



Clinical and economic impact of non-adherence in COPD

van Boven, Job F. M.; Chavannes, Niels H.; van der Molen, Thys; Rutten-van Molken, Maureen P. M. H.; Postma, Maarten J.; Vegter, Stefan

Published in: **Respiratory Medicine**

DOI: 10.1016/j.rmed.2013.08.044

IMPORTANT NOTE: You are advised to consult the publisher's version (publisher's PDF) if you wish to cite from it. Please check the document version below.

Document Version Publisher's PDF, also known as Version of record

Publication date: 2014

Link to publication in University of Groningen/UMCG research database

Citation for published version (APA):

van Boven, J. F. M., Chavannes, N. H., van der Molen, T., Rutten-van Molken, M. P. M. H., Postma, M. J., & Vegter, S. (2014). Clinical and economic impact of non-adherence in COPD: A systematic review. Respiratory Medicine, 108(1), 103-113. https://doi.org/10.1016/j.rmed.2013.08.044

Copyright Other than for strictly personal use, it is not permitted to download or to forward/distribute the text or part of it without the consent of the author(s) and/or copyright holder(s), unless the work is under an open content license (like Creative Commons).

The publication may also be distributed here under the terms of Article 25fa of the Dutch Copyright Act, indicated by the "Taverne" license. More information can be found on the University of Groningen website: https://www.rug.nl/library/open-access/self-archiving-pure/taverneamendment.

Take-down policy

If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

Downloaded from the University of Groningen/UMCG research database (Pure): http://www.rug.nl/research/portal. For technical reasons the number of authors shown on this cover page is limited to 10 maximum.



Available online at www.sciencedirect.com

ScienceDirect

journal homepage: www.elsevier.com/locate/rmed



Clinical and economic impact of nonadherence in COPD: A systematic review



Job F.M. van Boven^{a,*}, Niels H. Chavannes^b, Thys van der Molen^{c,d}, Maureen P.M.H. Rutten-van Mölken^e, Maarten J. Postma^a, Stefan Vegter^a

^a Unit of PharmacoEpidemiology & PharmacoEconomics, Department of Pharmacy, University of Groningen, Antonius Deusinglaan 1, 9713 AV Groningen, The Netherlands

^b Department of Public Health and Primary Care, Leiden University Medical Center, The Netherlands

^c Department of Primary Care, University Medical Center Groningen, The Netherlands

^d Department of Primary Care Respiratory Medicine, University of Aberdeen, Scotland, UK

^e Institute for Medical Technology Assessment, Department of Health Economics (iMTA), Erasmus University, Rotterdam, The Netherlands

Received 5 July 2013; accepted 31 August 2013 Available online 11 September 2013

KEYWORDS Summarv Adherence: Background: Medication for Chronic Obstructive Pulmonary Disease (COPD) has shown to sub-COPD; stantially reduce symptoms and slow progression of disease. However, non-adherence to medication is common and associated with worsened clinical and economic outcomes. Economics: Outcomes Objective: The objective of this study was to perform a systematic review of published literature to assess the impact of non-adherence to COPD medication on clinical and economic outcomes Methods: A search in PubMed and Web of Science databases was conducted of original studies published from database inception to 2012. Studies must report on the association between adherence to COPD medication and outcomes, published in English in peer-reviewed journals and full texts needed to be available. Results: Twelve full articles were included in the review. Most studies were retrospective database studies. Seven studies reported on the association between adherence and clinical outcomes, two on mortality, three on costs, four on quality of life and one on work productivity. Results indicated a clear association between adherence and both clinical and economic outcomes. Evidence from studies revealed increased hospitalizations, mortality, quality of life and loss of productivity among non-adherent patients.

* Corresponding author. Tel.: +31 (0) 50 3638204; fax: +31 (0) 50 3632772. *E-mail address*: j.f.m.van.boven@rug.nl (J.F.M. van Boven). *Conclusion*: This review revealed a clear association between non-adherence to COPD medication and worsened clinical and economic outcomes making non-adherent patients a priority for cost-effective interventions.

© 2013 Elsevier Ltd. All rights reserved.

Introduction

Medication for patients with Chronic Obstructive Pulmonary Disease (COPD) has demonstrated to improve disease symptoms and to avoid exacerbations [1,2]. However, efficacy reported in clinical trials may not reflect effectiveness in a real-world setting, and one of the major reasons is related to treatment adherence. Adherence (synonym: compliance) is defined as the extent to which a patient acts in accordance with the prescribed interval and dose of a dosing regimen [3]. While therapy adherence in clinical trials is often relatively high, adherence to COPD medication in real world settings is far from optimal [4]. Factors associated with non-adherence to COPD medication include dosing regimen, comorbidity, age and cost [5–7].

Non-adherence in general has been linked to preventable morbidity and mortality and increased healthcare costs and productivity losses [8–10]. However, the clinical and economic consequences of non-adherence in COPD are not yet fully understood [11,12]. The objective of this study was to perform a systematic review of the literature assessing the clinical and economic impact of non-adherence in COPD.

