Functional Change from 5 to 15 Years Following Traumatic Brain Injury

Running Head:

5 to 15-year TBI Change

Table of Contents Title:

5 to 15-Year Functional Change after TBI

AUTHORS AND AFFILIATIONS:

Flora M. Hammond, MD, Susan M. Perkins, PhD, John D. Corrigan, PhD, Risa Nakase-Richardson, PhD, Allen W. Brown, MD, Therese M. O'Neil-Pirozzi, ScD, Nathan D. Zasler, MD, Brian D. Greenwald, MD

CONTACT INFORMATION OF AUTHORS

Flora McConnell Hammond, MD, FACRM, FAAPMR Principal Investigator Professor and Chair, Dept Physical Medicine and Rehabilitation Indiana University School of Medicine Rehabilitation Hospital of Indiana 4141 Shore Drive, Indianapolis, IN 46254 Fax: 317-329-2600 Office: 317-329-2106 Cell Phone 317-292-6781 Flora.hammond@rhin.com

Susan Perkins, PhD Professor, Department of Biostatistics Indiana University School of Medicine 410 West 10th Street, Suite 3000, Indianapolis, IN 46202 Office: 317-274-2626 Sperkin1@iu.edu

John Corrigan, PhD

This is the author's manuscript of the article published in final edited form as:

Hammond, F. M., Perkins, S. M., Corrigan, J. D., Nakase-Richardson, R., Brown, A. W., O'Neil-Pirozzi, T. M., Zasler, N. D., & Greenwald, B. D. (2021). Functional Change from Five to Fifteen Years after Traumatic Brain Injury. Journal of Neurotrauma, 38(7), 858–869. https://doi.org/10.1089/neu.2020.7287

Department of Physical Medicine and Rehabilitation The Ohio State University, Columbus, OH 2145 Dodd Hall, 480 Medical Center Drive, Columbus, OH, 43210-1234 Phone 614-293-3830 Fax 614-293-4870 johncorrigan1@me.com

Risa Nakase-Richardson, Ph.D., FACRM Clinical Research Neuropsychologist, Mental Health and Behavioral Sciences and Defense and Veterans Brain Injury Center, James A. Haley Veterans Hospital Polytrauma TBI Rehabilitation/ Mail Code 117 13000 Bruce B. Downs Boulevard Tampa, Florida 33612 Professor, Morsani College of Medicine, Department of Internal Medicine, Division of Pulmonary and Sleep Medicine University of South Florida T. 813.972.2000 ext 5309 <u>Risa.Richardson@va.gov</u>

Allen Brown, MD Department of Physical Medicine and Rehabilitation Professor, Mayo Clinic College of Medicine and Science Mayo Clinic 200 First Street SW, Rochester MN 55905 <u>Brown.allen@mayo.edu</u> 507-255-3116

Therese O'Neil-Pirozzi, ScD Department of Physical Medicine and Rehabilitation, Spaulding Rehabilitation Hospital; Department of Communication Sciences and Disorders, Northeastern University; 360 Huntington Ave, 70 Forsyth Building, Room 103 Boston, MA 02115 t.oneil-pirozzi@neu.edu 617-373-5750

Nathan Zasler, MD
Founder, CEO & CMO, Concussion Care Centre of Virginia, Ltd. And Tree of Life Services, Inc.
Professor, affiliate, Department of Physical Medicine and Rehabilitation, Virginia
Commonwealth University, Richmond, Virginia
Associate Professor, adjunct, Department of Physical Medicine and Rehabilitation, University of Virginia, Charlottesville, Virginia
Administrative offices: 3721 Westerre Parkway, Suite B, Henrico, Virginia 23233
Telephone: 804-270-5484

Fax: 804-346-1956 nzasler@cccv-ltd.com

Brian Greenwald, MD Medical Director JFK Johnson Rehabilitation Institute Center for Brain Injuries Clinical Associate Professor Rutgers Robert Wood Johnson Medical School Core Associate Professor Hackensack Meridian School of Medicine at Seton Hall Department of Physical Medicine and Rehabilitation 65 James Street Edison, NJ 08820 T: 732-321-7000 X 62018 F: 732-321-7330 Brian.greenwald@hackensackmeridian.org

CORRESPONDING AUTHOR:

Flora M. Hammond, MD, FACRM, FAAPMR Principal Investigator Professor and Chair, Dept Physical Medicine and Rehabilitation Indiana University School of Medicine Rehabilitation Hospital of Indiana 4141 Shore Drive, Indianapolis, IN 46254 Fax: 317-329-2600 Office: 317-329-2106 Cell Phone 317-292-6781 Flora.hammond@rhin.com

Acknowledgments:

The views, opinions, and/or findings contained in this article are those of the authors and should not be construed as an official Department of Defense position or any other federal agency, policy or decision unless so designated by other official documentation. The contents of this publication do not necessarily represent the policy of NIDILRR, ACL, HHS, Veterans Affairs, and you should not assume endorsement by the Federal Government.

The contents of this publication were developed under grants from the National Institute on Disability, Independent Living, and Rehabilitation Research (NIDILRR): Indiana University School of Medicine grant numbers (grant #90DRTB0002); Ohio State University (Grant #90DP0040); Spaulding/Harvard (90DPTB0011); Mayo Clinic (Grant #90DPTB0012), JFK-Johnson Rehabilitation Institute (90DPTB0014), a Center within the Administration for Community Living (ACL), Department of Health and Human Services (HHS).

The Polytrauma Rehabilitation Center Traumatic Brain Injury (TBI) Model System collaboration is funded through an Interagency Agreement between the Department of Veterans Affairs and the Department of Health and Human Services (National Institute on Disability, Independent Living, and Rehabilitation Research).

REPRINTS:

Reprints will not be available

WORD COUNT:

Title (50 word max): 11 words

Running head (50 character max): 23 characters

Table of Contents title: 40 characters

Abstract (300 word max): 262 words

Body of Manuscript: 4,439 words

Number of figures: 2

Number of color figures: 0

Supplemental digital content figures: 2

Number of Tables: 6

Supplemental digital content tables: 3

TITLE

Functional Change from 5 to 15 Years Following Traumatic Brain Injury

ABSTRACT

Few studies have assessed the long-term functional outcomes of traumatic brain injury (TBI) in large, well-characterized samples. Using the TBIMS cohort, this study assessed the maintenance of independence between years 5 and 15 post-injury and risk factors for decline. The study sample included 1381 individuals with TBI who received inpatient rehabilitation, survived to 15years post-injury, and were available for data collection at 5 or 10 years and 15 years post-injury. Functional Independence Measure (FIM) and Disability Rating Scale (DRS) were used to measure functional outcomes. The majority of participants had no changes during the 10-year timeframe. For FIM, only 4.4% showed decline in Self-Care, 4.9% declined in Mobility, and 5.9% declined in Cognition. Overall, 10.4% showed decline in one or more FIM subscales. Decline was detected by DRS Level of Function (24% with >1-point change) and Employability (6% with >1-point change). Predictors of decline factors across all measures were age older than 25 years and, across most measures, were having less than or equal to a high school education. Additional predictors of FIM decline included male gender (FIM Mobility and Self-Care) and longer rehabilitation length of stay (FIM Mobility and Cognition). In contrast to studies reporting change in the first five years post-TBI inpatient rehabilitation, a majority of those who survive to 15 years do not experience functional decline. Aging and cognitive reserve appear to be more important drivers of loss of function than original severity of the injury. Interventions to identify

those at risk for decline may be needed to maintain or enhance functional status as persons age with a TBI.

Key Words: Brain injuries; Rehabilitation outcome; Cognition; Function; Prognosis, Disabled persons

INTRODUCTION

Considerable evidence indicates that traumatic brain injury (TBI) is an evolving condition with a range of signs and symptoms which can contribute to long term impairment and disability with disparate functional trajectories, rates of change, predisposition to injuries, and associated adverse health conditions.^{1,2} Conceptualizing TBI as a dynamic process allows examination of factors that influence change and suggest interventions that may facilitate prophylaxis against decline or augment neurorecovery.

The literature reveals variable patterns of TBI outcome trajectories in the years after injury with the overall picture being that approximately half experience functional changes while half do not change, as measured with broad outcome scales. Using the Functional Independence Measure (FIM) cognitive and motor subscales, Lu et al³ characterized recovery trajectories from 3 months and 1 year to 5 years post-TBI of persons with moderate to severe TBI admitted to a trauma hospital and found patterns of functional recovery (stable low, delayed moderate, elevated good, and stable good recovery) with stable good recovery being the most common trajectory. Forslund et al⁴ assessed recovery trajectories between 5 and 10 years in individuals after moderate to severe TBI utilizing the Glasgow Outcome Scale-Extended (GOS-E) and found only a small percentage improved (7%), while the vast majority (56%) showed no change, and 37% deteriorated. Better trajectories were most predicted by younger age, pre-injury employment, and shorter post-traumatic amnesia (PTA) length. Studying outcomes over a 20-year timeframe post-injury, Andelic et al⁵ similarly assessed functional outcomes and health-related quality of life following moderate to severe TBI utilizing the GOS-E,

Community Integration Questionnaire, and Short Form–36 Survey and observed that most patients showed a good recovery or moderate disability. Disability levels remained stable between and within severity groups from 10-20 years post-TBI while community integration tended to improve over time. Poor mental health tended to be more prevalent in those depressed at 10 years post injury and in participants who were female, while better physical and mental health at 20 years post-injury was predicted by productive activity at 10 years post-injury.

