



Challenges and Opportunities in Understanding Genetics of Fungal Diseases

Bruno, Mariolina; Matzaraki, Vasiliki; van de Veerdonk, Frank L; Kumar, Vinod; Netea, Mihai G

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1 Challenges and opportunities to understanding genetics of fungal

2 diseases: towards a functional genomics approach

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- 4 Mariolina Bruno¹, Vasiliki Matzaraki^{1,2}, Frank L van de Veerdonk¹, Vinod Kumar^{1,2,3}, Mihai G. Netea^{1,4,5}
- 5

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8 Affiliations:

- ¹ Department of Internal Medicine and Radboudumc Center for Infectious Diseases (RCI), Radboud
 University Medical Center, Nijmegen, The Netherlands.
- 11 ² University of Groningen, University Medical Center Groningen, Department of Genetics, Groningen,
- 12 The Netherlands
- 13 3 Nitte (Deemed to be University), Nitte University Centre for Science Education and Research
- 14 (NUCSER), Medical Sciences Complex, Deralakatte, Mangalore, 575018, India.
- 4 Department for Genomics & Immunoregulation, Life and Medical Sciences Institute (LIMES),
- 16 University of Bonn, Germany
- 17 ⁵ Department of Genetics, University of Medicine and Pharmacy Craiova, Romania

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- 19
- 20 Corresponding author:
- 21 Mihai G. Netea
- 22 E-mail: mihai.netea@radboudumc.nl
- 23

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34 Abstract:

35 Infectious diseases are a leading cause of morbidity and mortality worldwide and human pathogens 36 have long been recognized as one of the main sources of evolutionary pressure, resulting in a high 37 variable genetic background in immune-related genes. The study of the genetic contribution to 38 infectious diseases has undergone tremendous advances over the last decades. Here, focusing on 39 genetic predisposition to fungal diseases, we provide an overview of the available approaches for 40 studying human genetic susceptibility to infections, reviewing current methodological and practical 41 limitations. We describe how the classical methods available, such as family-based studies and 42 candidate-gene studies, have contributed to the discovery of crucial susceptibility factors for fungal 43 infections. We will also discuss the contribution of novel unbiased approaches to the field, highlighting 44 their success but also their limitations for the fungal immunology field. Finally, we show how a systems 45 genomics approach can overcome those limitations and can lead to efficient prioritization and 46 identification of genes and pathways with a critical role in susceptibility to fungal diseases. This 47 knowledge will help stratify patients at risk groups and, subsequently, develop early appropriate 48 prophylactic and treatment strategies.

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51 Human Genetic Susceptibility to Infectious Diseases

52 Although there has been tremendous progress in medical research and healthcare, infectious diseases 53 remain a leading cause of morbidity and mortality worldwide (1). Ever-increasing global connectivity 54 together with human demographics and environmental changes have contributed to the emergence 55 of new infectious diseases, such as the recent pandemic with the Severe Acute Respiratory Syndrome 56 coronavirus 2 (SARS-CoV-2) (2), and the re-emergence of existing ones, such as *Candida auris* infection 57 (3). Human infectious diseases are characterized by an extensive variation in clinical phenotypes 58 among individuals infected by the same agent, indicating that genetics and non-genetics factors 59 determine this variation. Many genetic epidemiological studies in the last half century, ranging from 60 observational studies to more sophisticated twins or segregation studies, pointed out to the 61 importance of host heritable factors in susceptibility to infectious diseases. One of the first discovered 62 single-gene traits influencing susceptibility to infection was the sickle hemoglobin variant as a major 63 resistance factor for malaria (4). Stronger evidence came from several early twin studies reporting 64 higher concordance rates in monozygotic than in dizygotic twins for genetic susceptibility to various 65 infectious diseases (5–9). Also, follow-up studies of adopted children in the late 1980s showed they 66 had a markedly increased risk to death from an infectious disease if one of the biological parents had 67 died prematurely from an infectious cause rather than other causes, such as cancer or cardiovascular 68 diseases (10).

69 Infectious pathogens, which elicit the host immune response, have long been recognized as the main 70 source of evolutionary pressure (11, 12). Immune-related genes are the most abundant and diverse 71 genes in the human genome (13), suggesting an evolutionary advantage of a varied immunological 72 response to a wide range of infectious pathogens. The study of the genetic contribution to infectious 73 diseases has undergone revolutionary advances over the last decades in line with the development of 74 novel technologies in the field. Traditional linkage studies identified a few important candidate genes 75 (14). With the advent of genomic era, genome-wide association studies (GWAS) have identified 76 numerous genetic loci in autoimmune diseases (15), however, but only with a limited success in the 77 field of genetics of infectious disease (16). High-throughput technologies and the generation of multi-78 omics datasets have enabled a powerful multi-level study of the genetics of complex diseases, 79 including infectious disease, to offer a better understanding of the interplay between host, invading 80 pathogen and environment.

81 Here, we provide an overview of the available approaches for studying human genetic susceptibility in
82 fungal infections, reviewing current methodological and practical limitations. We will also discuss the

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83 use of a systems genomics approach to understanding genetics and molecular pathways underlying

84 the human host defense against fungal infections.

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86 The burden of fungal diseases on global health

87 Human are constantly exposed to fungi: some are colonizing the human host - the so-called 88 commensal fungi- and some are ubiquitous in the environment – the so-called environmental fungi. A 89 fully functional host immune system has effective mechanisms for preventing severe fungal infections, 90 but when the immune system fails, human pathogenic fungi can cause potentially "opportunistic", life-91 threatening diseases (17). The burden of fungal diseases on global health is expanding in parallel with 92 an increase in individuals with acquired immune deficiencies or those receiving immune suppressive 93 therapies or myeloablative treatments (18). Human fungal infections cause over 1.5 million death 94 every year (19), and affect more than a billion individuals worldwide (20). The steady increase in 95 incidence of nosocomial invasive fungal infections has significantly contributed to health-related costs 96 (21). Despite the increasing numbers and the recent outbreak of the emerging C. auris infection (3), 97 the impact of fungal diseases on human health still remains underestimated (22, 23). The majority of 98 human fungal infections are caused by Candida, Aspergillus, and Cryptococcus spp., (19). These fungi 99 are ubiquitous, but Cryptococcus and Aspergillus spp are also environmental (24), whereas Candida 100 spp are commensal colonizers of mucocutaneous surfaces and gastrointestinal tract (25).

