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# Partial Symmetries for Nonlinear Systems

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**Abstract.** We define the concept of partial symmetry for nonlinear systems, which is an intermediate notion between the concepts of symmetry and controlled invariance. It is shown how this concept can be used for a decomposition theory of nonlinear systems and is particularly suited as a framework for treating input-output decoupling problems.

# 1. Introduction

The notion of symmetry of a dynamical system has been a subject of long standing interest in physics and mathematics. Roughly speaking, a dynamical system possesses a symmetry if its dynamics are invariant under a (coordinate) transformation or a family of (coordinate) transformations. The existence of such a symmetry implies usually that we can "decompose" the system into subsystems of lower dimension, or that we can "reduce" the system to a (quotient) system of lower dimension. In this way the knowledge of the existence of symmetries can be very useful for the qualitative understanding, or even the explicit description of the dynamics of a system. This becomes very clear in the case of Hamiltonian systems where a classical theorem of Noether asserts that symmetries are in one-to-one correspondence with the existence of conservation laws of a system (cf. [1, 2]).

Usually the notion of symmetry is only defined for dynamical systems without inputs and outputs (for instance in the Hamiltonian case systems without external forces, cf. [1, 2]). In recent publications ([15, 16]) the notion of symmetry is also defined for systems with inputs and outputs and a generalization of Noether's theorem is obtained. This notion of symmetry is further explored by Grizzle and Marcus ([4, 3]), by using in particular families of symmetries generated by the action of a *Lie group*. Moreover in these papers the role of symmetries in obtaining a local or global decomposition of a system into smaller subsystems is emphasized.

In another avenue of recent research the concept of *controlled invariance* for (nonlinear) systems has been used in deriving a (local) normal form of a system ([5]). The main tool in this case is the notion of a (locally) controlled invariant *distribution* on the state space of a system. If a system possesses such a (locally) controlled invariant distribution then, possibly after applying *feedback*, the system can be (locally) factored out to obtain a lower dimensional *quotient system* ([10]). Furthermore controlled invariant distributions have been successfully used for the *input-output decoupling* of a system ([8, 9, 11]). Here one tries to decompose (by feedback) the system into a number of lower dimensional subsystems which are from the input-output point of view *independent*.

The purpose of this paper is to fill the gap between the concepts of symmetry on the one hand and controlled invariance on the other hand. In order to do so we introduce the notion of *partial symmetry*, which is a weaker notion than symmetry, but gives rise to a (locally) controlled invariant distribution. Since this distribution is generated by the action of a Lie group this also enables us to give a more "algebraic" treatment of controlled invariance and in particular of the input-output decoupling problems which will be considered in Section 4. In fact the concept of partial symmetry seems the natural framework for the (global) decompositions of systems aimed at in input-output decoupling.

Apart from symmetry and controlled invariance there still exists another approach to the investigation of structural properties of nonlinear systems. This approach is based on the study of the Lie algebra of vectorfields generated in a certain way by the system (the "controllability algebra"). In an innovative paper by Krener ([7]) the structure of this Lie algebra (in the case it is finite-dimensional!) is employed to derive a decomposition of the system into lower dimensional systems. The sum of the dimensions of these systems is however in general larger than the dimension of the original system. This problem is not met in the work of Respondek ([12]), where Lie algebraic conditions are stated in order to give a parallel and/or cascade decomposition of the system by using state space transformations. The controllability algebra is obviously not invariant under feedback, in contrast with the notions of symmetry and partial symmetry which we will use in this paper.

### Some Notation

A coordinate free description of a general nonlinear system

$$\dot{x} = f(x, u) \tag{1.1}$$

can be given in the following way, see for instance [10]. Let M be an *n*-dimensional state space manifold with local coordinates x. Let furthermore  $B \xrightarrow{\pi} M$  be an n + m-dimensional fiber bundle over M with projection  $\pi$ . We can extend the local coordinates x for M to local coordinates (x, u) for B. The nonlinear system

is now given by a smooth mapping  $f: B \rightarrow TM$  such that the diagram

$$\begin{array}{c} B \xrightarrow{f} TM \\ \pi \swarrow \pi_{M} \\ M \end{array} \tag{1.2}$$

commutes.

In local coordinates (x, u) this yields (1.1). A special but important case is where B is a vector bundle and f is an affine map. Then we obtain a representation of the form

$$\dot{x} = A(x) + \sum_{i=1}^{m} u_i B_i(x)$$
(1.3)

with A and  $B_i$  (locally) defined vectorfields on M. We call this an *affine* (or input-linear) system.

### 2. Symmetries and Partial Symmetries

In this section we define the notion of *symmetry* and *partial symmetry* for nonlinear control systems. For a more general treatment of symmetries including the inputs *and* the outputs of the system we refer to [15, 16].

The (partial) symmetries which we will deal with are generated by the action of a *Lie group*.

**Definition 2.1** (see for instance [1]). Let M be a smooth manifold. An *action* of a Lie group G on M is a smooth mapping  $\Phi: G \times M \to M$  such that

- (i) for all  $x \in M$ ,  $\Phi(e, x) = x$ (e is the identity of G)
- (ii) for all  $x \in M$ ,  $\Phi(g, \Phi(h, x)) = \Phi(gh, x)$ for all  $g, h \in G$  (gh is the "product" in G)

We denote for each  $g \in G$  the mapping  $\Phi(g, \cdot): M \to M$  by  $\Phi_g$ , and the mapping  $\Phi(\cdot, x): G \to M$ , with  $x \in M$ , by  $\Phi_x$ .

We use the following additional terminology.

**Definition 2.2.** Let  $\Phi$  be an action of G on M. For  $x \in M$  the *orbit* of x is given by

$$G \cdot x = \left\{ \Phi_g(x) | g \in G \right\}$$

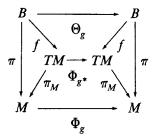
The space of orbits is denoted by M/G. The projection  $p_m: M \to M/G$  is defined by  $x \to G \cdot x$ . The action is *free* if for each  $x \in M$ , the map  $\Phi_x$  is one-to-one. The action is *proper* if  $\tilde{\Phi}: G \times M \to M \times M$ , defined by  $\tilde{\Phi}(g, x) = (x, \Phi(g, x))$  is a proper mapping, that is, if  $K \subset M \times M$  is compact, then  $\tilde{\Phi}^{-1}(K)$  is compact. The following statements can be proved (cf. [1]):

#### **Proposition 2.3.** Let $\Phi$ be an action of G on M.

- a. If  $\Phi$  is proper, then the orbits  $G \cdot x$  are closed submanifolds of M.
- b. If  $\Phi$  is free and proper, then  $\Phi_x$  is an injective immersion.
- c. If  $\Phi$  is free and proper, then M/G is a smooth manifold such that  $p_m: M \to M/G$  is a surjective submersion. After these preliminaries we give the following definition of a symmetry

introduced in [15, 16] and followed up in [4, 3].