Methods

Review strategy

Literature searches were performed in February 2013 in the PubMed and Web of Science (ISI) databases including studies from database inception to 2012. Studies needed to measure patients' adherence to COPD medication and its impact on clinical and/or economic outcomes. Search terms were combinations of disease-, medication-, adherence- and outcome terms. A specification of the review protocol is provided in Appendix 1.

Studies found were independently screened and underwent a quality assessment by two reviewers (JFMvB and SV). No major disagreement between the two reviewers occurred. A PRISMA flow diagram is provided in Fig. 1 [13].

Eligibility

To be included, studies needed to meet the following inclusion criteria:

(*i*) published in peer-reviewed journals, (*ii*) full text (i.e. no abstracts), (*iii*) in English (*iv*) and reflecting an original study. Non-English studies were not included as these tend to be smaller and of lower methodological quality [14].

Exclusion criteria

We excluded: (i) studies assessing physician or patient adherence to guidelines, programs or oxygen (ii) studies

including primarily asthma patients (*iii*) reviews, comments, conference abstracts, case reports or editorials (*iv*) animal studies and (v) studies reporting no clinical or economic outcomes.

Data extraction

The following information was extracted for each study: (*i*) first author, country and year of publication, (*ii*) population characteristics (size, % male, mean age and FEV₁%pred), (*iii*) medication assessed, (*iv*) method of measuring and defining adherence, (*v*) an outcomes summary (vi) absolute and relative outcomes including *p*-values.

Quality assessment

The Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) checklist was used as the tool for quality assessment of the included observational studies [15]. This checklist, containing 22 items, was operationalized into a series of questions for each study design, answered by "yes", "partly", "no" or "not applicable". For each study, the proportion of adequately reported items ("yes") from all applicable items was analyzed (Appendix 2).

Results

Study selection

A search in PubMed and Web of Science yielded 3410 articles in total. Removing duplicates and screening of titles and abstracts identified 138 articles potentially relevant articles. After review of the full texts, twelve articles meeting the inclusion criteria remained. Results of the selection process are presented in Fig. 1. Details of search results are provided in Appendix 1. The quality assessment is presented in Appendix 2.

Overview of included studies

After exclusion of non-relevant studies, twelve studies remained that focused on the impact of non-adherence with COPD medication on costs and clinical effects. The measured outcomes varied from clinical symptoms like cough and dyspnea to mortality and costs (Table 1). Most studies were retrospective database studies and had an average follow-up of one to two years. Two studies were cross-sectional analyses [16,17]. Population size varied between 24 [18] and 55,076 patients [19]. Most studies reported clinical outcomes; only three studies reported costs [17,19,20] and one study reported on the association between adherence and work productivity [16].

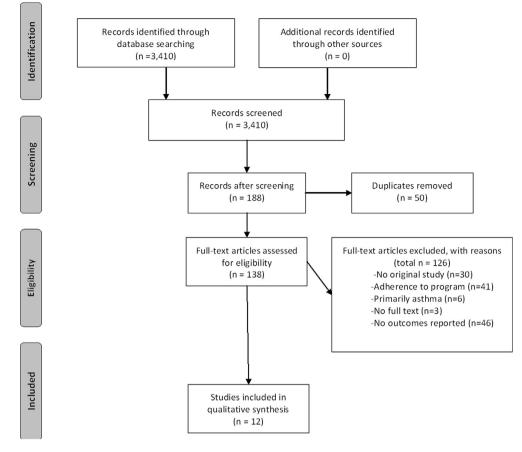


Figure 1 Selection process of the studies included in the review.

To measure adherence, most studies [16,17,19–21] used administrative or prescription databases. If a threshold was used to separate adherent patients from non-adherent patients, adherence was defined as 80% of the proportion of days covered (PDC) in the majority of studies [16,17,20,22].

Clinical outcomes

Seven studies explored the impact of non-adherence on clinical outcomes, with a primary focus on hospitalizations. Two studies reported significantly fewer hospitalizations in adherent patients [17,22].

The Simoni-Wastila et al. study was a retrospective cross-sectional study, included 33,816 COPD patients identified from an administrative database and had a maximum follow-up of 1.5 years. Both medication continuity (persistence) and proportion of days covered (PDC) was assessed and patients with a PDC \geq 0.80 were considered adherent.

In adherent and continuing patients significant lower hospitalization rates were observed. The second study, by Vestbo et al., was a post-hoc analysis of the large multinational TORCH trial in which 6112 COPD patients were followed for 3 years [2]. Good adherence was defined as >80% use of study medication, counted by a dose counter on the inhaler device. Results showed that good adherence was significantly associated with a lower rate of severe exacerbations, independent of study treatment.

One study found a non-significant association between adherence and fewer hospital days [23]. In this 1-year prospective cohort study (by Turner et al.) 985 COPD patients were stratified in two adherence groups based on average number of minutes using nebulizer therapy. The median observed time of 25 min was used as cut-off for being adherent or not. For this study, the lack of significance may be explained by the use of this nonconventional method of defining adherence.

