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| Growth and long-term | development after | in utero e | exposure to | coumarins |
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Document Version Publisher's PDF, also known as Version of record

Publication date: 2000

Link to publication in University of Groningen/UMCG research database

Citation for published version (APA): van Driel, D. (2000). Growth and long-term development after in utero exposure to coumarins. s.n.

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Download date: 08-06-2022

# Chapter 2

Congenital anomalies after in utero exposure to coumarins: an overview of cases, follow-up findings, and pathogenesis

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#### **Abstract**

Coumarin derivatives are thought to be teratogenic when they are administered during pregnancy. We present an overview of the anomalies described in case reports. These include facial and skeletal anomalies (warfarin embryopathy) and major structural malformations of the central nervous system and other tracts. Based on a review of 979 pregnancies in which coumarins were prescribed, the prevalence of warfarin embryopathy is 6% and of the central nervous system anomalies 2%. No cases of warfarin embryopathy are found when coumarins were used from the second trimester of pregnancy onwards. In order to evaluate the long-term implications of coumarin exposure in utero, we review the follow-up findings in children born with coumarin-related anomalies as well as coumarin-exposed children who were born apparently normal. The majority of children with anomalies, both at birth and follow-up, were exposed to coumarins during the first trimester of pregnancy. There is little information about the implications of second and third trimester exposure on growth and long-term development.

#### Introduction

The report by DiSaia¹, describing a child who was born with congenital anomalies after exposure to warfarin in utero increased awareness of the potential teratogenic effect of coumarins. Coumarin derivatives, i.e. coumadin (warfarin), acenocoumarol, and phenprocoumon, are oral anticoagulants which readily cross the placenta. It had been known for a long time that administration of coumarins to the mother could cause perinatal death due to haemorrhages in the child during delivery². Animal studies showed that foetal haemorrhages and death could be prevented by withdrawal of coumarins a few days before parturition³. The congenital anomalies described by DiSaia in 1966 had not been associated with coumarins up to that time. Subsequent to his report, many cases were described with similar foetal abnormalities in relation to coumarin exposure in utero. Although case reports cannot be used to estimate the prevalence of the congenital anomalies associated with coumarin exposure in utero, an overview of cases may provide information about the clinical features and the possible pattern(s) of teratogenicity.

Teratogenic interference in the period of organogenesis, when most major organs and body regions are being established, is related to major structural anomalies. Since the foetal period is characterized by histogenesis and functional maturation, the influence of a teratogen in this period may cause growth restriction or functional disturbances<sup>4</sup>. The latter are usually not evident at birth but become apparent later in childhood during growth and development. When evaluating the implications of in utero exposure to coumarins, follow-up information on children who are prenatally exposed and born apparently normal is essential.

The earliest concepts of the pathogenesis of the congenital anomalies found after in utero exposure to coumarin derivatives were based on the main clinical effect, the prolongation of blood clotting time. It was suggested that deformities in the child were caused by microhaemorrhages and subsequent scarring and calcification<sup>5</sup>. Recently, the results of animal studies brought new insights into the pathogenesis of foetal abnormalities associated with prenatal coumarin exposure. Coumarin derivatives are Vitamin K antagonists; they inhibit the recycling of Vitamin K in the cell. In bone, cartilage, and the developing central nervous system, Vitamin K-dependent proteins have been identified<sup>6,7</sup>. In addition, animal studies confirmed an effect of warfarin on the developing bone and central nervous system<sup>8-10</sup>.

The aim of this paper is to give an overview of the clinical features associated with prenatal exposure to coumarins in relation to the exposure period during gestation. In addition to the anomalies found directly post partum, we focus on the long-term effects of coumarin exposure on growth and development both in children born with malformations as well as children born apparently normal. Furthermore, we review the frequency of coumarin-related

anomalies in cohort studies of anticoagulated pregnant women. Finally, the possible pathogenetic mechanisms are summarized.

#### Methods

To identify anomalies in newborns which are associated with in utero exposure to coumarin derivatives, we searched Medline (1966-1998) and Current Contents. In this search, we used the key words 'warfarin', 'coumarin(s)', 'oral anticoagulant(s)', 'congenital anomalies'/'malformations', and 'embryopathy'. In addition, we looked for follow-up findings in children born with coumarin-related congenital anomalies. The following manuscripts were analyzed:

- reports describing infants born after in utero exposure to the coumarin derivatives acenocoumarol, phenprocoumon, or coumadin and
- articles written in English, Dutch, or German and published between 1955 and 1999.

We made a distinction between symptoms that were present between birth and six months of age and findings found at follow-up, from seven months of age onwards. This cut-off point was chosen because many reports describe the clinical situation of the child during the first few months after birth. A longer follow-up period is necessary to gain insight into the implications of coumarin-related anomalies in the long term.

In addition, we performed a second search through Medline (1966-1998) in order to estimate the prevalence of coumarin-related anomalies at birth. In this search the key words 'pregnancy' and 'coumarin' or 'warfarin' were used. The following studies were evaluated:

- cohort studies on treatment with coumarin derivatives during pregnancy,
- articles written and published in English between 1980 and 1999, and
- studies including foetal outcome in combination with a clear description of the foetal exposure.

A third search through Medline (1966-1998) and Current Contents was performed to evaluate the late effects of prenatal coumarin exposure on growth and development of children who were born apparently normal. In this search, key words included 'prenatal exposure', 'warfarin', 'coumarin', and 'follow-up'. Inclusion criteria comprised:

- studies describing the follow-up assessment of cohorts of children exposed to coumarin derivatives during pregnancy and
- articles written and published in English between 1980 and 1999.

