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# Constraints on aerosol nitrate photolysis as a potential source of HONO and NOx, Environmental Science and Technology

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Romer, P. S., P. J. Wooldridge, J. D. Crounse, M. Kim, P. O. Wennberg, J. E. Dibb, E. Scheuer, D. R. Blake, S. Meinardi, A. L. Brosius, A. B. Thames, D. O. Miller, W. H. Brune, and R. C. Cohen (2018), Constraints on aerosol nitrate photolysis as a potential source of HONO and NOx, Environmental Science and Technology, Article ASAP (web publication), DOI: 10.1021/acs.est.8b03861.

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# Constraints on aerosol nitrate photolysis as a potential source of HONO and $NO_x$

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#### Abstract

The concentration of nitrogen oxides  $(NO_x)$  plays a central role in controlling air 3 quality. On a global scale, the primary sink of  $NO_x$  is oxidation to form  $HNO_3$ . 4 Gas-phase  $HNO_3$  photolyses slowly with a lifetime in the troposphere of 10 days or 5 more. However, several recent studies examining HONO chemistry have proposed that 6 particle-phase HNO<sub>3</sub> undergoes photolysis 10–300 times more rapidly than gas-phase 7 HNO<sub>3</sub>. We present here constraints on the rate of particle-phase HNO<sub>3</sub> photolysis based 8 on observations of  $NO_x$  and  $HNO_3$  collected over the Yellow Sea during the KORUS-9 AQ study in summer 2016. The fastest proposed photolysis rates are inconsistent with 10 the observed  $NO_x$  to  $HNO_3$  ratios. Negligible to moderate enhancements of the  $HNO_3$ 11 photolysis rate in particles, 1–30 times faster than in the gas phase, are most consistent 12 with the observations. Small or moderate enhancement of particle-phase  $HNO_3$  photol-13 ysis would not significantly affect the  $\mathrm{HNO}_3$  budget, but could help explain observations 14 of HONO and  $NO_x$  in highly aged air. 15

### 16 Introduction

<sup>17</sup> Nitrogen oxides  $(NO_x \equiv NO + NO_2)$  are a central component of atmospheric chemistry, <sup>18</sup> affecting air quality, climate, and ecosystem health. The concentration of  $NO_x$  regulates <sup>19</sup> the concentration of major atmospheric oxidants and controls the pathways of atmospheric <sup>20</sup> oxidation. Accurate knowledge of the chemical sources and sinks of  $NO_x$  is therefore vital to <sup>21</sup> understanding atmospheric oxidation and predicting how air quality will respond to changes <sup>22</sup> in anthropogenic emissions or to changes in the global climate system.

<sup>23</sup> On a global scale, the largest sink of  $NO_x$  is oxidation of  $NO_2$  by OH to form  $HNO_3$ .<sup>1</sup> <sup>24</sup> In the lower troposphere, gas-phase  $HNO_3$  is removed by wet and dry deposition, with an <sup>25</sup> overall lifetime of only a couple days. Chemical removal of gas-phase  $HNO_3$  is much slower, <sup>26</sup> with a lifetime to photolysis or oxidation by OH of 15–30 days in the troposphere.<sup>2</sup> In remote <sup>27</sup> locations, even this slow rate can be relevant and act as an important source of  $NO_x$ .

#### **Environmental Science & Technology**

<sup>28</sup> HNO<sub>3</sub> can also partition into aerosols, forming inorganic particle-phase nitrate (NO<sub>3</sub><sup>-</sup>). <sup>29</sup> Dry deposition is slow for most particles, but particle-phase nitrate can be lost by wet depo-<sup>30</sup> sition, or it can be lost by re-partitioning between phases as gas-phase HNO<sub>3</sub> is deposited.<sup>3</sup> <sup>31</sup> Throughout this manuscript, we use HNO<sub>3</sub> to refer to the sum of gas-phase nitric acid and <sup>32</sup> inorganic particle-phase nitrate.

Previous studies examining the chemical evolution of  $NO_x$  and  $HNO_3$  in the absence of 33 fresh emissions have found varying results. While Bertram et al.<sup>4</sup> and Neuman et al.<sup>5</sup> found 34 good agreement between observations and models, several other studies reported elevated 35 concentrations of  $\mathrm{NO}_{\mathrm{x}}$  that could not be explained with known chemistry.  $^{6\text{--}8}$  To reconcile 36 models and observations, multiple pathways for the conversion of  $HNO_3$  into  $NO_x$  or HONO 37 have been proposed, a process termed re-noxification. Various re-noxification pathways have 38 been proposed in areas including the upper troposphere,<sup>7–9</sup> the marine boundary layer,<sup>6,10–12</sup> 39 rural forests,<sup>13,14</sup> and areas of continental outflow.<sup>15</sup> Recently, several of these studies have 40 suggested that  $HNO_3$  is rapidly photolyzed in aerosols to form  $NO_2$  or HONO, at a rate 41 between 10 and 300 times faster than the rate of gas-phase  $HNO_3$  photolysis, 10-12,15,16 and 42 it is this process that we investigate here. 43

