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Risk and risk reduction in trials of heart failure with reduced ejection fraction: absolute or relative?

Harriette G.C. Van Spall^{1,2,3*}, Tauben Averbuch¹, Kevin Damman⁴, and Adriaan A. Voors⁴

¹Department of Medicine, McMaster University, Hamilton, ON, Canada; ²Department of Health Research Methods, Evidence, and Impact, McMaster University, Hamilton, ON, Canada; ³Population Health Research Institute, Hamilton, ON, Canada; and ⁴University of Groningen, University Medical Center Groningen, Groningen, The Netherlands

Randomized clinical trials (RCTs) of heart failure with reduced ejection fraction (HFrEF) typically recruit patients at high risk of clinical outcomes during the follow-up period by including those with severe symptoms, comorbidities, elevated cardiac biomarkers, or a recent hospitalization.¹ By recruiting patients who will likely respond to the intervention and commonly experience outcomes without it, trials can demonstrate treatment efficacy with smaller sample sizes or shorter periods of follow-up. For example, in the CONSENSUS RCT of enalapril in patients with severe symptoms, 253 enrolled patients demonstrated a 40% relative risk reduction (RRR) in 6-month mortality.² In contrast, in the SOLVD trial of enalapril in younger patients with less severe symptoms, 2569 patients were enrolled to demonstrate a 16% RRR in 4-year mortality³ (Table 1).

Some have argued that recruiting high-risk patients in an RCT can have drawbacks – patients may be too advanced in their disease trajectory for treatment to improve physiologic and clinical endpoints.⁴ Another concern is that higher-risk patients may experience adverse effects more commonly. The VICTORIA trial showed that vericiguat caused a 10% RRR [hazard ratio (HR) 0.90; 95% confidence interval (CI) 0.82, 0.98] in the composite endpoint of cardiovascular death or heart failure hospitalization.⁵ VICTORIA enrolled higher-risk patients (37.8 events/100 patient-years) than a majority of pharmacotherapy trials in patients with HFrEF, a reason proposed by some to explain its modest effect; the absolute risk reduction (ARR) (3.0%) and RRR were lower than in the PARADIGM-HF trial, which recruited lower-risk patients (13.2 events/100 patient-years) and demonstrated that sacubitril-valsartan caused a RRR of 20% (HR 0.80; 95% CI 0.73, 0.87) and ARR of 4.7 vs. enalapril on the same composite endpoint.⁶ Furthermore, subgroup analysis in a few HFrEF trials demonstrated the possibility of attenuated treatment effect in higher-risk patients.^{6–8} To some, this evidence represents the possibility of a risk threshold within RCTs beyond which pharmacotherapies may provide minimal incremental benefit.

How does the risk of a trial population influence ARR and RRR, and how can these measures be used to guide inferences about treatment effect? Is there a threshold of risk beyond which it is difficult to demonstrate treatment effect in HFrEF RCTs?

Absolute vs. relative risk

Risk is the ratio of individuals developing an undesirable outcome during a follow-up period. Risk comparisons can be described in relative or absolute terms. Often, treatments with a large RRR also offer a large ARR. However, for any given RRR, higher event rates in the trial population will translate to a higher ARR (Figure 1). For fair comparisons to be made across populations, it is important to express risk over a standard duration of follow-up, and measures such as annualized mortality can be useful. Incidence rate ratio and HRs are measures of relative risk; the former assumes constant risk over time, while the latter assumes proportional hazards but not constant risk.

With the same relative risk reduction, the absolute risk reduction is greater when higher-risk patients are included

Randomized clinical trials in patients with HFrEF demonstrate an association between baseline risk of death – as measured by annualized mortality – and ARR offered by an intervention. Table 1 describes the clinical risk profile, annualized mortality, and absolute and relative risk reduction across pivotal trials in HFrEF. The RRR offered by pharmacotherapies in the same class may be consistent across trials, but the ARR varies according to risk of the trial population, which is a reflection of inclusion

*Corresponding author. McMaster University, 237 Barton Street East, Hamilton, ON L8L 2X2, Canada. Tel: +1 905 521-2100, Fax: +1 905 538-8932, Email: harriette.vanspall@phri.ca

