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van Praagh, J B; de Wit, J G; Olinga, P; de Haan, J J; Nagengast, W B; Fehrmann, R S N; Havenga, K

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Colorectal anastomotic leak: transcriptomic profile analysis

J. B. van Praagh 🔟 ¹, J. G. de Wit², P. Olinga 🔟 ³, J. J. de Haan⁴, W. B. Nagengast², R. S. N. Fehrmann⁴ and K. Havenga^{1,*}

¹Department of Surgery, University of Groningen, University Medical Centre Groningen, Groningen, the Netherlands
²Department of Gastroenterology and Hepatology, University of Groningen, University Medical Centre Groningen, Groningen, the Netherlands
³Pharmaceutical Technology and Biopharmacy, Department of Pharmacy, University of Groningen, Groningen, the Netherlands
⁴Department of Medical Oncology, University of Groningen, University Medical Centre Groningen, the Netherlands

*Correspondence to: Department of Surgery, University Medical Centre Groningen, University of Groningen, Hanzeplein 1, PO Box 30.001, 9700 RB Groningen, the Netherlands (e-mail: k.havenga@umcg.nl)

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Abstract

Background: Anastomotic leakage in patients undergoing colorectal surgery is associated with morbidity and mortality. Although multiple risk factors have been identified, the underlying mechanisms are mainly unknown. The aim of this study was to perform a transcriptome analysis of genes underlying the development of anastomotic leakage.

Methods: A set of human samples from the anastomotic site collected during stapled colorectal anastomosis were used in the study. Transcriptomic profiles were generated for patients who developing anastomotic leakage and case-matched controls with normal anastomotic healing to identify genes and biological processes associated with the development of anastomotic leakage.

Results: The analysis included 22 patients with and 69 without anastomotic leakage. Differential expression analysis showed that 44 genes had adjusted P < 0.050, consisting of two upregulated and 42 downregulated genes. Co-functionality analysis of the 150 most upregulated and 150 most downregulated genes using the GenetICA framework showed formation of clusters of genes with different enrichment for biological pathways. The enriched pathways for the downregulated genes are involved in immune response, angiogenesis, protein metabolism, and collagen cross-linking. The enriched pathways for upregulated genes are involved in cell division.

Conclusion: These data indicate that patients who develop anastomotic leakage start the healing process with an error at the level of gene regulation at the time of surgery. Despite normal macroscopic appearance during surgery, the transcriptome data identified several differences in gene expression between patients who developed anastomotic leakage and those who did not. The expressed genes and enriched processes are involved in the different stages of wound healing. These provide therapeutic and diagnostic targets for patients at risk of anastomotic leakage.

Introduction

Anastomotic leakage occurs in approximately 10 per cent of patients who undergo colorectal resection with primary anastomosis. A leak is associated with prolonged hospital stay, reintervention, permanent ostomies, and even death^{1,2}. There is limited evidence for preventative measures, such as diverting ostomies, omentoplasties, and bowel preparation^{3–5}. Many factors increase the risk of anastomotic leakage: poor perfusion of the bowel, increased tension on the anastomosis, technically imperfect anastomosis, chronic use of immune suppressive agents, and comorbidity including diabetes or atherosclerosis^{6,7}. In addition, it has been shown that anastomotic leakage is associated with low microbial diversity and the presence of bacteria such as *Enterococcus faecalis* at the anastomotic site^{8,9}. However, the biological signalling pathways involved in normal or impaired

colonic wound healing and thus the pathophysiology of anastomotic leakage are incompletely understood.

Therefore, the aim of this study was to undertake a transcriptome analysis of genes underlying the development of colorectal anastomotic leakage.

Methods

The samples used for this study were obtained from patients who participated in the C-seal trial¹⁰. The trial was registered in the Netherlands National Trial Register (NTR3080). For patients who gave additional consent, the doughnuts, comprising small rings of colon and/or rectum cut by the circular stapler, were stored in RNA*later*[®] (ThermoFisher Scientific, Waltham, Massachusetts, USA) at -80°C. Patients who developed anastomotic leak were

matched for sex, age, and preoperative chemotherapy and radiotherapy with patients who did not develop leakage.

