## Marc L. Greenberg

# Antemurale innovationis: Clausal complementation in the Slovene Mura River (Prekmurje) dialect and its Balkan parallels

**Abstract:** The paper discusses the opposition between two complementizers/sub-ordinators, da vs. ka, in Prekmurje Slovene. The forms were used up through the first half of the 20th century to distinguish between irrealis (da) and realis (ka) propositions. In the discussion the available evidence is examined in order to establish more precisely the conditions for the distribution of the two forms. In addition, the diachrony and diatopy of the forms are considered in both South Slavic and broader Slavic contexts.

**Keywords:** complementizers, conjunctions, peripheral conservatism, Freising Folia, infinitive loss, irrealis/realis, epistemic modality, reanalysis, subordinators, supine

# 1 Preliminaries

As has been pointed out in the organizing materials for the workshop that led to the present volume, the South Slavic languages provide exemplary material for understanding the diversity in structures of clause combining, not only because of the striking internal dialect differentiation and standardization of several related varieties of Slavic, but also because of the range of language-contact situations encountered in this region. To this one might add also the fact that South Slavic represents speech varieties that descend from Common Slavic due to migration, dating from the sixth century CE, a time when the relatively uniform language began to differentiate into significantly divergent forms, including syntactic reorganization. In this respect, our understanding of the pathways to development are much clearer in phonology and morphology than in syntax (see Greenberg 2017: 521). Notably, the system of clause combining through participial constructions, including the dative absolute, as well as constructions with infinitives and supines, yielded to analytical means of clause combining and subordination in which daughter dialects selected inherited morphological material (verbal

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morphology, pronominal forms, particles, etc.) and repurposed it, while the older system of participial subordination was retained in circumscribed systems in the grammars of individual languages and dialects (see Andersen 1970; Ambrazas 1990; Friedman and Joseph 2019).

#### 1.1 Broader implications

The title of the present paper begins with a reference to issues that were raised in earlier papers by this author leading to this one (Greenberg 2011, 2019). Those papers discussed a distinction in subordinators in the Prekmurje dialect of Slovene distinguishing between what were referred to as "realis" ka and "irrealis" da, where the latter is the cognate form to the generalized subordinator da found in both standard Slovene and the Bosnian/Croatian/Montenegrin/Serbian (BCMS) standards. The Prekmurje distinction had hitherto gone unnoticed in the scholarly literature on the dialect. The earlier papers noted the surprising parallel between this distinction in Prekmurje and in core Balkan Sprachbund languages (Modern Greek, Albanian, Macedonian, Bulgarian, Balkan Romani, Romanian) vs. its absence in BCMS and the remainder of Slovene and asked whether the contrast may have been a common Prekmurje-Balkan innovation, despite the geographical discontinuity between Prekmurje Slovene, which lies at the northern periphery of the South Slavic area, and the Balkans in south-eastern Europe (Greenberg 2011, 2018; see also Amman and van der Auwera: 300-301 and Mitkovska and Bužarovska, this volume). There are at least some reasons to think this might be the case, as the Prekmurje dialect (including its literary and standard varieties) is in many respects an anomalous dialect of Slovene (Greenberg 2013). It is distinct from the neighboring Kajkavian Croatian dialect, and it has been noted to have similar innovations as those in geographically non-contiguous speech varieties further to the east (Ivić 1958: 30 with respect to BCMS; Schallert and Greenberg 2007 with respect to Bulgarian). In terms of location, we might have expected Prekmurje to pattern with the erstwhile "Pannonian" Slavic space, where we find, for example, common dialect innovations (dating to the 11th c and earlier) crossing from the northern tier of South Slavic (Slovene, Kajkavian Croatian) into West Slavic, notably Slovak (see Krajčovič 1974: 142-149, 314-318; Greenberg 2000: 40-41). Were it to be the case that the Prekmurje dialect agreed in significant ways with the Balkan developments and failed to fit into the geographical contiguity with the generally conservative neighboring Slovene and Croatian Kajkavian dialects, this would overturn the traditional conceptualization of the way that South Slavic dialects pattern. The earlier papers concluded that the Prekmurje contrast is an internal innovation, though they did not elaborate the processes as fully as will be undertaken in the present paper.

# 1.2 The special position of Prekmurie

The other reference (antemurale) acknowledges the fact that Prekmurje was incorporated into the Hungarian feudal structures following the Hungarian "land-taking" (honfoglalás) in the tenth century, and consequently the communication paths between groups of Slavic speakers in this region with and in the German March who were to become the future Slovenes gradually broke down. With the rise of Turkish incursions in the 16–17th cc. the Prekmurje region itself became cordon sanitaire toward the south, with relics of fortifications still extant, hence the region's Hungarian name *Örség* 'defense territory' (Župančič 2009: 17). This historical circumstance gives us a general external framework to hypothesize why the Prekmurje dialect diverges from its neighbors.

## 1.3 Organization of the paper

As in the other papers in this volume, the present paper employs as a heuristic tool the template framework outlined in Wiemer (this volume) and the acronyms adopted therein. In the following exposition I shall address the topic and its subthemes as follows: Section 2 will deal with the theoretical, diachronic, and diatopic considerations of the problem, that is, placing the rough semantic distinction da (irrealis) vs. ka (realis) in a framework in order to tease out the conditions that determined the choice of each of the complementizers/subordinators (2.1). Sections 2.2–2.7 place the problem in a historical-comparative and diatopic perspective, taking into consideration the origins of the forms (going back to Proto-Indo-European), their semantic development, and the distribution of their configurations in South Slavic languages and dialects. Section 3 focuses on the distribution and attestation of the phenomenon in Prekmurje Slovene. This section is divided into two parts: 3.1 covers the attested period from the 18th century to the first half of the 20th century, when the distinction was still in effect; section 3.2 covers the second half of the 20th century, where the distinction has collapsed in favor of a general complementizer/subordinator ka, though some relic uses persist. In section 4 the analysis is synthesized and discussed.