101 The diagnosis of fungal infections can be problematic due to clinical challenges in fungal isolation and 102 identification (26, 27). Therapeutic challenges are raised by the fact that no vaccines are yet available, 103 current antifungal therapeutic options remain limited and, on top of that, multi-drug resistant fungal 104 species are arising (28). As a result, mortality rate of patients with invasive fungal infection remains 105 unacceptably high, reaching 40%-50% (29). Risk factors to develop fungal infections have been well 106 described (30-33), and certain high-risk groups of patients can be further classified according to 107 specific risk scores, which include a large panel of clinical and laboratory parameters linked to disease 108 susceptibility or clinical phenotype evolution (34–37). However, not all patients at risk develop fungal 109 disease, and a large variability in clinical evolution has been reported among patients with the same 110 predisposing factors. This observation suggests that human genetic variation plays a role for 111 susceptibility to fungal infections and severity outcome. Indeed, several monogenetic disorders 112 resulting in severe primary immunodeficiencies, as well as mutations and common polymorphisms in 113 immune genes, have been associated with an increased susceptibility to mucosal and/or invasive 114 fungal infections, that have been reviewed elsewhere (38). Despite significant advances over the last

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infections.

125 Overview on host immune response to fungal pathogens

126 Opportunistic fungal infections are characterized by interaction between the host, the invading 127 fungus, and the environment, which is sustained by a complex and dynamic equilibrium of several 128 inter-connected factors. The microbiological and environmental factors taking part in this delicate 129 interaction - such as the role of commensal microbiome, the dynamic fungal morphological 130 adaptations and genomic mutations - are well reviewed elsewhere (39). Despite the differences in 131 pathogenesis of infection between environmental and commensal fungal species, there are several 132 common host immune defense mechanisms. In order to infect the human host, the fungal pathogen 133 must be able to overcome three levels of host defense; a first, physical barrier consists of the skin and 134 mucosa. The second barrier, presented by the innate immune system, is largely dependent on the 135 recognition of evolutionarily conserved fungal cell wall components (pathogen-associated molecular 136 patterns, PAMPs). These PAMPs are recognized by various pattern recognition receptor (PRRs) 137 circulating -such as Pentraxin-3 (PTX3) or Mannose Binding Lectin (MBL) - or present on the surface of 138 innate immune cells, such as macrophages, monocytes, NK cells and neutrophils. In particular, the 139 mannan cell wall component is mainly recognized by the macrophage mannose receptor (MMR), the 140 C-type lectin-like receptor Dectin-2, and the Toll-like Receptor 4. TLR2 binds to the 141 phospholipomannan and Dectin-1 receptor recognizes β -glucan. Coordinated engagement of PRRs and 142 following intracellular signaling pathways mediated by several kinases and adaptor molecules, such as 143 Spleen tyrosine kinase (Syk) and Caspase recruitment domain-containing protein 9 (CARD9), results in 144 the activation of innate immune effector mechanisms. Those mechanisms include phagocytosis, 145 generation of reactive oxygen species (ROS) by NADPH oxidase and reactive oxygen species (RNS) by 146 myeloperoxidase (MPO) that promote the killing of the fungus and, finally, to production of pro- and 147 anti-inflammatory cytokines. Pro-inflammatory cytokines, such as IL-1 β and TNF α , have important

few years in identifying genetic variations leading to immune imbalances, which lead to increased

susceptibility to fungal infections, there are still many challenges to fully understand the genetic

architecture of fungal infections. To overcome these challenges, a systems genomics approach has

been followed to identify risk loci and molecular pathways underlying host immune defense and

disease pathogenesis. By integrating multiple molecular datasets that reveal inter-individual variability,

it is possible to prioritize and identify genes and pathways with a critical role in susceptibility to fungal

diseases. Ultimately, this knowledge will help stratify patients at risk groups and, subsequently,

develop early appropriate prophylactic and treatment strategies against opportunistic fungal

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148 roles in the host defense against fungal infections. $IL-1\beta$ is transcribed as an inactive form (pro- $IL-1\beta$) 149 and further processed into its active mature form via the NLRP3 inflammasome, a multiprotein 150 complex, which is also crucial for antifungal host defense (40). TNF α enhances antifungal activities by 151 promoting phagocytosis and neutrophils recruitment (41, 42). In turn, the release of cytokines, 152 combined with antigen-presentation activity of myeloid cells, is crucial for activation of the adaptive T-153 cell immunity, in particular Th1 and Th17 subsets (43), representing a third, longer term barrier 154 against fungal infection (44). IFNy produced by Th1 lymphocytes have been shown to have a central 155 role in the resistance against systemic fungal infections (43); Th17 responses have been proven to be 156 crucial for human anti-Candida mucosal host defense and granulocyte recruitment, but it can 157 contribute to detrimental immunopathology during fungal infections (45, 46). In an 158 immunocompetent host, the majority of the invading microorganisms are detected and destroyed 159 within minutes or hours by the innate immune defense mechanisms. An overview of host immune 160 responses against fungal infection is presented in Figure 1. Invasive fungal infections are mainly found 161 in patients with a weakened immune system, either due to reduced cellular immune effector 162 mechanisms or defects in epithelial barriers.

163 Approaches to study genetics of fungal infections

Although the abovementioned factors are important, they do not explain all infections and only a minority of patients at risk will actually develop disease, suggesting the critical role of genetics in determining disease susceptibility. Indeed, several approaches, from classical family-based and candidate-gene approaches, to novel ones, such as genome-wide association studies (GWAS) and integrative approaches, have attempted to decipher the genetic factors to mucosal and/or invasive fungal infections. An overview of these approaches is presented in **Figure 2**.