RNA isolation

Total RNA was extracted from proximal doughnuts using a protocol involving a combination of bead beating and a Maxwell[®] 16 LEV simplyRNA Tissue Kit (Promega, Madison, Wisconsin, USA). Briefly, up to 60 mg tissue sample, 245 µl 1-thioglycerol/ Homogenization Solution (provided with kit) and minibead glass beads were added to an Eppendorf tube and disrupted in a bead beater three times each for 45 s. Subsequently the homogenates were heated at 70°C for 2 min and cooled for 1 min. Samples were then centrifuged at 13 200q for 5 min, and 200 μ l supernatant transferred into new tubes with 200 μ l Kit Lysis Buffer. The samples were vortexed and transferred into Maxwell® 16 LEV Cartridge Purification Kit cartridges. An additional 10 µl kit DNAse I was added to the other wells of the cartridges as described by the protocol, and 40 μl nuclease-free water was used for elution.

RNA quality check

Quantity and quality were assessed with a NanoDrop spectrophotometer (Thermoscientific). Only RNA samples with a 260/280 ratio of 1.9-2.1 and 260/230 ratio over 2.0 were used. Additional quality checking of the extracted total RNA and total RNA quantification of the samples was done by capillary electrophoresis using a LabChip GX (PerkinElmer, Waltham, Massachusetts, USA). Samples were included for further analysis if the 28S/18S ribosomal RNA ratio was greater than 0.8 and the mean RNA quality score exceeded 5.0.

Messenger RNA sequencing

Libraries of cDNA fragments were generated using BiooScientific Nextflex mRNA sample preparation kits (BiooScientific, Austin, Texas, USA) and a Sciclone NGS Liquid Handler (PerkinElmer). In the event of Scicluna contamination of adapter duplexes, an extra purification of the libraries was performed using a LabChip XT automated agarose gel separation system (PerkinElmer). The cDNA fragment libraries obtained from the samples were sequenced using a NextSeq500 System (Illumina, San Diego, California, USA), with a single-end read and a read length of 75 base pairs in four pools of 24 samples.

Quality control of sequencing data

Quality control was performed by sequenced read and by sample, and quality control metrics were calculated from the raw sequencing data by using FastQC/0.11.3-Java-1.7.0_80. Subsequently all reads were aligned to the reference genome build 37 human ensemble release 75, using HISAT/0.1.5-beta-goolf-1.7.20 with default mode, allowing for two mismatches in the alignment¹¹. Gene-level quantification in raw counts was performed by HTSeq/0.6.1p1 using default settings, and Ensembl version 75 was used as gene annotation database¹².

Differential gene expression analysis

Differential expression of the genes was analysed using DEseq2 package in R version 3.4.3 (R Foundation for Statistical Computing, Vienna, Austria). First, genes that did not have at least three samples with normalized counts exceeding 10 were prefiltered¹³. Thereafter, differential analysis was performed, creating a list of upregulated and downregulated genes based on the log₂ fold change and P value¹³. In addition, P values were adjusted for multiple comparisons by use of the Benjamini–Hochberg false discovery rate ($\alpha = 0.05$). Adjusted P < 0.050 was considered significant.

Heatmap and hierarchical clustering

A heatmap was created with the 150 most upregulated and 150 most downregulated genes based on the adjusted P value in the data set using the ClustVis tool¹⁴, which is available online. The clustering distances were based on Pearson correlation subtracted from 1, and the Ward linkage method was used.

Co-functionality network analysis

A guilt-by-association approach was used that predicts likely functions for genes based on gene co-regulation (manuscript in preparation). A description of the underlying method can be found in Appendix S1. This framework enables researchers to create a comprehensive network of predicted functionalities of individual genes(http://www.genetica-network.com). Based on this framework, the likelihood of every gene set as defined in a selected database can be calculated. This results in a vector of *n* likelihoods for each individual gene. The number of gene sets in the selected database determines n. Next, the correlation between the vectors of likelihoods of the different genes is calculated. The GenetICA framework then shows a network of genes in which all genes have at least a correlation with another gene above, with a least one correlation above the selected threshold. When selecting a clustered network of genes, it shows the enriched function of the Gene Ontology Biological Process (GO BP) gene sets and its enrichment value (Z-transformed P values), considered significant when the Z-score is greater than 1.96. For the present data set, the 150 most upregulated and 150 most downregulated genes based on adjusted P value were used with a threshold of 0.600 to separate clusters of genes. Clusters with at least 10 genes were used to perform the enrichment studies adequately.

