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# Novel in vivo mouse model of shoulder implant infection



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**Background:** Animal models are used to guide management of periprosthetic implant infections. No adequate model exists for periprosthetic shoulder infections, and clinicians thus have no preclinical tools to assess potential therapeutics. We hypothesize that it is possible to establish a mouse model of shoulder implant infection (SII) that allows noninvasive, longitudinal tracking of biofilm and host response through in vivo optical imaging. The model may then be employed to validate a targeting probe (1D9-680) with clinical translation potential for diagnosing infection and image-guided débridement.

**Methods:** A surgical implant was press-fit into the proximal humerus of c57BL/6J mice and inoculated with 2  $\mu$ L of  $1 \times 10^3$  (e3), or  $1 \times 10^4$  (e4), colony-forming units (CFUs) of bioluminescent *Staphylococcus aureus* Xen-36. The control group received 2  $\mu$ L sterile saline. Bacterial activity was monitored in vivo over 42 days, directly (bioluminescence) and indirectly (targeting probe). Weekly radiographs assessed implant loosening. CFU harvests, confocal microscopy, and histology were performed.

**Results:** Both inoculated groups established chronic infections. CFUs on postoperative day (POD) 42 were increased in the infected groups compared with the sterile group ( $P < .001$ ). By POD 14, osteolysis was visualized in both infected groups. The e4 group developed catastrophic bone destruction by POD 42. The e3 group maintained a congruent shoulder joint. Targeting probes helped to visualize low-grade infections via fluorescence.

The UCLA Chancellor's Animal Research Committee (ARC) approved all animal work performed in this study (ARC no. 2008-112-21L). All animals were handled in accordance with animal practices defined in the federal regulations detailed in the Animal Welfare Act (AWA), the 1996 Guide for the Care and Use of Laboratory Animals, PHS Policy for the Humane Care and Use of Laboratory Animals, as well as UCLA's policies,

and procedures as set forth in the UCLA Animal Care and Use Training Manual.

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**Discussion:** Given bone destruction in the e4 group, a longitudinal, noninvasive mouse model of SII and chronic osteolysis was produced using e3 of *S aureus* Xen-36, mimicking clinical presentations of chronic SII.

**Conclusion:** The development of this model provides a foundation to study new therapeutics, interventions, and host modifications.

**Level of evidence:** Basic Science Study

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**Keywords:** Shoulder; implant; infection; osteolysis; osteomyelitis; arthroplasty

Shoulder implant infections (SIIs) are devastating for patients, requiring revision surgery, threatening both life and limb. With a 7%-13% annual increase in the demand for shoulder arthroplasty,<sup>5,15,16,25,28</sup> we have seen a concurrent increase in the incidence of arthroplasty infections.<sup>7,28,29</sup> Patients with SII subsequently suffer from hardware loosening/shoulder instability (32%), pathologic fracture (6%), revision surgeries (of which 4% become reinfected), severe pain requiring increased opiate consumption (13%), and an overall decreased quality of life.<sup>3,5,7,9,15-17,25,28,29,34</sup>

SIIs are characterized by unique risk factors, pathogens, and diagnostic challenges. The literature suggests that physically active males, immunosuppressed patients, those with traumatic injuries, and patients undergoing reverse total shoulder arthroplasty are at higher risk for SII.<sup>4,6,20,22,27</sup> *Staphylococcus aureus* and *Cutibacterium acnes* are the predominant pathogens implicated in these periprosthetic infections.<sup>1,4,20,22,26,27,34</sup> Both of these species are capable of producing a thick biofilm matrix on implants, which insulates them from the host immune system and antibiotics. Without surgical débridement and appropriate antibiotic therapy, these infections will persist and, ultimately, the hardware is at risk of failure.<sup>3,8,9,12,23,31,32</sup>

Given that periprosthetic SIIs tend to be slow-growing infections associated with biofilm formation, a longitudinal assay is needed to better characterize the nature of such infections, to refine diagnostic approaches and to improve treatment modalities.<sup>8,12,23,32</sup> Few animal models exist that quantify bacterial burden in vivo to facilitate the study of the natural course of infection, with only one shoulder study examining SIIs.<sup>2,10,13,19</sup> However, this model did not measure real-time bacterial activity or allow for longitudinal tracking of infection in living subjects.<sup>13</sup> An animal model of periprosthetic SII that relies on single-time point histologic analysis does not have the sensitivity to adequately identify infections that are surreptitious and low grade, nor assess the long-term sequela of these infections. Consequently, we sought to establish a longitudinal, noninvasive, and reproducible mouse model of SII using bioluminescent *S aureus* Xen-36 and optical imaging in an effort to better examine the underlying pathophysiology. A noninvasive and longitudinal model allows a single animal to provide a multitude of data points, which is more efficient, cost effective, and humane than sacrificing animals

for single-time point histologic assays. Technical challenges in genetically transforming slow-growing, anaerobic *C acnes* precludes its utilization in this model at present, but this work aims to develop a platform on which *C acnes* infections could eventually be studied as well.<sup>8,12</sup> Prior work outside of the shoulder has established the accuracy, efficiency, and value of noninvasive in vivo imaging, especially optical imaging, to replace euthanasia-based models to study infection.<sup>3,9,11,30</sup> The mouse humerus is comparable in diameter to its femur, which has been used previously to model implant infections in the knee joint, increasing the likelihood of success when adapting this model from the hindlimb to the forelimb.<sup>3,9,14,18</sup> Importantly, the use of the 1D9-680 fluorescent antibody probe to target *S aureus* biofilm infections in this model facilitates the possibility of using optical imaging in the clinic to accurately identify low-grade biofilm-associated SII in real time. The capacity to observe infection over time, and its response to antibiotics, implant coatings, and immune modulation, has led to a better understanding of the pathophysiology of implant infection and the development of new antimicrobial therapies.<sup>3,9,11,30</sup>

The purpose of this study was to develop a longitudinal and noninvasive model of periprosthetic SII that can be used as a platform to analyze the pathophysiology of these infections as well as test and guide future diagnostic and treatment strategies. We hypothesized that it is possible to create such a model using mice, following similar noninvasive optical imaging techniques as those used to establish and visualize implant infections in the knee and spine.<sup>3,9</sup> Moreover, we speculated that it would be possible to target and identify *S aureus* biofilm on SII using a fluorescently labeled probe previously validated in a spine infection model, thus increasing the potential for this animal model to be surgically translatable.<sup>35</sup>

