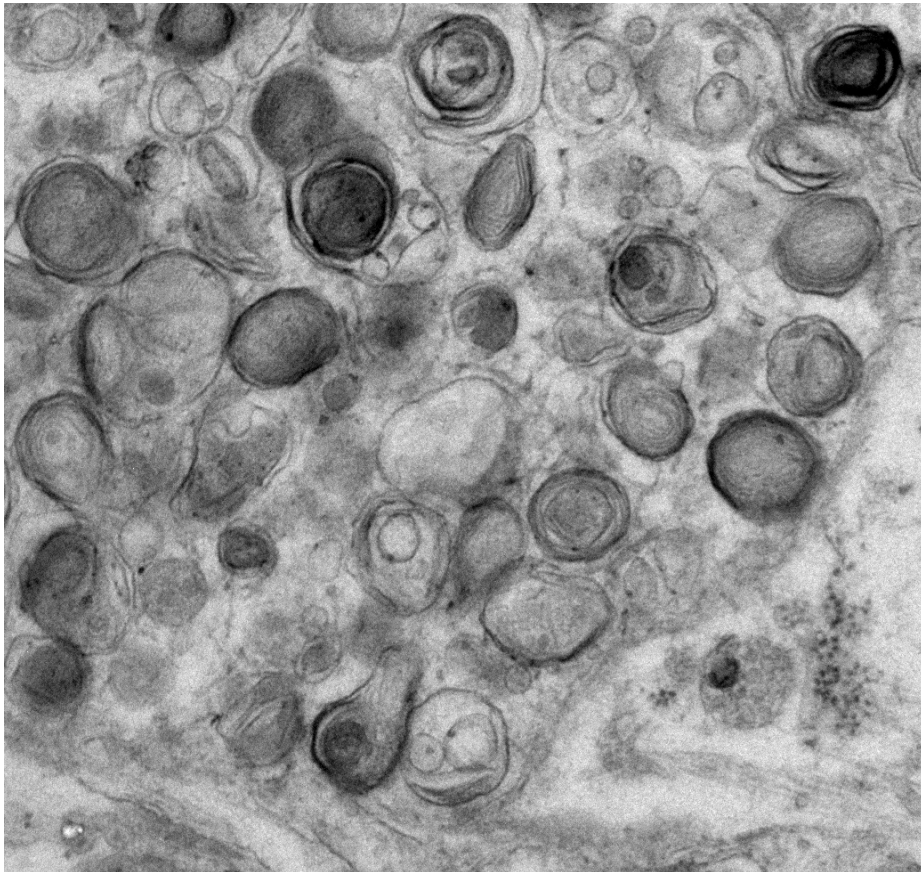


Interplay between autophagy and amyloid beta metabolism in Alzheimer's disease



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INTERPLAY BETWEEN AUTOPHAGY AND AMYLOID BETA METABOLISM IN ALZHEIMER'S DISEASE

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Interplay between autophagy and amyloid beta
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To my family and all the people that helped me

ABSTRACT

Alzheimer's disease (AD), the most common neurodegenerative disease, is characterized by two pathological hallmarks: extracellular amyloid-beta peptide (A β) plaque depositions and neurofibrillary tangles (NFTs) composed of intracellular hyperphosphorylated tau aggregations. A cellular degradation system, autophagy is additionally dysfunctional in AD and plays a key role in A β and tau metabolisms.

Paper I and II. To investigate the molecular mechanisms of autophagy alterations induced by A β amyloidosis, we characterized the autophagy status in amyloid precursor protein (*App*) knock-in mouse models exhibiting robust A β pathology. Interestingly, impaired autophagy was a general phenomenon in the brains which was specifically pronounced in the surrounding regions of A β plaques, especially in neurites and pre-synapses in *App* knock-in mice. The region-specific autophagy impairment was substantiated by electron microscopy imaging showing autophagic vacuole accumulation in dystrophic neurites around A β plaques. Time course bulk RNA sequencing from hippocampi of *App* knock-in mouse brains further revealed alterations of autophagy-associated gene expression.

Paper III. Cerebrospinal fluid (CSF) is an important body fluid source to study brain-derived biomarkers for AD diagnosis. Comparing the CSF proteomes from *App* knock-in mice and AD human subjects revealed an extracellular matrix protein, decorin, as significantly increased in preclinical AD subjects having abnormal CSF-A β 42 but normal CSF-total-tau (a+t-) levels and in *App*^{NL-F} mice exhibiting mild A β pathology. In a+t- preclinical AD subjects, CSF-decorin levels positively correlated with CSF-A β 42 levels and negatively correlated with CSF phosphorylated and total tau levels. Increase of CSF-decorin could predict an AD subtype having innate immune activation and potential choroid plexus dysfunction in the brain with high sensitivity and specificity. Consistently, increased CSF-decorin in *App*^{NL-F} mice correlated with the decorin levels in choroid plexus and A β plaque load.

Paper IV. To directly investigate the role of autophagy in A β metabolism, we generated autophagy-deficient AD mouse models by crossing *App* knock-in mice with autophagy-related gene 7 (*Atg7*) conditional knockout mice. Loss of autophagy in excitatory neurons lowered A β plaque load but raised intracellular A β levels. Severe A β pathology together with lack of autophagy led to an autistic-like behavior, decreased anxiety and memory deficits which potentially was related to activated programmed cell death, synaptic impairment, and degraded gamma oscillation power. However, a mild A β amyloidosis in autophagy-deficient

App^{NL-F} mice ameliorated the autistic-like behavior driven by loss of autophagy. Notably, proteomic analysis of CA1 pyramidal cell layer in hippocampus unveiled that loss of autophagy upregulated cell transport but downregulated protein translation which can be alleviated by a mild A β amyloidosis.

Paper V. Limitations of *App* knock-in mice include a less pronounced tau pathology and lack of neurodegeneration. Generation of an *App* knock-in rat model, *App*^{NL-G-F}, circumvented above mentioned shortages by inducing phosphorylated tau aggregations and neuronal loss. *App*^{NL-G-F} rats additionally exhibited enhanced gliosis, impaired spatial learning, and memory deficits including episodic-like memory.

LIST OF SCIENTIFIC PAPERS

- I. **Richeng Jiang**, Makoto Shimozawa, Johanna Mayer, Simone Tambaro, Rakesh Kumar, Axel Abelein, Bengt Winblad, Nenad Bogdanovic, Per Nilsson
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LIST OF ABBREVIATIONS

AD	Alzheimer's disease
AICD	APP intracellular domain
AMPK	Adenosine monophosphate-activated protein kinase
APP	Amyloid precursor protein
ATG	Autophagy-related
AVs	Autophagic vacuoles
A β	Amyloid- β peptides
BACE1	β -site APP-cleaving enzyme 1
BBB	Blood-brain barrier
BCSFB	Blood-cerebrospinal fluid barrier
CA	Cornu ammonis
CAA	Cerebral amyloid angiopathy
CaMKII	Calcium/calmodulin-dependent kinase II
cDNA	Complementary deoxyribonucleic acid
ChP	Choroid plexus
CSF	Cerebrospinal fluid
CSF-p-tau	CSF-phosphorylated-tau
CSF-t-tau	CSF-total-tau
CTF	C-terminal fragment
ECM	Extracellular matrix
EEA1	Early endosome antigen 1
ELISA	Enzyme-linked immunosorbent assay
EM	Electron microscopy
EMIF-AD MBD	European Medical Information Framework for Alzheimer's Disease Multimodal Biomarker Discovery
EPM	Elevated plus maze
ER	Endoplasmic reticulum
EVs	Extracellular vesicles
FAD	Familial AD
FIP200	Focal adhesion kinase family interacting protein of 200 kD

LAMP	Lysosomal-associated membrane protein
LC3	Microtubule-associated protein 1 light chain 3
LMD	Laser microdissection
MB	Marble burying
MCI	Mild cognitive impairment
mRNA	Messenger ribonucleic acid
MS	Mass spectrometry
mTOR	Mammalian target of rapamycin
MVBs	Multivesicular bodies
MWM	Morris water maze
NC	Normal cognition
NFTs	Neurofibrillary tangles
NTA	Nanoparticle tracking analysis
OF	Open field
OI	Object interaction
PBS	Phosphate-buffered saline
PE	Phosphatidylethanolamine
PEA	Proximity extension assay
Peg3	Paternally expressed gene 3
PI3K	Phosphoinositide 3-kinase
PI3P	Phosphatidylinositol 3-phosphate
PS	Presenilin
RT-PCR	Real-time polymerase chain reaction
TFEB	Transcription factor EB
Tg	Transgenic
ULK	Unc51-like kinase
VEGFR2	Vascular endothelial growth factor receptor 2
WT	Wildtype

1 INTRODUCTION

In 2021, World Alzheimer Report has reported that over 55 million people are suffering from dementia worldwide and this number is increasing and is estimated to be around 78 million by 2030 (1). One of the major challenges for dementia is diagnosis. Alzheimer's Disease International estimates that up to 75% of people having dementia were not yet diagnosed (1). Alzheimer's disease (AD) is the most common cause of dementia but only one Federal Drug Administration approved disease-modifying treatment, aducanumab, has emerged until now. Prior to aducanumab, only symptomatic treatment was available for mild to moderate AD patients to control the cognitive and behavioral symptoms. Hence, finding new treatment targets and early diagnosis are urgently needed.

Fortunately, a growing number of biomarker studies using different techniques has led to the development of new imaging and fluid biomarkers which increases the possibilities of early diagnosis for AD and further helps the early therapeutic interventions. Nowadays, lumbar puncture is adopted as a safe procedure to sample cerebrospinal fluid (CSF) for laboratory tests for AD. Since CSF is directly produced in the brain and carries the proteins that are released from the brain, it is believed to be one of the most reflective resources for brain disease diagnosis. Although three core CSF biomarkers, 42-aminoacid form of amyloid- β peptides ($A\beta_{42}$), phosphorylated tau and total tau, for AD diagnosis have been established (2), more CSF biomarkers for early diagnosis and for subtyping AD need to be further investigated which could later contribute to an individual therapy.

Autophagy, as a cellular degradation system, plays an important role in $A\beta$ and tau metabolisms in AD progression. However, how autophagy regulates $A\beta$ production, secretion, and extracellular $A\beta$ plaque formation is not fully understood, and it is difficult to elucidate the detailed mechanisms using human postmortem brain tissues or CSF samples. To solve this question, choosing proper animal models is critical. Therefore, in our study, we use amyloid precursor protein (*App*) knock-in AD mouse models (3) that closely mimicking the human $A\beta$ pathology to evaluate the autophagy status in these mouse models. As very few CSF proteomic studies on AD mouse models have been reported (4), our CSF proteomic analysis of *App* knock-in mice is important for further AD biomarker explorations for $A\beta$ amyloidosis-induced changes. Especially, comparing the CSF proteomics from mouse and human may reveal potential CSF biomarkers which we can further study in the AD mouse model brains to unveil their function in healthy and AD brains. To elucidate the effect of autophagy-deficiency on $A\beta$ metabolism, we crossed the autophagy-deficient mouse models

with *App* knock-in mouse models and analyzed the A β pathological changes as well as its potential mechanisms in both autophagy-deficient and autophagy-competent *App* knock-in mice. Our work could provide clues for understanding the interplay between autophagy and A β metabolism in AD.

2 LITERATURE REVIEW

2.1 ALZHEIMER'S DISEASE

2.1.1 Definition and epidemiology

Over 55 million people in the world are living with dementia (1) and the annual expenditure for dementia in 2019 was approximately 1 trillion US dollars which are about to be doubled by 2030 (5). AD, as the most common cause of dementia, is yet not curable even since the first case was reported by Alois Alzheimer in 1907. The first Federal Drug Administration approved disease-modifying treatment, Aduhelm (aducanumab) emerged in 2021 which is an A β -specific antibody aiming at reducing A β plaques (6-8). Although the clinical benefits for the future need to be proved, it gives a positive sign for the AD therapeutic development.

AD is a chronic neurodegenerative disease, and the brain changes are thought to start 20 years or more before the onset of symptoms (9). The life expectancy after diagnosis varies widely from as short as three years up to 20 years or more with an average of 10 years. AD is divided into four stages, i) pre-dementia, ii) early, iii) moderate, and iv) advanced according to the progressive cognitive and functional decline. The symptoms mainly start with short-term memory loss in the pre-dementia stage. In early stage of AD, the learning and memory impairment is increased which might be accompanied by language problems. The memory loss deteriorates in moderate stage and the long-term memory becomes impaired. Finally, when the disease reaches advanced stage, the patients not only suffer from poor memory but also have speech loss and they must depend on caregivers.

2.1.2 Neuropathology of AD brain

AD brains have two cardinal pathological hallmarks, amyloid plaques and neurofibrillary tangles (NFTs). Amyloid plaques are composed of extracellular A β deposition and NFTs are formed by intercellular aggregation of hyperphosphorylated tau. However, the correlation between A β and tau is still unclear. One hypothesis is that tau pathology is driven by A β pathology because A β levels start to increase before onset of tau pathology, whereas another hypothesis advocates that tau develops independently of A β which is supported by the fact that memory impairment correlates better with NFTs than A β plaques. A β not only aggregates into the plaques, but also deposits in vessel walls causing cerebral amyloid angiopathy (CAA) (10). These A β depositions in the vasculature affect the composition of blood-brain barrier (BBB) and blood-cerebrospinal fluid barrier (BCSFB) and lead to the impairment of peripheral A β clearance which may further worsen the AD progression and

induces a vicious cycle (11). In addition to A β and tau, other pathologies are present in parallel like neuroinflammation and synaptic loss (12, 13). Moreover, numerous studies reported that the autophagy-lysosomal system is altered in AD (14, 15). These pathologies separately or together induce the severe neurodegeneration of cortex and hippocampus in AD.