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# 2 Theoretical, diachronic, and diatopic considerations

#### 2.1 Earlier observations on the ka: da contrast

The contrast under focus in this paper is the Prekmurje Slovene opposition described in Avgust Pavel's (ostensibly normative, albeit de facto quite descriptive) Prekmurje Slovene Grammar (Pavel 1942; see also Greenberg 2013), where he notes that the conjunction ka is favored in dependent clauses where the proposition is asserted as factual (his terms: Hung valódi, PrSl resznicsen 'true') and da is favored in clauses that present potential actions or events (Hung. teljesülhető, mogôcsen 'possible') (§ 446). The contrast had been observed earlier, e.g., by Kühar, who glosses ka as Germ 'daß', Sl 'ker' and da as Germ 'wenn' (Kühar 1911: 48). Accordingly, in (1) the preference for ka is conditioned by the verb 'said, asserted' in the CTP, while in (2) da is preferred because of the future-oriented perfective aspect, marking a potential state, of the verb in the dependent clause (DC). (The orthography follows Hungarian pronunciation rules.)

- (1) Pravo mi je **ka (da)** mi zavszema zavüpa. He.said to.me AUX-PR.3SG COMP to.me fully trusts 'He told me that he completely trusts me.' (Pavel 1942 § 436)
- (2) Zavêszt, **da (ka)** szkoro ozdraví ga je neszkoncsno awareness COMP soon recovers.PFV.3SG him AUX endlessly razveszelíla. pleased 'The notion that he would soon recover pleased him to no end.' (Pavel 1942 § 437)

#### 2.2 Further examination of the ka: da contrast

The formal opposition at stake here between two complementizers would tempt the structuralist to assume a binary opposition – whether equipollent or privative – between two corresponding semantic primes, which could be roughly categorized as "realis" (*ka*) and "irrealis" (*da*), though we hasten to acknowledge the pitfall of recognizing (ir)reality *a priori* as a category, as pointed out in Bybee's offcited article (Bybee 1998). The dynamic nature of the opposition, whether viewed synchronically (e.g., in Pavel 1942, 2013, as illustrated in the examples [1, 2], above) or diachronically (historical examples in the exposition below), suggests

that the underlying cognitive notions may yield to finer internal distinctions, e.g., speaker's commitment to a potential state of affairs (SoA) as real vs. irreal, or even the emotional state of the speaker. As we shall see, the Prekmurje situation takes the inherited subordinating conjunction da ("irrealis") and opposes it to the conjunction ka ("realis"), where the latter is extended into the domain of the former, eventually eliminating the distinction by the end of the 20th century. In the exposition we will attempt to sketch the indicators that point to the shape of the cognitive map by referring to the co-occurrence of tense-aspect-mood (TAM) in the complement-taking predicate (CTP) and dependent-clause predicate DC with the variability in complementizers, using, as appropriate, both traditional grammatical terms (indicative, etc.) and abstract notions. As a basic descriptive framework for analyzing the finer-grained analysis of the conditions for da vs. ka, we use as a point of departure the discussion of epistemic modality in Chung and Timberlake 1985: 242–244. In this work, though the focus is on epistemic modality as signaled in the morphology of verbs in various languages, the notional framework used applies in our case both to the indexicality of the complementizer/ subordinator as well as the categories expressed in the verb in the CTP and the DC. Epistemic mode refers to the "actuality of an event in terms of alternative possible situations, or worlds" while restricting "the notion of alternative worlds to those that the speaker considers to be in some sense reasonably close to the actual world" (1985: 242).

#### 2.3 South Slavic context

In contrast to the Balkan Slavic languages, the Prekmurje dialect (just as Slovene and neighboring Kajkavian Croatian) lacks special verbal forms for evidentiality (the opposition between witnessed and non-witnessed situations, a separate morphosemantic problem from the epistemic mode, discussed just above, which is of concern here), as well as (along with northern Slavic languages) absolute vs. coordinated past tense. Following the division of the South Slavic languages into western (Slovene, BCMS) and eastern (Bulgarian, Macedonian) subzones, the generalization of the da-complementizer as a subordinating conjunction and complementizer is thought to have been widespread in Western South Slavic, if only one possibility for marking subordination, by the end of the tenth century in the (Latin-rite) Freising Folia for both realis and irrealis propositions, as is the case for standard Slovene and BCMS. In the eastern subzone, canonical OCS largely reserved da for non-factual propositions both in independent and dependent clauses (Lunt 2001: 161; Večerka 2006: 227), though in some instances the DC could also have been factual (Vaillant 1977: 226).

#### 2.3.1 Balkan Slavic parallels

The distinction in epistemic modality between complementizers *ka*: *da* in Prekmurje Slovene is reminiscent of a similar phenomenon in Balkan Slavic (and non-Slavic) languages, e.g., the Bulgarian contrast betwen *če* and *da* in such examples as in (3) and (4) (examples from Leafgren n.d.: 43).

