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Po	int clouds for direct pedestrian pathfinding in urban
	environments
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Highl	ghts:
•	Direct use of point clouds to generate navigable graphs for pedestrians in urban environments
•	Real routes generated according to two motor skills: pedestrians without reduced mobility and wheelchairs
•	Navigable space based on ground elements and static objects
•	Occlusion correction of sidewalks by applying morphological operations
Absti	act
Pathf	nding applications for the citizen in urban environments are usually designed from the perspective of
driv	er, not being effective for pedestrians. In addition, urban scenes have multiple elements that interfere
vith j	bedestrian routes and navigable space. In this paper, a methodology for the direct use of point clouds
or pa	thfinding in urban environments is presented, solving the main limitations for this purpose: a) the
exces	sive number of points is reduced for transformation into nodes on the final graph, b) urban static
eleme	nts acting as permanent obstacles, such as furniture and trees, are delimited and differentiated from
lynar	nic elements such as pedestrians, c) occlusions on ground elements are corrected to enable a complete
graph	modelling, and d) navigable space is delimited from free unobstructed space according to two motor
skills	(pedestrians without reduced mobility and wheelchairs). The methodology is tested into three
differ	ent streets sampled as point clouds by mobile laser scanning (MLS) systems: an intersection of several
street	s with ground composed of sidewalks at different heights; an avenue with wide sidewalks, trees and
cars p	arked on one side; and a street with a single-lane road and narrow sidewalks. By applying Dijkstra
pathfi	nding algorithm to the resulting graphs, the correct viability of the generated routes has been verified
based	on a visual analysis of the generated routes on the point cloud and on the knowledge of the urban
study	area. The methodology enables the automatic generation of graphs representing the navigable urban
space	, on which safe and real routes for different motor skills can be calculated.
17	<b>.</b>
Keyv	ords: spatial analysis, physical accessibility, pedestrian path planning, navigable space, graph

35 modelling, Mobile Laser Scanning

### 38 **1. Introduction**

Pedestrian pathfinding is a current challenge that still subsides in many cities. More and more cities are being adapted to new street designs that promote the displacement of the citizen by foot, bike and public transport. Regardless of distance, either go to the nearest bus stop or walk several blocks, pedestrian displacements in urban environment entail difficulties.

43 Applications as Google Maps, Baidu Maps, Bing Maps, etc. have inbuilt pedestrian navigation modules. 44 However, these options do not provide a real solution to the problem because navigable ground elements, 45 such as sidewalks and pedestrian crossings, are mostly not being considered in the network. Pedestrian 46 routes calculated from road networks (Gerke et al., 2004) present two serious problems. Firstly, they are 47 focused on traveling along the road, assuming that there are sidewalks close to it, which is not always true; 48 and they do not consider crosswalks to cross the road. The provided route is not safe, proposing to walk on 49 the road and to cross by prohibited areas. Secondly, the generated routes are not adapted to the different 50 motor profiles of the citizens. A person without reduced mobility can walk through any ground element, 51 however, for a person in a wheelchair, one small step or curb turns into an impassable barrier.

LiDAR technology allows the acquisition of small elements in a quick and accurate way. Specifically, mobile laser scanning (MLS) is capable of acquiring entire streets with high point density (Kumar et al., 2017) and, thanks to the recent advances in the field of point cloud classification, it is possible to label most of the elements forming the ground (Balado et al., 2018). However, there are still some limitations to perform a correct pathfinding directly on point clouds:

- Large number of points existing in the cloud, useful for classification, becomes a problem of over information and processing cost when all points are considered as graph nodes for the application
   of path finding algorithms.
- The density of MLS point clouds is not uniform. Point density is higher in the road areas closer to
   the sensor than in distant areas. Even in horizontal elements relatively close to the MLS trajectory,
   such as sidewalks, different levels of density can be obtained.
- Occlusions, absence of information, are common in urban MLS point clouds due to the large
   number of existing objects in the urban environment. These occlusions produce missing nodes in
   the final graph and, therefore, the generated model for pathfinding does not conform to reality.
- In this paper, specific methods to address and solve the previous limitations are developed. The aim of this 66 67 paper is to demonstrate the potentiality of the direct use of point clouds to solve pedestrian pathfinding 68 problems. The proposed methodology begins with the use of point clouds where ground elements are 69 previously classified by methodologies already presented in other works (Balado et al., 2018; Riveiro et al., 70 2015). Occlusions existing on sidewalks are corrected by applying morphological operations (in detail in 71 Section 4.2), so that the final graph is more similar to reality. The point cloud is simplified and internal 72 relationships are established for each ground element, between adjacent elements and obstacles. Once the 73 final graph model is created, Dijkstra algorithm is applied just to verify that the resulting route is safe and 74 viable according to different person's motor skill.

The methodology takes advantage of the LIDAR surveys that many cities are currently carrying out for various purposes (inventory of mobility, control of parking areas, state of the road, etc.) and gives it untested use so far, aimed at pedestrians and people with reduced mobility. The results shown in this work do not

78 need manual processing.

This paper is structured as follows. In Section 2 works related with graph generation to pathfinding are reviewed. The methodologies used for the classification of the input point cloud are summarized in Section 3. A detailed description of the proposed methodology is provided in Section 4. Results obtained from the application of the methodology to several case studies are presented in Section 5. Section 6 is devoted to conclude the work.