2.1.3 Cerebrospinal fluid and biomarkers

Since AD is a chronic neurodegenerative disease with limited disease-modifying treatments currently available, finding and validating biomarkers is necessary for both early and correct diagnosis and for disease-modifying drug development. Except from brain surgery, it is extremely difficult to get a brain biopsy from living individuals for diagnosis. Instead, other techniques to investigate the brain status have been developed. For example, neuroimaging techniques and body fluid biomarkers were developed to fill the knowledge gap of diagnosis. Emergence of A β and tau positron emission tomography imaging as well as the development of pathology-specific radiotracers have an important contribution to the clinical AD diagnosis (16). Recently, Karikari et al. established a promising blood biomarker p-tau181 which could predict the tau and A β pathologies and differentiate AD from other neurodegenerative disorders (17).

Currently, two body fluids, plasma and CSF are the main sources of biomarker investigations for AD, though until present more established for CSF (18). CSF is produced from arterial blood by the choroid plexus (ChP) in the ventricles of the brain. It has a close physical interaction with the brain meaning that the proteins present in CSF may directly reflect the brain pathology (18). Plasma in the blood penetrates from fenestrated capillaries into the interstitial space that is filled with interstitial fluid. ChP-derived CSF is produced by the interstitial fluid passing through the epithelial cells in ChP and the tight junctions between the epithelial cells control the substances reaching the CSF. However, this classical hypothesis was challenged by Orešković et al. proposing a new hypothesis that there is no net CSF formation, but CSF is a permanent fluid with substances exchanging between the CSF system and surrounding tissues (19).

Three core CSF biomarkers, total tau, phosphorylated tau and A β 42, correlate well with AD pathology and can therefore differentiate AD and healthy controls (2, 20). A β 42 is decreased in the CSF of AD while total tau and phosphorylated tau are increased (2, 20). The combination of these core CSF biomarkers has a high predictive value for AD diagnosis with 86% sensitivity and 97% specificity (21). However, to understand the biological complexity

of AD and its correct disease stratification, further investigations on CSF biomarkers are needed both from clinical samples and AD mouse models (22).

2.1.4 APP processing and A β

APP is a type I transmembrane protein expressed in many tissues. APP has several different isoforms produced by alternative splicing of its messenger ribonucleic acid (mRNA) and the 695 amino acid isoform is highly expressed in neurons (23). As a part of APP, A β is partially located within the ectodomain and the transmembrane domain. In AD, A β plaques are mainly composed of misfolded A β 40, A β 42 and A β 43 (24). There are two main different pathways of APP processing, amyloidogenic and non-amyloidogenic, which control the production of APP fragments and the pathways are determined by the predominant secretase enzyme activity involved in the cleavage. A β is produced in the amyloidogenic pathway controlled by the β - and γ -secretases which sequentially cleave at the N- and C-terminus of the A β domain. The major β -secretase, β -site APP-cleaving enzyme 1 (BACE1), releases sAPP β from transmembrane domain C-terminal fragment (CTF)- β (C99) which is further cleaved by γ -secretase to produce A β and APP intracellular domain (AICD). Familial AD (FAD)-linked mutations in presenilin (PS)1 and PS2, the catalytic components of γ -secretase, increase the A β generation through amyloidogenic processing and leads to autosomal dominant AD (25). On the other hand, in the non-amyloidogenic pathway, APP is cleaved by α -secretase within the A β domain at amino acid 17 which precludes the A β production by forming other APP fragments, sAPP α and CTF- α (C83) (**Figure 1A**).

Healthy individuals also generate A β , the physiological function of which is not well understood. However, A β may aggregate and initiate disease progression which is influenced by genetic variants and environmental exposure. Initially, researchers in the field believed that extracellular A β deposits are associated with neurotoxic properties which cause the neuronal cell death and reduction of A β aggregation is accompanied by the corresponding decrease of toxicity (26). On the other hand, A β is generated inside the cells where it may also be toxic. A growing number of studies have shown that intermediate molecular forms of A β , e.g., oligomers and protofibrils, are more toxic to the neurons (27) and soluble forms of A β better correlate with synaptic dysfunction and severity of neurodegeneration (28, 29).

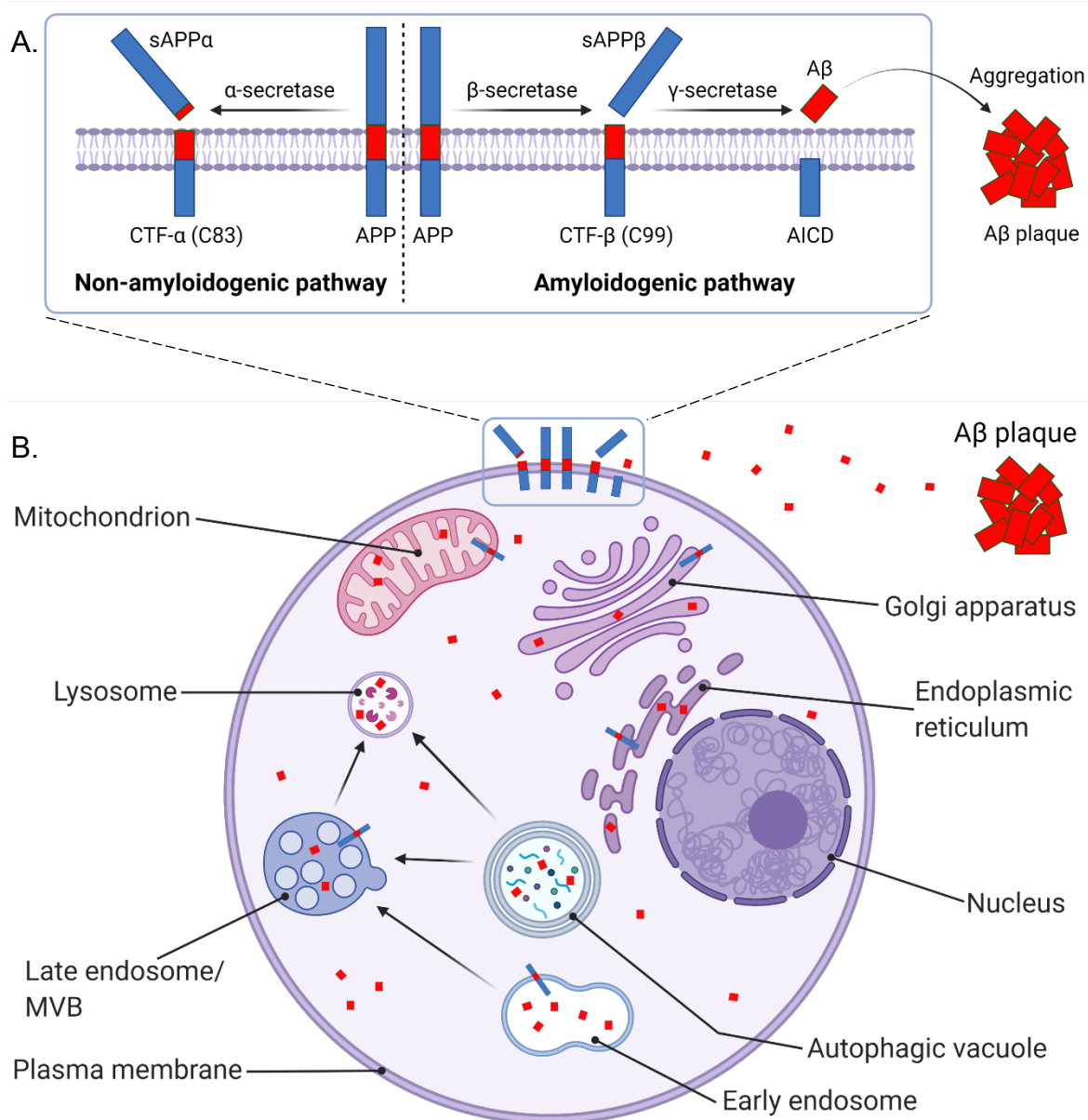


Figure 1. (A) Amyloidogenic and non-amyloidogenic pathways in APP processing. (B) Sites for APP location and intracellular A β generation. (Created with BioRender.com)

2.1.5 Intracellular A β

Intracellular A β accumulation is preceding extracellular A β aggregation in AD (30). This hypothesis is supported by a large number of transgenic mouse model studies which describe that intracellular A β accumulation appears as an early event of A β pathogenesis (31-36). Even though there is a debate on the exact mechanism of how intracellular A β is released to the extracellular space, it is clear that intracellular A β accumulation exists in the human brains (37-42). However, a key question that remains to be addressed is where the intracellular A β is generated and how it is metabolized in the cell.

Since 1993, when Wertkin et al. (43) first reported that A β is generated intracellularly, researchers started to work on the identification of the site of intracellular A β generation. To understand where A β is generated, it is important to know where APP, β - and γ - secretases are located and moreover where β - and γ - secretases are active and can cleave APP. APP has been reported to be located not only on the plasma membrane (44), but also in endoplasmic reticulum (ER) (45, 46), Golgi network (47), early/late endosomes (44, 48), mitochondrial membranes (49, 50) and autophagic vacuoles (AVs) (51-53). Therefore, it is likely that A β is generated in the above-mentioned cell compartments or in a pathway where these compartments are involved. However, it seems that A β is predominantly generated at the plasma membrane or in compartments of the secretory pathway that mediates A β release to extracellular interstitial fluid (30) (**Figure 1B**).

2.1.6 Tau and phosphorylated tau

As one of the key hallmarks in AD, NFTs are formed by hyperphosphorylated tau protein aggregation and fibrilization in neurons (54-57). Tau, a microtubule-associated protein, plays a pivotal role in microtubule assembly by interacting with tubulin which is disturbed by the abnormal phosphorylation of tau in AD (58). A postmortem brain tissue study has revealed that the levels of phosphorylated tau in AD brains are 3-4 times higher than in healthy control brains (59). Approximately 45 phosphorylation residues on insoluble tau were identified experimentally in AD brains (60).

Tau is expressed as six different isoforms in the human adult brain due to alternative splicing of exons 2, 3 and 10. Zero, one or two inserts of 29 amino acids at the N-terminus of exons 2 and 3 form 0N, 1N and 2N isoforms of tau while three or four repeats of C-terminal domain in exon 10 constitute 3R or 4R of tau isoforms. Different species express different levels of 3R and 4R tau isoforms and these two types of isoforms are developmentally regulated. For instance, there are six tau isoforms in the brain of adult humans and adult rats although the ratios of 4R/3R tau protein levels are different (nearly equal in human, 9:1 in rats). However, almost exclusively 4R tau isoforms are expressed in adult mouse brains (61-63). Moreover, 3R tau isoforms are predominant in fetal or neonatal brains of those three species and the 4R tau isoforms are dominant in adult brains, especially in rodents (62).

2.2 AUTOPHAGY

2.2.1 Definition and history

The word “autophagy” (auto-self; phagy-eating) was invented by Christian de Duve who discovered the lysosome in 1956. He and his colleagues described autophagy as an intracellular clearing system that digests material of endogenous origin by lysosomes together with related vacuolar structures (64). In the 1990s, several researchers from all over the world separately discovered autophagy-related genes and pathways using gene knockout studies in yeast system (65-69). However, they soon realized that the pathways they described independently are essentially the same resulting in a variety of gene names given by different researchers (68-75). Eventually, a unified nomenclature for autophagy genes and proteins were given as ATG and Atg respectively, as short of “autophagy-related” (76).

Depending on the cargo delivery route, three different general forms of autophagy are described; macroautophagy, microautophagy, and chaperone-mediated autophagy. Macroautophagy, commonly referred to as autophagy, is the main subtype of autophagy that degrades damaged cell organelles and proteins including protein aggregates. In this pathway, substrates are enwrapped by isolation membranes (or phagophores) that further form double membraned autophagosomes after elongation and closure (77). Subsequently, autophagosomes fuse either with lysosomes (autolysosomes) or selectively fuse with endosomes (amphisomes) followed by lysosomal fusion to digest the autophagosomal contents by the acidic lysosomal hydrolases. In eukaryotic cells, it is recommended to use the general term “autophagic vacuole” to represent autophagosome, amphisome, and autolysosome since it is difficult to differentiate these three structures in some cases (**Figure 2**). Macroautophagy can be selective and non-selective. Depending on the autophagy target, selective macroautophagy can be divided into mitophagy, aggrephagy, ribophagy, ER-phagy and others (78). For example, mitophagy is the selective autophagic degradation of mitochondria.

Microautophagy is a process in which lysosomes directly engulf the cytoplasmic materials by invaginations. Chaperone-mediated autophagy is a specific autophagy pathway in which substrates are selectively recognized by a chaperone complex which translocates them to lysosomes for degradation (79, 80).

