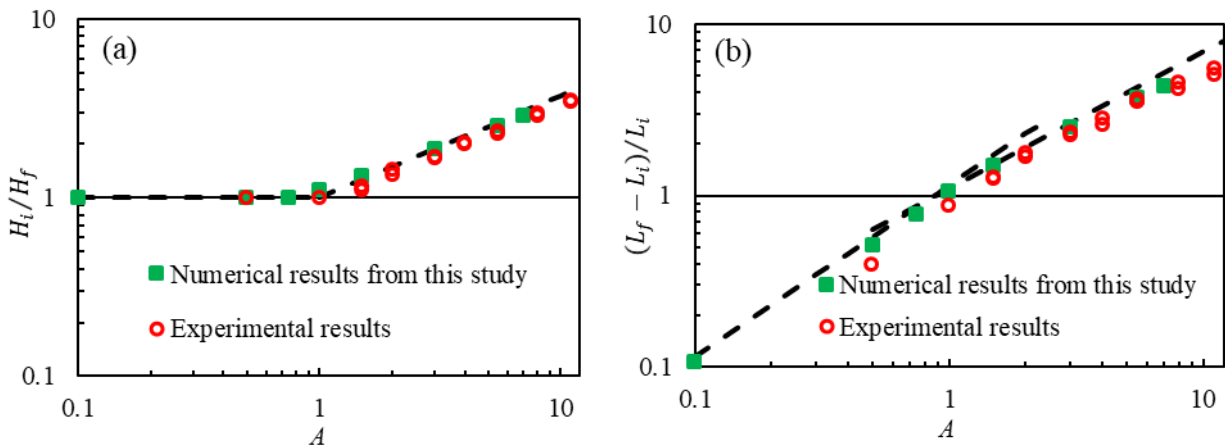
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201 *Figure 6 Evolution of bed height of the falling granular column as a function of bed length for*  
 202  *$A=5.5$ : (a) bed height at the fixed wall and (b) bed height at the moving wall.*

203

204 Furthermore, a series of numerical tests are conducted with a varied  $A$  ranging from 0.1 to 7.  
 205 Simulations results from the N-PFEM and the experimental data from (Mériaux 2006) are shown in  
 206 *Figure 7*. Clearly, the numerical results agree well with experimental observations, both indicating  
 207 power-law relationships.

208



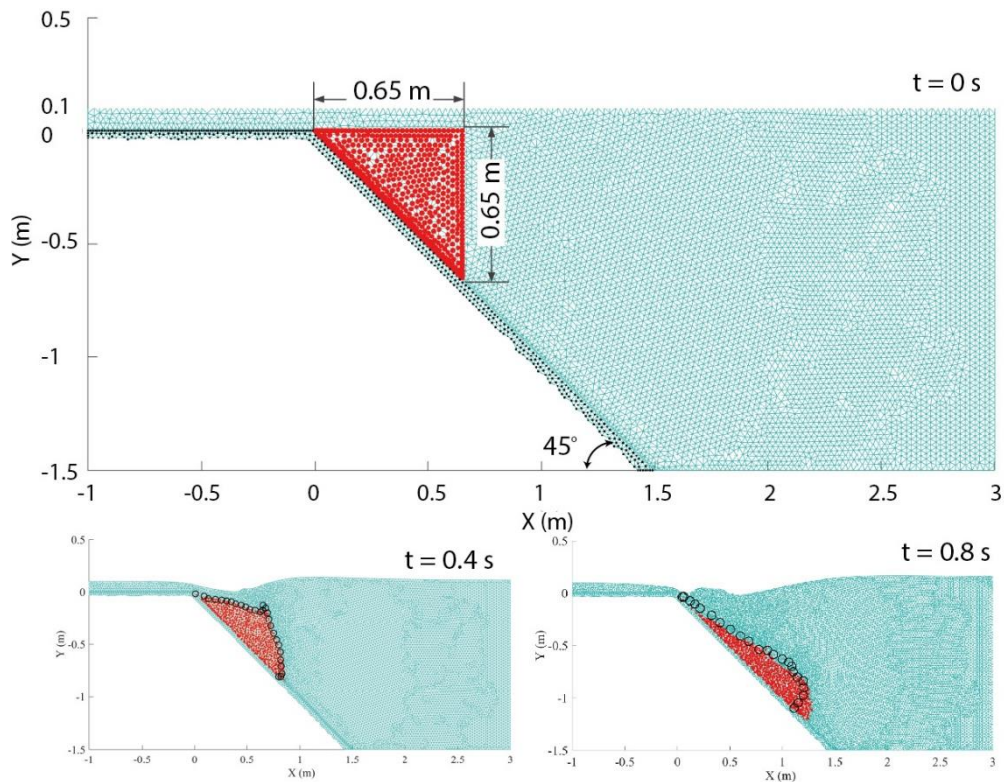
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210 *Figure 7* Deposition profile: (a) normalised final height and (b) length against the aspect ratio  $A$ .

211

### 212 3.2 Underwater granular flow

213 In this example, the multiphase flow problem is modelled with results compared with experimental  
 214 and numerical results documented in (Rzadkiewicz, Mariotti et al. 1997). The setup is shown in *Figure*  
 215 8. The material parameters of the saturated sand and water are in line with these in the simulation in  
 216 (Rzadkiewicz, Mariotti et al. 1997). Sliding saturated sands are of density  $1985 \text{ kg/m}^3$  and shear  
 217 strength  $200 \text{ Pa}$ . The density of water is  $1000 \text{ kg/m}^3$  and viscous effects are neglected. The mesh size  
 218 in the simulation is  $0.02 \text{ m}$  and the time step is  $2 \times 10^{-3} \text{ s}$ .