84

## 85 2. Related work

86 Graphs for pedestrian pathfinding are non-directed graphs with positive values in each arc. In urban 87 modelling, there are mainly two types of graphs for navigation: navigation graphs and cellular automata 88 (Singhal and Kundra, 2014). Navigation graphs assign nodes/arches to constructed elements related to the 89 end use, the intersections between them and the represented space. They are similar to graphs used for road 90 network representations (Beneš et al., 2014; Gang and Guangshun, 2010). Navigation graphs are very useful 91 to represent large-scale models with low precision. Cellular automata consists on a regular discretization 92 of the space (Eckel, 2015), normally in grid or voxel-grid, maintaining constant dimensions and number of 93 adjacency connections (Pettré et al., 2005). They are used in small study areas that require a surface based 94 modelling with high level of detail of the environment (Butenuth et al., 2011; Izzati et al., 2015). Some studies combine both representations (Applegate et al., 2010). In this work, a discretization of the navigable 95 96 space, similar to cellular automata, is chosen to distribute the nodes and generate 3D navigation graphs. 97 Due to the high density of the point clouds, 3D ground elements must be discretized in order to reduce the 98 size of the existing data in the final models without renouncing to a high level of detail. Although cellular 99 automata allows 3D representations, an approximation of the built environment is necessary when the 100 model is generated, thus losing part of the precision provided by point clouds, and the final model (such as 101 3D image) contains more information that is not necessary and more difficult to interpret than a navigation 102 graph. By contrast, navigation graphs based on the downsampled points have the same accuracy of the 103 acquired point cloud with a smaller number of nodes than its equivalent in cellular automata.

104 Graph modelling for pedestrian pathfinding has been studied in recent years mainly in indoor environments. 105 Walking is the main way to move inside buildings and over time, buildings became larger and more 106 complex.. These studies start from consistent geometric 2D and 3D models, extracted from BIM (Building 107 Information Models) or point clouds. In point clouds, elements that take part of the route (floor) or that 108 limit it (obstacles) must be located with segmentation and classification methods based on point or object 109 features. Fichtner et al., (2018) structure the point cloud in an octree to proceed with the subdivision of the 110 space and the classification into walkable elements (floor and stairs) and not walkable (walls and obstacles). 111 The octree structure allows to model the navigable space inside the rooms. In a similar way, Staats et al., 112 (2017) use voxels to structure and classify the point cloud. In their methodology, dynamic elements are 113 subtracted from the point cloud based on the fact that they are not a constant part of the scene. The authors 114 consider dynamic elements to be pedestrians and small vehicles in movement. Likewise, in later phases 115 furniture is also subtracted to obtain the navigable space.

116 The most basic model for a pedestrian graph relates rooms of buildings (Boguslawski et al., 2016). This 117 topological model is created from adjacent rooms sharing doors. Nodes representing rooms are located in 118 the centre of each room and distances associated with arcs are distances between room centres. The graph 119 can be improved considering doors as intermediate nodes between room nodes (Lorenz et al., 2006). As 120 result, the created graph represents more realistic distances between rooms than the previous one: only 121 given distances between room centres, the real route would involve crossing walls. Another improvement 122 involves applying visibility techniques for computational geometry to detect corners of rooms and consider 123 them as new nodes (Liu and Zlatanova, 2011), obtaining a more realistic graph into each room. Indoor 124 navigable space, delimited by walls and other obstacles, can be modelled using triangulation techniques, 125 such as Delaunay (Jamali et al., 2017), or diagrams, such as Voronoi (Lamarche and Donikian, 2004). 126 Boguslawski et al., (2016) focus on the use of graph modelling to establish routes in emergencies. In that 127 case, the triangulation has a low density for the outer rooms and increases as it approaches the possible 128 evacuation routes in building centre and emergency exits. Other authors preferred a different type of node 129 distribution in space. In (Czogalla and Naumann, 2015), nodes have a hexagonal distribution to model 130 transfer stations between means of transport. They also locate the obstacles on a map in order to avoid them. 131 Nasir et al., (2014) compare a distribution based on a grid with a triangulation based on navigable space 132 vertices. Different distributions change adjacencies and number of nodes of the generated graph. These 133 models are integrated in a simulation to obtain different possible trajectories for pedestrians. In the urban 134 environment, Y.F. Tang and S.C. Pun-Cheng, (2004) define some elements (buildings, zebra crossing and 135 roads) as polylines, similar to a road network map. By contrast, in this work, only safe and accessible 136 ground elements (sidewalks, stairs and pedestrian crossings) are considered to generate the navigable graph 137 from a mesh that connects the points belonging to those elements. Vertical elements are not used as 138 navigable nodes, but they influence the free unobstructed space of pedestrian space. The input of the 139 methodology is a labelled point cloud of the urban environment.

140 Serna and Marcotegui, (2013) carry out an physical accessibility study from point clouds, although these 141 are converted into images in the early stages of the methodology. They segment obstacles, such as facades 142 and objects, and consider the entire ground as accessible, except curbs. Soilán et al. (2018) detect curbs at 143 the borders of pedestrian crossings to analyse the accessibility. In indoors, Maruyama et al., (2017, 2016) 144 use simulations from 3D as-in environment models: walk surface points, navigation graphs and textured 145 3D environmental geometry. With Digital Human Models generated from Terrestrial Laser Scanning data, 146 they check how people move and orientate themselves inside buildings. They analyse visibility and 147 legibility of signals, as well as their motion planning in relation to their motor skills. The methodology 148 proposed in the present work distinguishes between static (obstacles) and dynamic elements and only 149 considers sidewalks, stairs and pedestrian crossings as navigable surfaces (not road). Risers of stairs and 150 curbs are considered accessibility barriers. The present work does not study the visibility or legibility of the 151 environment.

With regard to previous approaches, in this work a graph is directly created from classified point clouds. The main advantages of the direct use of point clouds over theoretical models for the generation of navigable graphs are with the use of real information from urban environments. Final nodes correspond to real and precise locations, existing in the input point cloud. Therefore, there is no risk that the theoretical

- 156 models do not correspond to the as-built reality. The location and precision of the nodes are no modified
- 157 during the modelling process. Points belonging to dynamic urban elements are removed. Free unobstructed
- 158 space is used as navigable space. The nodes of the final graph are distributed in a mesh grid, not in a
- 159 triangulation or visibility approach, since in point clouds the objects are not defined by simple geometries
- 160 as in 3D models. In addition, the graph model proposed in this paper has a higher resolution with respect
- 161 to previous urban modelling works and road networks maps, allowing a precise route for pedestrians.

162 Preliminary results of this work were presented in (López-Pazos et al., 2017). With respect to them, the 163 following improvements have been made.