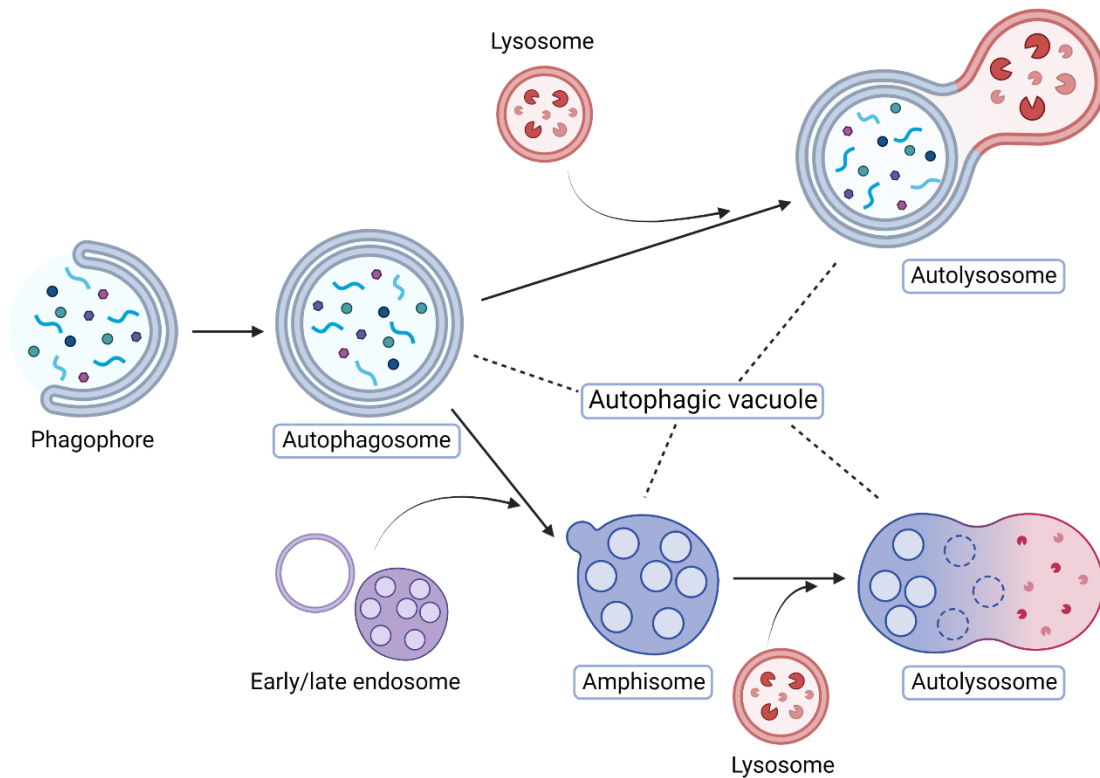


Figure 2. *Macroautophagy process. Substrates are sequestered by phagophores. After phagophore elongation, it forms a closed double membraned structure - autophagosomes. Autophagosomes either fuse with lysosomes to form autolysosomes or selectively fuse with early/late endosomes to form amphisomes followed by lysosomal fusion. The contents of autolysosomes are degraded by the acidic lysosomal hydrolases. Autophagic vacuole represents autophagosomal, autolysosomal and amphisomal stages in macroautophagy. (Created with BioRender.com)*

2.2.2 Molecular pathways and key molecules

Mechanistically, macroautophagy (hereafter referred to as autophagy) can be categorized into four stages including: initiation, phagophore elongation, autophagosome formation, and degradation (81). Autophagy induction can be initiated by the mammalian target of rapamycin (mTOR) inhibition or adenosine monophosphate-activated protein kinase (AMPK) activation which results in different phosphorylation states of Atg1/Unc51-like kinase (ULK) 1 complex including ULK1, Atg13, Atg101, and focal adhesion kinase family interacting protein of 200 kD (FIP200) (82, 83). The coordination of different phosphorylation sites of ULK1 plays an important role in autophagy induction since some of the phosphorylation sites activate while others inhibit ULK1 activity (84, 85). Thereafter,

ULK1 activates class III phosphoinositide 3-kinase (PI3K) complex composed of Beclin-1, Vps34, Vps15, and Atg14, enabling this complex to relocate from the cytoskeleton to the phagophore for the nucleation process. Activated Vps34 stimulates the generation of phosphatidylinositol 3-phosphate (PI3P) which is involved in phagophore elongation. Meanwhile, the only transmembrane autophagy protein Atg9 transports lipid components to the phagophore which is essential for phagophore elongation (86-89). In addition, during phagophore elongation, Atg5 and Atg12 are conjugated catalyzed by Atg7. This Atg5-12 conjugations interact with Atg16L to form Atg5-Atg12-Atg16L complexes that are indispensable in autophagosome formation in the presence of Atg7 and Atg10 (90). As a ubiquitin-like molecule, microtubule-associated protein 1 light chain 3 (LC3)/Atg8 is involved in the closure of the phagophore by interacting with phosphatidylethanolamine (PE) (91). LC3-I, which is generated from precursor LC3 through cleavage by the protease Atg4B, is conjugated to PE to form LC3-II (92, 93). Moreover, this LC3 lipidation process requires Atg7 (94) (**Figure 3**). Importantly, LC3-II is a specific autophagosome-associated marker (95) which enables monitoring of autophagic flux by analyzing LC3-II levels (96). However, only measuring the steady-state levels of LC3-II is not sufficient to monitor autophagic flux, because an increase of endogenous LC3-II levels can be caused by accelerated lipidation of LC3-I to LC3-II, associated with autophagy induction, or lysosomal inhibition leading to decreased degradation of LC3-II (97). Therefore, concomitant analysis of cargo receptor p62 is required. After autophagosomes are formed by the closure of phagophore edges, the completed autophagosomes fuse with lysosomes to form autolysosomes that degrade the autophagic contents.

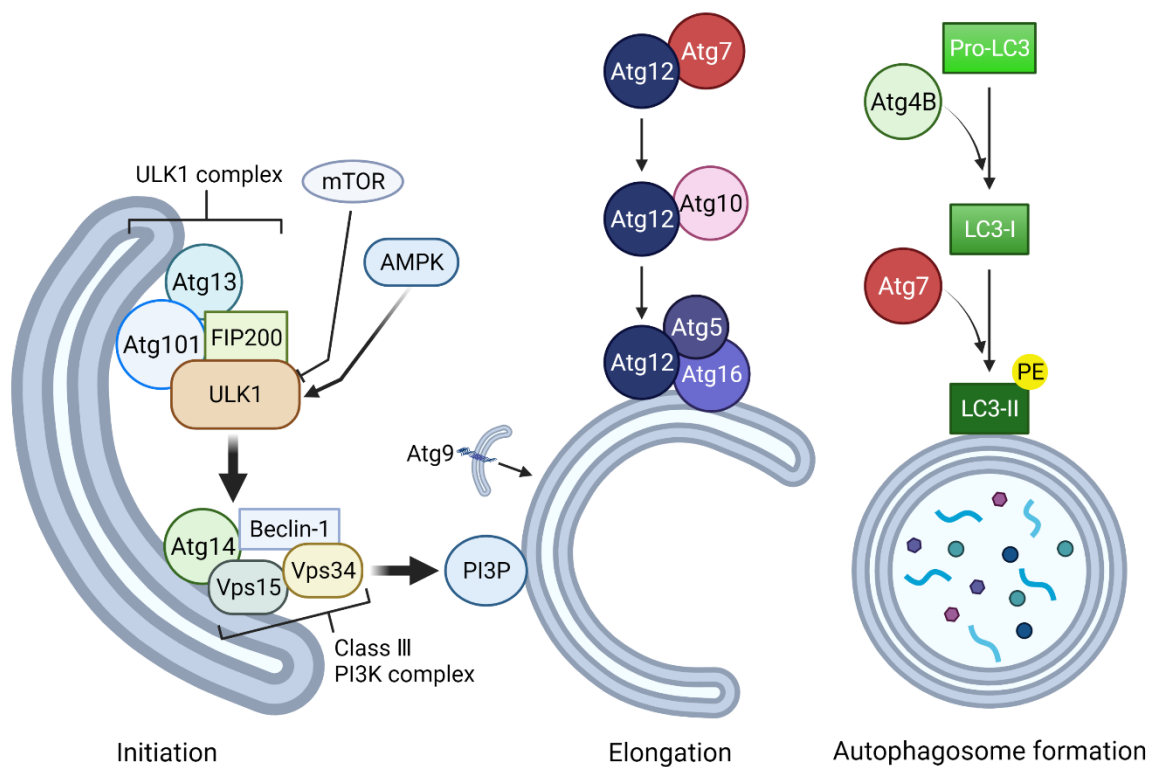


Figure 3. Molecular pathways and key molecules involved in autophagy initiation, elongation, and autophagosome formation. Autophagy is initiated by the mTOR inhibition or AMPK activation which induces the activity of ULK1 complex consisting of ULK1, Atg13, FIP200 and Atg101. Thereafter, ULK1 activates class III PI3K complex composed of Atg14, Beclin-1, Vps15, and Vps34 and enables this complex to the phagophores for the elongation process. Activated Vps34 stimulates PI3P generation which is involved in phagophore elongation. Atg9, the only transmembrane autophagy protein, transports lipids components to the phagophore. In addition, Atg12 is conjugated to Atg5 by the presence Atg7 and Atg10, and further interacts with Atg16L to form Atg5-Atg12-Atg16L complexes. In autophagosome formation, LC3-I that is produced from precursor LC3 (pro-LC3) by the cleavage of Atg4B conjugates with PE to form LC3-II in the presence of Atg7. (Created with BioRender.com)

Although autophagy was originally considered as a non-selective process, a large number of studies now support that selective autophagy specifically targets substrates (98, 99). Sequestosome 1/p62, being one of the selective autophagy cargo receptors, tethers ubiquitinated protein aggregates to autophagosomes by interacting with LC3 to facilitate the degradation of the aggregates, along with p62 that is continuously degraded by lysosomes

(100). Therefore, p62 is also used as a marker protein for evaluation of autophagic flux in the selective autophagy pathway.

2.2.3 Autophagy in AD

Although the classical pathological hallmarks of AD are extracellular A β plaques and intracellular tau accumulation, the underlying biological mechanisms that cause those proteins to aggregate are still unclear. Nixon et al. provided the first evidence that AVs are accumulated in dystrophic neurites both in AD human brains and APP transgenic (Tg) AD mouse models (shown by electron microscopy (EM)) (14, 53). This together with the finding of endosomal impairment and increased lysosomal proteases shows that the autophagy-lysosomal system is extensively involved in the AD pathological process (101, 102). Therefore, autophagy dysregulation may contribute to A β and tau pathologies in AD (103). However, it remains elusive whether autophagy is upregulated or downregulated, and if it differs depending on different stages of AD progression. Even though the findings of autophagic dysfunction are different depending on the disease stages, the accumulation of autophagosomes in dystrophic neurites indicates a lysosomal proteolysis disruption (104, 105) or blockage of autophagosome maturation before fusion to the lysosome (14, 53, 102). However, the increase in autophagosome number could also be due to, at least to some extent, an induction of autophagosome formation which is supported by the increase in gene expression of many autophagy genes in early-stage AD (106-108). Overall, autophagic activity could be upregulated in the early stage of AD, and it seems to collapse at the later stage.

Since increasing evidence have implicated the dysfunction of autophagy-lysosomal system in AD (109), the proteins involved in this pathway could potentially be used as biomarkers for diagnosis. Currently, few studies were published about autophagic body fluid biomarkers in AD. In 2014, one study (110) reported increased levels of six lysosomal network proteins (early endosome antigen 1 (EEA1), lysosomal-associated membrane protein (LAMP)-1, LAMP-2, LC3, Rab3, and Rab7) in CSF of AD indicating the possibility to use them as CSF biomarkers for AD. Most recently, a blood biomarker study (111) reported that plasma ATG5 is increased in AD compared to the control group. Hence, finding biomarkers related to autophagy could help to evaluate autophagy alterations in AD.

Autophagy, as a degradation system of the cell, may be a crucial degradation mechanism to clear misfolded proteins in AD. Since only one disease-modifying treatment, aducanumab, has been approved by Federal Drug Administration after hundreds of times failure of drug

development and growing number of studies have shown that multi-target or treatment combination can be a trend for the future, autophagy is a potential new target for AD therapy. Multiple studies have provided evidence that enhancing the efficacy of autophagy by targeting different autophagic mechanisms could be a promising therapeutic strategy. Inhibition of mTOR by rapamycin can ameliorate A β and tau pathologies and cognitive deficits by increasing autophagy in transgenic mouse models of AD (103, 112). Pharmacological agents that induce autophagy in an mTOR independent manner have also been studied by many labs. For example, the mood stabilizer lithium reduced soluble tau levels and NFTs and attenuated phosphorylated-tau-induced motor disturbance in AD mouse models (113). However, given the biological complexity of AD, a combinational treatment instead of a single treatment may be the future of therapeutic strategies.