A small number of studies have addressed the issue of long-term cognitive, affective, and psychosocial outcome following moderate to severe TBI.⁶⁻⁹ Himanen et al⁹ evaluated cognitive changes on a variety of neuropsychologic measures over 3 decades following "substantial" TBI. There was a general pattern of slight cognitive decline during the follow-up period although semantic memory generally improved. The study also concluded that younger patients were likely to maintain or even improve their cognitive functioning over time compared to older patients with most patients evidencing some mild cognitive decline over time which was influenced by both gender and age at injury. Comparing TBI to non-brain trauma (fracture) among individuals \geq 55 years old without baseline dementia who survived inpatient hospitalization for TBI versus non-brain trauma (fracture), Gardner, et al. found increased risk of developing dementia for those age > 55 with moderate-severe TBI and those age > 65 with mild TBI.¹⁰ Functional decline may include employment with a statistically significant decline in employment from 57% at year 2 to 43% at year 10 post-injury observed by Graumwmeijer, et. al.¹¹ which was predicted by injury severity, function at hospital discharge, and pre-injury employment status.

The Traumatic Brain Injury Model Systems (TBIMS) cohort of more than 18,000 people 16 years and older who were hospitalized and received inpatient rehabilitation for moderate to severe TBI have provided significant insight into the outcomes and patterns of functional and neurorecovery by five years post-injury and highlighted important prognostic information in this population, including the role of age, pre-injury factors, and functional measures.^{6-8, 12-14} Hammond, Grattan, et al¹³ showed that functional changes (improvement and decline examined together) occur from year 1 to year 5 post injury as measured by the FIM and Disability Rating Scale (DRS), despite the high ceiling effects for measurement later post-injury. Looking at FIM Cognitive Subscale scores, Hammond, Hart, et al⁸ found 61% stayed the same, 26% improved and 14% worsened from year 1 to year 5. Examination of FIM Cognitive items showed that change (both improvement and decline) was most commonly occurring in the area of memory and problem solving where fewer were at ceiling while social interaction scores less commonly changed. Marquez de la Plata et al¹⁴ found that older patients showed greater decline on DRS over the first 5 years after TBI compared to younger patients. Additionally, younger patients made greater progress in terms of disability based on analysis of serial DRS scores, FIM instrument cognitive items and GOS-E. Corrigan, et al⁸ found that among those with TBI five years prior, approximately 20% were dead; 12% were institutionalized; and one-third were not independent in activities of daily living. Additionally, 8% were depressed; 29% were dissatisfied with life; 50% were hospitalized at some point; 57% had moderate-severe disability; and 55% were unemployed. Pretz and associates¹⁵⁻¹⁸ have utilized Individual Growth Curve Analysis to examine individual trajectories of the TBIMS cohort and develop interactive tools to

allow prediction of the recovery trajectory for individuals and subgroups with specified characteristics on the selected covariates. Using this methodology to look at global outcome (GOS–E and DRS) trajectories after TBI among those who died versus those who survived,¹⁷ worse functional status correlated with earlier demise and a steeper rate of decline over time as measured by both instruments. In this cohort, it has been found that the probability of returning to productivity increased over the first five years post-injury with associated factors including age of injury, race, level of education and occupational category at time of injury, preinjury substance abuse status, DRS at rehabilitation hospital discharge, and rehabilitation length of stay.¹⁸

Studies of functional change beyond 5 years post-injury in large, well-characterized samples are scant. With greater understanding of long-term outcome trajectories (including both improvement and decline), we can identify potential opportunities for intervention to facilitate the best outcomes. Examining epoch-to-epoch change via cross-sectional analysis of the TBIMS cohort, Corrigan and Hammond² reported approximately one-quarter to one-third of individuals with TBI showed evidence of functional decline at some point in the first 15 years following TBI with a roughly equivalent proportion showing evidence of functional improvement, while 40-50% appeared stable over time. Given the cross-sectional nature of these analyses, the longitudinal trajectory of function in the large TBIMS cohort after 5 years for the same individuals remains unclear. The current study aimed to further increase knowledge of outcome trajectories after 5 years by utilizing the TBIMS cohort to assess the maintenance of independence between years 5 and 15 post-injury and risk factors for decline over that timeframe. While studying the characteristics of those who improve over time is as important as

studying those who decline, this study focuses only on decline due to the high ceiling effect of functional outcome measures, with most independent at 5 years.

METHODS

Study Participants

Participants were consecutive inpatient rehabilitation admissions who were prospectively enrolled in the National Institute on Disability, Independent Living, and Rehabilitation Research (NIDILRR) funded TBIMS multi-site longitudinal database who completed follow-up assessment at years 5 or 10 and 15 post-injury. They were included in this study if date of injury was between January 1, 1989 through December 31, 2002. TBI was defined by the presence of one or more of the following: GCS score <13 on emergency department admission, loss of consciousness >30 minutes, posttraumatic amnesia >24 hours, or trauma-related intracranial abnormality on neuroimaging. TBIMS inclusion also require: age at the time of injury 16 years or older, medical care received in TBIMS-affiliated trauma center within 72 hours of injury with direct transfer to a TBIMS-affiliated inpatient TBI rehabilitation program, and informed consent provided by legal proxy or participant. In order to be included in the analysis, a subject must have had 15-year outcomes available and either 5-year or 10-year outcomes for all FIM and DRS subscales. All participating sites received local institutional review board approval.

Measures

<u>Functional Independence Measure (FIM).</u> The FIM¹⁹ measures functional independence or burden of care with 18 items that assess Self-care (6 items), Mobility (7 items), and Cognition (5 items). Items are scored 1 through 7 with 1 representing complete dependence and 7 indicating

complete independence. For ambulation, primary mobility mode (walking versus wheelchair) was utilized. The total FIM Self-care, Mobility and Cognition index scores were calculated as the sums of the respective item scores. In cases of missing FIM items within a subscale, the subscale data were omitted for that individual. As in our prior work,²⁰ based on the mean scores for Selfcare, Mobility and Cognition, each participant was classified at each time point as Totally Independent (mean score > 5.5), Some Assistance needed ($1.5 \le$ mean score ≤ 5.5), or Totally Dependent (mean score < 1.5). If both the 5 year and 15-year FIM data were available, "decline" was determined based on these values. When 5-year data were unavailable, decline was determined from the 10-year and 15-year data. Participants were classified as having declined (yes or no) from 5 to 15 years if they decreased in independence (from Independent to Some Assistance, or Independent or Some Assistance to Dependent) on FIM Self-care, Mobility, Cognition, or any of the three FIM indices. Definition of "decline" is illustrated in Table 1. Improvement was defined similarly based on categorical improvements over time. Disability Rating Scale (DRS). The 8-item DRS assesses cognitive ability to manage daily living activities, level of assistance or supervision with function, and employability.²¹ DRS scores range from 0 (no disability) to 29 (extreme vegetative state). For this study we only used the Level of Functioning and Employability Item scores. DRS Level of Functioning assesses all aspects of function and takes into account if one requires special equipment, supervision, or assistance. DRS Employability assesses employment potential as a worker, student or homemaker (such as, abilities to plan, initiate, carry out tasks, handle social situations, get around using transportation systems, deal with number concepts, handling cash transactions, and managing schedule) rather than actual employment, and categorizes employability as: not restricted, selected competitive jobs, non-competitive (sheltered), and not employable. "Decline" on DRS was determined

comparing the 5-year and 15-year DRS data. When 5-year data were unavailable, decline was determined from the 10-year and 15-year data. Decline in DRS was defined in two ways: 1) as at least a 1 unit increase in the ordinal value of the variable, as each unit increase may represent a clinically meaningful decline; and 2) as more than a 1 unit increase in the value of the variable, per our prior work.¹² See Table 1 for illustration. Improvement was defined similarity based on 1 unit (or more than one unit) increases over time.

Procedures

Participants or family/significant others were interviewed for the collection of demographic information (e.g.: date of birth, education, and premorbid functioning). Data regarding injury severity [Glasgow Coma Scale (GCS) score, time to follow commands, and duration of posttraumatic amnesia] and medical course were obtained by trained research assistants from review of hospital and emergency medical service records consistent with TBIMS database protocols. Time to follow commands is the interval in days from the date of injury until the first of two consecutive reports of command-following within a 24-hour period. Posttraumatic amnesia duration is the days from the date of injury until the first of two consecutive reports of orientation within a 72-hour period in which the participant was fully oriented (> 76 on the Galveston Orientation and Amnesia Test,²² or > 25 on the Orientation Log,^{23,24} or two days with consistent orientation documented in the acute medical record within a three-day period with no intervening days at less than full orientation). For those remaining in PTA at rehabilitation discharge, the sum of acute care and rehabilitation length of stay plus one day was used to represent PTA duration consistent with prior work.^{25,26} The DRS and FIM were administered at the time of rehabilitation admission. Follow up data were collected using a standardized

telephone follow-up assessment protocol at post-injury years 5, 10, and 15.²⁷ Data were collected from family or care providers familiar with the participant when participants were unable to communicate or provide reliable information.

Data analysis

Data were analyzed using statistical software SAS Version 9.4 (Cary, NC).²⁸ Descriptive statistics were expressed as quartiles (1st/median/3rd) for continuous variables and count (percent) for categorical variables. Subjects used in statistical analyses were compared to those who were not using chi-square tests for categorical variables and Wilcoxon Rank Sum test for numerical variables. Univariate and multivariable logistics models were fit with pre-specified predictor variables. Firth's penalized likelihood approach was used to fit the models due to the low frequencies of decline for FIM subscales and DRS employability decline > 1 which could cause biased estimates for the multivariable models when using standard maximum likelihood methods. The age range for those included in the statistical analyses was 16 to 78 years; thus, we assessed the assumption of linearity for age of injury in the multivariable models, both with a formal test, the Box-Tidwell Test, and graphically by plotting the relationships between age of injury and the values of the linear predictor (logit) from the logistic model under the assumption of linearity. Results are displayed in Supplemental Figures 1 (for FIM) and 2 (for DRS). Though the Box-Tidwell Test was not always significant, the plots consistently indicated less or no linear relationship ≤ 25 years of age at injury, with a linear relationship after age at injury of 25. Thus, our final multivariable models included separate linear effects for age at injury ≤ 25 vs. > 25.