- 164 A SVM classifier has been implemented to differentiate non-ground elements into static and • 165 dynamic urban elements. Only static urban elements are considered as obstacles that generate gaps 166 in the final graph.
- 167 The simplification of points for the final graph is now carried out through a spatial downsampling, • not k-means, being faster and leaving nodes more uniformly distributed in a mesh grid (Beneš et 168 169 al., 2014).
- 170 A methodology for correcting occlusions in sidewalks is proposed. The absence of data on the 171 ground implies an absence of nodes in the final graph, even being able to generate unconnected 172 graphs that do not fit the reality.
- 173 The final graph is generated from the free unobstructed navigable space and not from the entire 174 ground surface, since pedestrians are modelled as a volume in the navigation space.
- 175
- 176 3.

# Datasets and overview of urban ground classification

177 In this section, the three datasets used for the evaluation of this work are described along with the 178 methodologies that allow them to be generated. Both point clouds have labelled ground elements 179 (sidewalks, roads, treads, risers, curbs and crosswalks). Non-ground elements are in an independent class 180 without dividing. Each ground element has characteristics associated with mobility, physical accessibility 181 and safety, therefore, its knowledge is essential for the creation of a graph that allows the correct pathfinding 182 application.

183 The first point cloud (Fig. 1) corresponds to the intersection between Humilladero Street and Portugal 184 Avenue in Avila (Spain). It is a complex area with a variety of ground elements and with a lot of connections 185 between them. The point cloud contains 20.5 million points and has two strong occlusion zones, one on the 186 ramp that joins the sidewalks of both streets and another produced by cars in Portugal Avenue. The second 187 (Fig. 2) is a fragment of *Florida* Avenue in *Vigo* (Spain), it is a straight street with sidewalks on both sides 188 and parked cars on one side of the street. The point cloud has 21.8 million points and occlusions on the 189 sidewalk with parked cars. Both point clouds have been acquired using MLS LYNX Mobile Mapper of 190 Optech (Puente et al., 2013). The third point cloud (Fig. 3) is provided by IQmulus & TerraMobilita Contest 191 dataset (Vallet et al., 2015). It is Cassete Street in the city of Paris (France), a one-lane street with an 192 intersection, narrow sidewalks and parked cars on both sides that cause occlusions. It contains 12 million 193 points. It has been acquired by Stereopolis II MLS.



196 Fig. 1. Classified point cloud of case study 1. Colour code: non-ground elements in grey, sidewalk in olive,

- 197 road in dark grey, crosswalks in rose, curbs in orange, risers in green and treads in blue. Model scale:
- 198 110x47x20 m.



- 199
- Fig. 2. Classified point cloud of case study 2. Note: the front façade line has been removed from the image
- 201 to improve visualization. Model scale: 157x145x30 m.



Fig. 3. Classified point cloud of case study 3. Note: the front façade line has been removed from the image to improve visualization. Model scale: 155x215x47 m.

205

206 The methodology for the classification of ground elements is collected in (Balado et al., 2018). The input 207 of the methodology is an urban point cloud without RGB-intensity information and the MLS acquisition 208 trajectory. The methodology begins with a planar segmentation based on point cloud curvature, since each 209 ground element can be approximated by a planar element. After planar segmentation, refining operations 210 are performed to obtain a greater level of detail and accuracy between real elements and segmented planar 211 element. The refining operations are: split (separation of real elements segmented in the same planar 212 region), merge (joint of adjacent elements with similar curvature in the same region), coplanar refinement 213 (separation of different elements contained in the same plane, usually, risers that are part of walls) and road-214 sidewalk segmentation (separation road from sidewalk based on the MLS trajectory and the curvature that 215 delimits roads edges).

216 Once each real element is segmented into a planar region, a double classification is implemented: first a geometry-based classification followed by a topology-based classification. The geometry-based 217 218 classification employs a decision tree built with features defined by ISO-21542 (ISO, 2011): tilt, height and 219 width. The objective of the topology-based classification is to differentiate those elements with a similar 220 geometry through their relationships with other elements. The topology-based classification is based on a 221 verification of the adjacency relationships of each geometric element in the point cloud versus predefined 222 relations of each element (previously stored in a graph library). Once the entire process is done, the point 223 cloud is classified into: roads, sidewalks, curbs, treads, risers and non-ground elements.

The methodology for crosswalks detection is available in (Riveiro et al., 2015). The input of the methodology is a point cloud with intensity information. The detection is based on the high intensity of the points belonging to road marks due to reflective painting. The point cloud is rasterized on XY plane and intensity values associated with pixels. Finally, the Hough transform is applied to detect the lines that form zebra crossing.

The result of the application of both methodologies is a point cloud P = (X, Y, Z) where ground elements are labeled L in: sidewalks, roads, curbs, treads, risers and crosswalks. The rest of the elements (urban objects, people, cars, trees and buildings) are in a class defined as non-ground elements. This point cloud is used as the input to the methodology presented in this work.

233

## 234 4. Methodology

The methodology is divided into three main phases. Pre-processing phase prepares the point cloud for the following steps by reducing and standardizing point cloud density and eliminating non-relevant points. Occlusion correction regenerates empty areas in sidewalk in where there are no points due to the presence of elements between the sidewalk and the MLS during the acquisition such as parked cars. Finally, the transited areas are delimited by adjacency with other elements and they are modelled in a graph. The workflow of the methodology is shown in Fig. 4.



242 Fig. 4. Workflow of the methodology.

243

244 4.1. Pre-processing

The pre-processing consists of two objectives, a reduction and uniformisation of point cloud density and the elimination of points belonging to obstacles without interest for people mobility.

247 4.1.1. Downsampling

The first phase is a downsampling, since the amount of points in the cloud is excessive for the creation of the final graph and there are strong changes in density between near and fast areas to the MLS trajectory. Density uniformisation is achieved by reducing the number of points based on the distance between them (Pomerleau et al., 2013). The remaining points are at distance  $d_1$  from their neighbours, being  $d_1 > d_{initial\_point\_cloud}$ . With this operation, processing time in the following processes are reduced.