Table 1 A comparison of patient risk profiles and events in trials of heart failure with reduced ejection fraction

| | CONSEN -SUS (n = 253) | SOLVD (n = 2569) | DIG (n = 6800) | MERIT-HF (n = 3991) | COPER -NICUS (n = 2289) | CHARM- Added (n = 2548) | CHARM- Alternative (n = 2028) | RALES (n = 1663) | EMPHA- SIS-HF (n = 2737) | SHIFT (n = 6508) | PARA- DIGM HF (n = 8399) | DAPA- HF (n = 4744) | EMPEROR- Reduced (n = 3730) | VICTORIA (n = 5050) | GALAC- TIC-HF (n = 8256) | |
|--------------------------------------|---------------------------------------|---------------------------------------|--------------------------------------|--|--|---|--|--|--|--|--|---|---|---|--|--|
| Inclusion criteria | NYHA II HF | HF and EF < 35% | HF, EF < 45% and normal sinus rhythm | NYHA II-IV HF and EF < 40% | NYHA IV HF and LVEF < 25% | NYHA II-IV HF, LVEF < 40% and treated with an ACE inhibitor | NYHA II-IV HF, LVEF < 40% and intolerant to ACE inhibitors | NYHA III-IV HF and EF < 35% | NYHA II HF and EF < 30% | HF with LVEF < 35%, sinus rhythm, resting heart rate > 70 bpm, and HF admission in prior 12 months | NYHA II-IV HF, HFrEF, LVEF < 35%, and BNP > 150 pg/mL or NT-proBNP > 600 pg/mL; or NT-proBNP > 400 pg/mL in the prior 12 months and hospitalized for HF in the past year | NYHA II-IV HF with EF < 40% and NT-proBNP > 1000 pg/mL; or EF < 30% or hospitalization for HF in the past year, and EF 31–35% and NT-proBNP > 400 pg/mL if hospitalized for HF in the past year | NYHA II-IV HF with EF < 45% and NT-proBNP > 1000 pg/mL within 30 days and optimally treated with medical device or IV diuretics without hospitalization within 3 months | Adults aged 18–65 with NYHA II-IV HF, EF < 35%, optimally treated with medical device or IV diuretics without hospitalization within 3 months | | |
| Intervention | Enalapril up to 20 mg BID vs. placebo | Enalapril up to 10 mg BID vs. placebo | Digoxin 0.25 mg daily vs. placebo | Metoprolol XL up to 200 mg daily vs. placebo | Carvedilol up to 25 mg BID vs. placebo | Candesartan up to 32 mg daily vs. placebo | Candesartan up to 32 mg daily vs. placebo | Spironolactone 25 mg daily vs. placebo | Eplerenone up to 50 mg daily vs. placebo | Isradipine up to 7.5 mg BID vs. placebo | Sacubitril-valsartan 200 mg BID vs. enalapril 10 mg BID | Dapagliflozin 10 mg daily vs. placebo | Empagliflozin 10 mg daily vs. placebo | Vericiguat up to 10 mg daily vs. placebo | Onecanvitecarbil 25 mg BID, 37.5 mg BID, or 50 mg BID based on 4-week trough level vs. placebo | |
| Age, mean | 70.0 ^a | 61.0 ^a | 63.5 ^a | 63.7 ^a | 63.4 ^a | 64.1 ^a | 66.8 ^a | 65.0 ^a | 68.6 ^a | 60.1 ^a | 63.8 | 66.4 | 66.9 | 67.3 | 64.5 | |
| NT-proBNP, median | Not reported | Not reported | Not reported | Not reported | Not reported | Not reported | Not reported | Not reported | Not reported | Not reported | 1608.0 | 1437.0 | 1926.0 | 2816.0 | 1971.0 | |
| LVEF, mean | Not reported | 24.9 ^a | 28.4 ^a | 28.0 ^a | 19.8 ^a | 28.0 ^a | 30.0 ^a | 25.2 ^a | 26.1 ^a | 29.0 ^a | 29.5 | 31.1 | 27.5 | 28.9 | 26.6 | |
| eGFR, mean or serum creatinine, mean | 124.0 μmol/L ^a | 106.1 μmol/L ^a | Not reported | Not reported | Creatinine: 134.0 μmol/L ^a | Not reported | Not reported | Not reported | 70.4 mL/min/1.73 m ² | 74.8 mL/min/1.73 m ² | 70.0 mL/min/1.73 m ² | 65.8 mL/min/1.73 m ² | 62.0 mL/min/1.73 m ² | 61.5 mL/min/1.73 m ² | 60.3 mL/min/1.73 m ² | |
| CKD (%) | Not reported | Not reported | Not reported | Not reported | Not reported | Not reported | Not reported | Not reported | 34.5 ^a | Not reported | 33.0 | 40.2 | 48.3 | 52.0 | 52.5 | |