RESULTS

Participant Characteristics

A final sample of 1381 met study criteria. Figure 1 summarizes the number excluded from analyses by reason. Among TBIMS national database participants, 2,456 were alive at year 5 and eligible for year 15 follow-up by a participating center. Of those, 692 were excluded due to missing 15-year data or having 15-year data but missing both 5-year and 10-year data (n=692), and 383 were excluded due to death between Year 5 and 15 post-injury. Table 2 summarizes the characteristics of the overall sample analyzed, those excluded due to missing follow-up, and those who died within the 5 to 15-year window. For demographic and clinical characteristics, comparisons of the sample who were analyzed to those excluded due to missing follow-up revealed statistically significant differences for gender (27.0% vs 21.0% female), race (62.3% vs 47.8% white), education (35.6% vs 25.0% with > high school diploma), moderate to severe GCS (57.4% vs 62.1% yes), days to follow commands (median 2 vs 3 day), posttraumatic amnesia days (median 23 vs 24 days); there were no differences for age of injury, cause of injury, length of stay (LOS) in acute stay, or rehabilitation LOS (RLOS). Compared to those who died, those who were analyzed were different based on age (median 30 vs 51 years), gender (27.0% vs 21.7% female), race (62.3% vs 68.7% white), cause of injury (46.8% vs 31.9% motor vehicle), moderate to severe GCS category (57.4% vs 42.4% yes), posttraumatic amnesia days (median 23 vs 29 days), and RLOS (median 21 vs 23 days). The two groups were not different based on education, days to follow commands, and LOS in acute stay. For outcomes, those that were analyzed had significantly better scores on both the FIM Cognitive and Mobility subscores and DRS subscales at Year 5 than those who died. For DRS subscales at Year 5, scores indicated less disability in the sample than those excluded due to missing follow-up. Note that for those

included in the analysis, the Q1 scores for the FIM subscales and Q3 for the DRS subscales indicate high levels of functioning for the majority (75% or more) of the participants.

Functional Changes Between Years 5 and 15

Table 3 shows the percentage of participants who declined, stayed the same, or improved over time for all FIM and DRS subscales. The majority of participants had no changes, with the exception of change in "Any DRS (\geq 1)" category, where there were a large percentage of improvements in both employability and/or functioning (25.3%; driven mostly by improvements in Employability) and larger declines (46.7%; driven mostly by declines in Level of Function). For FIM, only 4.4% showed decline in Self-Care, 4.9% declined in Mobility, and 5.9% declined in Cognition. Overall, 10.4% showed decline in one or more FIM subscales. For the DRS change \geq 1, 15.9% showed decline in Employability, 42.3% in Level of Functioning, and 46.7% in either. For the DRS change > 1, 6.3% showed decline in Employability, 24.4% in Level of Functioning, and 26.5% in either. Percentages of those who improved were lower than those who declined in all cases except for FIM Cognition (7.5% improved vs 5.9% declined, and DRS Employability (change \geq 1, 22.2% improved, 15.9% declined; change > 1, 9.4% improved, 6.3% declined).

Predictors of Decline

<u>FIM</u>: Univariate predictors of FIM decline included older age at injury, male gender, injury not being caused by a motor vehicle accident, greater number of days to follow commands, duration of posttraumatic amnesia, and LOS in both acute stay and rehabilitation (Supplemental Table 1). In multivariable models (Table 4), independent predictors for decline in Self-care were older age at injury if > 25 years (OR=2.16, 95% CI = 1.68,2.80, p < .001) and male gender (OR=2.10, 95% CI = 1.10,3.94, p = .02). Having less than or equal to a high school education or other, which was not significant in any univariate FIM model, was also an independent predictor of Self-care decline (OR=2.50, 95% CI = 1.25,5.35, p = 0.01). Independent predictors of decline in Mobility were older age at injury if > 25 years (OR=1.95, 95% CI = 1.55,2.46, p < .001), male gender (OR=1.87, 95% CI = 1.04,3.34, p = 0.03), and RLOS (OR=1.19, 95% CI = 1.08,1.30, p < .001). Independent predictors of Cognition decline were older age if age at injury > 25 (OR=1.59, 95% CI = 1.27,1.97, p < .001), having less than a high school education or other (OR=1.94, 95% CI = 1.12,3.48, p = 0.02), and greater RLOS (OR=1.12, 95% CI = 1.01,1.24, p = 0.02). Results for any FIM decline were similar to Cognition.

<u>DRS</u>: Univariate predictors of decline by one or more units based on the DRS included older age at injury if > 25, male gender, being black, and having less than or equal to a high school education or other. Gender, cause of injury, days to follow commands, days in posttraumatic amnesia, LOS, and RLOS were not significant predictors in any univariate model (Supplemental Table 2). In multivariable models (Table 5), older age at injury if > 25 (ORs = 1.29, all p < 0.005) and having less than high school education or other (ORs ranging from 1.32 to 1.67, p = 0.03 or less) were independent predictors of all three DRS decline \geq 1 outcomes. Results for decline on DRS of > 1 unit were similar (Table 5 and Supplemental Table 3). Exceptions were that male gender and black race were no longer significant in any univariate model, and having less than high school education for Level of Functioning instead of Employability in the univariate models and no longer significant for Employability in the multivariable model.

DISCUSSION

This prospective longitudinal cohort study examined the frequency of, and risk factors for, functional decline during years 5 through 15 following moderate-severe TBI using follow-up assessments at 5 or 10, and 15 years post-TBI. These results suggest that the course of recovery in these later years post-injury may be more nuanced than that observed in the first 5 years. At 15 years post-injury, participants were most likely to have remained unchanged from their earlier level of functioning. When change occurred, decline in status was more likely than improvement for the DRS, but more equivalent on the FIM subscales. Whether using a conservative or liberal criterion for change on the DRS, decline in Level of Functioning was substantially more likely than improvement. In contrast, for Employability on the DRS, improvement was more likely than decline regardless the criterion for change that was used. Perhaps the most general conclusion that can be drawn is that some stability is attained in the time preceding 15 years post-injury (at least for this cohort) and that higher level cognitive and social behaviors (i.e., FIM Cognition, DRS Employability) may be less susceptible to decline while functions that subserve physical and instrumental independence (FIM Self-Care, FIM Mobility, DRS Level of Functioning) are more susceptible. There are obvious parallels between which abilities were more susceptible to change and what is observed in normal aging. Indeed, older age was the most consistent predictor of decline. Lower education was the next most consistent predictor of decline. Again, a protective effect of education is also consistent with age-related change in the general population. The lower education relationship may also be a proxy for socioeconomic status. Remarkably, indices of injury severity did not contribute significantly to predicting decline-the modest exception being Rehabilitation LOS which was predictive of decline in FIM Mobility and Cognition. If replicated in other samples, these findings would suggest that severity

of the original injury will not be a primary driver of long-term needs but the exigencies of aging will be.

It appears that, depending on age, somewhere between year 5 and 15 years after TBI, the process of aging becomes a major contributor to the dynamics of change. Other investigators using this dataset have identified distinct clinical profiles associated with outcome trajectories that are consistent with the age-effect found in our analysis.^{16,17} The effects of age on the trajectory of recovery over time has also been found in other longitudinal datasets in which participants were followed up to 30 years after TBI. While normal aging appears to have a more substantial effect than injury severity, we are not able to attribute the changes observed to one or the other without either a matched comparison group who had no TBI or normative longitudinal data for the DRS and/or FIM. Further, we are unable to determine what contribution covariates not considered or available in the dataset (such as socioeconomic status or medical comorbidities) make to the changes we observed.

The generalizability of these findings depends to a large part on how representative this cohort is of all people who are 15 years post-moderate or severe TBI. First, it is likely that those who survive to 15 years post-injury differ systematically from all persons with moderate to severe injury. Previous studies have established that nationally 1 in 5 people treated in rehabilitation for a primary diagnosis of TBI will have died by 5 years post.⁸ In this study, another 16% of those alive at 5 years had deceased in the next 10 years. The approximately two-thirds still alive at 15 years are certainly unique—between 5 and 15 years those still alive were younger at injury and more likely white, female and more educated. However, while these differences reflect on who survives, they do not detract from the generalizability of the findings. One-in-four of persons who could have been studied at 15 years were lost to follow-up.

Compared to those lost, the sample was more frequently male, white and had more education. These differences are consistent with previous studies of systematic bias due to loss to follow-up in TBI longitudinal studies.²⁹⁻³¹ This source of difference does undermine the potential generalizability of the findings.

Study Limitations

We have described the possible effect of both selection and survival biases when compared to all individuals who receive acute inpatient rehabilitation for a primary diagnosis of TBI. Further, there are individuals with comparable injury severity who are not admitted to acute inpatient rehabilitation due to factors unrelated to the injury (e.g., insurance-type, resources available geographically, family or personal preference). Among those studied, subjective ratings, measurement artifact, and ceiling effects may influence the FIM and DRS findings. Given the long period of time over which data were collected, historical bias is possible due to changes in demographics and etiology of TBI, health policies, technologies, and clinical care practices. The reader should bear in mind that DRS Employability measures one's ability to be employed and not actual employment. Finally, causes for and degree of decline were not studied; and treatment received over the period of study were not available.