In contrast, one study found no difference in nonadherence between hospitalized and non-hospitalized patients [24]. This study, by Matuszewski et al., used a casecontrol design. The population included 93 patients hospitalized for exacerbation of COPD and 93 control patients with COPD who were non-hospitalized. Non-compliance was calculated by dividing the number of days without medication by the total days of medication prescribed during the study (365 days). Results showed no significant difference in mean non-compliance ratio. However, results may be prone to bias as there was a significant difference in the number of medications used between cases and controls which is not only affecting adherence but is also a surrogate indicator of disease severity, implicating that if patients experience a hospitalization those are generally the more sicker group. Therefore, the value of using a case-control design for this purpose can be guestioned.

Two studies found a significant association between adherence and emergency department (ED) visits [19,21].

Study (1st	Study design	Population	Medication	Adherence	Reported outcomes associated with adherence					Study
author, country, year)	(follow-up)			definition	Clinical outcomes	Mortality	Costs	Quality of life	Productivity	quality (STROBE)
Dompeling	(Netherlands, 1992) [18]	Prospective intervention (1 year)	N = 24 Mean age: 55 Male: 58% FEV ₁ %pred: 63							
	Beclomethasone dipropionate 400 µg plus salbutamol or ipratropium	PDC 80-120	X					17/28		
Turner (US/ Canada, 1995) [23]	Prospective cohort (1 year)	N = 985 Mean age: 61 Male: 81% FEV ₁ %pred: 41	Metaproterenol or other bronchodilators [all by nebulizer]	>25 min per day use of nebulizer	Х	Х		Х		18/26
Corden (UK, 1997) [26]	Prospective cohort (4 weeks)	N = 82 (74% COPD) Mean age: 65 Male: 54% FEV ₁ %pred: NA	SABA, LABA, SAAC or corticosteroids [all by nebulizer]	PDC, no threshold				Х		20/29
Matuszewski (US, 1999) [24]	Retrospective case control (1 year)	N = 186 Mean age: 70 Male: 98% FEV ₁ %pred: NA	SAAC, LAAC, SABA, LABA, ICS, XAN	PDC, no threshold	Х					19/28
Vestbo (Worldwide, 2009) [22]	Post-hoc analysis of RCT (3 years)	N = 6112 Mean age: 65 Male: 76% FEV ₁ %pred: 44	Placebo, SAL, FLU, Combination (SAL + FLU)	PDC > 80	Х	Х				28/32
Halpern (US, 2011) [20]	Retrospective database analysis (1.5 years)	N = 4537 Mean age: 61 Male: 53% FEV ₁ %pred: NA	TIO or SAL + FLU	$MPR \geq 80$			Х			26/30
Agh (Hungary, 2011) [28]	Observational cross sectional	N = 170 Mean age: 64 Male: 42% FEV ₁ %pred: NA	SABA, LABA, SAAC, LAAC, ICS, combinations	MMAS score \geq 3				Х		17/30
Butler (US, 2011) [21]	Retrospective longitudinal database (3 years)	N = 24,138/ 3231 Mean age: 66 Male: 35% FEV ₁ %pred:NA	Not specified	Non- adherent days/total days, no threshold	Х					17/28

J.F.M. van Boven et al.

106

Takemura (Japan, 2011) [25]	Cross sectional questionnaire	N = 55 Mean age: 69 Male: 73% FEV ₁ %pred: 68	LAMA, LABA, SABA, ICS	Self-report					
questionnaire score >4				Х		17/29			
Toy (US, 2011) [19]	Retrospective database (1 year)	N = 55,076 Mean age: 69 Male: 43-53% FEV ₁ %pred:NA	SAAC, LABA, LAAC	PDC, no threshold	X		Х		21/28
Carls (US, 2012) [16]	Retrospective cross sectional (1 year)	N = 20,985 Mean age: 46-52 Male: 54% FEV ₁ %pred: NA	ICS, LEU, mast cell stabilizers, XAN, LAMA/SAMA, LABA, CORT	PDC >80				X	26/30
Simoni-Wastila (US, 2012) [17]	Retrospective cross sectional (1.5 year)	N = 33,816 Mean age: 71 Male: 35% FEV ₁ %pred: NA	ICS, ICS + LABA, SAAC, LAAC, XAN						
Continuation: No gap >3 months Adherent: PDC ≥ 80	X		X			26/30			

FLU: fluticasone, ICS: inhaled corticosteroids, LABA: long-acting beta agonists, LAAC: long acting anticholinergics, LEU: leukotrienes, MMAS: Morisky Medication Adherence Scale, MPR: medication possession ratio, NA: not available, PDC: proportion of days covered, RCT: Randomized Clinical Trial, SAAC: short acting anticholinergics, SABA: short acting beta agonists, SAL: salmeterol, TIO: tiotropium, XAN: xanthines.