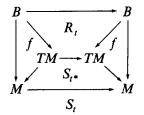
**Definition 2.4.** Let  $\Sigma(M, B, f)$  be a nonlinear control system. Let G be a Lie group such that  $\Theta: G \times B \to B$  and  $\Phi: G \times M \to M$  are group actions. This *pair* of group actions is denoted by  $(G, \Theta, \Phi)$ . Then  $(G, \Theta, \Phi)$  is a symmetry for  $\Sigma(M, B, f)$  if for each  $g \in G$  the following diagram



commutes, i.e.

$$\pi \circ \Theta_g = \Phi_g \circ \pi$$
(2.1.a)  
$$f \circ \Theta_g = \Phi_{g^*} \circ f$$
(2.1.b)

*Example.* The simplest example of a symmetry is given by a pair (S, R) of complete vectorfields S on M and R on B such that the diagram



commutes for all  $t \in \mathbb{R}$ , with  $R_t$  and  $S_t$  the flows of R and S (i.e.  $\frac{d}{dt}R_t(b) =$  $R(R_t(b)), \frac{d}{dt}S_t(x) = S(S_t(x)))$ . In this case the group G equals  $\mathbb{R}$  and the group actions  $\Phi: \mathbb{R} \times M \to M, \ \Theta: \mathbb{R} \times B \to B$  are given by  $\Phi(t, x) = S_t(x), \ \Theta(t, b) =$  $R_{i}(b)$ . This type of symmetry is extensively studied in [15, 16].

Partial Symmetries for Nonlinear Systems

In the sequel we will restrict ourselves to pairs of group actions  $(G, \Theta, \Phi)$ such that  $\Phi$  and  $\Theta$  are both *proper and free* (Actually it is easy to prove that if  $\Phi$ is proper and free, then  $\Theta$  is necessarily *also* proper and free (cf. [4])). The orbits of  $\Theta$  on *B* define a *foliation* on *B*, and the orbits of  $\Phi$  define a foliation on *M* (the leaves of the foliations are the orbits of the group actions). Since  $\Theta$  and  $\Phi$ are free the dimension of the leaves of both foliations is equal to the dimension of *G*. Corresponding to these foliations there exist involutive distributions  $D_{\Theta}$  on *B* and  $D_{\Phi}$  on *M* (the maximal integral manifolds of  $D_{\Theta}$  and  $D_{\Phi}$  are the orbits of  $\Theta$ , respectively  $\Phi$ ). The dimension of both distributions is constant and equal to the dimension of *G*.

We now come to the definition of a *partial symmetry*. Let  $f: B \to TM$  and D be a distribution on M. Then we denote by  $f \pmod{D}$  the map attaching to a point  $(x, u) \in B$  the rest class f(x, u) + D contained in TM.

**Definition 2.5.** Let  $\Sigma(M, B, f)$  be a control system. Let  $\Theta: G \times B \to B$  and  $\Phi: G \times M \to M$  be group actions. Then we call  $(G, \Theta, \Phi)$  a *partial symmetry* if for each  $g \in G$ 

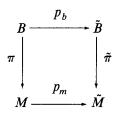
$$\pi \circ \Theta_g = \Phi_g \circ \pi \tag{2.2.a}$$

$$f \circ \Theta_g(\text{mod } D_{\Phi}) = (\Phi_g)_* \circ f(\text{mod } D_{\Phi})$$
(2.2.b)

**Remark.** In comparison with Definition 2.4 we have just weakened equation (2.1.b) to (2.2.b) by requiring equality *modulo* the distribution  $D_{\Phi}$ .

Let  $(G, \Theta, \Phi)$  be a partial symmetry for  $\Sigma(M, B, f)$ . Since it will be a standing assumption that  $\Theta$  and  $\Phi$  are free and proper, by Proposition 2.3.c,  $\tilde{B} = B/G$  and  $\tilde{M} = M/G$  are smooth manifolds with submersive smooth projections  $p_b: B \to \tilde{B}$  and  $p_m: M \to \tilde{M}$ . Moreover the following holds

**Proposition 2.6.**  $\pi: B \to M$  induces a smooth mapping  $\tilde{\pi}: \tilde{B} \to \tilde{M}$  such that



commutes, and  $\tilde{B} \xrightarrow{\tilde{\pi}} \tilde{M}$  is a fiber bundle with standard fiber equal to the standard fiber of B. In fact  $B \xrightarrow{\pi} M$  is diffeomorphic to the pullback bundle (over  $p_m$ ) of  $\tilde{B} \xrightarrow{\tilde{\pi}} \tilde{M}$ .

*Proof.* Since  $\pi$  is by (2.2.a) *equivariant* ([1, p. 264]) with respect to the actions  $\Phi$  and  $\Theta$ ,  $\pi$  projects to a smooth mapping  $\tilde{\pi}: \tilde{B} \to \tilde{M}$  ("passage to quotients"). Since  $\pi$  is a surjective submersion it follows that  $\tilde{\pi}$  is a surjective submersion too.

From the definition of the differentiable structure on  $\tilde{M}$  and  $\tilde{B}$  it follows that  $\tilde{B} \xrightarrow{\tilde{\pi}} \tilde{M}$  is a fiber bundle with standard fiber equal to the standard fiber of  $B \xrightarrow{\pi} M$  (since dim  $D_{\Theta} = \dim D_{\Phi}$ ).

