

Methylomic Signatures of High Grade Serous Ovarian Cancer

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50 **Abstract**

51 High-grade serous ovarian cancer (HGSOC) harbors aberrant epigenetic features,
52 including DNA methylation. The overall objective of this study was to delineate pathways
53 and networks altered by DNA methylation and associated with HGSOC initiation and
54 progression to a platinum resistant state. By including tumors from patients who had
55 been treated with the hypomethylating agent (HMA) guadecitabine, we also addressed
56 the role of HMAs in treatment of HGSOC. Tumors from patients with primary (platinum-
57 naïve) HGSOC (n=20) were compared to patients with recurrent platinum-resistant
58 HGSOC and enrolled in a recently completed epigenetic therapy clinical trial
59 (NCT01696032). Human ovarian surface epithelial cells (HOSE; n=5 samples) served
60 as normal controls. Genome-wide methylation levels were determined by using the
61 Infinium Human Methylation450 Bead Chip (Illumina). DNA methyltransferase (DNMT)
62 expression levels were determined by immunohistochemistry and correlated with clinical
63 outcomes. Among differentially methylated genes (DMGs) in primary OC when compared
64 to HOSE, cancer-related and tumorigenesis networks were enriched. In the comparison
65 between platinum-resistant and primary tumors, 452 CpG island (CGI)-containing gene
66 promoters acquired DNA methylation; of those loci, decreased ($P<0.01$) methylation after
67 HMA treatment was observed in 42% (n=189 CGI). DMGs representing networks related
68 to stem cell pluripotency and cytokine metabolism were observed in recurrent platinum
69 resistant OC tumors, while drug metabolism and transport-related networks were
70 significantly downregulated in tumors from patient treated with HMA compared to HOSE.
71 A functional role for key cancer genes was validated using epithelial OC cell lines.
72 Furthermore, lower DNMT1 and 3B protein levels in pre-treatment tumors were

73 associated with improved progression free survival. The findings provide important insight
74 into the DNA methylation landscape of HGSOC tumorigenesis, platinum resistance and
75 epigenetic resensitization. We conclude that epigenetic reprogramming plays an
76 important role in the etiology of HGSOC and contributes to clinical outcomes.

77 **Introduction**

78 Epithelial ovarian cancer is the most lethal gynecologic malignancy and with no adequate
79 early detection screening, the majority of patients are diagnosed with advanced stage
80 disease [1]. High grade serous ovarian cancer (HGSOC), the most common OC
81 histological type, initially responds to platinum-based therapy [2]. However, up to 75% of
82 responding patients relapse and eventually develop platinum-resistant disease. HGSOC
83 survival rates have remained essentially unchanged for decades [2].

84

85 It has been hypothesized that aberrant epigenetic processes, such as DNA methylation
86 and histone modifications, play an important role in HGSOC initiation and progression to
87 a platinum-resistant state [3-5]. Those epigenetic changes contribute to broad changes
88 across the epigenome, including transcriptional inactivation of tumor suppressor genes
89 (TSGs) [6]. A large number of TSGs have been identified as being hypermethylated and
90 epigenetically silenced in OC [7-10]. Development-associated genes [11, 12] have also
91 been shown to be epigenetically silenced in advanced HGSOC. Furthermore, bench-to-
92 clinic therapeutic interventions using tumors biopsied before and after hypomethylating
93 agent (HMA) treatment identified demethylated TSGs whose increased expression was
94 functionally linked to resensitization of ovarian tumors to platinum and associated with
95 improved clinical outcomes [8, 9, 13-23]. Collectively, pre-clinical and clinical studies
96 support the broad scale of epigenetic complexity in OC and a role for DNA methylation in
97 platinum-resistant disease.

98 The overall objective of this study was to delineate pathways and networks altered by
99 DNA methylation and associated with HGSOC initiation and progression to a platinum
100 resistant state. The effects of a HMA on methylated genes in platinum resistant HGSOC
101 were also measured. Toward this goal, we made multiple comparisons using
102 bioinformatic analyses of differentially methylated genes (DMGs) generated from normal
103 human ovarian surface epithelium cells (HOSE), primary (untreated) tumors, recurrent
104 (platinum-resistant) tumors, and tumors from patients who had been treated with a HMA
105 and platinum as part of a clinical trial (NCT01696032) [19]. By including tumors of HMA-
106 treated-patients, we addressed the emerging role of HMAs in treatment of HGSOC.
107 Pathways and networks associated with OC tumorigenesis and acquisition of platinum
108 resistance were identified, including regulation of epithelial mesenchymal transition,
109 cancer metastasis signaling, and DNA damage-induced 14-3-3 σ signaling. Functional
110 validation of significant DMGs was performed. Further, expression of specific DNMT
111 isoforms, the enzymes responsible for *de novo* and maintenance methylation in tumor
112 samples was measured and correlated with clinical outcomes. The findings provide new
113 insight into epigenetic reprogramming in HGSOC in general, and the DNA methylation
114 landscape of platinum resistance and epigenetic resensitization in particular.

115

116 **Materials and Methods**

117 **Study samples/Patient.** For this study, four groups of samples were analyzed 1) **Group**
118 **1:** primary HGSOC (n=20) obtained from the Indiana University Simon Comprehensive
119 Cancer Center Tissue Bank, according to institutional guidelines; 2) **Group 2:** recurrent
120 platinum resistant baseline HGSOC tumors from patients (n= 42) enrolled in a clinical trial

121 (NCT01696032; study design and patient information were previously described [8]); 3)
122 **Group 3:** HGSOC tumors from patients after treatment with the HMA guadecitabine +
123 carboplatin (G+C) for 2 cycles (Cycle 2, Day 8, prior to the carboplatin infusion, n= 11;
124 NCT01696032; [19]); 4) **Group 4:** human ovarian surface epithelial cells, HOSE (n=5),
125 served as normal epithelium controls for this study. Samples were tumor fragments
126 (Group 1) or needle biopsies (Group 2 and 3) and were stored frozen in liquid nitrogen
127 until use. HOSE cells were obtained from normal ovaries of five patients at Indiana
128 University School of Medicine (Department of Obstetrics and Gynecology) by
129 scraping the ovarian surface epithelium and short term culture. Cell culture conditions
130 and procedures were described previously [24]. HOSE cells were placed in short-
131 term culture and expanded (two to four passages). The purity of the HOSE cells was
132 confirmed by keratin and vimentin immunostaining as described [24]. Normal fallopian
133 tube luminal epithelial cells were provided by Dr. Theresa Woodroff (Northwestern
134 University, Chicago). All protocols and procedures for human subjects were approved by
135 Indiana University School of Medicine.

136

137 **Cell lines and culture conditions.** OC cell lines OVCAR3, A2780 and SKOV3 were
138 obtained from the American Type Culture Collection (ATCC, Manassas, VA). OVCAR5
139 cell line was obtained from the Developmental Therapeutics Program at the National
140 Cancer Institute. All cell lines were authenticated by short tandem repeat (STR) analysis
141 and tested for mycoplasma contamination in 2017 (IDEXX BioAnalytics, Columbia, MO)
142 and mycoplasma testing was thereafter performed twice yearly. Cell culture conditions,

143 development of cisplatin resistant cells and extraction of DNA and RNA are described in
144 Supplemental Methods (SM).

145

146 **Methylome analysis.** DNA extracted from patient samples and HOSE cells was bisulfite
147 converted and DNA methylation was assayed by using the Infinium Human Methylation
148 450 BeadChip (HM450; Illumina) at the University of Chicago Genomics Facility. Data
149 preprocessing and analyses were conducted in the statistical programming environment
150 R v3.1.2 with the package RnBeads v0.99, as previously described [8, 15, 25, 26].
151 Normalization and background correction were applied to methylation data with
152 manufacturer recommended algorithms implemented in *methylumi* package [27, 28].
153 Methylation levels were averaged for the replicates for each biopsy or HOSE after
154 normalization and the difference in methylation β -value between two groups or the mean
155 of the pairwise difference for paired samples was calculated. To correct *p*-values for
156 multiple hypothesis testing, false discovery rates (FDR) were calculated by using an
157 improved Benjamini-Hochberg procedure [29], and the methylation changes in CpG
158 sites/regions with FDR < 0.05 were considered statistically significant. Ingenuity Pathway
159 Analysis (IPA) was used to identify functional interactions between differentially
160 methylated genes. Average methylation signals on the CpG sites within each CpG island,
161 gene body, and/or promoter region were hierarchically clustered with Pearson
162 dissimilarity and average linkage as clustering parameters. Illumina
163 HumanMethylation450 BeadChip results are available for download at Gene Expression
164 Omnibus (GEO) data repository at the National Center for Biotechnology Information
165 (NCBI) under the accession number GSE102119.

166 **Real-time RT-PCR.** Expression (*mRNA*) levels of selected genes were measured in
167 primary HGSOC tumors (n=20), normal fallopian tube epithelium (FTE; n=1), and cell
168 lines by real-time RT-PCR (details are described in *SM*).

169

170 **Immunohistochemistry (IHC).** Expression of DNA methyltransferases (DNMT1,
171 DNMT3A and DNMT3B) was measured by IHC in archival paraffin-embedded HGSOC
172 tumors obtained at time of diagnosis (before any therapy) from 32 patients included in
173 Sample/Patient Group 2 (Recurrent). For IHC, tissue sections were treated with xylene to
174 remove paraffin and then hydrated by immersion in decreasing concentrations of ethanol.
175 Antigen retrieval was performed in 10 mM citrate buffer, pH 6.0, at 95°C for 30 minutes
176 followed by removal of peroxidase activity using H₂O₂ for 15 minutes, blocking with 3%
177 normal goat serum for 30 minutes at room temperature, and incubation with primary
178 antibody at 4°C overnight. DNMT1 antibody (ab19905; Abcam, Cambridge, MA) was used
179 at 10 µg/mL. Antibodies for DNMT3A (NB120-13888, clone 64B1446) and DNMT3B
180 (NB100-266; Novus Biologicals, Littleton, CO) were used at 5 µg/mL and 1/100 dilution,
181 respectively. Tissue sections were incubated with secondary antibody using the avidin-
182 biotin peroxidase technique with DAKO Detection Kit (DAKO North America, Carpinteria,
183 CA). Staining was developed using Liquid DAB+ Substrate Chromogen System (DAKO
184 North America, Carpinteria, CA). Staining intensity (0-3+ scale), and percentage of
185 stained cells were quantified by a board-certified pathologist. IHC scores were calculated
186 as the product of staining intensity by the percentage of stained cells.

187

188 **Statistical Analysis.** Pearson correlation coefficients of DNMT expression levels and
189 IHC scores were calculated using GraphPad Prism (San Diego, CA). Real-time RT-PCR
190 data were analyzed using Student t-test on Microsoft Excel for Windows. Values of
191 $P < 0.05$ were considered significant. Associations between clinical outcomes
192 (progression free survival, PFS; overall survival, OS) with protein (IHC scores) and mRNA
193 expression levels of DNMT1, DNMT3A and DNMT3B, were examined using proportional
194 hazards regression in SAS V9.4 (SAS Institute, Cary NC). IHC scores and mRNA
195 measurements were treated as categorical values with optimal cut point defined by the
196 maximal chi-square method. All models accounted for early (Phase I or MTD groups) vs
197 late (treatment of choice group that crossed over to G + C after progression) exposure in
198 NCT01696032 [16, 19]. For PFS, separate models were fitted that either included or did
199 not include the subjects that crossed over to G + C after progression. Hazard ratios > 1
200 mean that if the marker is above the defined cut point then the hazard of having the event
201 are higher. Kaplan-Meier curves were generated for key results. Because of the small
202 sample sizes, the size of the hazard ratio, rather than the p-value, was to guide
203 interpretation of results. Hazard ratios > 2 (or corresponding less than .5) are reported.