## Materials and methods

### Xen-36 bioluminescent *S aureus* strain

Mice were inoculated with *S aureus* Xen-36 (PerkinElmer, Hopkinton, MA, USA), a bioluminescent derivative of the clinical isolate *S aureus* ATCC 49525 (Wright) stably transformed with a modified *luxABCDE* operon from *Photobacterium luminescens*,

flanked by a kanamycin resistance cassette.<sup>3,9,11,30</sup> Metabolically active Xen-36 produces a blue-green light with an emission wavelength of 490 nm.<sup>9,11</sup> The strength and consistency of the Xen-36 bioluminescent signal have been confirmed in previous studies and validated.<sup>3,9,21,30,35</sup>

## Preparation of *S aureus* Xen-36 for inoculation

Inoculations of *S aureus* Xen-36 were prepared as previously described.<sup>3,9,11,30</sup> Xen-36 was streaked from a frozen stock onto agar plates (Luria broth plus 1.5% Bacto agar; Teknova, Hollister, CA, USA) containing 200 µg/mL kanamycin (Sigma-Aldrich, St. Louis, MO, USA) and cultured at 37°C overnight. Single colonies of *S aureus* were then individually grown in tryptic soy broth containing 200 µg/mL kanamycin, and cultured again overnight at 37°C in a shaking incubator (200 rpm) (MaxQ 4450; Thermo Fisher Scientific, Canoga Park, CA, USA). After a 2-hour subculture in tryptic soy broth of a 1:50 dilution from the overnight culture, midlogarithmic-phase bacteria were obtained. Lastly, using centrifugation, bacterial cells were pelleted, resuspended, and washed in phosphate-buffered saline. Bacterial inoculums ( $1 \times 10^3$  and  $1 \times 10^4$  colony-forming units [CFUs] in 2 µL phosphate-buffered saline) were approximated by measuring the absorbance at 600 nm (A600, Biomate 3; Thermo Fisher Scientific).

## Subjects

Twelve-week-old male C57BL/6 wild-type mice (Jackson Laboratories, Bar Harbor, ME, USA) were used in this study.<sup>3,9,11,30</sup> Mice were housed in cages, 4 at a time, and stored with a 12-hour light and dark cycle. Standard diet and water were available at all times. Veterinary staff assessed mice daily to ensure the well-being of the animals throughout the totality of the experiment.

## Experimental protocol

There were three arms in this study based on prior experience of infection knee arthroplasty dosing in the same host: (1) control, (2) low-inoculum, and (3) high-inoculum groups.<sup>3,9,11,21,30</sup>

In the sterile control group (Sterile Group), the mice ( $n = 6$ ) received 2 µL of sterile saline (0.9% NaCl) instead of bacteria. In the low-inoculum “e3” group (Infected Group e3), the mice ( $n = 13$ ) received a 2-µL inoculation of  $1 \times 10^3$  bioluminescent *S aureus* Xen-36 bacterial CFUs. In the high-inoculum “e4” group (Infected Group e4), the mice ( $n = 8$ ) received a 2-µL inoculation of  $1 \times 10^4$  CFUs of the same bacteria.

All mice survived to study completion on POD 42. Power analysis necessitated a minimum of 6 subjects per group. Additional subjects were used in groups e3 and e4 for comparative purposes.

## Mouse surgical procedures

Survival shoulder surgery was performed using a custom 5.0-mm steel implant (0.6 mm in diameter) with a 15° bend at the most proximal end (1 mm from the cut end), which mimicked the anatomy of the humerus. The steel implant was acquired from Modern Grinding (Port Washington, WI, USA) and implanted into

the humerus. Mice were anesthetized via inhalation of isoflurane (2%) in accordance with previously established surgical protocols.<sup>3,9,11,21,30</sup> The shoulder joint was approximated by palpating superiorly toward the most proximal aspect of the humerus. An incision was made starting at the sternum and extending laterally across the deltoid, which exposed the deltopectoral groove beneath. Next, the pectoralis musculature was removed from its insertion on the humerus. Once near the humeral head, the joint was exposed by applying anterior directed pressure on the posterior aspect of an extended and externally rotated humerus, which subluxed the joint anteriorly. A 25-gauge needle was used to ream the most proximal aspect of the humeral head, with the needle aimed toward the palpable deltoid tuberosity. The needle was then removed, allowing the placement of the implant, with the implant’s most proximal aspect communicating with the glenoid fossa within the shoulder joint. 5-0 Vicryl was then used to approximate the deep fascial layers. These sutures were placed but not tied to allow for expedient closure after inoculation and to restrict bacteria to the immediate area of the implant.<sup>9,11,21,30</sup> Next, a 2-µL inoculation of  $1 \times 10^3$  (e3 group) or  $1 \times 10^4$  (e4 group) CFUs of bioluminescent *S aureus* Xen-36 or sterile saline (control group) was pipetted onto the tip of the implant. Deep sutures were tied, and a running 5-0 Vicryl was used to approximate the skin. Sustained release buprenorphine (2.5 mg/kg) (Zoo-Pharm, Fort Collins, CO, USA) was then administered subcutaneously every 72 hours as analgesic for the duration of the experiment. All surgical procedures are shown in Figure 1.

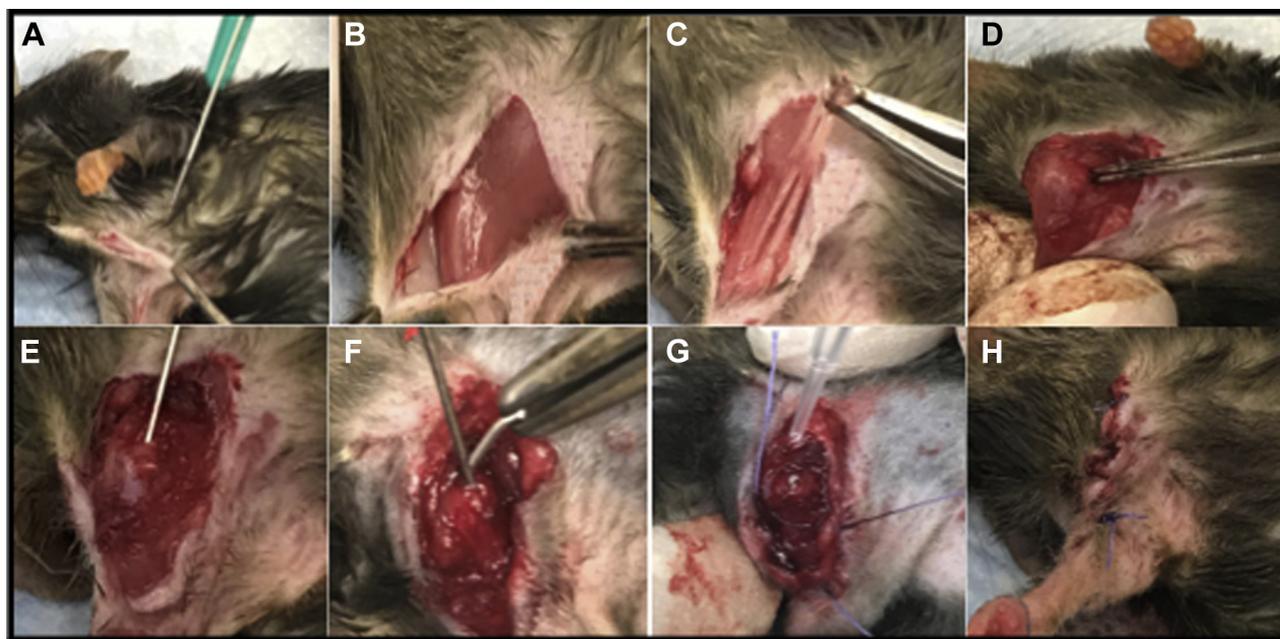
Implant placement was confirmed via anteroposterior and lateral radiograph on POD 0 (Faxitron LX-60 DC-12 imaging system, Faxitron, Lincolnshire, IL, USA) as seen in Figure 2. All surgeries performed on the same day used the same bacterial stock.