- (3) Na sedem godini za če părvi păt otkrix. vears for first time discover.AOR.1SG COMP.FACT On seven 'At seven years old I discovered for the first time Diado Mraz izmislen vъzrastnite. e ot Santa Claus COP.3SG made.up.PAST.PASS.MASC.SG by adults.DEF.PL that Santa Claus is made up by adults.'
- (4) Mnogo iskam da ti kaža nešto.

  Much want.PRS.1SG COMP.NON-FACT you.DAT tell.PRES.1SG sth
  'I really want to tell you something.'

The evidence suggests that the epistemic mode of potential, unrealized events or actions in the DC, marked by da, are the common point of departure for both the Prekmurje and Balkan Slavic contrasts, whether or not the oppositions of the type  $ka:da::\check{c}e:da$  were common to all of South Slavic at some earlier time. Otherwise, Slovene stands in contrast to the Balkan Slavic languages and central Slovene dialects share at least the first, albeit minimal, stage of infinitive loss (i.e., development of a short infinitive in -regreet, in contrast to the full infinitive in -ti found in Prekmurje Slovene, detected already in central and southern Slovene dialects by the 16th c., Ramovš 1952: 149–150), which is otherwise most fully developed in the core Balkan Slavic languages, Macedonian and Bulgarian, a precursor to the spread of da-clauses (moreover, such a contrast is found in disparate languages of the world, on which see Nordström 2010: 166ff). With regard to features other than ka: da, the Prekmurie dialect is more conservative than both Balkan Slavic and central Slovene in that it has fully preserved the segmental and accentual properties that distinguish the infinitive and the supine. Both standard and Prekmurje Slovene (as well as, for that matter, Kajkavian Croatian) use the infinitive and supine in circumstances where Balkan Slavic languages would (today) allow the substitution of da + finite-verb constructions. Overall, Prekmurje Slovene has more possibilities for clause combining, including the use of present and past active participles that had been lost in central Slovene by the nineteenth century. It is therefore at least plausible that the *ka* : *da* contrast is an archaism in Prekmurje Slovene, just as other features that set this area off from the rest of Slovene and varieties of BCMS.

The Prekmurje dialect shares with Slovene and other South Slavic languages the inherited modality signaled by da at the beginning of a clause. What has innovated in Prekmurje Slovene is the development of a new, default complementizer/subordinator ka, absent in other varieties of Slovene (nor is it found in Kajkavian Croatian), which has developed in opposition to inherited modal da. This opposition arose some time before the early 18th century and most likely several centuries before it, as we find variation signaling the weakening of the modal opposition already in the earlier texts and, by the time the twentieth century arrives, the opposition is already in decline, captured in the normative grammar of Prekmurje Slovene written in 1942, but is no longer extant in the speech of native speakers raised after the Second World War.

## 2.4 Diachronic development of da complementizer

The (provisional) contrast between realis and irrealis elaborated for Prekmurie Slovene, where modal da is the marked member of the opposition is not unexpected in its Slavic context. In OCS the particle da in earlier attested Slavic introduces clauses indicating intention, potential, hypothetical and possible propositions, as in example (5):

(5) *bьdite* molite da vьnidete параѕtь se ne νъ you.watch and you.pray REFL COMP NEG you.enter into temptation 'Watch and pray lest you enter into temptation.' (Matt. 26:41, various OCS mss.)

Diachronically, the modal da comes from a lative particle originating in a demonstrative pronoun (< PIE \*doh2) (Kopečný, Polák, and 1980:148-49, Snoj 2009/2015: s.v.), though other explanations as to its origin have been proposed (see also Grković-Major, this volume). It is generally assumed that in BCMS and Slovene da spread from potential to indicative propositions as its modal semantics weakened (Grickat 1975:73–78). It is thought that the spread progressed from west to east, a process that Grickat terms a "Balkanism in regression" (Grickat 1975:74), presumably on the basis of the attestations of this usage in the early 11th-century Freising Folia, as in example (6).

i (6) Tose uueruiu u Bog i u Zuueti Duh. uzemogoki u iega Zin also believe.1sg in God and and almighty in Holy Spirit in his son da ta tri <sunt> edin imena Bog COMP these three names <are> God one 'I also believe in God almighty and his Son and in the Holy Ghost that these three names are one God . . . ' (FF III)

# 2.5 Diachronic development of ka complementizer

The conjunction/complementizer ka originates in a lative or instrumental pronominal form IE  $*k^weh_2$ , cognate with Latin  $qu\bar{a}$  'in what manner?, by what means?, whereby?, how?' (Sihler 1995: 268, Snoj 1996: 190–91; Perseus 4.0). These senses are still reflected in the the semantic range of Prekmurje pronominal ka, which can express causality, as in (7 a, b).

(7) a. *Nei* jе mogo priti, ka je NEG can.PST.PTCP come.INF COMP AUX.3SG üšo. fell PST.PTCP 'He could not come, because it was raining.' b. Zato ie prišo, ka bi dug Therefor AUX.3SG come.PST.PC debt COMP COND vöplačo pay.PST.PTCP 'He came in order that he could pay (his) debt.' Mukič 2005, s.v. kå

The form is attested with various semantic developments, as in Polish dialectal (8a) and Bulgarian (8b) examples, as reported in (Kopečný 1980: 325). These illustrate the archaic lative meaning and the change from the spatial metaphor to a mental-map metaphor, respectively.

- (8) a. *Ka* ta idziesz. whither thither go.pres.2sg 'Where are you going?'
  - b. Ka to smo čuli, taka go kazvame. What AUX.3sg that, heard.PL so it.ACC.SG tell.PRS.3SG 'What we have heard, thus we tell it.'