204

205 **Results**

206 **Study population and workflow of data analysis.** A total of 73 ovarian tumor samples
207 were analyzed, consisting of 20 primary untreated HGSOC tumors, 42 recurrent platinum-
208 resistant HGSOC tumors, and 11 guadecitabine-treated platinum resistant HGSOC
209 tumors (Figure 1a, data analysis workflow). Tumor biopsies from patients with platinum-
210 resistant HGSOC enrolled on a clinical trial testing the combination of guadecitabine and

211 carboplatin (NCT01696032) were collected on cycle 1 day 1 (C1D1; pre-gadecitabine),
212 and gadecitabine-treated tumor biopsies were collected after two full cycles of daily X 5
213 doses of gadecitabine on day 8 (C2D8) (Supplementary Figure S1). Samples obtained
214 from this clinical trial [8, 19] were subjected to the analysis work flow (Figure 1a).

215

216 **Differential DNA methylation between tumors and HOSE.** To begin investigating
217 changes in DNA methylation in HGSOC tumors, we profiled methylated genes in HOSE,
218 primary, recurrent, and gadecitabine-treated OC tumors using Infinium
219 HumanMethylation 450 BeadChip arrays. Both fallopian tube and ovarian surface
220 epithelia have been identified as cells of origin of HGSOC [30-33] and we used HOSE in
221 the present study to define a normal baseline. Differential methylation between each
222 tumor group (primary, recurrent, and HMA-treated) and HOSE was determined for all
223 CpG sites, sites located within genes (promoter and body), promoter region, and CpG
224 islands and shown as volcano plots in Figure 1b. Methylation changes between groups
225 were defined on the basis of CpG sites with a $|\Delta\beta| > 0.1$ and $FDR < 0.05$. Applying these
226 criteria to differential methylation at promoter region (tumor vs. normal), we identified
227 2647, 2642 and 2234 differentially methylated genes between primary tumors vs. HOSE,
228 recurrent tumor vs. HOSE, and gadecitabine-treated tumor vs. HOSE, respectively
229 (Table 1, Supplementary Tables S1-S3). Pathways enriched/altered by differentially
230 methylated genes in these three comparisons were determined by using IPA. The most
231 significantly enriched pathways common to all comparisons were FXR/RXR activation
232 and LXR/RXR activation (Supplementary Figures S2a, S3a, S4a). Cancer-related and
233 tumorigenesis networks were enriched in primary OC when compared to HOSE

234 (Supplementary Figure S2b; red or green represents hypermethylated/inhibited or
235 hypomethylated/activated molecules). DMGs in recurrent, platinum resistant OC tumors
236 compared to HOSE were enriched for networks related to stem cell pluripotency and
237 cytokine metabolism (Supplementary Figure S3b). Drug metabolism and transport-
238 related networks were significantly downregulated among DMGs from tumors collected
239 from patients post-HMA treatment compared to HOSE (Supplementary Figure S4b). We
240 observed similar trends in sites unrelated to an island, i.e., differentially methylated sites
241 generally occurring within the gene body (Fig. 1b). The entire list of networks enriched by
242 DMGs in the OC groups compared to HOSE can be found in Supplementary Table S4.

243

244 **Methylomic changes during acquired platinum resistance.** To examine methylomic
245 changes acquired during development of platinum resistance, we compared DMGs from
246 primary vs. platinum resistant tumors to HOSE cells. We considered methylation changes
247 specific to development of cisplatin resistance as those observed in recurrent tumors but
248 not in primary tumors. The analysis identified 452 hypermethylated genes specifically
249 occurring in recurrent platinum resistant cancer (Figure 2a, blue ellipse, gene list in
250 Supplementary Table S5). Biological pathways associated with those 452 genes,
251 determined by IPA, included gene ontology (GO) terms related to *migration and*
252 *metastasis of OC cells, invasion of carcinoma cell lines, epithelial mesenchymal transition*
253 *(EMT), differentiation of mesenchymal stem cells*, among others (Figure 2b). Furthermore,
254 multiple pathways were represented by the 452 genes, including *cancer metastasis*
255 *signaling, DNA damage-induced 14-3-3 σ signaling, and regulation of EMT* (Figure 2c).

256

257 **Hypomethylating agent-induced methylomic changes.** When we intersected
258 hypomethylated genes for all three comparisons (primary HGSOC vs. HOSE, platinum-
259 resistant HGSOC vs. HOSE, and guadecitabine-treated HGSOC vs. HOSE), we observed
260 that guadecitabine induced demethylation of 189 genes (Figure 3a, blue ellipse, gene list
261 in Supplementary Table S6), which were hypermethylated in recurrent, platinum resistant
262 ovarian tumors compared to HOSE. Networks included *EMT inhibition* and *reduced cell*
263 *survival of cancer cells* (Figure 3b). Pathway analysis showed that guadecitabine altered
264 methylation of genes associated with *cell cycle checkpoint regulation*, *vitamin-C transport*
265 and *apoptosis signaling pathways* (Figure 3c). These data offer support that cellular
266 processes impacted by HMA treatment contribute to platinum re-sensitization.

267

268 **Functional validation.** To validate our findings, expression levels of specific genes in
269 response to treatment with an HMA were validated in preclinical models of platinum-
270 resistance developed by us (Supplementary Figure 5). Gene selection was based on the
271 bioinformatics analyses performed in this study and on previously recognized positive
272 correlations between methylated transcripts and OC prognosis/outcome in the existing
273 literature [34]. For example, FXYD domain containing ion transport regulator 6 (*FXVD6*),
274 pyruvate dehydrogenase complex component X (*PDHX*), and ubiquitination factor E4A
275 (*UBE4A*) were previously identified by us to be silenced via methylation during acquired
276 platinum resistance [31]. In addition, interferon regulatory factor 9 (*IRF9*), ecotropic viral
277 integration site 2A (*EVI2A*) and C-C motif chemokine ligand 19 (*CCL19*) had been found
278 to be demethylated and re-expressed in ovarian tumor biopsies from HMA-treated
279 patients [8]. Platinum-sensitive/parental (wild-type) and -resistant EOC cell lines

280 OVCAR5, SKOV3 and A2780 were utilized (untreated or treated with vehicle (control) vs.
281 guadecitabine). It is worth noting that recent genomic studies indicated that these cell
282 lines may not have originated from HGSOC [32, 33]. Basal expression level of *FXVD56*
283 was significantly lower in resistant OVCAR5 and resistant A2780 cells, while *UBE4A* was
284 downregulated in resistant OVCAR5 cells relative to parental cells (Figure 4a-c).
285 Although guadecitabine treatment upregulated *FXVD6*, *PDHX*, *UBE4A*, *IRF9*, *EVI2A*
286 and *CCL19* in the majority of EOC cell lines, the platinum-resistant cell lines were overall
287 more responsive to the HMA (Figure 4d-f). Increased expression after guadecitabine
288 treatment was observed for 5 of 6 genes in OVCAR3 cells (Figure 4g).

289

290 **Expression of DNMTs in primary OC tumors.** The DNMTs (1, 3A and 3B) are the
291 enzymes responsible for maintenance and de novo DNA methylation, respectively. Given
292 our observations related to DNA methylation changes associated with HGSOC
293 progression obtained through methylomic analyses, we sought to determine the
294 expression levels of DNMTs and potential associations between expression and clinical
295 outcomes. DNMT1, DNMT3A, and DNMT3B *mRNA* expression levels were examined in
296 primary HGSOC tumors relative to FTE (Sample/Patient Group 1). DNMT1 and DNMT3B
297 expression levels were similar, and expression of both was higher than DNMT3A (Figure
298 5a). A positive correlation between DNMT1 and DNMT3B was observed (Figure 5b),
299 whereas no correlation was seen between DNMT3A and DNMT1 or DNMT3B (Figure 5c).
300 A positive correlation between DNMT3B and DNMT1 mRNA levels was also noted in a
301 subgroup of recurrent HGSOC tumors of Sample/Patient Group 2 (Supplementary Figure
302 S6a-b), further indicating that an association between *de novo* (DNMT3B) and

303 maintenance (DNMT1) methylation [35] persisted through development of platinum
304 resistance.

305

306 IHC was used to measure DNMT protein expression and localization in a subset of 32
307 HGSOC specimens obtained at time of diagnosis from patients included in
308 Sample/Patient Group 2 (Figure 6a; representative immunostaining). IHC scores for
309 DNMT1 were greater ($P<0.05$) than DNMT3A or 3B (Figure 6b). A positive correlation
310 ($P=0.027$) was observed between DNMT3A and DNMT3B IHC scores (Figure 6c).
311 Although DNMT1 and DNMT3B mRNA levels were correlated (Figure 5b), the correlation
312 between IHC scores of these DNMTs did not reach statistical significance ($P=0.07$, Figure
313 6c), perhaps due to the limited number of specimens.

314

315 Finally, it was of interest to examine whether DNMT protein levels in the primary ovarian
316 cancer tumors associated with clinical outcomes (PFS, OS). Intriguingly, hazard ratio
317 values indicated that low IHC scores for DNMT1 or DNMT3B were associated with both
318 longer PFS (Figure 6d-e) and OS (Figure 6f-g), inferring perhaps that lower levels of
319 methylation in primary tumors may be associated with improved outcomes.

320

321 **Discussion**

322 It has been hypothesized that aberrant DNA methylation plays an important role in
323 HGSOC, including in processes related to tumor initiation and progression to platinum-

324 resistance, a common occurrence in the disease and a major cause of OC deaths. To
325 address this important premise, we interrogated DNA methylomes from tumors collected
326 before chemotherapy (treatment naïve), chemoresistant tumors (acquired, carboplatin)
327 and chemo-resensitized tumors (by an HMA). By comparing epigenomic signatures from
328 these patient cohorts to normal (HOSE) methylomes and integrating methylomic changes
329 with transcriptomic data from these tumor cohorts, previously analyzed [34], key genes
330 and pathways contributing to OC tumorigenesis were identified and subsequently
331 validated in OC cell lines. Several compelling findings of this study are highlighted.

332

333 Firstly, we observe that a remarkable number of gene promoters are silenced by DNA
334 methylation in all three OC stages (primary, recurrent or HMA-treated vs. normal ovarian
335 epithelium; Fig. 1b), supporting the hypothesis that epigenetic reprogramming plays an
336 important role in tumorigenesis. Network analysis highlights that both general processes
337 of tumorigenesis as well as specific processes such as cell migration, cell invasion and
338 EMT are altered by DNA methylation and potentially contribute to platinum resistance.
339 Pathway analysis also showed that EMT, cancer metastasis signaling and DNA damage-
340 induced 14-3-3 σ signaling are altered during platinum resistance, in agreement with our
341 previous work demonstrating that 14-3-3 σ contributes to platinum sensitivity in OC [8].
342 These findings are congruent with other studies linking epigenetic abnormalities including
343 DNA methylation with chronic DNA damage, tumor initiation and progression to platinum-
344 resistance [36-40]. We recognize as a limitation the use of HOSE, as opposed to FTE,
345 as the comparator in our study, as studies suggest that most epithelial OC originate in the
346 fallopian tube[32, 33]. However, very recent studies also support the ovarian surface

347 epithelium as the origin of OC[30, 31]. A recent methylomic analysis noted a higher
348 resemblance between methylomic profiles of HGSOC and FTE than between HGSOC
349 and HOSE[33]. Nevertheless, our results contribute to the body of evidence supporting
350 that targeting epigenetic abnormalities may represent a possible treatment strategy for
351 OC.