## Quantification of *S aureus* Xen-36 with in vivo bioluminescence imaging

Mice were anesthetized via inhalation isoflurane (2%). In vivo bioluminescence imaging was performed using either the IVIS Lumina II or IVIS SpectrumCT (PerkinElmer).<sup>21</sup> Images were obtained on postoperative days (PODs) 0, 1, 3, 5, 7, 10, 14, 18, 21, 28, 35, and 42.<sup>3,9,11,30</sup> Bioluminescence data sets were presented via a pseudocolor scale overlaid on a grayscale photograph of mice and quantified as mean maximum flux (photons per second [s] per cm<sup>2</sup> per steradian [sr], or p/s/cm<sup>2</sup>/sr) within a standard circular region of interest (~20,000 pixels) using Living Image software (PerkinElmer).

## Validation of the model with bacterial CFU counts

To validate the bioluminescence signal, which represents a strong correlate measure of bacterial burden, bacteria adherent to the implants and the surrounding soft tissue were quantified at the conclusion of the experiment (POD 42).<sup>3</sup> Bacteria were removed from the implant via sonication in 500 µL of 0.3% Tween-80 in tryptic soy broth for 15 minutes followed by vortex for 2 minutes as previously described.<sup>8</sup> In addition, bacteria in the surrounding tissue were measured by homogenizing the surrounding muscle and proximal humerus (Pro200H Series homogenizer; Pro Scientific, Oxford, CT, USA). The number of bacterial CFUs that were adherent to the implant and in the surrounding tissue was



**Figure 1** Surgical procedure (*right arm*). (A) A midline incision was made extending laterally over the deltoid. (B) The deltopectoral groove was exposed. (C) The pectoralis muscle was dissected away from the humerus. (D) Posterior pressure was applied to an externally rotated and extended humerus to expose and anteriorly sublux the shoulder joint. (E) Using a 25G needle, the head of the humerus was reamed to make a canal for implant placement. (F) A 0.6-mm-wide steel implant (5 mm in length) with a slight 15° bend at the most proximal end (1 mm) was implanted into the humerus. (G) Deep sutures were placed prior to inoculation, and 2  $\mu$ L of bioluminescent Xen-36 *Staphylococcus aureus* was added to the exposed end of the implant. (H) Deep and superficial layers were closed with 5-0 Vicryl sutures.



**Figure 2** Radiographic confirmation of implant placement. (A) Anteroposterior view showing implant placement within the humerus. (B) Lateral view confirming implant placement within the shoulder joint space.

determined by counting individual colonies after overnight culture and were expressed as CFUs/mL.

**Weekly radiograph monitoring for osteolysis**

To assess for osteolysis and radiographic loosening, weekly anteroposterior radiographs were obtained (PODs 0, 7, 14, 21, 28, 35, and 42) for all groups. Two mice per group (6 total) were followed throughout the experiment. All radiographs were made

using a Quados Faxitron LX-60 Cabinet radiography system with a variable-voltage point projection x-ray source and digital imaging system (Cross Technologies, Alpharetta, GA, USA) as detailed in prior studies.<sup>30</sup>

**Live-dead confocal microscopy**

Implants from the infected e3 group and the sterile saline group were assessed on POD 42 with Live-Dead Cell Viability Assays

(Thermo Fisher Scientific), which fluorescently stains for living and dead bacteria based on the integrity of the cell membrane. Green fluorescence represented an intact cell membrane (live). Red fluorescence represented a ruptured cell membrane (dead). Orange fluorescence represented an overlap of the two. Specimens were analyzed and photomicrographs were recorded using the Leica DMi8 Confocal Microscope (Leica Microsystems, Buffalo Grove, IL, USA).

### Preparation of histologic sections

To assess the microscopic cellular architecture following infection, histology samples were obtained for both e3 and sterile groups on POD 42. The humerus was dislocated at the elbow and dissected away from all connecting tissues. The implant was removed, the sample was placed in a cassette, and suspended in formalin for 24 hours. The sample was then washed with deionized water for 15 minutes and placed in 70% ethanol for 24 additional hours. Samples were then sent to the UCLA Department of Pathology for decalcification and paraffin embedment, per standard protocol. Samples were stained appropriately and received 2 weeks later.

### Targeting *S aureus* Xen-36 biofilms on infected implants using the human monoclonal antibody 1D9 fluorescently labeled with NIR680

Using methods published by our group to better visualize biofilm/chronic infections of spinal hardware, the shoulder model was used in efforts to best mimic and visualize SII in the clinical setting via targeted fluorescent imaging using e3 dosing.<sup>24,33,35</sup> Mice with established *S aureus* Xen-36 SII, as well as sterile control animals, were injected via their tail vein with the fluorescently labeled staphylococcal targeting probe, 1D9-680. Each mouse received 0.1 µg of the 1D9-680 antibody in 40 µL dimethyl sulfoxide. Eight mice with confirmed SII on POD 7 and 4 sterile mice were each injected. Forty-eight hours after injection, fluorescence imaging was performed using the IVIS SpectrumCT (PerkinElmer) to detect fluorescence (excitation: 675 nm, emission: 720 nm). Again, we used the LivingImage Software (PerkinElmer) for image and data analysis.