Most of the previous studies of this process were primarily focused on the potential 44 for particle-phase nitrate photolysis to explain observations of HONO. To complement the 45 approach of previous studies, we examine the consequences of rapid nitrate photolysis on 46 concentrations of  $NO_x$  and  $HNO_3$ . Because HONO is itself rapidly lost by photolysis to 47 produce NO, the effect of nitrate photolysis on  $NO_x$  chemistry does not depend on whether 48 HONO or  $NO_x$  is the direct product. Past studies investigating aerosol nitrate photolysis 49 have reported their results as an enhancement factor (EF), relating the rate of nitric acid 50 photolysis in the particle phase to that in the gas-phase (Eq. 1), and we follow that convention 51 here. 52

$$j_{p\rm HNO_3} = EF \cdot j_{g\rm HNO_3} \tag{1}$$

53

While mechanistic studies of aerosol nitrate photolysis are limited, investigations of pho-

<sup>54</sup> tolysis in solution or on surfaces help explain how large enhancements of aerosol-phase nitrate <sup>55</sup> photolysis could be possible. In solution, the cross section of  $NO_3^-$  is enhanced by a factor <sup>56</sup> of 25 at 310 nm over that of gas-phase HNO<sub>3</sub>, likely due to symmetry-breaking of the  $NO_3^-$ <sup>57</sup> ion by hydration.<sup>17</sup> At the same time, the quantum yield of  $NO_3^-$  photolysis is reduced <sup>58</sup> from near unity in the gas-phase to 0.01 in bulk solution, likely due to recombination of the <sup>59</sup> photolysis products in the solvent cage, leading to an overall slower rate of aqueous-phase <sup>60</sup> nitrate photolysis than that of gas-phase nitric acid.<sup>18,19</sup>

In contrast, nitric acid or nitrate adsorbed on surfaces is not fully enclosed in a solvent 61 cage and is therefore expected to have an enhanced cross section without a significant de-62 crease in the quantum yield. Experimental results have confirmed that the cross section of 63  $HNO_3$  can be enhanced by up to a factor of 1000 at 308–310 nm when adsorbed onto the 64 surface of a luminum or ice. The quantum yield of  $\mathrm{HNO}_3$  on the same surfaces was 0.60 or 65 greater.<sup>20,21</sup> Thus, if a significant portion of aerosol nitrate is located on or near the aerosol 66 surface, where its quantum yield remains high, then it is plausible that its photolysis rate 67 could be enhanced multiple orders of magnitude over that of gas-phase nitric acid. 68

The ratio of  $NO_x$  to  $HNO_3$ , which we refer to as  $R_{obs}$ , provides crucial information about the chemistry of  $HNO_3$ .  $R_{obs}$  has been used in past studies to investigate both the production and loss of  $HNO_3$ .<sup>5–9</sup> As a ratio of two concentrations,  $R_{obs}$  is relatively unaffected by the total concentration of reactive nitrogen  $(NO_y)$  or the total volume of emissions encountered. By eliminating the effects of emissions and dilution, analysis of  $R_{obs}$ , rather than absolute  $HNO_3$ concentration, helps isolate the effects of  $HNO_3$  production and loss and allows comparison of airmasses between different environments.

In this paper, we present new constraints on the rate of particulate nitrate photolysis, based on observations of  $NO_x$  and  $HNO_3$  collected onboard the NASA DC-8 aircraft during the KORUS-AQ field campaign. Using  $R_{obs}$  to evaluate  $HNO_3$  production and loss, we demonstrate that the fastest proposed nitrate photolysis rates (EF > 30) are inconsistent with our current understanding of nitric acid production. Comparisons of the data from KORUS-AQ with several other airborne observations show that the results from KORUSAQ are not anomalous, and confirm that particle-phase nitrate photolysis is at most a minor

<sup>83</sup> HNO<sub>3</sub> loss pathway on a global scale.

#### <sup>84</sup> Materials and Methods

#### **85** Observations

The primary observations used in this analysis were taken onboard the NASA DC-8 as part 86 of the Korea-United States Air Quality Study (KORUS-AQ) over South Korea during May 87 and June 2016. Crucial observations used in this analysis include  $NO_x$ , gas-phase  $HNO_3$ , 88 particle-phase nitrate, hydroxyl radical (OH), a wide range of volatile organic compounds 89 (VOCs), and the spectrally-resolved actinic flux (used to calculate the gas-phase HNO<sub>3</sub>) 90 photolysis rate). Throughout the analysis, we use measurements of particle-phase nitrate 91 from bulk aerosols collected onto filters and analyzed by ion chromatography. A full list of 92 species used in this analysis and the techniques used to measure them are listed in Table 93 1. All analyses were performed using the merged dataset provided by NASA (version 4) of 94 all KORUS-AQ flights, averaged to match the time resolution of the particle-phase nitrate 95 filter measurements. 96