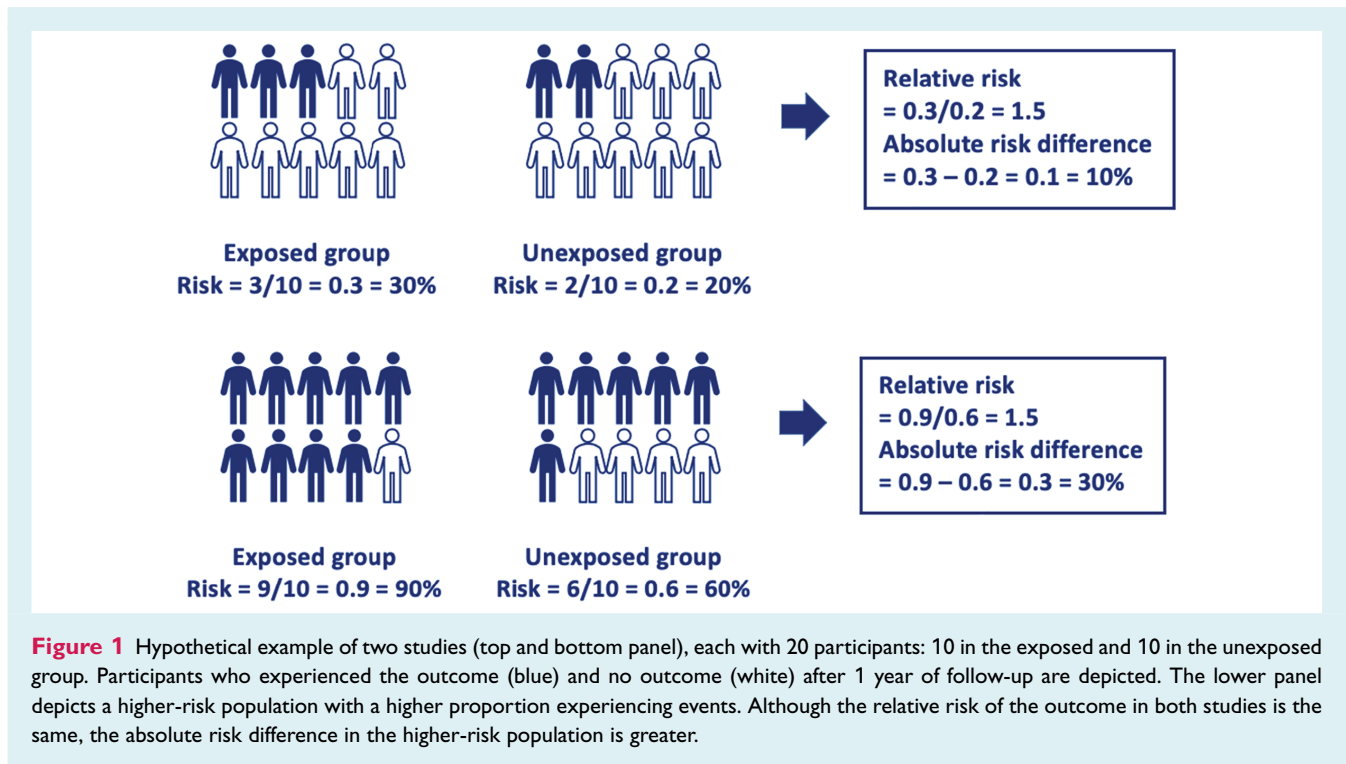
Table 1 (Continued)