### Statistical analysis

Each experimental group had at least six mice based on previous protocols, which showed that a minimum of six animals per group was necessary to obtain statistical significance at the  $P < .05$  level.<sup>3,9,11,30</sup> Student *t* test was used to compare data between two groups, and analysis of variance was used to compare data among three or more groups. Data were represented as standard error of the mean. A mixed effects regression model (random intercepts model) was used to determine whether the longitudinal effects seen in both infected groups were statistically significant or not. The model included an interaction between the three groups (Infected Group e3, Infected Group e4, and the Sterile Group) and postoperative time (POD 0, 1, 3, 5, 7, 10, 14, 18, 21, 25, 28, 35, and 42) with all lower-order effects included. Values of  $P < .05$  were considered statistically significant. Statistical analyses were

performed using Stata, release 14, statistical software (StataCorp LP, College Station, TX, USA).

## Results

### In vivo bioluminescence of a shoulder implant infection

Bioluminescent signal intensities are shown in Figure 3. Both Infected Groups e3's and e4's signal intensity peaked between POD 5 and 7, with each infected group emitting signals higher than the Sterile Group throughout the entirety of the experiment.

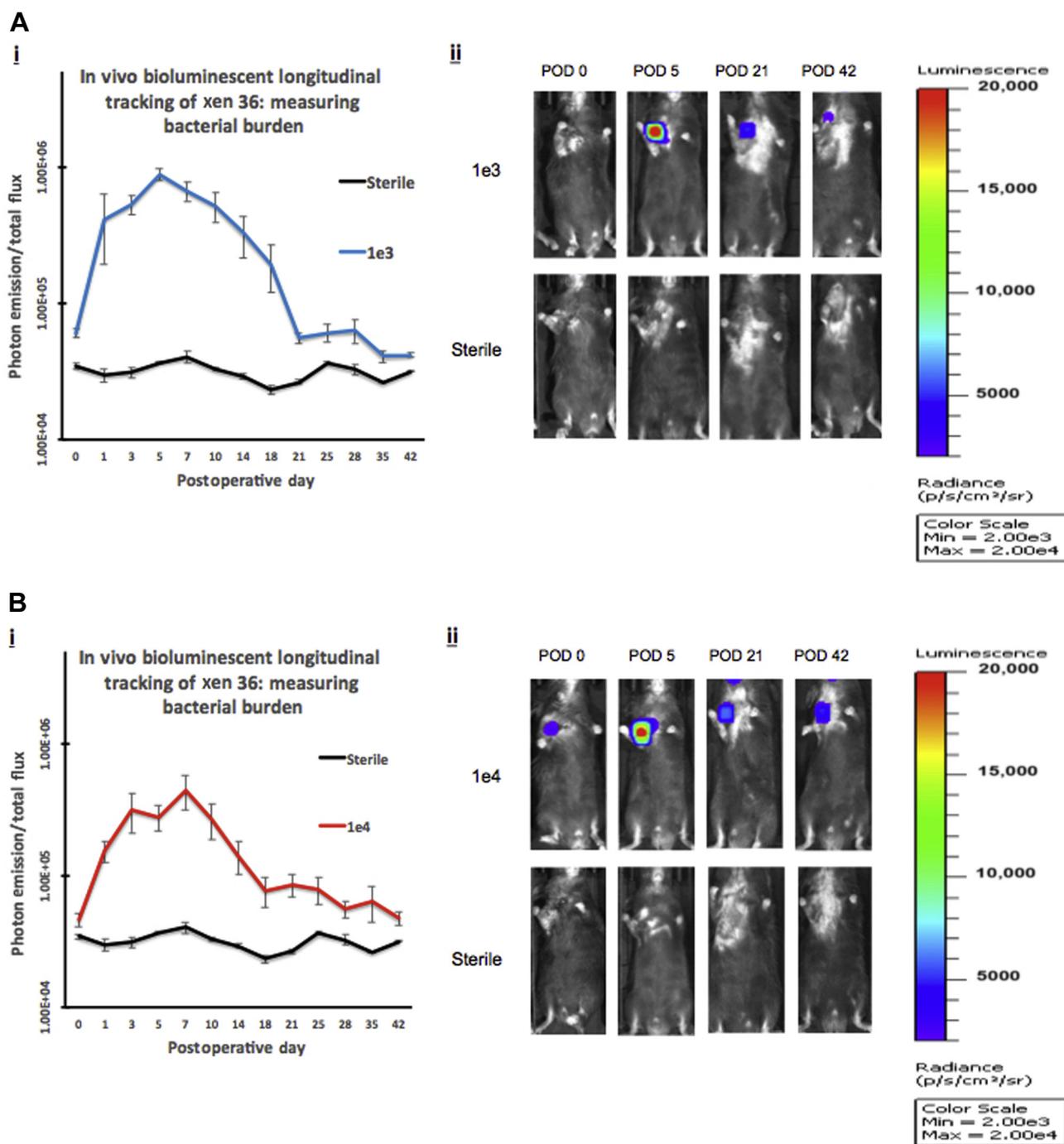
These intensities were averaged with respect to the standard error of the mean and plotted to produce the longitudinal curve shown in Figure 3, A(i) and B(i). The mixed effects regression model, used to analyze the differences in bacterial burden measured by photon emission between all groups and across all postoperative time points, reached statistical significance from POD 0-42 ( $P < .001$ ). Furthermore, when comparing infected groups using the mixed effects regression model, there was no difference between groups e3 and e4 ( $P = .0795$ ). In addition, when comparing Infected Group e3 to the Sterile Group, there was a statistically significant difference between groups with respect to time ( $P = .0012$ ). Similarly, when comparing Infected Group e4 to the Sterile Group, there was also a statistically significant difference between groups with respect to time ( $P < .001$ ).