The element *ka*- is also presumed to be the basis for the formation of the Slovene and Kajkavian pronoun kaj 'what' < \*ka-jb (Snoj 1996). The relic form ko, glossed as 'what,' is also found in Carinthian Slovene phrases adduced in Zdovc 1972 (109), reproduced in (9a-c).

- (9) a. Ko ра ie? What Foc be.PRS.3sg 'What is it?' / 'What's the matter?'
  - b. Ko nа bo? What FOC be.FUT.3sg 'What's will it be?' / 'What's gonna happen?'
  - c. Ko ра sə rekli? What FOC AUX.3PL say.PST.PTCP.PL 'What did they say'

These correlate to to Prekmurje Slovene, as illustrated in example (10), which appears in Mukič 2005: 143.

(10) Kå gé? What be.prs.3sg 'What is it?' / 'What's the matter?'

In contrast to the Carinthian dialect of Slovene (which has the compounded form characteristic of most of Slovene and Croatian Kajkavian \*ka-jb > k,ói ~ qói -Zdovc 1972:55, 134), in Prekmurje Slovene (stressed) kä is the normal form for the pronoun 'what.' There is good reason to think that the non-compounded from is the identical form in both Carinthian and Prekmurje Slovene. As I have pointed out in Greenberg (2000:65), rounded \*a was preserved longer in Carinthian and Pannonian dialects of Slovene, at least until the post *jer*-fall, as these two areas failed to merge strong *jers* with the reflex of \*a. Finally, returning to the aforementioned temptation to connect the Prekmurje dialect development to Balkan Slavic developments, it is enticing to see a connection between Prekmurje and Macedonian with the deka : da (roughly realis : irrealis), given the superficial resemblance of the forms and their function. As it turns out, however, deka is built from  $*(kb)d\check{e}$  'where' + a particle -ka that is widespread as a suffixal extension in standard and dialectal Macedonian appearing with pronouns and adverbs of time, as well as the interrogative deka 'where' as in the example in (11), provided by Victor Friedman (p.c., see also Friedman 2015).

(11) Deka ti e kukjata?
Where you.DAT be.PRS.3SG house.DEF
'Where is your house?'

Regarding the subordinator *da*, however, the Macedonian situation is indeed parallel to the development in Prekmurje in that it preserves the inherited Slavic irrealis semantics from Proto-Slavic.

#### 2.6 Slovene context

In at least two respects, the Prekmurje dialect's strategies for clause-combining differ from standard Slovene. Crucially, the Prekmurje dialect is more conservative with regard to the means of subordinate clause formation than Standard Slovene; with regard to the South Slavic dialect continuum, it is more conservative with regard to the preservation of the infinitive (vs. its loss) (see also Friedman and Joseph 2019). First, the use of participles, especially the present active  $(-o\check{c}-/-e\check{c}-)$  and past active  $(-[v]\check{s}-)$  participles have remained longer in robust use in Prekmurje and eastern Slovene dialects, which lent this material to the standardization process of modern standard Slovene in the nineteenth century, in contrast to the central dialects around the capital, Ljubljana, where participial constructions as clause-forming elements had already gone out of use (Jesenšek 1998) (see also discussion in 2.3). Second, the Prekmurje dialect retains the contrast in form between the infinitive and supine in contradistinction to the colloquial language as spoken in the central areas of Slovenia (notably, the urban spoken language of Ljubljana). Thus, Prekmurje has piti 'drink-INF', pît 'drink-SUP' while colloquial central (Upper Carniolan) Slovene has pit (or with local variations in vowel reduction, e.g., pat, pet) 'drink-INF', pît 'drink-SUP', corresponding to orthographic piti, pit. The innovative central Slovene infinitive presents a puzzle, since both the reduction of short-stressed -i- to a and loss of the final -i are attributable to the "modern vowel reduction," a process that began in the 16th century. However, the acute-stressed short vowel in the infinitive should have been preserved as short only if it were in the final syllable by the 15th century, implying that the loss of final -i preceded the lengthening of non-final acute-stressed syllables (see Greenberg 2000: 128, 147). This suggests that the central Slovene "short infinitive" arose (for morphological, rather than phonological, reasons) prior to the fifteenth century, which is to say that, pitch-accent contrasts between the infinitive and supine aside, the terminal shape of the two forms had become conflated to -t. This fact is interesting for at least two reasons: one, the loss of final -i in the infinitive has been noted as the first stage of the loss of the infinitive in the Balkan Slavic languages (see Joseph 1983: 139 for details), placing the nascent process in central Slovene together with those of Balkan Slavic in such a way that it is evidently not a function of geographical diffusion, as well as placing Prekmurje outside of the innovative zone (note also that acute lengthening never took place there); and, two, the ongoing loss of the infinitive (and supine) is a precursor to the expansion of da-clauses in Balkan Slavic languages. These facts suggest that the processes of change in the infinitive and supine forms may be structure-driven in South Slavic, e.g., the loss of final -i in the infinitive does not result in conflation with the supine, where it survives, since the two are distinguished by word-prosody in Western Balkan Slavic, and at least not entirely a function of contact change. Further, they suggest by extension that the parallel development in subordinate-clause marking between Prekmurje and Balkan languages is not a common innovation but a parallel development, each motivated by local conditions.

#### 2.7 Slovene and BCMS context

Though standard Slovene employs da as the default subordinating conjunction, da also has clause-marking functions that are relics of its earlier, richer semantics. At the beginning of a main clause, da marks optative constructions, often with an emotional color, such as urgency or threat, as illustrated in example (12).