Results

The study was started with 123 doughnut samples; 29 of these were from patients who developed anastomotic leakage. After quality control of extracted RNA and sequencing results, the analysis was continued with 91 samples. Of these, 22 samples were from patients who developed anastomotic leakage within 30 days of surgery, except for one patient who developed a leak 40 days after operation, and 69 were from patients who did not develop anastomotic leakage (Fig. S1). Baseline characteristics for both groups included in subsequent analyses are shown in Table 1. With the exception of BMI (P = 0.023), no significant differences were observed.

Genes with significant differential expression between anastomotic leak and non-leak samples

Four hundred and sixteen genes were significantly (P < 0.050) upregulated and 1479 were downregulated in samples from patients with anastomotic leakage compared with samples from those with no leak. Forty-four of these genes had adjusted P <0.050, two upregulated and 42 downregulated genes (Fig. 1 and Table 2). A list of all genes is available in File S1.

Heatmap with hierarchical clustering

A heatmap of the top 150 most significantly upregulated and top 150 most significantly downregulated genes in patients who developed anastomotic leak is shown in Fig. 2. Most (15 of 22) of the anastomotic leak samples had a similar pattern of gene expression, as they clustered together. Differences in upregulation and downregulation in the samples from patients who did or did not

Table 1 Patient characteristics

	Total (n = 91)	Anastomotic leakage ($n = 22$)	No anastomotic leakage (n = 69)	$\mathbf{P}^{\mathbb{S}}$
Age (vears)*	64.0 (10.3)	65.0 (10.5)	63.7 (10.3)	0.628 [¶]
Sex ratio (M : F)	64:27	17:5	47:22	0.442
BMI $(kg/m^2)^{\dagger}$	26.5 (24.0-29.4)	27.7 (24.8-31.2)	25.9 (23.8–28.2)	0.023
Indication for surgery		· · · · · ·		0.160
Colorectal cancer	85 (93)	22 (100)	63 (91)	
Diverticular disease	4 (4)	0 (0)	4 (6)	
Other	2 (2)	0 (O)	2 (3)‡	
Co-morbidities	()			
Cardiovascular	42 (46)	11 (50)	31 (45)	0.677
Pulmonary	14 (15)	5 (23)	9 (13)	0.278
Neurological	11 (12)	3 (14)	8 (12)	0.806
Gastrointestinal	21 (23)	6 (27)	15 (22)	0.598
Urogenital	16 (18)	6 (27)	10 (14)	0.175
Musculoskeletal	15 (16)	5 (23)	10 (14)	0.371
Endocrine	16 (18)	3 (14)	13 (19)	0.584
Infectious	1 (1)	0(0)	1 (1)	0.589
Concomitant malignancy	17 (19)	4 (18)	13 (19)	0.951
Curatively treated	15 (16)	4 (18)	11 (16)	
ASA grade	()	. ,		0.262
I	18 (20)	7 (32)	11 (16)	
II	61 (67)	12 (55)	49 (71)	
III	12 (13)	3 (14)	9 (13)	
Chronic corticosteroid	1 (1)	0 (0)	1 (1)	0.589
Preoperative treatment				
Chemoradiotherapy, 50 Gy	19 (21)	4 (18)	15 (22)	0.721#
Chemotherapy only	1 (1)	0(0)	1 (1)	
Short-course radiotherapy, 25 Gy	31 (34)	7 (32)	24 (35)	0.798#
With chemotherapy	2 (2)	0(0)	2 (3)	
Diverting ileostomy	0 (0)	0 (O)	0 (O)	1.000#
Diverting colostomy	5 (5)	1 (5)	4 (6)	0.823#
Hartmann	1 (1)	(O)	1 (1)	
During surgery			、 <i>*</i>	
New ostomy	40 (44)	8 (36)	32 (46)	0.416
C-seal	47 (52)	13 (59)	34 (49)	0.428

Values in parentheses are percentages unless indicated otherwise; values are *mean(s.d.) and [†]median (i.q.r.). Co-morbidities are defined as disease under specialists' treatment.[‡]Adenoma and recurrent pelvic leiomyosarcoma. [§]Mann–Whitney U test, except ¹Welch's t test and [#]Pearson χ2 test.



Fig. 1 Volcano plot showing distribution of gene expression patterns The dotted lines are the adjusted P-value <0.050 (horizontal) and the Log_2 fold change $<\!-1.0$ or $>\!1.0$ (vertical).

develop anastomotic leak were seemingly dichotomous and were homogeneous within the groups, with the exception of three samples. These three samples (numbers 42, 118, and 123) belonged to the group without anastomotic leak, and showed a different, outlying pattern compared with other samples in this group. They showed greater upregulation in a subset of genes (MMP3 to TLR4) (Fig. 2).