### Comparison of bioluminescence with CFU harvest

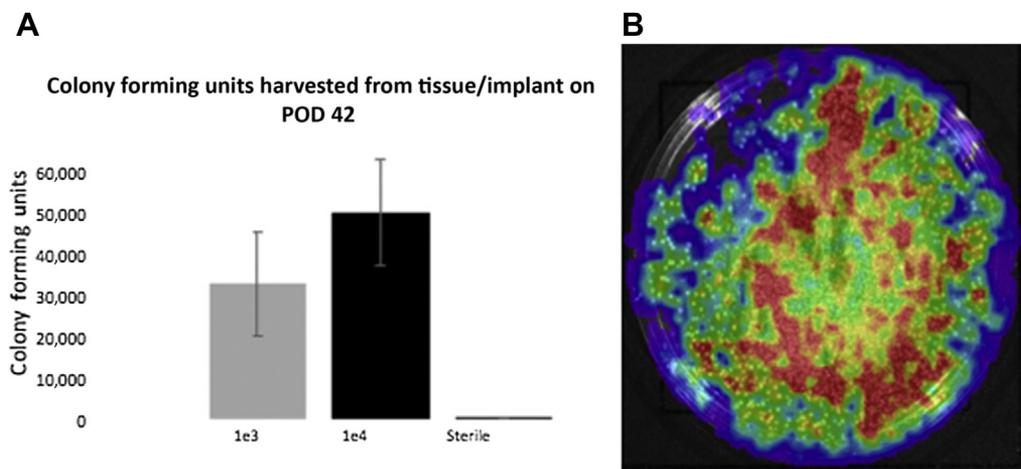
The accuracy of the longitudinal noninvasive imaging was confirmed with CFU counts from the implant and surrounding tissue on POD 42 (Fig. 4). Average CFUs of 33,000, 50,000, and 10 were obtained for the Infected Groups e3, e4, and the Sterile Group, respectively (Fig. 4, A). CFUs were confirmed as bioluminescent by repeat IVIS imaging for photon emission (Fig. 4, B). *t* test analysis comparing the Infected Group e3 to the Sterile Group showed a significant difference ( $P = .0215$ ) between groups. Likewise, *t*-test analysis comparing the Infected Group e4 to the Sterile Group showed a significant difference ( $P = .0058$ ) between groups. A difference between the Infected Groups e3 and e4 was also seen ( $P = .0002$ ) despite overlapping error bars.

### Radiographic analysis to monitor onset of osteolysis

Weekly radiographs were conducted for each respective group to monitor for the development of implant loosening as seen in Fig. 5. The sterile group showed no evidence of osteolysis throughout the entirety of the experiment.



**Figure 3** (A) Quantifying bacterial burden. (i) In vivo longitudinal tracking of Xen 36: measuring bacterial burden using photon emission. Data from the IVIS Lumina (PerkinElmer) was obtained and plotted. Averages of each group with respect to the POD were used to produce the curve (photons per second per cm<sup>2</sup> per steradian [p/s/cm<sup>2</sup>/sr]). Error bars represent the standard error of the mean. (ii) Visualizations of peak photon emissions and intensities are shown for each group. Infected Group e3 was inoculated with 1000 bacterial cells and compared with the Sterile Group, which received sterile saline. Images are shown through POD 42, with peak photon emission on POD 5. (B) Quantifying bacterial burden. (i) In vivo longitudinal tracking of Xen 36: measuring bacterial burden using photon emission. Data from the IVIS Lumina were obtained and plotted. Averages of each group with respect to the POD were used to produce the curve (p/s/cm<sup>2</sup>/sr). Error bars represent the standard error of the mean. (ii) Visualizations of peak photon emissions and intensities are shown for each group. Infected Group e4 was inoculated with 10,000 bacterial cells and compared with the Sterile Group, which received sterile saline. Images are shown through POD 42, with peak photon emission on POD 7. *Ie3*, Infected Group e3; *Ie4*, Infected Group e4; *POD*, post-operative day.



**Figure 4** POD 42 CFU harvest from tissue and implant. (A) Infected Groups e3, e4 and the Sterile Group's respective average CFU data are shown, representing the amount of Xen-36 harvested from these sites 42 days after initial operation. Error bars represent the standard error of the mean. (B) IVIS Lumina (PerkinElmer) imaging to confirm growth of Xen-36 from CFU harvest. *1e3*, Infected Group e3; *1e4*, Infected Group e4; *CFU*, colony forming unit.

Infected Group e3 developed mild osteolysis and evidence of radiographic implant loosening on POD 14, which remained constant through POD 42. Infected Group e4 also developed osteolysis on POD 14, which progressed to severe bone loss and complete destruction of the shoulder joint by POD 42. All implants have a proximal bend, with the apparent absence in the sterile mice due to the slight differences in the rotation of the humerus during image acquisition.

### Live-dead confocal microscopy

Fluorescent confocal microscopy revealed extensive green (live) and red (dead) signal around the infected e3 implant, with biofilm surrounding the implant artifact (bright green) as seen in Fig. 6. The sterile group showed minimal fluorescence, with the same implant artifact mentioned above.

### Ex vivo histologic evaluation

Histologic evaluation was conducted on POD 42 for both the infected e3 and sterile groups (Fig. 7). In the infected e3 group, evidence of capsule formation and thickening was found surrounding the canal where the implant was placed. The same magnitude of capsule formation was not seen in the sterile group.

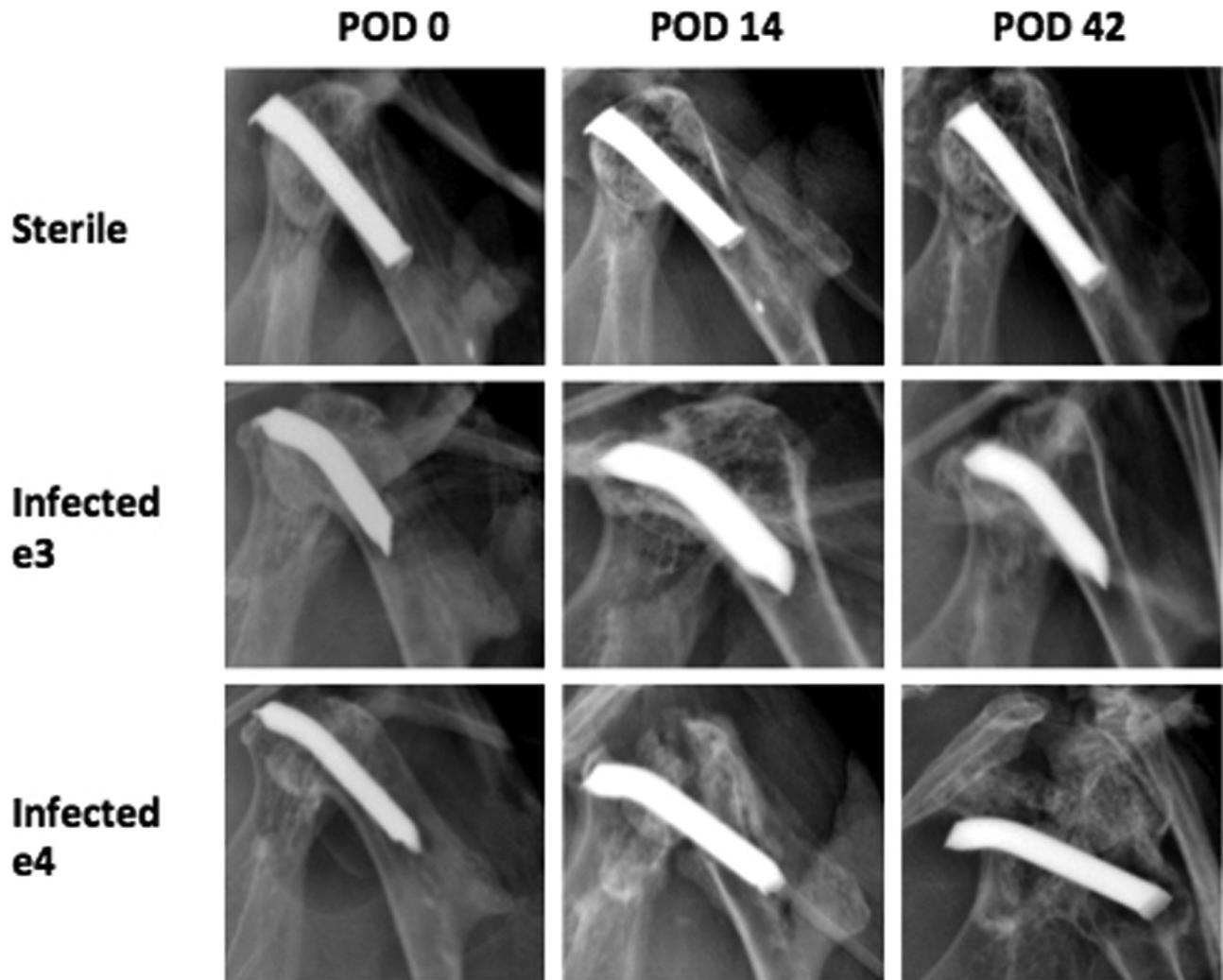
### In vivo confirmation of staphylococcal antibody probe targeting using combined bioluminescence and fluorescent real-time imaging