2.2.4 A β metabolism by autophagy

Autophagy plays a key role in A β metabolism by degrading A β and therefore potentially contributes to AD pathology (103, 114, 115). As previously described, A β is present in different cell organelles (ER, Golgi apparatus, endosomes), and some studies provided evidence that intracellular A β can also be found and potentially produced in AVs in AD (14, 51-53). In addition to degrading intracellular A β , autophagy also mediates A β secretion and therefore influences the extracellular A β plaque formation (116). When autophagy is deleted in excitatory neurons of transgenic AD mouse models, A β plaque load is drastically reduced by decreased A β secretion (116). However, the possibility of decreased A β production by deleting autophagy cannot be excluded since autophagosomes may generate A β .

The possible mechanism for the decreased A β secretion could be that autophagy mediates the A β trafficking from Golgi to multivesicular bodies (MVBs) since autophagy deficiency induces A β accumulation in Golgi whereas it reduces the A β levels in MVBs (117). MVBs are specialized endosomal compartments consisting of intraluminal vesicles that are generated by inward budding of the outer endosomal membrane. MVBs, as late endosomes matured from early endosomes, can fuse with lysosomes to degrade the contents of MVBs or fuse with the plasma membrane to release those intraluminal vesicles as exosomes (118, 119).

Extracellular vesicles (EVs) are lipid bilayer delimited vesicles, and they can be broadly divided into three subtypes, exosomes, microvesicles and apoptotic bodies according to their size and biogenesis (120). Exosomes are small EVs with approximately 30 to 150 nm in diameter. These small EVs, derived from MVBs, could carry and transfer proteins, lipids, and

mRNA between cells indicating their important roles in cell-to-cell communications (121, 122). Recently, studies have implied that there is an interplay between exosomes and autophagy by showing the shared molecular machinery and regulatory mechanisms (123-125). In addition, exosomes isolated from AD brains contain increased levels of A β oligomers and could transfer A β from neuron to neuron in culture (126). Hence, exosomes can be an important vehicle to carry A β and autophagy alterations in AD may affect A β secretion via exosome release which may further influence the extracellular A β depositions and intracellular A β cell-to-cell transmissions.

2.3 ANIMAL MODELS

2.3.1 *App* knock-in AD mouse models

Due to the pathophysiological complexity of AD and that extremely limited disease-modifying treatments exist so far, developing and using animal models of AD to deeply understand disease mechanisms, as well as to test newly developed drugs, are needed. Among different kinds of animals, mouse models are one of the most highly developed animal models because of the well-developed genetic manipulation tools for mice, though it is evidently a challenge translating treatments from mouse models to human. To recapitulate the A β pathology of AD, APP overexpression paradigms have been previously used. However, the unphysiological levels of APP may cause additional phenotypes unrelated to AD (127). To circumvent this problem a new type of *App* knock-in mice has been generated, which produce pathogenic A β without overexpressing APP. Based on mutations of the *APP* gene found in FAD, Saito et al. (3) generated two different *App* knock-in mouse models. One is *App*^{NL-F} mice which harbor the Swedish (KM670/671NL) and Beyreuther/Iberian (I716F) mutations, whereas the other, *App*^{NL-G-F} mice, has an additional Arctic (E693G) mutation. In addition, because of A β sequence differences between mouse and human, the mouse A β sequence was humanized through changing three amino acids (G676R, F681Y, and R684H) (3) (Figure 4).

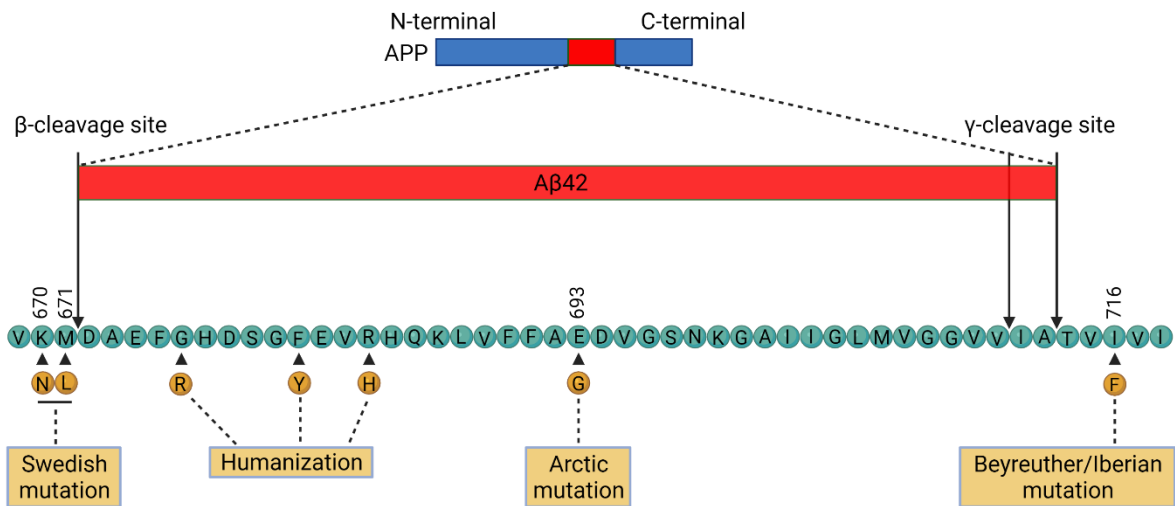


Figure 4. Gene manipulation design for generating *App* knock-in mouse and rat models. (Created with BioRender.com)

The pathophysiological difference between the two models is that the Arctic mutation in *App*^{NL-G-F} mice makes arctic A β more oligomerization/fibrillization-prone which induces an earlier and stronger A β pathology (128, 129). The A β deposition in *App*^{NL-F} mice starts around nine months of age and is mainly located in cortex and hippocampus, whereas, in *App*^{NL-G-F} mice, the A β deposition starts to form already from two months of age and the plaques are detected not only in cortex and hippocampus but also in subcortical regions (3). This more aggressive A β pathology induces greater neuroinflammation, including microgliosis and astrocytosis, and synaptic loss which further leads to memory impairment much faster in *App*^{NL-G-F} mice as compared to *App*^{NL-F} mice (3). However, one limitation of *App* knock-in mouse models as well as APP Tg mice is the absence of NFTs and neurodegeneration which prompt further manipulation and is expected to be overcome by new mouse models in the future.

2.3.2 Autophagy-deficient mouse models

In yeast, more than 40 *ATG* genes were identified so far whereof 14 *Atg* genes have been used for knocking out autophagy in mice (130, 131). However, many of the systemic *Atg* knockout mice are lethal in neonatal or embryonic stage indicating the pivotal physiological role of autophagy in the mice (131). Therefore, instead of conventional knockout of *Atg* genes, researchers started to use tissue and/or time specific conditional knockout of these genes which delayed the early death in the mice. In addition, conditional knockout of *Atg* genes could help understand the role of autophagy in specific tissue or cell types as well as in different stages of the life span including embryonic stage.

In the current study, we used two different *Atg7* conditional knockout mouse models, *Atg7^{lox/lox}*; Calcium/calmodulin-dependent kinase II (CaMKII)-*Cre* and *Atg7^{lox/lox}*; Nestin-*Cre* mice. *Atg7^{lox/lox}*; CaMKII-*Cre* mice were generated by crossing *Atg7^{lox/lox}* mice (132) with CaMKII-*Cre* Tg mice (133) to specifically knockout *Atg7* in excitatory forebrain neurons from postnatal stage. *Atg7^{lox/lox}*; Nestin-*Cre* mice were generated by crossing *Atg7^{lox/lox}* mice with Nestin-*Cre* Tg mice to exhibit the deficiency of *Atg7* in the central nervous system already from embryonic stage (134). The *Atg7^{lox/lox}*; Nestin-*Cre* mice have been well described by Komatsu et al. (134), showing a shortened life span (Max. 28 weeks) and neurodegeneration, which again indicates the essential pathophysiological role of *Atg7* *in vivo*.

To study the role of autophagy in AD, especially in A β metabolism, we crossed the autophagy-deficient mouse models with *App* knock-in AD mouse models. *Atg7^{lox/lox}*; CaMKII-*Cre* x *App* knock-in mice were used for studying the role of autophagy *in vivo*, whereas the *Atg7^{lox/lox}*; Nestin-*Cre* x *App* knock-in mice were used for primary neuronal culture obtaining from embryos.

2.3.3 *App* knock-in AD rat models

One of the major goals for generating animal models is recapitulating the pathologies of the human disease in animals and trying to find biomarkers for diagnosis as well as testing new drugs on the animal models before clinical trials. However, the major hurdles for developing animal models for AD is the inability to resemble all the pathologies since AD is a complex disease with many different pathologies appearing together. Having two pathological hallmarks, A β and tau, established in AD, animal models were mainly generated by recapitulating either of those two pathologies. Indeed, during past decades, hundreds of experimental AD models have been developed including mice, rats and non-human primates (135, 136). Due to the shortage of genetic manipulation tools in the rats as compared to mice, generation of AD rat models has been lagged (137-139). Recently, however, a growing number of studies show that rat models can be a valuable tool for studying AD (139).

Several transgenic AD rat models have been developed and exhibit some aspects of the AD pathologies including A β and tau pathologies as well as neuroinflammation, synaptic loss and memory impairment (140-145). Since transgenic models have an overexpression of certain genes that are not physiologically expressed in the usual circumstance, it is difficult to evaluate the phenotypes shown in these models are related to AD pathologies or other downstream effects. For instance, APP Tg rat models exhibit an overexpression of *App* genes

with certain mutations to increase the A β 42 levels but, meanwhile, other *App* fragments will be increased which might cause pathological effects on the rats.

Knock-in technology overcomes this shortage of APP Tg models to instead increase A β 42 levels at physiological level of the *App* gene expression. Recently, although several *App* knock-in rat models were generated, limited AD pathologies were observed (146-148). However, the *App* knock-in rat model, *App*^{NL-G-F}, generated in Bai Lu's laboratory exhibits robust AD-like pathologies (149). The gene manipulations in *App*^{NL-G-F} rat model are the same as in the *App*^{NL-G-F} mouse model harboring the Swedish (KM670/671NL), Beyreuther/Iberian (I716F) and Arctic (E693G) mutations with humanized rat A β sequence (3, 149). Importantly, this *App*^{NL-G-F} rat model not only exhibits A β pathology, but also tau pathology, neuronal loss and cognitive impairment which resembles human AD pathologies from many aspects (149).

2.4 DECORIN

Decorin, a secreted proteoglycan, belongs to the class I family of small leucine-rich proteoglycans. Decorin is composed of a single glycosaminoglycan chain that contains either dermatan or chondroitin sulfate and a 42-kDa conserved protein core containing 12 leucine-rich repeats (150). Many studies have explored the functions of decorin including roles in autophagy (151-155), mitophagy (155-157), angiogenesis (158-160), wound healing (159, 161), carcinogenesis (162), inflammation (163, 164) and myocardial infarct (165).

2.4.1 Decorin in AD

Recently few studies are investigating the role of decorin in neurodegenerative diseases, especially AD. Three decades ago, Snow et al. (166) described that decorin is not only distributed in the periphery of A β plaques and within NFTs but also associated with collagen fibrils surrounding blood vessels. One study has reported that decorin is significantly reduced in the skin fibroblasts of FAD patients as compared to control (167).

BBB is formed by tightly conjunct endothelial cells of cerebral microvessels which protect the brain from blood-derived neurotoxic substances. A neuroimaging study has shown a BBB breakdown in the hippocampus of mild cognitive impairment (MCI) which may contribute to early AD (168). Extracellular matrix (ECM) is a three-dimensional network of extracellular macromolecules that contribute to the BBB structure and its dysfunction further affects the progression of neurologic disease (169). As one key component of the ECM, decorin was initially thought to regulate collagen fibrillogenesis and maintain the structural integrity of

ECM (170-173). There are some correlations between decorin upregulation and BBB dysfunction from the analysis of gene expression in the models of seizure, multiple sclerosis, traumatic brain injury and stroke (174). In addition, decorin is highly distributed in a newly identified cell type in the vasculature, the fibroblast-like cells (175). In situ hybridization experiments have shown the expression of decorin mRNA in the rat nervous system (176). However, the exact function of decorin in the central nervous system is still unclear.

2.4.2 Decorin and autophagy

Some studies have described that soluble decorin induces autophagy in endothelial cells (151-153) and mitophagy in tumor cells (155, 156, 177). Moreover, decorin has been shown to inhibit the migration of glioma cells by autophagy activation and TGF β signaling suppression (178). However, there is a knowledge gap in the relationship between decorin and autophagy function in neurons.

In endothelial cells, the autophagy process is affected by the interaction of decorin with vascular endothelial growth factor receptor 2 (VEGFR2) (151). Subsequently, decorin/VEGFR2 triggers paternally expressed gene 3 (Peg3)-dependent pathway which induces transcription of Beclin 1 and LC3 (151). During decorin/VEGFR2/Peg3 signaling, Vps34 is an upstream kinase required for Peg3 induction (153). In addition, decorin/VEGFR2 inhibits the mTOR signaling similar to rapamycin with concurrent AMPK activation which further induces autophagy (153). Transcription factor EB (TFEB), which is a master transcription factor of lysosomal biogenesis, is involved in decorin-evoked Peg3-dependent autophagy. One study showed that Peg3 is an upstream regulator of TFEB and necessary for decorin-induced TFEB expression (179).