**Remark.** Therefore the condition (2.2.b) for a partial symmetry

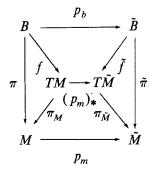
$$f \circ \Theta_{g} (\operatorname{mod} D_{\Phi}) = (\Phi_{g})_{*} \circ f (\operatorname{mod} D_{\Phi})$$

can be also stated as

$$(p_m)_* \circ f \circ \Theta_g = (p_m)_* \circ (\Phi_g)_* \circ f.$$

Actually not only the fiber bundle  $B \xrightarrow{\pi} M$  projects a fiber bundle  $\tilde{B} \xrightarrow{\tilde{\pi}} \tilde{M}$  but the whole control system projects to a control system  $\tilde{\Sigma}(\tilde{M}, \tilde{B}, \tilde{f})$ :

**Proposition 2.7.** Let  $(G, \Theta, \Phi)$  be a partial symmetry for a nonlinear control system  $\Sigma(M, B, f)$  (with  $\Theta$  and  $\Phi$  free and proper). Then there exists a smooth mapping  $\tilde{f}: \tilde{B} \to T\tilde{M}$  such that the diagram



commutes.

*Proof.* Define  $\tilde{f}$  by  $\tilde{f}(\tilde{b}) := (p_m)_* f(b)$ , with  $b \in p_b^{-1}(\tilde{b})$ . It follows from (2.2.b) that  $\tilde{f}$  is a well-defined smooth mapping which makes the diagram commutative.

**Remark 1.** The control system  $\tilde{\Sigma}(\tilde{M}, \tilde{B}, \tilde{f})$  as above is called a *quotient system* (see [10]).

**Remark 2.** Of course, Propositions 2.6 and 2.7 hold a fortiori for symmetries  $(G, \Theta, \Phi)$  (Definition 2.4.)

We now investigate what the existence of a symmetry or partial symmetry implies for the *structure* of the control system. First we make a *local* analysis. Let  $(G, \Theta, \Phi)$  be a (partial) symmetry, and let  $D_{\Theta}$  and  $D_{\Phi}$  be the corresponding distributions on *B* and *M*. Since  $D_{\Phi}$  is integrable we can locally choose coordinates  $x = (x_1, \ldots, x_n)$  for M such that  $D_{\Phi} = \operatorname{span}\left\{\frac{\partial}{\partial x_1}, \ldots, \frac{\partial}{\partial x_k}\right\}$ , with  $k \le n$  (Frobenius). Furthermore we can consider the coordinates  $(x_1, \ldots, x_n)$  for M as functions on B (by identifying them with  $x_i \circ \pi$ ), and extend this set of functions to coordinates  $(x, u) = (x_1, \ldots, x_n, u_1, \ldots, u_m)$  for B. We call such coordinates for B fiber respecting. Moreover since  $D_{\Theta}$  is integrable and  $\pi_* D_{\Theta} = D_{\Phi}$  (this follows from  $\pi \circ \Theta_g = \Phi g \circ \pi, \forall g$ ), we can choose the coordinate functions  $u_1, \ldots, u_m$  in such a way that with respect to the coordinates (x, u) (remember dim  $D_{\Theta} = \dim D_{\Phi}$ )

$$D_{\Theta} = \operatorname{span}\left\{\frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_k}\right\} \quad (\operatorname{see} [10])$$

Denote for simplicity  $x^1 = (x_1, ..., x_k)$  and  $x^2 = (x_{k+1}, ..., x_n)$ . Then, if  $(G, \Theta, \Phi)$  is a partial symmetry, it follows from  $f \circ \Theta_g \pmod{D_{\Phi}} = (\Phi_g)_* \circ f \pmod{D_{\Phi}}, \forall g \in G$ , that the system has the following normal form

$$\dot{x}^{1} = f^{1}(x^{1}, x^{2}, u)$$
  
$$\dot{x}^{2} = f^{2}(x^{2}, u)$$
(2.3)

with  $f = (f^1, f^2)$  in the above coordinates  $(x^1, x^2)$ .

A fortiori this normal form holds if  $(G, \Theta, \Phi)$  is a symmetry. However in this case we can say more following [3, 4]. Let the *center* (the maximal abelian sub group) of G be *l*-dimensional  $(l \le k)$ . Then it is easily seen that we can choose the coordinates  $(x_1, \ldots, x_k)$  in such a way that the vectorfields  $\frac{\partial}{\partial x_1}, \ldots, \frac{\partial}{\partial x_l}$  are the images of left-invariant vectorfields on G under the action  $\Phi$ , i.e. there exist independent vectors  $\xi_1, \ldots, \xi_l$  in  $T_e G$  such that for every x in the coordinate neighbourhood

$$\frac{\partial}{\partial x_i} = \frac{d}{dt} \Phi(\exp t\xi_i, x)|_{t=0}, \qquad i = 1, \dots, l.$$

where  $\exp: T_e G \to G$  is the usual exponential map. Then, if  $(G, \Theta, \Phi)$  is a symmetry,  $f \circ \Theta_g = (\Phi_g)_* \circ f$ , for each  $g \in G$ , implies that the system has the following more *specialized normal form* 

$$\dot{x}_{1} = f_{1} \quad (x_{l+1}, \dots, x_{n}, u)$$

$$\dot{x}_{l} = f_{l} \quad (x_{l+1}, \dots, x_{n}, u)$$

$$\dot{x}_{l+1} = f_{l+1} (x_{l+1}, \dots, x_{n}, u)$$

$$\vdots$$

$$\dot{x}_{k} = f_{k} \quad (x_{l+1}, \dots, x_{n}, u)$$

$$\dot{x}_{k+1} = f_{k+1} (x_{k+1}, \dots, x_{n}, u)$$

$$\vdots$$

$$\dot{x}_{n} = f_{n} \quad (x_{k+1}, \dots, x_{n}, u)$$
(2.4)

In particular if G is abelian (l = k) we can choose the coordinates  $x^1 = (x_1, ..., x_k)$  in such a way that the system has the form

$$\dot{x}^{1} = f^{1}(x^{2}, u)$$
  
$$\dot{x}^{2} = f^{2}(x^{2}, u)$$
(2.5)

For a global analysis we make use of some results obtained in [4]. We define *feedback* as a bundle isomorphism from B to itself (cf. [9, 15]). So feedback is a

diffeomorphism  $\alpha: B \to B$  such that the diagram  $B \xrightarrow[\pi]{} \pi$  B commutes.

We call a control system  $\Sigma(M, B, f)$  feedback equivalent to another control system  $\Sigma'(M, B, f')$  if there exists a feedback  $\alpha$  such that  $f' = f \circ \alpha$  (cf. [10, 16]). The following proposition is immediate (cf. [4]).