352

353 HMAs have been approved by the U.S. Food and Drug Administration for treating
354 hematological malignancies (myelodysplastic syndrome and acute myeloid leukemia) [41,
355 42]. By reversing cancer-specific CpG island methylation, HMAs cause broad changes
356 in gene expression [43]. Previous bench-to-clinic therapeutic interventions by us and
357 others targeting aberrant DNA methylation in OC support that platinum re-sensitization
358 can be an effective strategy [7-9, 14-17, 19, 44, 45]. In support of those reports, we show
359 here that an HMA induces significant hypomethylation in tumors compared to normal
360 HOSE. The 189 hypomethylated genes in Fig. 3a (blue ellipse) are common to both
361 primary tumors and tumors that had been treated by guadecitabine, suggesting that these
362 genes were originally hypermethylated in the recurrent, platinum-resistant OC tumors.
363 Pathway and network analyses demonstrate inhibition of EMT and reduced cell survival
364 of cancer cells as key features of those 189 genes, along the compelling observation on
365 the vitamin-C transport pathway (Fig. 3c). A role for vitamin C in regulating the cancer
366 epigenome via TET and Jumonji enzymes has recently been reported [46-49]. Vitamin
367 C increases viral mimicry induced by 5-aza-2'-deoxycytidine [50], and the findings here
368 offer additional support for vitamin C in broadly reprogramming epigenomic medicines
369 such as HMAs. In this regard, further assessment of both methylomic and transcriptomic

370 data from our recent study [19] shows upregulation of TET3 and the viral defense gene
371 interferon regulatory factor 9 (IRF9) after guadecitabine treatment ([8]; data not shown),
372 supportive of the novel concept that epigenetic changes induced by an HMA-vitamin C
373 combination have the potential to improve patient outcomes [51].

374
375 To validate the bioinformatic findings, we selected multiple genes based on functional
376 relevance and utilized epithelial OC cell lines, including platinum sensitive and acquired
377 platinum resistant cells (Figure 4). We demonstrate that several chemoresistance-
378 associated genes, which were also reactivated in patient tumors by HMA, are
379 upregulated in OC model systems and/or also inducible by guadecitabine *in vitro*. *IRF9*
380 is a viral defense gene, and *CCL19* has been show to regulate EMT via ERK signaling
381 pathway in OC patients [52]. *EVI2A* can interact with *DOK2*, a TSG and epigenetically
382 regulated transcript in cancers [53]. *PDHX* is a structural component of the PDH complex
383 that plays a critical role in cell metabolism, and suppression of *PDHX* leads to cancer cell
384 proliferation [54]. These *in vitro* findings corroborate the tumor bioinformatics analysis and
385 provide additional support for a role of methylation-mediated gene silencing in platinum
386 resistant OC

387
388 Finally, we investigated DNMT expression in the patient samples. In primary OC tumors,
389 DNMT1 and DNMT3B mRNA levels were found to be positively correlated. In this regard,
390 studies evaluating DNMT1 mRNA as a prognostic indicator in other cancer types [55, 56]
391 support an important role for this enzyme in patient survival. We suggest that combined
392 expression of DNMT1 and DNMT3B could serve as a predictor of response to epigenetic

393 therapy in OC. In recurrent OC tumors, at the protein level, DNMT1 was higher than
394 DNMT3A or DNMT3B, perhaps indicating a central role for DNA methylation maintenance
395 over *de novo* DNA methylation in OC tumors with acquired platinum resistance. Further,
396 based on an integrated data analysis with PFS or OS, low DNMT1 or DNMT3B IHC
397 scores correlated with better prognosis/clinical outcome, warranting further exploration of
398 these DNMTs as potential predictors of response of OC patients to HMA therapy.
399 Validation of these findings in larger cohorts is necessary.

400

401 Overall, this study provides important insight into the DNA methylation landscape of
402 HGSOC tumorigenesis, platinum resistance and epigenetic resensitization. By analyzing
403 methylomic changes in human ovarian tumors relative to clinical outcomes, we infer that
404 key tumorigenicity-associated pathways can be altered through methylation gains and
405 losses supporting future interventions targeting epigenetic events in this difficult to treat
406 malignancy.

407

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414

416 **Abbreviations**

417 ARHI: DIRAS family GTPase 3; ARLTS1: ADP ribosylation factor like GTPase 11;
418 BRCA1: BRCA1 DNA repair associated; C: Carboplatin; CCL19: C-C motif chemokine
419 ligand 19; CGI: CpG island; DAPK: Death associated protein kinase; DLEC1: DLEC1
420 cilia and flagella associated protein; DNMT: DNA methyltransferase; DOK2: Docking
421 protein2; EMT: Epithelial-mesenchymal transition; EVI2A: Ecotropic viral integration site
422 2A; FDR: False discovery rate; FXYD6: FXYD domain containing ion transport regulator
423 6; G: Guadecitabine; GEO: Gene expression omnibus; HGSOc: High grade serous
424 ovarian cancer; HMA: Hypomethylating agent; HOSE: Human ovarian surface epithelial
425 cells; HOXA10: Homeobox A10; HOXA11: Homeobox A11; IACUC: Institutional Animal
426 Care and Use Committee; IHC: Immunohistochemistry; IPA: Ingenuity pathway
427 analysis; IRF9: Interferon regulatory factor 9; MLH1: mutL homolog 1; MTD: Maximum
428 tolerated dose; NSG mouse: NOD scid gamma mouse; OC: Ovarian cancer; OPCML:
429 Opioid binding protein/cell adhesion molecule like; OS: Overall survival; PDHX:
430 Pyruvate dehydrogenase complex component X; PFS: Progression free survival;
431 RASSF1A: Ras association domain family member 1; RT-PCR: Reverse transcription
432 polymerase chain reaction; STR: Short tandem repeat; TCEAL7: Transcription
433 elongation factor A like 7; TSG: Tumor suppressor gene; UBE4A: ubiquitination factor
434 E4A

435

436

437 **Authors' contributions**

438 HC and FF: acquisition, analysis and interpretation of the data, writing original draft. GJ: data
439 curation, software, formal analysis. SMP: data curation, software, formal analysis. CZ: data
440 curation, software, formal analysis. HNK: investigation, resources. YL: data curation, software,
441 formal analysis. KNP and DM: conceived the idea, supervised research personnel and
442 interpreted data. All authors read, critically reviewed and approved the final manuscript.

443

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447

448 **Available of data and materials**

449 Illumina HumanMethylation450 BeadChip results are available for download at Gene
450 Expression Omnibus (GEO) data repository at the National Center for Biotechnology
451 Information (NCBI) under the accession number GSE102119.

452

453 **Ethics approval and consent to participate**

454 All protocols and procedures for human subjects were approved by Indiana University
455 School of Medicine.

456 **Consent for publication**

457 Not applicable.

458 **Competing interests**

459 The authors declare that they have no competing interests.

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617

618

619 **Figure Legends**

620

621 **Figure 1.** Differential methylation between each OC group and HOSE. (a) workflow of
622 data analysis. (b) Volcano plots depicting differentially methylated CpG sites in different
623 genomic regions (All CpG sites, Gene Body, Promoter, CpG island) of three OC groups
624 compared to HOSE. Differentially methylated CpG sites are depicted as blue dots. The
625 number of sites at different regions are indicated in each volcano plot. HOSE is human
626 ovarian surface epithelium, which served as the normal control.

627

628 **Figure 2.** Methylomic changes during acquired platinum resistance. (a) Venn diagram
629 shows unique and common hypermethylated genes in all three ovarian tumor groups, in
630 the blue ellipse, 452 genes identified hypermethylated during acquired platinum
631 resistance (hypermethylated in recurrent but not in primary OC). (b) Gene ontology
632 analysis of the 452 genes hypermethylated in recurrent OC, different shapes on the out
633 layer are genes from 452 gene list, whereas the ones in the middle are different gene
634 ontology terms enriched by those genes from the outer layer. (c) Pathways enriched by
635 452 hypermethylated genes during acquired platinum resistance.

636

637 **Figure 3.** Hypomethylated genes induced by the HMA guadecitabine. (a) Venn diagram
638 shows unique and common hypomethylated genes in all three ovarian tumor groups, in
639 the blue ellipse, 189 genes identified as hypomethylated and induced by guadecitabine
640 (genes hypomethylated in guadecitabine-treated but not in recurrent OC). Networks of

641 epithelial-mesenchymal transition (EMT) inhibition and reduced cell survival of cancer
642 cells (b) and pathways (c) enriched by the 189 guadecitabine-induced hypomethylated
643 genes.

644

645 **Figure 4.** Effects of guadecitabine on expression of selected genes. (a-c) Basal mRNA
646 expression levels of *FXVD6*, *PDHX* and *UEB4A* measured by real-time RT-PCR in
647 platinum resistant (R) OVCAR5_R, A2780_R and SKOV3_R; (d-f) expression levels of
648 *IRF9*, *EVI2A* and *CCL19* on parental and cisplatin resistant (R) A2780, SKOV3, and
649 OVCAR5 treated with guadecitabine (100 nM) for 72 hours, and (g) OVCAR3 cells treated
650 with the guadecitabine (100 nM) for 72 hours. Bars represent mean \pm SD, n=3 (* $P < 0.05$,
651 ** $P < 0.01$).

652

653 **Figure 5.** Expression levels of DNMT1, DNMT3A, and DNMT3B mRNAs in OC tumors.
654 (a) DNMT1 and DNMT3B mRNA levels are positively correlated in HGSOC tumors. Box
655 plots show medians and 25-75% quartiles of DNMT mRNAs levels measured by real-time
656 RT-PCR in HGSOC primary tumors (n=20, Sample/Patient Group 1) relative to fallopian
657 tube epithelium. (b-d) Scatter plots and correlation coefficients between mRNA levels of
658 DNMT1 and DNMT3B (b), DNMT1 and DNMT3A (c), or DNMT3A and DNMT3B (d) in
659 HGSOC primary tumors (n=20, Sample/Patient Group 1).

660

661 **Figure 6.** DNMT1 and DNMT3B are associated with progression-free (PFS) and overall
662 survival (OS) of ovarian cancer patients. (a) Examples of DNMT1, DNMT3A and DNMT3B

663 IHC immunostaining in sections from the same recurrent HGSOC tumor (original
664 magnification: 200X). (b) Box plots show medians and 25-75 quartiles of DNMT1,
665 DNMT3A, and DNMT3B IHC scores in recurrent HGSOC tumors (n=32, Sample/Patient
666 Group 2) before patients received guadecitabine treatment ($*P<0.05$). (c) Scatter plots
667 and correlation coefficients of IHC scores between DNMT1 and DNMT3A, DNMT1 and
668 DNMT3B, or DNMT3A and DNMT3B in recurrent HGSOC tumors (n=32, Sample/Patient
669 Group 2). (d-g) Kaplan-Meier plots of progression-free survival (PFS) (d-e) and overall
670 survival (f-g) by IHC score level (low or high) of DNMT1, or DNMT3B in recurrent HGSOC
671 tumors from patients included in C above, and subsequently treated with a combination
672 of carboplatin and guadecitabine. HR, hazard ratio.