219

220 *Figure 8 Evolution of underwater granular flows from N-PFEM simulations. Circles are simulation*  
 221 *results from (Rzadkiewicz, Mariotti et al. 1997).*

222

223 The snapshots of shapes of the sliding sand and the induced water surface at time instances of 0.4 s  
 224 and 0.8 s are shown in *Figure 8* which agree well with these from (Rzadkiewicz, Mariotti et al. 1997).  
 225 More detailed comparisons on the free water surfaces between the numerical simulations and  
 226 laboratory tests are shown in *Figure 9* where a satisfactory agreement is achieved. Furthermore, our  
 227 simulation was continued until reaching  $t=1.2$  s. *Figure 10* shows that sand behaves as a fluid as it  
 228 flows downwards. Sliding sand is separated into several parts surrounded by water (*Figure 10 (a)*)  
 229 and turbulence is observed in the sliding front (*Figure 10 (b)*).

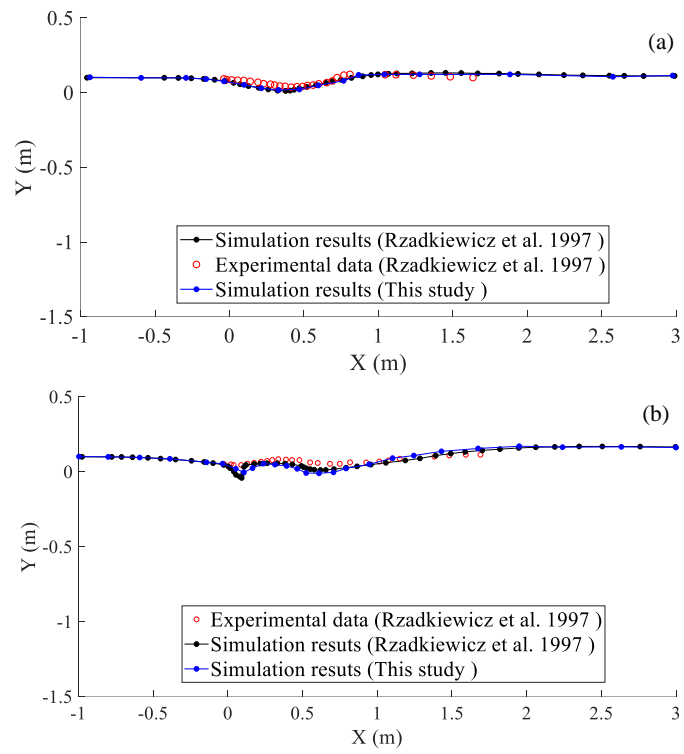
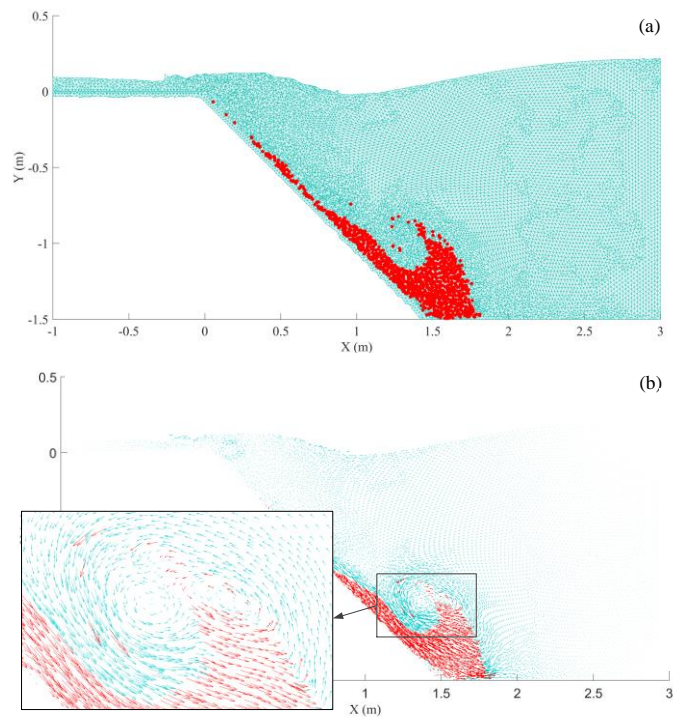


Figure 9 Water surfaces at times (a)  $t = 0.4$  s and (b)  $t = 0.8$  s.



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234 *Figure 10 Simulation results at time of 1.2 s: (a) the sand mass and the induced water wave and (b)*  
235 *the velocity vector field.*

#### 236 **4. Conclusions**

237 A novel computational framework called the Nodal-integration based Particle Finite Element Method  
238 (N-PFEM) is developed for modelling soil flow problems. Compared with the conventional PFEM,  
239 the developed N-PFEM requires no variable mapping from old to new Gauss points when modelling  
240 history-dependent materials. Additionally, the implicit feature of the formulation enables the use of a  
241 large time step which is more favoured for modelling geotechnical problems which are commonly  
242 quasi-static or of low and medium frequency. Two laboratory tests (i.e., quasi-static collapse of  
243 granular columns and underwater sand flow) are considered using the proposed N-PFEM for showing  
244 its capability in handling soil flow with large deformation as well as for its verification. Good  
245 agreements between the simulation results and the reported experimental data demonstrates its  
246 correctness and robustness.

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