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Topological phase transitions induced by varying topology and boundaries in the toric code

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E-mail: amit.jamadagni@itp.uni-hannover.de and abhattacharyya@iitgn.ac.in**Keywords:** topological phase transitions, open quantum systems, dissipative phase transitions

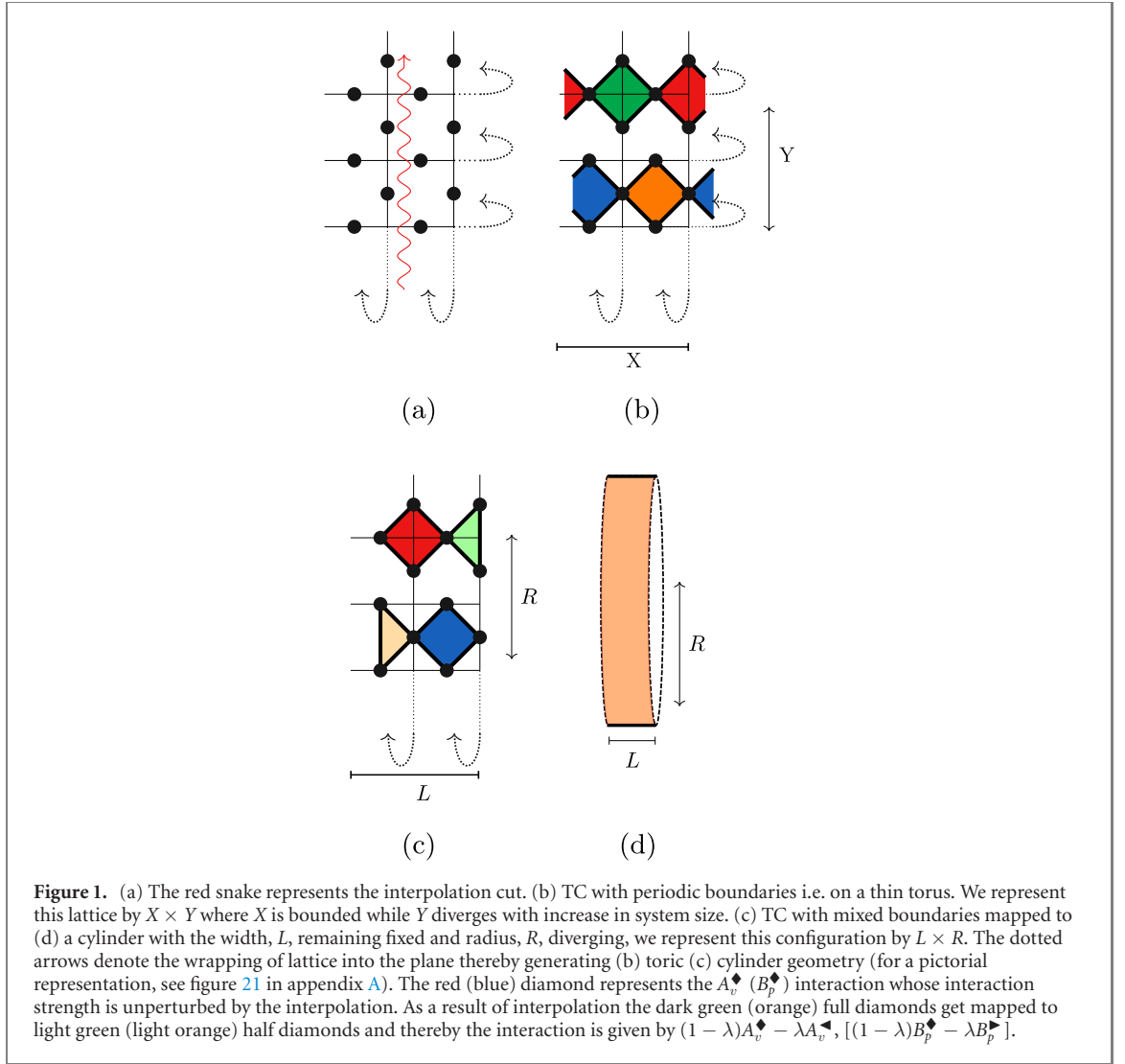
Abstract

One of the important characteristics of topological phases of matter is the topology of the underlying manifold on which they are defined. In this paper, we present the sensitivity of such phases of matter to the underlying topology, by studying the phase transitions induced due to the change in the boundary conditions. We claim that these phase transitions are accompanied by broken symmetries in the excitation space and to gain further insight we analyze various signatures like the ground state degeneracy, topological entanglement entropy while introducing the open-loop operator whose expectation value effectively captures the phase transition. Further, we extend the analysis to an open quantum setup by defining effective collapse operators, the dynamics of which cool the system to distinct steady states both of which are topologically ordered. We show that the phase transition between such steady states is effectively captured by the expectation value of the open-loop operator.

1. Introduction

Topological phases are phases of matter whose description is beyond the Landau symmetry breaking theory. Due to the absence of a local order parameter, it is challenging to detect and classify such phases of matter. Several signatures such as ground state degeneracy (GSD), topological entanglement entropy (TEE) [1], modular S and U matrices [2] have been effective in detecting a quantum phase transition (QPT) between topologically ordered (TO) and trivial phases. On similar lines, there has been recent interest in detecting a QPT between two distinct topological phases, termed as topological phase transition (TPT) [2–5]. We investigate the presence of a TPT based on the notion of Hamiltonian deformation as in reference [6]. We consider a TPT induced by a parameterized Hamiltonian, $H(\lambda)$, which at the extremities of the parameter reduce to a frustration-free Hamiltonian. In such scenarios, the presence of a TPT is signaled by the energy gap closing or the change in the GSD as we interpolate between the endpoints [7].

Topological phases of matter with intrinsic topological order have been well understood in models with periodic boundary conditions [8, 9] while the systematic classification of open boundaries has been gaining significance in the recent times [10–12]. It has a twofold purpose. It, not only helps us to gain an insight into different topological phases of matter, thereby providing a means to classify different phases [5], but also open boundaries form a more natural setting in experimentally realizing topological phases [13, 14]. In this paper, we aim to understand the sensitivity of the topological phases of matter to different boundary conditions. To this extent, we analyze the presence of a TPT by interpolating between different boundary variations of the toric code (TC) model. In section 2 we introduce the TC Hamiltonian in a general setting, briefly motivating the different boundary conditions. We then provide necessary arguments which consolidate the presence of a TPT, further we comment on the broken symmetries that accompany the TPT. In section 3, we present various scenarios where the phase transitions are marked by the change in the GSD, while in section 4, we present scenarios where the phase transitions are captured by the closing of the energy gap at some interpolation strength. In each of the above sections, we introduce phase transitions



which are induced by varying the underlying topology and by varying the open boundary conditions. For each of the transitions, we introduce an open-loop operator and claim that its expectation value is sensitive to different phases and hence effectively captures the phase transition.

While QPT's in closed systems have been extensively studied, the study of the same in an open quantum setting has gained traction recently [15–17]. The understanding of these, on one hand, help in identifying and classification of new phases of matter [18, 19] while on the other hand help tune experimental setups where external interaction is inevitable [14, 20]. Lastly, in section 5, we sketch a procedure to realize the TPT's of the closed system in an open quantum setup. We engineer dissipative collapse operators which effectively cool the system to distinct steady states depending on the strength of the interpolation parameter. The effective cooling rate of the collapse operators in the open system context is analogous to the interpolation strength of the closed system while the steady states of the open system at the extremities of interpolation get mapped to the respective ground states of the closed system. Using the fact that TPT in an open system is encoded in the properties of the steady-state, we show that the expectation value of the open-loop operator is still effective in detecting such phase transitions.

2. Connecting frustration-free TC Hamiltonians

We begin by briefly reviewing the general features of the TC model with different boundary conditions. Consider a square lattice with vertices (faces) denoted by $v(p)$, with spins on the edges of the lattice. The general TC Hamiltonian is given by

$$H = - \sum_v A_v - \sum_p B_p, \quad (1)$$

with $A_v = \prod_i \sigma_x^{(i)}$ and $B_p = \prod_j \sigma_z^{(j)}$ where $i(j)$ denote the spins attached to the respective vertices (faces). For periodic boundary conditions, four spins are attached to each vertex (face) as in figure 1(b). The excitations in the system (also referred to as anyons) are given by A_v, B_p violations, denoted by e, m respectively and are generated by σ_z and σ_x operators.

As introduced in reference [10], we define the boundary as an interface between a TO phase and vacuum and classify different boundaries by the behavior of the excitations at the boundary. At a given boundary, every excitation either gets identified with vacuum and is called condensing excitation, or, is retained at the boundary and is called non-condensing excitation. For the case of TC, we identify the boundary where $e(m)$ excitations condense as rough (smooth) boundary. For both the above mentioned cases, the Hamiltonian still retains the form of equation (1), with A_v, B_p operators being modified at the boundary, for instance equation (8) at $\lambda = 0, \lambda = 1$ represent the interaction at the rough and the smooth boundary. For a brief review of different boundary conditions, see appendix B and for a more formal mathematical treatment of boundaries we refer the reader to reference [10].

Due to the different condensation properties at a given boundary, each boundary condition gives rise to a unique topological phase. If they were to belong to the same phase it would immediately imply that there exists a local unitary transformation connecting the ground states [21], further implying that the excitations belonging to different sectors are unitarily equivalent. In other words, if the phase with periodic boundary conditions were to belong to the same phase as the open boundary, it would imply the existence of local unitary transformation connecting the ground states of the above phases which would further imply that the excitations from both phases are related via the unitary. The above scenario is not possible, as otherwise it would imply the existence of non-trivial anyon condensation in the periodic boundary i.e. in the absence of a physical boundary. Similarly, we can extend the above notion to conclude that phases with different physical boundaries are distinct as otherwise it would imply the existence of local unitary transformation mapping a non-condensing excitation to a condensing excitation and vice-versa. Additionally, the ground state of the TC with periodic boundaries is given by a superposition of closed loops where as in the case of open boundaries the superposition includes open loops and therefore the ground states with periodic and open boundaries conditions cannot be mapped via local unitaries. The above argument can also be extended in comparing the ground states of different open boundary conditions as the open loops appearing in the superposition are different due to different anyon condensation. The difference in the structure of the superposition of loops in the ground states further consolidates the fact that different boundary conditions give rise to distinct topological phases and therefore, interpolating different boundary conditions via Hamiltonian interpolation encapsulates a TPT.