Co-functionality network analysis

The GenetICA co-functionality network of the top 150 upregulated genes consisted of eight clusters of genes, ranging from 2 to

11 genes sharing predicted co-functionality. Only cluster 1 contained more than 10 genes (Fig. 3), showing enrichment of the pathways GO organelle fission (Z-score 5.60), GO transfer RNA metabolic process (Z-score 5.36), GO DNA repair (Z-score 5.31), GO amide biosynthetic process (Z-score 5.17), and GO non-coding RNA processing (Z-score 5.02). Because these pathways are related to cell division, this cluster 1 was labelled 'cell division' (Table S1).

The clusters formed by the downregulated genes consisted of 2 to 38 genes (Fig. 4). Three clusters contained more than 10 genes. Cluster 1 showed 37 genes that were enriched for pathways in the immune response, such as GO leucocyte activation (Z-score 7.77), GO defence response to bacterium (Z-score 6.98), and GO defence response to other organism (Z-score 6.94). Cluster 2 (16 genes) enriched for pathways that involve angiogenesis: GO vasculature development (Z-score 4.72), GO blood vessel morphogenesis (Z-score 4.34), and GO angiogenesis (Z-score 4.33). Cluster 3 (24 genes) enriched for pathways such as GO mRNA processing (Z-score 5.43), GO DNA repair (Z-score 5.33), and the pathway GO peptidyl lysine modification (Z-score 5.05). The pathways of cluster 3 are related to protein synthesis and collagen cross-linking (Table S2).

A subset of the downregulated genes in the immune response cluster were genes that were relatively downregulated owing to the three previously mentioned outlying samples. This subset of genes accounted for a large part of the enrichment of GO defence response to bacterium, GO inflammatory response, and GO defence response to other organism. These pathways had lower

Table 2 Genes that were differentially expressed between patients with or without anastomotic leakage (adjusted P < 0.050)

Gene symbol	Gene name	Log ₂ fold change	Р	Adjusted P
Upregulated				
PROC	Protein C, inactivator of coagulation factors Va and VIIIa	1.182	4.35E-05	3.10E-02
RP4-740C4.7	RP4-740C4.7	0.776	4.48E-05	3.10E-02
Downregulated				
AQP9	Aquaporin 9	-2.489	1.41E-07	2.79E-03
HCAR3	Hydroxycarboxylic acid receptor 3	-2.473	5.38E-06	1.53E-02
MMP10	Matrix metallopeptidase 10	-2.305	9.41E-05	4.58E-02
PROK2	Prokineticin 2	-2.235	8.74E-06	1.74E-02
FCAR	Fc fragment of IgA receptor	-2.193	3.21E-06	1.06E-02
FCGR3B	Fc fragment of IgG receptor IIIb	-2.132	1.11E-06	6.22E-03
APOBEC3A	Apolipoprotein B mRNA editing enzyme catalytic subunit 3A	-2.070	2.91E-06	1.06E-02
LUCAT1	Lung cancer associated transcript 1	-2.030	6.35E-05	3.54E-02
CXCR2	C–X–C motif chemokine receptor 2	-1.973	1.15E-06	6.22E-03
CXCR1	C–X–C motif chemokine receptor 1	-1.905	1.35E-05	1.85E-02
MTRNR2L8	MT-RNR2-like 8	-1.905	4.52E-05	3.10E-02
FPR2	Formyl peptide receptor 2	-1.849	2.37E-05	2.35E-02
KCNI15	Potassium voltage-gated channel subfamily I member 15	-1.833	1.40E-05	1.85E-02
MMP3	Matrix metallopeptidase 3	-1.757	3.53E-05	2.81E-02
SLC11A1	Solute carrier family 11 member 1	-1 586	7 23E-06	1 70E-02
CSF3R	Colony-stimulating factor 3 receptor	-1 570	1.25E-06	6.22F-03
FPR1	Formyl peptide receptor 1	-1 449	6.00E-05	3 54E-02
MGAM	Maltase-glucoamylase	_1 289	2.02E-05	2.22F_02
SELL	Selectin I	_1 157	9.45E-05	4 58F_02
SLC16A7	Solute carrier family 16 member 7	_1.051	1.08E_05	1.30E 02 1.79E_02
FMP1	Enithelial membrane protein 1	_0.997	3 33E_05	2 81F_02
NAMPT	Nicotinamide phoenhorihosyltransferase	_0.991	6.40E_05	3 54F_02
NAMPTI	Nicotinamide phosphoribosyltransferase-like	-0.986	1.09F_04	4.91F_02
RP11_443NI24 1	RP11_443N/24 1	-0.580	2 12E_05	2.22E_02
	Henstocyte growth factor	0.855	2.12L-05	2.22L-02 2.74E 02
ZREDE	Zinc finger BED type containing 6	0.855	2.90L-05	2.74L-02 2.12E 02
CI C2 A 12	Soluto carrier family 2 member 12	0.749	1.81L-05	2.12L-02 2.54E-02
JLGZAIJ IV7E2	IKAROS family zing fagor 2	-0.078	1.40E 0E	3.34E-02 1.95E 02
IKZFZ	Interloukin 6 signal transducer	-0.000	1.49E-05	1.03E-02
NIADDO	NEDD4 hinding protoin 2	-0.012	9.00E-03	4.03E-02
IN4DFZ	NEDD4-binding protein 2	-0.588	7.55E-05	3.95E-02
JIVIJDIC CUD1	Chromodomain baliance DNA binding reation 1	-0.532	4.44E-05	5.10E-02
CHDI CDD10C	Chromodomain nelicase DNA-binding protein 1	-0.524	6.20E-05	3.54E-02
GPR120	G protein-coupled receptor 126	-0.522	6.24E-05	3.54E-02
AHK	Aryl nydrocarbon receptor	-0.518	5.8/E-05	3.54E-02
AGO2	Argonaute 2, RISC catalytic component	-0.517	1.2/E-05	1.85E-02
DUCK4	Dedicator of cytokinesis 4	-0.495	1.03E-05	1.79E-02
QSER1	Glutamine and serine rich 1	-0.488	1.01E-04	4.65E-02
SOCS4	Suppressor of cytokine signalling 4	-0.467	3.53E-05	2.81E-02
B4GALT6	p-1,4-Galactosyltransferase 6	-0.459	6.81E-05	3.66E-02
DENND4A	DENN domain containing 4A	-0.444	7.69E-06	1./0E-02
ZNF800	Zinc Inger protein 800	-0.41/	8./6E-05	4.4/E-02
PCGF5	Polycomb group ring finger 5	-0.325	3.21E-05	2.81E-02