170 The "classical" approaches

171 Mendelian susceptibility to fungal infections: a family-based approach

172 Classical approaches, such as family-based approaches to study genetic factors have captured rare 173 mutations that confer a mendelian (monogenic) form of predisposition to fungal infections. Much of 174 our understanding about genetic susceptibility to specific fungal pathogens have been achieved 175 through family-based studies on certain rare primary immunodeficiencies, presenting as clinical 176 manifestation signs of a mucosal or invasive fungal infection (47, 48). A prototypical example is chronic 177 granulomatous disease (CGD), a rare inherited disorder (frequency,~1/200,000) caused by mutations 178 in genes encoding four out of five protein subunits of the phagocyte NADPH oxidase, namely the X-

179 linked *CYBB* gene (gp91phox) and the autosomal recessive in *CYBA*(p22phox), *NCF-1*, (p47phox) *NCF-1* 2(p67phox) genes (49). Patients with CGD fail to produce ROS and suffer from recurrent life-181 threatening bacterial and fungal infections, especially invasive aspergillosis (IA) (50), accounting for 182 one third of all deaths in CGD patients (51). Notably, patients with mutations is NCF-4 (p40phox) gene 183 do not develop IA, as they are still able to produce ROS (52).

184 Another example is the myeloperoxidase (MPO) deficiency, which is the most common inherited 185 phagocytic disorder (frequency, ~1/2000) (53). The vast majority of MPO deficient patients are 186 asymptomatic, however, a complete enzymatic deficiency predisposes to invasive candidiasis (54). 187 More recently, CARD9 deficiency has emerged as an important and fungal-specific susceptibility factor 188 for both mucosal and invasive fungal infections (55), without predisposing to other infectious or non-189 infectious sequelae. More than 15 missense and non-sense mutations in CARD9 gene (56) result in 190 Th17 deficiency and altered Dectin-1 signaling, as well as a defective neutrophil recruitment to certain 191 anatomical sites, including the central nervous system (CNS) (57). Few inborn monogenic disorders 192 that predispose to invasive fungal infections (IFIs) (but not fungal specific) have been previously 193 described: specific mutations in the transcription factor GATA2 cause the so-called "MonoMAC 194 syndrome" characterized by monocytopenia, B-cell and natural killer (NK)-cell lymphopenias, 195 myelodysplasia and increased susceptibility, not only to mycoses but also to papillomaviruses and 196 nontuberculous mycobacteria (NTM) of low virulence potential (58). Genetic mutations in genes 197 involved in the IL-12/IFN- γ signaling pathway - extensively reviewed in (29) - have been shown to 198 predispose, not only to NTMs, but also to fungal infections by intracellular fungi (59). Such fungal 199 infections include especially those whose eradication relies on an effective interaction between 200 phagocytes and Th1 lymphocytes (e.g. H. capsulatum, P. brasiliensis and C. neoformans) (59, 60).

201 An intact host mucocutaneous barrier depends on functional IL-17 signaling. Chronic mucocutaneous 202 candidiasis (CMC) is another primary immunodeficiency characterized by recurrent or persistent skin, 203 mucosal or nail infections by Candida spp., mainly C. albicans. CMC refers to a heterogeneous group of 204 disorders, all caused by impaired Th17 responses and subsequent defective mucosal and skin 205 antifungal host defense mechanisms. CMC can be caused by direct mutation in IL-17R signaling 206 resulting in mucosal but not to systemic candidiasis, such as IL-17F and IL-17RC, that are specific for 207 CMC, as well as IL-17RA and the adaptor ACT1 (TRAF3IP2) also predisposing to bacterial infections 208 (61-64). Other genetic mutations in several genes variously involved in Th17 differentiation can be 209 causal for CMC and are generally associated with other syndromic manifestations. Such examples 210 include the loss of function STAT3 mutation which causes hyper-IgE syndrome (65), bi-allelic 211 mutations of the Th17 differentiation master regulator RORC (66), autosomal dominant STAT1

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212 mutations, which lead to defective Th17 responses by indirectly impairing STAT3 activity (67, 68), and 213 CARD9 mutations (56). Other CMC-associated monogenic diseases include (but are not limited to) the 214 autosomal recessive DOCK8 deficiency (69), the X-linked severe combined immunodeficiency disorder 215 (SCID), the 22q11.2 deletion (athymic DiGeorge syndrome) and many other genes, nicely reviewed 216 elsewhere (70). Interestingly, the APECED autoimmune polyendocrinopathy, candidiasis, ectodermal 217 dystrophy (APECED) syndrome, caused by AIRE mutations and characterized by the presence of 218 neutralizing autoantibodies against IL-17F and IL-22, presents CMC as the sole infectious consequence 219 (71). To sum up, primary immunodeficiencies offer unique opportunities to a better understanding of 220 the genetic and immunological component of fungal infections, which help develop novel immune-221 based therapeutic approaches against these infections.

222 Non-monogenic susceptibility to fungal infections: a candidate gene approach

223 Another classical approach widely adopted in several genetic studies of complex diseases, including 224 fungal diseases, is the candidate gene approach. The selection of the candidate genes usually relies on 225 in vitro murine or patient's experimental data by hypothesis-driven biological plausibility. The majority 226 of candidate gene studies includes a case-control design. To avoid any form of confounding and 227 population heterogeneity, case and controls need to be accurately matched, and the sample size 228 should be adequate to ensure reproducibility and statistical power (72). The vast majority of candidate 229 gene studies for susceptibility to fungal infections have focused on immune-related genes involved in 230 innate recognition of microbes, acquired immunity, intracellular signaling pathways, or different 231 cytokines. Immune related genes are a special case in the genome because, depending on the 232 geographic region, the selective pressure on them has been different; that is the reason why most of 233 those genes are highly polymorphic and, subsequently, highly prone to population stratification biases 234 (73). Several single nucleotide polymorphisms (SNPs) in immune-related genes have been described 235 that increase or decrease the risk to fungal diseases in patients with an acquired 236 immunocompromised status (74–76). Two of the most studied pathological conditions characterized 237 by an immunocompromised status is systemic candidiasis in intensive care unit (ICU) and invasive 238 aspergillosis (IA) in allogenic hematopoietic stem cell transplant (HSCT) recipients, and most studies 239 that identifies SNPs associated to fungal infections have been done to this kind of patients.

