





### A new glaucoma hypothesis

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### COMMENTARY







# A new glaucoma hypothesis: a role of glymphatic system dysfunction

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### Abstract

In a recent review article titled "A new look at cerebrospinal fluid circulation", Brinker et al. comprehensively described novel insights from molecular and cellular biology as well as neuroimaging research, which indicate that cerebrospinal fluid (CSF) physiology is much more complex than previously believed. The glymphatic system is a recently defined brain-wide paravascular pathway for CSF and interstitial fluid exchange that facilitates efficient clearance of interstitial solutes, including amyloid- $\beta$ , from the brain. Although further studies are needed to substantiate the functional significance of the glymphatic concept, one implication is that glymphatic pathway dysfunction may contribute to the deficient amyloid- $\beta$  clearance in Alzheimer's disease. In this paper, we review several lines of evidence suggesting that the glymphatic system may also have potential clinical relevance for the understanding of glaucoma. As a clinically acceptable MRI-based approach to evaluate glymphatic pathway function in humans has recently been developed, a unique opportunity now exists to investigate whether suppression of the glymphatic system contributes to the development of glaucoma. The observation of a dysfunctional glymphatic system in patients with glaucoma would provide support for the hypothesis recently proposed by our group that CSF circulatory dysfunction may play a contributory role in the pathogenesis of glaucomatous damage. This would suggest a new hypothesis for glaucoma, which, just like Alzheimer's disease, might be considered then as an imbalance between production and clearance of neurotoxins, including amyloid- $\beta$ .

**Keywords:** Cerebrospinal fluid circulation, Cerebrospinal fluid clearance, Glymphatic pathway, Glaucoma, Alzheimer's disease, Intracranial pressure

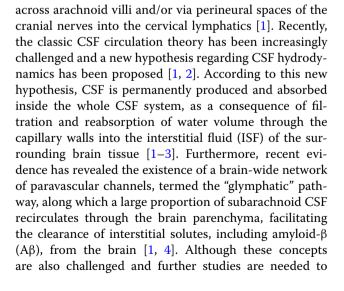
### Background

In a recent review article titled "A new look at cerebrospinal fluid circulation" published in *Fluids and Barriers of the CNS*, Brinker et al. [1] comprehensively described novel insights from molecular and cellular biology as well as neuroimaging research, which indicate that cerebrospinal fluid (CSF) physiology is much more complex than previously believed. According to the traditional understanding of CSF physiology, the majority of CSF is produced by the choroid plexus, circulates through the ventricles, the cisterns, and the subarachnoid space (SAS) ultimately to be absorbed into the venous blood system

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substantiate their functional significance [1], one implication is that glymphatic pathway dysfunction may contribute to the deficient  $A\beta$  clearance in the pre-clinical stages of Alzheimer's disease (AD) [5]. AD is thought to be caused by an imbalance between production and clearance of A $\beta$ , leading to A $\beta$  accumulation in the brain [6]. The new insights into the physiology of CSF circulation could also open new possibilities in the understanding of other neurodegenerative diseases in which the mis-accumulation of neurotoxic depositions contributes to disease development [1, 4]. In this paper, we review several lines of evidence showing that glaucoma may be an attractive candidate for such a disease. Interestingly, MRI-based imaging now provides a unique opportunity for investigating the role of the glymphatic system in glaucoma. If confirmed, this could lead to a new understanding of the pathogenesis of glaucoma.

### Discussion

### Glaucoma is a complex neurodegenerative disease

Glaucoma is one of the leading causes of irreversible blindness worldwide [7]. Primary open-angle glaucoma (POAG), the most common type, is a complex neurodegenerative disease characterized by slow progressive degeneration of retinal ganglion cells (RGCs) and their axons in the optic nerve, resulting in structural changes in the optic nerve head and corresponding visual field defects [7]. The optic nerve head is the likely site of initial injury and raised intraocular pressure (IOP) is considered a major risk factor for the development of POAG [7, 8]. However, elevated IOP is not present in all forms of POAG [7]. Indeed, in normal-tension glaucoma, IOP is not elevated and thus other risk factors must also be involved in the optic neuropathy of POAG [7].