673

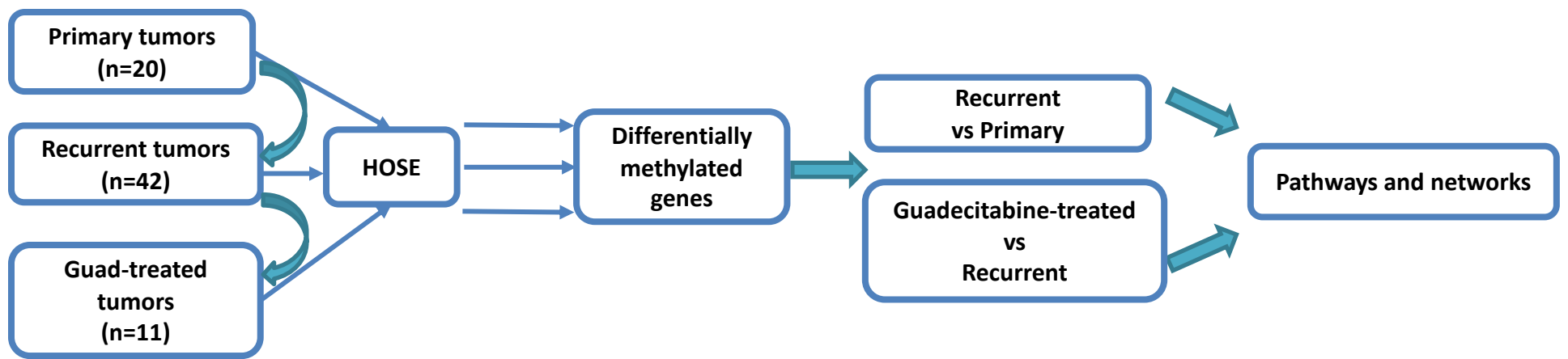
674 **Table 1. Differentially methylated genes between different ovarian cancer groups**
 675 **and HOSE (FDR<0.05, $|\Delta\beta|>0.1$).**

	Numbers of Genes	
	Hypermethylated	Hypomethylated
Primary OC vs. HOSE	1810	837
Recurrent OC vs. HOSE	1541	1101
Quad-treated OC vs. HOSE	1364	870

676

Figure 1

a.



b.

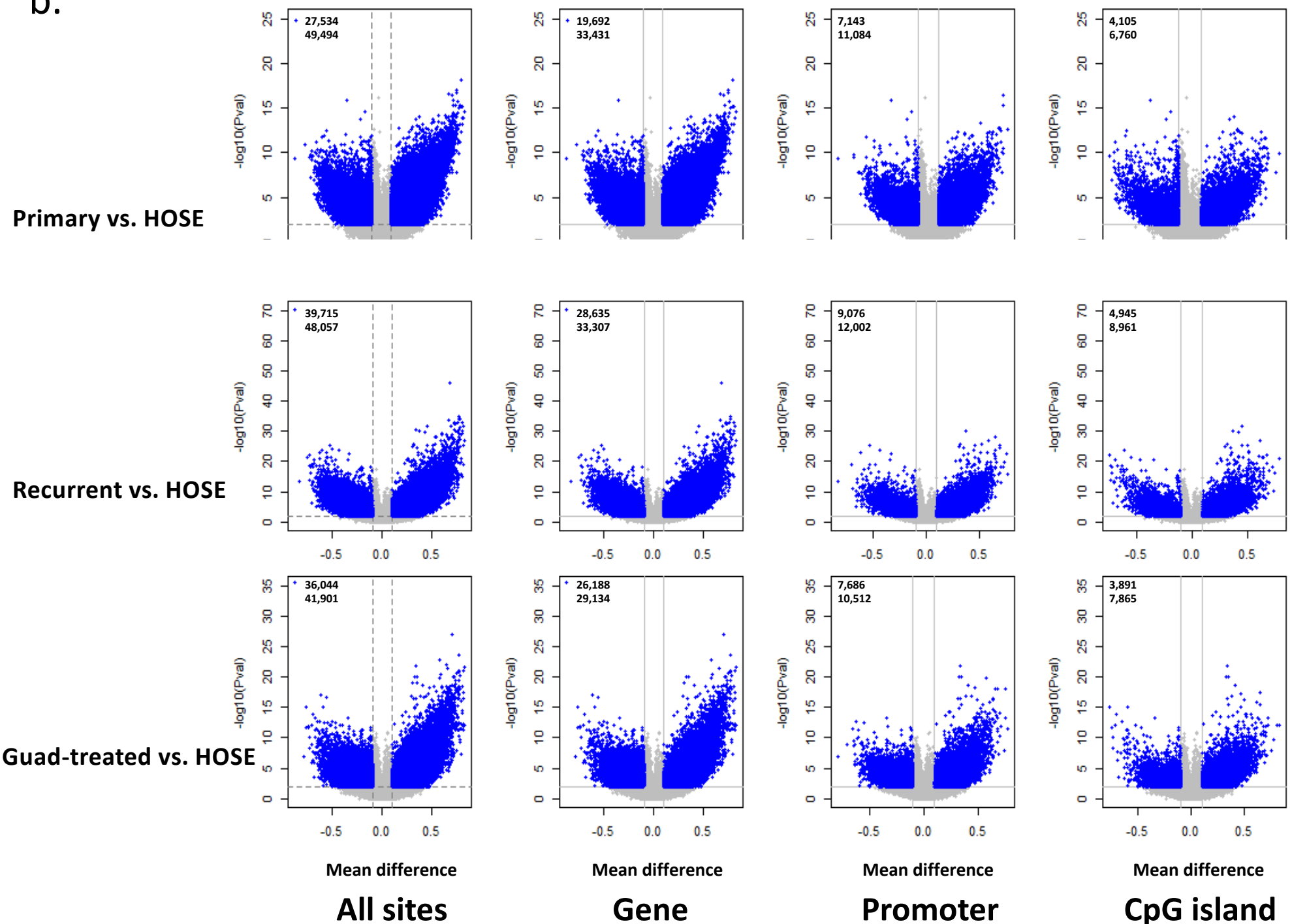


Figure 2

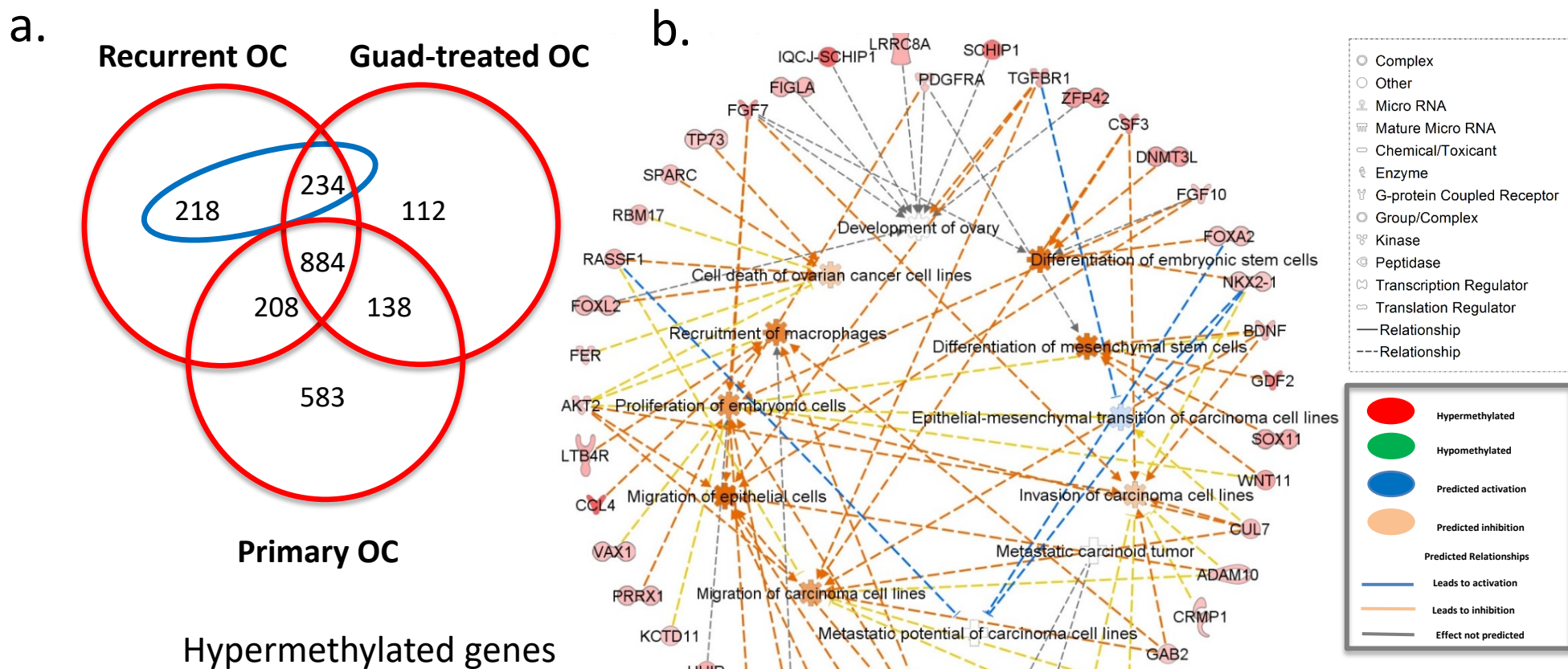
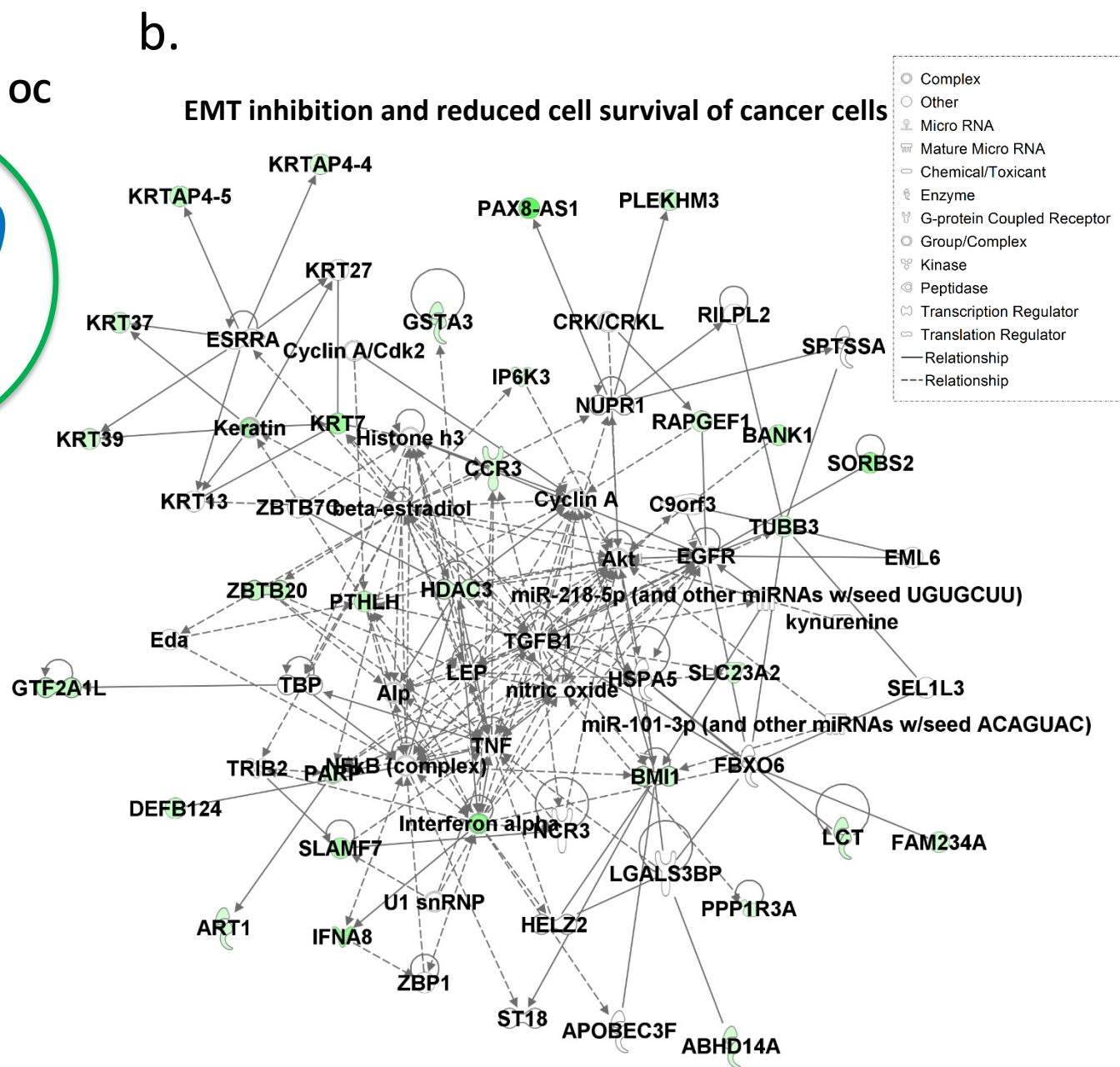
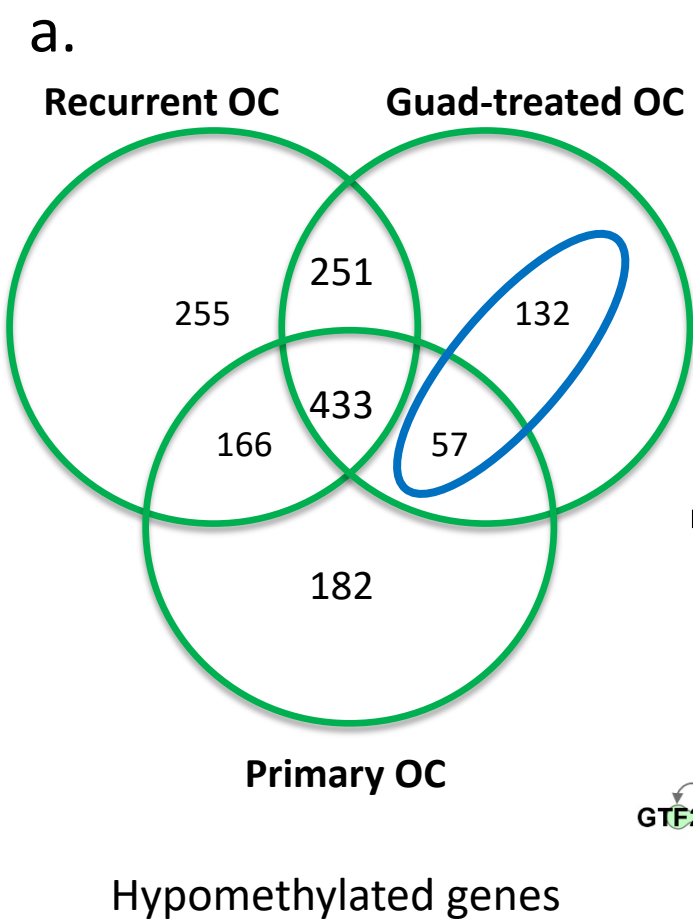