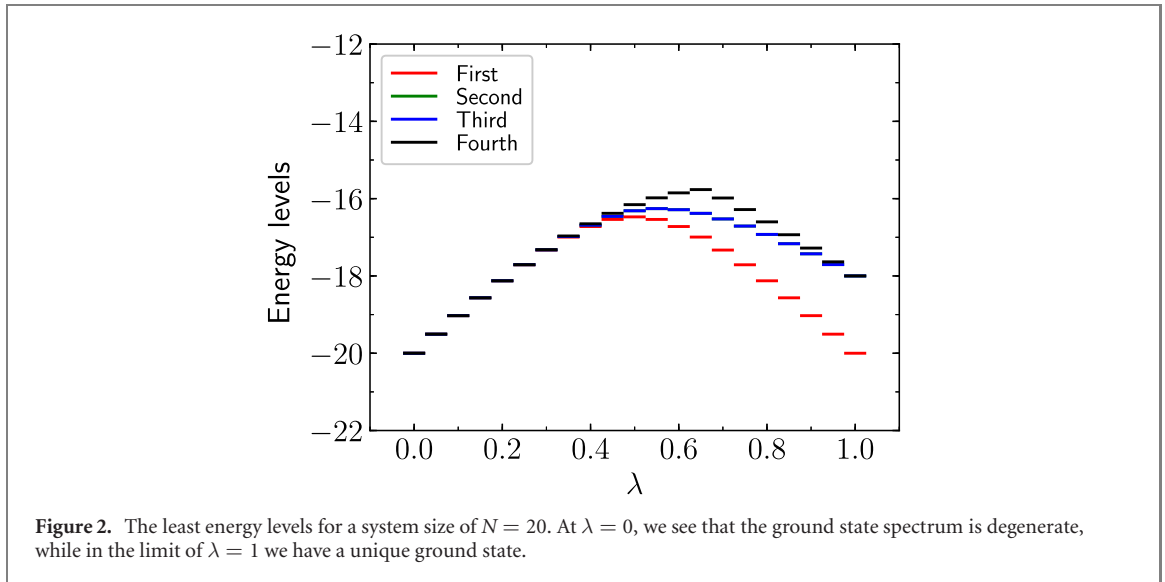
To further consolidate the above notion of a TPT, we introduce the notion of parity conservation and anyonic symmetries. We claim that the break in either one of the symmetries is sufficient to encode a TPT. It is well established that the excitations in the TC model with periodic boundaries appear in pairs, with the introduction of boundary this parity is no longer conserved as it is possible to draw relevant single excitations from the boundary. Another symmetry in the case of the TC is given by the fact that the fusion and braiding rules of excitations remain invariant under the exchange of the labels $e \leftrightarrow m$, which is commonly referred to as electric–magnetic duality/anyonic symmetry [22, 23]. For the case of periodic boundary condition, the anyonic symmetry is retained (up to the presence of a domain wall) while in the open boundary context the anyonic symmetry is broken due to change in fusion rules at the boundary. We further note that, to encode a TPT it is sufficient that either one of the symmetry is broken but it is not necessary that every TPT is accompanied by a broken symmetry. We further elaborate on the above statement in the appendix by providing a suitable example, and also introduce additional constraints on the parity symmetry so as to complete the bi-implication.

We present different TPT's obtained by interpolating between different boundary conditions, i.e. by tuning the A_v, B_p interactions to

- (a) Vary the underlying topology, i.e. breaking the periodicity with introduction of open boundaries (effective topology variation)
- (b) Vary the open boundary conditions, with the underlying topology intact (effective boundary variation)

As the above variations encompass a variety of scenarios, we further classify the phase transitions into the following two classes based on the GSD, \tilde{G}_λ , at the extremum of the interpolation, with the interpolation strength given by λ :

- (a) $\tilde{G}_{\lambda=0} \neq \tilde{G}_{\lambda=1}$
- (b) $\tilde{G}_{\lambda=0} = \tilde{G}_{\lambda=1}$



3. TPT's: $\tilde{G}_{\lambda=0} \neq \tilde{G}_{\lambda=1}$

The phase transitions in this section are characterized by the change in the GSD of the frustration free Hamiltonians at either end of the interpolation. We present such phase transitions induced by, both, change in topology and change in boundary conditions.

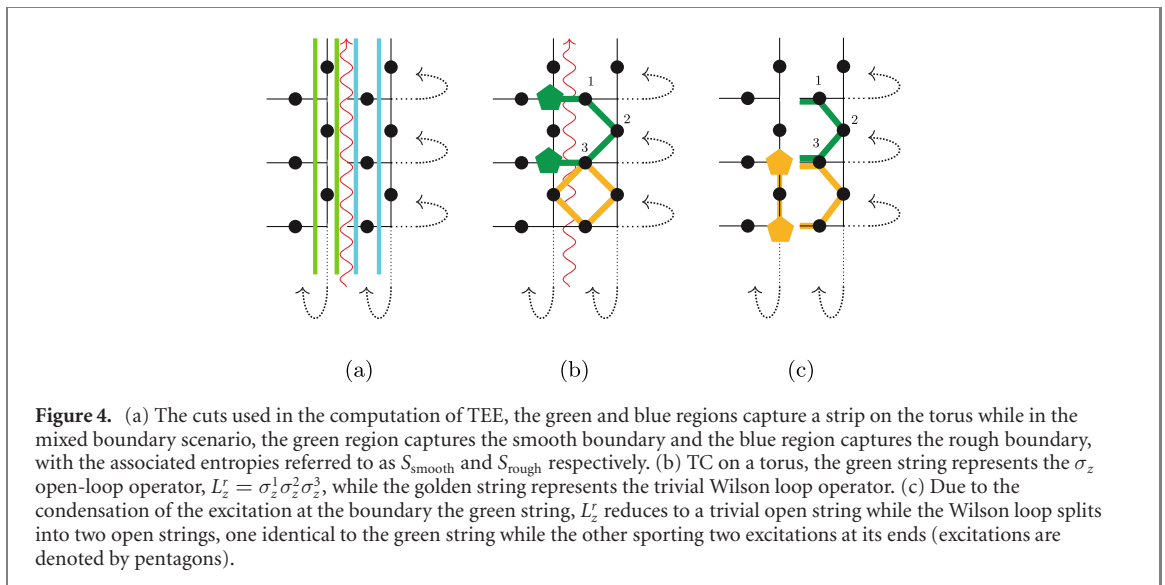
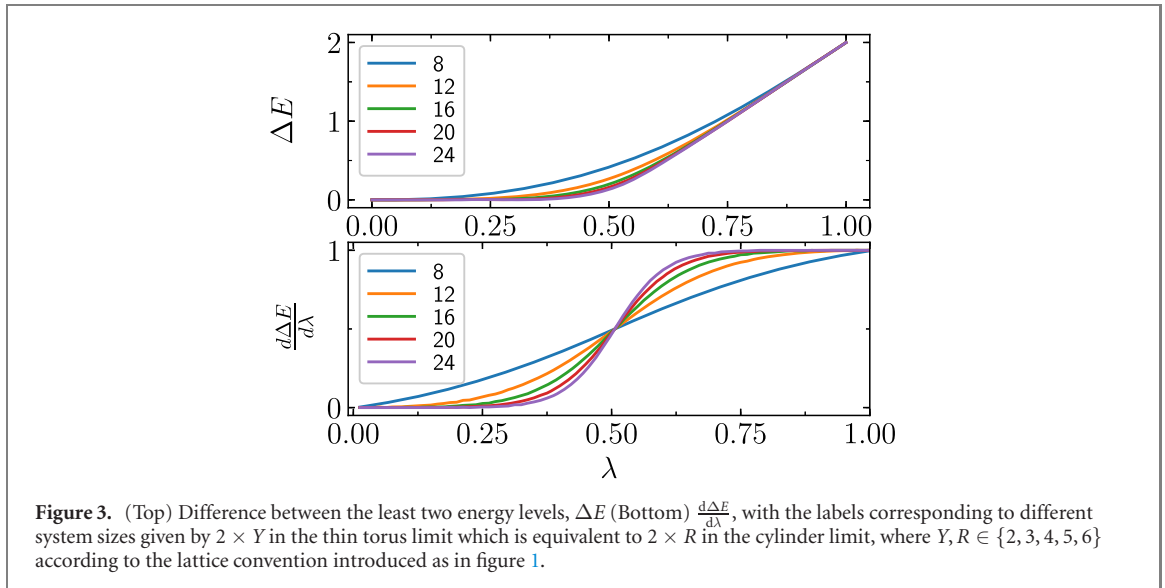
3.1. Topology variation: torus with no domain wall to a cylinder with a mixed boundary

By tuning the local interactions, we map the TC Hamiltonian on a torus to a TC Hamiltonian on a cylinder with mixed boundaries i.e. the square lattice tiled on a torus is mapped to a cylinder with mixed boundaries (for a pictorial representation, see appendix A and figure 21). The tuning breaks the periodicity of the torus and effectively gives rise to a cylinder with different open boundaries at either end, as in figure 1(a). The interpolating Hamiltonian connecting the different underlying topologies is given by equation (2).

$$H_{pm}(\lambda) = -\sum_v A_v^\diamond - \sum_p B_p^\diamond - (1-\lambda)\sum_{v'} A_{v'}^\blacktriangleleft - (1-\lambda)\sum_{p'} B_{p'}^\blacktriangleleft - \lambda\sum_{v'} A_{v'}^\blacktriangleright - \lambda\sum_{p'} B_{p'}^\blacktriangleright, \quad (2)$$

where $A_v^\diamond = \prod_{i=1}^4 \sigma_x^{(i)}$ ($B_p^\diamond = \prod_{j=1}^4 \sigma_z^{(j)}$) act on the four edges attached to the respective vertices (faces) in the bulk, while $A_v^\blacktriangleleft = \prod_{i=1}^3 \sigma_x^{(i)}$ ($B_p^\blacktriangleleft = \prod_{j=1}^3 \sigma_z^{(j)}$) act on the three edges attached to the respective vertices (faces) at the boundary, as elucidated in figures 1(b) and (c). Figure 22 pictorially presents the mapping of the A_v^\diamond to A_v^\blacktriangleleft and B_p^\diamond to B_p^\blacktriangleright with respect to the interpolation strength.