It has been hence established that decorin induces autophagy in certain cell types. Then the subsequent question is whether decorin itself is regulated by autophagy. Gubbiotti et al. reported that decorin expression can be upregulated at the mRNA and protein level by autophagic stimuli, like nutrient deprivation, *in vivo* and *in vitro* (154). However, the mechanism behind is still unknown.

3 RESEARCH AIMS

A key pathological hallmark of AD is A β deposition which is one of the earliest pathological changes in AD brains. Autophagy, a lysosome-dependent cellular degradation system, removes dysfunctional cell components including organelles and proteins and produces energy in normal condition. However, autophagy goes awry in AD. Studies have shown that AVs, which are key components of the autophagic system, are mediating degradation and serve as one of the sources for A β generation. This indicates that there is a close link between autophagy and A β production. Nevertheless, if and how autophagy is further involved in A β transport and release is still unclear. To understand the molecular links between autophagy and A β transport and secretion, and also the effect of A β amyloidosis on the autophagic system, animal models are a powerful tool. Therefore, our study aims to characterize the autophagy status in novel mouse models of A β amyloidosis (*App* knock-in) and to find potential CSF biomarkers that reflect brain pathological changes including autophagy alterations in these mice and to compare the results with human CSF proteomic data. Furthermore, we crossed autophagy-deficient mice with A β mouse models to unambiguously determine the role of autophagy in A β metabolism by analyzing the effect of autophagy absence on A β amyloidosis.

The specific aims for each paper are listed below.

Paper I: To characterize the autophagy status in *App* knock-in mice including *App*^{NL-F} and *App*^{NL-G-F} mice and compare it with autophagy alterations in human AD brains.

Paper II: To analyze the timewise A β -induced pathological changes including mitochondrial alterations, autophagy dysfunction and neuroinflammation in *App* knock-in mice including *App*^{NL-F} and *App*^{NL-G-F} mice.

Paper III: To explore potential AD CSF biomarkers induced by A β amyloidosis by comparing mouse and human CSF proteomics data.

Paper IV: To investigate the role of autophagy in A β metabolism using autophagy-deficient *App* knock-in mice and characterize the behavioral, memory and pathological effect by loss of autophagy and A β amyloidosis.

Paper V: To compare the pathological differences induced by A β amyloidosis in two rodents, mice and rats, induced by the same *App* knock-in strategy of FAD-linked mutations.

4 MATERIALS AND METHODS

4.1 EXPERIMENTAL MODELS

4.1.1 Human subjects

Human brain slices used for p62 immunostaining in **Paper I** were provided by the brain bank of Karolinska Institutet. Human brain homogenates for p62 immunoblotting in **Paper I** were provided by the Netherland brain bank. The Braak stage for control subjects is 0-I and for AD subjects is V-VI.

The CSF proteomic results from human subjects in **Paper III** have been reported in a previous study (180, 181). Briefly, the human subjects are from a European Medical Information Framework for Alzheimer's Disease Multimodal Biomarker Discovery (EMIF-AD MBD) cohort (n = 310). Individuals having abnormal CSF-A β 42 (a+) levels were defined as AD pathological group (n = 228) while those having normal CSF-A β 42 (a-) levels with normal cognition were defined as healthy control group (n = 82). The AD pathological group was subdivided into two groups based on CSF-total-tau (CSF-t-tau) levels, abnormal tau (t+) (n = 151) and normal tau (t-) (n = 77). These two AD pathological groups (a+t+ and a+t-) were further classified in three clinical stages based on cognitive performance, normal cognition (NC), MCI and mild to moderate AD-type dementia (**Table 1**). The NC group is also defined as preclinical AD while the MCI group is defined as prodromal AD. All participants granted their consent before inclusion in the study.

Table 1. *The classification of EMIF-AD MBD cohort.*

CSF-A β 42	Healthy (a-) (n = 82)	AD pathological group (a+) (n = 228)					
CSF-t-tau	t-	a+t- (n = 77)			a+t+ (n = 151)		
Cognitive performance	NC	NC (n = 36)	MCI (n = 24)	AD (n = 17)	NC (n = 21)	MCI (n = 68)	AD (n = 62)

EMIF-AD MBD: European Medical Information Framework for Alzheimer's Disease Multimodal Biomarker Discovery; NC: normal cognition, MCI: mild cognitive impairment, a+: abnormal CSF-A β 42, a-: normal CSF-A β 42, t+: abnormal CSF-t-tau, t-: normal CSF-t-tau.

4.1.2 Animal models

All animals were maintained in 12:12 light-dark cycle and with *ad libitum* access to food and water.

4.1.2.1 *App* knock-in animal models

In this thesis, I have used two *App* knock-in mouse models, *App*^{NL-F} and *App*^{NL-G-F}, which have been generated and described in Saido's laboratory in RIKEN, Japan (3). In these mice, the mouse A β sequence was humanized by replacing the three amino acids that differ between mouse and human A β sequence (G676R, F681Y, and R684H). To induce the generation of high levels of A β 42, *App*^{NL-F} mice harbor two FAD mutations, the Swedish (KM670/671NL) mutation in exon 16 and the Beyreuther/Iberian (I716F) mutation in exon 17 of the *App* gene, while the *App*^{NL-G-F} mice contain one additional Arctic (E693G) mutation in exon 17 (3).

The *App* knock-in rat model, *App*^{NL-G-F}, in **Paper V** has the same knock-in design as the *App*^{NL-G-F} mouse model. The *App*^{NL-G-F} rat model was generated in Bai Lu's laboratory at Tsinghua University, China.

4.1.2.2 *Autophagy-deficient AD mouse models*

The autophagy-deficient mouse models were produced by conditional knockout of an essential autophagy gene *Atg7* that plays a key role in autophagosome formation. Two different *Atg7* conditional knockout mice were generated using Cre-*loxP* technology under the control of two different promoters, either CaMKII or Nestin. *Atg7*^{fllox/fllox}; CaMKII-*Cre* mice were generated by crossing *Atg7*^{fllox/fllox} mice containing lox sequence surrounding exon 14 within the *Atg7* gene (provided by Dr. Komatsu) (132) with CaMKII-*Cre* Tg mice (Dr. Shigeyoshi Itohara in RIKEN Brain Science Institute) (133) to specifically knockout *Atg7* in excitatory neurons in the forebrain. *Atg7*^{fllox/fllox}; Nestin-*Cre* mice were generated by crossing *Atg7*^{fllox/fllox} mice with Nestin-*Cre* Tg mice (purchased from Jackson Laboratory) (182) to exhibit the *Atg7* knockout in the central nervous system bypassing the embryonic lethality (134).

In our laboratory, we generated new autophagy-deficient AD mouse models by crossing the *Atg7*^{fllox/fllox}; CaMKII-*Cre* or *Atg7*^{fllox/fllox}; Nestin-*Cre* mice with *App* knock-in mice. *Atg7*^{fllox/fllox}; CaMKII-*Cre* x *App*^{NL-F} (*Atg7* cKO x *App*^{NL-F}) and *Atg7*^{fllox/fllox}; CaMKII-*Cre* x *App*^{NL-G-F} (*Atg7* cKO x *App*^{NL-G-F}) mouse models were used for *in vivo* study to analyze the role of autophagy in A β metabolism in the mouse brains. *Atg7*^{fllox/fllox}; Nestin-*Cre* mice were used for *in vitro*

studies to analyze the role of autophagy in A β secretion in mouse primary neurons (**Figure 5**).

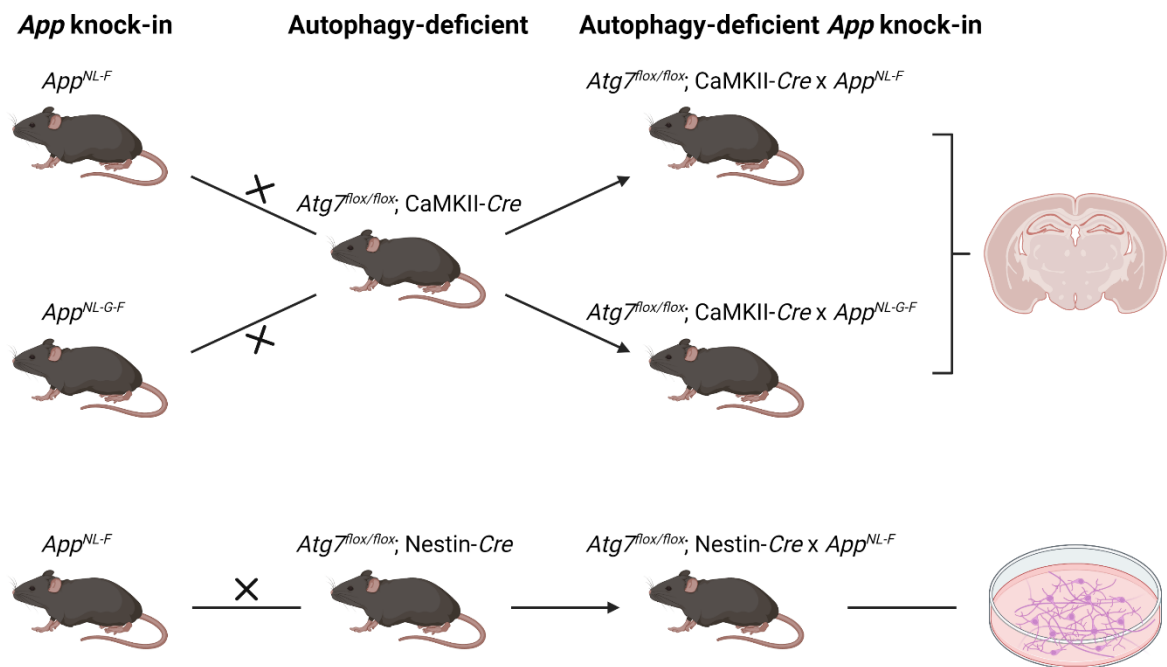


Figure 5. Crossing scheme to generate autophagy-deficient App knock-in mouse models. CaMKII-Cre mediated deletion leads to the deletion of autophagy in excitatory neurons in postnatal stage whereas Nestin-Cre leads to the deletion of autophagy in central nervous system from prenatal stage. (Created with BioRender.com)

4.1.2.3 APP Tg mouse models

Two APP Tg mice were used in **Paper III**. APP23 mice containing only the Swedish (KM670/671NL) mutation (183) were kindly provided by Per Hammarström's laboratory at Linköping university. Tg-ArcSwe mice harboring both Swedish (KM670/671NL) and Arctic (E693G) mutations (33) were kindly provided by Stina Syvänen's laboratory at Uppsala University.

4.2 EXPERIMENTAL TECHNIQUES

4.2.1 Mouse brain collection and dissection

Mice were anesthetized by isoflurane and perfused with phosphate-buffered saline (PBS) through cardiac perfusion. Mouse brains were collected after removal of skull bone and cut into two hemispheres. Right hemispheres were fixed in the 10% formalin solution for immunohistology. Left hemispheres were dissected into cortex and hippocampus and kept at

-80°C for immunoblotting or enzyme-linked immunosorbent assay (ELISA). For laser microdissection (LMD), the brains were fixed in OCT compound on dry ice and kept at -80°C.

4.2.2 Mouse CSF collection

Mice were deeply anesthetized by isoflurane and the heads were fixed on the mouse stereotaxis apparatus with an angle of the neck around 135° from the body. After removal of the fur, sagittal incision was performed on the skin of the head. Subcutaneous tissues, fat and muscles were carefully separated under the dissection microscope to expose the dura mater on the cisterna magna and the blood on dura mater was cleaned by PBS-soaked cotton swabs. An area without blood vessels was punctured with a 27-gauge needle carefully to avoid any bleeding. A glass capillary was placed on the punctured region to collect the CSF and transferred to a low affinity Eppendorf tube. After several rounds of collection, around 10-15 µL of CSF was sampled and stored at -80°C (**Figure 6**).

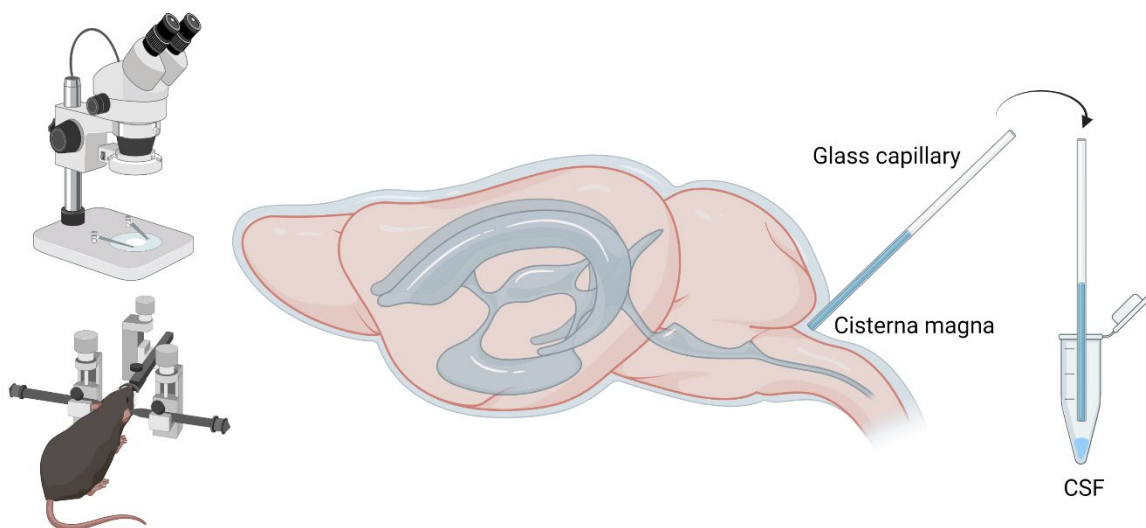


Figure 6. *Mouse CSF collection procedure. CSF is collected through the dura mater from cisterna magna. (Created with BioRender.com)*

4.2.3 Primary neuron culture from mice

Heads from E16-18 embryos were dissected under the dissection microscope followed by the dissection of the brain. Cell suspensions of cortex/hippocampus tissue were prepared by pipetting the tissue up and down in media containing 97% Neurobasal medium + 2% B-27 + 1% Glutamax. Cells from cortex/hippocampus cell suspension were counted by a hemacytometer and co-cultured in Poly-D-lysine coated plates. Cells were collected in

radioimmunoprecipitation assay lysis buffer, sonicated, and centrifuged at 4°C, and kept at -80°C.