Clinical and Research Implications

This study expands upon existing knowledge about long-term outcome after moderatesevere TBI and has direct implications for clinical care. First, findings support that many individuals do not demonstrate decreased function between 5 and 15 years post-injury. To help prevent premature decline before and after 15 years post-injury, healthcare professionals should empower all chronic TBI survivors, in collaboration with their families and other caregivers, to maintain a healthy lifestyle (e.g., healthy eating, cognitive and physical exercise, socialization) using such strategies as education, positive feedback, and collaborative goal setting.³²⁻³³ Extending the concept of 'brain' or 'cognitive' reserve to 'health' or 'functional' reserve or resilience, ongoing engagement in these types of evidence-supported practices may prolong length of stable function and, perhaps, in some, improve it.³⁴

Second, for chronic TBI survivors who are older than 25 years of age at time of injury and whose highest level of education did not exceed high school or the equivalent, study findings support the need for more than ongoing healthy lifestyle empowerment. For these individuals and others with risk factors that were not studied here, application of a chronic disease management approach appears justified. Such an approach would include ongoing medical monitoring for early identification and management of new onset co-morbidities whether or not they are directly related to the TBI.^{2,35-37} Periodic therapy for motor and/or cognitive reconditioning, especially in the absence of reserve that is associated with higher levels of education, may further reduce the heightened risk of functional decline over time.^{2,38}

This longitudinal study only looked at decline in functional independence between 5 and 15 years post-TBI. Given the relatively small number of participants whose independence declined in this study and given previous cross-sectional study findings that significant proportions of individuals have both stable and positive 15-year trajectories of function post-injury,² future longitudinal studies should examine individuals whose functional independence is maintained and whose functional independence improves between 5 and 15 years post-TBI. Further research is also needed regarding the effectiveness of interventions to prevent—or at least slow—predicted declines in function.

Conclusion

Chronic TBI is a lifelong condition that has been characterized as more dynamic than static. This study found that the nature of change from 5 to 15 years post-injury may differ from the first 5 years. At least among those still alive, stability of functional abilities is more common, and some abilities appear more susceptible to decline than others, particularly self-care, mobility and instrumental skills necessary for independence. Aging and cognitive reserve appear to be more important drivers of loss of function than original severity of the injury. More research is needed to understand the generalizability of these findings, the role of co-variates not considered here, as well as whether improvement is simply the mirror image of decline or if it has unique determinants.

Conflicts of Interests

The authors have no conflicts of interests to disclose.

REFERENCES

- Masel, B.E., DeWitt, D.S. (2010) Traumatic Brain Injury: A Disease Process, Not an Event. J Neurotrauma. 27(8), 1529–1540.
- Corrigan, J.D., Hammond, F.M. (2013) Traumatic Brain Injury as a Chronic Health Condition. Arch Physical Med Rehabil 94, 1199-1201.
- Lu, J., Roe C., Sigurdardottir, S., Andelic, N., Forslund, M. (2018) Trajectory of Functional Independent Measurements during First Five Years after Moderate and Severe Traumatic Brain Injury. J Neurotrauma. 35(14), 1596-1603. doi:10.1089/neu.2017.5299
- Forslund, M.V., Perrin, P.B., Roe, C., Sigurdardottir, S., Hellstromet, T., Berntsen, S.A., Lu, J., Arango-Lasprilla, J.C., Andelic, N. (2019) Global Outcome Trajectories up to 10 Years After Moderate to Severe Traumatic Brain Injury. Front Neurol. 10, 219. doi:10.3389/fneur.2019.00219
- Andelic, N., Howe, E.I., Hellstrom, T., Sanchez, M.F., Lu, J., Lovstad, M., Roe, C. (2018) Disability and Quality of life 20 Years After Traumatic Brain Injury. Brain Behav. 8(7), e01018. doi:10.1002/brb3.1018
- Millis, S.R., Rosenthal, M., Novak, T.A., Sherer, M., Nick, T.G., Kreutzer, J.S., High, W.M., Ricker, J.H. (2001) Long-term Neuropsychological Outcome After Traumatic Brain Injury. J Head Trauma Rehabil. 16(4), 343–355.
- Hammond, F.M., Hart, T., Bushnik, T., Corrigan, J., Sasser, H. (2004) Changes and Predictors of Change in Communication, Cognition, and Social Function Between 1 & 5 Years After TBI. J Head Trauma Rehabil. 19(4), 314-28.
- Corrigan, J.D., Cuthbert, J.P., Harrison-Felix, C., Whiteneck, G.G., Bell, J.M., Miller,
 A.C., Coronado, V.G., Pretz, C.R. (2014) US Population Estimates of Health and Social

Outcomes 5 years After Rehabilitation for Traumatic Brain Injury. Journal Head Trauma Rehabil. 29(6), E1-9.

- Himanen, L., Portin, R., Isoniemi, H., Helenius, H., Kurki, T., Tenovuo, O. (2006) Longitudinal Cognitive Changes in Traumatic Brain Injury: A 30-year Follow-up study. Neurology. 66(2), 187-192. doi:10.1212/01.wnl.0000194264.60150.d3
- Gardner, R.C., Burke, J.F., Nettiksimmons, J., Kaup, A., Barnes, D.E., Yaffe, K. (2014) Dementia risk after traumatic brain injury vs nonbrain trauma: the role of age and severity. JAMA Neurol. 71(12), 1490-1497. doi:10.1001/jamaneurol.2014.2668
- Grauwmeijer, E., Heijenbrok-Kal, M.H., Haitsma, I.K., Ribbers, G.M. (2017)
 Employment Outcome Ten Years after Moderate to Severe Traumatic Brain Injury: A
 Prospective Cohort Study. J Neurotrauma. 34(17):2575-2581. doi:10.1089/neu.2016.4846
- Hammond, F., Grattan, K., Sasser, H., Corrigan, J., Bushnik, T., Zafonte, R. (2001) Long-term Recovery Course Following Traumatic Brain Injury: A Comparison of the Functional Independence Measure and Disability Rating Scale. J Head Trauma Rehabil. 16(4), 318-329.
- 13. Hammond, F.M., Grattan, K., Sasser, H., Corrigan, J., Rosenthal, M., Bushnik, T., Shull,
 W. (2004) Five Years After TBI: A Study of Individual Outcomes and Predictors of
 Change in Function. Neurorehabil. 19(1), 25-36.
- Marquez de la Plata, C., Hart, T., Hammond, F.M., Frol, A.B., Hudak, A., Harper, C.R., O'Neil-Pirozzi, T.M., Whyte, J., Carlile, M., Diaz-Arrastia, R. (2008) Impact of Age on Long-term Recovery from TBI. Arch Phys Med Rehabil. 89, 896-903.
- Pretz, C.R., Dams-O'Connor, K. (2013) Longitudinal Description of the Glasgow
 Outcome Scale-extended for Individuals in the Traumatic Brain Injury Model Systems

National Database: A National Institute on Disability and Rehabilitation Research Traumatic Brain Injury Model Systems Study. Arch Phys Med Rehabil. 94(12), 2486-2493. doi:10.1016/j.apmr.2013.06.021

- 16. Pretz, C.R., Malec, J.F., Hammond, F.M. (2013) Longitudinal Description of the Disability Rating Scale for Individuals in the National Institute on Disability and Rehabilitation Research Traumatic Brain Injury Model Systems National Database. Arch Phys Med Rehabil. 94(12), 2478-2485. doi:10.1016/j.apmr.2013.06.019
- 17. Dams-O'Connor, K., Pretz, C., Billah, T., Hammond, F.M., Harrison-Felix, C. (2015)
 Global Outcome Trajectories After TBI Among Survivors and Nonsurvivors: A National Institute on Disability and Rehabilitation Research Traumatic Brain Injury Model
 Systems Study. J Head Trauma Rehabil. 30(4), E1-E10.
 doi:10.1097/HTR.000000000000073
- 18. Pretz, C., Kowalski, R.G., Cuthbert, J.P., Whiteneck, G.G., Miller, A.C., Ketchum, J.M., Dams-O'Connor, K. (2020) Return to Productivity Projections for Individuals With Moderate to Severe TBI Following Inpatient Rehabilitation: A NIDILRR TBIMS and CDC Interagency Collaboration. J Head Trauma Rehabil. 35(2), 140-151.
- Hamilton, B.B., Granger, C.V., Sherwin, F.S., Zielezny, M., Tashman, J.S. (1987) A Uniform National Data System for Medical Rehabilitation. In: Fuhrer MJ, editor. Rehabilitation outcomes: analysis and measurement. Baltimore: Brooks; p 137-47.
- 20. Hammond, F.M., Giacino, J.T., Nakase-Richardson, R., Sherer, M., Zafonte, R.D.,
 Whyte, J., Arciniegas, D.B., Tang, X. (2019) Disorders of Consciousness Due to
 Traumatic Brain Injury: Functional Status Ten Years Post-Injury. J Neurotrauma 1;36(7),
 1136-1146. doi: 10.1089/neu.2018.5954. Epub 2018 Oct 19.