**Proposition 2.8.** Suppose  $\Sigma(M, B, f)$  has a (partial) symmetry  $(G, \Theta, \Phi)$ . Let  $\Psi$  be another G-action on B satisfying  $\pi \circ \Psi_g = \Phi_g \circ \pi$ ,  $\forall g \in G$ . Then  $\Sigma$  is feedback equivalent to some system having a (partial) symmetry  $(G, \Psi, \Phi)$  if and only if there exists a feedback  $\alpha: B \to B$  satisfying  $f \circ \Theta_g \circ \alpha = f \circ \alpha \circ \Psi_g$ ,  $\forall g \in G$ , in the case of a symmetry, or,  $f \circ \Theta_g \circ \alpha \pmod{D_{\Phi}} = f \circ \alpha \circ \Psi_g \pmod{D_{\Phi}}$ ,  $\forall g \in G$ , in the case of a partial symmetry.

A sufficient condition for the existence of a feedback  $\alpha$  is stated in the following

**Proposition 2.9.** Take the same assumptions as in Proposition 2.8. Furthermore suppose that M is diffeomorphic to  $M/G \times G$ . Then there exists a feedback  $\alpha$  satisfying  $\Theta_{g} \circ \alpha = \alpha \circ \Psi_{g}, \forall g \in G$ .

**Remark.** In [4] this proposition is proved for *trivial* bundles  $B = M \times U$ , but the proof is easily generalized to arbitrary fiber bundles.

For the global analysis of the structure induced by the existence of a symmetry or a partial symmetry we will now make the following rather severe assumptions following [4]:

1. B is trivial, i.e.  $B = M \times U$ , with U a manifold,

2. *M* is diffeomorphic to  $M/G \times G$ .

Let now  $(G, \Theta, \Phi)$  be a (partial) symmetry for the control system  $\Sigma(M, B, f)$ . Consider the G-action  $\Psi$  on B ( $= M \times U$ ) defined by

$$\Psi_{g}(m,u) = (\Phi_{g}(m),u) \qquad m \in M, u \in U$$

It is clear that  $\pi \circ \Psi_g = \Phi_g \circ \pi$ . Hence by propositions 2.8, 2.9 there exists a feedback  $\alpha$  such that  $\Sigma(M, B, f)$  is feedback equivalent to the control system  $\Sigma'(M, B, f')$ , with  $f' = f \circ \alpha$ , having (partial) symmetry  $(G, \Psi, \Phi)$ . Since  $M \simeq M/G \times G$  we can identify a point  $m \in M$  with a point  $(y, g) \in M/G \times G$ . Then

if  $(G, \Theta, \Phi)$  is a symmetry we obtain the following global normal form for the system  $\Sigma'(M, B, f')$ . The system equations  $\dot{x} = f'(x, u)$  take the form (see [4])

$$\dot{y} = \tilde{f}'(y, u)$$

$$\dot{g} = T_e \mathscr{L}_g v,$$
(2.6)

with v = h(y, u) and  $\mathscr{L}_g$  the left multiplication on G. Here  $\tilde{f}'$  is the "quotient mapping" of f' (see Proposition 2.7), while the mapping h is further specified in [4]. We notice that both mappings  $\tilde{f}'$  and h do not depend on g.

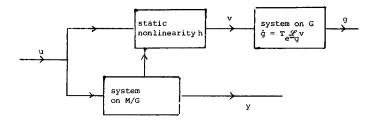
Similarly, if  $(G, \Theta, \Phi)$  is only a *partial symmetry* we obtain the following normal form for  $\dot{x} = f'(x, u)$ 

$$\dot{y} = \tilde{f}'(y, u)$$

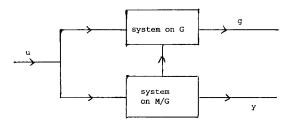
$$\dot{g} = h(y, g, u)$$
(2.7)

with h a certain mapping generally depending on g. Schematically we summarize the situation as follows (cf. [3, 4]).

Symmetry.  $\Sigma(M, B, f')$  has the form



**Partial Symmetry.**  $\Sigma(M, B, f')$  has the form



By taking the feedback  $\alpha$  into account one easily obtains similar decompositions of the original system  $\Sigma(M, B, f)$ .

## 3. Connections with Controlled Invariance

Let  $(G, \Theta, \Phi)$  be a partial symmetry for a nonlinear control system  $\Sigma(M, B, f)$ and let the distributions  $D_{\Theta}$  and  $D_{\Phi}$  be as before. Recall the definition of the prolongation  $\dot{D}$  of an involutive distribution D of constant dimension on M (see [10]). In local coordinates  $\dot{D}$  is given as follows. By Frobenius' Theorem we can choose coordinates  $(x_1, \ldots, x_n)$  for M such that  $D = \operatorname{span}\left\{\frac{\partial}{\partial x_1}, \ldots, \frac{\partial}{\partial x_k}\right\}, k \le n$ . Then  $\dot{D}$  is the distribution on TM locally given by

$$\dot{D} = \operatorname{span}\left\{\frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_k}, \frac{\partial}{\partial \dot{x}_1}, \dots, \frac{\partial}{\partial \dot{x}_k}\right\}$$

Here  $(x_1, \ldots, x_n, \dot{x}_1, \ldots, \dot{x}_n)$  denote coordinates for *TM* with  $\dot{x}_i: TM \to \mathbb{R}$  defined by  $\dot{x}_i(v) = dx_i(v)$  for  $v \in TM$ .

Recall furthermore that an involutive distribution D of constant dimension on M is called *locally controlled invariant* w.r.t. the control system  $\Sigma(M, B, f)$  if locally around each point on M there exists an involutive distribution E of constant dimension on B such that (see [10])

1. 
$$\pi_* E = D$$
 and dim  $E = \dim D$   
2.  $f_* E \subset \dot{D}$ 
(3.1)

We obtain

**Proposition 3.1.** Let  $(G, \Theta, \Phi)$  be a partial symmetry for  $\Sigma(M, B, f)$ . Then:  $\pi_* D_{\Theta} = D_{\Phi}$ , dim  $D_{\Theta} = \dim D_{\Phi}$  and  $f_* D_{\Theta} \subset \dot{D}_{\Phi}$ . Hence  $D_{\Phi}$  is locally controlled invariant.