Additional data were obtained from the NASA LaRC Airborne Science Data for Atmo-97 spheric Composition website, from a set of six field deployments on the DC-8: INTEX-NA, 98 MILAGRO, INTEX-B, ARCTAS-B, DC3, and SEAC4RS. A map of all 7 deployments is 99 shown in Fig. S1. All of the campaigns include measurements of  $NO_x$ ,  $HNO_3$  in the gas and 100 particle phase,  $\Sigma RONO_2$ ,  $\Sigma PANs$ , and VOCs; all but one (SEAC4RS) include measurements 101 of OH, although measurements of OH from INTEX-NA, MILAGRO, and INTEX-B include 102 an interference from internally generated OH that can be important in some low-altitude 103 environments.<sup>22</sup> NO on previous campaigns was always measured by chemiluminescence, but 104 the instrument and group responsible was not consistent. On INTEX-NA, NO was measured 105

<sup>106</sup> by the Brune group from Penn State, on INTEX-B by the Huey group from Georgia Tech,
<sup>107</sup> and on DC3 and SEAC4RS by the Ryerson group from NOAA ESRL.<sup>23</sup>

Species	Technique	Principal	Reference		
		Investigator			
$NO_2$ , $\Sigma RONO_2$ , $\Sigma PANs$	TD-LIF <sup>a</sup>	R. Cohen	Day et al. <sup>24</sup>		
NO, $NO_v$ , $O_3$	Chemiluminescence	A. Weinheimer	Walega et al. $^{25}$		
$HNO_3$ , gas-phase	$\mathrm{CIMS}^{b}$	P. Wennberg	Crounse et al. $^{26}$		
$HNO_3$ , particle-phase	$\operatorname{Filter-IC}^{c}$	J. Dibb	Dibb et al. $^{27}$		
$OH, HO_2$	$FAGE^d$	W. Brune	Faloona et al. <sup>28</sup>		
VOCs	$WAS-GC^{e}$	D. Blake	Blake et al. $^{29}$		
Oxygenated VOCs	$PTR-MS^{f}$	A. Wisthaler	Wisthaler et al. $^{30}$		
НСНО	DFGAS $^{g}$	A. Fried	Richter et al. <sup>31</sup>		
$CO, CH_4$	$\mathrm{TDLAS}^h$	G. Diskin	Sachse et al. $^{32}$		
$j_{g m HNO}_3$	Spectroradiometry	S. Hall	Shetter and Müller $^{33}$		

Table 1: Measurements from KORUS-AQ used in this analysis

<sup>a</sup> Thermal Dissociation Laser-Induced Fluorescence; <sup>b</sup> Chemical-Ionization Mass Spectrometry; <sup>c</sup> Ion Chromatography; <sup>d</sup> Fluorescence Assay by Gas Expansion; <sup>e</sup> Whole Air Samples, followed by Gas Chromatography; <sup>f</sup> Proton Transfer Reaction Mass Spectrometry; <sup>g</sup> Difference Frequency Generation Absorption Spectroscopy; <sup>h</sup> Tunable Diode Laser Absorption Spectroscopy.

#### <sup>108</sup> Airmass age and plume evolution

To distinguish between airmasses with fresh emissions and those that are highly aged, we use the ratio of 2-butyl nitrate to *n*-butane (2BN/nB) as a chemical clock.<sup>34,35</sup> 2-butyl nitrate has very few direct emissions, rather it is produced almost exclusively by the OH oxidation of *n*-butane and is lost by photolysis and oxidation. 2-butyl nitrate has a lifetime of weeks in the troposphere, compared to a lifetime of 1–2 days for *n*-butane.<sup>36</sup> The 2BN/nB ratio is therefore expected to increase monotonically with airmass age, and can be used to sort airmasses from the freshest emissions to the most highly aged.

#### 116 Box Modeling

Box modeling was used in two ways to compare observations against predictions with different *EF*s. First, box modeling was used in a limited way to estimate the instantaneous production and loss rates of  $NO_x$  and  $HNO_3$  via routes that are not fully constrained from measurements. Secondly, a more comprehensive box model was used to study the evolution of advected plumes over the Yellow Sea. The framework and kinetics used for both applications are described here, while details specific to each application are described in their respective sections.

Simulations were run using the Framework for 0-Dimensional Atmospheric Modeling 124 (F0AM), with chemical kinetics from the Master Chemical Mechanism v3.3.1 (MCM).<sup>37,38</sup> 125 To include the uncertainty in many of the parameters, multiple simulations were run testing 126 a range of values for each parameter. A full list of parameters specified or added to F0AM 127 and their uncertainty ranges is included in Tables S1-S4 of the supplementary information. 128 Additional HNO<sub>3</sub> sources not included in the MCM were added to the model, includ-129 ing halogen chemistry, alkyl and multifunctional nitrate ( $\Sigma RONO_2$ ) hydrolysis, and  $N_2O_5$ 130 hydrolysis. Inorganic halogen chemistry was added to the model following the scheme de-131 scribed in Sherwen et al.<sup>39</sup>. Total amounts of reactive chlorine, bromine, and iodine (Cl<sub>v</sub>, 132  $Br_{y}$ , and  $I_{y}$ ) were specified and allowed to partition freely between different halogen species. 133 Total concentrations of Cl<sub>v</sub>, Br<sub>v</sub>, and I<sub>v</sub> were set at 18 ppt, 3.5 ppt, and 5.5 ppt respectively, 134 based on the modeled yearly average halogen concentrations over the Yellow Sea by Sherwen 135 et al.  $^{39}$ . 136