4.2.4 Western blot

Cell lysates or tissue homogenates from fresh frozen mouse brains were mixed with β -Mercaptoethanol and 4x Laemmli sample buffer and boiled at 95°C for 5 min. Equal amount of proteins were loaded in 4-20% sodium dodecyl sulfate polyacrylamide gel electrophoresis for separation and transferred to polyvinylidene difluoride or nitrocellulose membranes. Membranes were blocked with 5% skim milk and incubated with primary antibodies at 4°C overnight. Next day, membranes were incubated with secondary antibodies for 1 hour at room temperature and images were acquired by a fluorescence imaging system (Odyssey CLx). Images were analyzed using Image Studio Lite software.

4.2.5 Immunohistochemistry

For Immunostaining of human brain tissues, DAB staining was performed with Dako EnVision Systems. Tissue sections from paraffin-embedded brains were deparaffinized and blocked by peroxidase for 5 min. Slides were further blocked with 10% normal goat serum at room temperature for 20 min and incubated with primary antibodies overnight at 4°C. On the following day, slides were incubated with secondary antibodies at room temperature for 30 min and subsequently incubated with chromogen solution at room temperature for 5 min. Thereafter, slides were counterstained with Mayer's Hematoxylin solution for 30 sec and followed by dehydration. Images were acquired by Nikon light microscope.

Paraffin-embedded mouse brain tissue sections were deparaffinized and antigen retrieval was performed if needed. Sections were blocked by blocking buffer and incubated with primary antibodies overnight at 4°C. Next day, slides were incubated with secondary antibodies for 2 hours at room temperature and nuclei were counterstained with Hoechst solution. TSA Fluorescein System was used for amplifying the signals if the amount of antigen was low in the brains. Images were acquired by Nikon fluorescence microscope.

All immunostaining images were analyzed and quantified by ImageJ software.

4.2.6 ELISA

Mouse decorin ELISA was performed following the manufacturer's instructions (Abcam) to measure decorin levels in mouse CSF in **Paper III**. A β 42 ELISA was performed following

the manufacturer's protocol (Wako) to measure A β 42 levels in EVs samples from mouse primary neurons in **Paper IV**. The absorbance was read at 450 nm using a microplate reader.

4.2.7 Mouse behavior tests

Batteries of mouse behavioral tests were conducted using 16-18 months old mice and the sequence of behavioral tests follows the least to the most stressful to the mice; Open field (OF), Object interaction (OI), Elevated plus maze (EPM), Modified Y-maze, Marble burying (MB), Morris water maze (MWM). Experimental procedures were performed under white light from 10:00 to 17:00. Mice were transferred to the experimental room 1 hour before starting behavior tests for acclimatization. Apparatuses were cleaned with 70% ethanol between each mouse except for MWM. Video tracking system EthoVision XT 15 (Noldus Information Technology) was used for monitoring, recording, and analyzing the mouse behavioral tests.

4.2.7.1 Open field (OF)

OF consisted of an open square arena with 45 × 45 cm² size and mice were allowed to explore the area for 5 minutes after being placed in the center. Several parameters were obtained from EthoVision XT 15 software including frequency to cross to the center, time spent at the border, time spent in the center, total travel distance and velocity.

4.2.7.2 Object interaction (OI)

OI tests were performed in the same open square arena as used in OF test. Mice were allowed to explore the arena for 5 min in the first day for habituation. Next day, two identical objects were placed in the middle of the arena keeping equal distances to the walls and to each other. Mice were allowed to explore these two objects for 10 min and the total interaction time was obtained and analyzed.

4.2.7.3 Elevated plus maze (EPM)

EPM consisted of two closed arms and two open arms in "+" shape located at 40 cm height. Mice were placed in the center of the plus maze and allowed to move for 5 min. Several parameters were acquired including the number of entries to closed or open arms, time spent in closed or open arms, and frequency of head dips.

4.2.7.4 *Modified Y-maze*

Modified Y-maze consisted of three identical arms in a “Y” shape. In the training session, one arm was closed by a door and mice were allowed to explore the other two arms for 10 min. After one hour rest, the closed arm was opened which is a “novel unexplored arm” for the mice. Mice were placed at the same position as the training session and the entering chance to the new arm in the first turn was recorded.

4.2.7.5 *Marble burying (MB)*

MB tests were performed in a rat cage with dimensions of 395 x 346 x 213 mm and filled with 5 cm deep fresh wood chips for bedding. 20 glass marbles (5 × 4) were placed evenly, and mice were allowed to explore the marbles for 30 minutes. The number of buried marbles was recorded.

4.2.7.6 *Morris water maze (MWM)*

MWM test follows the protocol published by Vorhees et al (184). Briefly, mice were placed in a circular water pool colored with white dye and trained to find a hidden platform according to the direction of the four different cues. The training session consists of five consecutive days, four trials per day, and 60 sec for each trial. In the sixth day (probe test), the platform was removed, and the mice were allowed to swim for 1 min for spatial memory recall. The latency to escape in each training day and time spent in target quadrant in probe test were obtained and analyzed.

4.2.8 Laser microdissection (LMD)

Frozen mouse hippocampal tissues were cryo-sectioned into 20-30 µm thick slices using a Leica3050CM cryostat at -21°C. Sections were placed on polyethylene naphthalate membrane slides and stained with toluidine blue for 30 sec. Tissues from Cornu Ammonis (CA)1 pyramidal cell layers were micro-dissected by an UV laser and collected automatically into the tube caps containing Milli-Q water. The size of the cut area was recorded. Samples were dried using Speedvac and thereafter, used for label-free mass spectrometry (MS) (**Figure 7**).

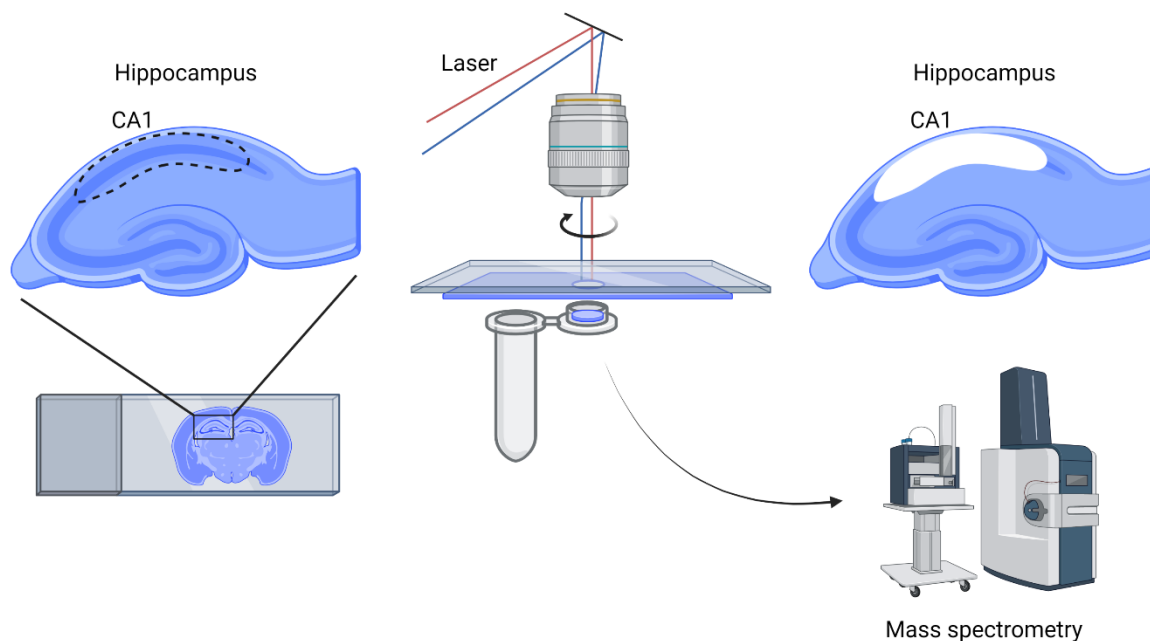


Figure 7. LMD of mouse hippocampal CA1 regions for MS analysis. (Created with BioRender.com)

4.2.9 Mass spectrometry

Mouse samples were lysed in lysis buffer with urea followed by sonication and mixing with dithiothreitol. Tryptic digestion of the samples by trypsin was further performed and the peptides were separated by a nano-liquid chromatography-MS/MS which is a Q Exactive Orbitrap mass spectrometer equipped with a nano electrospray ion source. Peptides were eluted with a 150 min linear gradient and the 10 most intense ions were selected for higher-energy collisional dissociation fragmentation. The mass spectrometer was operated in data-dependent mode. Acquired data were processed by MaxQuant and the database searches were performed by Andromeda against the UniProt Mus musculus database. At least two matching peptides were used for protein identification and the protein intensity values were used for label-free quantification.

4.2.10 Transmission electron microscopy

Mice were deeply anaesthetized by isoflurane and cardiac perfusion was performed with a mixture of 2.5% glutaraldehyde and 1% paraformaldehyde solution which was further used on tissue fixation. Coronal brain tissue sections were of 1 mm thickness and the hippocampi were dissected under a dissection microscope. Tissues were dehydrated and embedded in LX-112. Prior to performing ultrathin sections, tissue slices were stained with toluidine blue

solution and the region of interest in hippocampus was further selected. Images were acquired by Hitachi HT7700 transmission electron microscope operated at 80 kV equipped with a 2kx2k Veleta CCD camera.

4.2.11 Quantitative real-time polymerase chain reaction (RT-PCR)

RNA was extracted from fresh mouse brain tissues kept in RNA protect tissue reagent. After RNA concentration measurement, 200 ng of RNA was used for synthesizing complementary deoxyribonucleic acid (cDNA). Thereafter, targeted DNA was amplified by RT-PCR and the acquired data were quantified.

4.2.12 Proximity extension assay

Due to the limitation of sample amount, e.g., mouse CSF samples, highly sensitive techniques are required for protein biomarker discovery. Proximity extension assay (PEA) invented by Olink is a powerful technology to discover and quantify proteins with high sensitivity and specificity. Although only one mouse exploratory panel has been established so far, this mouse panel could simultaneously analyze 92 proteins from only 1 μ L of biological samples. When a matched antibody-pair containing unique DNA sequences binds to the targeted protein, the unique DNA sequences were allowed to hybridize to each other and further amplified by RT-PCR to increase the sensitivity and specificity.

4.2.13 EV isolation and characterization

EVs were isolated from fresh frozen mouse brain tissue or conditioned media of mouse primary neuronal cultures by several steps of differential ultracentrifugation as described previously (185). Thereafter, EVs were characterized by two methods, immunoblotting and nanoparticle tracking analysis (NTA). For immunoblotting characterization, two EV marker proteins e.g., flotillin-1 and CD63 were used for EV identification and two intracellular proteins were used for evaluating the contaminations. For nanoparticle tracking analysis, a nanoparticle tracking analyzer Nanosight NS300 was used for measuring the particle size and the abundance of those particles.

4.2.14 Electrophysiology

To obtain hippocampal slices, mouse brains were horizontally sectioned in ice-cold artificial cerebrospinal fluid. Local field potential recordings were performed in CA3 stratum pyramidale. By applying kainic acid, gamma oscillations were elicited and recorded. The

power of gamma oscillation was calculated as the integrated power spectrum between 20 and 80 Hz.

4.3 ETHICAL CONSIDERATIONS

All the experiments conducted on human and rodent materials strictly followed the ethical permits that were approved by the respective regional ethical committees.

The ethical boards and ethical permit numbers are listed below.

Human brain slides for immunohistochemistry in **Paper I**: Brain bank of Karolinska Institutet (Dnr. 2013/1301-31/2).

Human brain homogenates for p62 immunoblotting in **Paper I**: Netherlands Brain Bank (Dnr EPN 2011/962-31/1 and 2018/1993-32 to Karolinska Brain Bank).

Mouse CSF collection from APP23 mice in **Paper III**: Linköping animal ethical board (Dnr. 10925-2020).

Mouse CSF collection from tg-ArcSwe mice in **Paper III**: Uppsala County animal ethics committee, the Swedish Board of Agriculture (Dnr. 5.8.18-20401/2020).