| | CONSEN-SUS (n = 253) | SOLVD (n = 2569) | DIG (n = 6800) | MERIT-HF (n = 3991) | COPER-NICUS (n = 2289) | CHARM-Added (n = 2548) | CHARM-Alternative (n = 2028) | RALES (n = 1663) | EMPHA-SIS-HF (n = 2737) | SHIFT (n = 6508) | PARA-DIGM HF (n = 8399) | DAPA-HF (n = 4744) | EMPEROR-Reduced (n = 3730) | VICTORIA (n = 5050) | GALAC-TIC-HF (n = 8256) |
|--|-----------------------------|----------------------------|---------------------------------|------------------------------------|-------------------------|---|---|-----------------------------------|---|---|---|---|---|---|--|
| At least one prior ED visit in preceding year | Not reported | Not reported | Not reported | Not reported | 65.0 | Not reported | Not reported | Not reported | Not reported | 100.0 | 42.0 | 27.0 | 31.0 ^a | 83.9 | 74.5 |
| Follow-up duration | Mean: 188.0 days | Mean: 41.4 months | Mean: 37 months | Mean: 1.0 years | Mean: 10.4 months | Median: 41.0 months | Median: 33.7 months | Mean: 24.0 months | Median: 21.0 months | Median: 22.9 months | Median: 27.0 months | Median: 18.2 months | Median: 16.0 months | Median: 10.8 months | Median: 21.8 months |
| Primary outcome | 6-month all-cause mortality | 4-year all-cause mortality | All-cause mortality | All-cause mortality | All-cause mortality | Composite of CV death or HF hospitalization | Composite of CV death or HF hospitalization | All-cause death | Composite of CV death or HF hospitalization | Composite of CV death or HF hospitalization | Composite of CV death or HF hospitalization | Composite of CV death or HF hospitalization | Composite of CV death or HF hospitalization | Composite of CV death or HF hospitalization | Composite of CV death or HF event (clinic or ED visit or admission for HF) |
| CV death or HF decompensation hospitalization events, n (%) | Not reported | 1274 (49.6) | 2332 (34.3) | 750 (18.8) | 628 (27.4) ^b | 1021 (40.1) | 740 (36.5) | 893 (53.7) | 605 (22.1) | 1730 (26.6) | 2031 (24.2) | 877 (18.5) | 823 (22.1) | 1869 (37.0) | 3130 (38.0) |
| Annualized CV death or HF decompensation or hospitalization events per 100 patient years | Not reported | 26.0 ^b | 17.0 ^b | 23.9 ^b | 46.5 ^b | 16.6 | 18.2 | 45.4 ^c | 16.7 ^c | 20.0 ^b | 13.2 ^b | 15.3 | 21.0 | 37.8 | 26.3 |
| RR (95% CI) or HR (95% CI) for composite | Not reported | RR 0.74 (0.66, 0.82) | RR 0.75 (0.69, 0.82) | RR 0.69 (0.60, 0.80) | RR 0.74 (0.65, 0.85) | HR 0.85 (0.75, 0.96) | HR 0.70 (0.60, 0.81) | RR 0.67 (0.59, 0.77) ^c | HR 0.63 (0.54, 0.74) | HR 0.82 (0.75, 0.90) | HR 0.80 (0.73, 0.87) | HR 0.75 (0.65, 0.85) | HR 0.75 (0.65, 0.86) | HR 0.90 (0.82, 0.98) | HR 0.92 (0.86, 0.99) |
| CV death or HF decompensation or hospitalization | Not reported | 10.3 | HF death or hospitalization 7.3 | HF death or HF hospitalization 6.3 | 8.1 | 4.4 | 7.0 | 14.5 ^c | 7.6 | 4.2 | 4.7 | 4.8 | 5.3 | 3.0 | 2.1 |
| ARR for CV death or HF decompensation or hospitalization | Not reported | 7.6 ^b | 4.3 ^c | 7.4 ^b | 13.5 ^b | 2.5 | 4.4 | 16.0 ^c | 6.2 ^c | 3.7 ^b | 2.7 ^b | 3.9 | 5.2 | 4.2 | 2.1 |
| ARR for CV death or HF decompensation or hospitalization per 100 patient years | 110 (43.5) | 860 (33.5) | 2020 (29.7) | 331 (8.3) | Not reported | 649 (25.5) | 471 (23.2) | 540 (32.5) | 332 (12.1) | 940 (14.4) | 1251 (14.9) | 500 (10.5) | 389 (10.4) | 855 (16.9) | 1606 (19.5) |
| CV deaths, n (%) | | | | | | | | | | | | | | | |