From equation (2), we infer that at $\lambda = 0$, $H_{pm}(0)$, represents the TC Hamiltonian on torus while at $\lambda = 1$, $H_{pm}(1)$, represents the TC Hamiltonian on cylinder with mixed boundary conditions. As the system is perturbed by varying λ from 0 to 1, the GSD changes from 4 to 1, indicating the presence of a TPT. The above TPT is accompanied by break in both parity conservation and anyonic symmetry, as in the limit of $\lambda = 0$ both are conserved while in the limit of $\lambda = 1$ both the symmetries remain broken. We study the energy gap opening in the degenerate manifold, TEE with respect to different cuts and the expectation value of open-loop operator to gain further insight into the nature of phase transition. For all the numerical analysis presented hereafter, we consider the thin torus limit for periodic boundaries i.e. one direction of the periodicity is constrained while the other direction diverges, for instance see figure 1(b) where X is constrained while Y diverges, i.e. increases with increase in system size. The topology interpolation of a thin torus, as above, results in a cylinder whose width (L) is constrained with the radius (R) diverging as in figure 1(d). We also note that we perform the interpolation along the diverging direction and consider the thin torus limit and constrained cylinder limits, as applicable, in the next sections for performing finite size analysis. Though the results presented hereafter are in the above limits, we note that this has minimal bearing on the qualitative analysis as the structure of the ground state coupled with the anyon condensation, as discussed in the earlier section, still preserves the transition while there might be quantitative deviations depending on the scaling of the boundary conditions with the system size.



3.1.1. Energy gap

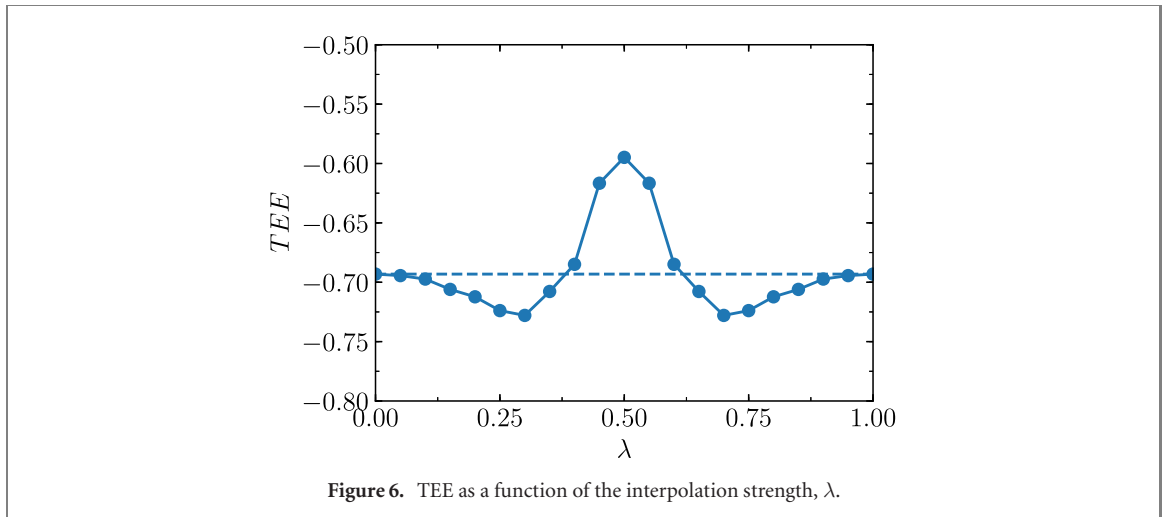
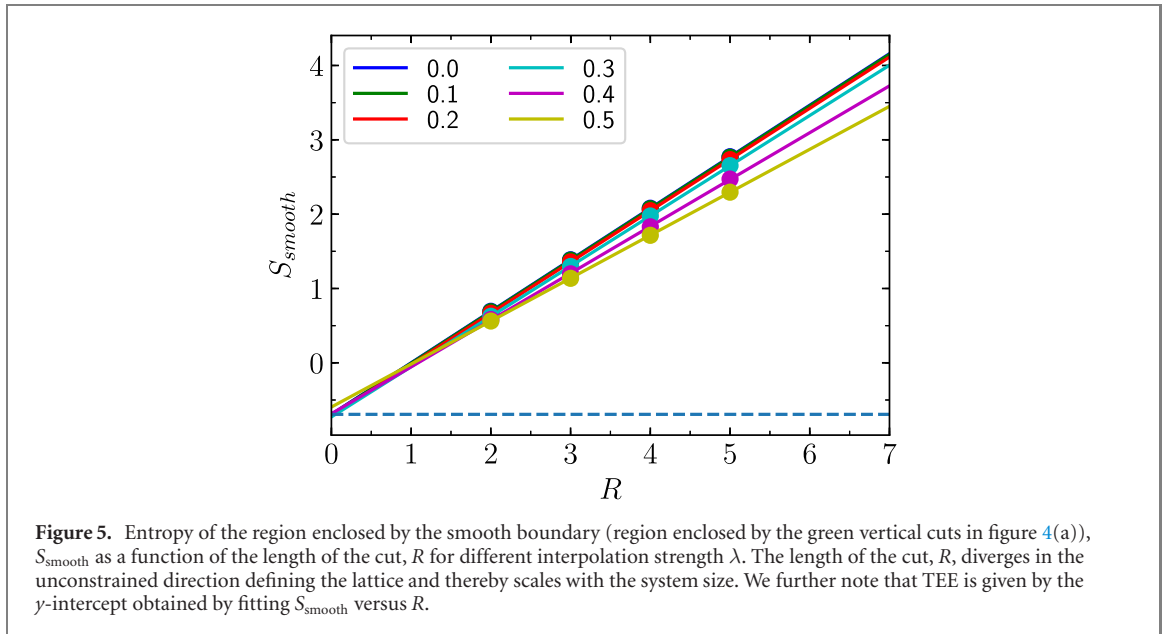
The ground state of the Hamiltonian, $H_{pm}(\lambda)$, both at $\lambda = 0$ and at $\lambda = 1$ is given by $\mathcal{N} \prod_v (\mathbb{1} + A_v) |\mathbf{0}\rangle$, where the product is modified to include the vertices in respective topologies and \mathcal{N} is the normalization constant. In the limit of $\lambda = 0$, the action of the non-trivial loop operators around the legs of the torus maps between different degenerate ground states. Since we consider a torus of genus one, the number of non-trivial loop operators are four, thereby the GSD is four. While in the limit of $\lambda = 1$, the non-trivial loop operator, along the periodic boundary of the cylinder, leaves the ground state invariant, thereby we have a unique ground state [24]. Therefore, for some critical strength, λ_c , we expect a gap opening in the degenerate ground state spectrum, as in, figure 2.

From figure 3, we note that there is a suppression in the energy gap ΔE , with increase in the system size, implying the ground state manifold is degenerate up to a critical strength and from its derivative we infer that the critical strength is around 0.5. We note that for the computation of relevant low energy spectrum and relevant ground state properties we have used the linear algebra routines of Julia [25].

3.1.2. Topological entanglement entropy

A key signature of topological order is the constant subleading topological term in the computation of entanglement entropy, called the TEE, γ [26, 27]. Consider a region, say A on the lattice, whose reduced density matrix is given by ρ_A . The von-Neumann entropy S_A given by $S_A = -\text{Tr}(\rho_A \ln \rho_A)$ scales as

$$S_A = aL - \gamma, \quad (3)$$



where L is the length of the cut and γ is called TEE.

To extract γ , we follow the procedure outlined in reference [28] which involves computing the entropy of a region enclosed by non-trivial cut(s) which wind around the surface of a cylinder (torus) as in figure 4(a) i.e. we choose the cut in the unconstrained direction which thereby diverges with increase in the system size. For instance in the case of the cylinder we consider the cut which scales with the radius of the cylinder. The entropy of the region enclosed by the non-trivial cut scales as

$$S_A = aR - \gamma, \quad (4)$$

where R is the length of the cut in the unconstrained direction. We compute the entropy for different system sizes using the appropriate cut as defined above, see figure 5 where we have computed the entropy for the region enclosed in smooth boundary defined in figure 4(a) (for the region enclosed in the rough boundary, we refer the reader to appendix C). We extract γ which is the y -intercept obtained by fitting the entropy, S_{smooth} or S_{rough} , versus length of cut, R , as in equation (4).

From figure 6, we note that the TEE is around $\log 2$ for all λ . We attribute the deviation from $\log 2$ to finite-size effects, arising out of the computation of entropy, which are strong around the critical interpolation strength. Similar deviations in TEE computation have been reported earlier in reference [2]. Further, we also strengthen the claim from the above reference, that TEE is ineffective in detecting a phase transition between two different topological phases.

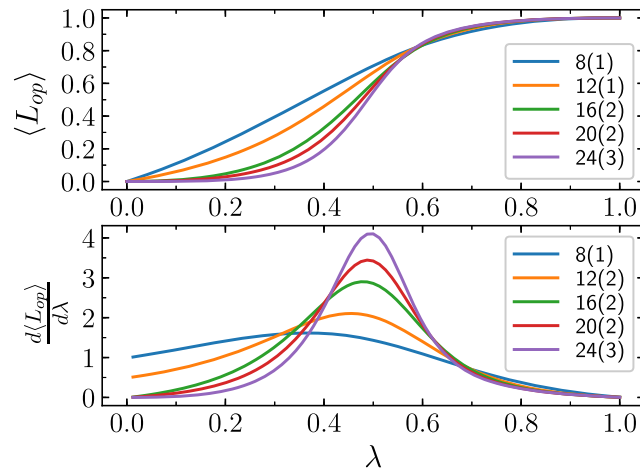


Figure 7. (Top) Expectation value of the longest open-loop operator. (Bottom) Derivative of the expectation value with respect to λ . The labels denote different system sizes, given by $2 \times Y$ ($2 \times R$) for the thin torus (equivalent cylinder) geometry where $Y(R) \in \{2, 3, 4, 5, 6\}$. The value in the parentheses indicate the maximal possible separation between the excitations used for the construction of the longest open loop operator.

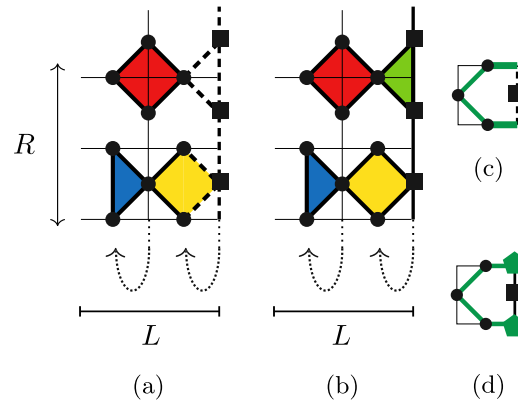


Figure 8. (a) TC on a cylinder with a rough boundary on both ends ($\lambda = 0$). Additional spins are added on the right boundary, represented by \blacksquare . (b) TC on a cylinder with mixed boundaries. For both (a) and (b) the lattice is represented by $L \times R$, with L fixed and R diverging wrapped on a cylinder. In both (a) and (b) the red diamond remains unperturbed with action on the attached edges given by A_v^\blacktriangleright , the dark blue half diamond also remains unperturbed with the action on the attached edges given by B_p^\blacktriangleright . The yellow diamond in (a) represents the B_p^\square which translates to B_p^\blacktriangleright in (b), while the uncolored dashed half diamond in (a) maps to A_v^\blacktriangleleft in (b) due to the interpolation. The action of open-loop operator at the boundary at (c) $\lambda = 0$, (d) $\lambda = 1$.