### Coumarin-related anomalies at birth

We found 56 reports describing 62 cases of congenital anomalies at birth after exposure to coumarin derivatives in utero (Table 1). Forty-eight of these cases were prenatally exposed to warfarin, five to acenocoumarol, eight to phenprocoumon, and one case was partly exposed to phenprocoumon and partly to acenocoumarol. The reports included findings in three elective abortions, five stillbirths, and 54 liveborn children of which ten died neonatally. In three stillborn children a bleeding was established: either an intracranial (n=2) or a general visceral bleeding (n=1). Causes of neonatal death included major structural anomalies incompatible with life (n=3), severe respiratory distress or pneumonia (n=4), prematurity with intrauterine growth restriction (n=1), and intracranial bleeding (n=2).

Of the 62 reported cases, 51 (82%) had skeletal anomalies at birth. Midfacial hypoplasia was the most consistent clinical feature (n=47), including a depressed nasal bridge, underdevelopment or absence of the nasal septum, a small upturned nose with grooves between the tip of the nose and the alae nasi, micrognatia, a prominent forehead, and a flat appearance of the face. Depending on the severity of the nasal hypoplasia, choanal stenosis or atresia was present, resulting in breathing and feeding problems (24 of the 47 children). Another common feature was calcific stippling (n=32), i.e. ectopic calcifications, which were seen on X-rays in the epiphyseal regions of humeri, femora, vertebrae, calcanei, cuboids, or (distal) phalanges. In some reports, skeletal deformities such as rhizomelia, brachydactyly, and hypoplastic nails were described. The above mentioned facial and skeletal abnormalities are rare conditions in the normal population. The combination of these anomalies seen after in utero exposure to coumarins are referred to as 'coumarin or warfarin embryopathy'. In 1980, Hall proposed that this embryopathy is due to coumarin exposure between the 6th and the 9th gestational week<sup>33</sup>. In our review, 48 of the 51 children presenting with skeletal anomalies at birth were exposed during (part of) this window. Of the remaining three children, one had the typical hypoplastic nose, but was exposed until the 5th week of gestation and from the 12th week onwards<sup>54</sup>. The other two children showed bifrontal narrowing and were exposed during the second and third trimester of pregnancy <sup>20,24</sup>.

In 28 reported cases, central nervous system malformations were present at birth. Anomalies of midline structures, e.g. agenesis of the corpus callosum, schizencephaly, meningocele, Dandy Walker malformation, and optic atrophy, as well as more general conditions, such as microcephaly, cerebral atrophy, hydrocephalus or ventriculomegaly, hearing loss, and retardation or slow development were described. In five children an intracranial haemorrhage was present at birth. Usually a combination of nervous system malformations were reported, many of them reflecting a disorder in the migration of neu ronal cells. All children with anomalies of midline structures were at least exposed to coumarins during organogenesis (first weeks of pregnancy), except two children with optic atrophy who were

Table 1. Congenital anomalies associated with in utero exposure to coumarins described in case reports (N=62).

| Reference                          | Anticoagulant daily dose (mg) | Period     | Partus<br>(wk)* | Face and skeleton**  | Central Nervous System  | Other   |
|------------------------------------|-------------------------------|------------|-----------------|--|---|---|
| Quenneville <sup>11</sup> ,1959    | warfarin                      | 24-40      |                 |  | optic atrophy/microcephaly  |   |
| DiSaia <sup>1</sup> , 1966         | warfarin                      | 0-26/28-36 | 39              | nose/stippling   | bilateral optic atrophy   |   |
| Kerber <sup>12</sup> , 1968        | warfarin 7.5                  | 0-31       | 39              | nose/breathing problems  | occasional seizure / slow development (2.5 mns)                                 |   |
| Ikonen <sup>13</sup> , 1970        | warfarin                      | 0-35       | 36/ ND          | nose/breathing problems  | -   | died 3 <sup>rd</sup> postnatal day:<br>cerebral and pulmonary<br>hemorrhage, S. Aureus<br>pneumonia, sepsis |
| Tejani <sup>14</sup> , 1973        | warfarin 5-10                 | 0-35       | 36              | nose/occipital bone defects  | occ.<br>meningocele/microphthalmia/<br>hydrocephalus/ bulge subocc.<br>region   | low ears/high palate/<br>persist truncus arteriosus   |
| Becker <sup>5</sup> , 1975         | warfarin 7.5                  | 0-35       | 35/ ND          | nose/stippling/rhizomelia  | -   | died after few minutes:<br>viscera confom 29 wks<br>gestation/poor developed<br>ears/opacity left lens      |
| Fourie <sup>15</sup> , 1975        | warfarin 5                    | 0-37       | 38              | nose/breathing problems/stippling/ shor fingers/dystrophic nails     | t -   |   |
| Pettifor <sup>16</sup> , 1975 (I)  | warfarin                      | 0-36       | 38              | nose/breathing problems/stippling<br>/brachydactyly/dysplastic nails |   |   |
| Pettifor <sup>16</sup> , 1975 (II) | warfarin                      | 0-38       | 41              | nose (slight)  |   |   |
| Shaul <sup>17</sup> , 1975         | warfarin 2.5-5                | 0-35       | 35              | nose/breathing problems/stippling                                    | hypotonia   | downward slanting palpebral fissures  |
| Warkany <sup>18</sup> , 1975(I)    | warfarin 5                    | 6-16       | ND              | -  | hydrocephalus/blood in cranial cavity   |   |
| Warkany <sup>18</sup> , 1975(II)   | warfarin 10                   | 0-35       |                 | -  | parieto-occipital cephalocele /<br>Dandy Walker malformation /<br>hydrocephalus | urinary tract anomaly   |