Toy et al. analyzed the relationship between adherence, daily dosing regimen, healthcare resource utilization (inpatient, outpatient and ED visits) and costs. A large administrative claims database was used to identify COPD patients (N = 55,076). Adherence was measured as proportion of days covered (PDC) over a 1-year period after initiation of treatment. Results of a multivariate regression model showed that a 5% increase in adherence would lead to a 2.6% reduction in hospital visits and a 1.8% reduction in ED visits.

The other study, from Butler et al. aimed, using a retrospective longitudinal design, to determine the association between adherence to medication and total (nondisease specific) emergency department visits. They especially focused on long-term benefits of adherence. Data on the use of prescription drugs were obtained from a national Medical Expenditure Panel Survey (MEPS), but specific medication was not specified. The non-adherence ratio was calculated by dividing the number of non-adherent days by the total days in a year.

While no significant short-term effects of adherence on number of ED visits were observed, long-term effects of non-adherence were detected.

Other clinical outcomes that were associated with adherence included pulmonary symptoms (cough, phlegm and dyspnea), decrease in lung function and provocative concentration causing a 20% fall in FEV_1 (PC₂₀) during steroid treatment [18,23]. However, these results were based on studies with either a small population (Dompeling et al.) or were measured in patients using nebulized therapy only (Turner et al.) making results less generalizable.

Mortality

Only two studies investigated the association between adherence and mortality. The study by Vestbo et al. [22] showed that good adherence was not only associated with decreased risk of severe exacerbations but also with a decreased risk of death. Using different threshold levels to define adherence, revealed a hierarchic association between rate of adherence and mortality: the lower the adherence, the higher the mortality. However, authors noted that patients with poor adherence may have had more comorbidities with multiple medications affecting adherence and prognosis, which may have biased the results. Remarkable was that the effect of adherence was as strong in the placebo group as in the group treated with medication, which is referred to as the 'healthy adherer effect': adherence to therapy is an indicator for an overall healthier lifestyle. In contrast, the other study, by Turner et al. [23] found no significant differences in mortality between adherent and non-adherent patients. However, the lack of significance may be, just as for the other clinical outcomes, due to the use of a nonconventional threshold for adherence.

Costs

Three studies were identified describing the association between adherence and costs [17,19,20].

The Simoni-Wastila et al. study showed that adherent patients had higher costs for prescription medication

compared with non-adherent patients. However, these costs were offset by lower inpatient- and outpatient costs resulting in lower total spending for adherent compared to non-adherent patients.

The second study was the administrative database analysis of Toy et al. In addition to the influence of a 5% increase of adherence on healthcare utilization they also calculated related costs. To obtain a cost estimate reflecting the national population, patient data were weighted. Increasing PDC with 5% resulted in lower expenditures for inpatientand ER visits. In contrast, costs for outpatient visits would slightly increase, resulting in an overall net cost reduction.

The retrospective claims analysis from Halpern et al. compared adherence and outcomes between COPD patients initiating tiotropium (n = 1561) or salmeterol/fluticasone (n = 2976) therapy using claims data from a large national US health plan. Follow-up was at least one year with a maximum follow-up of 1.5 years.

Adherence was defined as a medication possession ratio (MPR) ≥ 0.80 . Pharmacy costs were higher in adherent patients compared with non-adherent patients. In contrast, in inpatient stay costs were lower in adherent patients as compared with non-adherent patients.

All studies found, not surprisingly, that medication costs were higher in adherent patients compared with nonadherent patients. In contrast, inpatient stay (hospitalization) costs were lower in adherent patients compared to non-adherent patients in all studies. In the study of Halpern et al. [20] adherence was associated with lower respiratory related medical costs but overall healthcare costs in adherent patients where higher compared with nonadherent patients, which may be explained by a possible 'healthcare seeking behavior' of adherent patients. The two remaining studies found lower total healthcare costs in adherent patients [17,19]. Regarding all costs, standard deviations were considerable, indicating large between patient variation.

Quality of life

Four studies assessed the impact of non-adherence on health related quality of life (HRQoL). The instruments used to measure HRQoL differed between studies. Two studies [25,26] used the St. George's Respiratory Questionnaire (SGRQ), which is COPD specific, widely applied in the field of COPD and is considered a suitable tool to assess quality of life. [27] The Corden et al. study was a small (n = 82) 4-week prospective cohort study in patients using nebulized therapy. 74% were COPD patients and most patients were using bronchodilators. Patients' adherence was measured using data loggers attached to the nebulizer. Poor compliance was defined as taking less than 70% of the prescribed treatment. The second study, from Takemura et al. was a cross-sectional analysis of 88 COPD patients and assessed factors related to inhalation therapy adherence and its correlation with quality of life. Adherence was measured using a self-reported questionnaire where patients with a score of >4 (on a 5-point Likert scale) were considered adherent.

Both studies showed that the SGRQ total, symptoms and impact scores were negatively correlated with adherence, although the association with symptoms and impact scores did not achieve statistical significance in the Corden et al. study, which may be due to the relatively small study population [26]. On the other hand, note that the Takemura et al. study applied a method of self-reported adherence that may have biased patient adherence in a positive direction and thereby have resulted in an overestimation [25].