240 Since other excellent recent reviews already described in more detail SNPs influencing susceptibility to 241 fungal infections (74–76), here and in Table 1 we will report only some representative associations 242 which have been described in the last 14 years supported by strong functional evidences. One of the 243 most studied immune genes that encode receptors on innate immune cells that recognize fungal 244 antigens are the Toll-like receptors (TLRs). Three SNPs in TLR1 genes were significantly associated with

245 candidemia susceptibility (77), while SNPs in TLR4 genes were associated to both IA (78, 79) and 246 systemic candidiasis (80). A stop codon in DECTIN1 (Tyr238X) have been associated to increased risk 247 for IA after HSCT (81), but not for invasive candidiasis after HSCT (82). The same stop-codon 248 polymorphism was further associated to CMC (83), oral and gastrointestinal colonization by Candida 249 species in HSCT patients (82). Two frequent polymorphisms (281A/G and 734A/C) in PTX3 gene have 250 been associated to increased risk of developing IA both in HSCT donor (84) and solid organ transplant 251 recipients (85). These SNPs have been also functionally validated using in vitro studies with patient's 252 primary neutrophils, showing impaired Aspergillus phagocytosis and killing (84). SNPs in NOD2 gene 253 regulate susceptibility to IA after HSCT and NOD2 deficiency affords resistance to IA (86). In addition, 254 genetic variation in the monocyte/macrophage-targeted chemokine receptor CX3CR1 and the 255 neutrophil-targeted chemokine receptor CXCR1 have been shown to be crucial for fungal infections, 256 particularly those caused by Candida spp: carrying the allele M280 in CX3CR1 gene in homozygosity 257 was associated with an increased risk for disseminated candidiasis, but not mucosal or RVVC (87), in 258 two different patient cohorts (88), and leads to an impaired human monocyte trafficking and survival 259 260 261 262

(89). The mutant CXCR1-T276 allele was associated with increased susceptibility to disseminated candidiasis and impaired neutrophil degranulation and fungal killing capacity (90). Last, but not least, genetic variation in pro- and anti-inflammatory cytokines has also been shown to be associated with susceptibility to fungal diseases. An important example is represented by IL-1 family genes: 263 polymorphisms or certain haplotypes in IL-1 β , IL-1 α and IL-1Ra were associated with an increased risk 264 of developing IA in solid organ recipients (91) and in leukemic patients (92), as well as decreased A. 265 fumigatus induced cytokine production (91). Candidate gene studies have historically paved the way 266 for personalized medicine and prophylactic antifungal treatment in high-risk patients. However, these 267 studies present limitations, to name a few, population stratification issues, lack of replication among

270 The "novel" approaches

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271 For decades, the study of genetic susceptibility to infectious diseases have been looking at inherited 272 monogenic defect causing spontaneous infections and have been screening for single polymorphisms 273 in candidate genes. However, such studies were performed in relatively small patient cohorts and 274 were usually based on hypothesis-driven in vitro or previous knowledge in the field.

different studies and across populations, poor functional evidence, non-correction for multiple testing

275 Moving to unbiased, genome-wide approaches to study genetics of fungal infections

as well as small sample size, which results in limited statistical power (73, 75).

276 The advent of the genomic era with advances, such as the mapping of human genetic variation

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277 compiled by the international HapMap project (93) and the 1000 Genomes project (94), together with 278 the development of several high-throughput sequencing (HTS) platforms for (deep)-sequencing, and 279 of imputation tools have all contributed to a better understanding of genetics in various human 280 complex diseases in diverse populations. Such advances have been also applied to fungal infections. 281 For example, next generation sequencing (NGS) and whole-exome sequencing (WES), which 282 sequences all of the protein-coding regions of genes in a genome, have become one of the most 283 widely used, unbiased, "hypothesis-generating", novel method for studying the rare monogenic 284 defects underlying susceptibility to fungal infections. For example, van der Veerdonk et al identified 285 STAT1 gene as a cause of chronic muco-cutaneous candidiasis using an NSG approach (68), and this 286 was validated by Liu et al. who identified heterozygous germline mutations in STAT1 gene in 47 287 patients with autosomal-dominant chronic mucocutaneous candidiasis using WES (95). WES in a case 288 of a leukemic patient presenting an unusual invasive mucormycosis has revealed several putative 289 polymorphisms in immune related genes (e.g.PTX3, TLR6, NOD2, RIG-I, CCR5) potentially influencing 290 mucormycosis infection (96). Moreover, exome sequencing has been implemented as a discovery tool 291 for genetic diagnosis of primary immunodeficiencies (PIDs) manifested as fungal infections has been 292 described in a Dutch hospital (97). Collectively, these studies show that WES is a promising and 293 affordable approach for discovering novel disease-causing genes and allelic polymorphisms influencing 294 disease susceptibility targeting a small number of individuals, or even single patients. In addition, 295 sequencing of just the exome of patients would allow identification of rare variants. Early studies using 296 exon sequencing to identify rare variants in other infectious diseases, which were focused on TLR4 297 gene in meningococcal disease and on five TLR genes on tuberculosis, showed an excess of rare (and 298 some more frequent) coding changes in patients compared to controls (98, 99). Therefore, WES can 299 potentially open up new avenues to discovering rare variants that predispose to fungal infections.