| Reference                      | Anticoagulant    | Period                                | Partus  | Face and skeleton**  | Central Nervous System   | Other   |
|--------------------------------|------------------|---------------------------------------|---------|--|--|---|
|                                | daily dose (mg)  |                                       | (wk)*   |  |  |   |
| Barr <sup>19</sup> , 1976      | warfarin 7.5     | 0-16                                  | 17/ EA  | midfacial hypoplasia/brachydactyly                                   |  |   |
|                                |                  |                                       |         | /disordered chondrogenesis (PA)                                      |  |   |
| Carson <sup>20</sup> , 1976    | warfarin 3-4.5   | 12-36                                 | 38      | bifrontal narrowing  | microcephalus  |   |
| Holzgreve <sup>21</sup> , 1976 | warfarin 10      | 0-12                                  |         | nose (slight)  | agenesis corpus<br>callosum/hypotonia/ delay<br>psychomot development (5mns) |   |
| Pauli <sup>22</sup> , 1976     | warfarin 7.5-10  | 6-32                                  | 32      | nose/breathing problems/stippling /frontal bossing                   |  | syst. ejection murmur   |
| Richman <sup>23</sup> , 1976   | warfarin         | 0-28                                  | 36      | nose/stippling   | optic atrophy  |   |
| Sherman <sup>24</sup> , 1976   | warfarin         | 2 <sup>nd</sup> /3 <sup>rd</sup> trim |         | bifrontal narrowing  | microcephaly/hypotonia/  |   |
|                                |                  |                                       |         |  | developmental retardation  |   |
| Abbott <sup>25</sup> , 1977    | warfarin 6-7     | 0-24                                  | 29      | nose/stippling/laryngeal calcifications/<br>coronal clefts vertebrae | -  | died in 3 <sup>rd</sup> week of severe<br>hyaline membrane<br>disease |
| Cox <sup>26</sup> , 1977       | warfarin         | 0-6                                   | 39/ND   | short broad distal phalanges/ hypoplasia nails                       | l  | asplenia syndrome (heart and abdominal anomalies)                     |
| Raivio <sup>27</sup> , 1977    | warfarin         | 0-35                                  | 37/ ND  | nose/breathing problems/stippling                                    | -  | died in 3 <sup>rd</sup> week of pneumonia                             |
| Vanlaeys <sup>28</sup> , 1977  | acenocoumarol    | 0-26                                  | term    | nose/stippling   |  |   |
| Robinson <sup>29</sup> , 1978  | warfarin 6-8     | 0-38                                  | 38      | nose/breathing problems/stippling                                    | -  |   |
| Smith <sup>30</sup> , 1979     | warfarin         | 0-33                                  | 33 (ND) | nose   | widely separated sutures/bilatera subdural hemorrhages                       | hypertelorism   |
| Baillie <sup>31</sup> ,1980    | warfarin         | 0-35                                  | 39      | nose/breathing problems/stippling<br>/abnormal vertebral body S4/5   | -  |   |
| Curtin <sup>32</sup> , 1980    | warfarin 3-4     | 0-40                                  | 42      | nose/breathing problems/stippling                                    |  |   |
| Hall <sup>33</sup> , 1980      | warfarin 10-12.5 | 16-24                                 |         | -  | cerebral and cerebellar atrophy/<br>ventriculomegaly/optic atrophy           |   |

| Reference                          | Anticoagulant daily dose (mg)   | Period         | Partus<br>(wk)* | Face and skeleton**  | Central Nervous System   | Other   |
|------------------------------------|---------------------------------|----------------|-----------------|--|--|---|
| Stevenson <sup>34</sup> , 1980     | warfarin 5                      | 0 - not clear  | 31              | nose/breathing problems/stippling  | bilateral optic<br>atrophy/macrocephaly                                      | mild pectum carinatum   |
| Whitfield <sup>35</sup> , 1980     | warfarin 10                     | 0-20           | 33              | nose/breathing problems/stippling<br>/brachydactyly/disproport. stature                              |  |   |
| Harrod <sup>36</sup> , 1981 (I)    | warfarin 12.5                   | 6-24           |                 | nose/breathing problems/stippling  |  | mesodermal dysgenesis right eye/wide nipples                        |
| Harrod <sup>36</sup> , 1981 (II)   | warfarin                        | 9-30           |                 | nose   |  |   |
| Weenink <sup>37</sup> , 1981(I)    | phenprocoumon<br>/acenocoumarol | 0-13/<br>13-38 | 40              | nose   |  |   |
| Weenink <sup>37</sup> ,1981(II)    | acenocoumarol                   | 0-36           |                 | nose/stippling   |  |   |
| Kaplan <sup>38</sup> , 1982        | warfarin 10                     | 0-16; 18-33    | 34              | -  | Dandy Walker cyst/dilated<br>ventricular system/absence<br>septum pellucidum |   |
| Lamontagne <sup>39</sup> ,<br>1983 | warfarin 7.5                    | 0-27           | 40/ND           | nose/breathing problems/stippling /toe deformities   | -  | severe respiratory<br>distress, died at 8 wks<br>cardioresp. arrest |
| Struwe <sup>40</sup> , 1984        | phenprocoumon<br>18 tap.down    | 8-22           | 39              | nose/breathing problems/stippling rhizomelia/hypoplasia pelvic bones                                 |  | low ears/antimongoloid eyes   |
| Kaplan <sup>41</sup> , 1985        | warfarin                        | 8-12           |                 | -  | agenesis corp. callosum /Dandy<br>Walker cyst/ hydrocephalus                 | goniodysgenesis right<br>eye (central cataract/<br>microphthalmia)  |
| Pawlow <sup>42</sup> , 1985 (I)    | phenprocoumon                   | 0-12           | term            | nose/breathing problems/stippling /short<br>broad hand and feet                                      | , macrocephalus  | hypertelorism/high palate/<br>exophthalmus/<br>macroglossia         |
| Pawlow <sup>42</sup> , 1985 (II)   | phenprocoumon                   | 0-11           | 34              | nose/breathing problems/stippling /short<br>extremities/hypoplastic phalanges/broad<br>hand and feet |  | hypertelorism/<br>exophthalmus<br>/macroglossia                     |
| Lapiedra <sup>43</sup> , 1986      | acenocoumarol                   | 0-6            | 22 / EA         |  | hydrocephalus/scoliosis+tethered skin in sacrococcygeal region               |   |