3.1.3. Open-loop operator

We introduce the open-loop operator as in figure 4(b) with periodic boundary as the reference. The open-loop operators are generated by a sequence of $\sigma_z^{(i)}(\sigma_x^{(j)})$ operators and are marked with excitations at their ends. Let us consider the open-loop operator, L_z^r , as defined in figure 4(b), whose expectation value with respect to the ground state at $\lambda = 0$ is zero, i.e. $\langle \psi_{gs}^{\lambda=0} | L_z^r | \psi_{gs}^{\lambda=0} \rangle = 0$, as the loop operator projects the ground state into an excited state. While on the other hand at $\lambda = 1$, $\langle \psi_{gs}^{\lambda=1} | L_z^r | \psi_{gs}^{\lambda=1} \rangle = 1$, since the excitations at the end of the open-loop condense on the boundary leaving the ground state invariant. We note that the expectation value of the longest open-loop operator i.e. the operator connecting excitations which are maximally separated, effectively captures the phase transition. From figure 7 and by performing finite size analysis, we infer that the expectation value diverges at critical strength of $\lambda_c = 0.533 \pm .032$, thereby signaling a phase transition.

One might attempt to classify these TPTs as second order QPTs based on the divergent behavior of the derivative of the order parameter, that is the expectation value of the open-loop operator as in figure 7. However, observing the fact that the derivative of the energy difference as in figure 3 tends to be discontinuous at the critical strength in the thermodynamic limit, is indicative of the fact that the transition might indeed be a first order transition as concluded in reference [2]. To conclude, we note that due to the above reasons the order of the phase transition remains ambiguous and this analysis extends to the case

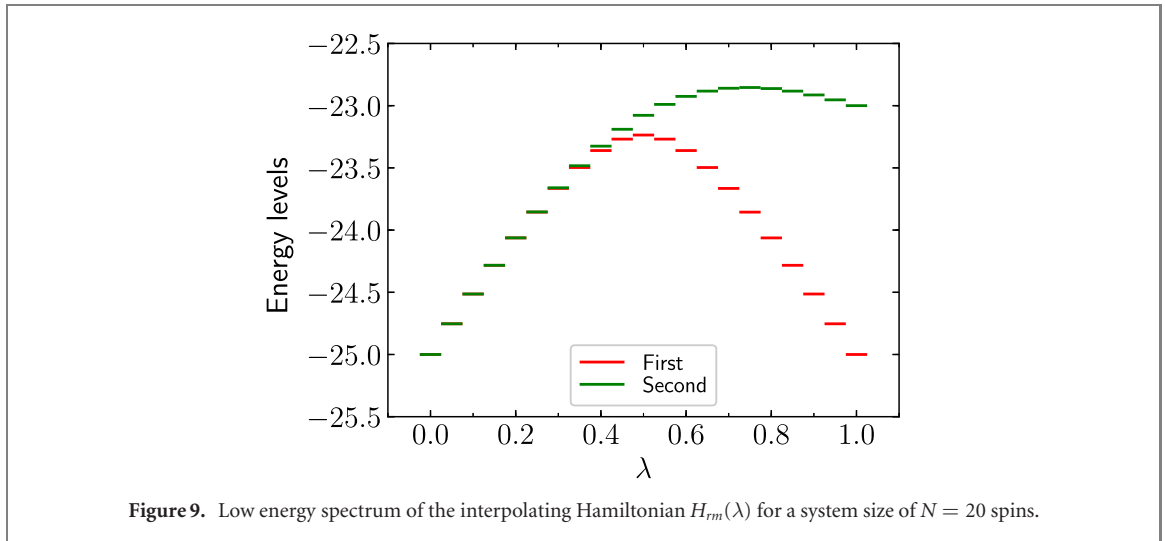


Figure 9. Low energy spectrum of the interpolating Hamiltonian $H_{rm}(\lambda)$ for a system size of $N = 20$ spins.

discussed in the next section. We attribute the ambiguity in the order of the phase transition to the non-local nature of the order parameter and postpone their investigation to future studies.

3.2. Boundary variation: cylinder with rough boundaries to a mixed boundary

In this section, we consider the TC Hamiltonian on a cylinder and interpolate between rough boundary on both ends to a mixed boundary. The phase transition is similar to topology interpolation case as the GSD varies from 2 to 1 as we vary the interpolation strength. The phase transition is marked by the break in the parity conservation of the m -type excitations, as at $\lambda = 0$ the m -type excitations always appear in pairs while at $\lambda = 1$ single excitations can be drawn from the boundary. We also note that there is no anyonic symmetry present in the limits of $\lambda = 0$ and $\lambda = 1$. We interpolate the right rough boundary to a smooth boundary while the left boundary remains unperturbed, see figure 8. To this extent, we decorate the right boundary, R , with additional spins denoted by \blacksquare as in figure 8 and thereby add additional terms to the Hamiltonian, like B_p^\square , the projector $|0\rangle\langle 0|$ as in equation (5), which facilitate the interpolation while effectively retaining the boundary properties.

$$H_{rm}(\lambda) = -\sum_v A_v^\blacklozenge - \sum_p B_p^\blacktriangleright - (1-\lambda)\sum_{p\in R} B_p^\square - (1-\lambda)\sum_{\blacksquare\in R} |0\rangle\langle 0| - \lambda\sum_{v\in R} A_v^\blacktriangleleft - \lambda\sum_{p\in R} B_p^\blacklozenge, \quad (5)$$

where A_v^\blacklozenge , B_p^\blacklozenge , A_v^\blacktriangleleft , B_p^\blacktriangleright are as defined in section 3.1. At $\lambda = 0$, the above Hamiltonian reduces to the case of rough boundary at both open ends as the right boundary spins are projected to $|0\rangle$ [10], captured by the projector $|0\rangle\langle 0|$ and the typical $B_p = \prod_j \sigma_z^{(j)}$ face interaction at the boundary has to be modified to include the projection at the boundary and therefore modifies itself as B_p^\square , given by

$$B_p^\square = \frac{1}{2}(\mathbb{I}\bullet\mathbb{I}\bullet\mathbb{I}\bullet + \sigma_z\bullet\sigma_z\bullet\sigma_z\bullet)\left(\frac{\mathbb{1} + \sigma_z}{2}\right)^\blacksquare, \quad (6)$$

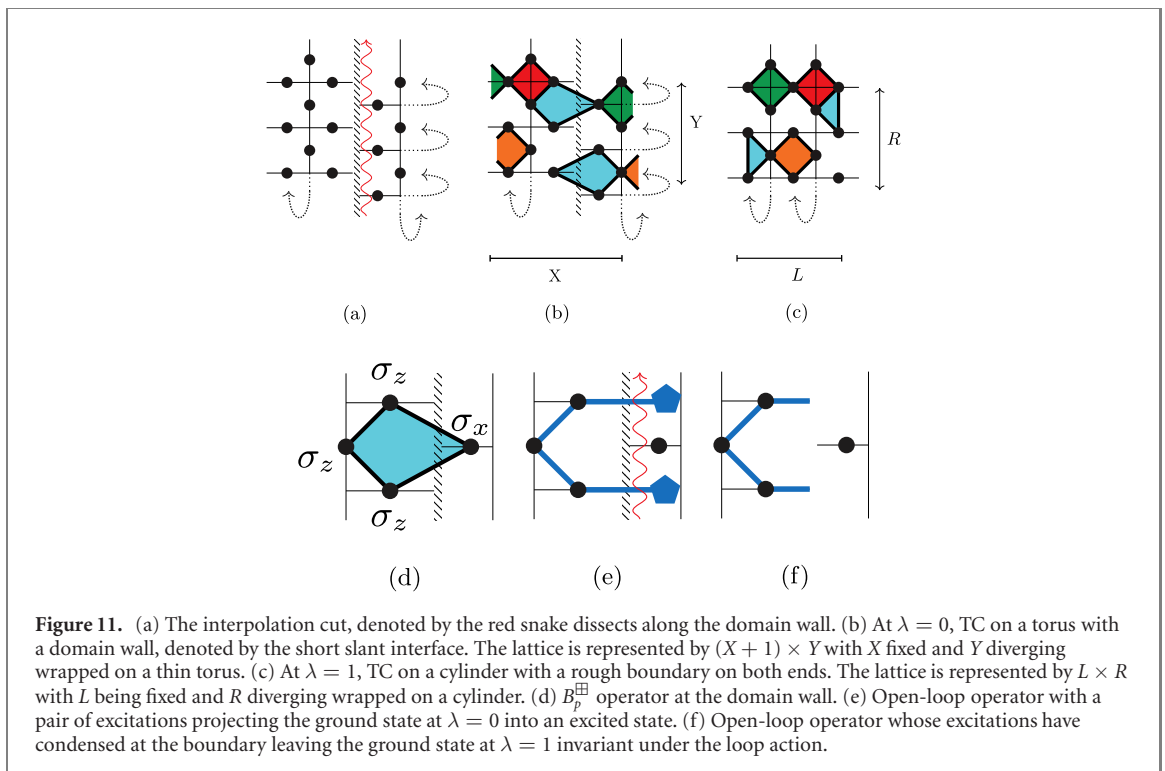
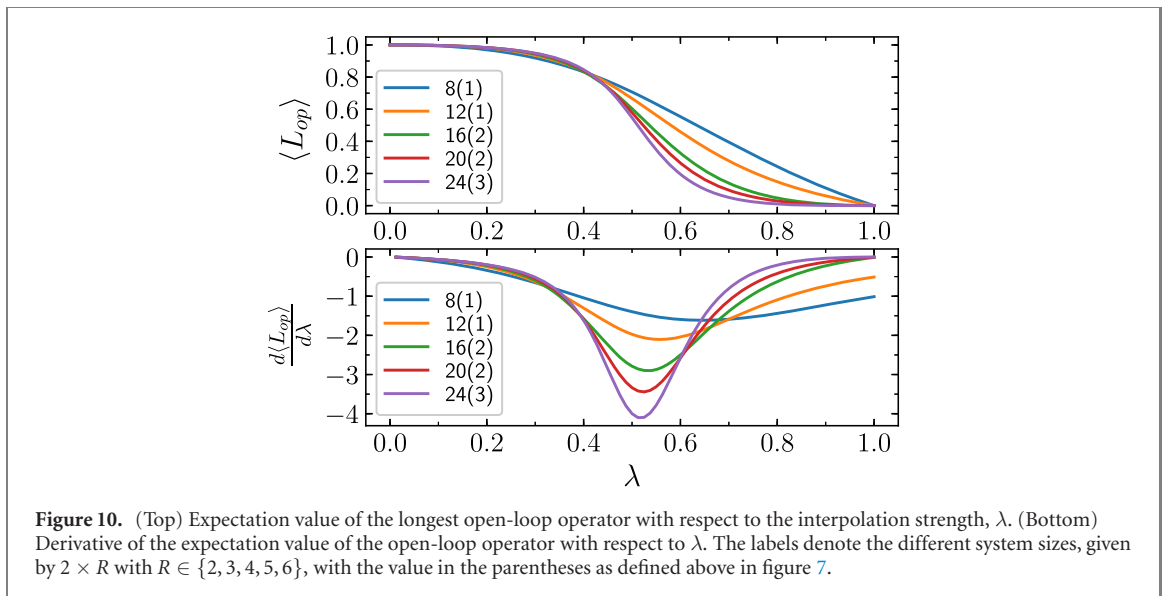
where \bullet indicates the action on the spins from the bulk and \blacksquare indicates the action on the spin of the boundary.