- Rappaport, M., Hall, K., M., Hopkins, K., Belleza, T., Cope, D., N. (1982) Disability Rating Scale for Severe Head Trauma: Coma to Community. Arch Phys Med Rehabil 63(3):118-123.
- 22. Levin, H.S., O'Donnell, V.M., Grossman, R.G. (1979) The Galveston Orientation and Amnesia Test: A Practical Scale to Assess Cognition After Head Injury. J Nervous Mental Dis. 167(11), 675-684.
- 23. Novack, T.A., Dowler, R.N., Bush, B.A., Glen, T., Schneider, J.J. (2000) Validity of the Orientation Log, Relative to the Galveston Orientation and Amnesia Test. J Head Trauma Rehabil. 15(3), 957-961.
- Jackson, W.T., Novack, T.A., Dowler, R.N. (1998) Effective Serial Measurement of Cognitive Orientation in Rehabilitation: The Orientation Log. Arch Phys Med Rehabil. 79, 18–20.
- 25. Nakase-Richardson, R., Sepehri, A., Sherer, M., Yablon, S.A., Evans, C., Mani, T. (2009) Classification Schema of Posttraumatic Amnesia Duration-based Injury Severity Relative to 1-year Outcome: Analysis of Individuals with Moderate and Severe Traumatic Brain Injury. Arch Phys Med Rehabil. 90(1), 17-19.
- 26. Nakase-Richardson, R., Sherer, M., Seel, R.T., Hart, T., Hanks, R., Arango-Lasprilla, J.C., Yablon, S.A., Sander, A.M., Barnett SD, Walker WC, Hammond F. (2011) Utility of Post-traumatic Amnesia in Predicting 1-year Productivity Following Traumatic Brain Injury: Comparison of the Russell and Mississippi PTA Classification Intervals. J Neurol Neurosurg Psych. 82(5), 494-499.
- Bogner, J.A., Whiteneck, G.G., MacDonald, J., Juengst, S.B., Brown, A.W., Phillippus,
 A.M., Marwitz, J.H., Lengenfelder, J., Mellick, D., Arenth, P., Corrigan, J.D. (2017)

Test-Retest Reliability of Traumatic Brain Injury Outcome Measures: A Traumatic Brain Injury Model Systems Study. J Head Trauma Rehabil 32(5), E1-E16.

28. Copyright (c) 2002-2012 by SAS Institute Inc., Cary, NC, USA.

- 29. Richter, S., Stevenson, S., Newman, T., Wilson, L., Menon, D.K., Maas, A.I.R., Nieboer, D., Lingsma, H., Steyerberg, E.W., Newcombe, V.F.J. (2019) Handling of Missing Outcome Data in Traumatic Brain Injury Research: A Systematic Review. J Neurotrauma. 36(19), 2743-2752. doi: 10.1089/neu.2018.6216. Epub 2019 Jun 17. PMID: 31062649; PMCID: PMC6744946.
- 30. Corrigan, J.D., Harrison-Felix, C., Bogner, J., Dijkers, M., Terrill, M.S., Whiteneck, G. Systematic Bias in Traumatic Brain Injury Outcome Studies Because of Loss to Followup. Arch Phys Med Rehabil. 2003 Feb;84(2):153-60. doi: 10.1053/apmr.2003.50093. PMID: 12601644.
- 31. Krellman, J.W., Kolakowsky-Hayner, S.A., Spielman, L., Dijkers, M., Hammond, F.M., Bogner, J., Hart, T., Cantor, J.B., Tsaousides, T. (2014) Predictors of Follow-up Completeness in Longitudinal Research on Traumatic Brain Injury: Findings From the National Institute on Disability and Rehabilitation Research Traumatic Brain Injury Model Systems program. Arch Phys Med Rehabil. 95(4), 633-41. doi: 10.1016/j.apmr.2013.10.016. Epub 2013 Nov 7. PMID: 24211496.
- 32. Medley, A.R., Powell, T. (2010) Motivational Interviewing to Promote Self-awareness and Engagement in Rehabilitation Following Acquired Brain Injury: A Conceptual Review. Neuropsychol Rehabil. 20, 481-508.

- 33. O'Neil-Pirozzi, T.M., Marcinczyk, K.A., Peltier, A.M., Rodano, K.M. (2019) Survivor-Perceived Motivational Facilitators and Barriers to Participation in Cognitive Exercise Following Chronic Acquired Brain Injury. Brain Inj. 33, 1308-1319.
- 34. Schwartz, C.E., Rapkin, B.D., Healy, B.C. (2016) Reserve and Reserve-building Activities Research: Key Challenges and Future Directions. BMC Neurosci. 17, 62-71.
- 35. Hammond, F.M., Corrigan, J.D., Ketchum, J.M., Malec, J.F., Dams-O'Connor, K., Hart, T., Novack, T.A., Bogner, J., Dahdah, M., Whiteneck, G. (2019) Prevalence of medical and psychiatric comorbidities following traumatic brain injury. J Head Trauma Rehabil. 34(5), E24-E35.
- 36. Malec, J.F., Ketchum, J.M., Hammond. F.M., Corrigan, J.D., Dams-O'Connor, K., Hart, T., Novack, T.A., Bogner, J., Dahdah, M., Whiteneck, G. (2019) Longitudinal effects of medical comorbidities on functional outcome and life satisfaction after traumatic brain injury: An individual growth curve analysis of NIDILRR Traumatic Brain Injury Model Systems Data. J Head Trauma Rehabil. 34(5), E24-E35.
- 37. Kumar, R.G., Ketchum, J.M., Corrigan, J.D., Hammond, F.M., Sevigny, M., Dams-O'Connor, K. (2020) The Longitudinal Effects of Comorbid Health Burden on Functional Outcomes for Adults with Moderate to Severe Traumatic Brain Injury. J Head Trauma Rehabil. published ahead of print.
- Bigler, E.D., Stern, Y. (2015) Traumatic brain injury and reserve. Handbook of Clinical Neurology. 128:691-710. Elsevier.

Figure 1: Participant flow diagram.

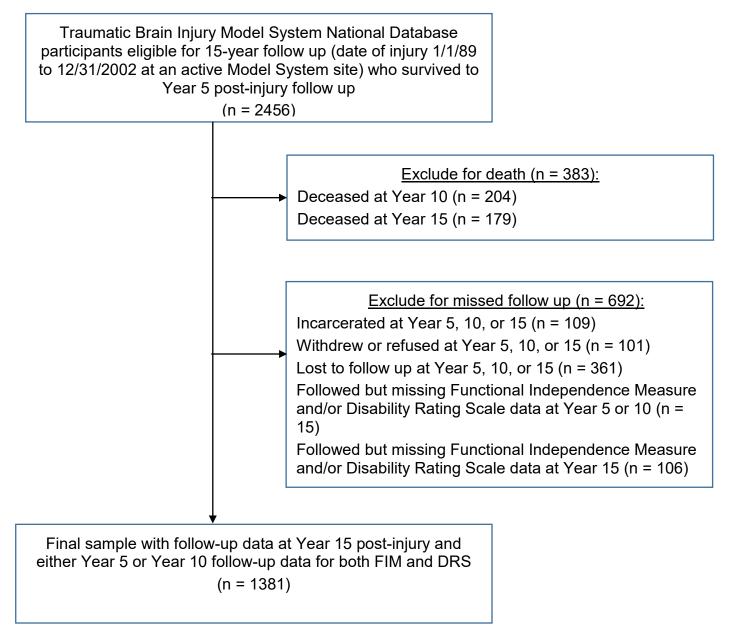


Table 1: Summary mustrations of Dechne Across mulces		
	≥ 1 Decline	>1 Decline
	At a minimum, decline by	At a minimum, decline by
	one level	two levels
FIM Levels (In Any Category of Self Care, Mobility, and Cognition)		
Totally Independent		
Some Assistance Needed	▼	
Totally Dependent	↓ ★	
DRS Level of Functioning		
Completely Independent		
(Able to live as he/she wishes with no restrictions in independent living)		
Independent in Special Environment		
(Capable of functioning independently when needed requirements are met such as	•	
mechanical aids)		
Mildly Dependent		
(Able to care for most of own needs but requires limited assistance thus needs non-		♥
residential helper in the home)		
Moderately Dependent	↓ ↓	↓ .
(Able to care for self partially but needs another person at all times in the home)		
Markedly Dependent		↓
(Needs help with all major activities and the assistance of another person at all times)	•	
Totally Dependent		↓ ↓
(Not able to assist in own care and requires 24-hour nursing care)	▼	
DRS Employability Levels		
Unrestricted Competitive Employment		
(Can compete in the open market for a wide range of jobs)		
Selected Competitive Employment	↓	
(Can compete in limited job market for a narrow range of jobs due to limitations)		
Non-Competitive Employment, Sheltered Workshop		↓
(Cannot compete in successfully in a job market due to limitations)		· ·
Not Employable	↓	↓
(Completely unemployable/unable to carryout school assignments due to limitations)		