Figure 3



c.

Pathways enriched by 189 hypomethylated genes

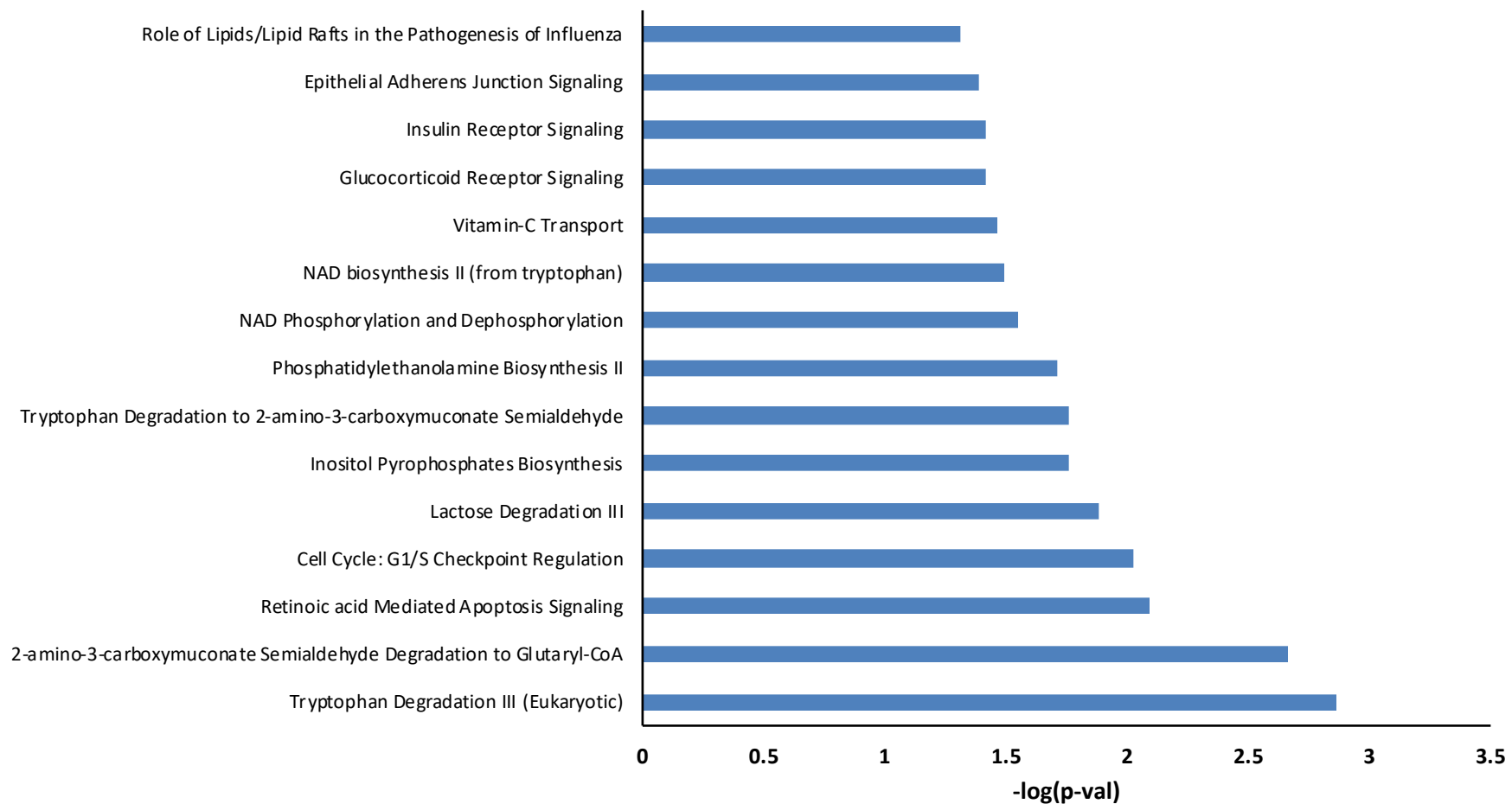
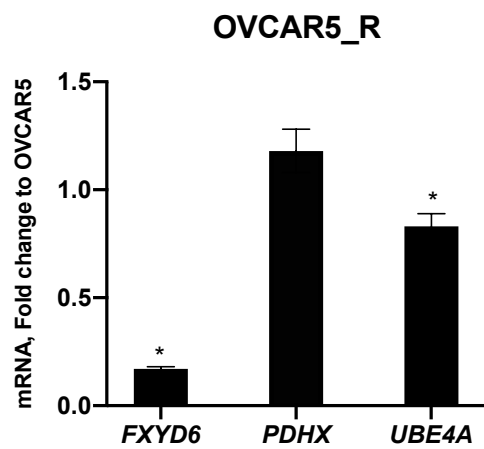
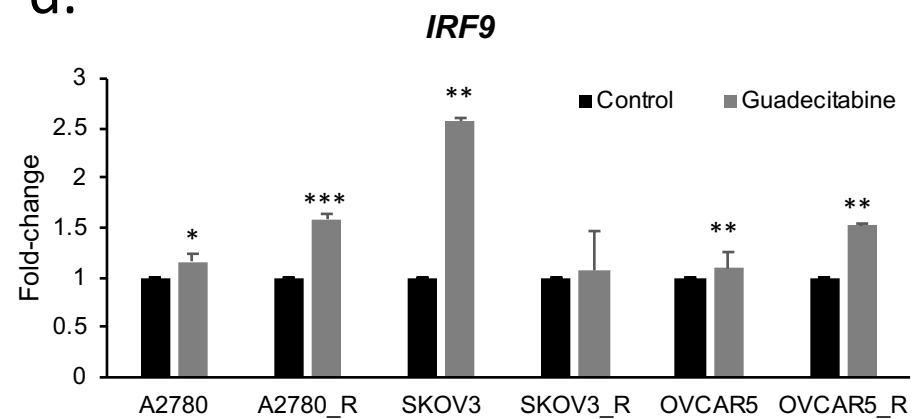


Figure 4

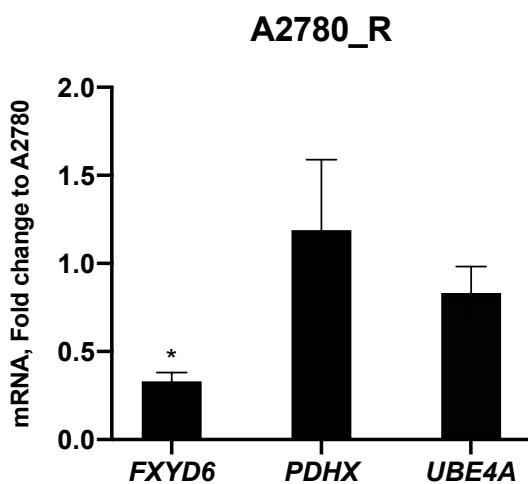
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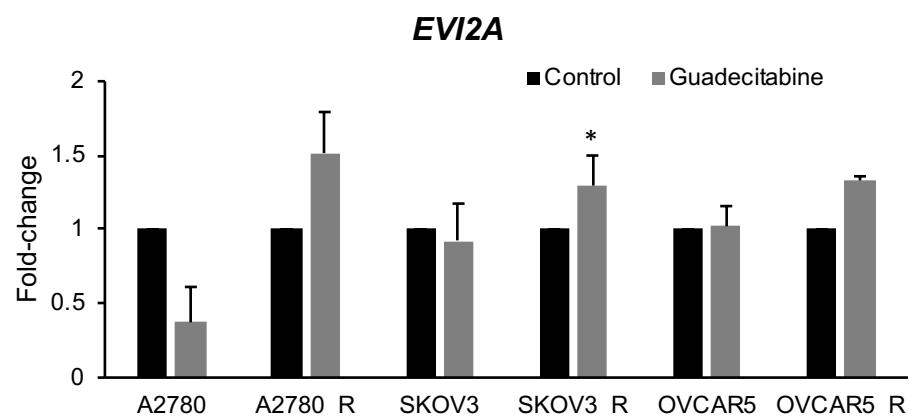
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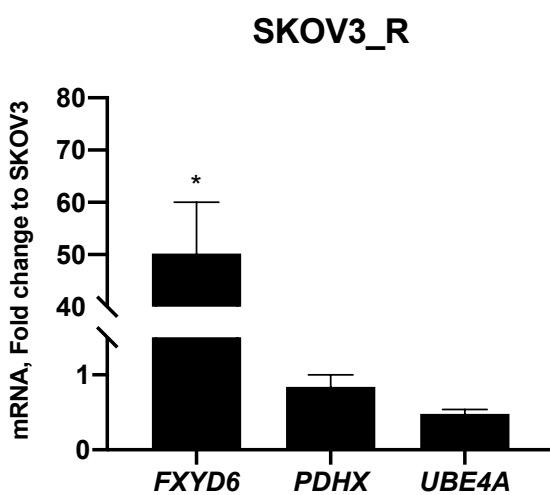
b.