| Reference                                 | Anticoagulant daily dose (mg) | Period        | Partus<br>(wk)* | Face and skeleton**   | Central Nervous System                            | Other  |
|---|-------------------------------|---------------|-----------------|---|---|--|
| Zakzouk <sup>44</sup> , 1986              | warfarin                      | unclear       | 38              | nose/breathing problems/stippling   | -   |  |
| Ruthnum <sup>45</sup> , 1987              | warfarin                      | 0-6           | 39              | broad forehead/small narrow jaw/<br>hypoplasia distal phalanges + nails         |   | high palate/microglossia   |
| Tamburrini <sup>46</sup> , 1987           | warfarin                      | whole         |                 | nose/stippling  |   | hypertelorism/little orbital<br>arches/oropalpebral<br>syncinesia /palpebral<br>ptosis left    |
| Zipprich <sup>47</sup> , 1987             | phenprocoumon                 | 0-11          | 23 / SB         | nose/stippling (PA)/ hypoplasia femurhead and phalanges                         |   | intra uterine growth retardation /bleeding organs  |
| Balde <sup>48</sup> , 1988                | phenprocoumon<br>1.5          | 0-8           | 41              | -   |   | Tetralogy of Fallot/high palate/hypertelorism/low ears/antimongoloid eyes                      |
| Hall <sup>49</sup> , 1989                 | warfarin 5                    | 0-8           | 31/ND           | nose/breathing problems/bell shaped chest/club foot left                        |   | absent left and<br>hypoplastic right kidney/<br>macrophallus/ anterior<br>placed anus/low ears |
| Hosenfeld <sup>50</sup> , 1989            | phenprocoumon<br>2.5-15       | 6-13          | 36              | nose/breathing problems/stippling<br>/rhizomelia/tapering + clefts vertebrae    |   | low ears   |
| Kreyberg-<br>Normann <sup>51</sup> , 1989 | warfarin                      | 0-14          | term/ ND        |   |   | hypoplastic lungs/<br>agenesis left diaphragma   |
| Freude <sup>52</sup> , 1991               | phenprocoumon 3               | 0-42          | 42              | nose  | hypotonia /strong Moro/normal development (4 mns) |  |
| Mason <sup>53</sup> , 1992                | warfarin                      | unclear       | 37              | nose/breathing problems/stippling /rhizomelia                                   | macrocephalus                                     |  |
| De Vries <sup>54</sup> , 1993             | acenocoumarol                 | 0-5;<br>12-32 | 32              | nose/stippling/rhizomelia   | hydrocephalus                                     | intra uterine growth retardation   |
| Gartner <sup>55</sup> , 1993              | phenprocoumon 3.4             | 0-28          |                 | nose/stippling/hypoplasia distal phalanges +12 <sup>th</sup> ribs/naildystrophy | macrocephalus/bilateral hearing loss (40dB)       | atrial septal defect type II   |

| Reference                          | Anticoagulant daily dose (mg) | Period               | Partus<br>(wk)* | Face and skeleton**   | Central Nervous System   | Other                                  |
|------------------------------------|-------------------------------|----------------------|-----------------|---|--|--|
| Ville <sup>56</sup> , 1993 (I)     | warfarin 3-6                  | 26-36                | 36 / SB         |   | intracranial hemorrhage/cerebral atrophy   | depressed fetal coagulation factors    |
| Ville <sup>56</sup> , 1993 (II)    | warfarin 5-6                  | 15-29                | 29 / SB         |   | intraventricular hemorrhage  |  |
| Barker <sup>57</sup> , 1994        | warfarin                      | 0-13                 | 42              | nose/breathing problems/hypoplastic nails   | -  | dextro cardia/abdominal situs inversus |
| Pati <sup>58</sup> , 1994          | warfarin                      | 0-24                 | 35              | midfacial hypoplasia/arthrogryposis legs  | microcephaly/schizencephaly/<br>dysfunction thermoregulation/<br>developmental delay |  |
| Howe <sup>59</sup> , 1997          | warfarin 6-11                 | 0-38                 | 40              | nose/breathing problems/stippling /hypo<br>plasia distal phalanges + cerv. vertebral<br>bodies /loss cerv. lordosis | -  | ptosis left eyelid                     |
| Takano <sup>60</sup> , 1998        | warfarin                      | 1 <sup>st</sup> trim | 35              | nose/stippling/hypoplasia dist.<br>phalanges  |  | hypospadia/atrial flutter              |
| Wellesley <sup>61</sup> , 1998 (I) | warfarin 10-12                | 0-24                 | 24 / EA         | nose/stippling/rhizomelia/brachydactyly/<br>hypoplasia nails/short fingers +toes                                    | ventriculomegaly/macrocephalus   |  |
| Wellesley <sup>61</sup> ,1998 (II) | warfarin 9-10                 | 0-18                 | 18 / SB         | nose/rhizomelia/short fingers+toes  |  |  |
| Tongsong <sup>62</sup> , 1999      | warfarin 10                   | 0-26                 | 26 / SB         | nose/stippling/rhizomelia   | hydrocephalus  | intra uterine growth retardation       |
| Van Driel <sup>63</sup> , 2000     | acenocoumarol                 | 8-12                 | 41              | nose/breathing problems   | -  |  |

<sup>\*</sup>EA = elective abortion; SB=stillbirth; ND=neonatal death / \*\*nose= nasal hypoplasia

exposed in the second and third trimester of pregnancy. Four other children were not exposed during the first trimester of gestation. Two of them displayed a combination of microcephaly, cerebral atrophy or ventriculomegaly, the other two had an intracranial haemorrhage.