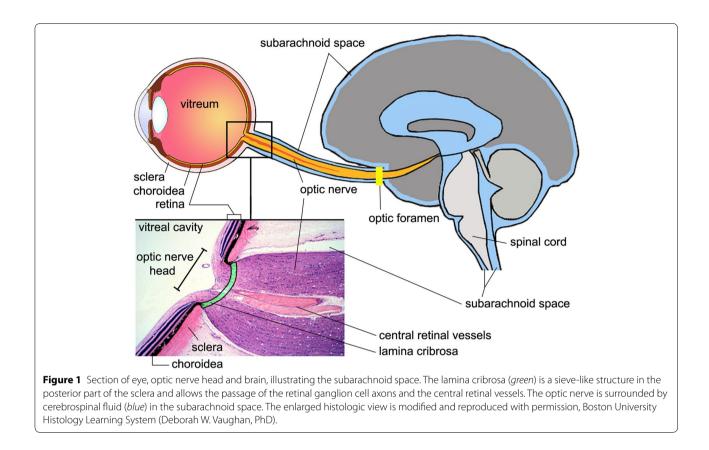
Growing evidence in the literature provides strong support for the concept that the CSF (both its pressure and composition) surrounding the optic nerve may have fundamental significance in the pathogenesis of glaucoma [9-12]. The optic nerve, a white matter tract of the central nervous system (CNS), is ensheathed in all three meningeal layers and surrounded by CSF in the SAS with a pressure equivalent to intracranial pressure (ICP) [10, 13]. Therefore, in addition to IOP, the optic nerve is exposed to the ICP [10]. The lamina cribrosa, the perforated region of the sclera through which the nerve fibers of the optic nerve pass as they exit the eye, separates these two pressurized regions [10]. The structures of the eye considered in this Commentary are illustrated in Figure 1.

### Accumulation of $A\beta$ may be involved in RGC death in glaucoma

Considerable evidence indicates that  $A\beta$  may be implicated in the development of RGC apoptosis in glaucoma [14-17], suggesting a possible link with AD. Previous findings showed that there is IOP-sensitive increase in  $A\beta$ in glaucoma [14–17]. McKinnon et al. [14] reported that rat RGCs subjected to chronic elevation of IOP exhibit caspase-3-mediated abnormal processing of  $\beta$ -amyloid precursor protein (APP) with increased expression of  $A\beta$ . This suggested a new hypothesis for RGC death in glaucoma involving chronic Aβ neurotoxicity, mimicking AD at the molecular level [15]. Activation of caspases and abnormal APP processing, which includes production of A $\beta$ , are also important events in AD [14]. Guo et al. [16] provided further evidence that  $A\beta$  is a likely mediator of pressure-induced RGC death. In a rat model mimicking chronic ocular hypertension, the authors found that A $\beta$  colocalized with apoptotic RGCs [16]. They also demonstrated in vivo that A<sub>β</sub> induced significant RGC apoptosis [16]. The authors further provided evidence that targeting  $A\beta$  and blocking its effects with combination therapy may represent an effective treatment strategy in glaucoma [16]. Recently, in a study using monkeys with experimental glaucoma, Ito et al. [17] found timedependent expressions and localization of  $A\beta$  in the retina as well as in the optic nerve head after chronic IOP elevation. It is interesting to note that a number of studies have similarly reported increased retinal  $A\beta$  in both AD transgenic mice and in human postmortem retinas of AD patients [18, 19].