Multiphase chemistry was added to the model through reactive uptake reactions onto 137 a fixed aerosol surface area concentration. A reactive uptake parameter ( $\gamma$ ) of 0.005 was 138 applied to all  $\text{RONO}_2$  with a tertiary nitrate group, equal to that assumed by Fisher et al.<sup>40</sup> 139 for isoprene hydroxy nitrates. When using observed  $\Sigma RONO_2$  concentrations, which are not 140 isomer specific, a  $\gamma$  of 0.002 was applied to all nitrates. A  $\gamma$  of 0.10 was used for all three 141  $XONO_2$  species, in between the laboratory values for uptake onto aqueous solution (0.03) 142 and uptake onto sulfate aerosol (0.80).<sup>41,42</sup> A constant  $\gamma$  value of 0.014 was included for N<sub>2</sub>O<sub>5</sub> 143 chemistry.<sup>43</sup> 144

All the modeling studies were focused on plumes advected over the ocean, and therefore no

emissions were included in the model. Dilution was included as a first-order decay of model concentrations towards a prescribed background concentration, with an effective dilution rate of  $1.7 \times 10^{-5}$  s<sup>-1</sup>. The average daytime boundary-layer deposition velocity for gas-phase HNO<sub>3</sub> used in the model is 2 cm s<sup>-1</sup>.<sup>44-46</sup>

#### 150 Results and Discussions

## $_{^{151}}$ $\rm NO_x$ and $\rm HNO_3$ chemistry during KORUS-AQ

<sup>152</sup> Boundary layer measurements during KORUS-AQ typically observed high concentrations <sup>153</sup> of HNO<sub>3</sub> and NO<sub>x</sub>, although there was significant variation in the concentration of both <sup>154</sup> species (Fig. 1). To gain greater sensitivity to the chemical loss processes of HNO<sub>3</sub>, we <sup>155</sup> restrict our analysis to observations in the boundary layer over the Yellow Sea. The air over <sup>156</sup> the Yellow Sea was highly aged and contained high concentrations of NO<sub>y</sub>, averaging  $6 \pm 2$ <sup>157</sup> ppb. Together, these properties result in slow chemical production of HNO<sub>3</sub> and emphasize <sup>158</sup> the loss processes of HNO<sub>3</sub>.

<sup>159</sup> Boundary layer observations over the Yellow Sea are shown as the blue bars in Fig. 1. <sup>160</sup>  $R_{obs}$  was typically extremely low, and was significantly lower than the ratios observed in <sup>161</sup> the free troposphere (red bars in Fig. 1), indicating that boundary-layer chemistry, and <sup>162</sup> not dilution, is controlling the ratio. The inorganic components of particles observed over <sup>163</sup> the Yellow Sea were typically dominated by  $SO_4^{2-}$ ,  $NH_4^+$ , and  $NO_3^-$  (Fig. S2a), although <sup>164</sup> approximately a quarter of the filter samples showed enhanced concentrations of mineral <sup>165</sup> ions (Fig. S2b).

FLEXPART back trajectories initialized from the points sampled by the DC-8 were used to further investigate the origin of the sampled air (Fig. S3).<sup>47</sup> The airmasses were often stagnant, showing slow circulation over the Yellow Sea, with the occasional rapid transport of air from China. Based on concentrations of  $CO_2$  and black carbon, four of the samples appear to be influenced by nearby ship emissions and are excluded from further analysis.



Figure 1: Distribution of  $NO_x$ ,  $HNO_3$  (gas + particle), and  $R_{obs}$  in three different regions during KORUS-AQ: all points in the boundary layer (gray bars), points in the boundary layer over the Yellow Sea (blue bars) and all points in the lower free troposphere (between 2 and 4 km, red bars). In each bar, the black dot shows the median value, the thick bar the inter-quartile range, and the thin line the  $10^{\text{th}}-90^{\text{th}}$  percentiles.

To examine the compatibility of the observations with different proposed EFs, we com-171 pare  $R_{\rm obs}$  with the calculated far-field ratios ( $R_{\rm FF}$ ), the predicted ratio of NO<sub>x</sub> to HNO<sub>3</sub> in 172 infinitely aged air. Because  $HNO_3$  is not directly emitted to the atmosphere but is a product 173 of  $NO_x$  oxidation, in an isolated plume  $R_{obs}$  starts at a maximum value and decreases to 174 approach a far-field ratio set by the relative forward and backward conversion rates between 175  $NO_x$  and  $HNO_3$ . This behavior has been seen in past studies of  $NO_x$  chemistry in the out-176 flow of plumes, which have found that  $R_{\rm obs}$  decreases consistently as plumes evolves.<sup>4,6,35,48,49</sup> 177 None of these studies observed an increase in  $R_{obs}$  with airmass age. Therefore,  $R_{obs}$  is ex-178 pected to always be greater than or equal to  $R_{\rm FF}$ . 179