Table 1: Summary Illustrations of Decline Across Indices

Footnote: FIM = Functional Independence Measure; DRS = Disability Rating Scale

	Exc	luded: Not Missing at	Exc	luded: Death Between	Included in Analyses			
Parameter		Random (n=692)		Years 5-15 (n=383)		(n=1381)		
	n	Med (Q1,Q3) or % (n)	n	Med (Q1,Q3) or % (n)	n	Med (Q1,Q3) or % (n)		
Age at injury (years), Median (Q1, Q3)	692	29 (21,39)	383	51 (38,67)	1381	$30(21,41)^1$		
Gender, % (n)	692		383		1381			
Female		21.0% (145)		21.7% (83)		$27.0\% (373)^2$		
Male		79.0% (547)		78.3% (300)		73.0% (1008)		
Race, % (n)	692		383		1381			
White		47.8% (331)		68.7% (263)		$62.3\% (861)^2$		
Black		35.6% (246)		24.8% (95)		26.2% (362)		
Hispanic		10.5% (73)		3.9% (15)		6.2% (85)		
Other		6.1% (42)		2.6% (10)		5.3% (73)		
Education, $\%$ (n)	661		365		1357			
<= High school diploma or other ⁴		75.0% (496)		69.9% (255)		$64.4\% (874)^3$		
> High school diploma		25.0% (165)		30.1% (110)		35.6% (483)		
Cause of Injury, % (n)	692		383		1381			
Motor Vehicle		46.5% (322)		31.9% (122)		$46.8\% (646)^1$		
Other/Unknown		53.5% (370)		68.1% (261)		53.2% (735)		
GCS Category, % (n)	691		380		1367			
Severe		43.7% (302)		28.7% (109)		$43.5\% (595)^2$		
Moderate		18.4% (127)		13.7% (52)		13.9% (190)		
Mild		21.3% (147)		44.5% (169)		23.5% (321)		
Chemically Sedated or Paralyzed		16.2% (112)		12.9% (49)		19.1% (261)		
Intubated		0.4% (3)		0.3%(1)		0.0% (0)		
TFC (days), Median (Q1, Q3)	675	3 (1,11)	374	1 (0.5,9)	1355	$2 (0.5,9)^3$		
PTA (days), Median (Q1, Q3)	642	24 (14,45)	362	29 (16,52)	1291	$23(11,42)^2$		
Acute Stay LOS (days), Median (Q1, Q3)	692	16 (9,25)	383	15 (8,30)	1381	15 (9,24)		
Rehabilitation LOS (days), Median (Q1, Q3)	692	21 (13,35)	383	23 (15,39)	1381	$21(13,35)^1$		
FIM Self-Care at Year 5, Median (Q1, Q3)	314	42 (40,42)	287	42 (34,42)	1218	$42 (41,42)^1$		
FIM Mobility at Year 5, Median (Q1, Q3)	306	49 (47,49)	278	46 (39,49)	1212	$49 (47,49)^1$		
FIM Cognition at Year 5, Median (Q1, Q3)	312	32 (29.5,34)	286	30 (25,34)	1213	$33(30,35)^1$		

Table 2: Characteristics of the Study Sample (N=2456)

DRS Employability at Year 5, Median	323	1 (0,2)	288	2 (1,3)	1218	$0.5 (0,1.5)^2$
(Q1,Q3)						
DRS Functioning at Year 5, Median	323	0.5 (0,1)	288	1(0,3)	1219	$0 (0,1)^2$
(Q1, Q3)						

¹Included significantly different from death;²Included significantly different from NMR and death; ³Included significantly different from NMR; ⁴other education = 5 refusals Abbreviations: GCS = Glasgow Coma Scale score; TFC = time to follow commands; PTA = posttraumatic amnesia; LOS = length of stay; NMR = Not Missing at Random

	% Declined (n)	% Did not Change (n)	% Improved (n)
FIM Change			
Self-care	4.4 % (61)	93.1 % (1286)	2.5 % (34)
Mobility	4.9% (68)	93.1% (1285)	2.0 % (28)
Cognition	5.9% (82)	86.5 % (1195)	7.5 % (104)
Any FIM ^a	10.4% (144)	79.9 % (1103)	10.1 % (139)
DRS (≥ 1) Change			
Employability	15.9% (219)	61.9% (855)	22.2 % (307)
Functioning	42.3% (584)	50.5% (697)	7.2 % (100)
Any DRS ^b	46.7% (645)	35.6% (491)	25.3 % (349)
DRS (> 1) Change			
Employability	6.3% (87)	84.3% (1164)	9.4 % (130)
Functioning	24.4% (337)	72.3% (999)	3.3 % (45)
Any DRS ^c	26.5% (366)	63.9% (883)	11.3 % (156)

 Table 3: Changes in Functioning From Year 5 or 10 to Year 15 (n=1381)

^aPercentages sum to > 100% since 5 subjects both declined and improved in some area (4 declined on Mobility but improved on Self-care or Cognition, 1 declined on Self-care but improved on Cognition)

^bPercentages sum to > 100% since 104 subjects both declined and improved in some area (101 declined on functioning but improved on employability, 3 declined on employability but improved on functioning) ^cPercentages sum to > 100% since 24 subjects declined on functioning but improved on employability

	Self-Care Decline No. of Events = 49				Mobility Decline No. of Events = 61			tion Decline Events = 72		Any FIM Decline No. of Events = 124		
Predictors	OR (95% CI)	χ²(df)	p-value	OR (95% CI)	χ²(df)	p-value	OR (95% CI)	χ²(df)	p-value	OR (95% CI)	χ²(df)	p-value
Age at Injury if ≤25 ¹	0.81(0.38,1.86)	0.31(1)	0.5789	1.19(0.58,2.73)	0.21(1)	0.6444	0.86(0.5,1.54)	0.27(1)	0.6022	1.06(0.67,1.7)	0.06(1)	0.8134
Age at Injury if >25 ²	2.16(1.68,2.80)	36.36(1)	<0.0001	1.95(1.55,2.46)	33.03(1)	<0.0001	1.59(1.27,1.97)	17.25(1)	<0.0001	1.62(1.35,1.93)	28.27(1)	<0.0001
Male (1=Yes;0=No)	2.10(1.1,3.94)	5.52(1)	0.0188	1.87(1.04,3.34)	4.59(1)	0.0321	0.85(0.46,1.48)	0.33(1)	0.5682	1.14(0.73,1.76)	0.36(1)	0.5469
Race		1.45(3)	0.6948		6.52(3)	0.0888		3.18(3)	0.3648		3.47(3)	0.3242
Black vs Hispanic	0.78(0.27,2.71)			0.46(0.19,1.21)			0.5(0.2,1.34)			0.54(0.27,1.15)		
Black vs Other	0.79(0.25,2.95)			1.23(0.38,5.1)			1.37(0.39,7.17)			1.04(0.43,2.86)		
Black vs White	1.27(0.63,2.5)			1.41(0.74,2.65)			0.72(0.38,1.29)			1.02(0.63,1.6)		
Hispanic vs Other	1.01(0.22,4.63)			2.65(0.7,12.21)			2.71(0.67,15.31)			1.91(0.69,5.8)		
Hispanic vs White	1.63(0.49,4.47)			3.05(1.22,7.06)			1.42(0.58,3.11)			1.87(0.93,3.57)		
Other vs White	1.61(0.45,4.69)			1.15(0.29,3.45)			0.52(0.1,1.63)			0.98(0.37,2.22)		
High School $(1 = \leq High$ School or Other ³ ; $0 = >$ High School)	2.5(1.25,5.35)	6.56(1)	0.0104	1.41(0.78,2.61)	1.29(1)	0.2564	1.94(1.12,3.48)	5.45(1)	0.0195	1.87(1.21,2.96)	7.69(1)	0.0056
Cause of Injury (1=Motor Vehicle;0= Other/Unknown)	0.66(0.34,1.24)	1.7(1)	0.1929	0.57(0.31,1.02)	3.5(1)	0.0613	0.73(0.43,1.23)	1.44(1)	0.2306	0.75(0.49,1.13)	1.9(1)	0.1677
Days to Follow Commands ⁴	1.02(1,1.03)	3.28(1)	0.0702	1.01(0.99,1.03)	1.83(1)	0.1764	1.01(0.98,1.02)	0.49(1)	0.4844	1.01(0.99,1.02)	1.51(1)	0.2193
Days in Posttraumatic Amnesia ⁴	1.03(0.91,1.17)	0.19(1)	0.6596	0.96(0.88,1.06)	0.63(1)	0.4269	0.99(0.9,1.1)	0.01(1)	0.9099	0.99(0.92,1.07)	0.04(1)	0.8411
Acute Length of Stay ⁴	0.98(0.8,1.16)	0.03(1)	0.8648	1.14(0.99,1.29)	3.79(1)	0.0514	0.94(0.78,1.1)	0.47(1)	0.4931	1.03(0.92,1.15)	0.36(1)	0.5484
Length of Stay in Rehab ⁴	1.11(0.98,1.25)	3.26(1)	0.0709	1.19(1.08,1.3)	12.54(1)	0.0004	1.12(1.01,1.24)	5.48(1)	0.0192	1.15(1.06,1.24)	12.61(1)	0.0004

Table 4: Predictors of FIM Decline in Multivariable Logistic Regression Models (n=1249)