In contrast, another study found an association between adherence and lower HRQoL as measured with the EQ-5D [28].

The Agh et al. study was an observational cross sectional study in 170 COPD outpatients from Hungary. Adherence was measured with the Morisky Medication Adherence Scale (MMAS) and patients scoring \geq 3 (out of 4) were considered adherent.

The EQ-5D is a general instrument and therefore may not be sensitive to COPD specific HRQoL; furthermore better quality of life may be considered a trigger for non-adherence. In addition, patient's decision regarding adherence was suggested a personal trade-off between benefits of treatment and the associated negative effects (lifestyle changes, side effects).

Other HRQoL-tools used included the Sickness Impact Profile (SIP), the Profile of Mood States (POMS), and the Recent Life Changes Questionnaire (RLCQ); for the latter a significant association was found between non-adherence and a more disrupted home and family life [23]. This prospective cohort study in patient using nebulized therapy (by Turner et al.) demonstrated that an unstable environment may have a negative effect on treatment adherence, especially when the medication regimen requires adjustments in daily living.

Productivity

Regarding the association between adherence and productivity only one study was identified [16]. This 1-year retrospective cross sectional analysis of administrative healthcare claims by Carls et al. aimed to estimate the impact of medication adherence on absenteeism and short-term disability. A population of 5417 (absenteeism) respectively 20,985 (short-term disability) patients with asthma/COPD was assessed. Employees were classified adherent as the proportion of days covered was \geq 80% and during hospital days patients were assumed to be adherent. Results were corrected for switching between medication and relevant confounders. However, no clear distinction was made between asthma and COPD patients in particular. Adherent patients were significantly fewer days absent from work and had fewer days of short-term disability. In their discussion the authors estimated the potential annual savings of adherent employees compared with non-adherent employees around \$1714 per employee. Short-term absenteeism accounted for \$178 to \$833 per employee per year (Table 2).

Discussion

Main findings

This review revealed a clear association between adherence to COPD medication and both clinical and economic outcomes. Evidence from the twelve studies included, showed increased hospitalizations, mortality, quality of life and loss of productivity among non-adherent patients. Several key elements were uncovered regarding the direction of this association reported in adherence studies measuring clinical symptoms. Adherence alone is not always sufficient to obtain improved clinical outcomes, but rather the combination of continuous use (persistence) in combination with high adherence [17]. Furthermore, the omission to include long-term effects of non-adherence may cause an underestimation of the actual costs and effects of non-adherence [21].

Vestbo et al. [22] provides evidence for an association between high adherence and significantly decreased mortality in patients with moderate to severe COPD. Note that this evidence is based on a selective trial population, so generalizing these results towards the complete COPD population may be tendentious [29]. Three recent studies described the association between adherence and costs and showed some clear patterns. Not surprisingly, costs of medication will increase when adherence is increased. However, both medical (inpatient) and total costs are likely to decrease in adherent patients as reported by Toy et al. and Simoni-Wastila et al. [17,19] Halpern et al. did not observe effects on total costs, which was explained by a higher healthcare seeking behavior of adherent patients [20].

Regarding quality of life, studies showed contrasting effects by reporting either small positive or small negative effects of improved adherence [23,25,26,28]. It was suggested that better quality of life may be considered a trigger for non-adherence [23,28]. Good adherence requires some rigorous adjustments in patients' daily life and this may have a negative reflection on their perceived quality of life, outweighing for instance the benefits of somewhat less frequent exacerbations [28].

The association between adherence and work productivity was least described, but evidence indicated that adherence was significantly associated with reduced days off work, putting a high burden on societal expenses [16]. Further research on work productivity is recommended, as better understanding of this topic would be of great value in order to reduce non-adherence related costs in the working age population [30].

An overall interesting theory some studies refer to is the so called 'healthy adherer effect' [17,22]. The healthy adherer effect assumes that therapy adherence is a surrogate marker for an overall healthy behavior [22]. This raises the question whether better clinical and economic outcomes can be solely explained and established by the improvement of patients' medication adherence, or rather by an extensive change in patients' behavior (lifestyle, adherence to co-medication).

Limitations and considerations

Though the association between adherence and outcomes is rather clear, evidence is mainly based on observational studies not well-suited to measure any causal effect of nonadherence. On the other hand, compared to clinical trials, observational studies provide long-term 'real world' evidence as seen in daily practice, thereby providing