3.2.1. Energy gap

At $\lambda = 0$ and at $\lambda = 1$, using the fact that the ground state is a simultaneous ground state of all the operators in the Hamiltonian, one of the ground state can be represented as $\mathcal{N}\prod_v (1 + A_v)|\mathbf{0}\rangle$, with the product modified suitably to include vertices depending on the value of λ . In the limit of $\lambda = 0$, the ground state manifold is double degenerate [24], while in the limit of $\lambda = 1$, the ground state is unique, see figure 9. In addition we note that the nature of the energy difference plot, ΔE versus λ , is similar to figure 3 with the critical strength around 0.5.

3.2.2. Open-loop operator

As in the topology variation case, we compute the expectation value of the longest open-loop operator. With reference to the rough boundary, the open-loop operator has excitations condensing at the boundary at $\lambda = 0$, therefore the expectation value is 1, where as at $\lambda = 1$ the excitations are retained at the boundary,



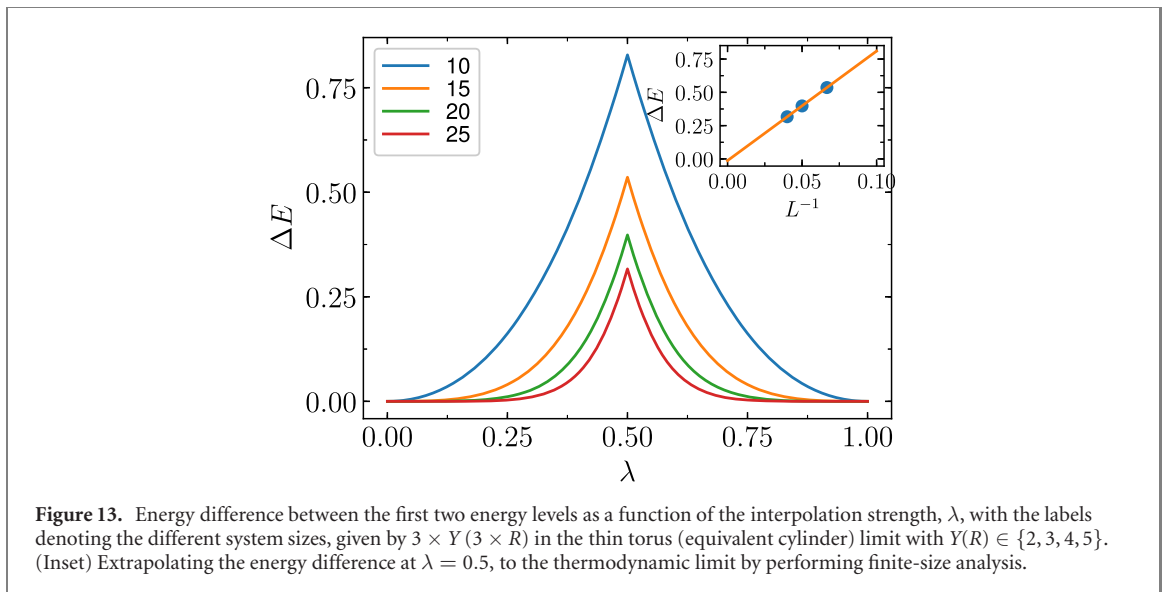
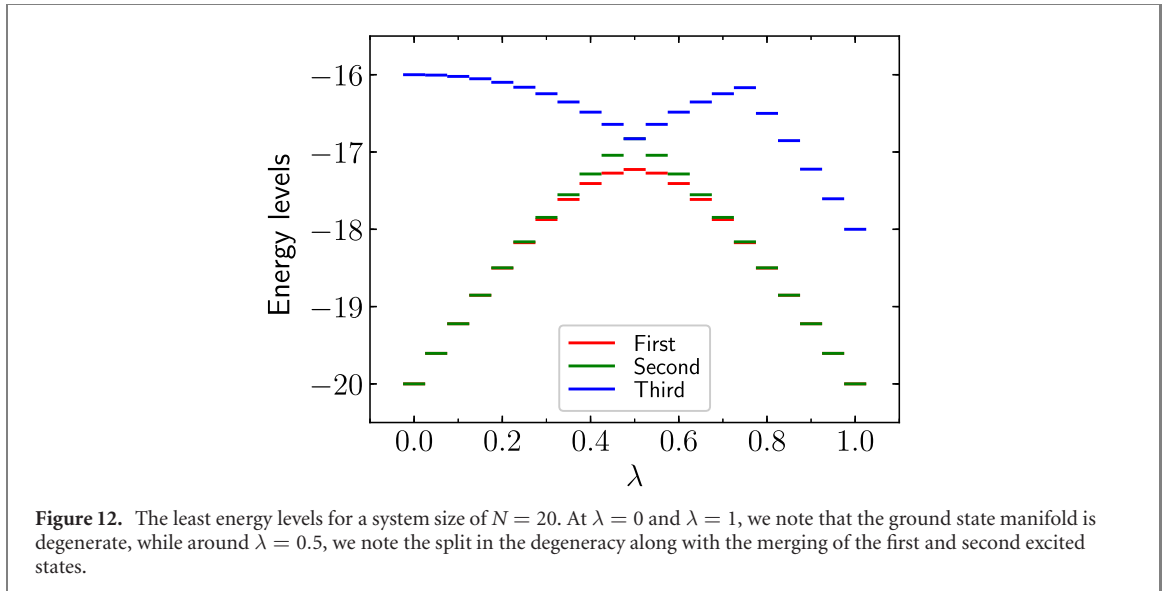
see figures 8(c) and (d), with the expectation value going to zero. From figure 10 and by performing finite size analysis we note that the expectation value diverges at $\lambda_c = 0.481 \pm 0.048$.

4. TPT's: $\tilde{G}_{\lambda=0} = \tilde{G}_{\lambda=1}$

In this section, we introduce various scenarios where the phase transitions are characterized by closing of the energy gap between the ground state manifold and the first excited state along the path of interpolation. We investigate for such cases in the context of topology variation as well as boundary variation.

4.1. Topology variation: torus with domain wall to a cylinder with rough boundaries

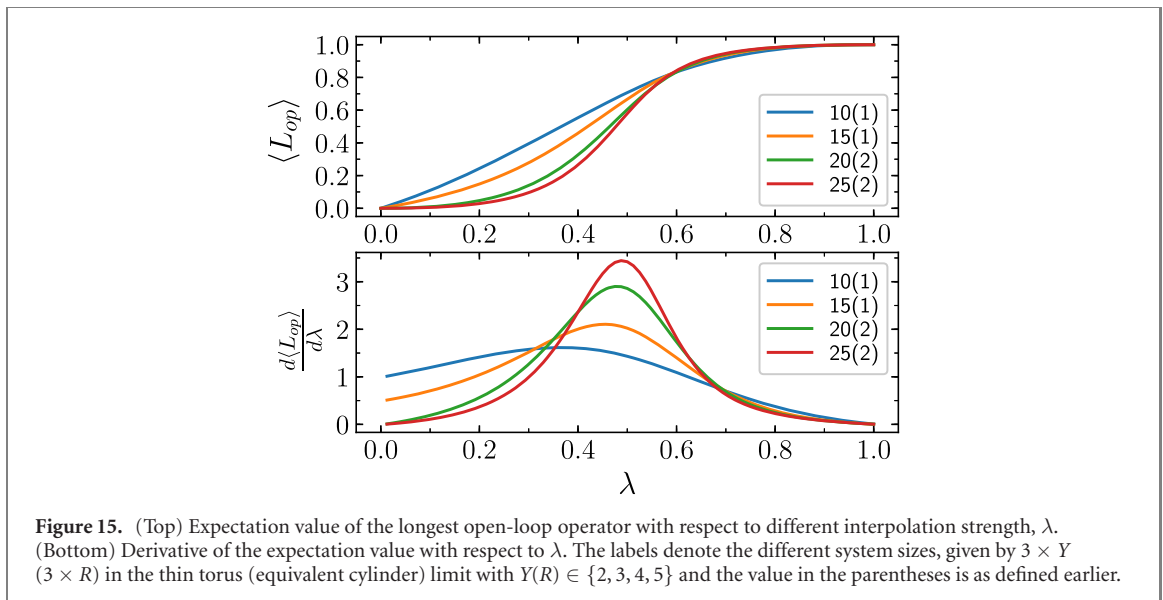
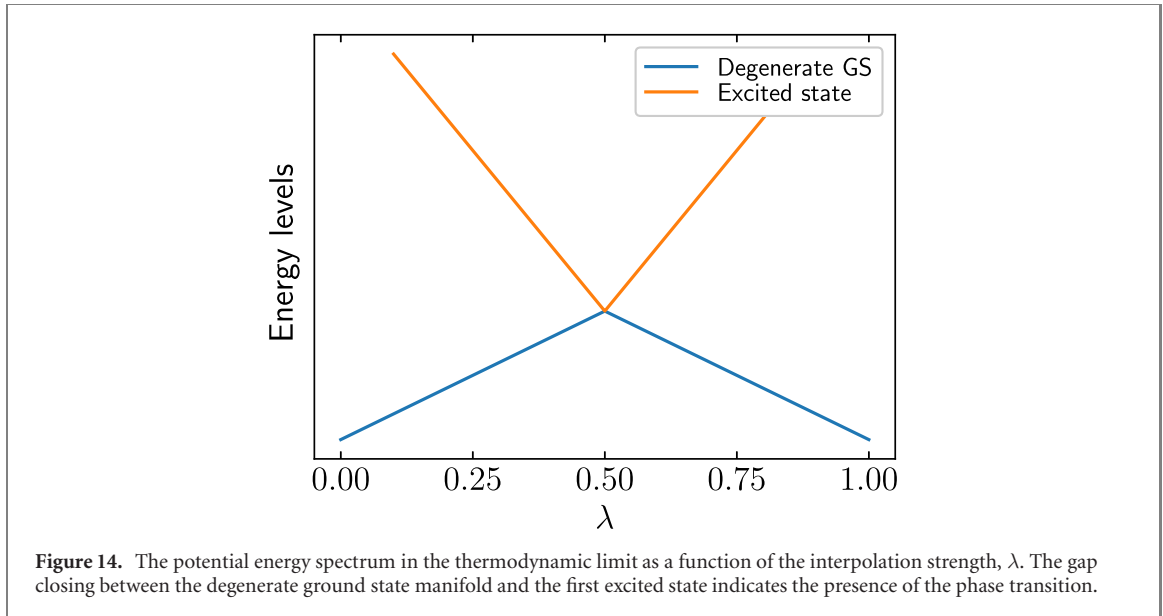
We briefly motivate the notion of domain wall as one of the boundaries of the TC and then further discuss the presence of TPT as we dissect the torus along the domain wall to a cylinder with rough boundaries at either end.