300 However, the majority of low frequency and/or rare variants that have been associated with infectious 301 diseases, including systemic Candida infections, are non-coding variants (intronic or intergenic) (100, 302 101). To explore the role of common non-coding variants, follow-up studies on the genetics of fungal 303 diseases made use of genomic tools, such as genotype imputation, custom genotyping arrays, and 304 whole-genome sequencing to reveal novel associations between phenotypes and variants. For 305 example, a pilot association study performed a screen of ~ 120,000 SNPs across 186 genetic loci 306 related to immune function among hospitalized patients with candidemia compared to healthy and 307 patient-matched controls revealed significant associations between novel SNPs in the CD58, TAGAP 308 and LCE4A-C1orf68 genes and candidemia susceptibility (101). Of note, the presence of two or more 309 high-risk SNPs within these loci had a ~ 20-fold increased risk of developing candidemia, indicating a 310 possible synergistic effect on increasing the infection risk (101). A large GWAS of volunteers

311 contributing DNA from the 23andMe database identified three significant associations between yeast 312 infection and variants downstream of PRKCH gene, within DSG1, and C14orf177 genes (102). Another 313 pilot GWAS study, which was performed in children with dermatophytosis caused by the fungal 314 species Trichophyton tonsurans, identified SNPs in eight genes involved in leucocyte activation, 315 melanocyte function and extracellular matrix remodeling that have been significantly associated with 316 increased infection rate (103). All these studies indicated the role of common variants in contributing 317 to variability in susceptibility to fungal diseases. Despite significant progress over the last few years in 318 identifying susceptibility genes for fungal infections, there is still much genetic information 319 unexplored, and the molecular mechanisms underlying susceptibility are not fully understood due to 320 challenges that are being discussed below.

321 Limitations of studying the genetics of fungal diseases

322 GWAS studies to identifying genetic risk factors in fungal infections have not been as successful as in 323 other complex diseases, such as autoimmune diseases (104), because of several limitations. One of 324 the major limitations in studying the genetics of fungal infections is the lack of power due to relatively 325 small patient cohorts. Large sample sizes are required in order to obtain sufficient statistical power to 326 detect true disease associations (105). The collection of a patient cohort is also complicated by the 327 possible presence of asymptomatic infections, or of different ethnicities. Patient cohorts must be 328 ethnically homogeneous and well-phenotyped in order to identify phenotype- and population-specific 329 associations. Taking into account the genetic substructure of human populations, it is crucial to 330 consider that the allele frequency differs substantially among ethnic groups and, in certain cases, for 331 example in the African ancestry, it is possible to find a larger variation and a lower linkage 332 disequilibrium (106). The admixture of ethnic groups (107), as well as subtle differences in the ethnic 333 composition of cases and controls (108) can lead to false positive results. While a careful matching for 334 demographic factors can reduce the number of false positive results, statistical methods (nicely 335 reviewed in ref. 107) can now be applied to address this issue and mitigate these caveats. 336 Another limitation is that most GWAS have been focused on identifying only common variants whose 337 minor allele frequency (MAF) is >5% (105, 109, 110), missing low frequency or rare variants. To 338 identify rare variants, next generation sequencing (NGS) studies at relatively small cohorts followed by 339 testing of associated variants in larger cohorts might be a promising complementary strategy (110). 340 After validating the SNP in a validation cohort, a "wet-lab" functional validation of the disease-causing 341 effect of this genetic variant is critical and required to confirm the causal relationship between 342 genetics and phenotype.

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343 Another limitation is that GWAS alone, while it provides significant associations between a genetic 344 variant and a disease, it cannot explain the biological consequence or pinpoint the causal gene, 345 especially when non-coding genetic variants are discovered (111). A possible approach for exploring 346 the link between a GWAS genetic variant and its effect is to statistically correlate variants with 347 measured biological quantitative data by performing quantitative trait loci (QTL) analysis. For example, 348 a statistical correlation between a genetic variant to gene expression is called expression-QTL (eQTL) 349 analysis (112), to cytokine production is cytokine-QTL (cQTL) analysis (113), to DNA methylation is 350 methylation-QTL (meQTL) analysis (114), among others (115). Of note, eQTL and cQTL analyses have 351 been already implemented for studying inter-individual variability in cytokine production in response 352 to fungal pathogens (113, 116). In particular, GOLM1 gene was associated with C. albicans-induced IL-353 6 production and a genetic variant within this locus was also associated with increased susceptibility to 354 candidemia (113).

355 In addition, it is becoming increasingly clear that the outcome of an infectious disease reflects the 356 dynamic interaction between human, pathogen genotypes and the environment (117). The host-357 fungal interaction exhibits features of a dynamic system that may exert genetical effects known as 358 genotype-by-genotype interactions (GxG) (16). Those GxG interaction had led to a slow host-pathogen 359 co-evolution (especially in cases of a commensal fungi like C. albicans); this phenomenon might justify 360 the host heterogeneity in the frequency of polymorphisms and haplotypes among populations (118). 361 At the same time, the fungal pathogen can rapidly acquire mutations to adapt to host polymorphisms 362 in a specific population, resulting in considerable genomic variation across fungi from different 363 geographic regions (119–121). In turn, rapid pathogen evolution or host-pathogen co-evolution might 364 have caused a fluctuation over time of the disease susceptibility genes across populations, as 365 mathematically modelled by Lambrechts et al. (122) and may directly have played a role on the limited 366 success on GWAS on fungal (or in general infectious) disease susceptibility. Last but not least, classical 367 GWAS studies can detect only the genetic component of the three-way interplay between the host 368 immune system, different pathogen morphotypes and the environment. In particular, host and 369 pathogen genetic variability interaction with environmental influences are even more challenging to 370 model and they can be collectively defined as Gene-Environment (GxE) interactions (123). For 371 example, environmental factors such as pH and/or an imbalanced microbiome influence the 372 susceptibility to develop recurrent vulvovaginal candidiasis (RVVC) (124). Specific interactions between 373 commensal bacteria and fungi could play an important role in the development of invasive candidiasis 374 (125). Therefore, a more integrative and multi-level analysis of host, pathogen and environmental 375 variation is required to keep all these interactions into account while studying the pathogenesis of a 376 fungal disease.