Because  $R_{\rm FF}$  represents the predicted  $\rm NO_x/HNO_3$  ratio in infinitely aged air, it is not directly observable. Although air in the free troposphere is typically highly aged, changes in chemistry with altitude prevent the  $\rm NO_x/HNO_3$  ratio in the free troposphere from being a useful proxy for  $R_{\rm FF}$  in the boundary layer. Instead,  $R_{\rm FF}$  is calculated algebraically from the effective first-order chemistry of  $\rm NO_x$ ,  $\rm HNO_3$ , and PAN described by the system of differential equations (2)–(4). The eigenvector of the system with the largest associated eigenvalue gives  $_{186}~$  the predicted ratio of  $\mathrm{NO}_{\mathrm{x}}$  to  $\mathrm{HNO}_{3}$  in infinitely aged air.

$$\frac{d[NO_{x}]}{dt} = -k_{forward}[NO_{x}] + k_{backward}[HNO_{3}] - k_{removal}[NO_{x}] - k_{assoc}[NO_{x}] + k_{dissoc}[PAN]$$
(2)

$$\frac{\mathrm{d}[\mathrm{HNO}_3]}{\mathrm{d}t} = k_{\mathrm{forward}}[\mathrm{NO}_{\mathrm{x}}] - k_{\mathrm{backward}}[\mathrm{HNO}_3] - k_{\mathrm{dep}}[\mathrm{HNO}_3]$$
(3)

$$\frac{\mathrm{d}[\mathrm{PAN}]}{\mathrm{d}t} = k_{\mathrm{assoc}}[\mathrm{NO}_{\mathrm{x}}] - k_{\mathrm{dissoc}}[\mathrm{PAN}] \tag{4}$$

The effective rate constants in these equations were calculated using observations from the DC-8, supplemented by box-modeling of unmeasured species. For each observation over the Yellow Sea, an independent box-model simulation was run to calculate the instantaneous concentration of  $RO_2$  radicals and halogen nitrates. The partitioning of  $HNO_3$  between gas and particle phases was set based on the observed concentrations of gas-phase nitric acid and particle-phase nitrate, and was assumed to remain constant as the plume evolved.

 $k_{\text{forward}}$ , the effective rate constant for conversion of NO<sub>x</sub> into HNO<sub>3</sub>, includes the oxida-193 tion of  $NO_2$  by OH and the production of  $HNO_3$  by  $RONO_2$ ,  $XONO_2$ , and  $N_2O_5$  hydrolysis. 194 The backwards conversion rate,  $k_{\text{backward}}$ , includes contributions from gas-phase HNO<sub>3</sub> pho-195 tolysis and oxidation and particle-phase photolysis. The loss of  $HNO_3$  by deposition,  $k_{dep}$ 196 was calculated using a deposition rate of 2 cm s<sup>-1</sup> for gas-phase nitric acid only.  $k_{\rm removal}$ 197 represents the effects of  $NO_x$  removal through oxidation to  $RONO_2$ .  $k_{assoc}$  and  $k_{dissoc}$  are the 198 effective first-order rate constants for the formation and dissociation of PAN respectively. 199 Explicit formulas for these six effective first-order rate constants are given by Eq. S1–S6. 200

Figure 2 shows the ratio of ratios  $R_{obs}/R_{FF}$  for several different values of the assumed EF. In addition to our best-guess estimates, Fig. 2 also shows sensitivity tests using high- and low-end estimates of halogen concentrations and HNO<sub>3</sub> deposition velocity, listed in Table S1. Because observed air masses may not yet have reached far-field conditions,  $R_{obs}/R_{FF}$  is expected to always be greater than or equal to 1, setting an upper limit on the maximum



Figure 2: Comparison of  $R_{obs}$  to  $R_{FF}$  in the boundary layer over the Yellow Sea. Each individual bar shows a boxplot of the ratio of ratios, calculated using in-situ data for every observation over the Yellow Sea. For every value of EF tested,  $R_{FF}$  was calculated 3 different ways, using different assumptions for the production of nitric acid via halogen chemistry and the deposition velocity of nitric acid, corresponding to the range of values in Table S1. In each bar, the black dot shows the median value, the thick bar the inter-quartile range, and the thin line the 10<sup>th</sup>-90<sup>th</sup> percentiles. The boxplots are spaced equally and position along the x-axis does not correspond to EF on either a linear or a log scale.

#### $_{206}$ EF compatible with the observations.

Although these values of  $R_{\rm FF}$  are calculated from observations of plumes over the Yellow Sea, they are a reasonable approximation of what would be calculated in infinitely aged air. Fig. S5 in the supporting information shows the measured value of  $R_{\rm obs}$  and the calculated value of  $R_{\rm FF}$  in airmasses of different ages, using all boundary layer observations from KORUS-AQ. While  $R_{\rm obs}$  decreases by 2 orders of magnitude between fresh emissions and highly aged air,  $R_{\rm FF}$  remains roughly constant, further supporting for the conclusion that  $R_{\rm obs}/R_{\rm FF}$  should never be less than 1.