As shown previously in our spinal implant infection model,<sup>35</sup> the fluorescently labeled staphylococcal targeting probe, 1D9-680, specifically targeted *S aureus* Xen-36

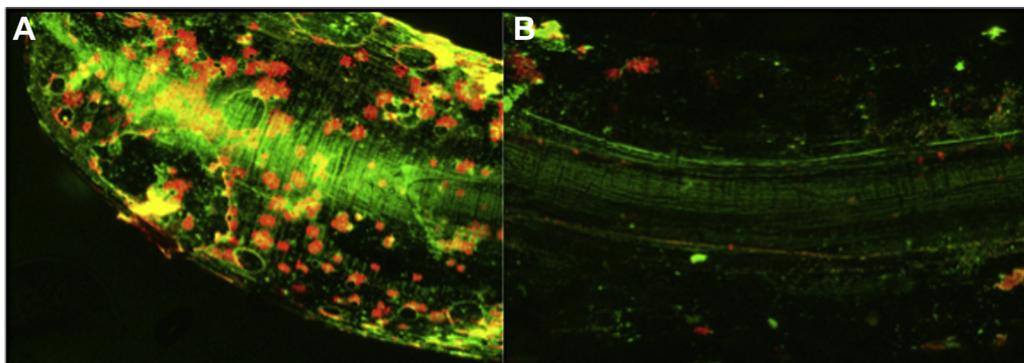
biofilm infections in our mouse shoulder model (Fig. 8). Both 2D and 3D optical imaging, bioluminescence and fluorescence, were performed on the e3 group of animals at POD 9 using the IVIS SpectrumCT. Because of the high sensitivity and spectral unmixing capabilities of this system, we were able to 3-dimensionally reconstruct both bioluminescent and fluorescent signals emanating from within these mice. Moreover, using the computed tomography component of this machine, we could accurately visualize the anatomic position of the infected implant and show that both the bioluminescent *S aureus* and fluorescent probe were associated or coregistered with this implant.

### Discussion

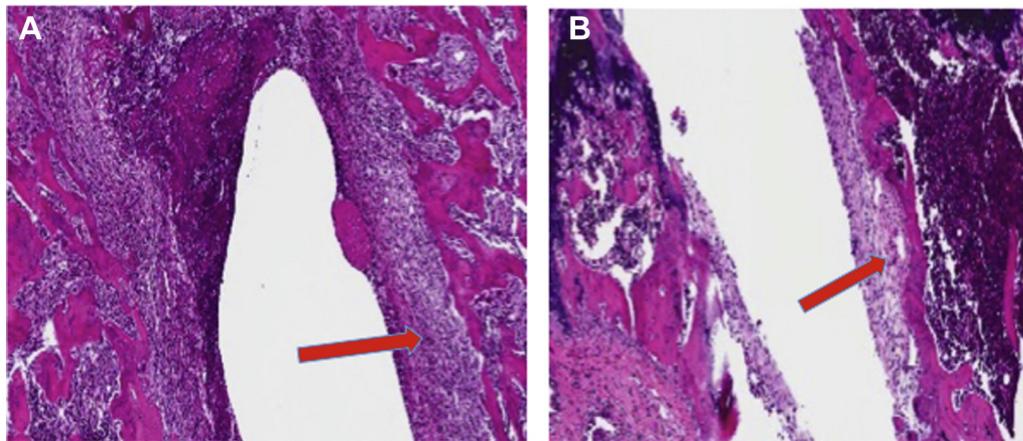
Our study sought to establish a longitudinal, noninvasive, and reproducible mouse model of SII using bioluminescent *S aureus* Xen-36 through optical imaging technology. Both infected groups (low inoculum e3 and high inoculum e4) demonstrated a statistically significant increase in bacterial burden compared with the sterile group as measured by photon emission/bioluminescence ( $P < .001$ ; Fig. 3). There was no statistical difference between the bioluminescence of the high-inoculum e4 group and the low-inoculum e3 group ( $P = .0795$ ). Both e3 and e4 groups demonstrated peak bioluminescence at POD 5 and 7, respectively, and maintained higher levels of bacterial bioluminescence than the sterile group throughout the experiment to POD 42. Furthermore, with respect to CFU harvest, both infected groups grew significantly more colonies on average than the sterile group (e3,  $P = .0215$ ; e4,  $P = .0058$ ; Fig. 4). There were significantly higher average CFUs for the e4 group than for the e3 group ( $P = .0002$ ). These data indicate that both the high- and



**Figure 5** Longitudinal monitoring of osteolytic changes. Radiographs taken every week (POD 0, 7, 14, 21, 28, 35, and 42) from the anteroposterior view. All 3 groups are shown, with osteolytic changes noted in both infected groups on POD 14. Catastrophic osteomyelitis was noted in Infected Group e4 on POD 42.