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378 Overcoming limitations: the introduction of functional genomics approaches

379 Given the complexity of host-pathogen interactions, conventional experimental approaches that study 380 only individual molecular components (either of the host or pathogen) cannot provide a 381 comprehensive picture of these interactions. The development of high-throughput data acquisition 382 technologies and the possibility to integrate multi-omics datasets have laid the foundations for a new 383 discipline: systems biology (126, 127). The increasing use of systems biology is tightly intertwined with 384 that of functional genomics, which represents a novel, more powerful multilevel manner for studying 385 the genetics of complex diseases (128, 129) (Figure 2). Thus, the integration of high-throughput multi-386 omics data (transcriptomics, proteomics, metabolomics, lipidomics, etc.) with genetics can be used to 387 prioritize genes for follow-up functional experiments to better understand their role in host immune 388 defense and identify molecular pathways that underlie disease pathogenesis (Table 2). Several studies 389 have applied a functional genomics approach to understand host genetic susceptibility to fungal 390 infections, where genome-wide data (also called "static biomarkers" (129)) were integrated, validated 391 or complemented with other multi-omics datasets in the context of the disease, where host-pathogen 392 interactions are dynamically changing. Table 3 shows the studies in the last 5 years that identified 393 genetic variant associated with fungal infections using a systems genomics approach.

394 A specific role of type I interferon pathway in anti-Candida host defense was supported by integrating 395 transcriptional analysis and functional genomics (130) using Candida-stimulated human immune cells. 396 Of note, the importance of this pathway was validated through immunological and genetic studies in 397 both healthy volunteers and in patients with systemic candidiasis or suffering from CMC. Moreover, 398 polymorphisms in type I interferon genes modulated Candida-induced cytokine production, and they 399 were correlated with susceptibility to systemic candidiasis (130). The first transcriptome-wide 400 association study (TWAS) (131) of the fungal immunology field identified molecular pathways 401 underlying candidemia susceptibility using unbiased transcriptomics data, which were then validated 402 in a patient's cohort. Significant associations between CCL8, STAT1, PSMB8 and SP110 polymorphisms 403 and susceptibility to candidemia were identified by integrating transcriptomics data, candidemia 404 GWAS followed up by functional in vitro validation in the context of Candida infection (130). Another 405 study suggested that RIG-I-like receptor (RLR) MDA5 has a critical role in anti-Candida host immune 406 defense by integrating genetic, transcriptomic and immunological data generated from mouse and 407 human studies (132). The additive value of integrating multiple molecular datasets became even more 408 apparent by two follow up studies where genes and pathways underlying candidemia susceptibility 409 were prioritized. In the first study, suggestive genetic associations together with transcriptomic data

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410 could prioritize novel pathways implicated in candidemia susceptibility, including the complement and 411 hemostasis pathways (100). In the second study, integration of GWAS data with variants that affect 412 cytokine levels (cytokine-QTLs) from different Candida-stimulated cell types prioritized lipid and 413 arachidonic acid metabolism as potential mechanisms that affect monocyte-derived cytokines to 414 influence susceptibility to candidemia (133).

415 Although African populations suffer the most from infectious diseases, they are still underrepresented 416 in studies of disease susceptibility (117). The first genome-wide association study of susceptibility to 417 cryptococcosis in HIV patients have been carried out with genotype data from 524 patients of African 418 descent. This study identified six loci upstream CSF1 gene (encoding for M-CSF) that were significantly 419 associated with the disease susceptibility and validated in a separate cohort. Functional data from 420 RNAseq of human PBMCs stimulated with C. neoformans and in vitro experiments with HIV patient's 421 PBMCs confirmed the crucial role of M-CSF for anti-Cryptococcus host defence mechanisms (134).

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423 Given that genetic variants significantly associated with a disease are often regulated in a context and 424 cell-specific way (135), with the development of single-cell RNAseq, it has become possible to 425 prioritize genes in a cell-type specific fashion. For example, by combining bulk and single-cell 426 transcriptome data in response to Candida stimulation with GWAS data on candidemia susceptibility, 427 LY86 antigen has been prioritized and further validated to exert a protective role against candidemia 428 risk (136). Furthermore, genes and cellular processes that contribute to the pathogenesis of RVVC, 429 including cellular morphogenesis and metabolism, and cellular adhesion were identified through 430 integration of genomic approaches and immunological studies in two independent cohorts of patients 431 with RVVC and healthy individuals (137). In particular, the role of SIGLEC15 in Candida recognition and 432 RVVC susceptibility, a lectin expressed by various immune cells that binds sialic acid, has been also 433 validated in the same study with both in vitro and in vivo functional assays (137). Wang et al. in the Hi-434 HOST Phenome Project (H2P2) identified two SNPs significantly associated with FGF2 production in 435 response to M. circinelloides and C. albicans, posing those allelic variant as potential candidate for 436 antifungal host immune response (138). However, they did not validate whether and how the 437 presence of these SNPs is associated with an increased risk of fungal infections.

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439 Overall, such an integrative, functional approach is valuable in the context not only of fungal 440 infections, but also to other infectious diseases, for which the limited size of patient cohorts limits the 441 power of the GWAS . The reasons of using such an approach are threefold: first of all, this approach 442 makes use of large population-based cohort studies in the context of the disease that can be excellent 443 models in order to get a powerful analysis to understand disease pathophysiology. Second, it is very 444 versatile and provides independent layers of evidence intersecting with each other: from the multi-

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445 omics untargeted molecular candidate to the experimental or clinical evidence (top down) and vice 446 versa (bottom up) in a multidisciplinary and collaborative way. Last but not least, the ultimate aim of 447 functional genomic studies is to provide "actionable data" with a translational potential. Since this can 448 be a pathway-based targeted approach, it is possible to validate and clinically translate those findings 449 to patients. Knowing the underlying pathways of human host defence allows, for example, to identify 450 ways to prevent the disease, develop novel diagnostic tools to be used in patient risk stratification, 451 and identify new potential therapeutics. For a robust implementation of such a host-oriented therapy, 452 it is particularly crucial to make sure that the results are validated in physiologically relevant model, 453 preferably relevant primary models of disease or appropriate patient samples or clinical strains. It has 454 been shown that not always what have been validated in human cell lines (142), in mice (143), or a 455 laboratory pathogen strain (144, 145) hold true in patient's cells of fungal clinical isolates.