In 14 cases, anomalies of other tracts were described including dysgenesis of part of the eye (n=3), tetralogy of Fallot, persistent truncus arteriosus, atrial septal defect, undefined urinary tract anomaly, absence of the left and hypoplasia of the right kidney, hypospadia, hypoplasia of the lungs with agenesis of the left diaphragma, dextrocardia and abdominal situs inversus, and asplenia syndrome. These cases were all exposed early in pregnancy during organogenesis.

In many reports a combination of malformations affecting different tracts were described. In 17 children, a combination of skeletal and central nervous system anomalies were present. The extent of the abnormalities ranged form mild to severe. Anomalies of other tracts were seen in combination with both skeletal deformities (n=8) or central nervous system malformations (n=2). In two children skeletal, nervous system, and anomalies of the heart were reported. In addition, minor physical anomalies including low-set ears, poorly developed ears, high palate, hypertelorism, antimongoloid eyelids, and widely spaced nipples were described in 13 cases.

### Follow-up of children born with coumarin-related anomalies

Of the 62 reported cases with coumarin-related anomalies at birth, 44 children survived after the neonatal period and follow-up information could be found on 20 of them (Table 2). Twelve of these children displayed skeletal deformities at birth, one showed central nervous system anomalies and seven cases were born with a combination of both. The duration of follow-up varied between seven months and 32 years; in eight children a long-term follow-up (3½ years or more) was described. One child died of aspiration pneumonia following a generalized seizure at ten years of age.

Of the 17 cases with midfacial hypoplasia at birth, in seven children marked nasal hypoplasia was reported at follow-up. The nose of one child was described to be normal and in another case nasal hypoplasia was reported to be less severe. No description of the midfacial region was given at follow-up in the other eight children. In addition, in seven children deformities of the spine were reported at follow-up, five of whom displayed calcific stippling of the spine at birth. Takano et al<sup>60</sup> described 17 years of follow-up in a child with diffuse calcific stippling in the cervical and sacral spine at birth. At two years of age, the patient experienced neck pain and intermittent loss of strength in his legs. Radiologic examination revealed abnormal ossification of the cervical vertebral bodies with atlanto-axial instability. Over time,

Table 2. Case reports with follow-up data of children who were born with coumarin-related anomalies (N=20).

| Reference                          | Exposure drug (weeks)                  | ‡ | Follow-up    | Face and Skeleton at follow-up  | Central Nervous System at follow-up  |
|------------------------------------|--|---|--------------|---|--|
| DiSaia <sup>1</sup> , 1966         | warfarin<br>(0-26 and 28-36)           | С | 5 yrs        | weight P25/height P25 (15mns)/ kyphoscoliosis (3yrs)  | mild retardation (5yrs)/blind  |
| Kerber <sup>12</sup> , 1968        | warfarin 7.5mg<br>(0-31)               | С | 10 yrs (died | ) prognatism/subluxation C1-2/progressive platyspondylisis/ posterior wedging thoracic vertebrae/shallow acetabula  | cerebral asymmetry/focal cerebral atrophy/shortening olfactory tracts/focal disorientation gyral pattern (postmortem exam) |
| Pettifor <sup>16</sup> , 1975      | warfarin (0-36)                        | S | 7 mos        | not reported  | normal milestones/normal vision/alert  |
| Shaul <sup>17</sup> , 1975         | warfarin 2.5-5mg<br>(0-35)             | С | 8 mos        | normal growth   | normal development   |
| Carson <sup>20</sup> , 1976        | warfarin 3-4.5mg<br>(12-36)            | С | unclear      | not reported  | microcephaly/spasticity/blind/retardation (cared for in long-stay accommodation)   |
| Stevenson <sup>34</sup> ,<br>1980  | warfarin 5mg<br>(0- unclear)           | С | 16 mos       | midfacial hypoplasia less severe/most stippling incorporated/calcified hyoid and thyroid cartilage/ height 67cm ( <p3) (<p3)<="" 6.8kg="" td="" weight=""><td>bilateral optic atrophy/delayed motor<br/>development/normal language skills</td></p3)> | bilateral optic atrophy/delayed motor<br>development/normal language skills  |
| Whitfield <sup>35</sup> ,<br>1980  | warfarin 10mg<br>(0-20)                | S | 2 yrs        | nose not abnormal/disproportional stature/atlanto-axial instability   | slow motor development (7mos)/slight<br>hemiparesis/normal intellect (2yrs)  |
| Harrod <sup>36</sup> , 1981<br>(I) | warfarin 12.5mg<br>(6-24)              | S | 15 mos       | striking nasal hypoplasia/stippling/butterfly thoracie vertebrae/hypoplasia distal phalanges/ normal growth   | c abnormal right pupil/normal development  |
| Harrod <sup>36</sup> , 1981 (II)   | warfarin (9-30)                        | S | 3.5 yrs      | marked nasal hypoplasia   | mild high frequency hearing loss/delayed language skills/ behavioral problems  |
| Weenink <sup>37</sup> ,<br>1981    | phen (0-13)/ aceno<br>(13-38)          | S | 1 yr         | not reported  | normal development   |
| Struwe <sup>40</sup> , 1984        | phenprocoumon<br>18 mg tapering (8-22) | S | 1 yr         | stippling/hypoplasia distal phalanges and pelvic bones  | not reported   |
| Kaplan <sup>41</sup> , 1985        | warfarin (8-12)                        | N | 7 yrs        | progressive thoracolumbar scoliosis/contracture right hip (needs walker)/bilateral equinovarus  | seizures/blindness right eye/low IQ at 7 years (verbal 69, perf. 84)   |