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Z-scores (5.00 or less) when the subset genes were left out of the analysis. However, a cluster of genes that enriched for innate immune response-related pathways such as GO leucocyte activation (Z-score 9.52), GO activation of immune response (Z-score 7.23), and GO regulation of innate immune response (Z-score 6.90) were still present if these outliers were excluded from the enrichment analyses (*Table* S3).

Discussion

This study presents a unique transcriptome analysis of 91 human colonic samples from the anastomotic site and subsequent clinical outcome. Despite normal macroscopic appearance during surgery, the transcriptomic data identified several differences in gene expression between patients who developed anastomotic leak and those who did not. The majority of these genes were downregulated at the time of surgery in patients who developed an anastomotic leak. These genes are interesting individually but should be considered in the context of pathway regulation. A cofunctionality network analysis identified three clusters of more than 10 downregulated genes in patients who developed anastomotic leak that can be labelled with the themes: immune response (cluster 1), angiogenesis (cluster 2), and protein synthesis and collagen cross-linking (cluster 3). The upregulated genes comprised one cluster with more than 10 genes that seemed to be involved in cell division processes. This analysis of the transcriptomic level between patients who did or did not develop anastomotic leakage shows a multifactorial transcriptomic signature of samples obtained just before the process of wound healing starts.

The upregulated cluster of genes that seems to regulate cell division is also found in skin fibroblasts from patients with Ehlers–Danlos syndrome¹⁵. In this disorder, mainly collagen type V is affected negatively; this has a central role in fibrillogenesis and influences the activation of other collagen types^{16,17}. Although none of the studied patients had Ehlers–Danlos syndrome, a negative influence on collagen type V could impair creation of extracellular matrix. However, uncontrolled upregulated cell division could also indicate the presence of colorectal cancer cells¹⁸; however, all samples had a large macroscopic tumour-free resection margin.



Fig. 2 Heatmap of differentially expressed genes with hierarchical clustering

The top 150 downregulated and top 150 upregulated genes are shown (rows) for each sample analysed (columns). The lower key refers to variance-stabilizing transformation of count data. AL, anastomotic leakage.