¹units=5 years; ²units=10 years; ³other education = 5 refusals; ⁴units=7 days

		oility Decli vents = 18			ning Decl Events = 5		Any DRS Decline No. of Events = 566			
Predictors	OR (95% CI)	χ²(df)	p-value	OR (95% CI)	χ²(df)	p-value	OR (95% CI)	χ²(df)	p-value	
Age at Injury if ≤25 ¹	0.99(0.7,1.41)	0(1)	0.9616	0.84(0.66,1.06)	2.09(1)	0.1481	0.87(0.69,1.11)	1.24(1)	0.2652	
Age at Injury if >25 ²	1.29(1.10,1.50)	9.97(1)	0.0016	1.29(1.14,1.46)	16.51(1)	<0.0001	1.29(1.14,1.45)	16.23(1)	<0.0001	
Male (1=Yes;0=No)	0.90(0.62,1.30)	0.30(1)	0.5849	1.28(0.99,1.66)	3.54(1)	0.0597	1.24(0.96,1.61)	2.75(1)	0.0975	
Race		7.69(3)	0.0530		0.96(3)	0.8102		0.6(3)	0.8965	
Black vs Hispanic	1.61(0.81,3.44)			0.86(0.52,1.44)			1.09(0.66,1.82)			
Black vs Other	4.24(1.57,15.87)			0.9(0.52,1.55)			1.17(0.68,2.01)			
Black vs White	1.26(0.88,1.8)			0.87(0.66,1.15)			0.98(0.75,1.29)			
Hispanic vs Other	2.64(0.81,10.93)			1.04(0.53,2.02)			1.07(0.55,2.07)			
Hispanic vs White	0.79(0.38,1.51)			1.01(0.62,1.62)			0.9(0.55,1.44)			
Other vs White	0.3(0.08,0.78)			0.97(0.59,1.6)			0.84(0.51,1.38)			
High School $(1 = \leq High$ School or Other ³ ; $0 = >$ High School)	1.67(1.17,2.42)	7.86(1)	0.0050	1.32(1.03,1.7)	4.75(1)	0.0293	1.34(1.05,1.72)	5.47(1)	0.0194	
Cause of Injury (1=Motor Vehicle;0= Other/Unknown)	0.99(0.71,1.38)	0(1)	0.9579	1.16(0.91,1.48)	1.42(1)	0.2329	1.09(0.86,1.38)	0.49(1)	0.4853	
Days to Follow Commands ⁴	1(0.98,1.01)	0.2(1)	0.6560	1(0.99,1.01)	0.12(1)	0.7255	1(0.99,1.01)	0.16(1)	0.6924	
Days in Posttraumatic Amnesia ⁴	0.99(0.92,1.07)	0.09(1)	0.7630	1(0.94,1.06)	0(1)	0.9447	1(0.95,1.06)	0.01(1)	0.9327	
Acute Length of Stay ⁴	1.06(0.96,1.18)	1.38(1)	0.2398	1.02(0.94,1.1)	0.15(1)	0.6997	1.02(0.94,1.11)	0.24(1)	0.6224	
Length of Stay in Rehab ⁴	1.01(0.94,1.09)	0.12(1)	0.7306	1.01(0.95,1.06)	0.09(1)	0.7652	1.01(0.95,1.06)	0.06(1)	0.7999	

Table 5: Predictors of DRS Decline (≥ 1) in Multivariable Logistic Regression Models (n=1249)

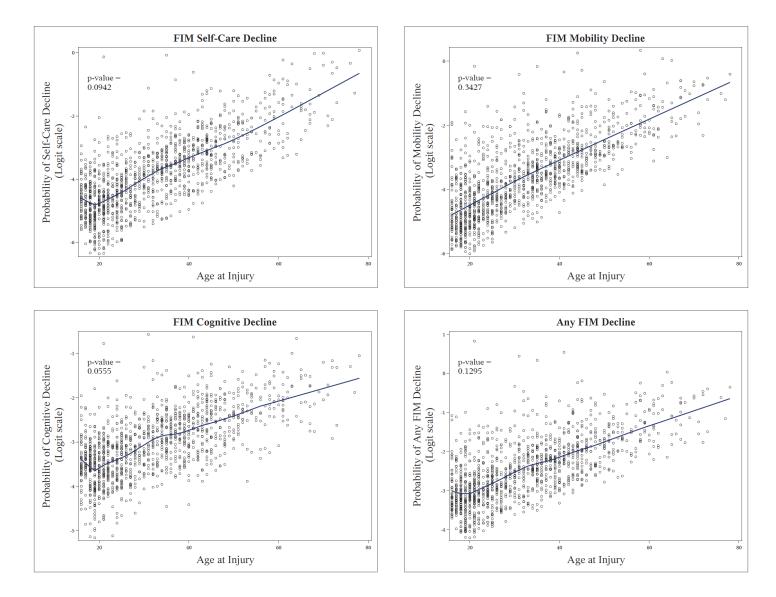
¹units=5 years; ²units=10 years; ³other education = 5 refusals; ⁴units=7 days

		oility Decli Events = 73			ning Decl Events = 2		Any DRS Decline No. of Events = 315			
Predictors	OR (95% CI)	χ²(df)	p-value	OR (95% CI)	χ²(df)	p-value	OR (95% CI)	χ²(df)	p-value	
Age at Injury if ≤25 ¹	0.78(0.47,1.34)	0.88(1)	0.3469	0.87(0.66,1.16)	0.93(1)	0.3357	0.9(0.68,1.19)	0.56(1)	0.4553	
Age at Injury if >25 ²	1.49(1.19,1.85)	13.04(1)	0.0003	1.23(1.07,1.40)	8.45(1)	0.0037	1.23(1.08,1.40)	9.58(1)	0.0020	
Male (1=Yes;0=No)	1.04(0.6,1.75)	0.02(1)	0.8817	1.11(0.82,1.5)	0.49(1)	0.4829	1.03(0.76,1.37)	0.03(1)	0.8630	
Race		2.86(3)	0.4142		1.63(3)	0.6536		2.64(3)	0.4511	
Black vs Hispanic	1.59(0.56,6.14)			0.87(0.5,1.56)			0.95(0.55,1.68)			
Black vs Other	3.65(0.9,33.49)			1.45(0.76,2.97)			1.71(0.9,3.49)			
Black vs White	1.08(0.61,1.83)			1.04(0.75,1.42)			1.07(0.79,1.46)			
Hispanic vs Other	2.29(0.36,24.28)			1.67(0.76,3.8)			1.81(0.83,4.09)			
Hispanic vs White	0.68(0.18,1.82)			1.19(0.69,2)			1.13(0.66,1.89)			
Other vs White	0.29(0.03,1.13)			0.71(0.36,1.31)			0.63(0.32,1.15)			
High School $(1 = \le \text{High}$ School or Other ³ ; $0 = >$ High School)	1.49(0.88,2.59)	2.19(1)	0.1390	1.57(1.17,2.13)	8.63(1)	0.0033	1.5(1.12,2.01)	7.45(1)	0.0063	
Cause of Injury (1=Motor Vehicle;0= Other/Unknown)	1.07(0.65,1.77)	0.08(1)	0.7816	1.16(0.88,1.54)	1.11(1)	0.2924	1.15(0.88,1.52)	1.06(1)	0.3043	
Days to Follow Commands ⁴	1.01(0.99,1.03)	1.5(1)	0.2206	0.99(0.97,1.01)	1.07(1)	0.3009	1(0.98,1.01)	0.35(1)	0.5539	
Days in Posttraumatic Amnesia ³	0.96(0.86,1.08)	0.47(1)	0.4930	1.02(0.96,1.09)	0.38(1)	0.5375	1.01(0.95,1.08)	0.19(1)	0.6655	
Acute Length of Stay ⁴	1.04(0.88,1.21)	0.32(1)	0.5738	1.05(0.95,1.15)	1.02(1)	0.3124	1.05(0.96,1.15)	1.1(1)	0.2949	
Length of Stay in Rehab ⁴	1.02(0.9,1.13)	0.09(1)	0.7657	0.95(0.88,1.01)	2.59(1)	0.1073	0.95(0.89,1.01)	2.33(1)	0.1266	

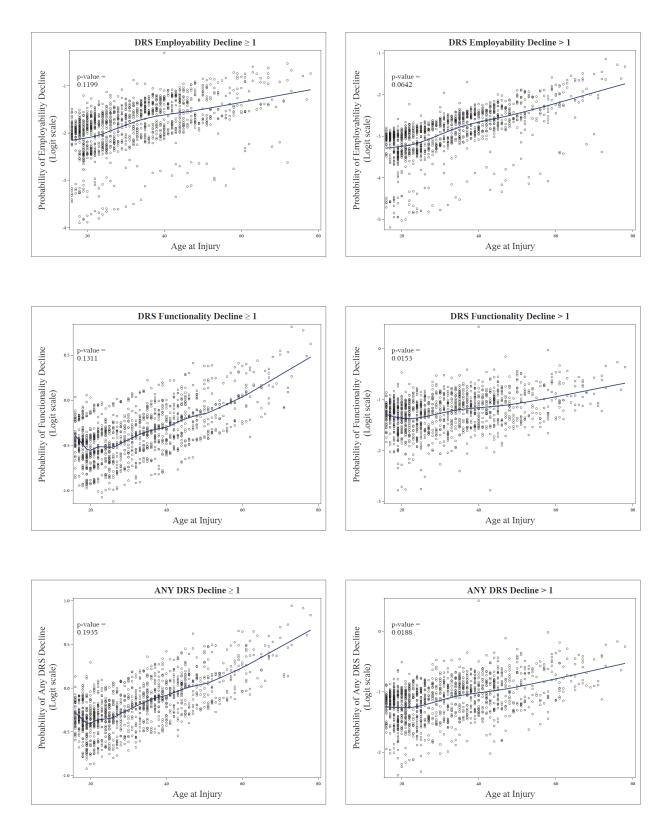
Table 6: Predictors of DRS Decline (> 1) in Multivariable Logistic Regression Models (n=1249)

¹units=5 years; ²units=10 years; ³other education = 5 refusals; ⁴units=7 days





Supplemental Figure 2: Linearity Plots for Age at Injury for DRS subscales with LOESS curve (P-value is for Box-Tidwell Test of Linearity)