| Reference                          | Exposure<br>drug (weeks)         | ‡ | Follow-up | Face and Skeleton at follow-up  | Central Nervous System at follow-up  |
|------------------------------------|----------------------------------|---|-----------|---|--|
| Pawlow <sup>42</sup> , 1985        | phenprocoumon<br>(0-12)          | С | 2 yrs     | bilateral clubfoot  | macrocephaly/normal development  |
| Ruthnum <sup>45</sup> ,<br>1987    | warfarin (0-6)                   | S | 1 yr      | weight P3/height P50/micrognatia/microglossia   | normal psychomotor development   |
| Tamburrini <sup>46</sup> ,<br>1987 | warfarin (whole)                 | S | 18 mos    | some remaining stippling/abnormal ossification calcanei                                     | not reported   |
| Hosenfeld <sup>50</sup> ,<br>1989  | phen 2.5-15mg<br>(6-13)          | S | 32 yrs    | midfacial hypoplasia/impaired nasal breathing/disproportional short stature                 | conductive hearing loss/normal intellect   |
| De Vries <sup>54</sup> ,<br>1993   | acenocoumarol<br>(0-5 and 12-32) | С | 11 yrs    | disproportional short stature   | psychomotor development normal/intelligence normal (IQ=98)/ hearing and vision normal                                      |
| Howe <sup>59</sup> , 1997          | warfarin 6-11mg<br>(0-38)        | S | 20 mos    | maxillary hypoplasia/cervical kyphosis  | Horner syndrome/syringomyelia/quadriplegia   |
| Takano <sup>60</sup> , 1998        | warfarin (1sttrim)               | S | 17 yrs    | abnormal ossification cervical vertebral bodies/cervical kyphosis/atlanto-axial instability | sudden loss of strength (2 yrs)/compression spinal cord with persistent hyperreflexia (17 yrs) /no motor weakness (17 yrs) |
| Van Driel <sup>63</sup>            | acenocoumarol<br>(8-12)          | S | 13 yrs    | midfacial hypoplasia/normal growth  | normal psychomotor development/normal intellect (IQ=101)   |

<sup>‡</sup> type of congenital anomaly: S=skeletal anomalies, N=nervous system anomalies, C=combination of both

the child developed marked cervical kyphosis with narrowing of the spinal canal resulting in compression of the spinal cord. At 17 years of age, his neurological exam showed persistent hyperreflexia in both limbs without motor weakness. The spinal deformities in other children were reported after a shorter period of follow-up. They included butterfly thoracic vertebrae (at 15 months of age), cervical kyphosis with Horner syndrome, syringomelia and quadriplegia (at 20 months), atlanto-axial instability with hemiparesis (2 years), kyphoscoliosis (5 years), and progressive thoracolumbar scoliosis (7 years). The latter child had no facial or rontgenological anomalies at birth. He also developed a contracture of his right hip and needed a walker to move around. In addition, the ten-year-old child who died from pneumonia was born with midfacial hypoplasia. His post-mortem exam revealed progressive platyspondylisis, subluxation of C1-2, and posterior wedging of the thoracic vertebrae. The skeletal anomalies reported at follow-up in the other children included persistence of a disproportional or short stature during growth (n=4) and hypoplasia or abnormal ossification of bony structures (n=4). In three children only presenting skeletal anomalies at birth, hearing loss was diagnosed at follow-up. Of the eight cases with central nervous system anomalies at birth, normal psychomotor development was reported in three children. These children displayed hydrocephaly, macrocephaly, or hypotonia at birth. Four other children showed abnormal development at follow-up. Of these children, two were born with bilateral optic atrophy: a delay in motor development was described in one case at 16 months of age, while mental retardation with blindness was reported in the other child at five years of age. In addition, a child with microcephaly at birth displayed mental retardation, spasticity and blindness at follow-up, whereas a low verbal and performal intelligence quotient was found at seven years of age in a child presenting with a Dandy Walker cyst, hydrocephalus, and absent septum pellucidum at birth. The child who died at ten years of age had an occasional seizure and slow development in the first months of life. His long-term development was not described, but postmortem examination revealed a severe disorganization and atrophy of his cerebrum.

## Prevalence of coumarin-related congenital anomalies

In order to estimate the prevalence of the congenital anomalies associated with exposure to coumarins during pregnancy, we reviewed 17 studies (Table 3) describing a total of 979 pregnancies $^{64-80}$ . In 327 of these pregnant women warfarin was prescribed, whereas 449 women used acenocoumarol during pregnancy and in 203 pregnancies the coumarin derivative was not specified. All the women were on long-term therapy with coumarin derivatives at the time of conception; indications were either a prosthetic heart valve or a bioprosthesis in combination with atrial fibrillation. Most women (n = 600) were treated with

Table 3. Foetal outcome in cohort studies describing maternal use of coumarins during pregnancy.

| Outcome                    | coumarins throughout pregnancy (n=600) | coumarins only in 1st<br>trim (n=92) <sup>1</sup> | coumarins replaced by<br>heparin in 6th- 12th week<br>(n=118) | coumarins replaced by<br>heparin after 6th week until<br>end 1st trimester (n=84) | coumarins start after 1st<br>trim<br>(n=85) <sup>2</sup> |  |
|----------------------------|--|---|---|---|--|--|
| Liveborn, no complications | 356 (preterm: 61/ ND: 1)               | 60  | 91 (preterm: 8)   | 55 (preterm: 3)   | 78 (preterm: 19 /ND: 1)                                  |  |
| Liveborn, complications    | 38                                     | 1   | 3   | 5   | 2  |  |
| embryopathy                | 22                                     | -   | 0   | 2   | -  |  |
| CNS anomaly                | -                                      | 1   | 1   | 1   | 1  |  |
| combination                | 1                                      | -   | 0   | 0   | -  |  |
| bleeding                   | 11(7CNS + ND)                          | -   | 1 (ND)  | 0   | -  |  |
| other                      | 4 (1 ND)                               | -   | 1   | 2   | 1  |  |
| Spontaneous abortion       | 161                                    | 9   | 19  | 23  | 3  |  |
| Elective abortion          | 13                                     | 13  | -   | -   | -  |  |
| Still birth                | 27 (1 WE; 2 CNS+WE)                    | 6   | -   | 1   | 1  |  |
| Other                      | 1 ectopic pregnancy and 3 mola         | 3 maternal death                                  | 4 maternal + fetal death and 1 abruptio/fetal death           | -   | 1 maternal death   |  |