*Proof.*  $\pi_* D_{\Theta} = D_{\Phi}$  follows from (2.2.a), while  $f_* D_{\Theta} \subset D_{\Phi}$  follows from differentiating (2.2.b) along curves  $t \mapsto g(t)$  in the Lie group G.

We conclude that a partial symmetry, and a fortiori a symmetry, yields a locally controlled invariant distribution  $D_{\Phi}$ . This distribution however has a richer structure than a general locally controlled invariant distribution D, because of the following reasons:

1. The distribution E on B such that dim  $E = \dim D$ ,  $\pi_* E = D$ ,  $f_* E \subset D$  is globally defined if  $D = D_{\Phi}$ , with  $(G, \Theta, \Phi)$  a partial symmetry. Indeed  $E = D_{\Theta}$ .

2. The distributions  $D_{\Phi}$  and  $D_{\Theta}$  have a special structure, being generated by a group action. In fact D, viewed as a subbundle of TM, is a trivial bundle over M, isomorphic to  $M \times g$  (g is the Lie algebra of G). The same applies to  $D_{\Theta}$ .

3. If  $\overline{\Phi}$  and  $\Theta$  are free and proper then M/G and B/G are well-defined smooth quotient manifolds.

We recall from [10] that a necessary and sufficient condition for an involutive distribution D of constant dimension on M to be locally controlled invariant is that D satisfies

$$f_{*}(\pi_{*}^{-1}(D)) \subset \dot{D} + f_{*}(\Delta_{0}^{e})$$
(3.2)

where  $\Delta_0^e \subset TB$  is the vertical tangent space of *B*, i.e.  $\Delta_0^e(b) = \{X \in T_b B | \pi_* X = 0\}, \forall b \in B$ . In fact this condition implies the local existence of a distribution *E* 

on B satisfying (3.1). If we assume that D satisfies (3.2) and is generated by a group action we can say more:

**Proposition 2.1.** Let  $\Phi: G \times M \to M$  be a group action (free and proper). Let  $D_{\Phi}$  be locally controlled invariant (i.e. satisfies (3.2)). Assume that G is simply connected. Then there exists a globally defined distribution E on B such that

$$f_*E \subset D_{\Phi}$$

$$\pi_*E = D \quad \text{and } \dim E = \dim D_{\Phi}$$
(3.3)

Furthermore assume that E defines a horizontally complete connection (cf. [10]) in the bundle B above every leaf of the foliation corresponding to  $\Phi$ . Then there exists a group action  $\Theta: G \times B \to B$  (free and proper) such that  $E = D_{\Theta}$ . Hence  $(G, \Theta, \Phi)$ is a partial symmetry.

**Proof.** M/G is a smooth manifold such that  $p_m: M \to M/G$  is a smooth submersion. Because  $D_{\Phi}$  is locally controlled invariant E satisfying (3.3) exists *locally*. Since G is simply connected the leaves of the foliation of M induced by  $D_{\Phi}$  are also simply connected. Then it follows (see [6]) that E exists globally. Finally we construct  $\Theta: G \times B \to B$  as follows. Let  $g \in G$  and  $b \in B$ . Denote the maximal integral manifold of E through B by F. Now there exists a *unique* point  $b' \in F$  such that  $\pi(b') = \Phi_g(\pi(b))$ . (The existence follows from the fact that Edefines a horizontally complete connection, and so we can lift every curve in a leaf of  $D_{\Phi}$  to a curve in B. The uniqueness follows from G being simply connected). Then define  $\Theta_g(b) = b'$ . It is easy to see that  $\Theta_g$  is a smooth group action.  $\Box$ 

**Remark.** In the next section we will restrict ourselves to affine (or input-linear) systems  $\dot{x} = A(x) + B(x)u$ . In this case the distribution E is an *affine* connection on B (above every leaf) given by tangent vectors of the form

$$\frac{\partial}{\partial x_i} + \left[h_i(x) + K_i(x)u\right] \frac{\partial}{\partial u}$$

where the  $h_i$  and  $K_i$  satisfy certain integrability conditions (cf. [10]). Such affine connections are automatically horizontally complete. So in this case we do not have to make extra assumptions in order to define  $\Theta$  such that  $E = D_{\Theta}$ .

The contents of the last proposition are related to the notion of global controlled invariance. Recall ([10, 16]) that we call a distribution D on M globally controlled invariant if there exists a horizontal involutive distribution H on B (horizontal means dim  $H = \dim M$  and  $\pi_* H = TM$ , i.e. an integrable connection cf. [10]), such that

$$f_*(\pi_*^{-1}(D) \cap H) \subset \dot{D} \tag{3.4}$$

We derive

**Proposition 3.3.** Let  $(G, \Theta, \Phi)$  be a partial symmetry. Suppose that the bundle  $\tilde{B} = B/G$  admits a horizontal involutive distribution  $\tilde{H}$ . Then  $D_{\Phi}$  is globally controlled invariant.

*Proof.* There exists a unique horizontal involutive distribution H on B such that  $(p_b)_* H = \tilde{H}$  and ker $(p_b)_* \cap H = D_{\Theta}$ . This distribution H does the job.

# 4. Decomposition and Partial Symmetries

In this section we will restrict ourselves to smooth affine nonlinear systems  $\Sigma(M, B, f)$ :

$$\dot{x} = A(x) + \sum_{i=1}^{m} B_i(x) u_i = A(x) + B(x) u$$
(4.1)

It is assumed that the distribution  $\Delta_0 := \text{Span}\{B_1, \dots, B_m\}$  has constant dimension *m* on *M*. Our goal is to discuss here the relation between partial symmetries and decomposition of nonlinear systems. In our context decomposition can be understood in three different ways:

1. algebraically: The group action  $(G, \Theta, \Phi)$  has a refined algebraic structure.

2. geometrically: The distributions  $D_{\Theta}$  and  $D_{\Phi}$  induced by a partial symmetry possess a special geometric structure.

3. analytically: The normal form (2.3) associated with a partial symmetry  $(G, \Theta, \Phi)$  has a more detailed structure.

The second characterization enables us to fully exploit the recent results on input-output decoupling problems of a nonlinear system, see [8, 11, 12, 13]. It is our belief that the notion of partial symmetry is a natural framework for the (global) study of these noninteracting control problems.