		Self-Ca	re Decline		Mobili	ty Decline	e	Cognit	ion Decline	e	Any FIN	A Decline	
n	Predictors	OR (95% CI)	χ²(df)	p-value	OR (95% CI)	χ²(df)	p-value	OR (95% CI)	χ²(df)	p-value	OR (95% CI)	$\chi^2(df)$	p-value
1381	Age at Injury ¹	1.84(1.55,2.2)	46.57(1)	<.0001	1.81(1.54,2.15)	48.97(1)	<.0001	1.40(1.2,1.63)	18.62(1)	<.0001	1.46(1.29,1.65)	37.25(1)	<.0001
1381	Male (1=Yes;0=No)	2.09(1.24,3.5)	7.78(1)	0.0053	1.85(1.11,3.03)	5.87(1)	0.0154	0.82(0.47,1.35)	0.56(1)	0.4540	1.09(0.74,1.59)	0.2(1)	0.6516
1381	Race		1.63(3)	0.6524		5.07(3)	0.1667		6.05(3)	0.1090		5.35(3)	0.1477
	Black vs Hispanic	1.03(0.39,3.36)			0.55(0.24,1.33)			0.38(0.17,0.92)			0.52(0.28,1.02)		
	Black vs Other	0.88(0.33,2.87)			1.21(0.42,4.63)			1.36(0.41,6.96)			1.2(0.53,3.13)		
	Black vs White	1.36(0.76,2.38)			1.32(0.74,2.26)			0.69(0.38,1.19)			1.03(0.68,1.54)		
	Hispanic vs Other	0.85(0.21,3.42)			2.21(0.65,9.25)			3.55(0.97,19.05)			2.28(0.89,6.47)		
	Hispanic vs White	1.32(0.42,3.3)			2.41(1.04,5.04)			1.8(0.82,3.58)			1.97(1.06,3.48)		
	Other vs White	1.55(0.49,3.89)			1.09(0.29,2.95)			0.51(0.1,1.53)			0.86(0.34,1.85)		
1357	High School $(1 = \le \text{High}$ School or Other ² ; $0 = >$ High School)	1.66(0.94,3.08)	2.84(1)	0.0919	1.15(0.7,1.96)	0.29(1)	0.5931	1.47(0.9,2.47)	2.28(1)	0.1314	1.44(0.99,2.13)	3.44(1)	0.0636
1381	Cause of Injury (1=Motor Vehicle;0= Other/Unknown)	0.64(0.37,1.07)	2.82(1)	0.0929	0.57(0.34,0.94)	4.61(1)	0.0318	0.72(0.45,1.12)	2.04(1)	0.1529	0.72(0.51,1.02)	3.28(1)	0.0702
1355	Days to Follow Commands ³	1.01(1,1.02)	4.07(1)	0.0436	1.01(1,1.02)	4.03(1)	0.0447	1(0.99,1.02)	0.38(1)	0.5392	1.01(1,1.02)	3.13(1)	0.0768
1291	Days in Posttraumatic Amnesia ³	1.07(1.01,1.12)	7.28(1)	0.0070	1.08(1.04,1.13)	13.85(1)	0.0002	1.04(0.99,1.09)	3.23(1)	0.0725	1.08(1.04,1.11)	19.05(1)	<.0001
1381	Acute Length of Stay ³	1.06(0.96,1.16)	1.78(1)	0.1816	1.11(1.03,1.2)	7.29(1)	0.0069	1.03(0.93,1.12)	0.39(1)	0.5300	1.1(1.03,1.17)	9.2(1)	0.0024
1381	Length of Stay in Rehab ³	1.11(1.04,1.18)	12.02(1)	0.0005	1.14(1.07,1.2)	20.66(1)	<.0001	1.1(1.04,1.16)	12.75(1)	0.0004	1.14(1.09,1.19)	35.84(1)	<.0001

Supplemental Table 1: Univariate Results for FIM Decline

¹units=10 years; ²other education = 5 refusals; ³units=7 days

		Employal	bility Decli	ne	Function	ning Decl	ine	Any I	ORS Declin	e
n	Predictors	OR (95% CI)	χ²(df)	p-value	OR (95% CI)	χ²(df)	p-value	OR (95% CI)	χ²(df)	p-value
1381	Age at Injury ¹	1.21(1.09,1.34)	12.48(1)	0.0004	1.16(1.07,1.26)	12.5(1)	0.0004	1.17(1.08,1.27)	14.01(1)	0.0002
1381	Male (1=Yes;0=No)	0.87(0.62,1.2)	0.68(1)	0.4093	1.31(1.03,1.67)	5(1)	0.0253	1.24(0.98,1.58)	3.21(1)	0.0730
1381	Race		12.41(3)	0.0061		1.01(3)	0.7978		0.81(3)	0.8481
	Black vs Hispanic	1.86(0.96,3.91)			0.9(0.55,1.51)			1.13(0.71,1.82)		
	Black vs Other	5.2(1.98,19.19)			0.88(0.69,1.13)			1.24(0.75,2.06)		
	Black vs White	1.42(1.03,1.94)			1.04(0.56,1.96)			1.04(0.81,1.33)		
	Hispanic vs Other	2.8(0.87,11.46)			1.02(0.65,1.59)			1.09(0.58,2.05)		
	Hispanic vs White	0.77(0.37,1.44)			0.98(0.6,1.58)			0.92(0.59,1.44)		
	Other vs White	0.27(0.07,0.71)			0.9(0.55,1.51)			0.84(0.52,1.36)		
1357	High School (1= ≤ High School or Other ² ; 0= > High School)	1.7(1.23,2.39)	9.93(1)	0.0016	1.21(0.97,1.52)	2.74(1)	0.0977	1.27(1.01,1.58)	4.24(1)	0.0394
1381	Cause of Injury (1=Motor Vehicle;0= Other/Unknown)	0.89(0.66,1.19)	0.64(1)	0.4249	1.16(0.94,1.44)	1.95(1)	0.1623	1.08(0.87,1.33)	0.46(1)	0.4968
1355	Days to Follow Commands ³	1(0.98,1.01)	0.33(1)	0.5649	1(0.99,1.01)	0.61(1)	0.4344	1(0.99,1.01)	0.51(1)	0.4739
1291	Days in Posttraumatic Amnesia ³	1.01(0.98,1.05)	0.79(1)	0.3746	1(0.98,1.03)	0(1)	0.9629	1.01(0.98,1.03)	0.32(1)	0.5706
1381	Acute Length of Stay ³	1.05(0.99,1.11)	2.51(1)	0.1128	1.01(0.96,1.06)	0.23(1)	0.6281	1.03(0.98,1.08)	1.06(1)	0.3036
1381	Length of Stay in Rehab ³	1.01(0.96,1.05)	0.18(1)	0.6679	1.01(0.98,1.05)	0.55(1)	0.4564	1.02(0.98,1.05)	0.87(1)	0.3502

Supplemental Table 2: Univariate Results for DRS Decline (≥ 1)

1 units=10 years; ² other education = 5 refusals; ³ units=7 days

		Employat	Function	ning Decli	ine	Any I	ORS Decline	9		
n	Predictors	OR (95% CI)	χ²(df)	p-value	OR (95% CI)	χ ² (df)	p-value	OR (95% CI)	χ²(df)	p-value
1381	Age at Injury ¹	1.32(1.13,1.53)	13.11(1)	0.0003	1.15(1.04,1.25)	8.46(1)	0.0036	1.16(1.06,1.27)	10.6(1)	0.0011
1381	Male (1=Yes;0=No)	1.11(0.68,1.76)	0.18(1)	0.6733	1.19(0.91,1.56)	1.64(1)	0.2009	1.1(0.84,1.44)	0.52(1)	0.4719
1381	Race									
	Black vs Hispanic	1.78(0.64,6.75)	3.2(3)	0.3611	0.92(0.55,1.59)	2.93(3)	0.4020	1(0.6,1.71)) 4.27(3)	0.2340
	Black vs Other	3.65(0.93,33.04)	.(.)		1.71(0.92,3.41)	.(.)		1.96(1.06,3.91)	.(.)	
	Black vs White	1.04(0.63,1.66)	.(.)		1.08(0.81,1.43)	.(.)		1.11(0.84,1.46)	.(.)	
	Hispanic vs Other	2.05(0.33,21.51)	.(.)		1.85(0.87,4.1)	.(.)		1.96(0.92,4.33)	.(.)	
	Hispanic vs White	0.58(0.16,1.53)	.(.)		1.17(0.7,1.9)	.(.)		1.11(0.67,1.8)	.(.)	
	Other vs White	0.28(0.03,1.07)	.(.)		0.63(0.32,1.15)	.(.)		0.57(0.29,1.03)	.(.)	
1357	High School $(1 = \leq \text{High} \\ \text{School or Other}^2; 0 = > \\ \text{High School})$	1.48(0.92,2.46)	2.47(1)	0.1157	1.48(1.14,1.95)	8.14(1)	0.0043	1.43(1.11,1.87)	7.22(1)	0.0072
1381	Cause of Injury (1=Motor Vehicle;0= Other/Unknown)	0.97(0.63,1.49)	0.02(1)	0.8827	1.14(0.89,1.46)	1.1(1)	0.2941	1.09(0.86,1.38)	0.5(1)	0.4787
1355	Days to Follow Commands ³	1(0.98,1.02)	0.21(1)	0.6482	0.99(0.98,1)	0.97(1)	0.3254	1(0.98,1.01)	0.39(1)	0.5305
1291	Days in Posttraumatic Amnesia ³	0.99(0.94,1.04)	0.08(1)	0.7831	1(0.97,1.03)	0.03(1)	0.8673	1(0.97,1.03)	0.05(1)	0.8314
1381	Acute Length of Stay ³	1.01(0.91,1.1)	0.08(1)	0.7739	1.02(0.97,1.08)	0.8(1)	0.3718	1.03(0.97,1.08)	0.88(1)	0.3490
1381	Length of Stay in Rehab ³	1(0.93,1.06)	0.01(1)	0.9053	0.98(0.94,1.02)	0.91(1)	0.3401	0.98(0.94,1.02)	0.87(1)	0.3510

Supplemental Table 3: Univariate Results for DRS Decline (> 1)

¹units=10 years; ²other education = 5 refusals; ³units=7 days