The authors in reference [10] have introduced the notion of domain walls between two different TO phases, given by the quantum doubles $D(G_1), D(G_2)$. Further, it has been shown that the domain walls between such quantum doubles are equivalent to the boundary conditions of the folded quantum double $D(G_1 \times G_2)$, which are characterized by the subgroups, K , of $G_1 \times G_2$, along with a non-trivial two-cocycle of K . In the case of folded TC which is given by $D(Z_2 \times Z_2)$, there exists a domain wall given by the subgroup $Z_2 \times Z_2$ along with a non-trivial two-cocycle of $Z_2 \times Z_2$ which when unfolded reduces to a boundary as illustrated in figure 11(b). The Hamiltonian of the TC with a domain wall is given by $H_{dr}(0)$, as in equation (7). The modified B_p operator at the domain wall, B_p^{\boxplus} , takes the form as in figure 11(d) [11, 29]. The interpolating Hamiltonian connecting the TC with a domain wall on torus to TC on a cylinder with rough boundaries is given by equation (7)

$$H_{dr}(\lambda) = -\sum_v A_v^\blacklozenge - \sum_p B_p^\blacklozenge - (1-\lambda)\sum_{p'} B_{p'}^{\boxplus} - \lambda\sum_{p''} B_{p''}^\blacktriangleleft - \lambda\sum_{p''} B_{p''}^\blacktriangleright, \tag{7}$$

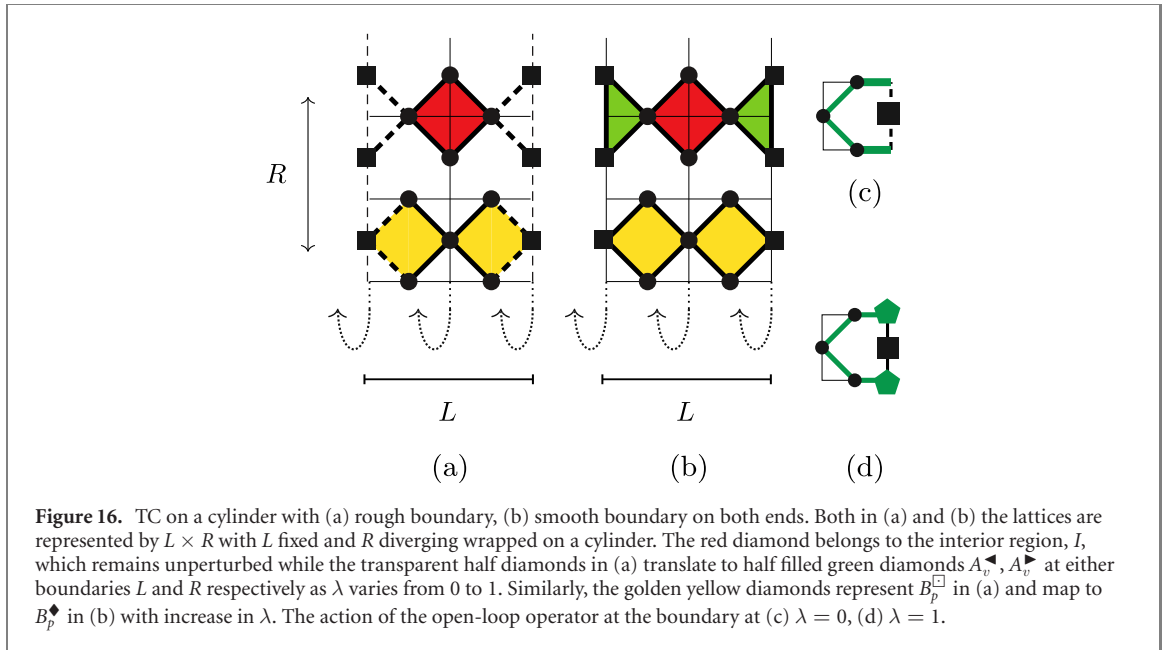
where $A_v^\blacklozenge, B_p^\blacklozenge, B_p^\blacktriangleright$ are defined as in section 3.1, while B_p^\blacktriangleleft is qualitatively identical to B_p^\blacktriangleright . The phase transition is characterized by break in the parity and anyonic symmetry. The parity of the m -type excitations is preserved in the limit of $\lambda = 1$ while is broken in the limit of $\lambda = 0$. On the other hand, anyonic symmetry is preserved in the limit of $\lambda = 0$ and is broken in the limit $\lambda = 1$.



4.1.1. Energy gap

At both $\lambda = 0$ and $\lambda = 1$, the ground state manifold is two fold degenerate. Using the notion established in the earlier sections, one of the representations of the ground state at $\lambda = 0$ is given by $\mathcal{N} \prod_v (\mathbb{1} + A_v) \prod_p (\mathbb{1} + B_p^{\boxplus}) |\mathbf{0}\rangle$, where as at $\lambda = 1$, is given by $\mathcal{N} \prod_v (\mathbb{1} + A_v) |\mathbf{0}\rangle$. In the limit of $\lambda = 0$, the other ground state can be obtained by the action of the non-trivial loop operator running parallel to the domain wall. The other non-trivial loop operator running perpendicular to the domain wall does not leave the ground state invariant as m -type violations get identified as e -type violations as they pass through the domain wall, the fusion of which results in a fermion, instead of vacuum, as in the absence of the domain wall. Therefore, establishing the fact that the GSD of the TC with a domain wall on torus is two. From figure 12, for finite size system of $N = 20$ spins, we see a split in the ground state manifold around $\lambda = 0.5$ and also note that the first and the second excited states merge.

From figure 13, we note that the energy gap between the ground state and the first excited state decreases with increase in system size. Extrapolating to the thermodynamic limit by performing finite size analysis, we note that the degeneracy of the ground state manifold is retained at all λ and combining the fact that there is an energy gap closing at $\lambda = 0.5$ results in an energy spectrum as in figure 14 indicating the presence of a TPT at $\lambda = 0.5$.



4.1.2. Open-loop operator

To further consolidate the presence of TPT, we compute the expectation value of the longest open-loop operator at different interpolation strength, λ . We define the loop operator with reference to the TC on a torus with a domain wall as in figure 11(e). The open-loop is generated by the action of a sequence of σ_z operators and sports two B_p violations at its end. In this limit of $\lambda = 0$, the loop operator projects the ground state into an excited state, thereby leading to an expectation value of zero. While at the other extreme, $\lambda = 1$, the excitations at the end of the open-loop condense at the boundary, as in figure 11(f), thereby leaving the ground state invariant and hence the expectation value is one in the vicinity of $\lambda = 1$. From figure 15 and by performing finite size scaling analysis we conclude that the critical strength is given by $\lambda_c = 0.539 \pm 0.046$,

As noted earlier, one might be tempted to cast these QPTs as second order due to the divergence in the derivative of the order parameter, the expectation value of the open-loop operator. However, we note that the closing of the energy gap as in figure 14 is suggestive of first order QPT as it is similar to the level crossing noted in the spectral analysis of reference [2]. Therefore, we conclude that in the current scenario where the phase transition is marked by the closing of the energy gap between the ground state and excited state, the order of the phase transition remains ambiguous.

4.2. Boundary variation: cylinder with rough boundaries to smooth boundaries

In this section we present the boundary variation of the above TPT. To this extent, we interpolate between rough boundary on both ends to smooth boundary on both ends of the cylinder, see figures 16(a) and (b). The interpolating Hamiltonian is given by H_{rs} , as in equation (8).

$$\begin{aligned}
 H_{rs}(\lambda) = & - \sum_{v \in I} A_v^{\diamond} - (1 - \lambda) \sum_{p \in R} B_p^{\square} - (1 - \lambda) \sum_{p \in L} B_p^{\square} - (1 - \lambda) \sum_{\blacksquare \in R} |0\rangle\langle 0| - (1 - \lambda) \sum_{\blacksquare \in L} |0\rangle\langle 0| \\
 & - \lambda \sum_{v \in R} A_v^{\leftarrow} - \lambda \sum_{v \in L} A_v^{\rightarrow} - \lambda \sum_{p \in R} B_p^{\diamond} - \lambda \sum_{p \in L} B_p^{\diamond},
 \end{aligned} \tag{8}$$

where I denotes the interior bulk region, R denotes the right boundary and L denotes the left boundary. The phase transition is characterized by break in the parity conservation of the $m(e)$ -type excitations. In the limit of $\lambda = 0$, $m(e)$ -type excitations occur in pairs (singly) while in the limit of $\lambda = 1$, $m(e)$ -type excitations appear singly (in pairs). There is no anyonic symmetry present in either phases due to the condensation at the boundary i.e. the fusion rules are not invariant under the exchange of e and m labels.