Table 1 (Continued)

| | CONSEN -SUS (n = 253) | SOLVD (n = 2569) | DIG (n = 6800) | MERIT-HF (n = 3991) | COPIER -NICUS (n = 2289) | CHARM- Added (n = 2548) | CHARM- Alternative (n = 2028) | RALES (n = 1663) | EMPHA- SIS-HF (n = 2737) | SHIFT (n = 6508) | PARA- DIGM HF (n = 8399) | DAPA- HF (n = 4744) | EMPEROR- Reduced (n = 3730) | VICTORIA (n = 5050) | GALAC- TIC-HF (n = 8256) |
|---|-------------------------------------|-------------------------|-------------------------|-------------------------|--------------------------------|-------------------------------|-------------------------------------|-------------------------|--------------------------------|-------------------------|-----------------------------------|---------------------------|-----------------------------------|-------------------------|--------------------------------|
| Annualized CV deaths per 100 patient years | Not reported | 13.0 | 10.1 ^b | 10.3 | Not reported | 9.3 ^d | 9.8 ^d | 21.6 ^b | 7.9 ^b | 8.5 ^b | 7.5 ^b | 7.9 | 8.1 | 13.9 | 10.8 |
| RR (95% CI) or HR (95% CI) for CV death | Not reported | RR 0.82 (0.72, 0.94) | RR 1.01 (0.93, 1.10) | RR 0.62 (0.50, 0.78) | Not reported | HR 0.83 (0.71, 0.97) | HR 0.80 (0.66, 0.96) | RR 0.69 (0.58, 0.82) | HR 0.76 (0.61, 0.94) | HR 0.91 (0.80, 1.03) | HR 0.80 (0.71, 0.89) | HR 0.82 (0.69, 0.98) | HR 0.92 (0.75, 1.12) | HR 0.93 (0.81, 1.06) | HR 1.01 (0.92, 1.11) |
| ARR for CV death | Not reported | 4.8 | -0.4 | 3.7 | Not reported | 3.6 | 3.2 | 9.8 | 2.7 | 1.2 | 3.2 | 1.9 | 0.8 | 1.1 | -0.2 |
| ARR for CV death | Not reported | 2.3 ^b | 0.2 ^b | 3.9 | Not reported | 1.4 ^d | 1.6 ^d | 6.8 ^b | 1.8 ^b | 0.8 ^b | 1.5 ^b | 1.4 | 0.5 | 1.0 | -0.1 |
| per 100 patient years | | | | | | | | | | | | | | | |
| All-cause deaths, n (%) | 118 (46.6) | 962 (37.4) | 2375 (34.9) | 362 (9.1) | 320 (14.0) | 789 (40.0) | 561 (27.7) | 670 (40.3) | 384 (14.0) | 1055 (16.2) | 1546 (18.4) | 605 (12.8) | 515 (13.8) | 1046 (20.7) | 2132 (25.8) |
| Annualized all-cause death per 100 patient years | 52.0 | 14.4 ^b | 12.0 ^c | 11.0 | 19.7 | 11.1 ^d | 11.5 ^d | 26.6 ^b | 9.1 ^b | 9.6 ^b | 9.0 ^b | 9.5 | 10.7 | 16.9 | 14.4 |
| RR (95% CI) or HR (95% CI) for all-cause death reported) | RR 0.60 (95% CI not reported) | RR 0.84 (0.74, 0.95) | RR 0.99 (0.91, 1.07) | RR 0.66 (0.53, 0.81) | RR 0.65 (0.52, 0.81) | HR 0.89 (0.77, 1.02) | HR 0.83 (0.70, 0.99) | RR 0.70 (0.60, 0.82) | HR 0.76 (0.62, 0.93) | HR 0.90 (0.80, 1.02) | HR 0.84 (0.76, 0.93) | HR 0.83 (0.71, 0.97) | HR 0.92 (0.77, 1.10) | HR 0.95 (0.84, 1.07) | HR 1.00 (0.92, 1.09) |
| ARR for all-cause death per 100 patient years | 15.0 | 4.5 | 0.3 | 3.6 | 5.5 | 2.8 | 3.0 | 11.3 | 3.0 | 1.4 | 2.8 | 2.3 | 0.8 | 0.8 | 0.0 |
| ARR for all-cause death per 100 patient years | 16.0 | 2.3 ^b | 0.0 ^c | 3.8 | 7.0 | 1.3 ^d | 1.5 ^d | 8.1 ^b | 2.0 ^b | 0.9 ^b | 1.3 ^b | 1.6 | 0.6 | 0.9 | 0.0 |