Outcome specification	Absolute outcor	nes	Relative outcomes	Significance p-value	
	Non-adherent	Adherent			
Dularanana			r 0.57	0.027	
Pulmonary symptoms	NR		0.57	0.036	
Change in FEV ₁	NR		0.6 0.72	n.s.	
Change in PC20	NR		0.72	0.031	
Change in FEV ₁	-0.034	-0.04	NR	n.s.	
Days hospitalized	4.2	3.8	NR	n.s.	
Hospitalizations	NR			n.s.	
			Rate ratio		
Hospitalizations	0.27	0.15	0.58 (0.44–0.73)	<0.001	
			Hazard rate		
ED visits	NR		1011	n.s.	
			Difference (%)		
ED visits	802	817	-15 (-1.8%) ⁽	NR	
Hospitalizations	1275	1243	-33 (-2.6%)	NR	
Hospital days	5906	5720	-186 (-3.1%)	NR	
Outpatient visits	16,981	17,010	29 (+0.2%)	NR	
			Adjusted RR		
Hospitalizations	1.13	0.88	0.90 (0.87-0.93)	<0.05	
Percentage died	22.6%	23.7%	NR	n.s.	
			Hazard rate		
Percentage died	26.4%	11.3%	0.40 (0.35-0.46)	<0.001	

\$405.248

\$11.338.501

\$1.871.082

\$14.061

\$11.450

\$9.190

27.7

16.5

1.04

0.50

NR

NR

NR

\$412.658

\$11.635.099

\$1.867.863

\$19.594

\$12.664

\$7.546

26.3

16.3

1.22

NR

NR

NR

0.62

NR

NR

NR

NR

Table 2	Outcomes associated with adherence to COPD therapy.	

Healthcare costs

Medical costs

ED costs

Inpatient costs

Hospital costs

Outpatient costs

Inpatient costs

Drug costs

Outpatient costs

POMS total score

RLCQ home score

SGRQ symptoms

SGRQ symptoms

SGRQ activities

SGRQ impact

SGRQ total

SGRQ impact

SGRQ total

EQ-5D

SIP total score

Study

Clinical outcomes Dompeling [18]

Turner [23]

Vestbo [22]

Butler [21]

Toy [19]

Mortality Turner [23]

Vestbo [22]

Toy [19]

Matuszewski [24]

Simoni-Wastila [17]

Economic outcomes Halpern [20]

Simoni-Wastila [17]

Quality of life Turner [23]

Corden [26]

Agh [28]

Takemura [25]

< 0.01

< 0.05

< 0.01

NR

NR

NR

< 0.001

< 0.001

< 0.001

n.s.

n.s.

0.04

0.054

0.053

0.03

0.001

0.002

0.011

0.023

n.s.

1.469 (1.13-1.91)

0.629 (0.43-0.91)

0.466 (0.30-0.72)

Difference (%)

\$3.219 (+0.2%)

-\$4.609

-\$606

\$1.654

NR

NR

NR

-2201

-2213

-2477

-0.43

-0.35

-0.17

-0.35

NR

r

r

Marginal effects

-\$7.410 (-1.8%)

-\$296.598 (-2.6%)

Study	Outcome specification	Absolute outcomes		Relative outcomes	Significance	
		Non-adherent	Adherent		p-value	
Productivity						
Carls [16]				Difference		
	Absent days	NR		-7.1 (-3.011.7)	<0.05	
	Short-term disability days	NR		-3.7 (-1.75.8)	<0.05	

NR: not reported; n.s.: not significant.

important added value regarding the generalizability of results among the overall COPD population.

To include all the evidence, no stringent inclusion criteria related to the design of the studies were applied. As a result a wide variety of studies was included, making it difficult to combine quantitative results and synthesize evidence through a meta-analysis. In this review, unpublished and non-English studies were excluded; although non-English works have been shown to result in limited added value [14] this may be considered a limitation of our literature search.

Regarding measurement of adherence, most studies used prescription refill data from pharmacy or administrative databases. Although prescription records provide a relatively cheap and fast platform to obtain adherence data, a prescription may not guarantee patients have actually taken their medication, or when taken, used their inhalers correctly. Mishandling of inhalers is common and also associated with reduced disease control [31]. Some studies used self-reported adherence but these were considered to be prone to patient information bias in a positive direction [32].

Furthermore, although well accepted in current literature, the most often used threshold of 80% of the proportion of days covered remains rather arbitrary. Electronic pills count or measuring blood serum levels may provide a more accurate way of measuring adherence but are expensive and invasive. Database studies often lacked information on disease severity. To overcome this limitation, two studies recommend to use proxies for disease severity such as use of oxygen or the number of prior hospitalizations [17,19].

It is recommended to assess the effect of different levels of adherence on medication efficacy in prospective clinical trials. It would be of great value to report the effect of nonadherence on the effectiveness of the therapy, as in 'real life' adherence is much lower than in trials performed in a highly controlled setting [4,33]. These measurements would also provide some evidence on the minimum effective dosing regimen needed to still obtain a high benefit from COPD medication. To fully understand the long-term clinical and economic effects of non-adherence, the time patients are followed should be extended to a period more than one year. Longitudinal disease control should be measured using validated questionnaires like the SGRQ [27], Clinical COPD Questionnaire (CCQ) [34] or COPD Assessment Test (CAT) [35]. One of the problems may be the difficulty of avoiding that patients are aware that their adherence behavior is being monitored.

Implications for future research, policy and practice

Interventions that improve adherence (behavior) are recommended, focusing not only on adherence to medication but on the total 'package' of modifying patients' adherence behavior as a whole.