### Decreased Aß and increased tau in vitreous fluid and CSF

Studies consistently report decreased levels of A $\beta$  (1–42) and increased levels of tau in CSF from AD patients in comparison with healthy subjects [20, 21]. Among AD patients, the consistent finding of low concentrations of A $\beta$  (1–42) in CSF compared with those of age-matched controls is thought to be due to increased aggregation, fibril and plaque formation, with decreased clearance of these peptides from the CNS [22]. To test the idea that A $\beta$  (1–42) and tau contribute to the development of glaucoma, Yoneda et al. [21] measured A $\beta$  (1–42) and tau concentrations in vitreous fluid samples from eyes from patients with glaucoma. The authors found significantly decreased vitreous levels of A $\beta$  (1–42) (consistent with A $\beta$  deposition in the retina) and significantly increased vitreous levels of tau in patients with glaucoma in comparison with the levels in a control group [16, 21]. Their findings suggested that the neurodegenerative processes in glaucoma might share, at least in part, a common mechanism with AD [21]. It is also interesting to note that in a recent report, Nucci et al. [23] described a glaucoma patient with medically controlled IOP who experienced disease progression concomitantly with the onset of mild cognitive impairment and positivity for CSF markers of AD (decreased A<sub>β</sub> and elevated levels of



total and phosphorylated tau). The authors suggested the possibility that altered CSF circulatory dynamics in this case reduced neurotoxin clearance along optic nerves in the SAS and that deposits/aggregates of tau and/or other toxic molecules may have contributed to the glaucoma progression.

### Flow of fluids in the anterior part of the optic nerve

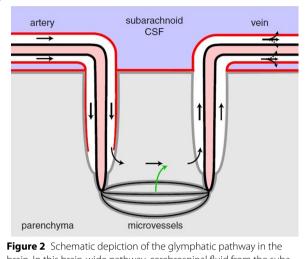
With regard to the viewpoint presented here, it is interesting to note that previous studies investigating the flow of fluids in the anterior part of the optic nerve seem to confirm that there is at least some level of exchange between the ISF of the optic nerve and the surrounding CSF. These studies also demonstrated that the fluids from the vitreous body and the optic nerve move from opposite directions and converge at the optic nerve head [24, 25]. Interestingly, as noted above, in glaucoma, the optic nerve head is recognized as the likely site of initial injury [8].

In these investigations, electron microscopic studies and a variety of tracer substances were used [24]. The probable sources of ISF in the anterior part of the optic nerve were found to be capillaries in the nerve itself, peripapillary choroid, vitreous, CSF and possibly axoplasm in the local axons [24]. First of all, several studies found that there is a backward bulk flow of fluid from the vitreous into the optic nerve head [24-26]. Rodriguez-Peralta [25] investigated the subject in rabbits, cats, dogs, guinea pigs, and rhesus monkeys by injecting diaminoacridine dyes into the vitreous. The groups of animals receiving the tracer intravitreally showed that these compounds moved promptly peripherally toward the retina and notably toward the optic nerve head [25]. Bright fluorescence was found in the deeper layers of the retina and particularly in the optic nerve head, anterior to the lamina cribrosa [25]. The latter was the area of the highest concentrations of diaminoacridines [25]. Peyman and Apple [26] injected horseradish peroxidase into the vitreous of squirrel monkeys. They found that the tracer permeated readily into the optic nerve head and diffused freely through intercellular spaces of astrocytes, along collagen septa of the nerve and perivascular spaces [26]. There was no diffusion into capillaries of the nerve [26]. Hayreh [24] studied this backward flow from the vitreous along the optic nerve in rhesus monkeys by injecting tritiated leucine into the vitreous. The RGCs and the inner part of the retina had been first infarcted so that no axoplasmic transport was present [24]. Thus, the tracer seen in the optic nerve head represented a direct flow from the vitreous into the nerve, with no axoplasmic transport

[24]. These studies revealed a heavy accumulation of the tracer in the glial cells in the anterior part of the prelaminar region, less accumulation in the posterior part of the prelaminar region, much less in the lamina cribrosa, and none or minimal accumulation in the retrolaminar optic nerve [24]. In addition to this backward bulk flow of fluid from the vitreous into the optic nerve head, several studies established that there is a flow of fluid from the SAS of the optic nerve into the optic nerve and optic nerve head [24, 25, 27, 28]. Rodriguez-Peralta [25] injected diaminoacridine dyes in the cisterna magna of rabbits, cats, dogs, guinea pigs, and rhesus monkeys. After 1 h, the dye was heavily concentrated in the SAS of the optic nerve and had diffused into the optic nerve and optic nerve head [25]. It was not found in the retina or vitreous body [25]. Tsukahara and Yamashita [27] injected horseradish peroxidase into the lateral ventricles of mice. 15 and 45 min later, the tracer was found in the SAS of the optic nerve [27]. It also penetrated freely into the optic nerve, and could be traced along the intercellular space between glial cells and optic nerve fibers and connective tissue septa, extending as far forward as the prelaminar region [27]. In his studies on rhesus monkeys, Hayreh [28] injected sodium fluorescein into the cisterna magna. On fluorescence photography of the optic disc in the living animal, usually within half an hour after the injection of the dye, the disc developed progressively increasing intense fluorescence and in 1.5-2 h it even started to diffuse into the vitreous [28]. Histological examination showed fluorescence of these tissues of the optic nerve and optic nerve head except in the lumen of vessels [28].