App knock-in rat experiments in **Paper V**: Institutional Animal Care and Use Committee (IACUC) of Tsinghua University (15-LB5).

App knock-in mouse experiments in **Paper V**: Stockholm's animal research ethical board (Dnr 15758-2019).

All the mouse experiments (except for APP23 and tg-ArcSwe mice) in **Paper I-IV**: Linköping animal ethical board (ID 407) and Stockholm animal ethical board (Dnr. 12570-2021).

5 RESULTS AND DISCUSSION

5.1 PAPER I AND II: AUTOPHAGY IS IMPAIRED IN APP KNOCK-IN AD MOUSE MODELS

As mentioned previously, compelling data from previous studies have shown a dysfunctional autophagy-lysosomal system in AD (14, 105). Autophagy dysfunction has also been reported in PS1/APP AD mice, an APP Tg mouse model, by describing autophagy induction in early stage and subsequent impairment in late stage (53). However, APP Tg mice have some limitations including overproduced non-A β APP fragments which may cause non-AD related phenotypes and mutations in PS1 may further contribute to unrelated phenotypes since PS1 has over 100 substrates (127, 186). Therefore, we first characterized the autophagy status in *App* knock-in mice, a model that overcomes above limitation in APP Tg mice.

In **Paper I**, we first investigated an autophagy marker, p62 in AD postmortem brains by immunostaining and immunoblotting and the data clearly showed an increase of p62 levels in AD as compared to healthy control. Interestingly, p62 was not only increased in the neurons in cortex and hippocampus, including in axonal beadings, but also in the vasculature and corpora amylacea. These p62 accumulations further support previous data on an impairment of the autophagy-lysosomal pathway in neurons and opens up a potential autophagy impairment also in the vessels in AD.

Next, we analyzed the autophagy status in *App* knock-in mice to evaluate whether autophagy dysfunction is also present in these A β mouse models. We first investigated p62 levels in 12-month-old *App*^{NL-F} and *App*^{NL-G-F} mice which exhibit drastic differences in A β plaque depositions. Interestingly, p62 was significantly increased in *App*^{NL-G-F} mice whereas no changes were observed in *App*^{NL-F} mice as compared to wildtype (WT). In addition, LC3-II, a specific autophagosomal marker, was significantly increased in *App*^{NL-G-F} mice whereas the levels were not changed in cortex and even slight decreased in hippocampus of *App*^{NL-F} mice. Taken these data together indicate a potential inhibition of autophagy-lysosomal pathway at 12-month-old *App*^{NL-G-F} mice.

To better characterize the autophagy alterations taking into account the whole autophagy process, we investigated the molecules that are critical for autophagy initiation and autophagosome formation. Notably, p-Ulk1 S555 (phosphorylation site for Ulk1 activation) was increased in the cortex of 12-month-old *App*^{NL-G-F} mice whereas p-Ulk1 S757 (phosphorylation site for Ulk1 inhibition) was not altered. In addition, both Atg7 and Atg9A

were significantly increased in *App*^{NL-G-F} mice while no changes of Atg5-Atg12 conjugation and Atg16L were observed. All these above autophagy markers, however, were not changed in 12-month-old *App*^{NL-F} mice. Taken together, an increase of autophagy initiation may occur in *App*^{NL-G-F} mice to compensate the inhibition of the end stage autophagosomal-lysosomal degradation.

Considering the autophagy impairment in *App*^{NL-G-F} mice being driven by severe A β amyloidosis, we further investigated old *App*^{NL-F} mice that exhibit more pronounced A β plaque depositions as compared to younger mice. Although p62 and LC3-II levels were not changed in brain homogenates of 18- and 24-month-old *App*^{NL-F} mice as compared to WT, we did observe LC3-positive puncta around A β plaques by double immunostaining. Indeed, those LC3-positive puncta most likely represent accumulated AVs in dystrophic neurites which was indeed also revealed by EM imaging similar to what is also seen in AD brains (14). Hence, the dysfunction of autophagy-lysosomal pathway in *App*^{NL-F} mice is more specifically related to the regions, around A β plaques, and this effect may be masked in the total brain homogenate.

In **Paper II**, the autophagy dysfunction in *App* knock-in mice was further investigated and substantiated at gene expression levels by time course transcriptome analysis of hippocampus. The pathway analysis of transcriptomic data showed a slight increase in activity of the autophagic pathway at two-month-old *App*^{NL-G-F} mice which was followed by a decrease from six months of age. The transcriptional upregulation of autophagy in AD brains has been reported in AD brains by Lipinski et al. and they claimed that there might be a compensatory autophagic regulation to remove those harmful effects of A β exposure (106).

Overall, the autophagy related genes were mainly altered in *App*^{NL-G-F} mice from six months of age. The mTOR signaling pathway, a negative regulator of autophagy, was activated in 12-month-old *App*^{NL-F} and *App*^{NL-G-F} mice whereas the expression of *Trim30a* (a substrate for ubiquitin-autophagy pathway), *Rubcnl* (a positive autophagic regulator for autophagosome formation), *Vamp8* (a lysosomal associated gene), *Lamp2* (a lysosomal associated gene) and *Rab7b* (a negative autophagic regulator) were significantly increased in six-month-old *App*^{NL-G-F} mice which was confirmed by quantitative RT-PCR. Moreover, EM imaging analysis revealed that an accumulation of AVs in dystrophic neurites and pre-synaptic regions was associated with an increased number of synaptic vesicles and decreased post synaptic densities around A β plaques in 12-month-old *App*^{NL-G-F} mice. Taking all these data together indicate that autophagy is mainly altered in *App*^{NL-G-F} mice exhibiting the most severe pathology and those autophagy alterations may be closely related to synaptic dysfunction.

Therefore, we further focused on the autophagy status in synapses in 12-month-old *App*^{NL-G-F} mice. A triple immunostaining showed that surrounding A β plaques, synaptophysin, a pre-synaptic marker, was accumulated and partially colocalized with LC3-positive puncta which support the EM data. This data indicates that the autophagy impairment in pre-synapses may contribute to the accumulation of synaptic vesicles leading to synaptic dysfunction in AD brains.

In agreement with the data in **Paper I**, p62 and LC3-II were significantly increased in hippocampal synaptosomal fraction of 12-month-old *App*^{NL-G-F} mice. However, these changes were not observed in two-month-old *App*^{NL-G-F} mice as well as in primary neurons from *App*^{NL-G-F} mice indicating that autophagy alteration may occur after two months of age. Furthermore, in **Paper II**, a link between altered energy metabolism evaluated by mitochondrial functional experiments and autophagy alterations in *App*^{NL-G-F} mice was suggested and it is tempting to speculate that this involves mitophagy. One more interesting finding of this paper is that the genes related to neuroinflammation were significantly altered mainly in *App*^{NL-G-F} mice including an increase of *Ccl3*. Interestingly, the *Ccl3* protein levels were found to be increased in the CSF of *App*^{NL-G-F} mice measured by PEA. One study has reported that the *Ccl3* levels are increased in AD brains (187). All these together open the possibilities that inflammatory proteins can be utilized as potential CSF biomarkers in AD.

5.2 PAPER III: INCREASED CSF-DECORIN PREDICTS BRAIN PATHOLOGICAL CHANGES DRIVEN BY ALZHEIMER'S A β AMYLOIDOSIS

App knock-in mouse models exhibiting robust A β pathologies, resemble to some extent the autophagy alterations in AD brains (**Paper I**) and, furthermore, there are potential opportunities that mouse brain pathological changes could be reflected in CSF (**Paper II**). This together prompted us to investigate the CSF of *App* knock-in mice in more detail and to find possible CSF AD biomarkers including autophagy-associated biomarkers.

In **Paper III**, we performed label-free MS to analyze CSF from 12-month-old *App*^{NL-F} and *App*^{NL-G-F} mice which exhibit a different degree of A β amyloidosis and autophagy alterations. Notably, up to 703 proteins were identified from the limited volume of CSF samples and 246 proteins that were identified and quantified in all the individuals (n = 4/group, WT, *App*^{NL-F} and *App*^{NL-G-F}) were included in the downstream analysis. Principal component analysis of these 246 proteins showed a separation of protein clusters between the different models confirming the differences of *App*^{NL-F} and *App*^{NL-G-F} mouse models. Analyzing the

significantly changed proteins, several ECM proteins were differently altered in *App* knock-in mice as compared to WT mice. In *App*^{NL-F} mice, decorin and lumican, which are also autophagy-associated proteins, were upregulated while SPARC-like protein 1 and fibronectin were downregulated as compared to WT. In *App*^{NL-G-F} mice, however, most ECM proteins were downregulated including SPARC-like protein 1, fibronectin, basement membrane-specific heparan sulfate proteoglycan core protein, collagen alpha-1(I) chain, vitronectin, fibulin-1 and ecm1 protein. In addition, PEA analysis additionally revealed two ECM proteins, CCN family member 4 and matrilin-2 as significantly ($p < 0.05$) altered in *App* knock-in mice. Taken together, ECM proteins are significantly and differently altered in the CSF of *App* knock-in mice which may be caused by the severity of A β pathologies in the brains.

We next compared our mouse CSF proteomic data with human CSF proteomic data from EMIF-AD MBD cohort published previously (180) (detail information for this cohort described in the section MATERIALS AND METHODS). Interestingly, protein alterations in the CSF of *App* knock-in mice matched to a higher degree the alterations in the CSF of a+t- human subjects than to that of a+t+ human subjects. This can be explained by *App* knock-in mouse models being A β models with less pronounced tau pathology. Therefore, we mainly focused on comparing the data from mouse with a+t- human subjects.

Notably, only one protein, decorin, was significantly increased in both 12-month-old *App*^{NL-F} mice and a+t- NC subjects. A time course measurement clearly showed that CSF-decorin level was already increased in three-month-old *App*^{NL-F} mice and decreasing upon aging. In contrast to the MS data, the CSF-decorin levels were also increased in 13-month-old *App*^{NL-G-F} mice as compared to WT measured by ELISA. The reason could be due to the detection of different proteolytic fragments of decorin by the two methods. In addition, several different mRNA transcripts of decorin exists and the protein products of these may be differently identified by the two methods. Most importantly, CSF-decorin level was significantly increased in a+t- NC subjects as compared to healthy control and the level was decreased in a+t- MCI and AD subjects which showed a similar pattern as *App*^{NL-F} mice. Interestingly, in a+t- NC subjects, CSF-decorin levels positively correlated with CSF-A β 42 levels whereas a negative correlation was observed between CSF-decorin and CSF-t-tau and CSF-phosphorylated-tau (CSF-p-tau). To evaluate the potential for CSF-decorin as biomarker for early AD diagnosis, we performed receiver operating characteristic analysis in a+t- preclinical AD subjects vs healthy controls. The results indicated not high enough specificity and sensitivity. However, CSF-decorin indeed classified an AD subtype (180) characterized

by innate immune activation and a potential ChP dysfunction with high sensitivity and specificity (area under the curve = 0.70, $p = 1.73 \times 10^{-6}$). Although the correlation of CSF-decorin and ChP pathological changes in this subtype need to be warranted and confirmed in a second cohort, the increase of CSF-decorin levels, to some extent, could predict the innate immune activation and ChP dysfunction in the brain.

To understand mechanistically the correlation of CSF-decorin and ChP dysfunction, we analyzed decorin expression in mouse brains. Interestingly, decorin expression in ChP in *App*^{NL-F} mice was significantly higher than in WT. Moreover, decorin was expressed in parvalbumin-positive interneurons and the expression levels were decreased in *App* knock-in mice especially in *App*^{NL-G-F} mice. Human A β 42 treatment *in vitro* significantly increased the decorin secretion from mouse primary neurons indicating a direct link between decorin alterations and A β amyloidosis. Taken together, early A β amyloidosis may increase the decorin expression in ChP as well as increase the secretion of neuron-derived decorin to interstitial fluid which can be a potential cause for an increase of CSF-decorin levels.

As several studies reported decorin could activate autophagy in endothelial cells, we were interested in the relationship between decorin and autophagy in a neuronal setting. By analyzing autophagy markers and phospho-explorer antibody array in decorin treated mouse primary neurons, decorin may stimulate the autophagy-lysosomal pathway via activating lysosomal degradation rather than increasing autophagy initiation.

5.3 PAPER IV: DEGREE OF A β AMYLOIDOSIS HAS DISTINCT EFFECTS ON MOUSE PHENOTYPES INDUCED BY LOSS OF AUTOPHAGY

Loss of autophagy in excitatory neurons reduces extracellular A β plaques and induces intracellular A β accumulation in APP Tg mice (116). We were thus interested if these A β pathological changes are still valid in other AD mouse models free of APP overexpression. Therefore, we generated autophagy-deficient AD mouse models by crossing *Atg7* cKO (*Atg7*^{fllox/fllox}; CaMKII-*Cre*) mice with *App* knock-in mice to achieve a conditional knockout of *Atg7* in excitatory neurons in the forebrain. Indeed, significantly less A β plaque depositions were observed in *Atg7* cKO x *App*^{NL-F} and *Atg7* cKO x *App*^{NL-G-F} as compared to *App*^{NL-F} and *App*^{NL-G-F} respectively whereas more intracellular A β were detected in CA1 pyramidal cell layer of *Atg7* cKO x *App*^{NL-F} mouse hippocampus as compared to *App*^{NL-F} mice. Studies have shown that intracellular A β is toxic to the neurons which may affect the cellular physiological function and contribute to the neuronal loss (188, 189). On the other hand, the clinical

benefits from a reduction of A β plaques by monoclonal antibody aducanumab treatment indicate that extracellular A β depositions harm the brain (8).