4.2.1. Energy gap

The ground state manifold is two fold degenerate at the extremities of the interpolation parameter, λ [24]. As in the case of topology variation, it is evident that for finite size systems the first and the second excited states merge at $\lambda = 0.5$, see figure 17. The energy difference between the first two energy levels is

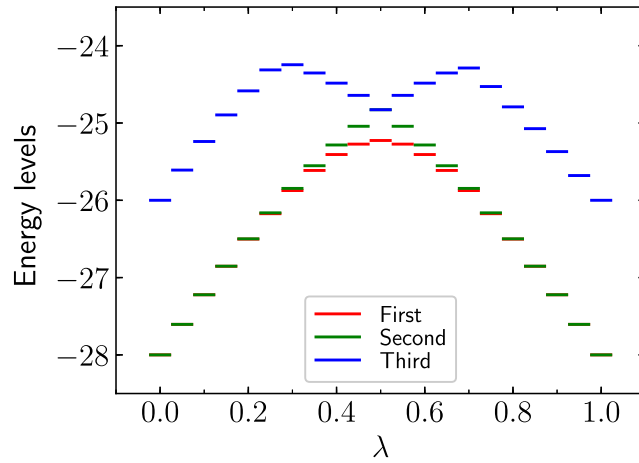


Figure 17. Least energy levels for a system size of $N = 20$ spins. Both at $\lambda = 0$ and $\lambda = 1$, the ground state manifold is degenerate. At $\lambda = 0.5$, we note the merging of the first and the second excited energy levels.

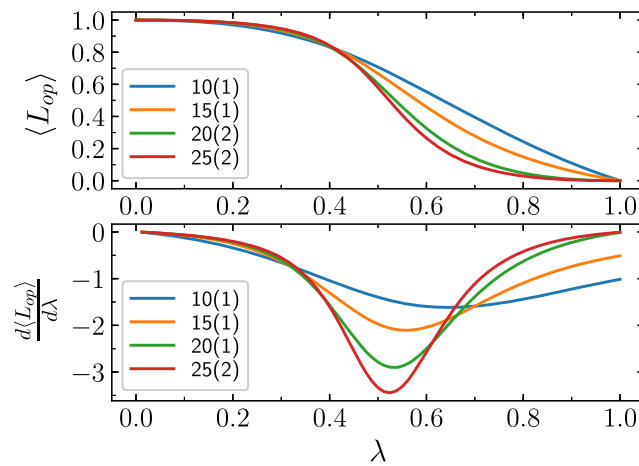


Figure 18. (Top) Expectation value of the longest open-loop operator with respect to λ . (Bottom) Derivative of the expectation value with respect to λ . The labels denote the different system sizes, given by $3 \times R$ with $R \in \{2, 3, 4, 5\}$ with the value in parentheses is as defined earlier.

qualitatively similar to figure 13 and thereby in the thermodynamic limit the energy spectrum qualitatively resembles figure 14, implying the presence of a phase transition due to the closure of the energy gap.

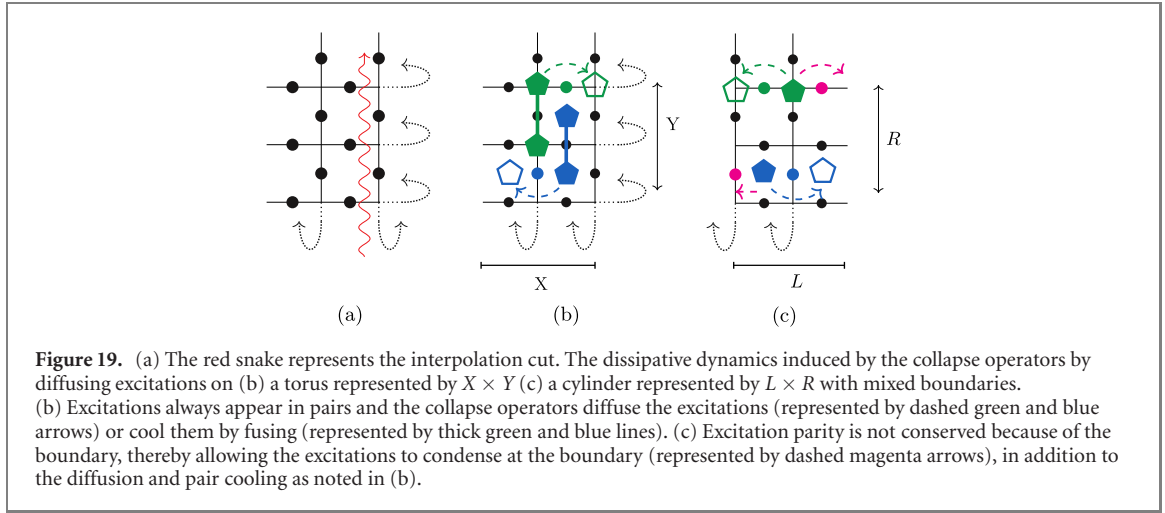
4.2.2. Open-loop operator

Taking cue from the above analysis, we compute the expectation value of the open-loop operator to estimate the critical strength at which the phase transition occurs. The open-loop operator is generated by a sequence of σ_z operators which holds A_ν excitations at its end. At $\lambda = 0$, these excitations condense on the boundary, while at $\lambda = 1$, the excitations are retained at the boundary as in figures 16(c) and (d) respectively. From figure 18, and by performing finite size analysis we note that the expectation value diverges at $\lambda_c = 0.463 \pm 0.036$.

5. Interpolation via engineered dissipation

We aim to achieve the interpolation introduced in section 3.1, in an open quantum system by engineering suitable collapse operators. To draw parallels with the closed system analysis, the study of phase transitions in open systems is associated with the properties of the steady states which are obtained by solving the Lindblad master equation (LME)

$$\dot{\rho}(t) = -i[H(t), \rho(t)] + \sum_n \frac{1}{2} [2C_n \rho(t) C_n^\dagger - \rho(t) C_n^\dagger C_n - C_n^\dagger C_n \rho(t)], \quad (9)$$

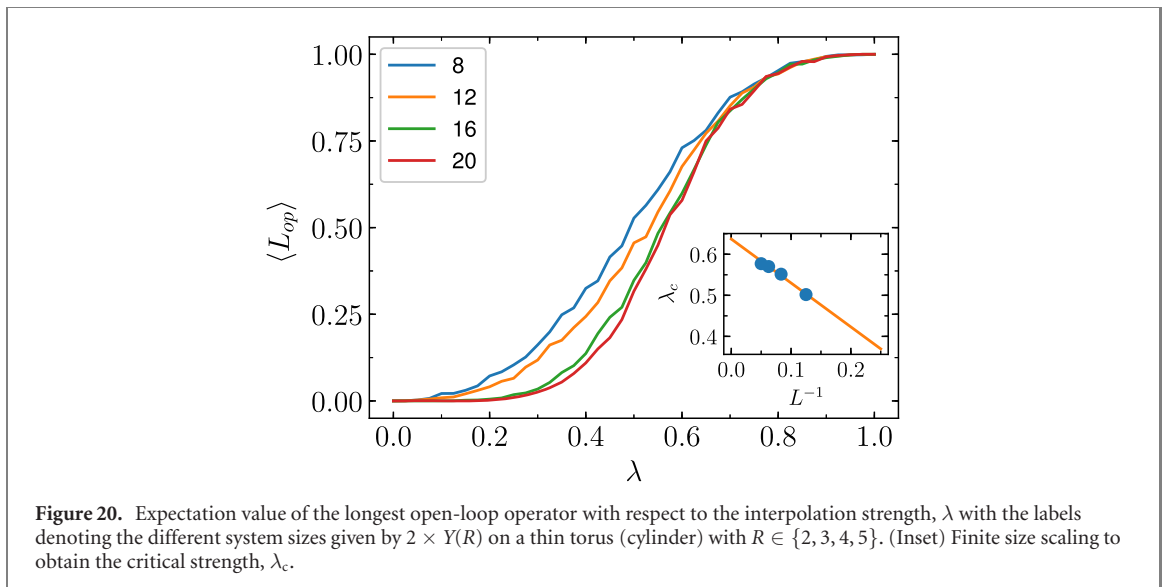


where H is the Hamiltonian capturing coherent evolution while C_n 's are the collapse operators which encode the dissipative dynamics.

In reference [20], the authors have introduced collapse operators which cool a product state to the entangled ground state of the TC. We consider a purely dissipative setup i.e. set $H = 0$ and extend the above construction, by introducing additional collapse operators whose effective cooling rate involves the interpolation parameter, λ , thereby cooling to different ground states at the extremities of the interpolation. We analyze the case of interpolation between the ground state of TC on a torus ($\lambda = 0$) to the ground state on a cylinder with mixed boundary conditions ($\lambda = 1$) as introduced in section 3.1. For lucidity, we split the collapse operators into three classes: the collapse operators acting on the permanent vertices (faces) given by $c_{v(f)}^p$, the collapse operators acting on the periodic boundary given by $c_{v(f)}^t$ and the collapse operators acting on the open boundary given by $c_{v(f)}^o$ and define them as in equation (10), figure 19.

$$\begin{aligned}
 c_v^p &= \frac{\sqrt{\gamma_v}}{2} \sigma_z^{(i)} (\mathbb{1} - A_v^\blacklozenge), \\
 c_f^p &= \frac{\sqrt{\gamma_f}}{2} \sigma_x^{(j)} (\mathbb{1} - B_f^\blacklozenge), \\
 c_v^t(\lambda) &= \frac{\sqrt{\gamma_v}}{2} (1 - \lambda) \sigma_z^{(i)} (\mathbb{1} - A_v^\blacklozenge), \\
 c_f^t(\lambda) &= \frac{\sqrt{\gamma_f}}{2} (1 - \lambda) \sigma_x^{(j)} (\mathbb{1} - B_f^\blacklozenge), \\
 c_v^o(\lambda) &= \frac{\sqrt{\gamma_v}}{2} \lambda \sigma_z^{(i)} (\mathbb{1} - A_v^\blacktriangleright), \\
 c_f^o(\lambda) &= \frac{\sqrt{\gamma_f}}{2} \lambda \sigma_x^{(j)} (\mathbb{1} - B_f^\blacktriangleleft),
 \end{aligned} \tag{10}$$

where γ_v, γ_f are the cooling rates of the vertex and face excitations, while λ is the interpolation strength, $A_v^\blacklozenge, B_f^\blacklozenge, A_v^\blacktriangleright, B_f^\blacktriangleleft$ operators are as defined in the earlier sections. Intuitively, the dynamics induced by the collapse operators diffuse the excitations around the lattice i.e. the excitations perform a random walk and upon meeting another excitation or a relevant boundary, fuse, thereby cooling to a steady state. In the limit of $\lambda = 0$ and $\lambda = 1$, the collapse operators effectively cool the product state to a pure steady state given by ground state of the TC at respective λ . At intermediate λ , the dynamics is captured by the competition between the cooling operators that promote the diffusion of the excitations along the periodic boundary and the cooling operators which promote a biased diffusion resulting in a restricted diffusion, effectively capturing the break in topology. Due to the competitive cooling, the steady state at intermediate λ is a mixed state unlike the pure steady state at the extremities, hence the phase transition which we shall present shortly is a mixed state phase transition. We further note that the phase transition analysis presented hereafter, is based on the assumption that the steady state at all λ is TO, thereby resulting in a TPT in an open system. The assumption can be substantiated by the fact that the mixed state obtained at intermediate λ , in the end, is due to a collective cooling scheme where the cooling itself is aimed at generating a TO pure state. We aim to present other signatures for detecting QPT's between TO and trivial mixed states in a separate work and hence the verification shall be postponed to the future [30].