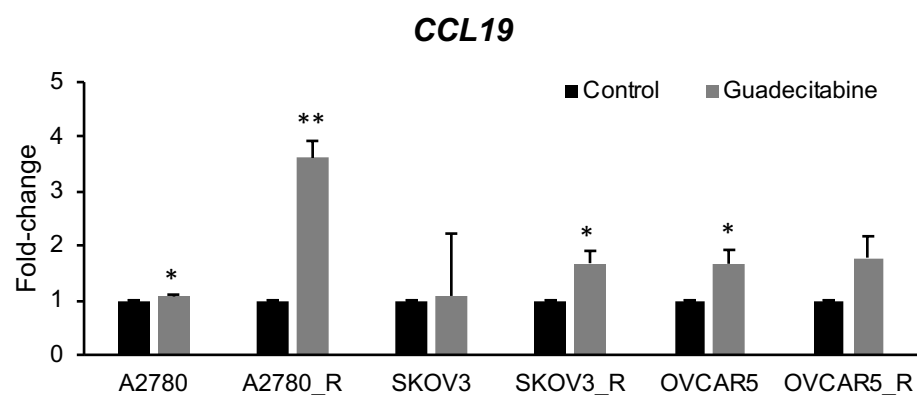
e.



c.



f.



g.

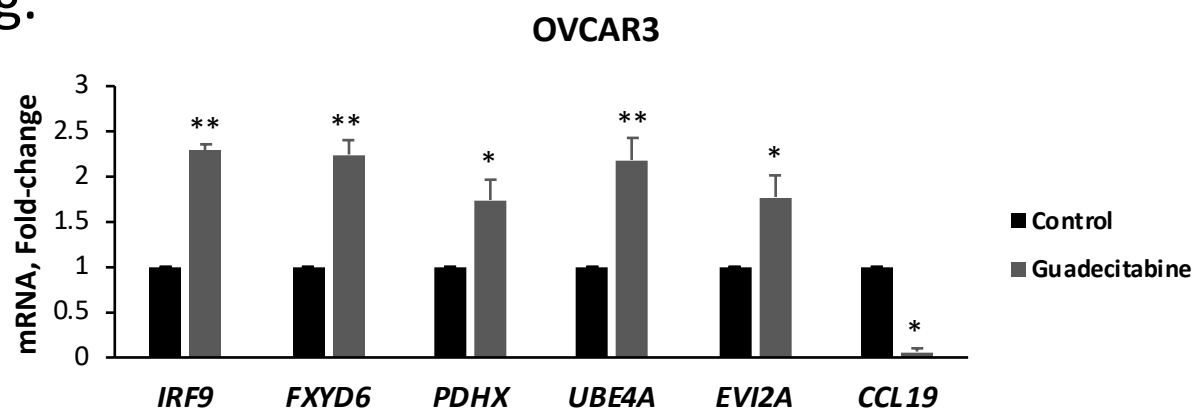
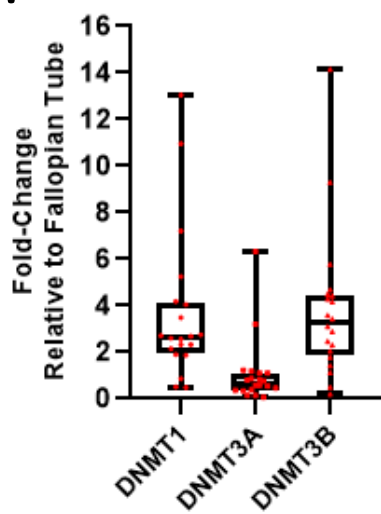
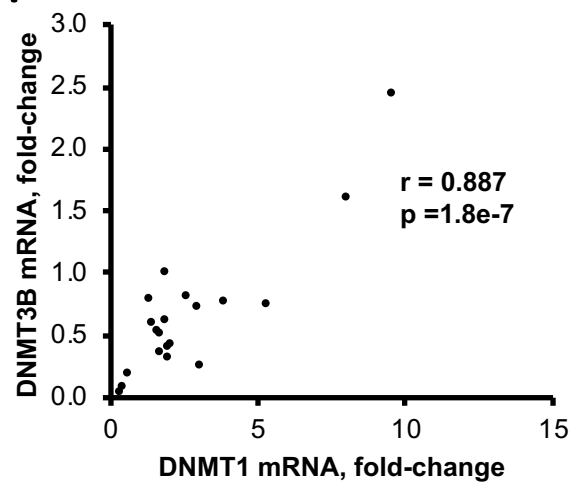


Figure 5

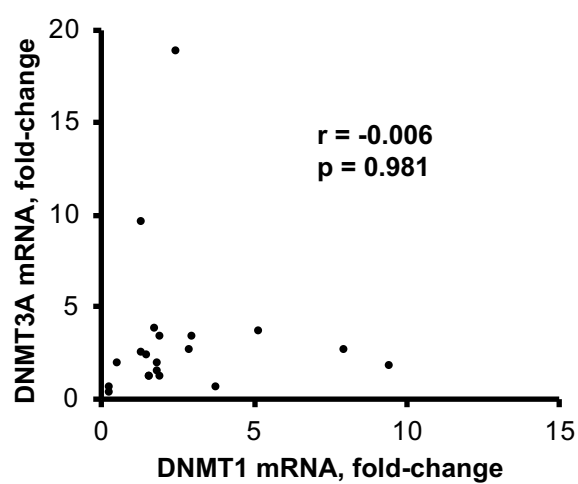
a.



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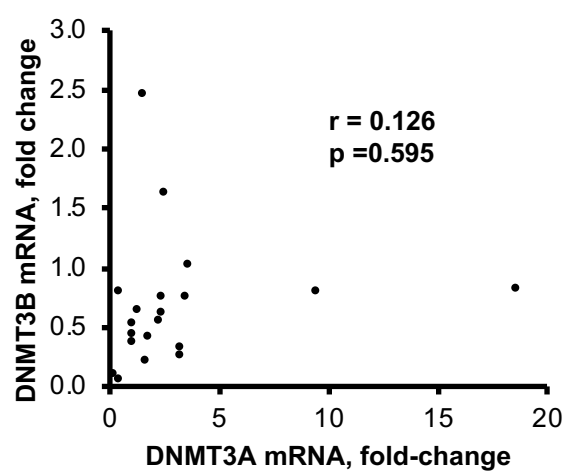
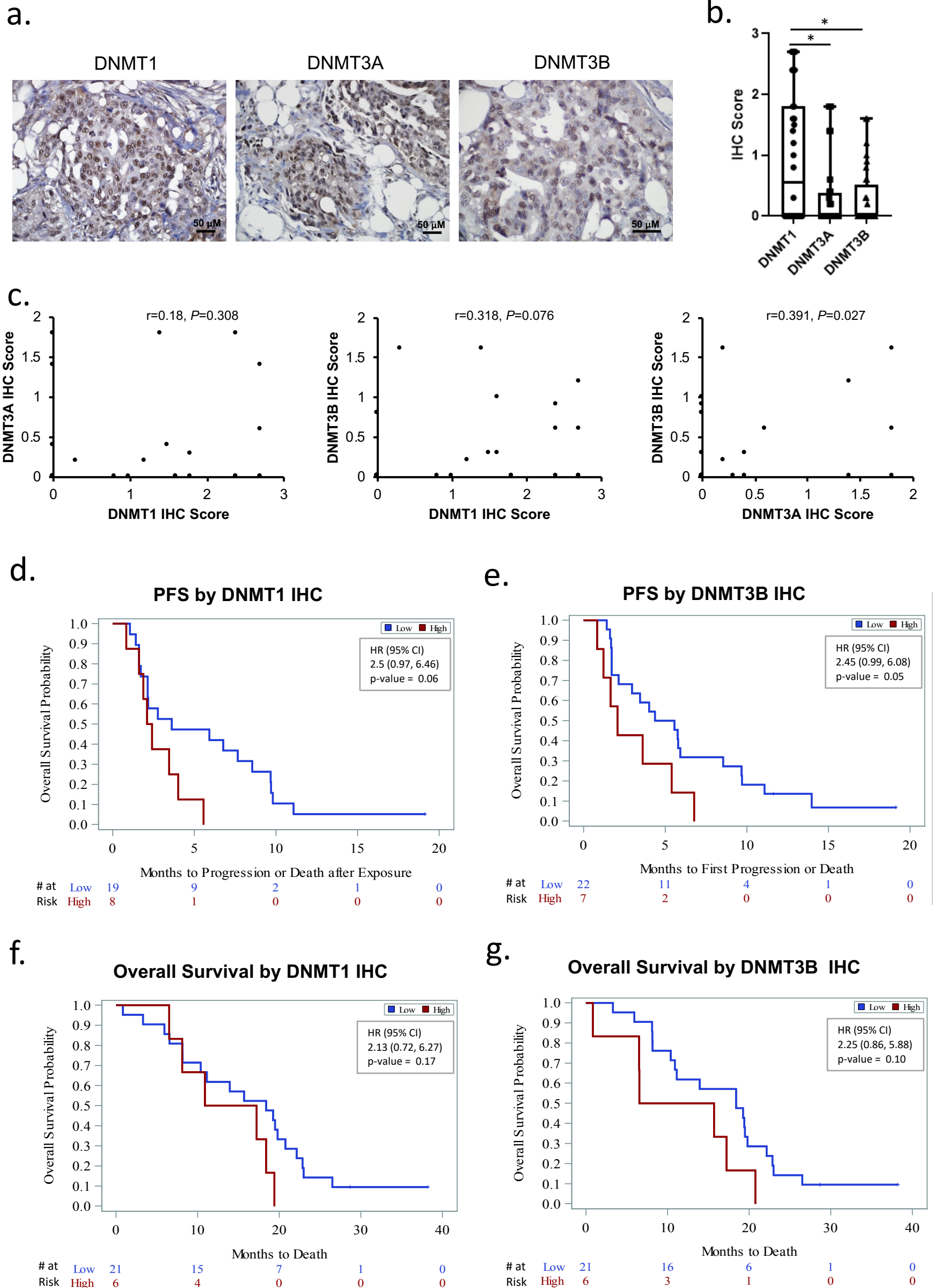
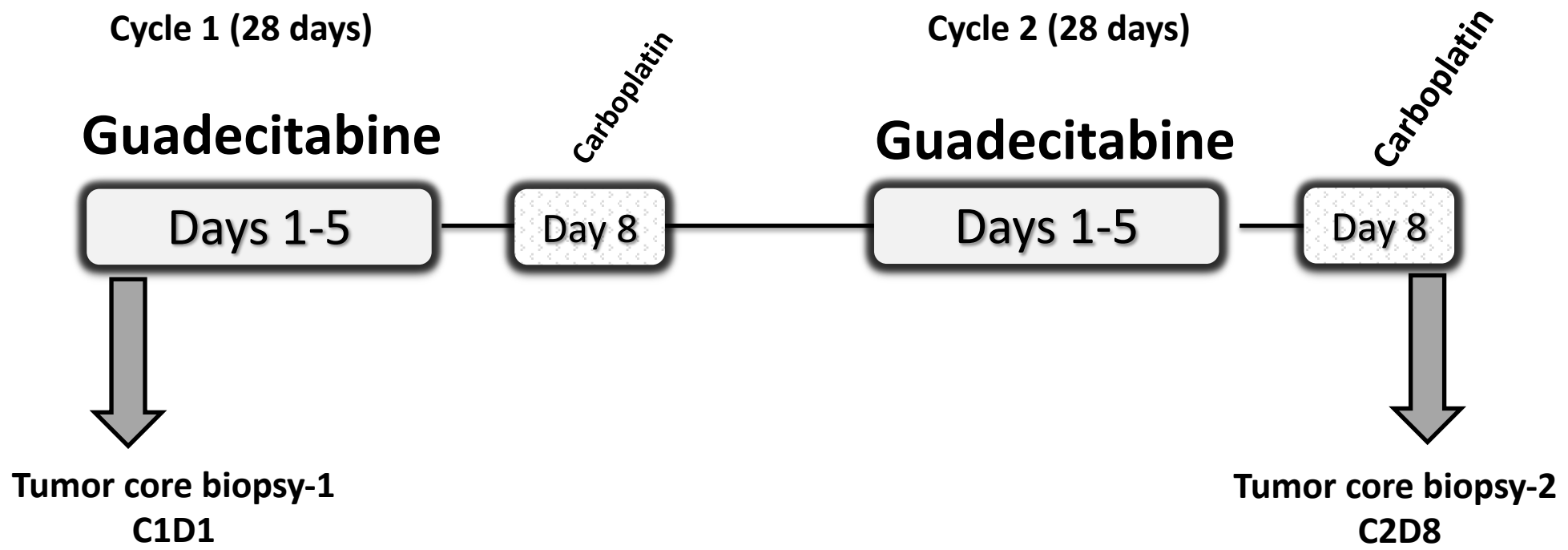