Using our best-guess estimates for the unknown parameters, an EF of up to 7 is consistent with the observations. With more generous assumptions, an EF of up to 30 is plausible. However, when an EF of 50 or greater is used, over 75% of the  $R_{\rm FF}$ 's are greater than the observed ratios and are therefore incompatible with the observations. Due to the extremely high values of  $R_{\rm obs}/R_{\rm FF}$  observed in fresh plumes, the analysis of Fig. 2 cannot be used to establish a lower limit on EF.

#### 220 Box modeling of KORUS-AQ observations

To complement the analysis shown in Fig. 2, and to confirm that  $R_{\rm obs}/R_{\rm FF} < 1$  could not be produced by changing chemistry in an evolving plume, we also ran a series of simulations examining the evolution of NO<sub>y</sub> over the Yellow Sea. The effect of enhanced particle-phase HNO<sub>3</sub> photolysis was tested by comparing the results from simulation runs with seven different *EF*s: 1, 7, 10, 30, 50, 100, and 300. In all simulations, particle-phase HNO<sub>3</sub> photolysis was assumed to produce HONO in 100% yield, with no direct production of NO<sub>x</sub>.

Due to significant uncertainties in many of the input parameters, random sampling was 227 used to test the effects of different chemical parameters (Table S2), initial conditions (Table 228 S3), and background concentrations (Table S4). Lacking detailed atmospheric measurements 229 over China, we use as initial conditions the 5% of points observed during KORUS-AQ with 230 the lowest 2BN/nB ratios. A random point from these observations was selected indepen-231 dently for each simulation, and the measured concentrations at that point were used as initial 232 conditions for that run. Similarly, background concentrations were taken as a random sample 233 from observations in the lower free troposphere (2–4 km) over the Yellow Sea. FLEXPART 234 back trajectories of air in the lower free troposphere show that these airmasses were less 235 likely to be stagnant than in the boundary layer, but typically originated in similar locations 236 (Fig. S4). Gas-particle partitioning of  $HNO_3$  was included as a fixed parameter that we 237 varied based on the observations. For each simulation, a random value from the distribution 238 of observed gas-particle partitionings was selected and assumed to remain constant for the 239 model run. For parameters that were not measured (e.g.  $[Br_v]$ ), a plausible range of values 240 was constructed with the same best-guess estimate as used in the calculation of  $R_{\rm FF}$ , and 241 a random value from within that distribution was chosen independently for each simulation 242

243 run.

100 different simulations were run for each EF, and each simulation was run for five 244 To ensure that the comparison of model results to observations is not biased by days. 245 different airmasses ages, only a portion of each model simulation was included. To match 246 the distribution of modeled and observed airmass ages, a random sample of 100 2BN/nB 247 ratios was generated that matched the observed distribution of 2BN/nB over the Yellow Sea; 248 then, for each of the model runs, only the timesteps with the modeled 2BN/nB ratios that 249 most closely matched the random sample were selected. The sub-sampling procedure has 250 only a minor effect on the results. Comparison of the observed distribution of 2BN/nB ratios 251 with those calculated by the model in the first three days after initialization show reasonably 252 good agreement, although model runs using the highest EFs result in air that is more aged 253 than typically observed (Fig. S6). The sub-sample of each model run was further limited to 254 daylight hours (solar zenith angle  $\leq 45^{\circ}$ ), to match the conditions when the DC-8 sampled 255 air over the Yellow Sea. 256

The selected model points from each of the 100 different simulations for each EF were aggregated, and then compared with  $R_{obs}$  (Fig. 3a), as well as with concentrations of NO<sub>x</sub>, HNO<sub>3</sub>,  $\Sigma$ PANs, and OH (Fig. 3b–e). Model results and observations are presented as probability distributions, with the median highlighted as a circle (modeled) or a diamond (observed).

The model runs with the lowest EFs (1–10) are found to most closely reproduce  $R_{obs}$ . 262 The overall spread in modeled R is greater than that observed over the Yellow Sea, suggesting 263 that the range of input parameters is broader than that encountered in reality. The model 264 runs with higher EFs (50–300) cannot reproduce values of  $R_{\rm obs}$  of 0.06 or less, and at the 265 highest EF values, many of the model runs predict R values of 0.3–1, values almost never 266 observed over the Yellow Sea during KORUS-AQ. For a given EF, the spread in modeled 267  $\mathrm{NO}_{\mathrm{x}}$  to  $\mathrm{HNO}_3$  ratios was mostly explained by variation in parameters that controlled either 268 the physical loss of  $\mathrm{HNO}_3$  or the gross production rate of  $\mathrm{HO}_{\mathrm{x}}$  radicals. This includes the 269

background concentration and deposition velocity of  $HNO_3$ , relative humidity, temperature, and background  $O_3$  concentration.



Figure 3: Probability distribution functions of  $R_{obs}$ ,  $NO_x$ ,  $HNO_3$ ,  $\Sigma PANs$ , and OH from observations over the Yellow Sea and comparison with box model results using different assumed EFs.