ACE, angiotensin-converting enzyme; ARR, absolute risk reduction; BNP, B-type natriuretic peptide; CI, confidence interval; CKD, chronic kidney disease; CV, cardiovascular; ED, emergency department; EF, ejection fraction; eGFR, estimated glomerular filtration rate; HF, heart failure; HR, hazard ratio; IV, intravenous; LVEF, left ventricular ejection fraction; NT-proBNP, N-terminal pro B-type natriuretic peptide; NYHA, New York Heart Association; RR, relative risk.
^aData for comparator group; baseline characteristics for entire trial population not presented.
^bDerived using the methods described by Skali H, Pfeiffer MA, Lubsen J, Solomon SD. Variable impact of combining fatal and nonfatal end points in heart failure trials. *Circulation* 2006;114:2298–2303.
^cAs per Ferreira JP, Docherty KF, Stienen S, Jhund PS, Claggett BL, Solomon SD, Petrie MC, Gregson J, Pocock SJ, Zannad F, McMurray JJV. Estimating the lifetime benefits of treatments for heart failure. *JACC Heart Fail* 2020;8:984–995.
^dAs per McMurray JJV, Swedberg K, HEAAL. The final chapter in the story of angiotensin receptor blockers in heart failure – lessons learnt from a decade of trials. *Eur J Heart Fail* 2010;12:99–103.



criteria.^{7,8} In the COPERNICUS trial of patients with New York Heart Association (NYHA) class III–IV symptoms, carvedilol decreased all-cause mortality⁷ [relative risk (RR) 0.65; 95% CI 0.52, 0.81] with a similar RRR as metoprolol XL in MERIT-HF⁸ (RR 0.66; 95% CI 0.53, 0.81), which enrolled patients with milder symptoms. COPERNICUS had a higher annualized all-cause death (19.7 vs. 11.0 events/100 patient-years) and a greater ARR (7.0 vs. 3.8 events/100 patient-years) for all-cause death than MERIT-HF. Similarly, in the RALES trial in patients with NYHA class III–IV symptoms, spironolactone reduced all-cause mortality⁹ (RR 0.70; 95% CI 0.60, 0.82) with a similar magnitude as eplerenone in the EMPHASIS-HF trial (HR 0.76; 95% CI 0.62, 0.93) which enrolled patients with milder symptoms.¹⁰ RALES had higher annualized all-cause deaths than EMPHASIS-HF (26.6 vs. 9.1 events/100 patient-years) and the ARR for RALES was greater than EMPHASIS-HF (8.1 vs. 2.0 events/100 patient-years), reflecting the differing baseline risk of enrolled patients. Thus ARR is sensitive to the baseline risk of a population (Figure 1).

The absolute risk reduction must be interpreted in the context of baseline risk

Most trials report their primary outcomes as RRR, an important measure of treatment effect that remains relatively stable across baseline risk. This measure is intuitive, although the number of events during the follow-up period and the modelling assumptions need to be borne in mind when making inferences. Effect sizes look larger when presented as RRR rather than ARR; for example,

‘this treatment reduced death by 50%’ looks more impressive than ‘this treatment reduced death from 2% to 1%’.¹¹ Adverse effects are often presented as absolute risk, and they look smaller this way. While RRR is a stable measure that reflects the magnitude of treatment effect, it does not adequately reflect effect at the population level. A treatment with an RRR of 50% will have a greater impact on the population health if it reduces risk from 20% to 10% than from 2% to 1%. In a common disease or one with high event rates, however, even a small ARR can have a large impact on the population health.

An important benefit of ARR over RRR is that it can be used to estimate the number of patients needed to treat (NNT) to prevent one event. Because both ARR and RRR reflect treatment at the end of the follow-up period – which varies across trials – ARR can be annualized to estimate NNT for a year to prevent one event. As with RRR, this must be contextualized according to the other findings of the study. For example, from VICTORIA and PARADIGM-HF we can extrapolate that 24 patients need to be treated with vericiguat vs. placebo and 37 patients need to be treated with sacubitril-valsartan vs. enalapril for 1 year to prevent one primary composite event. However, this is not an appropriate comparison as vericiguat was a less effective treatment overall; it offered a higher annualized ARR in a higher-risk population than did sacubitril-valsartan, but offered a lower RRR for the primary composite outcome and had no significant reduction in death.