(12) *Da* nikoli več vidim ne tukaj! OPT vou.ACC.SG never again NEG see.PRS.1SG here 'May I never see you here again!'

As in Slovene, main-clause-initial da in BCMS (Štokavian) can also be used to signal emotionally charged direct commands, as in example 13.

više (13) Da vidim ovd(i)e/ovđe! ne OPT you.ACC.SG NEG see.PRS.1SG further here 'May I never see you here again!'

Optative usages in Štokavian are fossilized in lexicalized wishes and curses, derived from phrases, such as dabogda 'I hope, let's hope' (< da bog da 'May God give'), or *dabo(g)me* 'of course' (< *da bog me* [verb.TR] 'may God [verb.TR] me').

#### 2.8 Peculiarities of da in BCMS

As mentioned above, the Kajkavian dialect today has da-complementation as in the rest of BCMS and there has been no mention in the literature of kacomplementation. This is worth noting to avoid the tacit assumption that Štokavian-based BCMS standards stand in for the non-Štokavian varieties of Croatian. In this sense, the Prekmurje contrast can be viewed as a local development on the notion that what does not go for central Slovene can sometimes be found in Kajkavian. Though Kajkavian da-complementation by and large agrees with Stokavian, in one subtle way it differs from it in that da is also used to mark reported speech, as illustrated in example (14) (Lončarić 1996: 131).

(14) Pita ribiča. da kaj mи da za fisher.Acc.sg ask.prs.3sg COMP what him.DAT give.3sg for dečka. toga this child.acc.sg 'He asks the fisherman: "What will you give [me] for that child?" (Kajkavian)

Colloquial Stokavian permits this usage, as well, though da is inserted (where

Ø would be neutral) to emphasize reported speech (Mate Kapović, p.c.), as in

example (15).

(15) *Kad* priđe milicajac i mи pita ga When him.DAT come.3sg cop and ask.3sg him.acc.sg da što čita to . . . COMP what this read.3sg 'When the cop comes and asks him "What are you reading...' (Štokavian) (internet forum http://hpgf.org/viewtopic.php?style=10&f=8&t=1738 accessed 26 October 2019).

In this respect, both in Kajkavian and Štokavian da functions as a marker of reported speech, apparently obligatorily in the former and optionally in the latter. The matter deserves further investigation, given that these comments are based on limited data, especially regarding Kajkavian.

## 2.9 Idiosyncrasy of the Prekmurje evidence

Another discrepancy in this regard between the Prekmurje dialect and the Balkan Slavic languages is in the material available for examining the phenomenon in question. The time horizon for attestation of the Prekmurje dialect begins in the first quarter of the eighteenth century with translations of the New Testament, devotional texts, a relatively small number of ephemeral texts for local consumption (calendars, newspapers) (see Jesenšek 2005), a Hungarian-language grammar of a proposal for standard Prekmurje Slovene (Pavel 1942, 2013), dialect texts (Pavel 1917, 1918), and the occasional attempt to revive the Prekmurje literary language (e.g., Ftičar 2004, 2006). The corpus of canonical Prekmurje texts, from which the dictionary of Old Literary Prekmurian (Novak 2006) is sourced, dates from 1715 to 1886 (Novak 2006: viiiix). Furthermore, the da: ka contrast in the generation born after the Second World War has apparently been lost in favor of the complete generalization of ka as a general marker of complementation/subordination. This means that understanding the contrast requires triangulation from the heterogeneous attestations rather than working with the testimony and internal knowledge of native speakers.

# 3 The distribution of da, ka in the Prekmurje dialect

# 3.1 The Prekmurje situation up to 1942

In this section the distribution of the subordinating markers are described from extant materials up to the composition of Pavel's 1942 grammar, after which the project to standardize the Prekmurje dialect was abandoned.

In transcripts of oral narrative (Pavel 1917, 1918),<sup>2</sup> we can observe when these two principles are set in tension with each other. Thus, in example (16), where the narrative frame is about wish-making, the same verb of speaking is used, but the DC contains a wish that is intended to be fulfilled.

<sup>2</sup> Examples from Pavel 1917 and 1918, once so designated as from this source, are noted by page numbers alone, which do not overlap, i.e., 1917 = pp. 161-187, 1918 = 263-282. Some of the phonetic details of the transcription are simplified in the paper, as they are irrelevant to the topic.

(16) D'äs san právla, d'às nàirài da bi ńuvoga I aux said COMP COND I most.of.all their ìnaša mèila. have servant 'I said that I would like most of all to have your-[extra-deferential] servant.' (Pavel 1918: 265)

Though the same verb *praviti* 'say' is used in the CTP of both examples (1) and (16), the illocutionary force differs in that in (1) the speaker expresses commitment to the proposition in the DC, while in (16) the DC contains a proposition that may or may not be realized and is independent of the volition of the speaker. In example (17) the speaker is the impersonal 'they' and the verb in the CTP asserts that what follows in the DC is a truth valid for all time, again favoring ka.

(17) Právijo, bräzglafci dűšä ka têstä so they.say COMP are headless.ghosts souls those präminôčä dicé. bräzi krsta märjéjo. štära passing.away children which without baptism thev.die 'They say that the headless ghosts are the souls of passed-away children who die without baptism.' (Kühar 1911: 57)

# 3.2 Analysis of distribution of ka: da

Verbs of perception in CTP consistently trigger *ka*-complementation in the DC, as in examples (18–20). This comports with the notion that perception is an affirmation of something observed and, accordingly, knowledge acquired in the real world, rather than a potential action or event.