Adherence is dependent on the patient, treatment and societal factors [5–7]. Strategies to improve adherence have been described [36] and include increasing patients' knowledge about self-management, enhancing healthcare providers' communication skills and counseling.

There is some evidence that interventions can increase patients' adherence to COPD medication [25], but in general studies assessing both the effect on adherence and the effect on outcomes are limited [37]. Further studies are recommended to identify the most (cost)effective interventions to improve medication adherence in patients with COPD. Latest studies show that interventions need to focus on both adherence as well as continued use (persistence) to fulfill the maximal potential of improved health and economic outcomes [17].

Regarding the association between non-adherence and overall worsened outcomes, targeting on specific patients in need of interventions will be facilitated by actively searching for patients with suboptimal adherence to COPD therapy. These 'targeted' interventions are expected to be highly cost-effective compared with interventions using a 'one-size-fits-all' principle.

Conclusions

This review showed a clear association between adherence to COPD medication and clinical and economic outcomes, making non-adherent patients a priority for cost-effective interventions.

Acknowledgements

Conflicts of interest

The authors declare that they have no conflicts of interest in relation to this article.

Author contributorship

JvB takes responsibility for the content of the manuscript, including the data and analysis. All authors made substantial contributions to conception and design of the study. JvB and SV collected the data. All authors interpreted the data, revised the draft critically and approved the submitted manuscript.

Funding

No funding was received for this study.

Appendix A. Supplementary data

Supplementary data related to this chapter can be found at http://dx.doi.org/10.1016/j.rmed.2013.08.044.

References

- [1] Calverley P, Pauwels R, Vestbo J, Jones P, Pride N, Gulsvik A, et al. Combined salmeterol and fluticasone in the treatment of chronic obstructive pulmonary disease: a randomised controlled trial. Lancet 2003;361:449–56.
- [2] Calverley PM, Anderson JA, Celli B, Ferguson GT, Jenkins C, Jones PW, et al. Salmeterol and fluticasone propionate and survival in chronic obstructive pulmonary disease. N Engl J Med 2007;356:775–89.
- [3] Cramer JA, Roy A, Burrell A, Fairchild CJ, Fuldeore MJ, Ollendorf DA, et al. Medication compliance and persistence: terminology and definitions. Value Health 2008;11: 44–7.
- [4] Cramer JA, Bradley-Kennedy C, Scalera A. Treatment persistence and compliance with medications for chronic obstructive pulmonary disease. Can Respir J 2007;14:25–9.
- [5] Bourbeau J, Bartlett SJ. Patient adherence in COPD. Thorax 2008;63:831–8.
- [6] Restrepo RD, Alvarez MT, Wittnebel LD, Sorenson H, Wettstein R, Vines DL, et al. Medication adherence issues in patients treated for COPD. Int J Chron Obstruct Pulmon Dis 2008;3:371–84.
- [7] Charles MS, Blanchette CM, Silver H, Lavallee D, Dalal AA, Mapel D. Adherence to controller therapy for chronic obstructive pulmonary disease: a review. Curr Med Res Opin 2010;26:2421–9.
- [8] Ho PM, Rumsfeld JS, Masoudi FA, McClure DL, Plomondon ME, Steiner JF, et al. Effect of medication nonadherence on hospitalization and mortality among patients with diabetes mellitus. Arch Intern Med 2006;166:1836–41.
- [9] Balkrishnan R, Rajagopalan R, Camacho FT, Huston SA, Murray FT, Anderson RT. Predictors of medication adherence and associated health care costs in an older population with type 2 diabetes mellitus: a longitudinal cohort study. Clin Ther 2003;25:2958–71.
- [10] Rizzo JA, Abbott 3rd TA, Pashko S. Labour productivity effects of prescribed medicines for chronically ill workers. Health Econ 1996;5:249-65.
- [11] Makela MJ, Backer V, Hedegaard M, Larsson K. Adherence to inhaled therapies, health outcomes and costs in patients with asthma and COPD. Respir Med 2013;107: 1481–90.
- [12] Ramsey SD. Suboptimal medical therapy in COPD: exploring the causes and consequences. Chest 2000;117: 335–75.
- [13] Moher D, Liberati A, Tetzlaff J, Altman DG., PRISMA Group. Preferred reporting items for systematic reviews and metaanalyses: the PRISMA statement. Ann Intern Med 2009;151. 264, 269, W64.
- [14] Egger M, Juni P, Bartlett C, Holenstein F, Sterne J. How important are comprehensive literature searches and the assessment of trial quality in systematic reviews? Empirical study. Health Technol Assess 2003;7:1-76.