ND=neonatal death; WE=warfarin embryopathy; CNS=central nervous system

<sup>&</sup>lt;sup>1</sup> withdrawal coumarin derivatives when gestation established

<sup>&</sup>lt;sup>2</sup> some received heparin during 1st trimester

coumarins throughout pregnancy. In 118 pregnancies, coumarins were replaced by heparin from the 6<sup>th</sup> to the 12<sup>th</sup> gestational week in order to avoid the development of warfarin embryopathy.

Out of the 979 pregnancies, 689 children were born alive. In accordance with the proposed teratogenic window from the 6<sup>th</sup> to the 9<sup>th</sup> gestational week, no skeletal anomalies characteristic of warfarin embryopathy were reported in the offspring of the women in whom heparin was substituted for coumarins between the 6<sup>th</sup> and the 12<sup>th</sup> gestational week. In addition, no warfarin embryopathy was found in the children of women who used coumarins from the second trimester onwards. Twenty-two children with skeletal anomalies were found out of 394 children born alive to mothers using coumarin derivatives throughout pregnancy. This results in a prevalence rate of 6% for warfarin embryopathy. Two children with symptoms of warfarin embryopathy were found in the group of women in whom heparin substitution started after the 6<sup>th</sup> gestational week.

A total of 15 children were described with an anomaly of the central nervous system at birth, including hydrocephalus, anencephaly, mental retardation, myelomeningocele, and bifid spine. Out of 689 liveborn children, the estimated prevalence rate of central nervous system anomalies is 2%. All 15 children were at least exposed during the period of organogenesis, except a child with mental retardation who was exposed from the second trimester onwards. In eight children the central nervous system anomaly consisted of an intracranial haemorrhage, which was in three cases related to parturition under coumarin treatment.

Of the 979 pregnancies, 215 (22%) spontaneous abortions were reported, 26 elective abortions were carried out, and 35 (4%) stillbirths were described. The spontaneous abortions occurred most often during the first trimester. Vitale et al<sup>80</sup> showed a close dependency between warfarin dosage (> 5mg) and foetal death. In addition, prematurity was reported in 13% (n=91) of the 689 liveborn children.

## Follow-up of cohorts of coumarin-exposed children

In addition to the follow-up of children born with coumarin-related malformations, we looked for studies describing long-term outcome of children who were born apparently normal after in utero exposure to coumarins. We found three studies describing the follow-up assessment of a cohort of children prenatally exposed to coumarin derivatives. Wong et al<sup>81</sup> examined 29 children who were exposed prenatally to warfarin; 25 children were at least exposed in the first trimester, while four children were only exposed during the second or third trimester of pregnancy. The age of the children at examination ranged from 0.5 to 11.3 years. In the cohort, two children were described with severe nasal hypoplasia at follow-up. In addition, two children were found to have a low intelligence quotient (between 70 and 90)

at 10.3 and 11.3 years of age. The authors described macrocephaly in five and speech problems in three children. Except for two children with speech problems who were only exposed during the second or third trimester, all children with anomalies at follow-up were exposed throughout pregnancy. In addition, a child of 0.5 years old was reported with gnatopalatoschisis, bilateral microphthalmia, cataract of the right eye, intraventricular haemorrhage, and hydrocephalus.

Another follow-up study<sup>82</sup> assessed 22 warfarin-exposed children at the average age of four years (range 1.9 to 5.6 years). Two children in this group were exposed throughout pregnancy, whereas 20 children were exposed during the second or third trimester. In comparison to 17 matched non-exposed children, no differences in physical and mental development were found.

Olthof et al<sup>83</sup> examined 21 coumarin-exposed and 17 control children between eight and ten years of age; three children were at least exposed to coumarins during the first trimester of pregnancy, while the other 18 children were exposed during the second or third trimester. No significant differences were found between the two groups. However, in the exposed cohort three children were found to have low intelligence (IQ < 80) at follow-up; one was exposed during the third trimester only and two children were exposed during the second and third trimester of pregnancy. In addition, one child with severe neurological abnormalities consisting of cerebral palsy with psychomotor retardation, epilepsy, and hypoplasia of both optic nerves was found. This child was exposed to coumarins during the second and third trimester of pregnancy; pediatric examination directly postpartum revealed no abnormalities.

## Pathogenetic mechanisms

In order to understand the teratogenic interference in foetal development, insight into the pathogenesis of coumarin-related anomalies is essential. New insights are based upon the effect of coumarin derivatives on Vitamin K metabolism in the cell. Coumarins inhibit intracellular Vitamin K recycling (Fig. 1). During cellular processes, the active metabolite Vitamin K hydroquinone (KH2) is oxidized into Vitamin K 2,3 epoxide (K0). The microsomal enzymes Vitamin K epoxide reductase and Vitamin K reductase reduce the inert Vitamin K0 back into the active metabolite KH2. Coumarin derivatives inhibit the reductase enzymes, so that the supply of KH2 is exhausted<sup>84</sup>.