(18) *Eden* ра 'znyih vidoucsi. ka je ozdravo; povrno One and from.them seeing AUX healed turned COMP fze iе zvelikim glászom dicsécsi Bogà. REF AUX with great voice glorifying God 'One of them, seeing that he was healed, came back, praising God in a loud voice' (Küzmič 1771: 229, Luke 17:15)

- (19) *Vido* ie žalosten. ie, ka He.saw AUX COMP is sad 'He saw that he is sad.' (Novak 2006: Jožef Bagary 1886, s.v. viditi)
- (20) Zaglädno iä. nikša škatla dòi po vòudi plàva. ka He.noticed AUX COMP some box below on water floats 'He saw **that** some box was floating on the water.' (Pavel 1918: 269)

Pavel states in his prescriptive grammar that da is preferred after verbs expressing emotions, as illustrated in (21, 22), though other clause linkers may also occur in the DC responding to the question zakaj 'why': ár 'because', jer 'because' e.g., Szilie je lepô, ár szmo dobro letino meli 'The grain is good, because we had a good harvest' (§ 444).

(21) Trno me veszelí, da (ka) szi zse рá zdrav. me pleases COMP AUX-2SG already again healthy 'I am very pleased **that** you are healthy once again' (Pavel 1942 § 444)

Thus the expression of an emotional state such as biti žalosten 'be sad' in the CTP tends to trigger the appearance of da, as noted descriptively in a transcribed folktale (22)

(22) Kà bi näbi büu žàlostän – právi srmák – How sad wretch COND NEG-COND was says da tòi dèitäcä òudo! san sold COMP AUX-1SG this baby "How would I not be sad," said the wretch, "that I have sold this baby!" (Pavel 1917: 175)

#### 3.2.1 Combination with conditional bi and purpose clauses

Pavel asserts that da also marks potential, unrealized states or events, noting in Pavel 1942 that DCs of purpose require da bi (i.e., with the addition of the conditional-optative marker) and cannot be marked with ka or ka bi, implying that speakers are likely to produce the latter. The prohibition on *ka bi* is perhaps Pavel's normativist intervention, as such constructions abound in the historical texts, e.g., (23) ki na pouli; ie whichever be.3sg on field.Loc.sg naj fze ne povrnè k onim: OPT.COMP REFL NEG return.3SG to them.DAT **ftera** je fzebom niháo. za which.N.ACC.PL be.3sg after self.ins leave.pst.ptcp.m.sg ka hi vzeo gvant fzvoi COMP COND take. PST.PTCP.M.SG cloak.M.ACC.SG one's.own.M.ACC.Sg. 'whoever is in the field, may he not return to those things that he has left behind, so that he may take his cloak' (Küzmič 1771: 144, translating Mark 13:16)

Küzmič 1771 has 270 instances of da bi against 156 instances of ka bi, indicating that ka had become a possibility alongside da in purpose clauses (alongside naj, as evidenced in the example 23) even in the eighteenth century. By inference we may surmise that the addition of bi sufficiently weakens the epistemic force of ka: da so that either form is acceptable in this combination. Pavel also points out that purpose clauses may be substituted with the supine, as in 24.

(24) Bozsi szin je priso szvét **odrésit**God's.Nom.sg.m son AUX.3sG come.PST.PTCP.M.SG world save.sup
'God's son came to **save** the world'
(Pavel § 445)

As in standard Slovene, the supine occurs only in a clause following a verb of motion.

#### 3.2.2 Future readings in the CTP

Similarly, future tense propositions are read as potential, favoring *da*. In example (25) the text is immediately preceded by a statement proposing a soon-to-be, but as yet unrealized, world in which the Prekmurje written language will be fully developed and put into use for the production of literature (*Pride csasz, i ne je dalecs, gda bomo vu nasen maternom jeziki csteli dobra, csedna, postena, düsi i teli hasznovita dela* 'There will come a time, and not long from now, when we will be able to read good, beautiful, honest, works, useful for soul and body alike, in our mother tongue'.)

(25) Niscse nemre prebraniti **da** vszaki bode nobody cannot prohibit COMP each FUT-3SG dobroga szvojem jeziki... csteo kaj vu read something good language in own 'Nobody can prevent **that** each will be able to read something good in one's own language...' (Kalendar 1915, cited in Jesenšek 2005: 90)

Scanning the whole of the 1771 Gospel, no examples of DC with ka + future were found, though examples, as in (26) of da + future are attested.

(26) hodta i vcsinim váj; za menom you.two.walk after and I.shall.make-PFV vou.two me da bodeta lűdi ribicsa that you.shall.be of.people two.fishermen 'follow me and I shall make you fishers among men.' (Küzmič 1771: 104; Mark 1:17)

# 3.3 The Prekmurje situation from the second half of the 20th century

#### 3.3.1 Reduction and elimination of da

As mentioned earlier, the contrast between da and ka has apparently been lost in the generations that came of age after the Second World War, an observation from my own fieldnotes as well as the confirmation of a native-speaker researcher at ZRC SAZU, Mojca Horvat (p.c.). This would seem additionally confirmed by the absence of any examples of da-complements in the recent Porabje dialect dictionary by Mukič (2005), which reflects the variety of the Prekmurje dialect spoken on the Hungarian side of the border and, as such, the variety that would have had the fewest opportunities for influence from standard Slovene. Examples (27–29) are provided with the equivalent standard Slovene and standard Hungarian translations, in this order, as they are presented in the dictionary. Example (27) represents the control case, since its obvious reading is factual, where the

<sup>3</sup> My fieldwork, conducted in the late 1980s, focused on phonology and accentual paradigms in inflected words, so any notes that included information about clause combining would have been collected unsystematically.