The limitations of RRR and annualized ARR can be bridged by utilizing both measures. Figure 2A depicts the relationship between baseline risk of cardiovascular death or heart failure hospitalizations in the control groups and the ARR in pivotal RCTs. Among effective pharmacotherapies, there is a linear association between

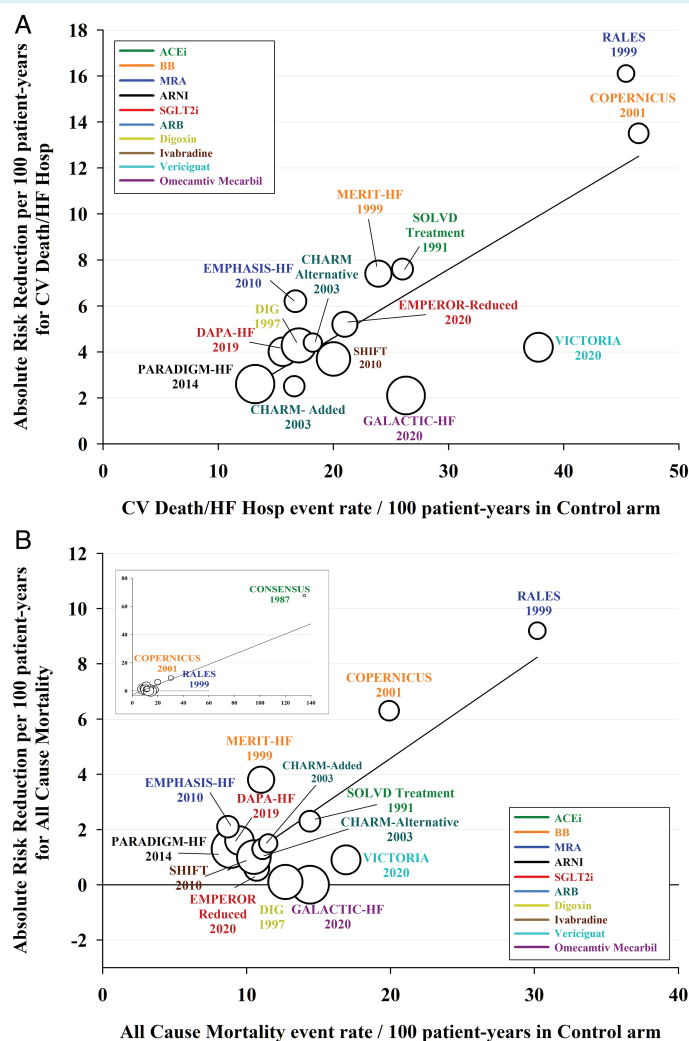


Figure 2 (A) Absolute risk reduction (ARR) as a function of baseline risk of the primary composite outcome [cardiovascular (CV) death or heart failure (HF) hospitalization] in the control group in pivotal trials of HF with reduced ejection fraction (HFrEF). (B) ARR as a function of baseline risk of all-cause mortality in the control group in pivotal trials of HFrEF. The insert shows data from the CONSENSUS trial, which was an outlier relative to other trials (Cook's distance >1.0) and therefore not included in the regression plot in the main figure. Data were extracted from the original publications or retrospective analyses, if presented, or were estimated from Kaplan–Meier survival curves. Size of circles in panels reflect trial sample size. The regression line in each plot was constructed by simple linear regression based on study level data and added for visualization rather than quantification of the association. Robust regression analyses were carried out to evaluate possible confounding data-points. For the outcome of all-cause mortality, the standardized β was 0.80 ($P < 0.01$), and for the outcome of CV death or HF hospitalization, the standardized β was 0.79 ($P < 0.01$). COPERNICUS and MERIT-HF depict all-cause death/HF hospitalization, and DIG depicts HF death/HF hospitalization in panel A. PARADIGM-HF, designed to test the effect of neprilysin inhibition, had an active comparator of enalapril. With improved treatments and prognosis over time in the control group, risk (events/100 patient-years) in HFrEF trials has decreased overall, with small variations in risk related to trial risk enrichment strategies. A greater ARR for a given level of risk denotes greater treatment efficacy. For any given outcome and level of risk, interventions that are more efficacious are along or above the regression line and those that are less efficacious are far below the regression line. There was no evidence of outliers among the trials listed in the main plot (Cook's distance in robust regression analysis <1.0). Angiotensin-converting enzyme inhibitors/angiotensin receptor blockers (ACEi/ARB), angiotensin receptor–neprilysin inhibitors (ARNI), beta-blockers (BB), mineralocorticoid receptor antagonists (MRA) and sodium–glucose co-transporter 2 inhibitors (SGLT2i) remain among the most effective pharmacotherapies at reducing CV death or worsening HF as well as all-cause mortality (Table 1). Data from CONSENSUS (all-cause mortality), DIG (HF death/HF hospitalization) and SHIFT (both endpoints) extracted according to Skali *et al.*¹⁴