Vitamin K is known to be an important cofactor in enzymatic processes in the cell, e.g.  $\gamma$ -carboxylation of glutamic acid (glu) residues into  $\gamma$ -carboxyglutamate residues (gla) in a variety of proteins<sup>6</sup> (Vitamin K-dependent proteins). The majority of known vitamin K-dependent proteins are involved in blood coagulation. Administration of coumarin

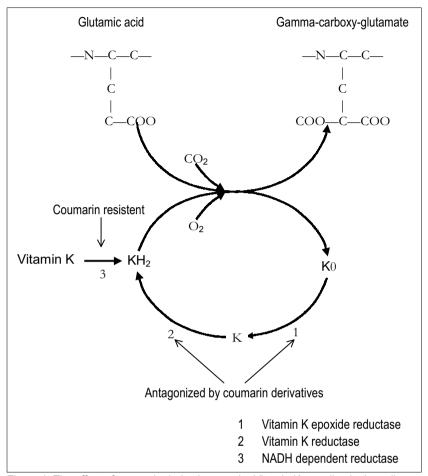


Figure 1. The effect of coumarin derivatives on the Vitamin K recycling in the cell

derivatives inhibits the essential posttranslational modification so that the coagulation proteins remain non-functional. Cartilage, bone, and the developing nervous system also contain Vitamin K-dependent proteins.

In bone and cartilage, bone glu-protein (BGP) and matrix glu-protein (MGP) have been identified<sup>6</sup>. These proteins are thought to play an important role as mineralization inhibitors in the developing skeleton. In rats, the levels of MGP and BGP decreased after warfarin treatment<sup>85</sup>. The exposed rats showed excessive mineralization in growth plates and the nasal septum together with maxillonasal hypoplasia<sup>8,85</sup>. Feteih et al<sup>86</sup> observed a disruption in the columnar arrangement of the hypertrophic chondrocytes in cartilage of foetal rats after warfarin exposure. It was not clear whether the abnormalities were due to overmineralization or whether they were the result of a more fundamental disturbance of the chondrocytes<sup>86</sup>.

The congenital anomalies seen after exposure to coumarins in utero have long been associated with different types of chondrodysplasia punctata. The similarities in phenotype suggest that the genetic and drug-induced defects underlying these syndromes may be due to the same metabolic pathway. In rhizomelic chondrodysplasia punctata (RCDP), a defect in peroxisomal biogenesis has been established. Recently, different genotypes have been described pointing towards involvement of ether phospholipid biosynthesis in early skeletal development<sup>87,88</sup>. Warfarin embryopathy also shares phenotypical features with X-linked recessive chondrodysplasia punctata (CDPX). The gene for CDPX was assigned on the Xp22.3 region, the region coding for some members of the sulphatase family (i.e. arylsulphatase C,D,E, and F)<sup>89</sup>. Mutation analysis indicated arylsulphatase E as the gene responsible for CDPX. In vitro, a significant decrease of arylsulphatase E activity was observed with high concentrations of warfarin<sup>90</sup>.

In the nervous system, Vitamin K has been shown to stimulate the activity of at least two microsomal enzymes in the sphingolipid pathway<sup>9,91</sup>. The requirement of phosphorus in these experiments suggests that a phosphorylation step is involved<sup>92</sup>. End products of this pathway are cerebrosides, sulfatides, gangliosides, and sphingomyelin. Sphingolipids are important structural components of myelin, but they also serve as second messengers in intracellular signal transduction pathways<sup>93,94</sup>. Sundaram showed that warfarin administration resulted in a significant reduction of sulfatides in mice brains through inhibition of the activity of galactocerebroside sulfotransferase (GST)<sup>10</sup>.

Recently, a new Vitamin K-dependent protein (glu-protein) was discovered, Gas6<sup>95</sup>. This growth factor is a ligand for a subfamily of the receptor tyrosine kinases (RTK's). RTK's are receptors with an extracellular domain, which bind the ligand and an intracellular tyrosine kinase which is important for signal transduction<sup>96</sup>. RTK's were first described for their role in cell growth and proliferation, but are also known to function in cell migration, axonal pathfinding, cell survival and neural cell-type determination. Gas6 and its receptor, Tyro 3, were found to be widely distributed throughout the nervous system<sup>7</sup>. In the brain of developing chick embryos, tyrosine phosphorylation was enhanced by Vitamin K, whereas warfarin was shown to inhibit this phosphorylation cascade<sup>97</sup>. Since the development of the nervous system of the human embryo is dependent upon a highly co-ordinated repertoire of cell division, differentiation and migration, inhibition of regulatory growth factors like the Vitamin K-dependent Gas6 might cause disorganization of the central nervous system during development. Besides the nervous system, Gas6 has a wide tissue distribution, e.g. lung, intestine, heart, testis<sup>94</sup>. Therefore, it is conceivable that Gas6 inhibition might have a negative influence on the embryogenesis of other tracts.

### Concluding remarks

Case reports describe a combination of facial and skeletal anomalies, which are relatively rare in the normal population, after in utero exposure to coumarins. Most cases of this so-called warfarin embryopathy were exposed during a teratogenic window from the 6th to the 9th gestational week. The embryopathy was not found in studies in which pregnant women used coumarin derivatives from the second trimester onwards. In addition, major structural malformations, including the central nervous system, were described after coumarin exposure in utero. These anomalies with a low incidence in the normal population could have been found by coincidence after coumarin exposure in utero. However, the combination with the typical facial and skeletal anomalies in 71% of these cases suggest a relation with the prenatal exposure to coumarin derivatives. Most of the major structural anomalies were found after exposure during the first trimester of pregnancy (organogenesis). There is little information about the long-term implications of in utero exposure to coumarins when prescription of these drugs during the first trimester of gestation is avoided. Only a few small cohort studies examined children who were born apparently normal after in utero exposure during the second or third trimester of pregnancy. These studies showed contradictory results including a normal as well as an impaired development of these children. In addition, the concepts of teratogenicity of coumarins are mainly based on animal models including rats and mice, which are born less mature than the human foetus. Therefore, the results of these studies are difficult to extrapolate to the human situation. We conclude that in order to appropriately treat pregnant women who need anticoagulation, a large cohort study assessing growth and development of children exposed to coumarin derivatives during the second and third trimester of pregnancy is warranted.

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