253 4.1.2. Dynamic and static classification

254 Not all points classified as non-ground elements have the same utility for pedestrian pathfinding. Elements 255 that have a static behaviour are obstacles to navigation, such as lampposts, benches, mailboxes, etc.; while 256 those that have a dynamic behaviour are only found in the scene during the acquisition, such as pedestrians 257 walking or motorcycles and cars circulating. Dynamic elements change place or disappear, therefore they 258 are not considered as obstacles. The differentiation between static and dynamic elements can be carried out 259 as an object classification, a well-studied subject in urban environment and in point clouds (Serna and 260 Marcotegui, 2014; Vallet et al., 2015; Yang et al., 2017, 2015), or as a change detection (Chen and Yang, 261 2016; J Schauer and Nüchter, 2018; Johannes Schauer and Nüchter, 2018; Xiao et al., 2016, 2015). In this 262 paper, object-based classification is used (Aijazi et al., 2013; Huang and You, 2015), since different multitemporal observations are not needed. The objects considered as static are: buildings, parked cars (although 263 264 they are a dynamic element, they occupy a fixed place in the scene that is rarely empty), urban furniture, 265 trees and pole-like objects (traffic lights, lamps and traffic signals). The dynamic elements are cars in motion, pedestrians, bikes and motorcycles. Table 1 shows the classification of the main types of elements 266 in the urban scene: the branch of the classified ground elements, and the branch of non-ground elements in 267 268 which static and dynamic must be differentiated.

269 Table 1: Classification of elements in the urban scene.

Cround alamanta	Desseble elements	Accessible elements	Sidewalks
Ground elements	Fassable elements	Accessible elements	Crosswalks

		Non-accessible elements	Treads
	Non-passable elements	A accesible berriers	Risers
		Accessible barriers	Curbs
		Horizontal elements	Roads
			Buildings
			Parked cars
	Static elements		Urban furniture
Non-mound alamanta			Trees
Non-ground elements			Pole likes objects
			Circulating cars
	Dynamic elements		Pedestrian
			Bikes and motorbikes

In this work, a machine learning (ML) classifier based on Support Vector Machines (SVM) is used (Mountrakis et al., 2011), because it is a classification technique that achieves high accuracy rates by using few features and training with a relatively low number of objects, in comparison with other techniques such as deep neural networks (Serna and Marcotegui, 2014). For the classification, the features defined by (Roynard et al., 2016) are used: height, standard deviation height, width, distribution of points based on histogram of five bins, area and volume of convex hull.

The objects to be classified are points labelled in the point cloud Pd as non-ground class. The objects are individualized by connected components (Trevor et al., 2013) and from each one the features are extracted. From these features the objects are classified with the SVM classifier as dynamics or static objects. The points classified as belonging to dynamic objects are removed from point cloud, while those of static objects should be refined in the next step.

## 282 4.1.3. Free unobstructed height

Static obstacles may interfere with people movement by two ways: based on free unobstructed width (discussed in section 4.3) and on free unobstructed height. Free unobstructed space establishes a minimum height *h* for pedestrians to comfortably transit, therefore, the points of greater height than *h* of each element have no interest for this study. The points that exceed the height *h* of each object are removed from point cloud. For each object previously classified as static, the lowest Z coordinate  $z_{min}$  is calculated. Then, points with  $z > z_{min} + h$  are removed. The remaining points are returned to the main cloud leaving a refined cloud for the following processes Pr.

290 4.2. Occlusion correction

This phase allows point generation in highly occluded sidewalk areas, because of all objects (mainly cars) between sidewalks and MLS trajectory. The regeneration of occlusions assuming the planarity is not as a reliable solution as the use of data without occlusions, but based on prior knowledge of the urban environment, it is a better alternative than obtaining an incomplete graph or the need for multiple scans with different acquisition methods. The process of correction of these zones is based on a region growing of sidewalks controlled and delimited mathematical morphology.

- 297 The developed pseudo-code is indicated in Algorithm 1. This process begins with the rasterization (Díaz-
- Vilariño et al., 2015) of the previously refined point cloud Pr (Fig. 5.a) with a grid size gs of twice the size
- of d distance  $gs = 2d_1$  between downsampled points performed in Section 4.1. This grid size allows all
- 300 pixels to be populated with points and there are not a lot of empty pixels, except those that form properly
- 301 occlusions. In each pixel of the rasterized image I, the mode value of the labels L of existing points is saved
- 302 (Fig. 5.b).

Once the point cloud is rasterized, it is necessary to create separated binary images that correspond to the sidewalks IS (Fig. 5.c) and the rest of the elements IE (Fig. 5.d). Likewise, a global binary image IL is created that delimits the existence of the point cloud in the image (Fig. 5.e). IL combined with IE suppose the mask image IM that limits the growth of sidewalks image (Fig. 5.g). In this way, it is ensured that the growth of the sidewalks does not exceed the external limit of the point cloud or elements existing within it. In the next phase, within a loop, IS is expanded through morphological dilation (Jackway and Deriche, 1996) ISd (Fig. 5.f) and the pixels that coincide IM are removed (Fig. 5.h). This loop is executed while IS

contains empty pixels where it could grow without conflict with IM. At the end of the loop, the resulting

image ISn is the complete sidewalks image (Fig. 5.i).

c) IS c) IS



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Fig. 5. First part of the occlusion correction: a) Refined point cloud Pr, b) point cloud rasterized by mode I, c) binarised sidewalk image IS, d) binarised image of other elements IE, d) binarised image of the contour IL, f) dilated sidewalk image ISd, g) mask image IM, h) sidewalk dilated image after subtract mask image ISn, i) at the end of the loop, binarised complete sidewalk image ISn.