Figure 6



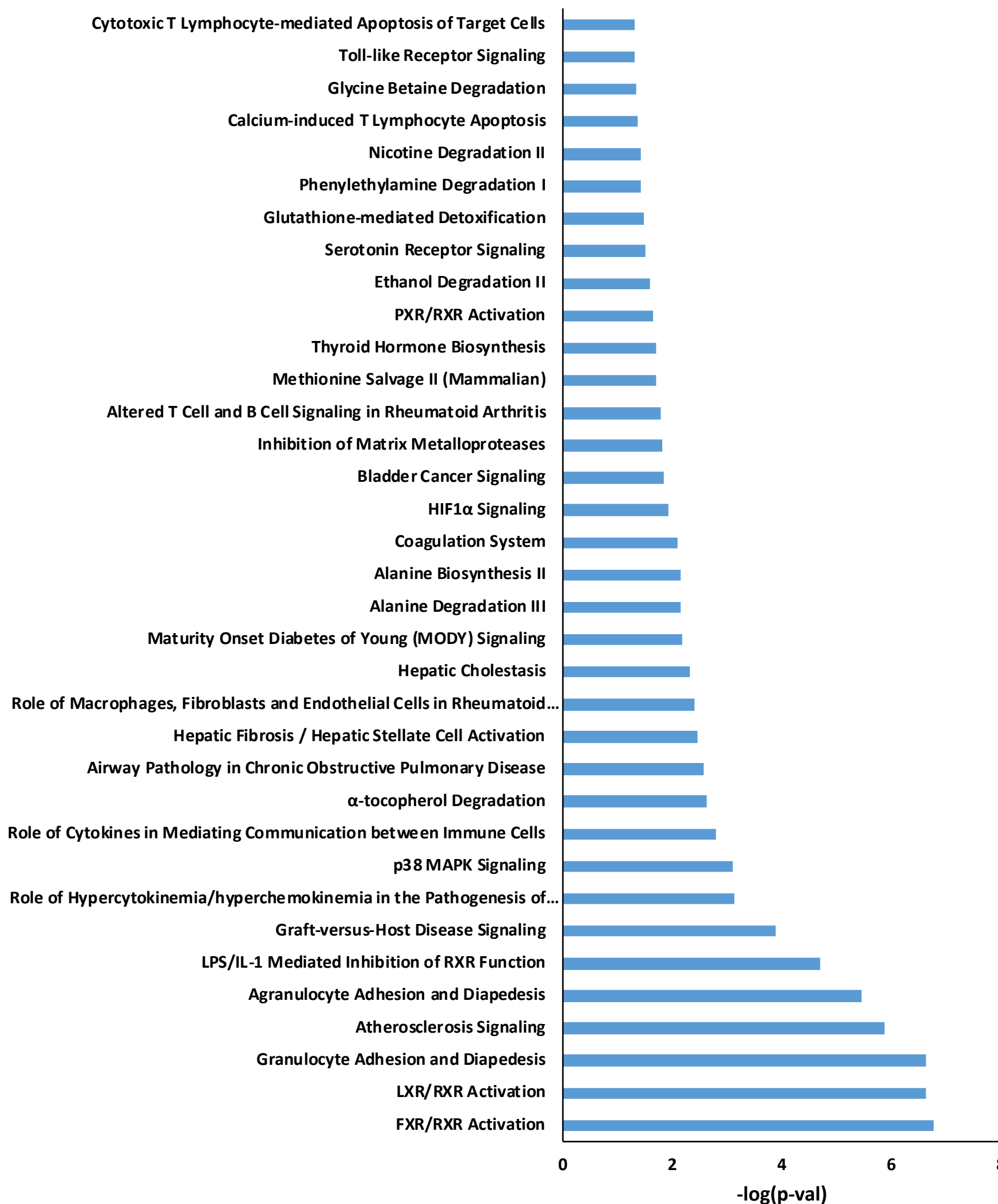
Supplemental Figure S1



Supplemental Figure S2

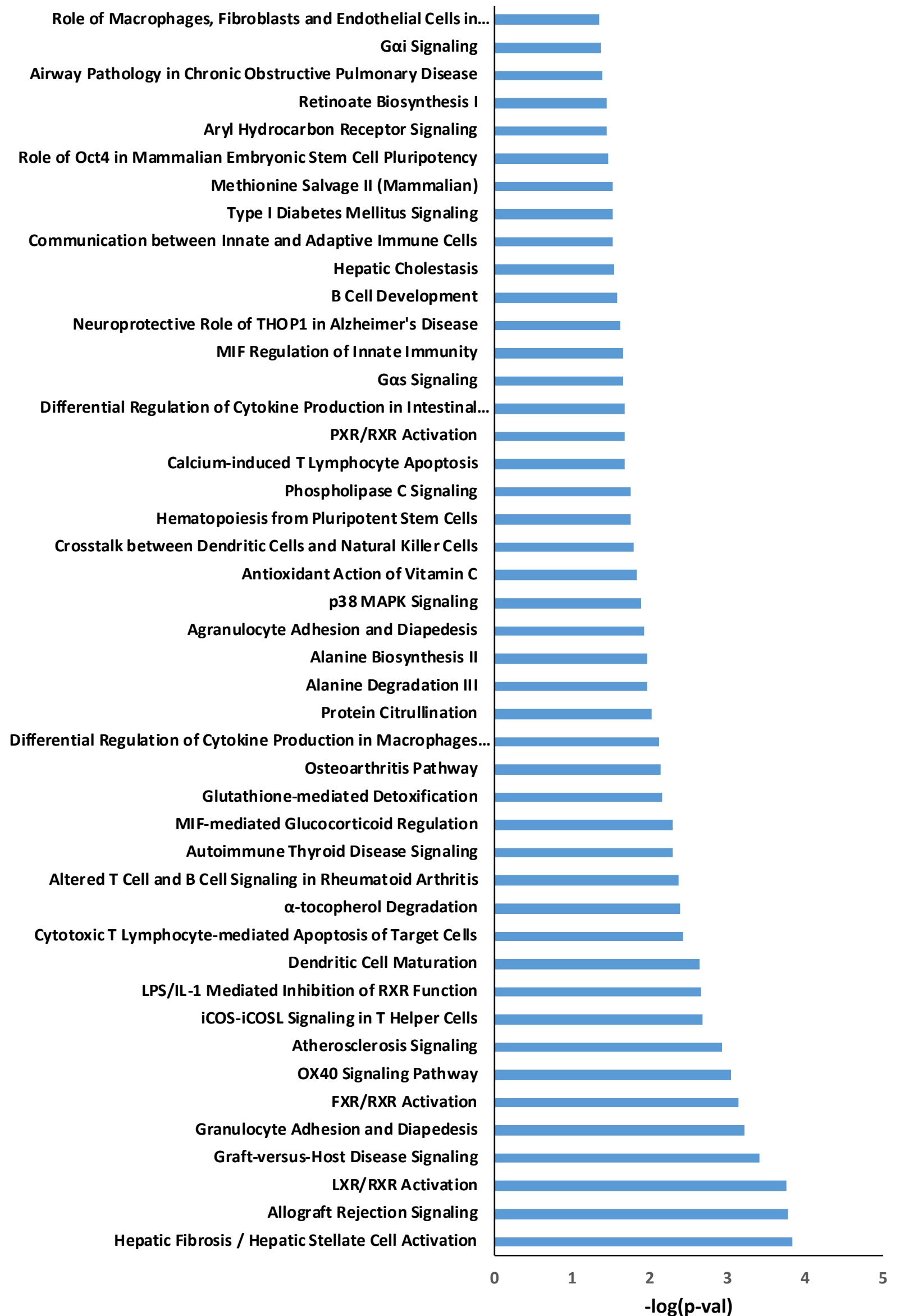
a.

Pathways enriched by differentially methylated genes (primary OC vs. HOSE)



Supplemental Figure S3

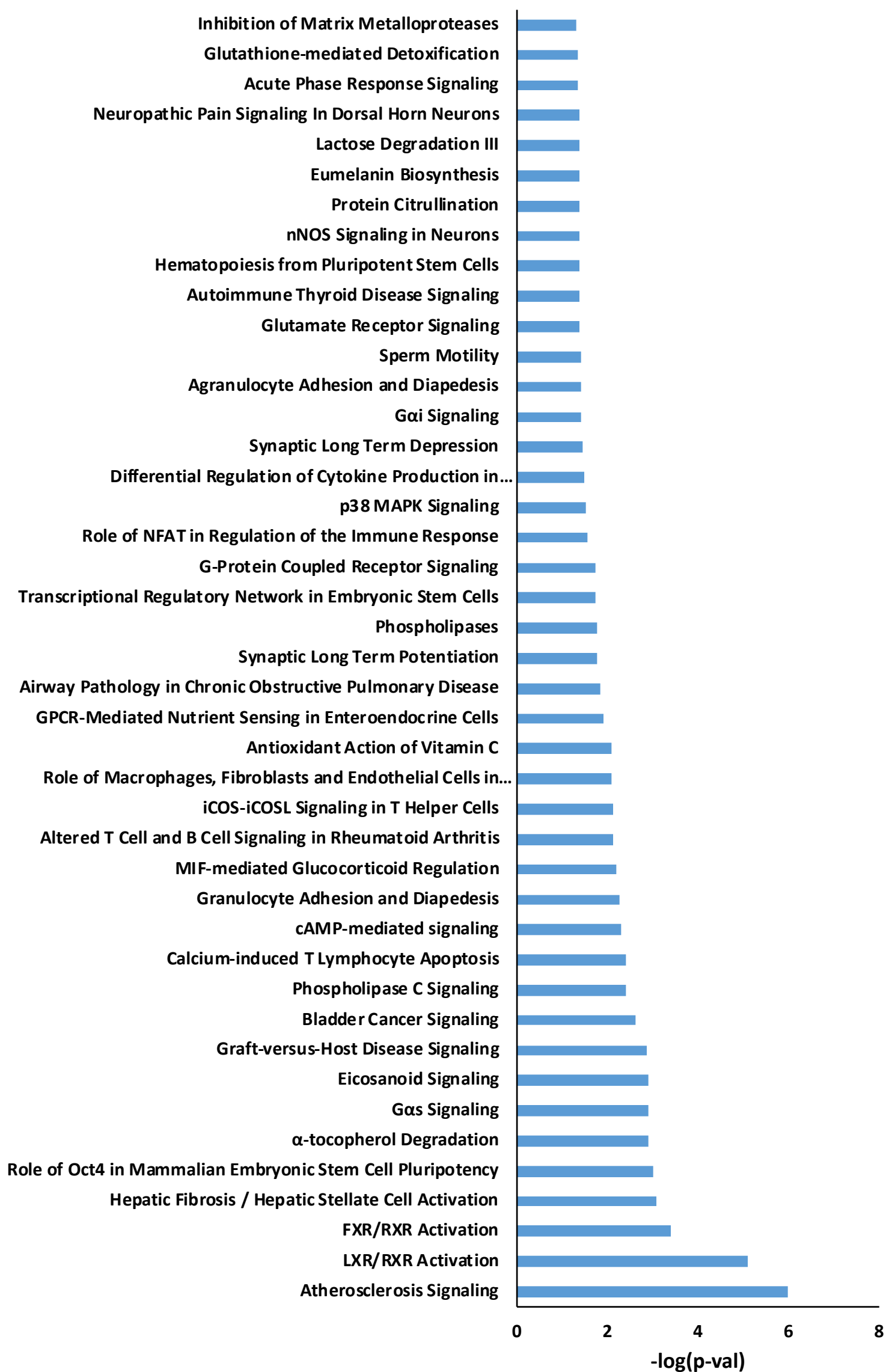
a. Pathways enriched by differentially methylated genes (recurrent OC vs. HOSE)