**Figure 6** Live-dead imaging using confocal microscopy and fluorescent stain. Implants were removed in sterile fashion from Infected Group e3 and the Sterile Group, then placed in dyeing solution for 15 minutes. Green represents bacteria with an intact cell membrane (living), whereas red represents bacteria with a ruptured cell membrane (dead). Orange represents an overlap. Central bright green image represents artifact from the light reflecting off the implant. The surrounding of this artifact represents biofilm formation on an infected implant (A), and lack thereof on a sterile implant (B).



**Figure 7** Histology of the head of the humerus after implant explantation. (A) Humerus from Infected Group e3 shows formation of thicker capsule surrounding the canal left by the implant after explantation (→). (B) Humerus from the Sterile Group shows minimal capsule formation after pin explantation (→).

low-inoculum groups establish true chronic infection through POD 42. Moreover, noninvasive bioluminescent imaging used in this experiment consistently and effectively distinguished between sterile and infected groups, both acutely and chronically. CFU counts further confirm the findings of the IVIS imaging system, while elucidating minor differences between colony counts for the e3 and e4 groups (Fig. 4).

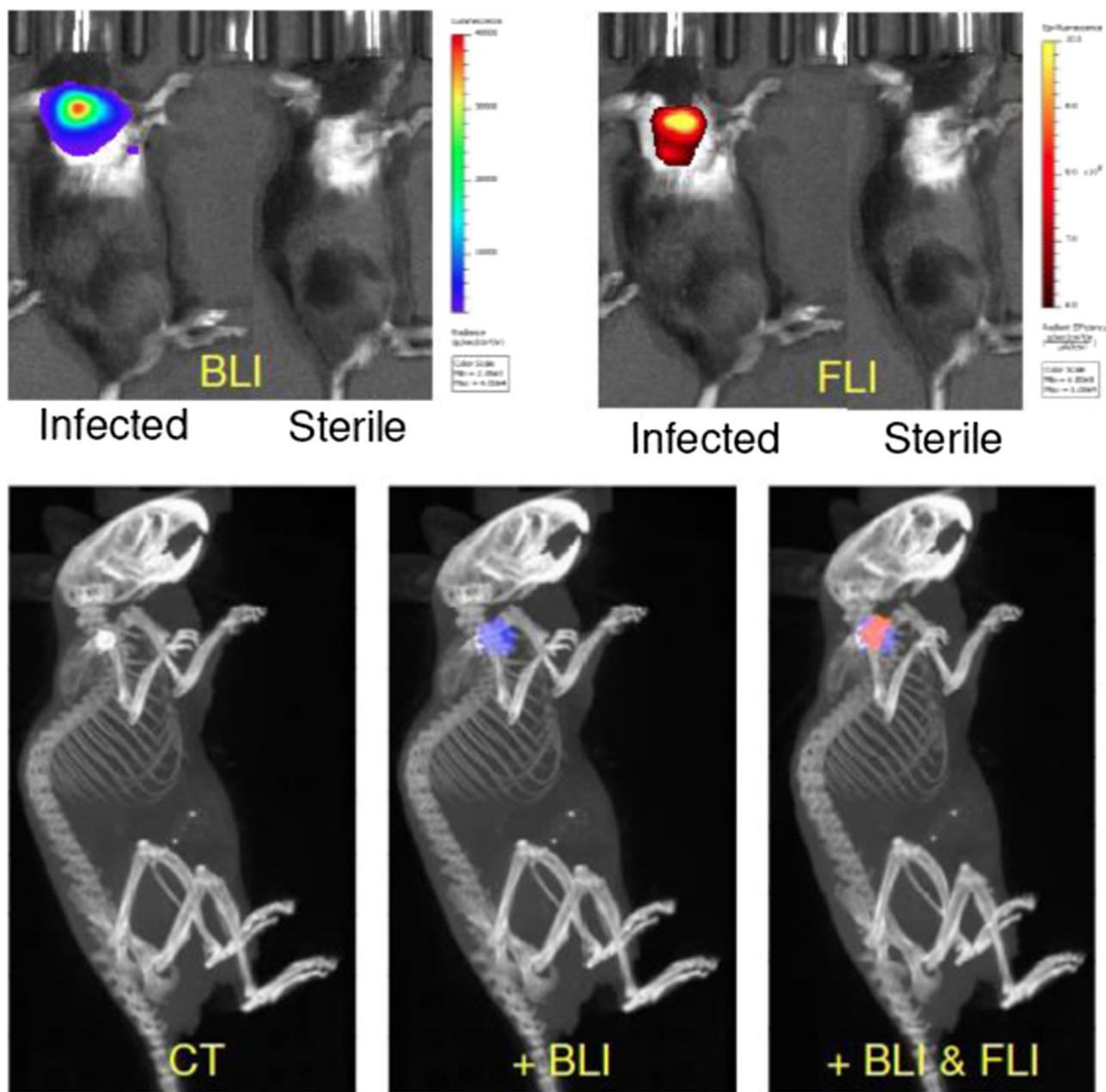
It is important to note that the luminescence intensity seen on POD 42 in Figure 3, A(i) and B(i), is explained by the fact that bacterial metabolic activity declines from weeks 2-4 until it achieves chronicity.<sup>3,9,11,30</sup> Initially, bacteria are in a highly active state because of the metabolically enriched postoperative environment. The decline from weeks 2-4 is due to a number of factors, including activation of the host's adaptive immune system, as well as bacterial quiescence. When bacteria produce biofilm, they form a protective barrier, isolating them from the host immune system and antibiotics, all the while significantly decreasing their metabolic activity.<sup>3</sup> Our bioluminescent animal model is predicated on our ability to measure metabolically active bacteria.<sup>3</sup> Thus, as the active bacteria are removed by the immune system, and more bacteria become quiescent, we see a corresponding decline in bioluminescent activity.<sup>3</sup> However, because not all of the bacteria are eliminated, a new baseline representing the remaining biofilm-protected bacteria on the implant is established. This baseline is still above sterile levels, as seen in Fig. 3, A(i) and B(i). Distinguishing significant differences in bacterial burden at this indolent level is not possible. Therefore, CFU analysis is used to free bacteria from their protective layers and visualize colonies on growth media. Here, significant differences in bacterial presence can be seen as stated earlier (Fig. 4).

An interesting and important difference between the low-inoculum e3 and the high-inoculum e4 groups was found when monitoring for the development of osteolysis and radiographic loosening. The high-inoculum e4 group

showed catastrophic failure of the bone-implant interface by POD 42 (Fig. 5). In contrast, the low-inoculum e3 group developed radiographic signs of osteolysis by POD 14, which remained constant throughout the remainder of the experiment. As such, our study is able to model two pathophysiologic phenomena by varying the dose of inoculum: (1) a chronic indolent SII with mild osteolysis and an intact shoulder joint, represented by the infected low-inoculum group e3, and (2) a catastrophic failure with severe osteolysis ± failure of the shoulder implant secondary to immense bacterial burden, represented by the infected high-inoculum group e4. This finding is unique and not yet described in prior models. Given these findings, we argue that the low-inoculum e3 group serves as the best model for what is typically seen in the clinical setting: a mildly symptomatic patient presenting ambiguously with indirect evidence of infection, complicating decision making with regard to medical and surgical management.<sup>7,20,23</sup> As such, comparisons in subsequent experimental procedures were conducted using only e3 and sterile groups.