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318 By subtracting IS (Fig. 6.b) of ISn (Fig. 6.a), the image with only occlusions is obtained IO (Fig. 6.c), to 319 which a morphological aperture is applied to eliminate the small ones and to focus on the large occlusions. 320 In order to individualize occlusions, connected components are applied. Each set of pixels belonging to an 321 occlusion IOcc is dilated (Fig. 6.d) and sidewalk points PS that belong to occlusions contour are searched 322 in the refined point cloud Pr (Fig. 6.f). PS is structured in a polygon Pol and, inside, new random points 323 PSnXY are generated with XY coordinates (Fig. 6.e) with density similar to Pr. For the generation of Z 324 coordinate, a multiple linear regression model (Preacher et al., 2006) is used (Fig. 6.g). Z coordinates of 325 new points PSnZ are calculated as a linear function of PSnXY from XYZ coordinates in PS. This allows 326 the new sidewalk points to present a coherent inclination with the limits of the occlusion. At last, PSnXY 327 and PSnZ are saved as new points in R (Fig. 6.h).



Fig. 6. Second part of occlusion correction: a) complete binarised sidewalk image ISn, b) initial binarised sidewalk image IS, c) binarised image of occluded sidewalk IO, d) dilated image of occluded sidewalk IOccd, e) generation of random XY points in the occlusion, f) extraction of XYZ points corresponding to the occlusion border in point cloud Pr, g) implementation of the multiple linear regression to complete the Z' coordinate of points generated randomly in the occlusion, h) occlusion correction and insertion of new

334 points in the new refined cloud R.

# 335 Algorithm 1: Occlusion correction

336 337	<b>Inputs</b> : Refined_Point_Cloud {Pr}, Labels {L}, grid_size <i>gs</i> <b>Outputs</b> : Regenereted_Point_Cloud {R}			
338	Raster_image $\{I\} \leftarrow$ Raster (P,L,gs)			
339	Sidewalk_image {IS}, Rest_of_Elements_image {IE} $\leftarrow$ binary (I)			
340	$Limit\_image \{IL\} \leftarrow fill (I)$			
341	Mask_image $\{IM\} \leftarrow complement (IL) + IE$			
342	Sidewalk_image_prev {ISp} $\leftarrow$ zeros (IS)			
343	Sidewalk_image_new {ISn} $\leftarrow$ IS			
344	While $ISp \neq ISn do$			
345	$ISp \leftarrow ISn$			
346	Sidewalk_image_dilated {ISd} $\leftarrow$ dilate (ISn)			
347	$ISn \leftarrow ISd - IM$			
348	End_While			
349	Occlusion_image $\{IO\} \leftarrow morphological_opening (ISn - IS)$			
350	CC_oclusion_image {IOcc} $\leftarrow$ ConnectedComponents (IO)			
351	$R \leftarrow Pr$			
352	For each IOcc(i)			
353	IOcc_dilated { IOccd} $\leftarrow$ dilate (IOcc (i))			
354	$IOcc_dilated_Sidewalk \{ IOccdS \} \leftarrow IOccd - IE$			
355	Sidewalk_Points $\{PS\} \leftarrow \{P : P \in IOccdS\}$			
356	Polygon $\{Pol\} \leftarrow polygon \{PS\}$			
357	New_Sidewalk_Points_XY {PSnXY} ← random (2*gs, inpolygon(Pol))			
358	New_Sidewalk_Points_Z $\{PSnZ\} \leftarrow linear\_regression\_model (PSnXY, PS)$			
359	$R \leftarrow Add (PSnXY, PSnZ)$			
360	End_For			
361	Return {R}			
362				
363				
364				
365	4.3. Graph generation			

366 In this phase, the final graphs are generated from the point cloud belonging to passable elements, delimited 367 by non-passable elements and static objects bounded by the free unobstructed height. For the generation of 368 the final graphs, the points of those elements that are passable must be selected as nodes. These elements 369 are sidewalks, crosswalks and treads for pedestrian without reduced mobility graph, and only sidewalks and crosswalks for wheelchairs graph. Roads, although they are physically accessible elements, are not safe areas to walk. From these elements, points less than a free unobstructed width *w* to obstacles (non-ground static elements) and roads are subtracted. For the wheelchair graph, accessibility barriers (risers and curbs) are added as delimiters of free unobstructed space (Fig. 7). Free unobstructed space is defined in ISO-21542 as the minimum space between elements that a person can pass comfortably. It is different for pedestrians *wp* and for wheelchairs *ww*. Once the navigable surfaces for pedestrians and accessible surfaces for wheelchair are defined, the downsampling generates the nodes of the final graph. The process for the graph

377 generation is as follows and the pseudo-code used is collected in Algorithm 2.



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## 4.3.1. Navigable surface delimitation

First, the elements that make up the cloud are separated and grouped into passable surface elements Sp (sidewalks, crosswalks and treads) and accessible Sa (sidewalks and crosswalks). The rest of the elements (roads, risers, curbs and obstacles) are individualized by connected components. Because the passable elements are distributed horizontally, the rest of the elements are projected onto the XY plane and the contour *B* is calculated. To obtain the free unobstructed space, the contour of each element is dilated by a distance w/2 and subtracted from the passable surfaces (Fig. 8).





accessible barriers over the plane XY and dilation w/2 of the contour, c) free unobstructed space of sidewalk after substring element dilations.

393

Since there are different free unobstructed widths defined by ISO-21542 and different elements of influence, contour dilations and subtractions are made separately for the two final graphs. For the pedestrian graph, only contours of static obstacles and roads are dilated with distance *wp* and are subtracted from Sp. For wheelchair graph, static obstacles, roads, curbs and risers are dilated with distance *ww* and subtracted from Sa.

399 4.3.2. Downsampling

400 Once surfaces navigable by pedestrians and accessible by wheelchairs are delimited, the downsampling 401 that leaves the final nodes Np and Na of the graphs is applied. This downsampling leaves a distance *nd* 402 between nodes that approximately follows a grid distribution. *nd* must be sufficient for future trajectories 403 can be followed without an over-saturation of nodes and to ensure that there are no connections between 404 nodes which there may be an obstacle.

#### 405 *4.3.3.* Node connection

Finally, nodes are connected and final graphs are generated. The number of arcs in final graphs depends on the distance *gd* that relates the nodes (Fig. 9) (Bunn et al., 2000). If gd < nd, there is no adjacency between nodes; if  $gd \approx nd$ , there is adjacency with four nodes; and if  $gd \approx nd\sqrt{2}$ , there is adjacency with 8 nodes. The latter one is used for the generation of final graphs Gp and Gw. The value of each arc in the final graphs is the Euclidean distance between the corresponding nodes.