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459 Future perspectives

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461 Over the last decades, the study of the genetics of infectious disease susceptibility has been 462 revolutionized, and it has been developed more rapidly thanks to new technologies. This progress was 463 important at multiple levels: firstly, it has made the research process more effective, comprehensive 464 and productive, providing valuable new findings on host-pathogen interactions. Such an evolution of 465 the field combined with an interdisciplinary approach can be a useful tool to identify new potential 466 novel therapeutic drug targets. In this respect, a recent study has shown that the proportion of drug 467 mechanisms with a direct genetic support increases significantly across the drug development phases, 468 indicating that prioritizing genetically supported drug target could double the success rate in drug 469 discovery (146). A stratification of patients based on genetic profiling would pinpoint the patients with 470 high risk of disease, and who will benefit most from the drug. Unless additional clinical trials provide 471 evidence of a treatment effect based on genetic profiling, we should be aware and cautious of the 472 benefits and harms of new drug targets. In addition, a host- directed therapeutical target may also 473 result in a weaker selection pressure on pathogens, potentially making it more difficult for a pathogen 474 to evolve beyond the control of the host immune response.

475 Integrating such a plethora of omics data would catalyze the identification of diagnostic markers that 476 might be useful for severity stratification or eligibility for specific treatments. Considering the host 477 variability in immune-related genes, personalized therapies based on an individual genetic profile, 478 such as immunotherapy-based interventions or targeted anti-fungal prophylaxis in genetically

susceptible individuals are leading to an increasingly more powerful precision medicine. Nonetheless, risk stratification approaches guiding clinical decision-making process based on a patient's individual susceptibility profile are expected to be promising. From a more basic science and biotechnological aspect, new technologies are gaining ground in the study of the genetics of infectious diseases, such as single-cell sequencing at transcriptome level, and whole genome sequencing for the primary immunodeficiencies, at the genomic level.

It is expected that in the coming years novel technologies that will help dissecting the interaction of 487 host genetics and metagenomic (microbiome and also mycobiome) make-up of an individual will be 488 further integrated, as an increasing number of studies will investigate these complementary genomes 489 of an individual. Some of the available technologies that can be potentially implemented and 490 integrated with the genetic level are the organ-on-chips approach, that would allow to better dissect 491 the human-fungus-environment interaction in a more dynamic manner, which is more comparable to 492 human physiology.

493 These novel tools in a system genomic approach framework will be used also to decipher the 494 pathophysiology of emerging fungal infections (e.g. Candida auris). In addition to this, such approach 495 needs to be employed more extensively in populations of non-European ancestry.

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1128	Mariolina Bruno obtained her M.D. from Sapienza University of Rome (Italy) and received a
1129	degree in Life Sciences from the Sapienza School from Advances Studies (SSAS). She is
1130	currently a final-year PhD candidate at the Department of Internal Medicine of Radboudumc
1131	in Nijmegen (The Netherlands). She has been working on a project aiming at defining how C.
1132	auris is recognized by immune cells. She is currently investigating host susceptibility factors
1133	for Aspergillus infection in patients with Chronic Pulmonary Aspergillosis (CPA) and Chronic
1134	Granulomatous Disease (CGD), with a particular focus on immunometabolism.
1135	
1136	Mihai Netea was born and studied medicine in Cluj-Napoca (Romania). He completed his PhD
1137	at the Radboud University Nijmegen (The Netherlands) on studies investigating the cytokine
1138	network in sepsis. After working as a post-doc at the University of Colorado, he returned to
1139	Nijmegen where he finished his clinical training as an infectious diseases specialist, and where
1140	he currently heads the division of Experimental Medicine, Department of Internal Medicine,
1141	Nijmegen University Nijmegen Medical Center. His main research interests are pattern
1142	recognition of fungal pathogens and the induction of antifungal immunity, primary

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- immunodeficiencies in innate immune system, and the study of the memory traits of innate 1143
- 1144 immunity.

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Gene(s)	Polymorphism(s)	Chromosome	Reported	Functional evidence	Re
		location	associations		
TLR1	rs4833095,	4p14	increased	impaired cytokine	(7
	rs5743618,		candidemia	release by primary	
	rs5743611		susceptibility	monocytes	
TLR4	rs4986790,	9q33.1	Increased	Delayed immune cell	(7
	rs4986791		susceptibility to IA	reconstitution after	
				HSCT (78)	
				Validation study in a	(7
				separate cohort	
			Increased	Increased C. albicans	
			candidemia	induced IL-10 in	(8
			susceptibility	PBMCs	
CLEC7A	rs16910526	12p13.2	Increased	Diminished A.	(8
(Dectin-1)			susceptibility to IA	fumigatus-induced	
				IFNγ and IL-10 in	
				PBMCs	
			Higher oral and	Diminished C.	(8
			gastrointestinal	albicans induced IL-1	
			Candida colonization,	β in PBMCs and	
			no increased risk of	reduced amplification	
			candidemia	of TLR2 signaling.	
			Mucocutaneous	Lower β-glucan-	(8
			fungal infections	induced IL-6 in	Ì

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				monocytes and lower <i>Candida</i> binding	
РТХЗ	rs2305619,	3q25.32	Increased	Lower Phagocytosis	(84)
	rs3816527		susceptibility to IA	efficiency and A.	
			after HSCT	fumigatus killing in	
				neutrophils	
NOD2	rs2066842	16q12.1	Reduced	Lower A. fumigatus-	(86)
			susceptibility to IA	induced cytokine	
			after HSCT	production in PBMCs	
CX3CR1	rs3732378	3p22.2	Increased	C. albicans-induced	(88)
			candidemia	renal failure in	
			susceptibility	Cx3cr1 ^{-/-} mice	
				Impaired AKT and ERK	(89)
				signaling and	
				decreased blood	
				monocyte counts.	
CXCR1	rs2234671	2q35	Increased	Impaired C. albicans	(90)
			candidemia	killing and neutrophil	
			susceptibility	degranulation	
CLEC1A	rs2306894	12p13.2	Increased	Lower A. fumigatus-	(147
(MelLec)			susceptibility to IA	induced IL-1 β and IL-	
			after HSCT	8 production in	
				macrophages	
IL-1B	rs16944	2q14.1	Increased Invasive	Reduced Aspergillus-	(91)
			Mold Infection (IMI)	induced IL-1β, TNF α	
				and IL-22 production	
				in PBMCs	
IL1RN	rs419598	2q14.1	Increased Invasive	Reduced Aspergillus-	(91)
			Mold Infection (IMI)	induced IL-1 β and	
				TNF α production in	
				PBMCs	
IFNG	rs2069705		Decreased	Improved Aspergillus	(148
			susceptibility to IA	killing and higher IFN	
			after HSCT	PHA-induced IFN-γ	
				production in PBMCs	