Surprisingly, the increase in modeled R with increasing EF is not due to changes in 272 the concentration of  $HNO_3$  but instead is due to changes in  $NO_x$  (Fig. 3b–c). The median 273 concentration of  $HNO_3$  shows almost no change with increasing EF, indicating that the 274 concentration of  $HNO_3$  is controlled in large part by dilution and deposition rather than 275 chemistry. The modeled distribution of  $HNO_3$  is broader and peaks at a lower concentra-276 tion than that observed, perhaps suggesting that the true deposition velocity for gas-phase 277  $HNO_3$  is on the low-end of the range sampled by the model (1–4 cm s<sup>-1</sup>). Wet deposition, 278 not included in the model, also efficiently removes HNO<sub>3</sub> from the atmosphere and could 279 potentially explain some of the observations with very low concentrations of  $HNO_3$ . 280

The modeled concentration of  $NO_x$  is much more sensitive to EF, likely reflecting the dominance of chemical processes to the  $NO_x$  budget. The model most closely reproduces the observed  $NO_x$  distribution at low EFs, but generally underestimates  $NO_x$  and overestimates

PAN (Fig. 3b,d). Higher EFs are also associated with greater concentrations of OH, due 284 to increased HO<sub>x</sub> cycling by NO (Fig. 3e). Production of HONO by nitrate photolysis also 285 leads to production of  $HO_x$  radicals; however, the production of OH directly due to nitrate 286 photolysis was less than 10% of HO<sub>x</sub> production from O<sub>3</sub> and HCHO photolysis for all EFs. 287 Based on the results of Fig. 3, the observations over the Yellow Sea can be most accurately 288 reproduced with low EFs, of 1–30. As the model does not take into account wet deposition 289 or the effects of enhanced aerosol nitrate photolysis on background  $HNO_3$  concentrations, 290 an EF of 30 represents a likely upper limit to the true enhancement factor. 291

#### <sup>292</sup> Comparison of KORUS-AQ to other measurements

To examine whether the results from KORUS-AQ are representative, the analysis of  $R_{\rm obs}/R_{\rm FF}$ was extended to six additional airborne campaigns conducted over the past 15 years on the NASA DC-8. In order to focus the analysis on airmasses where HNO<sub>3</sub> loss is most important, we only include observations of highly aged air, which we define as points with 2BN/nB greater than 0.06. The observations were further limited to the lowest 1.3 km above ground level.

Combined results from all seven campaigns are shown in Fig. 4. The top panel shows the 290 distribution of  $R_{\rm obs}$  found in highly aged air. The bottom panel extends the analysis of Fig. 300 2 and presents the results for the case where EF = 10 and using our best-guess assumptions 301 about deposition and heterogeneous chemistry (Table S1). The same box-model calculations 302 used in Fig. 2 were repeated for the six additional campaigns to estimate the concentration 303 of halogen nitrates and  $RO_2$  radicals.  $R_{FF}$  for SEAC4RS was calculated using a constant 304 OH concentration of 0.18 ppt. The criteria used to select highly aged air in this section, 305 chosen to ensure consistency among campaigns, are less restrictive than the ones applied to 306 KORUS-AQ earlier in the paper and cause the values of  $R_{\rm obs}$  and  $R_{\rm obs}/R_{\rm FF}$  reported in Fig. 307 4 to be higher than those reported in Fig. 2. 308

<sup>309</sup> The results from KORUS-AQ are generally in line with those from other campaigns, al-



Figure 4: Analysis from KORUS-AQ extended to 6 additional campaign deployments. Panel (a) shows the observed far-field ratios of  $NO_x/HNO_3$ ; Panel (b) shows the the ratio of ratios  $R_{obs}/R_{FF}$ , calculated assuming EF = 10 and  $v_{dep, HNO_3} = 2$  cm s<sup>-1</sup>.

though there is significant variation. One possible explanation for the variability in  $R_{\rm obs}/R_{\rm FF}$ 310 is that there is variation in the rate of particulate nitrate photolysis between environments. 311 Previous studies of HNO<sub>3</sub> photolysis on surfaces and in particles collected on filters have 312 found significant variability in the reported photolysis rates, determined by, among other fac-313 tors, the total concentration of particulate nitrate,<sup>16</sup> the presence of mineral dust aerosols,<sup>50</sup> 314 and relative humidity.<sup>50</sup> However, observed values of  $R_{\rm obs}$  in highly aged airmasses for all 315 deployments do not show a significant trend with any of these three parameters (Fig. S7). 316 But without direct measurements of the nitrate photolysis rate or HONO concentration, our 317 power to find short periods of enhanced photolysis is limited. 318

## <sup>319</sup> Implications for HNO<sub>3</sub> and HONO

Based on eigenvector analysis of seven different airborne campaigns and detailed box model-320 ing of plumes over the Yellow Sea, we have shown that observed values of  $NO_x$  and  $HNO_3$  are 321 consistent either with no enhanced aerosol nitrate photolysis, or with a relatively moderate 322 enhancement factor. Using our best guess about the deposition velocity of  $HNO_3$  and the 323 contribution of unmeasured halogens to  $HNO_3$  production, an enhancement factor of up to 324 10 is consistent with  $R_{\rm obs}$  measured over the Yellow Sea. Using more generous assumptions 325 for these parameters, an enhancement factor of up to 30 is consistent. Because these cal-326 culations compare observed  $NO_x$  to  $HNO_3$  ratios with those predicted in infinitely aged air 327 and do not take into account wet deposition, the EFs we calculate likely represent an upper 328 limit to the compatible photolysis rate. 329