411

- 412 Fig. 9. Relations between the nodes based on the creation distance of the graph: a) gd < nd, b)  $gd \approx nd$ , c)
- 413  $gd \approx nd\sqrt{2}$ .
- 414

### 415 Algorithm 2: Graph generation

- 416 **Inputs**: Regenereted\_Point\_Cloud {R}, node\_distance *nd*, unobstructed\_width\_pedestrians *wp*,
- 417 unobstructed\_width\_wheelchairrrs ww
- 418 **Outputs**: Graph\_pedestrians {Gp}, Graph\_wheelchairs {Gw}
- 419 Sidewalks {S}, Crosswalks {C}, Treads{T} \leftarrow R(X,Y)
- 420 Roads {Ro}, Risers {Ri}, Curbs{Cu}, Obstacles {O} \leftarrow R(X,Y)
- 421 Surface\_points\_unobstructed\_passable {Sp}  $\leftarrow$  (S,C,T)
- 422 Surface\_points\_unobstructed\_acessible {Sa}  $\leftarrow$  (S,C)
- 423 Obstacles\_passable  $\{Op\} \leftarrow ConnectedComponents (Ro,O)$
- 424 Obstacles\_acessible  $\{Oa\} \leftarrow ConnectedComponents (Ri,Cu)$

425	For each Op (i)
426	Border $\{B\} \leftarrow$ boundary (Op(i))
427	$Sp \leftarrow Sp$ - inpolygon (buffer (B,wp))
428	$Sa \leftarrow Sa - inpolygon (buffer (B, ww))$
429	End_For
430	For each Oa (i)
431	Border $\{B\} \leftarrow$ boundary (Oa(i))
432	$Sa \leftarrow Sa - inpolygon (buffer (B, ww))$
433	End_For
434	Nodes_passable {Np} $\leftarrow$ downsampling (Sp, <i>nd</i> )
435	Nodes_accessible {Na} $\leftarrow$ downsampling (Sa, <i>nd</i> )
436	$Gp \leftarrow points2graph (Np, nd\sqrt{2})$
437	$Gw \leftarrow points2graph (Na, nd\sqrt{2}))$
438	Return {Gp, Gw}

### 440 **5.** Experiments

441 In this section, the results of the application of the methodology to three case studies are presented and 442 analysed.

443 5.1. Results of methodology application

The methodology is composed of several phases whose results are shown below. In order to apply the methodology and guarantee its reproducibility, the parameter values must be established (shown in Table 2). Values *h*, *wp* and *ww* are defined by ISO-21542, while value *d* has been set based on the recommendations described in Section 4.1.1. The value *nd* is based on processing times and surface free widths (in detail in Section 5.3).

449 Table 2. Parameter values.

Section and parameter	Abbreviation	Value
4.1.1. Donwsampling distance	d	0.05 m
4.1.3. Free unobstructed height	h	2.1 m
4.3. Free unobstructed width for pedestrians	wp	0.8 m
4.3. Free unobstructed width for wheelchairs	WW	1 m
4.3. Distance between nodes	nd	0.5 m

450

451 In the pre-processing phase, the point cloud density is standardized and not relevant points for graph 452 generation are eliminated. In Fig. 10, removed points are coloured in grey and non-ground remaining point 453 are coloured in red. As can be seen, most elements are well classified, but not all, misclassified elements 454 are highlighted in white boxes. The classifier implemented in the methodology must be previously trained. 455 For that purpose, 906 non-ground elements of a point cloud acquired on *Camelias* Street in Vigo with the 456 same MLS have been individually and classified manually. The number of non-ground elements for training is collected in Table 3 and the features of each object have been extracted (Section 4.1.2). After training 457 458 the SVM classifier with cross-validation (Golub et al., 1979; Kohavi, 1995), the accuracy of the system is

- 459 94.5%, enough for this job since it is not the main objective. Even so, the misclassified elements have
- 460 relevance in the final graph.



462 Fig. 10. Fragments of classified point clouds by elements with points belonging to static elements at a height

463 less than h in red for the case of study 1 (a), 2 (b) and 3 (c). Misclassified elements are highlighted in white 464 boxes.

465

466 Table 3. Number of elements used to train the classifier.

Static elements	
Buildings	23
Parked cars	150
Trees	203
Urban furniture	113
Pole-like objects	104
Dynamic elements	313
Cars in motion	137
Pedestrians	153
Bikes and motorbikes	23

468 Once the pre-processing phase is completed, the correction of occlusions is implemented. Fig. 11 shows 469 the comparison before and after the occlusion correction for the most important areas of the datasets.



Fig. 11. Result of the application of the occlusion correction to point clouds before the correction: case study 1 (a), case study 2 (b) and case study 3 (c); and after correction case study 1 (d), case study 2 (e) and case study 3 (f).

475 Once the occlusions have been corrected, navigable space is delimited for graph generation, according to 476 the motor skill of pedestrians and wheelchairs, free unobstructed height width wp and ww, and passable 477 elements. Fig. 12 shows the classified point cloud (Fig. 12.a), with navigable surface for pedestrian in red 478 (Fig. 12.b) and for wheelchairs in white (Fig. 12.c) respecting the distance (wp and ww) to static objects. 479 As can be seen, the stairs are not considered navigable surface for wheelchairs. The last phase is the 480 generation of the nodes of the graph from a last downsampling. The nodes are uniformly distributed at a 481 distance *nd* from each other on passable surfaces. Fig. 13 shows the nodes with different *nd* for pedestrian 482 graph in a fragment of case study 1.



484 Fig. 12. Navigable surface in the case of study 1: a) classified point cloud, b) pedestrian passable surface

485 in red and c) wheelchair passable surface in white.



Fig. 13. Distribution of the nodes (red) on the passable surface by pedestrians in the case of study 1 with nd = 0.25 m (a), nd = 0.5 m (b). nd = 0.75 m (c) and nd = 1 m (d).