To start with, we assume there is given a Lie-group G together with closed Lie-subgroups  $G_1, \ldots, G_k$ . Let  $\Phi$  be a free and proper action of G on M. Then  $\Phi$ induces free and proper actions  $\Phi_i$  of  $G_i$  on M by

$$\Phi_i = \Phi|_{G_i}, \qquad i = 1, \dots, k.$$
(4.2)

Suppose that there exist partial symmetries  $(G_1, \Theta_1, \Phi_1), \dots, (G_k, \Theta_k, \Phi_k)$  for the affine system  $\Sigma(M, B, f)$  given by (4.1). Our first results treats the simplest case, where the subgroups  $G_1, \dots, G_k$  are 'independent', i.e.

$$G = G_1 \oplus \cdots \oplus G_k. \tag{4.3}$$

**Lemma 4.1.** Let  $G, G_1, \ldots, G_k$  be Lie-groups satisfying (4.3), with proper and free group actions  $\Phi, \Phi_1, \ldots, \Phi_k$  satisfying (4.2). Let  $(G_1, \Theta_1, \Phi_1), \ldots, (G_k, \Theta_k, \Phi_k)$  be partial symmetries for the strongly accessible (cf. [14]) system (4.1). Then there

exists a partial symmetry  $(G, \Theta, \Phi)$  for (4.1) if

$$\Delta_0 = \sum_{i \in \underline{k}} \Delta_0 \cap D_{\Phi_i}.$$
(4.4)

*Proof.* By the fact that  $\Phi: G \times M \to M$  is a free action and while (4.3) holds, it follows that the induced involutive distributions  $D_{\Phi_1}, \ldots, D_{\Phi_k}$  are *independent*, cf. [13, 8], i.e. the intersection of distinct unions of  $D_{\Phi_i}$ 's is zero ( $\sum_{i \in I} D_{\Phi_i} \cap \sum_{j \in J} D_{\Phi_j} = 0$ 

for  $I, J \subset \underline{k}, I \cap J = \emptyset$ ). Notice that  $\dim(D_{\Phi_i}) = \dim G_i, i \in \underline{k}$ . From the strong accessibility of (4.1) and the fact that  $\overline{\Delta_0} = \sum_{i \in \underline{k}} \Delta_0 \cap D_{\Phi_i} \subset$  $D_{\Phi}$  it follows, see [16],

$$\dim(D_{\Phi}) = \dim(TM) = n, \tag{4.5}$$

with TM the maximal distribution on M. Furthermore the distributions  $D_{\Phi_1}, \ldots, D_{\Phi_k}$  are simultaneously integrable, see [13], therefore

$$TM = D_{\Phi} = D_{\Phi_1} \oplus \cdots \oplus D_{\Phi_k}, \tag{4.6}$$

cf. [8, 13].

In order to prove that there exists a partial symmetry  $(G, \Theta, \Phi)$  for (4.1) we only need to construct the free and proper action  $\Theta: G \times B \to B$ . But the so-called noninteracting condition (4.4) guarantees that the distributions  $D_{\Phi_1}, \ldots, D_{\Phi_k}$  (all being locally controlled invariant, cf. section 3) are compatible, i.e. there locally exists a state feedback for the system (4.1) such that each of the distributions  $D_{\Phi_1}, \ldots, D_{\Phi_k}$  is invariant for the modified system (remark (iii), p. 17 of [11]). From this and proposition 3.2 (also remark after proposition 3.2) it now follows that there is a free and proper group action  $\Phi: G \times B \to B$ . 

**Remark.** It is emphasized that in general  $\Theta|G_i \neq \Theta_i$ .

**Corollary 4.2.** Under the conditions of lemma 4.1 the normal form (2.3) associated with the partial symmetry  $(G, \Theta, \Phi)$  has the following detailed structure:

*Proof.* Because the independent distributions  $D_{\Phi_1}, \ldots, D_{\Phi_k}$  are simultaneously integrable we may choose local coordinates  $x = (x_1, \ldots, x_n) = (x^1, \ldots, x^k)$  such that, cf. [13],

$$D_{\Phi_i} = \operatorname{span}\left\{\frac{\partial}{\partial x^i}\right\}, \quad i \in \underline{k}.$$
 (4.7)

The result now follows from [8].

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Our second result in this context deals with the situation that the closed Lie-subgroups  $G_1, \ldots, G_k$  are no longer independent. Perhaps somewhat surprisingly condition (4.3) may be replaced by the much weaker version ( $\cup$  is the set-theoretic union)

$$G = G_1 \cup \dots \cup G_k. \tag{4.8}$$

Obviously we still insist on the constraint that the free and proper actions  $\Phi_i$  of  $G_i$  on M are induced by the action  $\Phi$ , i.e.

$$\Phi_i = \Phi|_{G_i}, \qquad i \in \underline{k}.$$
(4.9)

Notice that in contrast with (4.2) and (4.3), the constraints (4.8) and (4.9) have certain implications for the actions  $\Phi_i$ ,  $i \in \underline{k}$ . For example  $\Phi_i$  and  $\Phi_j$  coincide on the subgroup  $G_i \cap G_j$ ,  $i, j \in \underline{k}$ .

**Lemma 4.3.** Let G be a Lie group with closed Lie subgroups  $G_1, \ldots, G_k$ , satisfying (4.8), with proper and free actions  $\Phi, \Phi_1, \ldots, \Phi_k$  satisfying (4.9). Let  $(G_1, \Theta_1, \Phi_1), \ldots, (G_k, \Theta_k, \Phi_k)$  be partial symmetries for the strongly accessible system (4.1). Then there exists a partial symmetry  $(G, \Theta, \Phi)$  for the control system (4.1) if

$$\Delta_0 = \sum_{i \in \underline{k}} \Delta_0 \cap D_{\Phi_i}.$$
(4.10)

**Proof.** This result follows the same lines as lemma 4.1. The basic observation is that (4.10) is the necessary and sufficient condition for compatibility of the distributions  $D_{\Phi_1}, \ldots, D_{\Phi_k}$ , see [11, 12]. In the same way as in lemma 4.1 we may conclude that there exists a free and proper action  $\Phi: G \times B \to B$ .