Considering EVs may play a role in A β secretion via autophagy, we purified small EVs (mainly exosomes) from cortex and hippocampus of the same age of mice that we found having a reduction of A β plaques. The EV isolation samples were characterized by presence of two typical EV markers, transmembrane protein CD63 and the cytosolic protein flotillin-1 by immunoblotting. Interestingly, both p62 and LC3-II were also detected in EV samples indicating that those isolated EVs were associated with the autophagic pathway in the cells. NTA of EVs clearly showed that the vesicle size corresponding to the highest concentration was less than 150 nm which is a typical for small EV size. Unexpectedly, small EV abundances in the brains of autophagy-deficient mice and autophagy-competent mice were similar. One potential reason is that the small EVs isolated from brain tissues can be derived from many different cell types including neurons, microglia, and astrocytes and the autophagy-deficiency is specific to excitatory neurons. Therefore, we next studied the small EVs derived from neurons *in vitro*. We generated a new mouse model *Atg7^{lox/lox}; Nestin-Cre x App^{NL-F}* which exhibits autophagy-deficiency and A β pathologies from prenatal stage allowing us to study the role of autophagy in mouse primary neurons. After purification of small EVs from conditioned media of *Atg7^{lox/lox}; Nestin-Cre x App^{NL-F}* mouse primary neurons, EV samples were characterized by immunoblotting and NTA which confirmed the successful purification. Intriguingly, the concentration of small EVs from *Atg7^{lox/lox}; Nestin-Cre* or *Atg7^{lox/lox}; Nestin-Cre x App^{NL-F}* neurons were higher than those from WT and *App^{NL-F}* neurons whereas the A β 42 levels per million EVs were similar between *Atg7^{lox/lox}; Nestin-Cre x App^{NL-F}* and *App^{NL-F}* neurons. This reveals that loss of autophagy in neurons may increase the release of small EVs in an autophagy-independent manner to compensate for the inhibited autophagy degradation to reduce the waste material such as A β inside the neurons. However, *in vivo*, those secreted A β in small EVs could be easily phagocytosed by other cell types including, neurons and glial cells, rather than deposits in the extracellular space.

The alterations of intracellular and extracellular A β depositions induced by loss of autophagy prompted us to investigate mouse behavioral and memory phenotypes as well as other pathological changes which may be caused by this changed pattern of the A β pool. Firstly, we observed a shorter life span of autophagy-deficient mice as compared to autophagy-competent mice and especially the autophagy-deficient *App^{NL-G-F}* mice have the shortest life span; only approximately 25% of mice are alive at 20 months of age. In addition, the body weight of autophagy-deficient *App^{NL-G-F}* mice was lower whereas autophagy-deficient *App^{NL-}*

^F mice were almost the same weight as WT mice. OF and EPM tests clearly showed that *Atg7* cKO mice exhibited thigmotaxis and less anxiety behavior and these behavior phenotypes were worsened in *Atg7* cKO x *App*^{NL-G-F} mice whereas interestingly an improvement was noted in *Atg7* cKO x *App*^{NL-F} mice. This thigmotaxis behavior was further confirmed by OI and MB tests. These data together indicate that loss of autophagy in combination with the severe A β pathology in *App*^{NL-G-F} mice induces most striking behaviors including less curiosity, decreased anxiety, and autistic-like behavior which tends to be improved in autophagy-deficient *App*^{NL-F} mice having less pronounced A β pathology. Furthermore, the memory was most severely impaired in *Atg7* cKO x *App*^{NL-G-F} mice measured by modified Y-maze and MWM. All these behavior impairments in *Atg7* cKO x *App*^{NL-G-F} mice can be partially explained by decreased gamma oscillatory network activity and synaptic density in hippocampal CA3 as well as increased onset of apoptosis and necroptosis in CA1 pyramidal cell layer evaluated by cleaved caspase-3, RIPK1 and RIPK3.

Since we found intracellular A β accumulation and increased programmed cell death in CA1 pyramidal cell layer in *Atg7* cKO x *App*^{NL-F} mice, we were interested in this specific region. Therefore, we isolated CA1 pyramidal cell layer by LMD from WT, *App*^{NL-F}, *Atg7* cKO and *Atg7* cKO x *App*^{NL-F} mice and performed label-free MS which identified up to 2753 proteins in total. Among those, 742 proteins were identified in all the individuals and 106 proteins were significantly altered (false discovery rate < 0.05) in autophagy-deficient mice as compared to autophagy-competent mice. Gene ontology biological pathway analysis of these significantly altered proteins revealed that the biological process “transport” was upregulated whereas the “translation” was downregulated in *Atg7* cKO and *Atg7* cKO x *App*^{NL-F} mice. To investigate the protein alterations induced by one single pathological factor, A β amyloidosis or autophagy-deficiency, or a combination of these two factors, we compared every two groups to each other. Interestingly, while autophagy-deficiency induced a downregulation of ribosomal proteins in *Atg7* cKO mice, A β amyloidosis increased the levels of ribosomal proteins in *App*^{NL-F} mice. This led to only one significantly downregulated ribosomal protein in *Atg7* cKO x *App*^{NL-F} mice indicating that the combination of autophagy-deficiency and A β amyloidosis even out the ribosomal protein alterations. Taking these data together, lack of autophagy increases cellular transport and decreases translation which is ameliorated by a milder A β amyloidosis. It is tempting to speculate that an early A β amyloidosis induces compensatory cell mechanisms counterbalancing the negative effects driven by lack of autophagy.

5.4 PAPER V: AN *APP* KNOCK-IN RAT MODEL FOR ALZHEIMER'S DISEASE EXHIBITING A β AND TAU PATHOLOGIES, NEURONAL DEATH AND COGNITIVE IMPAIRMENTS

A limited number of AD rat models, mainly Tg models have been generated because of a shortage of gene manipulation tools. In this paper, Bai Lu's laboratory at Tsinghua University generated a novel AD rat model, *App* knock-in, that has the same genetic mutations as the *App*^{NL-G-F} mouse model. We next compared the pathological differences between rat and mouse *App*^{NL-G-F} models. The *App*^{NL-G-F} rat model exhibited a robust A β pathological development during aging, similar to the *App*^{NL-G-F} mouse model. In contrast to the mouse model, *App*^{NL-G-F} rats expressed a more pronounced tauopathy. In *App*^{NL-G-F} rats, both AT8 (S202/T205) and AT180 (T231) antibodies recognizing different phosphorylation sites in tau proteins detected that these sites were significantly increased whereas, in *App*^{NL-G-F} mice, AT180 was unaltered as compared to WT mice. In agreement with a previous study (190), the AT8 levels were increased in *App*^{NL-G-F} mice as compared to WT mice showing a slight tau pathology also in the *App*^{NL-G-F} mice. Notably, different intermediate forms of tau aggregates detected by antibodies, APN-mab005, MC1, APN-1607, were significantly increased in *App*^{NL-G-F} rat brains as compared to WT rats. However, no typical structure of NFTs were detected in 22-month-old *App*^{NL-G-F} rat brains. These results demonstrated that *App*^{NL-G-F} knock-in mutations could lead to tau hyperphosphorylation and aggregation in rats but not in mice. This may be caused by lacking 3R tau isoforms in adult mice whereas, in adult rats, there are 3R tau isoforms exist with a lower 3R/4R ratio (1:9) than human (1:1).

Like the *App*^{NL-G-F} mice, enhanced gliosis and synaptic degeneration were observed in *App*^{NL-G-F} rat brains. Importantly, the levels of apoptosis and necroptosis markers were significantly increased in *App*^{NL-G-F} rats but not in *App*^{NL-G-F} mice. It was also noticed that neuronal death and brain atrophy occurred in *App*^{NL-G-F} rats measured by neuronal counting and magnetic resonance imaging. In addition, *App*^{NL-G-F} rats not only exhibited spatial learning and memory deficits from six-month-old similar to *App*^{NL-G-F} mice (191), but also had episodic-like memory impairment that is a typical AD symptoms (192). Overall, compared to the *App*^{NL-G-F} mouse model, the *App*^{NL-G-F} rat model has advantages of resembling AD pathologies, thus making it an ideal rat model for future AD research.

6 CONCLUSIONS

All in all, this thesis contributes to the autophagy and AD fields through studying both how autophagy is regulated by A β amyloidosis and how autophagy controls A β metabolism. The CSF proteomic data from *App* knock-in mice provide an important database for exploring potential CSF biomarkers for AD. The new *App* knock-in rat model can be a useful tool for understanding the correlation between A β and tau pathologies and their interplay with autophagy.

The major findings of this thesis are:

- i) Autophagy dysfunction in *App* knock-in mice revealed by the alterations of autophagy-related protein and gene expression levels indicates these mouse models can be an important tool for understanding the correlations between autophagy and A β amyloidosis.
- ii) Increased CSF-decorin levels at an early stage of AD may mirror the ChP pathological changes in the brain induced by A β amyloidosis and also has implications for autophagy regulation.
- iii) Loss of autophagy in excitatory neurons in *App* knock-in mice reduces extracellular A β plaque depositions, increases intracellular A β levels and leads to synaptic degeneration, memory loss and autistic-like behavior. In addition, autophagy-deficiency increases cell transport and decreases protein translation which can be ameliorated by a mild A β amyloidosis.
- iv) The *App*^{NL-G-F} rat model has some advantages such as hyperphosphorylated and aggregated tau, increased cell death, neuronal loss and episodic-like memory impairment as compared to the *App*^{NL-G-F} mouse model. The limitation of this rat model is an absence of NFTs which is a key hallmark for AD.

7 POINTS OF PERSPECTIVE

The next step is to analyze the autophagy markers that were used in *App* knock-in mice in **Paper I** in AD postmortem brain samples to evaluate how many degrees of autophagy alterations are similar in the A β mouse models. It will be interesting to additionally investigate the autophagy status in tau mouse models and *App* knock-in rat model that exhibit pronounced tau pathologies induced by A β . This will give a more thorough perspective of autophagy evaluation in AD related to both A β or tau or a combination of A β and tau pathologies. Taking the data from **Paper I** and **Paper II** into account, *App*^{NL-G-F} mice seem to have more alterations similar to the autophagy dysfunction in AD brains. *App*^{NL-G-F} mice can be potentially used as a model for drug tests targeting A β amyloidosis to validate the drug effectiveness from an autophagy perspective. Moreover, drugs aiming at activating or restoring autophagy can be tested.

The CSF proteomic data from *App* knock-in mice provide an important database to the biomarker field. By comparing the CSF proteomics of AD mouse models and AD human, it will give more specific and robust protein targets that are changed similarly in the mice and human and reflect a certain aspect of the disease e.g., amyloidosis. Moreover, an extremely important advantage is that those proteins can be studied on the same mice and analyze those protein expression in the brains which is impossible to be performed in the human brains. This will help us to understand the potential cause of those protein alterations in the CSF. On the other hand, the protein targets, like an ECM protein decorin in **Paper III** can be further studied on postmortem brain tissues with different Braak stages to assess and speculate decorin alterations in the brains in AD spectrum. Decorin is a key component of the ECM that maintains the structure and function of the BBB and BCSFB. The changes of decorin levels may indicate an alteration of BBB and BCSFB functions which is dysfunctional in AD (168, 193). Therefore, before being established as biomarkers in clinics, it is prudent to confirm the validity of those protein targets on other human cohorts as well as the correlation between those proteins and AD core biomarkers.

Having found a clear reduction of extracellular A β depositions in autophagy-deficient AD mouse models (both APP Tg and *App* knock-in) reveals autophagy indeed has a crucial role in the A β metabolism including degradation and secretion. Surprisingly, in **Paper IV**, a relatively mild A β amyloidosis in *App*^{NL-F} mice seems have a beneficial effect on autophagy-deficiency induced phenotypes whereas the severe A β pathology in *App*^{NL-G-F} mice exhibits a worse effect. Especially the MS results from CA1 pyramidal cells indicate that a mild A β

amyloidosis has an opposite effect on protein translation to that of autophagy-deficiency. This attempt us to rethink about the effect of A β amyloidosis at an early stage of AD, i.e., preclinical AD or even before, which may be not as negative as we believed. Moreover, it is still not clear what the physiological role of A β is, which is constantly generated in the cells. However, severe A β amyloidosis at the late stage of AD harms the brain.

From species' evolutionary point of view, rats are closer to humans than mice. Moreover, along with the development of gene manipulation tools in rats, rat models start to draw attention in the AD field. The appearance of an *App* knock-in rat model in **Paper V** exhibiting robust A β and tau pathologies as well as neuronal loss and cognitive impairments will provide a beneficial platform to carry out biomarker studies and pharmaceutical trials. Elucidation of autophagy alterations in this model will also be of great importance. The advantages of using rat models instead of mice enable us to obtain more material especially CSF samples which are limited from the mice. Furthermore, rats behave better than mice in memory tests, which is important for the validation of therapeutic effectiveness and has a more elaborated cognitive performance.

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