486

490 An erroneous classification of objects into static and dynamic causes errors in the generation of the navigable surface. Static false positives subtract zones from the navigable surface, while dynamic false 491 492 positives add it. The classifier used has misclassified 47 objects as static and 1 as dynamic. The static false objects have subtracted a total of 87.9 m<sup>2</sup> and 72.1 m<sup>2</sup> from the navigable surface by pedestrians and 493 wheelchairs respectively, while the dynamic false objects have generated 0.6 m<sup>2</sup> and 0.1 m<sup>2</sup>. The total area 494 495 correctly generated was 5400 m<sup>2</sup> for pedestrians and 3950 m<sup>2</sup> for wheelchairs, which quantifies the error caused by the classification at 1.6% for pedestrian and 1.8% for wheelchairs navigable surfaces (detailed 496 497 in Table 4).

498 Table 4. Relation between object classification and generated navigable surface.

Case study	User	Generated navigable area (m <sup>2</sup> )	Static false positives	Lost navigable area (m <sup>2</sup> )	Dynamic false positives	Added navigable area (m <sup>2</sup> )	Erroneous area
CS1	Ped.	1835	24	40.6	1	0.6	2.2%
	Wheel.	1714		32.6	1	0.1	1.9%
CS2	Ped.	2687	13	33.5	0	0	1.2%
	Wheel.	1511		30.4		0	2.0%
CS3	Ped.	878	10	13.8	0	0	1.5%
	Wheel.	725		9.1	0	0	1.2%
TOTAL	Ped.	5400	47	87.9	1	0.6	1.6%
	Wheel.	3950	47	72.1		0.1	1.8%

499

500

502 Through the phases, a continuous reduction of points is obtained, from the initial point cloud to the final 503 graph. Its objective is to obtain a better performance of the methodology and the calculation of final routes, 504 discarding non-relevant points. For the case studies presented in this paper, with nd = 0.5 m, the final

- number of nodes in the pedestrian graph is 8059 (case study 1), 5304 (case study 2) and 3341 (case study
- number of nodes in the pedestrian graph is 8059 (case study 1), 5304 (case study 2) and 3341 (case study
  3); and for wheelchairs 6826, 4904 and 2660 respectively. Only 0.1% of ground points of each point cloud
- 507 is used in the generation of the final graph.

508 The methodology has been implemented in Matlab and the three case studies has been processed on an Intel 509 Core i7-7700HQ CPU 2.80 GHz with 16GB RAM. The details of processing times are reflected in Table 510 5. The processing time is good for the case study 1 and 3 with 412 seconds and 416 seconds respectively (7 minutes approximately), and acceptable in the case study 2 with 1578 seconds (26 minutes 511 512 approximately). Although the first two datasets have a similar size, this time difference is due to the large 513 number of points in the non-ground elements class, 8.2 million points vs. 11.6 million points respectively, 514 and the geometry of the street. The operations that consume more time are those that involve the use of 515 connected components to large amounts of points, that are repeated in the pre-processing and in graph 516 generation.

517 Table 5: Processing times of the methodology application to the case studies.

	Number of points	Pre-processing	Occlusion correction	Graph generation	Total
CS1	20.5M	223.6 s	21.1 s	167.3 s	412.0 s
CS2	21.8M	472.5 s	68.1 s	1038.2 s	1578.8 s
CS3	12.0M	254.9 s	38.2 s	123.1 s	416.2 s

518

# 519 5.2. Results of pathfinding application

To test the viability of the graphs generated with the methodology, the Dijkstra algorithm is used to calculate routes in a series of points of origin and destination. Dijkstra algorithm is a simple and wellknown algorithm used in many applications (Kang et al., 2008; Ngoc Nha et al., 2012; Soltani et al., 2002).

The time in which the algorithm finds a correct route is directly related to the number of nodes, this parameter is selectable by *nd* in the methodology. Table 6 shows the relationship between the time it takes for the algorithm to find a route and the distance between nodes *nd* for a start and end node located at a linear distance of 100 m. All *nd* distances guarantee the application of the real-time pathfinding, although an increase in time is observed decreasing *nd*. Considering the time increase in low *nd* and distances close to *wp* and *ww* do not guarantee a correct obstacle representation in the final graph, nd = 0.5 m have chosen for the final graph generation.

Table 6: Relationship between the distance between nodes nd and the time to calculate a route with Dijkstraalgorithm.

<i>nd</i> (m)	1	0.75	0.5	0.25	0.1
time (s)	0.0160	0.0194	0.0357	0.0398	0.0758

533 The results for the routes calculated by Dijkstra algorithm are shown in Fig. 14 for case study 1, Fig. 15 for 534 case study 2 and Fig 16. for case study 3. In Fig. 14, a distinction is made between pedestrian routes without 535 reduced mobility and wheelchairs. Pedestrian routes (Fig. 14.a and Fig 14.c) follow a direct route crossing 536 stairs, by contrast, equivalent routes for wheelchairs (Fig. 14.b and Fig 14.d) deviate until find no 537 accessibility barriers. The proposed routes follow the shortest suitable trajectory according to their motor 538 skill, avoiding obstacles and following a safe course, crossing road by crosswalks and not by dangerous 539 areas. This can be seen especially in Fig. 15, where the proposed route joins one sidewalk to the opposite 540 one crossing the road by the crosswalks. The routes shown in Fig. 15 are for pedestrians since there are no 541 accessibility barriers in the area. The routes shown in Fig. 16 correspond to case study 3. Fig. 16.a shows 542 the generated route for the displacement of a pedestrian. The route cannot run on one sidewalk because its 543 width is insufficient according to ISO-21542, so there is no navigable surface on it (Fig 16.d). Fig. 16.b 544 shows a possible route for crossing the street. The route shown in 16.c shows a small wheelchair route from 545 a crosswalk to the opposite sidewalk. Fig. 16.e and Fig. 16.f show the routes taking into account the 546 navigable surface for pedestrians and wheelchairs. It can be seen as one of the sidewalks is not accessible 547 due to the proximity between the bollards. For the same reason, the route takes different layouts for 548 pedestrians and wheelchairs on the opposite sidewalk. In addition, all the generated routes do not collide 549 with any static object and cross areas that in the initial cloud did not have points such as the ramp in case 550 study 1 and the sidewalk in front of parked cars in case study 2.