**Remark.** As in Lemma 4.1 the involutivity of the distribution  $\sum_{i \in I} D_{\Phi_i}$ ,  $I \subset \underline{k}$ , is a direct consequence of the group action  $\Phi|_{\sum_{i \in I} G_i} : \sum_{i \in I} G_i \times M \to M$ . In the geometric treatment of input-output decoupling problems this integrability issue is hard to solve, see [11, 12].

**Corollary 4.4.** Under the conditions of lemma 4.3 the normal form associated with the partial symmetry  $(G, \Theta, \Phi)$  has the following detailed structure

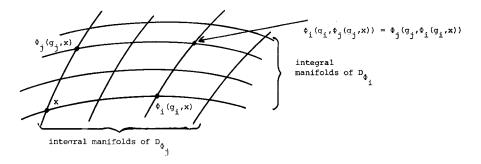
$$\begin{split} \dot{x}^{1} &= \tilde{A}^{1}(x^{1}) + \tilde{B}^{1}(x^{1})u^{1} \\ \vdots &\vdots \\ \dot{x}^{i} &= \tilde{A}^{i}(x^{i}) + \tilde{B}^{i}(x^{i})u^{i} \\ \vdots &\vdots \\ \dot{x}^{k} &= \tilde{A}^{k}(x^{k}) + \tilde{B}^{k}(x^{k})u^{k} \\ \dot{x}^{k+1} &= \tilde{A}(x^{1}, \dots, x^{k+1}) + \sum_{i \in m} \tilde{B}_{i}(x^{1}, \dots, x^{k+1})u_{i} \end{split}$$

Proof. See [11, revised version].

Up till now we assumed that a group action of G on M was already given. A more general question deals with the problem of first defining an action of G on M, given the actions of  $G_i$  on M and afterwards constructing the action of G on B. The following result should be considered as the preliminaries for lemmas 4.1 and 4.3.

**Lemma 4.5.** Suppose  $(G_1, \Theta_1, \Phi_1), \dots, (G_k, \Theta_k, \Phi_k)$  are partial symmetries for the strongly accessible affine system (4.1). Suppose that the distributions  $D_{\Phi_1}, \dots, D_{\Phi_k}$  are independent and simultaneously integrable. Then there exists a group action  $\Phi: G \times M \to M$  with  $G = G_1 \oplus \cdots \oplus G_k$  and  $\Phi|_{G_i} = \Phi_i$ ,  $i \in k$ .

*Proof.* From the fact that the distributions  $D_{\Phi_1}, \ldots, D_{\Phi_k}$  are simultaneously integrable it follows that for  $g_i \in G_i$  the map  $\Phi_i(g_i, \cdot): M \to M$  leaves the distribution  $D_{\Phi_i}$  invariant,  $i, j \in k$ .



Namely, while  $D_{\Phi_i} + D_{\Phi_j}$  is involutive, we have that there is a well defined distribution  $D_{\Phi_i}$  on  $M/G_j \approx M/D_{\Phi_j}$  such that  $p_{j*}(D_{\Phi_i}) = \tilde{D}_{\Phi_i}$ , where  $p_j: M \rightarrow M/D_{\Phi_j}$  is the corresponding projection. Notice that the integral manifolds of  $\tilde{D}_{\Phi_i}$  are diffeomorphic to the integral manifolds of  $D_{\Phi_i}$  ( $D_{\Phi_i}$  and  $D_{\Phi_j}$  are independent). Therefore there exists a well defined Lie-group action  $\tilde{\Phi}_j: G_j \times M/D\Phi_i \rightarrow M/D\Phi_i$  by

$$\tilde{\Phi}_j(g_j, x \mod D_{\Phi_j}) = \Phi_j(g_j, x) \mod D_{\Phi_j}.$$
(4.11)

Notice that the above procedure also works for more than two distributions  $D_{\Phi_i}$  and  $D_{\Phi_i}$ .

Next we come to the definition of  $\Phi: G \times M \to M$ , where  $G = G_1 \oplus \cdots \oplus G_k$ . Each  $g \in G$  has a *unique* representation as

$$g = g_1 + \cdots + g_k$$
, where  $g_i \in G_i$ ,  $i \in k$ .

Define

$$\Phi(g,\cdot) = \Phi_1(g_1, \Phi_2(g_2, \dots, \Phi_{k-1}(g_{k-1}, \Phi_k(g_k, \cdot)))).$$
(4.12)

It is now easy to verify that  $\Phi$  is a group action of G on M, which is again proper

and free (the actions  $\Phi_i$  of  $G_i$  on M are proper and free) and moreover satisfy

$$\Phi|_{G_i} = \Phi_i, \qquad i \in \underline{k} \,. \tag{4.13}$$

Also, because  $\Phi_i(g_i, \cdot)$  leaves the distribution  $D_{\Phi_j}$  invariant, we may replace the order of terms in the right-hand side of (4.12) in an arbitrary way.

Putting together lemma 4.1 and lemma 4.5 we arrive at

**Corollary 4.6.** Suppose  $(G_1, \Theta_1, \Phi_1), \dots, (G_k, \Theta_k, \Phi_k)$  are partial symmetries for the strongly accessible system (4.1). Suppose that the distributions are independent and simultaneously integrable. Then there exists a partial symmetry  $(G, \Theta, \Phi)$  for (4.1), with  $G = G_1 \oplus \cdots \oplus G_k$  and  $\Phi|_{G_i} = \Phi_i$ ,  $i \in k$ , if

$$\Delta_0 = \sum_{i \in k} \Delta_0 \cap D_{\Phi_i}.$$
(4.14)

**Remark.** We note that in fact the strong accessibility assumption in the foregoing lemmas is superfluous. This is most easily seen by reducing the state space by factoring out the 'uncontrollable part'.

Next we generalize the foregoing for the case that the Lie-groups  $G_1, \ldots, G_k$ are no longer independent. So we will start with a Lie-group G with closed Lie-subgroups  $G_1, \ldots, G_k$ . As we have seen in lemma 4.3 the simultaneous integrability of the distributions  $D_{\Phi_1}, \ldots, D_{\Phi_k}$  ( $\Phi_i: G_i \times M \to M$  group actions), i.e., for all  $i \in \underline{k}$  is  $\sum_{i \in I} D_{\Phi_i}$  involutive, plays a crucial role. Independency of the  $G_i$ 's is not a sufficient condition for simultaneous integrability as can be seen from the following example.