Qualitative measures (live-dead and histology) were used to further elucidate characteristics of the model low-inoculum e3 group. Live-dead confocal microscopy demonstrated findings consistent with biofilm formation on the explanted implant for the infected low-inoculum e3 group, suggesting the chronic infection was due to persistent bacterial presence on the implant (Fig. 6). Furthermore, thick encapsulation of the implant canal seen on histology for the infected e3 group suggests that chronic inflammatory cells were recruited to subdue the infectious burden, which is consistent with findings of osteomyelitis (Fig. 7). These histologic findings, in addition to the aforementioned radiographic findings, demonstrate a functional and longitudinal model of SII, with progression to findings of chronic osteomyelitis.

Our model can serve as an avenue to study new antimicrobial prevention strategies with respect to SII and osteomyelitis. From antibiotic therapy to preventative



**Figure 8** 2D and 3D optical imaging to confirm fluorescent probe targeting of bioluminescent *S aureus* implant infections. Mice with POD 7 bioluminescent *S aureus*-infected or sterile shoulder implants were intravenously injected with fluorescently labeled 1D9-680 staphylococcal targeting antibody probe. Animals were imaged both 2- and 3-dimensionally in the IVIS SpectrumCT (PerkinElmer) 48 hours post injection of the probe, showing that the probe could readily delineate between infected and sterile implants. CT coregistration showed both bioluminescent *S aureus* and fluorescent probe to be associated with the implant in the shoulder. *POD*, postoperative day; *CT*, computed tomography.

polymer coatings, multiple different therapeutic modalities can be tested using this model.<sup>3,9,11,30</sup> Although similar models study shoulder infections, no other animal model exists where in vivo bacterial burden is longitudinally monitored in real time without subject sacrifice.<sup>2,10,13,19</sup> Perhaps most compelling, this model was used to confirm the viability of 1D9-680, a fluorescent *S aureus* targeting probe, to accurately identify low-grade biofilm-associated

infection (Fig. 8, Supplementary Video). This is of seminal importance as it (1) establishes the viability of the model as a translational system, not reliant on bioluminescent bacteria but one that could be eventually translated to native strains of infection; and (2) introduces the capacity for optical imaging of low-grade, residual bacteria after insufficient débridement. Future adaptations of this model will attempt to translate our findings to improve diagnosis

and treatment of SII in clinical practice. Furthermore, with ongoing efforts being made to adapt this model to include the primary pathogen in SII, *C acnes*, this model will not only serve to strengthen literature regarding implant infection prevention but also to best mimic periprosthetic SII in clinical settings with respect to pathogenesis.

There are several limitations to our study. We recognize that, clinically, total shoulder arthroplasty involves modifications to both the humerus and glenoid and that our model is a simplification of the steps typically involved in human shoulder implant surgery. Additionally, other metals or materials may be implanted in human shoulder surgery that may have different susceptibilities to bacterial infection. There is also the possibility that the braided Vicryl suture used during wound closure could serve as a nidus for infection (Fig. 1). However, in prior studies using 3-dimensional optical imaging, we have shown that bioluminescence is often away from the incision line and persistent well after suture dissolution, making this an unlikely concern.<sup>3,9,11,30</sup> We also have not evaluated modalities for monitoring host immunity, noting only swelling and decreased mobility as markers of an inflammatory response. However, given statistically significant differences between infected and sterile groups using multiple experimental modalities, it is safe to assume that the direct measurements of bacterial burden presented above are more than adequate.<sup>3</sup> Importantly, we recognize that *C acnes* is the most common etiologic agent for SII clinically, despite several articles arguing for *S aureus* predominance.<sup>1,4,20,22,27,34</sup> The low virulence and replication rate of *C acnes* makes it difficult to transform this bacterium genetically to form a bioluminescent operon in the same manner as *S aureus*, restricting the ability to study infections longitudinally and noninvasively.<sup>8,12</sup> Thus, we developed a model of SII using *S aureus*, in accordance with similar studies our laboratory has produced in prior years.<sup>1,3,4,9,11,20,22,27,30,35</sup> Nonetheless, the development of this model will allow us to further our work by expanding to new bacterial species that are more specific to the shoulder (eg, *C acnes*). Additionally, other established models in our laboratory have found unexpected differences in bacterial activity, implant reactivity, and response to antibiotics. Unfortunately, we cannot offer an explanation whether our findings relate to biomechanics, physiology, joint perfusion, genetics, or some combination of all of those factors; however, we hope to use this new model, in conjunction with other models, to provide a more robust comparison of the efficacy of our treatment strategies in implant infection management.

## Conclusion

In summary, a chronic indolent SII model was established with an inoculation of  $1 \times 10^3$  bioluminescent

*S aureus* Xen-36. A model of catastrophic failure secondary to severe osteolysis was also established with an inoculation of  $1 \times 10^4$ . Furthermore, the 1D9-680 fluorescent *S aureus* targeting probe accurately identified low-grade biofilm-associated infection, allowing for important clinical translation potential for diagnosing infection and image-guided débridement.

We believe this study successfully demonstrates a new in vivo mouse model of SII, capable of producing real-time, quantifiable data on bacterial burden through bioluminescence and optical imagery. We anticipate this model will be used in the future to evaluate strategies in treating implant-related shoulder infection (with future studies focusing on *C acnes*), including systemic antibiotic therapy, antibiotic-loaded beads, antibiotic-coated implants, and antibiotic powder. In addition, the establishment of this mouse model may also be used to analyze the mechanisms and pathways of the host immune response to SII to better understand how and why shoulder implants behave differently than periprosthetic infections of other joint spaces.

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## Disclaimer

Kevin P. Francis reports that he is an employee of PerkinElmer, Inc.

Jan Maarten van Dijk reports that he has the following competing interests relevant to this study: he has filed a patent application on the use of 1D9, which is owned by his employer University Medical Center Groningen.

Nicholas M. Bernthal has or may receive payments or benefits from the National Institutes of Health.

The other authors, their immediate families, and any research foundations with which they are affiliated have not received any financial payments or other benefits from any commercial entity related to the subject of this article.

## Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.jse.2019.10.032>.

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