Figure 5: Effect of different particulate nitrate photolysis rates on the production of HONO (Panel a) and the loss of  $HNO_3$  (Panel b), shown as a stacked bar graph. Each segment corresponds to the average fraction of total production or loss caused by a single pathway. Chemical rates were calculated using the average of all observations from all seven campaigns in the boundary layer in highly aged air, using the best-guess parameters in Table S1.

 $_{330}$  By turning aerosol nitrate into a source of NO<sub>x</sub>, even relatively moderate EFs could

help resolve discrepancies between modeled and observed ratios of  $NO_x$  to  $HNO_3$  in the remote atmosphere. Figure 5 shows the effect of different assumed EFs on the HONO and HNO<sub>3</sub> budgets. At EF = 10, nitrate photolysis would account for an average of 40% of total HONO production, but only 10% of HNO<sub>3</sub> loss, indicating that these rates of nitrate photolysis would have a much larger effect on HONO than on HNO<sub>3</sub>.

Our result, arguing in favor of at most moderate enhancements in particle-phase nitrate photolysis, is compatible with multiple previous studies examining the chemistry of both HONO and NO<sub>x</sub>. An average EF of 10–30 is less than our upper limit of EF and would be within the range found by Reed et al.<sup>10</sup> (EF = 10) and Kasibhatla et al.<sup>12</sup> (EF = 25-50) to best explain observations of HONO and NO<sub>x</sub> at the Cape Verde Observatory.

However, there are also several studies that measured significantly higher rates of surfaceand aerosol-phase nitric acid photolysis<sup>13,16,21,51</sup> as well as studies that postulated much higher rates of nitrate photolysis to explain observations of HONO.<sup>11,14,15</sup> Reconciling these observations with the present study would require either significant variability in the nitrate photolysis rate or additional sources of HONO. Laboratory studies have shown significant variability in the measured nitrate photolysis rate between samples as well as between populations of nitrate in the same sample.<sup>16,51,52</sup>

Alternatively, our calculation of  $R_{\rm FF}$  could be missing an important oxidant that converts NO<sub>x</sub> into HNO<sub>3</sub>. Halogen concentrations are poorly constrained by current observations, and it is possible that total halogen concentrations could be much higher than typically assumed.<sup>53</sup> Halogen concentrations an order of magnitude larger than we assumed in our calculations could increase our maximum compatible EF from 30 up to 50. It is also possible that unknown oxidants represent a significant missing source of HNO<sub>3</sub> in the atmosphere.

Based on our observations of  $NO_x$  and  $HNO_3$ , we do not find evidence that particlephase  $HNO_3$  photolysis is extremely rapid, suggesting that if regional or global modeling studies include this pathway in their mechanisms, they should use an enhancement factor of 30 or less. On a global scale, nitrate photolysis is significantly slower than wet and dry deposition, making re-noxification pathways at most a minor  $HNO_3$  loss process. While enhancements of particle nitrate photolysis could help explain observations of HONO in the remote troposphere, the effects of nitrate photolysis on ozone and  $NO_x$  are likely to be smaller than proposed in some recent studies.

#### 362 Acknowledgement

The authors thank NASA for support via NNX15AT85G (Berkeley), NNX15AT97G and 363 NNX14AP46G (Caltech), NNX14AP46G (UNH) and NNX16AD96G (to Christoph Knote). 364 Michelle J. Kim was supported by NSF AGS award 1524860. Methanol and acetaldehyde 365 measurements were supported by the Austrian Federal Ministry for Transport, Innovation 366 and Technology (bmvit) through the Austrian Research Promotion Agency (FFG). The 367 authors thank Alan Fried for the formaldehyde measurements, Armin Wisthaler for the 368 methanol and acetaldehyde measurements, Christoph Knote for the FLEXPART model re-369 sults, Glenn Diskin for the CH<sub>4</sub> and CO measurements, and Andrew Weinheimer and Denise 370 Montzka for NO measurements. We thank Tamara Sparks and Alex Teng for assistance in 371 the field, the ground and flight crew of the DC-8, and the KORUS-AQ science team. 372

#### <sup>373</sup> Supporting Information Available

<sup>374</sup> The following files are available free of charge.

• Nitrate\_Photolysis\_Supporting\_Information.pdf: Figures showing flight tracks, inorganic particle composition, FLEXPART back trajectories, evolution of  $R_{\rm FF}$  with airmass age, modeled airmass age, and trends in  $R_{\rm obs}$ ; Tables listing all the parameters used in calculations of  $R_{\rm FF}$  and in plume model; Equations with explicit formulas for effective rate constants used in the eigenvector analysis.

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# 600 Graphical TOC Entry



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