*Example 4.7.* Let G = SO(3) and its Lie algebra g = so(3). Define the Lie subalgebras  $g_1$  and  $g_2$  as

$$g_1 = \operatorname{span} \begin{bmatrix} 0 & 1 & 0 \\ -1 \cdot & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \qquad g_2 = \operatorname{span} \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{bmatrix}.$$

Let  $G_1$  and  $G_2$  be the corresponding Lie subgroups,  $G_1 = \exp g_1$ ,  $G_2 = \exp g_2$ . Although  $g_1$  and  $g_2$  are independent the set-theoretic union of  $g_1$  and  $g_2$ ,  $g_1 \cup g_2$ , is not a Lie subalgebra of g and therefore also  $G_1 \cup G_2$  is not a Lie-subgroup of G.

In the following easy proposition the necessary and sufficient conditions for simultaneous integrability are given.

**Proposition 4.8.** Let G be a Lie group with closed subgroups  $G_1, \ldots, G_k$ . Suppose  $\Phi_i: G_i \times M \to M$ ,  $i \in \underline{k}$  are proper and free group actions on M. Then  $D_{\Phi_1}, \ldots, D_{\Phi_k}$  are simultaneous integrable (i.e. all possible distributions  $\sum_{i \in I} D_{\Phi_i}$ ,  $I \in \underline{k}$  are involutive) if and only if for all  $I \in \underline{k}$ ,  $\bigcup_{i \in I} G_i$  is a closed Lie subgroup of G.

Proof. Immediate.

Partial Symmetries for Nonlinear Systems

We now come close to the construction of the group action on G. Suppose G is given and we have proper and free group actions  $\Phi_i: G_i \times M \to M, i = 1, 2$  of closed Lie subgroups  $G_i$  of G, i = 1, 2.

Assume that  $G_1$  and  $G_2$  satisfy the conditions of proposition 4.8. Then there exists a proper and free group action  $\Phi: (G_1 \cup G_2) \times M \to M$  if

$$\Phi_1|_{G_1 \cap G_2} = \Phi_2|_{G_1 \cap G_2}.$$
(4.15)

Namely the closed Lie subgroup  $G_1 \cup G_2$  can be decomposed as

$$G_1 \cup G_2 = \tilde{G}_1 \oplus G_1 \cap G_2 \oplus \tilde{G}_2, \text{ where } \tilde{G}_1 \subset G_1 \text{ and } \tilde{G}_2 \subset G_2.$$
(4.16)

Given  $g \in G_1 \cup G_2$  we may write  $g = g_1 \cdot g_{12} \cdot g_2$  with  $g_1 \in \tilde{G}_1$ ,  $g_2 \in \tilde{G}_2$  and  $g_{12} \in G_1 \cap G_2$ . Then  $\Phi(g, \cdot) = \Phi_1(g_1, \Phi_1(g_{12}, \Phi_2(g_2, \cdot)))$ . One easily verifies that, although the decomposition (4.15) is not unique, the action is well-defined. Moreover  $\Phi_1(g_1, \Phi_1(g_{12}, \Phi_2(g_2, \cdot))) = \Phi_1(g_1, \Phi_2(g_{12}, \Phi_2(g_2, \cdot))) = \Phi_2(g_2, \Phi_2(g_{12}, \Phi_1(g_1, \cdot)))$ , where the last equality is proved analogously as in lemma 4.5. Therefore the order of the  $\Phi_i$ 's in the definition of  $\Phi$  may be reversed. Notice that  $\Phi$  is uniquely defined on  $G_1 \cup G_2$ . The foregoing discussion reveals whether or not a group action  $\Phi$  can be defined on  $\bigcup_{i \in k} G_i$ , where the  $G_i$ 's satisfy

the conditions of proposition 4.8. We will call the actions  $\Phi_1, \ldots, \Phi_k$  compatible if such an action exists. That is, firstly condition (4.15) should be satisfied for all  $(G_i, \Phi_i)$  and  $(G_j, \Phi_j)$ ,  $i, j \in k$ . Then secondly while there is a *unique* action, say  $\Phi^{ij}$  on  $G_i \cup G_j$  the same condition (4.15) should hold for all  $(G_i \cup G_j, \Phi^{ij})$  and  $(G_l, \Phi_l)$ ,  $i, j, l \in k$ . The remaining conditions for compatibility of the  $\Phi_i$ 's now should be obvious.

Proposition 4.8 and the above considerations may be summarized as follows

**Lemma 4.9.** Suppose  $G_1, \ldots, G_k$  are closed Lie subgroups of a Lie group G. Let  $(G_1, \Phi_1), \ldots, (G_k, \Phi_k)$  be proper and free Lie group actions on M. There exists a proper and free group action  $\Phi: G \times M \to M$  with  $\Phi|_{G_i} = \Phi_i$  if

1) for all  $I \subseteq \underline{k}$ ,  $\bigcup_{i \in I} G_i$  is a closed Lie subgroup of G ( $\cup$  denotes the set theoretic union) and  $\bigcup_{i \in I} G_i = G$ .

coretic union) and 
$$\bigcup_{i \in \underline{k}} G_i \cong G$$
.

2) The group actions  $\Phi_1, \ldots, \Phi_k$  are compatible.

Therefore, see lemma 4.2 and lemma 4.9, we finally obtain:

**Corollary 4.10.** Suppose  $(G_1, \Theta_1, \Phi_1), \dots, (G_k, \Theta_k, \Phi_k)$  are partial symmetries for the affine system (4.1), and where  $G_1, \dots, G_k$  are closed Lie subgroups of a Lie group G. Suppose that

1) for all  $I \subset \underline{k}$ ,  $\bigcup_{i \in I} G_i$  is a closed Lie subgroup of G and  $\bigcup_{i \in \underline{k}} G_i = G$ .

2) The group actions  $\Phi_1, \ldots, \Phi_k$  are compatible. Then there exists a partial symmetry  $(G, \Theta, \Phi)$  for (4.1) with  $\Phi|_{G_i} = \Phi_i$ ,  $i \in k$ .

**Remarks.** (i) A very easy similar sort of results may be obtained for systems which admit a triangular decomposition, see [9].

(ii) Most of the results of this section are also valid for a general nonlinear system  $\Sigma(M, B, f)$ . Basically one needs results on input-output decoupling for these systems. This will be reported in a forthcoming paper, [17].

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