- [15] von Elm E, Altman DG, Egger M, Pocock SJ, Gotzsche PC, Vandenbroucke JP, et al. The Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) statement: guidelines for reporting observational studies. J Clin Epidemiol 2008;61:344–9.
- [16] Carls GS, Roebuck MC, Brennan TA, Slezak JA, Matlin OS, Gibson TB. Impact of medication adherence on absenteeism and short-term disability for five chronic diseases. J Occup Environ Med 2012;54:792–805.
- [17] Simoni-Wastila L, Wei YJ, Qian J, Zuckerman IH, Stuart B, Shaffer T, et al. Association of chronic obstructive pulmonary disease maintenance medication adherence with all-cause hospitalization and spending in a Medicare population. Am J Geriatr Pharmacother 2012;10:201–10.
- [18] Dompeling E, Van Grunsven PM, Van Schayck CP, Folgering H, Molema J, Van Weel C. Treatment with inhaled steroids in asthma and chronic bronchitis: long-term compliance and inhaler technique. Fam Pract 1992;9:161–6.
- [19] Toy EL, Beaulieu NU, McHale JM, Welland TR, Plauschinat CA, Swensen A, et al. Treatment of COPD: relationships between daily dosing frequency, adherence, resource use, and costs. Respir Med 2011;105:435–41.
- [20] Halpern R, Baker CL, Su J, Woodruff KB, Paulose-Ram R, Porter V, et al. Outcomes associated with initiation of tiotropium or fluticasone/salmeterol in patients with chronic obstructive pulmonary disease. Patient Prefer Adherence 2011;5:375–88.
- [21] Butler RJ, Davis TK, Johnson WG, Gardner HH. Effects of nonadherence with prescription drugs among older adults. Am J Manag Care 2011;17:153–60.
- [22] Vestbo J, Anderson JA, Calverley PM, Celli B, Ferguson GT, Jenkins C, et al. Adherence to inhaled therapy, mortality and hospital admission in COPD. Thorax 2009;64:939–43.
- [23] Turner J, Wright E, Mendella L, Anthonisen N. Predictors of patient adherence to long-term home nebulizer therapy for COPD. The IPPB Study Group. Intermittent positive pressure breathing. Chest 1995;108:394–400.
- [24] Matuszewski K, Velayudhan P, Flint N, Pierpaoli P. Noncompliance with drug therapy for chronic obstructive pulmonary disease: a risk factor for hospitalization? Value Health 1999;2:446–51.
- [25] Takemura M, Mitsui K, Itotani R, Ishitoko M, Suzuki S, Matsumoto M, et al. Relationships between repeated instruction on inhalation therapy, medication adherence, and health status in chronic obstructive pulmonary disease. Int J Chron Obstruct Pulmon Dis 2011;6:97–104.
- [26] Corden ZM, Bosley CM, Rees PJ, Cochrane GM. Home nebulized therapy for patients with COPD: patient compliance with treatment and its relation to quality of life. Chest 1997;112:1278-82.
- [27] Jones PW, Quirk FH, Baveystock CM, Littlejohns P. A selfcomplete measure of health status for chronic airflow limitation. The St. George's Respiratory Questionnaire. Am Rev Respir Dis 1992;145:1321–7.
- [28] Agh T, Inotai A, Meszaros A. Factors associated with medication adherence in patients with chronic obstructive pulmonary disease. Respiration 2011;82:328–34.
- [29] Herland K, Akselsen JP, Skjonsberg OH, Bjermer L. How representative are clinical study patients with asthma or COPD for a larger "real life" population of patients with obstructive lung disease? Respir Med 2005;99:11–9.
- [30] Van Boven JF, Vegter S, Van Der Molen T, Postma MJ. COPD in the working age population: the economic impact on both patients and government. COPD 2013 July 11. <u>http:</u> //dx.doi.org/10.3109/15412555.2013.813446 [Epub ahead of print].
- [31] Melani AS, Bonavia M, Cilenti V, Cinti C, Lodi M, Martucci P, et al. Inhaler mishandling remains common in real life and is associated with reduced disease control. Respir Med 2011; 105:930–8.

- [32] DiMatteo MR. Variations in patients' adherence to medical recommendations: a quantitative review of 50 years of research. Med Care 2004;42:200–9.
- [33] Penning-van Beest F, van Herk-Sukel M, Gale R, Lammers JW, Herings R. Three-year dispensing patterns with long-acting inhaled drugs in COPD: a database analysis. Respir Med 2011;105:259–65.
- [34] van der Molen T, Willemse BW, Schokker S, ten Hacken NH, Postma DS, Juniper EF. Development, validity and responsiveness of the Clinical COPD Questionnaire. Health Qual Life Outcomes 2003;1:13.
- [35] Jones PW, Harding G, Berry P, Wiklund I, Chen WH, Kline Leidy N. Development and first validation of the COPD Assessment Test. Eur Respir J 2009;34:648–54.
- [36] Lareau SC, Yawn BP. Improving adherence with inhaler therapy in COPD. Int J Chron Obstruct Pulmon Dis 2010;5:401–6.
- [37] Demonceau J, Ruppar T, Kristanto P, Hughes DA, Fargher E, Kardas P, et al. Identification and assessment of adherenceenhancing interventions in studies assessing medication adherence through electronically compiled drug dosing histories: a systematic literature review and meta-analysis. Drugs 2013;73:545–62.