Fable 2. Selected hig	h-throughput methods for studying host-pathogen interactions
Vethod	Purpose
RNAseq	Transcript analysis
dual RNAseq	Transcript analysis of both the host and the pathogen
scRNAseq	Transcript analysis
GRO-Seq	Transcription
PRO-seq	Genome-wide map of transcriptionally engaged Pol II
Nascent-Seq	Transcription
ChIA-PET	Chromatin conformation
Hi-C	Chromatin conformation
5-C-Seq	Chromatin conformation
DNAse-Seq	Open chromatin
ATAC-Seq	Open chromatin
Chip-seq	Mapping DNA regulatory elements
3S-Seq	Genome methylation
RRBS-Seq	Genome methylation
TS1-Seq	Fungi detection
Nano LC-MS/MS	Host and fungal quantitative proteome analysis without isolation

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ip		Table 3. Ge	netic variants ass
SCI		genomics a	pproach.
anu		Gene(s)	Polymorphism(s
Accepted Manuscript P		GOLM1	rs11141235
Accep		IFIH1	rs1990760,
			rs3747517
		MAP3K8	rs1360119
		SPTBN5	rs8028958
		(eQTL of PLA2G4B)	
mmunity		LY86	rs9405943
nfection and Immunity		SIGLEC15	rs2919643
Infec			
		MFHAS1	rs139408032

sociated with fungal infections found in the last 5 years using a systems

Gene(s)	Polymorphism(s)	Chromosome	Reported associations	Functional	Ref.
		location		validation/evidence	
GOLM1	rs11141235	9q21	Increased candidemia	cQTL locus: lower C.	(11
			susceptibility	albicans-induced IL-6	
				production	
IFIH1	rs1990760,	2q24.2	Increased candidemia	Reduced C. albicans-induced	(13
	rs3747517		susceptibility	IL-10 in PMCs	
MAP3K8	rs1360119	10p11.23	Increased candidemia	Reduced IL-6, IL-8 and IFNy	(10
			susceptibility	in serum of candidemia	
				patients	
SPTBN5	rs8028958	15q15.1	Increased candidemia	Lower C. albicans- induced	(13
(eQTL of			susceptibility	IL-6 and ROS in PBMCs	
PLA2G4B)					
LY86	rs9405943	6p25.1	Increased candidemia	Lower migration towards	(1
			susceptibility	MCP-1 of monocytes	
				knockdown for <i>LY86</i>	
SIGLEC15	rs2919643	18q21.1	Increased RVVC	Increased C. albicans-	(1
			susceptibility	induced IL-17A, IL-22 and	
				IFN-γ	
MFHAS1	rs139408032	8p23.1	NA	cQTL locus: higher M.	(13
				circinelloides-induced FGF-2	
				production	
FRMD4A	rs61836093	10p13	NA	cQTL locus: higher C.	(1
				albicans-induced FGF-2	
				production	
CSF1	rs1999713	1p13	Decreased	Upregulation of CSF1 upon	(1
			cryprococcosis	C. neoformans stimulation	
			susceptibility in HIV	of human PBMCs; higher	
			patients	phagocytosis and killing of C.	
				neoformans in PBMCs from	
				HIV patients pre-treated	
				with M-CSF.	

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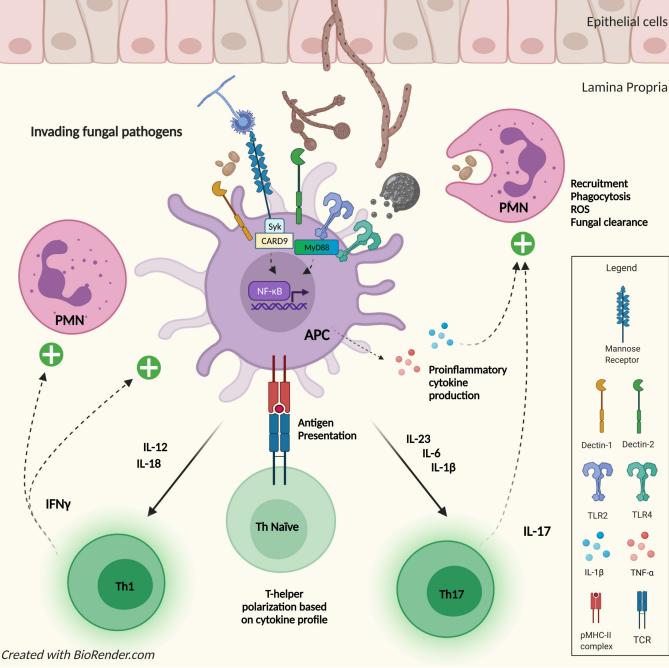
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Infection and Immunity

- Figure 1 Overview of mechanism of immune response toward a fungal infection
 - 1160 Figure 2 Classical and novel research approaches to study the genetics of fungal infections

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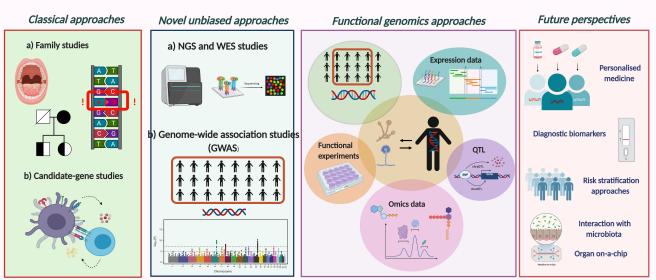
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An overview of research approaches to study the genetics of fungal diseases



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