## Glymphatic pathway for CSF-ISF exchange in the optic nerve?

Taken together, the above findings at least suggest some level of exchange between the ISF of the optic nerve and the surrounding CSF. Compared to the brain size, the optic nerve is a relatively small structure. In such small structures, simple diffusion of even large solutes may be sufficiently rapid to allow clearance (Iliff JJ, personal communication, 2013). However, apart from simple diffusion or conventional CSF bulk flow, the question is whether the optic nerve also uses paravascular pathways to facilitate more rapid exchange. Figure 2 is a schematic depiction of the glymphatic pathway in the brain. As an extension of the brain (Figure 1), the eye displays remarkable similarities to the brain in terms of anatomy and functionality [13]. Therefore, it would be very interesting to investigate whether a similar glymphatic pathway for CSF-ISF exchange exists in the optic nerve. However, even if this is not the case, there may be an interconnection between the glymphatic pathway of the brain and the SAS of the optic nerve, which in turn may facilitate



brain. In this brain-wide pathway, cerebrospinal fluid from the subarachnoid space rapidly enters the brain along paravascular channels surrounding penetrating arteries and exchanges with brain interstitial fluid (ISF). ISF and parenchymal solutes (e.g.,  $A\beta$ ) are cleared from the brain along paravenous routes. The *green arrow* has been added as a reminder that fluid secreted by the blood–brain barrier contributes to the fluid in the parenchyma. Reproduced with permission from Hladky and Barrand [29], their Figure 9a (the glymphatic proposal).

efficient CSF-ISF exchange in the optic nerve, allowing clearance of interstitial solutes, including AB. Exploring this possibility could shed new light on the pathogenesis of glaucoma, and introduce a new look at the disease. Indeed, if confirmed, one might expect that a dysfunctional glymphatic system of the brain could ultimately result in reduced neurotoxin clearance in the optic nerve and lead to glaucomatous neurodegeneration. The observation of a dysfunctional glymphatic system in patients with glaucoma would also provide support for the hypothesis recently proposed by our group [7] that CSF circulatory dysfunction may play a contributory role in the pathogenesis of glaucomatous damage. Our viewpoint argues that glaucoma, just like AD, may occur when there is an imbalance between production and clearance of neurotoxins, including A $\beta$  [30]. Our hypothesis is that the dominant alteration determines whether glaucoma manifests as normal-tension glaucoma or as high-tension glaucoma. In normal-tension glaucoma, abnormal clearance of  $A\beta$  from the optic nerve may predominate as a result of glymphatic pathway dysfunction. In high-tension glaucoma, IOP-induced Aβ generation may predominate and even mild impairment of glymphatic pathway function may result in glaucomatous optic nerve damage.

Interestingly, a growing body of evidence indicates that ICP is lower in patients with POAG when compared with nonglaucomatous control subjects and additionally, is lower in the normal-tension versus the high-tension

form of POAG [9-11]. A decreased ICP can be the consequence of decreased CSF production or reduced resistance to CSF outflow. Indeed, the ICP is built up by the equilibrium between the production and outflow of CSF [7]. In this context, it is important to note that a recent study found that ICP decreases significantly and steadily after age 50 [31]. This parallels the rise in prevalence of glaucoma with increasing age [31]. There is no reported evidence that CSF outflow resistance decreases with age, rather most studies report CSF outflow resistance increases [31, 32]. However, there is evidence that the CSF production decreases with age [31, 33]. Therefore, the lower ICP reported in POAG patients could be an indicator of decreased CSF production and turnover [7]. Given that ICP is lower in patients with normal-tension glaucoma compared to patients with high-tension glaucoma, these changes in CSF circulatory physiology may be even more pronounced in the normal-tension compared to the high-tension form of POAG.