The identified downregulated gene clusters can all be related to wound healing, in which three stages are identified: inflammation, proliferation, and remodelling. There are no studies on the time course of normal intestinal healing; most analyses have focused on particular areas (such as epithelial cells) or diseased healing (for example inflammatory bowel disease)^{19,20}. However, from a biological viewpoint it is likely that wound healing processes in the human body largely work in the same way.

Transcriptomic analysis of different types of tissue has shown distinct activation and upregulation of genes involved in the immune and inflammatory response, especially in the early (inflammation) stages of healing^{21–23}. Compared with the normal wound healing response, the present results indicated that there

was downregulation of the innate immune response in patients who developed anastomotic leak *versus* those without a leak. The downregulation of gene sets related to the immune responses such as GO leucocyte activation and GO activation of immune response (immune response cluster) in an environment with an overabundance of microorganisms could cause an unfavourable situation for the healing colon after surgery and may be an important factor in development of anastomotic leakage.

However, the downregulated genes enriching for GO defence response to bacteria in anastomotic leak samples were mainly explained by high differential expression of three samples in the group without anastomotic leak. Although these samples seem to be outliers, the characteristics of the patients from whom they



Fig. 3 Co-functionality network of top 150 upregulated genes within GO Biological Processes

The threshold in the GenetICA framework was set at 0.600. The network consists of nine different gene clusters sharing co-functionality, with the largest cluster containing 11 genes (cluster 1).



Fig. 4 Co-functionality network of top 150 downregulated genes within GO Biological Processes

The threshold in the GenetICA framework was set at 0.600. These genes aggregate in three larger clusters. Clockwise, the first and largest cluster (cluster 1) contains 38 genes, the second (cluster 2) consists of 16 genes, and cluster 3 consists of 24 genes.

were obtained were not divergent. It could be that these patients had such a high response to bacteria that they were unlikely to develop leakage caused by bacteria. Another explanation could be that a (larger amount of) lymph node was included in the doughnut. The results also identified the angiogenesis cluster with downregulated genes enriched for GO vasculature development and GO angiogenesis pathways, among others. Angiogenesis, resulting in adequate supply of oxygen and nutrients, is one of the pillars of the second, proliferative, phase of wound healing²⁴. The healing of colonic anastomoses is considered to be more dependent on angiogenesis (microvasculature) than on oxygen diffusion through pre-existing macrovasculature^{25,26}. In addition, antiangiogenic agents have been associated with delayed wound healing and increased anastomotic leakage^{27,28}.

The third phase of wound healing, the remodelling phase, mainly comprises collagen restructuring. Anastomotic healing, which can be considered as secondary wound healing, starts with granulation. As the clotting matrix is transformed, a collagen network is formed, reducing the wound defect. Therefore, the balance of collagen production and fusion of the submucosal collagen matrix, which provides strength to the bowel, is an essential part of the healing of the anastomosis^{9,23,24}. Downregulation of the pathway GO peptidyl lysine modification, which is involved in collagen cross-linking²⁹, may therefore be another factor predisposing to anastomotic leakage.

There are several limitations to this study. First, only the proximal doughnut was used as the distal one was needed for pathological evaluation. It was therefore not possible to look at the downstream protein levels. In addition, the data would have had more impact if they also included information on microbial gene expression. The predictive value of the gene signatures in the present study is debatable, but this work provides new directions in the search for the mechanisms underpinning anastomotic leakage. Future work should focus on the biological status of the patient. From these data it has been possible to identify genes and biological routes that could be targeted for modulation or guided imaging. These could be used for risk profiling or even prediction of the development of anastomotic leak. However, confirmatory studies with a larger sample size, including preoperative samples, should be performed. Future in-depth analyses of the influences of different cell types using techniques such as microdissection could help improve understanding of the mechanisms underlying healing and thus leakage. A follow-up study using metatranscriptomics to analyse the gene expression of both host and microbes is planned.

Although there is no literature on how the pathways behave as soon as anastomotic wound healing has started, the hypothesis based on the present findings is that patients who eventually develop anastomotic leak start the healing process with an error. These patients have a status or trait at the level of gene regulation at the time of surgery that predisposes them to anastomotic leak. This predisposition is mainly based on the immune response, angiogenesis, protein metabolism, and collagen crosslinking, all of which are seemingly involved in the different stages of wound healing.

Disclosure. The authors declare no conflict of interest.

Supplementary material

Supplementary material is available at BJS online.

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