CTP presents a circumstance that is asserted to be observable in the real world. Accordingly, *ka* would be the expected conjunction and so it is.

(27) PSn Κå tåu déla, tåk prklínjaš? za ka what this for matters COMP so you.cuss StSn Kai se to pravi da tako kolneš what REFL this says COMP to vou.cuss 'What's up with that, that you are cussing so much?' (Hung. Micsoda dolog, így káromkodni?) (Mukič 2005, s.v. délo)

Example (28 a, b) presents a purpose clause in the DC, which would have historically favored *da bi* in Prekmurje Slovene, but here *ka bi* is instead attested.

(28) a. PSn Záto ie príšo, ka bi dúg vöpláčo. for that AUX-3SG came COMP COND debt paid b. StSn Zato ie prišel, da bi poravnal svoj dolg. for that AUX-3sg came comp cond paid debt own 'He came in order to pay his debt' (Hung. Azért jött, **hogy** rendezze az adósságát) (Mukič 2005, s.v. *ka*<sup>1</sup>)

Example (29 a, b) presents both a future and (as yet) unrealized circumstance in the DC, though the CTP frames the assertion as an epistemic commitment to a future world. As such, the choice of the conjunction could have gone either way, but, again, only ka is attested. A factual and causal DC also takes ka, as in (30 a, b).

(29) a. PSn Nakåno ka nede več píu. ie decided AUX-3SG COMP NEG-FUT-3SG more drink b. StSn *Odločil* da ne bo se ie, decided REFL AUX-3SG **NEG FUT 3SG** COMP več pil. drink more

'He decided **that** he would no longer drink.' (Hung. *Megfogadta*, **hogy** *nem iszik*)

(Mukič 2005, s.v. nakåniti)

Perhaps the most compelling example is (31 a, b), in which the main clause frames the event as future and the subordinate clause presents a potential, but ultimately unrealizable action, both of which would have historically favored da. Here, again, ka is attested.

'I'm gonna kick you so hard in the butt that you are going to fly to the moon!' (Hung. Úgy fenékben billentelek, hogy attól koldulsz) (Mukič 2005, s.v. *rít*)

Finally, there is evidence from an epistolary memoir by the Prekmurje native journalist Jože Ftičar (1930–2017), who was a sophisticated writer of standard Slovene in his career, was trained as a dialectologist, but in the memoir wrote in a consistent rendering of the dialect that in general terms conforms to the norm outlined by Pavel (1942, 2013), though using the gajica orthography as in standard Slovene (Ftičar 2004, 2006). Ftičar's native village was Gomilica in southeastern Prekmurje, which in terms of the internal divisions of the dialect is furthest from that spoken in Porabje (north) though closer to Pavel's native village of Cankova (west) (see Greenberg 1993 for details). Nevertheless, after scanning some 20 pages of text, I found that the distribution of ka: da is clear-cut, where ka is the default and *da* may occur only in combination with the conditional marker *bi*.

In example (32) the factual-signaling ka is expected and attested, as the CTP contains a verb of perception and the DC contains an observed event in the real world. (32) Vídin, ka vaj víno podéžgalo... see.1sg COMP you.DU wine fired.up.PST.PTCP.N.SG 'I see that the wine has fired the two of you up...' (Ftičar 2006:16)

Examples (33) and (34), in which the DC is a purpose clause, would have earlier favored da, but instead we find ka. Example (34) would be the stronger case for da, given that the DC is hypothetical, while example (33) represents a potential action that is also understood to have been realized. Only in example (35) is da triggered in a DC with a hypothetical circumstance that is entirely in an imaginary world, suggesting that the use of da has now, at least in Ftičar's idiolect, restricted to non-real-world hypothetical actions or circumstances.

- (33) ... je stópo žnjin na škêgen, **ka bi** bole čüu...

  AUX-3SG stepped with.him onto barn COMP COND better heard

  '...he stepped with him into the barn **in order to** better hear...'

  (Ftičar 2006: 17)
- (34) ...stávek je dünola hi tak glasno, kak **da** sentence AUX-3sg she.blurted so loudly as COMP COND ... plivánuš Sàkovič ga strèskali *s prèdgance* Father Sàkovič from pulpit it thev.thundered "... she blurted out the sentence so loudly that it was as if Father Sakovič had thundered it from the pulpit . . . ' (Ftičar 2006: 22)

Note also in (35) that ka (da) bi have not fused, as they still admit intervening clitics.

(35) ...se bránijo dicé, ka se ne bi zêmla prêveč
REFL they.defend children COMP REFL NEG COND land too.much razfrčkala...
divided ...
'...they oppose the children, so that the land wouldn't be divided up too much...'

(Ftičar 2006: 20)

# 4 Discussion and conclusion

Above we have described the rise and fall of an opposition between two complementizers/subordinators da: ka in Prekmurje Slovene, which bears a resemblance to a parallel phenomenon in Balkan Slavic and other Balkan Sprachbund languages. The Prekmurje development is, however, independent of the Balkan one, as the generalization of da as a general marker of subordination had reached the northern limit of the South Slavic territory by the 11th century, as evidenced by the Freising Folia (ex. 4). The general meaning of ka as marker of 'real, factual' propositions and da as 'possible, potential' ones was recognized by native grammarians in the early part of the 20th century (Kühar, Pavel, see section 2.0). Pavel noted that the distribution of the markers was of a probabilistic nature, where the expected marker occurs more frequently in the contexts noted in examples (1, 2).