Also interestingly, the present viewpoint raises the possibility of explaining the clinical overlap of AD and glaucoma. Several studies have found an increased prevalence of glaucoma among patients with AD [34, 35]. In a nursing home-based study in Germany, Bayer et al. [34] studied 112 patients with AD and 116 control subjects. The prevalence of POAG was reported to be 25.9% in patients with AD and 5.2% in the control group. Glaucomatous visual field loss and/or optic disc cupping were the criteria for the diagnosis of glaucoma. In a Japanese study, Tamura et al. [35] found a prevalence of POAG of 23.8% among 172 patients with AD, which was significantly higher than the 9.9% among 176 control subjects. This higher rate of glaucoma among AD patients in combination with the multiple intriguing similarities between these two diseases has raised the question of whether AD and glaucoma may share a common underlying mechanism [36]. Glymphatic pathway dysfunction as a potential mechanistic link between AD and glaucoma is an attractive hypothesis since this could explain the coincidence of the two disorders.

Obviously, future studies are needed to elucidate the potential role of the glymphatic system in glaucoma. Interestingly, a recent study showed that the glymphatic system can be evaluated in the live rat brain using contrast-enhanced MRI following intracisternal gadoliniumbased contrast infusion [37]. However, intracisternal infusions are rarely used in a clinical setting due to their high risk for developing iatrogenic complications, including traumatic tissue injury [38]. In contrast, the lumbar intrathecal route of administration is now commonly used in CT-myelography, anesthesia, pain management and chemotherapy [38]. Importantly, a recent pre-clinical study reported that perivascular CSF-ISF exchange within the rat brain can be evaluated following infusion of

CSF tracer via a lumbar, in addition to an intracisternal, route [38]. The authors concluded that lumbar intrathecal contrast delivery is a clinically useful approach that could be used in conjunction with dynamic contrast-enhanced MRI nuclear imaging to assess glymphatic pathway function in humans [38]. This now provides a unique opportunity to evaluate whether suppression of the glymphatic system and thus CSF circulatory failure contributes to the development of glaucoma.

### Conclusion

Novel insights from molecular and cellular biology as well as neuroimaging research indicate that CSF physiology is much more complex than previously believed. The glymphatic system is a recently defined brain-wide paravascular pathway for CSF-ISF exchange that facilitates efficient clearance of interstitial solutes, including A $\beta$ , from the brain. A newly developed theory proposes that failure of this clearance system underlies increased A $\beta$  brain accumulation in AD. In this paper, we have reviewed several lines of evidence suggesting that the glymphatic system may also have potential clinical relevance for the understanding of glaucoma. It should be stressed that for the moment at least, the present hypothesis remains unproven. Future studies are needed to determine whether the glymphatic system may play a pathogenic role in the development of glaucoma. As a clinically acceptable MRI-based approach to evaluate glymphatic pathway function in humans has recently been developed, a unique opportunity now exists to investigate whether suppression of the glymphatic system and thus CSF circulatory dysfunction contributes to the development of glaucoma.

### Abbreviations

A $\beta$ : Amyloid- $\beta$ ; AD: Alzheimer's disease; APP:  $\beta$ -amyloid precursor protein; CNS: central nervous system; CSF: cerebrospinal fluid; ICP: intracranial pressure; IOP: intraocular pressure; ISF: interstitial fluid; POAG: primary open-angle glaucoma; RGC: retinal ganglion cell; SAS: subarachnoid space.

### Authors' contributions

PW developed the theoretical part of the hypothesis. PW drafted and wrote the manuscript. VDG prepared the figures. VDG, DVD, KA, HEK and PPDD commented and revised the intellectual content of the manuscript. All authors read and approved the final manuscript.

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### Compliance with ethical guidelines

### **Competing interests**

The authors declare that they have no competing interests.

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