551

552 Fig. 14. Pedestrian routes (coloured in white) obtained with the application of Dijkstra algorithm in graphs

553 generated by the proposed methodology over point cloud of case study 1: a) route for pedestrians, b)
554 equivalent route for wheelchairs, c) route for pedestrians and d) route for wheelchairs.



Fig. 15. Pedestrian routes (coloured in white) obtained with the application of Dijkstra algorithm in pedestrian graph generated by the proposed methodology over point cloud of case study 2.



558

Fig. 16. Routes (coloured in white) obtained with the application of Dijkstra algorithm in graphs generated by the proposed methodology over point cloud of case study 3 with navigable surface in red: a-b) pedestrian route, c) wheelchair route, d-e) pedestrian route over navigable pedestrian surface, f) wheelchair route over navigable wheelchair surface.

563

# 564 *5.3. Discussion*

Routes generated by the Dijkstra algorithm are perfectly valid for use by both pedestrians and wheelchairs, adapt to ground elements, avoid static obstacles and do not take into account the dynamics that appear in point clouds. Routes can run through areas where input cloud had no points (as seen in Fig. 14 and Fig. 15) while taking into account regulations to establish a minimum free unobstructed space so that people can transit comfortably. In addition, while the generation of the graph involves processing of minutes, thegeneration of the path with Dijkstra algorithm can be done in real time.

The *nd* variable is the one that most influences the number of nodes in the final graph. A smaller *nd* distance allows a more detailed map, it increases the complexity of the graph and the time in route calculation. In the results presented in this work has been selected an nd = 0.5 m, because it has not seen an improvement in the use of a more detailed graph due to the inability of pedestrians to follow a route with few centimetres in an urban environment. By contrast, a larger size (more than the free unobstructed widths defined by ISO-21542) can lead to omitting small static elements, making the graph lose reality with the as-built environment, one of the main objectives of the work.

578 The results show a robust behaviour of the proposed methodology, but it is not exempt from some 579 limitations. The generated graph at the end of the methodology depends on these two processes: object 580 classification and occlusion correction. Errors in graph generation will result in erroneous routes.. The classification of non-ground elements in static and dynamic is preceded by a phase of individualization. If 581 582 objects are very close to others, they may not be identified correctly and they are classified as one. It is 583 common for this error to occur with dynamic elements that are interacting with statics or near them (Fig 584 17.a). In addition, the classification between static and dynamic is not perfect and there may be misclassified 585 elements. The authors have chosen to use a classifier with few parameters to gain processing time and to 586 only need XYZ coordinate information in its implementation. Errors in individualization and classification 587 mean that the graph does not truly fit the built environment and holes appear on the navigable surface (Fig 588 17.b). Although the erroneous area accounts for less than 2% of the navigable area generated in the case 589 studies (Table 4), each misclassified object carries an error in the navigable area of approximately 1.8 m<sup>2</sup>. 590 In certain locations, such as narrow sidewalks, a hole can break the continuity in the graph and lead to major 591 changes in the generated route. Regarding the occlusion correction, the algorithm is only effective when 592 occlusions are not at the border of the point cloud (Fig 17.c). If the occlusion is at the border, the zone is 593 considered as an external part and no points are generated in it, therefore, it is not represented in the final 594 graph either. In addition, occlusions can hide small static objects, which are not regenerated in the process 595 of occlusion correction and represent a false reality. These two limitations have occurred in specific cases, 596 not being common occurrence. An alternative to the use of an SVM for static and dynamic object 597 classification and occlusion regeneration could be the acquisition of clouds at different multi-temporal 598 observations, but this entails more expense in the acquisition of the built environment. In addition, while it 599 would eliminate dynamic objects and some occlusions, others caused by static objects will continue to exist.



Fig. 17. Example of limitations in the application of the methodology: a) dynamic element merged to a static element, b) holes in the passable surface caused by a correct classification (tree) and an incorrect classification (dynamic object confused as static), and c) uncorrected occlusion at the point cloud border in the case study 2.

### 606 6. Conclusions

In this paper, a methodology for the direct use of point clouds for pathfinding is presented. As a result, a graph representing in detail the urban environment is automatically generated, providing a very accurate pathfinding solution for both pedestrians and wheelchairs. The methodology has been designed to solve the main limitations presented by use point clouds in real pathfinding:

- The large number of points is reduced through successive spatial downsampling and density
   standardization.
- A distinction is made between static and dynamic elements in the scene, considering static
   elements as obstacles and discarding dynamic ones.
- Occlusions presented in point clouds are corrected and no-data areas regenerated with new points.
- The navigable surfaces are selected and their free unobstructed space delimited according to their
   interaction with obstacles and physical accessibility barriers.

618 The methodology has been tested in three case studies acquired in real urban environments and their 619 navigation graphs are generated successfully. The routes are obtained in real time by applying Dijkstra 620 algorithm to the navigation graphs. The generated routes are perfectly viable, are adjusted to ground 621 elements, respect safety distances with obstacles and take into account accessibility barriers. In summary, 622 the proposed routes present a high level of detail at the same time that they are safe and viable according to 623 two motor skills (pedestrians and wheelchairs). Therefore, point clouds have proven to be optimal input data for pedestrian pathfinding in urban environments because: they provide real data of the environment, 624 625 therefore there are routes based on no theoretical models; it is possible to work on 3D routes and not only 626 on flat maps; and the accuracy of the data is sufficient to detect obstacles and include them in the navigation 627 graph.

Future work will focus on improving the classification between static and dynamic elements with Deep
Learning techniques, since an incorrect differentiation reduces realism to the final model. Also the

- 630 optimization and export for integration of the generated models in GIS (Geographic Information Systems)
- 631 will be evaluated with the aim of reaching more users.

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