With regard to the internal, notional reticulation of reality status in Prekmurje Slovene as signaled by ka:da, we may draw some inferences from the data examined. Assertion of fact and commitment to the truth value of the proposition in the subordinate clause seems to be the fundamental notion anchoring the use of the ka-subordinator, as indicated by the consistent use of ka with CTPs containing verbs of perception and DCs containing a proposition presented as witnessed and having taken place in the world, as in examples 18–20. In a parallel fashion verba dicendi are treated the same (example 17). Standing outside of the epistemic modality noted by Chung and Timberlake (section 1.1), CTPs expressing a state of emotion trigger da in the DC (examples 21, 22) and override the factual-signaling function of ka. What is at stake in Prekmurje Slovene is that there are at least two distinctions operating with the ka:da contrast, one opposing real vs. irreal and another opposing description vs. emotion/evaluation...

CTP future propositions require da and, at least through the 18th century, ka appears to have been excluded from future-oriented DCs (examples 25, 26). DCs with the combination of da + bi (conditional marker), with a statistical prevalence of da bi over ka bi, are noted in 18th-century texts (section 3.13). Furthermore, in the end period when the subordinator opposition has nearly collapsed in favor of the generalization of ka, da bi persists sporadically in 20th c. attestations, as in example (34), as contrasted with ka bi uses (33, 35) from the same author. To summarize, up through the first half of the 20th century, da clauses in Prekmurje Slovene were favored in the context of epistemic modality where either futurity or conditionality are expressed; an emotional state of the speaker overrides the notion of epistemic modality. Those that hew to or are asserted to be identical to or close to the actual world condition ka-clauses. In the second half of the 20th century the opposition collapses in favor of ka, removing the marked member of the opposition.

It is tempting to speculate about additional, non-structural causation for the collapse of the opposition in favor of *ka* as the general subordinator. In part it is clear, both from the attestations and from the optionality indicated by Pavel's Sprachgefühl, as articulated in his grammar, that the notional motivations underlying the forms had been labile as early as the earliest texts in the first part of the 18th c. and that da was the marked, ka the unmarked form. In this sense the erosion of the system in favor of the unmarked form is unsurprising. However, when regarded in a sociolinguistic perspective, taking into consideration the connections between Prekmurje Slovene both with central Slovene and the Kajkavian dialect, which both pattern with (Štokavian-based) BCMS in generalizing da, it raises the question of why Prekmurje remained resistant to the overall western South Slavic tendency. Here there may be an additional motivation that speaks to a preference for linguistic style, that is, linguistic identity, which has served to sharpen the contrast between the dialect and its neighbors cf. the sentiment expressed in the example in example 25. As this issue had not been addressed in the literature and we now lack the generation of speakers who could verify this potential motivation, the matter must remain speculative.

To summarize the developments described in this paper we can sketch the following processes as presented in Table 1.

Table 1: Proposed chronology of developments.

Balto-Slavic to Slavic (up to ca. 1000 AD)	Participial subordinating construction yield to COMP-headed subordinated clauses.		
by 1000 AD	modal comp da prevails in South Slavic		
12th c. AD on	Infinitive loss in eastern South Slavic, spreading gradually westward.		
by 1500	Short infinitive in central and southern Slovene dialect reflects nascent infinitive loss, which north-eastern Slovene (Prekmurje) remains conservative.		
by 18th c.	Prekmurje develops factual <i>ka</i> COMP, competing with <i>da</i> COMP.		
2nd half of 20th c.	All but relic usage of <i>da</i> bith cond <i>bi</i> is replace by <i>ka</i> comp in Prekmurje.		

# **Abbreviations**

**BCMS** Bosnian, Croatian, Montenegrin, Serbian

CTP complement-taking predicate

DC dependent clause

FF Freising Folia (Bernik, Faganel, et al. 1992)

Germ German Hung Hungarian

PSn Prekmurie Slovene StSn Standard Slovene

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# Volume 361

# Clausal Complementation in South Slavic

Edited by Björn Wiemer, Barbara Sonnenhauser



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# **Preface**

This volume presents a collection of articles that share a focus on South Slavic languages and clausal complementation. They offer insights on this topic from various perspectives, taking up issues relevant not only for specialists of (South) Slavic languages, but also for the broader linguistic community interested in clause combining, areal linguistics, language contact, diachronic syntax and/or corpus linguistics. In their entirety, the studies assembled here cover the entire linguistic geography of South Slavic, including exclave varieties in Italy as well as contact with non-Slavic languages such as Albanian and Hungarian.

The volume arose from the workshop "Variation in space and time: clausal complementation in South Slavic," which was funded by the Swiss National Science Foundation and organized by the editors on March 17–19, 2016, at the University of Zurich. Most of the contributors to this volume were also participants in that workshop. We thank every author for their continued motivation to contribute to this joint endeavor, which, as we hope, will help establish directions for the study of clause combining, in particular of clausal complementation, in Slavic languages in a modern framework. We are also obliged to an anonymous reviewer who provided thoroughgoing and valuable comments on every article and to Giulia Morra for her assistance in creating the index. Moreover, we thank the publishing house De Gruyter and, in particular, the editors in charge of the TiLSM series for taking our volume on board.

Björn Wiemer & Barbara Sonnenhauser (Mainz / Zurich), April 2021

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