baseline risk and annualized ARR. Cornerstone treatments of HFrEF [angiotensin-converting enzyme inhibitors/angiotensin receptor blockers (ACEi/ARB), angiotensin receptor–neprilysin inhibitors (ARNI), beta-blockers (BB), mineralocorticoid receptor antagonists (MRA), sodium–glucose co-transporter 2 inhibitors (SGLT2i)] are at or above the regression line. In contrast, the treatment effects of vericiguat and omecantiv mecarbil are small, particularly given the large number of baseline events relative to other HFrEF trials; the annualized ARR achieved with each of these pharmacotherapies is lower than expected. Subgroup analyses from the VICTORIA5 and GALACTIC-HF12 trials reveal conflicting data regarding the association between baseline risk and treatment effect, and have served as arguments for targeting therapies to specific groups. However, subgroup analyses are hypothesis-generating, limited by multiple testing, and ill-justified in guiding treatment, especially when a pharmacotherapy has little overall effect in a trial with a large number of events.

The linear association between baseline risk and annualized ARR among effective pharmacotherapies is also evident for the outcome of all-cause mortality. While a majority of trials were not adequately powered for mortality, the cornerstones of HFrEF treatment all showed reductions in mortality. In contrast, interventions such as digoxin,¹³ vericiguat,⁵ and omecantiv mecarbil¹² did not reduce mortality despite adequate trial event rates. For any given outcome and level of risk, then, more efficacious therapies are along or above the regression line of annualized ARR to annualized events (Figure 2) and less efficacious therapies are below the regression line. As evident, ACEi/ARB, ARNI, BB, MRA, SGLT2i remain among the most effective pharmacotherapies at reducing composite cardiovascular death or worsening heart failure as well as all-cause mortality (Figure 2B). With improved uptake of evidence-informed treatments, there has been an overall reduction in absolute risk (events/100 patient-years) in control groups over time, with variations in baseline risk partly related to trial inclusion criteria.

Without reasonable trial risk enrichment strategies, current-era trials would need to be much longer to accrue the events required to demonstrate treatment effect. Within the context of HFrEF RCT populations – haemodynamically stable, ambulatory patients in whom treatment is not futile and comorbidities not so severe as to pose risk of harm with treatment – higher risk makes it easier to demonstrate treatment efficacy with efficiency. Pharmacotherapies that are considered first-line treatments in HFrEF have demonstrated efficacy across a range of risk. In the context of RCT populations, there is no evidence of a threshold beyond which risk is too high for treatment efficacy to be demonstrated. To date, there is no pharmacotherapy that has demonstrated a greater treatment effect in a HFrEF trial population with lower baseline event rates than in one with higher event rates.

Summary

The clinician is left with a few key concepts from this evidence. First, risk enriched RCT populations with high event rates make it easier – not harder – to efficiently demonstrate treatment effect.

Second, ARR or NNT cannot be interpreted or compared across trials without adjusting for the baseline risk, which is estimated using annualized events/100 patient-years. For any given RRR, ARR has a linear association with baseline risk, providing insights into treatment effect at the risk level of the trial population. RRR is less sensitive to changes in baseline risk, reflecting treatment effect at the individual level. Both ARR and RRR should be considered in the context of the number of events accrued in the trial; the larger the number of events, the greater the statistical power to demonstrate an effect with precision. Subgroup analyses are hypothesis-generating and should not direct treatment, especially the intervention has little or no effect overall in a trial population with a large number of events.

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