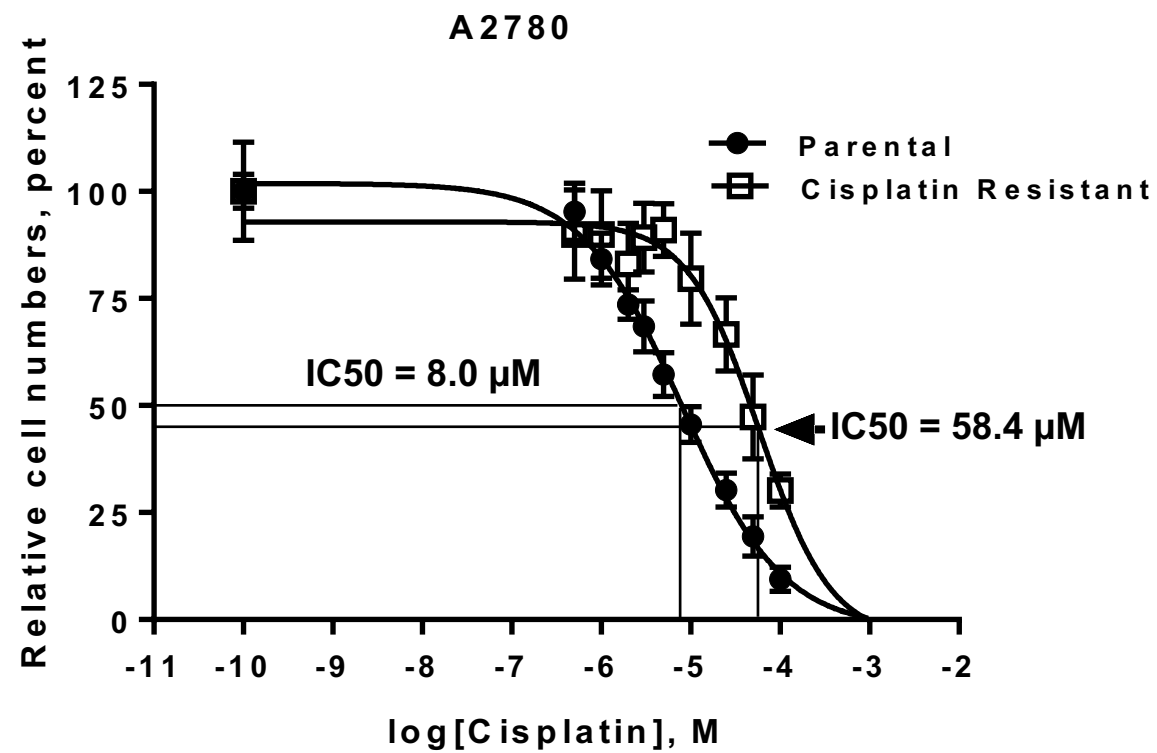
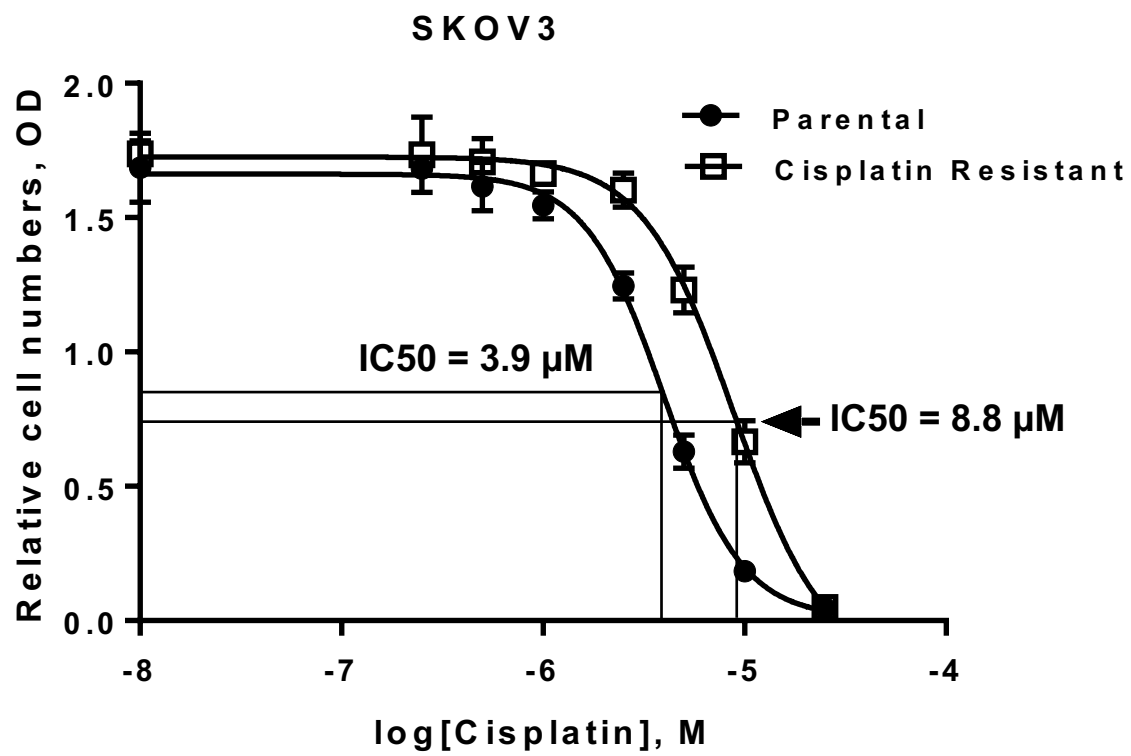
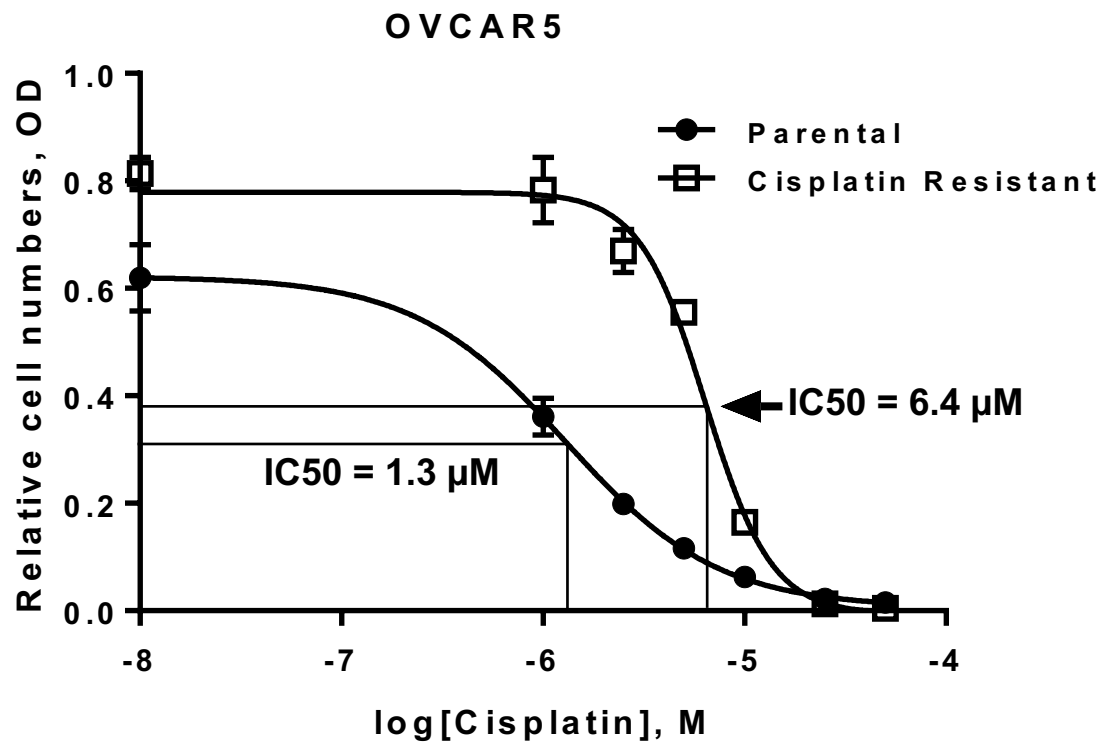
Supplemental Figure S4

a.

Pathways enriched by differentially methylated genes (Guadecitabine-treated OC vs. HOSE)

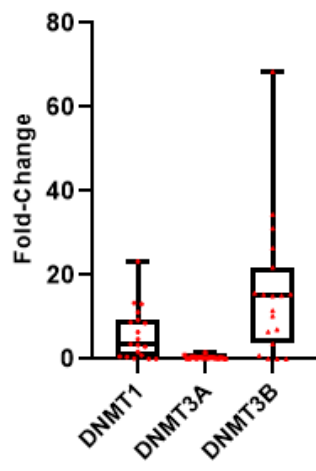


Supplemental Figure S5

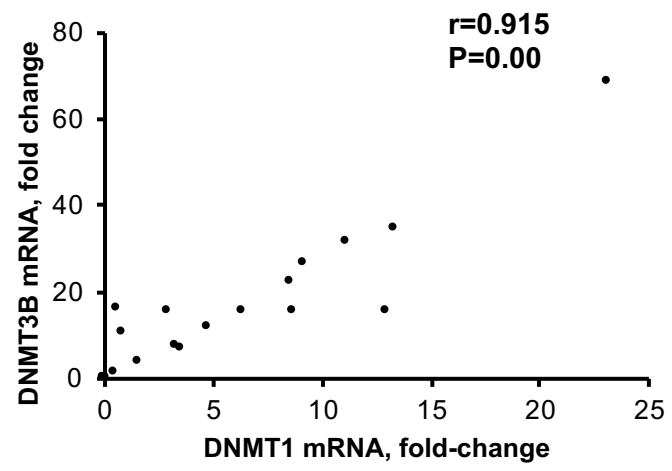


Supplemental Figure S6

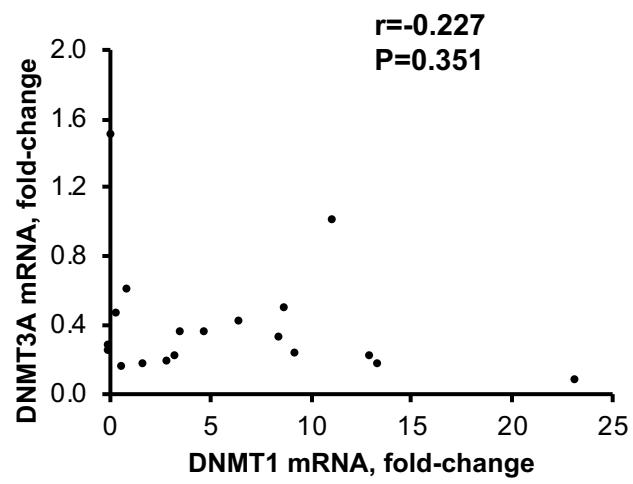
a.



b.



c.



d.