We compute the steady states at different interpolating strength, λ , by using the Monte Carlo wave function method [31]. In the vicinity of $\lambda = 0$, the dissipators cool the system to the ground state of the TC on a torus while at $\lambda = 1$, the dissipators cool the system to the ground state of the TC on a cylinder. The expectation value of the open-loop operator, given by $\text{Tr}(\rho_\lambda L)$ where ρ_λ is the steady state at interpolation strength λ and L is the open-loop operator, as in figure 4(b), is used to distinguish the different topological phases. Using similar arguments presented earlier, we note that the expectation value of the open-loop operator is zero in the periodic boundary case where as is 1 in the open boundary case, with the critical strength at $\lambda_c = 0.637 \pm .004$ obtained by performing finite size analysis, as in figure 20.

6. Summary and discussion

In summary, we have studied the sensitivity of topological phases with respect to the boundary conditions of the underlying manifold on which they are defined. We have considered the change in boundary conditions of two flavors: (a) effective topology variation, where we have varied the underlying topology from periodic boundary to open boundary i.e. from torus to a cylinder (b) effective boundary variation, where we have fixed the underlying topology to a cylinder and have varied the open boundaries of the cylinder. The sensitivity to the boundary conditions is captured by a phase transition, termed as TPT, as we interpolate by Hamiltonian deformation between different boundary conditions. We have invoked the notion of parity conservation and anyonic symmetries and have established that a break in either one of the above symmetries is sufficient to characterize the TPT. To further consolidate the presence of a TPT, we have numerically analyzed signatures such as GSD, TEE and have introduced the notion of open-loop operator whose expectation value captures the phase transition. While the GSD and expectation value of the open-loop operator provide an estimate of the critical strength, we have re-established the fact that TEE remains constant and is thereby ineffective in detecting the above introduced TPT's.

Having established the notion of TPT in a closed setup, we extend it to an open quantum setup. The phase transitions in an open setting are associated with the steady states obtained by solving the LME. To this extent, we have introduced collapse operators, whose dissipative rates are a function of the interpolation parameter λ . Due to the above construction, the dynamics cool the product state into distinct TO steady states at different λ , with the extremities being mapped to the relevant TC ground states, thereby encoding a TPT at some critical λ . We have shown that the expectation value of the open-loop operator is still relevant and is effective in detecting such TPT's in an open setup.

In this paper, having analyzed the presence of TPT's in various closed and open setups, it would be interesting to gain an insight into the stability of topological order due to different boundaries, in a dynamical setting as the system is quenched across a TPT [32]. The introduced TPT's being characterized by non-local order parameter, it would be interesting to study the notion of Kibble–Zurek like mechanism in both closed and open setting [33]. There has been a recent proposal to define topological phases in the context of open quantum systems [34], it would be interesting to study the TPT in an open setup introduced in this work with the above definition. Experimentally, there has been progress in realizing the ground states of the TC Hamiltonian as in reference [14], which also includes open system scenarios with

various noise protocols, it would be interesting to study the realization of proposed engineered collapse operators in such a setup. Also, there has been recent progress in preparing quantum states using variational quantum circuits [35], it would be interesting to extend the above protocol to realize the interpolated topological steady states by including suitable variational dissipators. Some of the immediate extensions would be to detect the presence of similar TPT's in the context of other abelian and non-abelian models with an aim to develop other relevant signatures.

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Data availability statement

The data that support the findings of this study are available upon reasonable request from the authors.

Note

While preparing this manuscript we became aware of the following work reference [36]. The authors have discussed the case presented as in section 3.2 of the current work.

Appendix A. Connecting different geometries and their Hamiltonians

In this appendix, we pictorially elucidate the wrapping of a square lattice on a torus and its interpolated topology version to a cylinder as in figure 21. The interpolation maps a torus to cylinder with the interpolation cut mapping $A_v^\diamond (B_p^\diamond)$ on the torus to the $A_v^\blacktriangleleft (B_p^\blacktriangleright)$ on the cylinder as in figure 22. The above general representation can be applied to visualize various scenarios discussed in the main text which involve the tiling of the square lattices on different topologies.

Appendix B. Various boundary conditions of the TC

Having introduced the mapping of square lattices onto different 3D surfaces, in this section, we briefly review the different boundary conditions of the TC as in figure 23. As introduced earlier, the different boundary conditions are identified by the behavior of excitations at a particular boundary. Smooth boundaries, see figure 23(b) are identified by the condensation of the B_p violations (m excitations) while the A_v violations (e excitations) are retained at the boundary. While rough boundaries, see figures 23(c) and (d) are identified by the condensation of the A_v violations (e excitations) where as the B_p violations (m excitations) are retained at the boundary. We note that both the representations are equivalent while in figure 23(c) we introduce additional spins on the boundary which are projected to $|0\rangle\langle 0|$ and can be traced out, thereby, giving rise to figure 23(d). Though, we have a reduction in the number of physical spins on the lattice, the underlying physics still remains equivalent in both the cases.

Appendix C. Extracting TEE via the rough boundary

In this section, we extract TEE using the region enclosed by the rough boundary i.e. the region enclosed by the blue vertical cuts as in figure 4(a). We compute the entropy for different cuts which scale with the system size and obtain similar fits, see figure 24 in comparison to figure 5. Further, the behavior of TEE in this case, see figure 25 remains similar to the earlier observed behavior in figure 6, with deviations around the critical value attributed to finite-size effects.

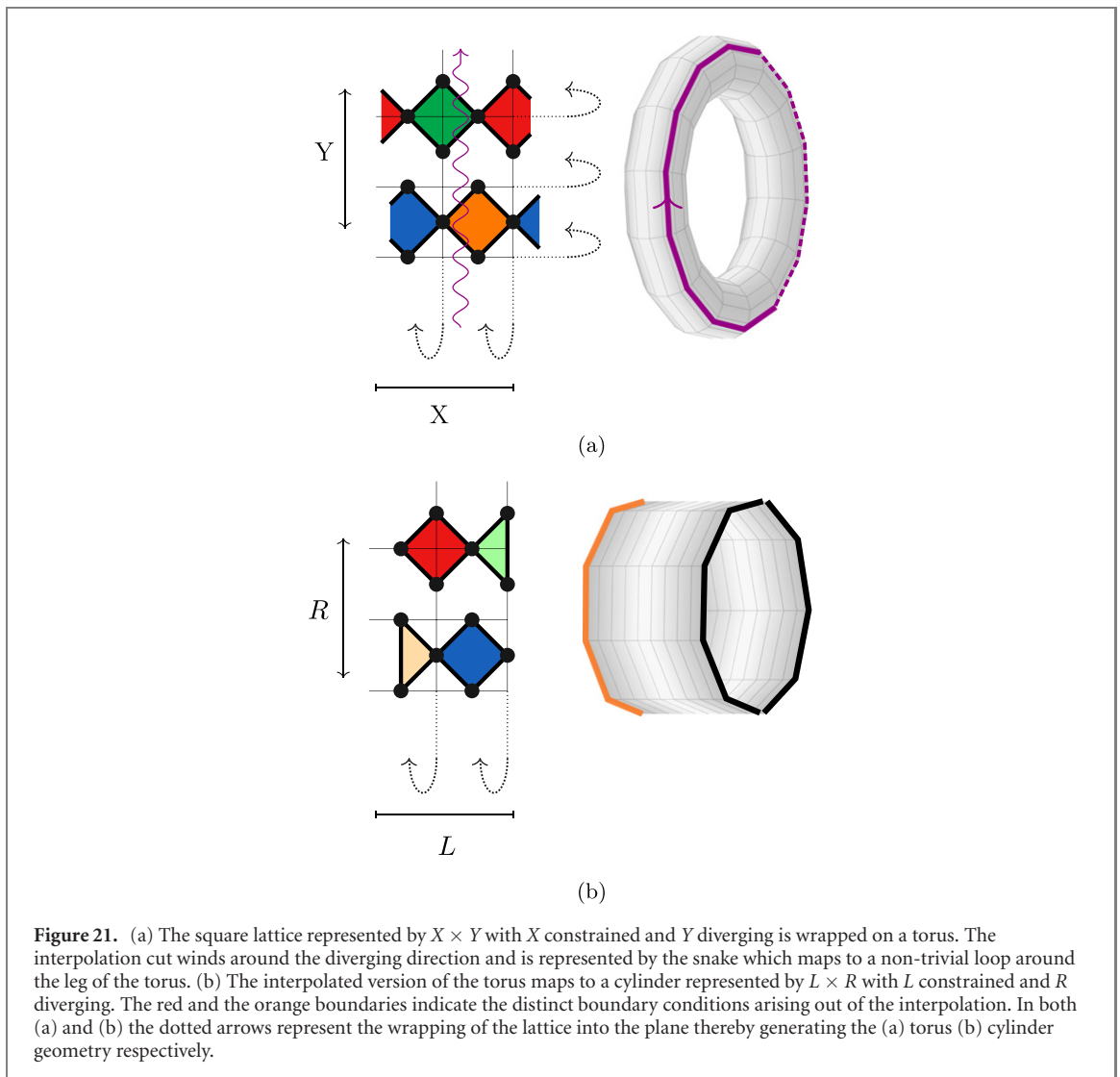


Figure 21. (a) The square lattice represented by $X \times Y$ with X constrained and Y diverging is wrapped on a torus. The interpolation cut winds around the diverging direction and is represented by the snake which maps to a non-trivial loop around the leg of the torus. (b) The interpolated version of the torus maps to a cylinder represented by $L \times R$ with L constrained and R diverging. The red and the orange boundaries indicate the distinct boundary conditions arising out of the interpolation. In both (a) and (b) the dotted arrows represent the wrapping of the lattice into the plane thereby generating the (a) torus (b) cylinder geometry respectively.

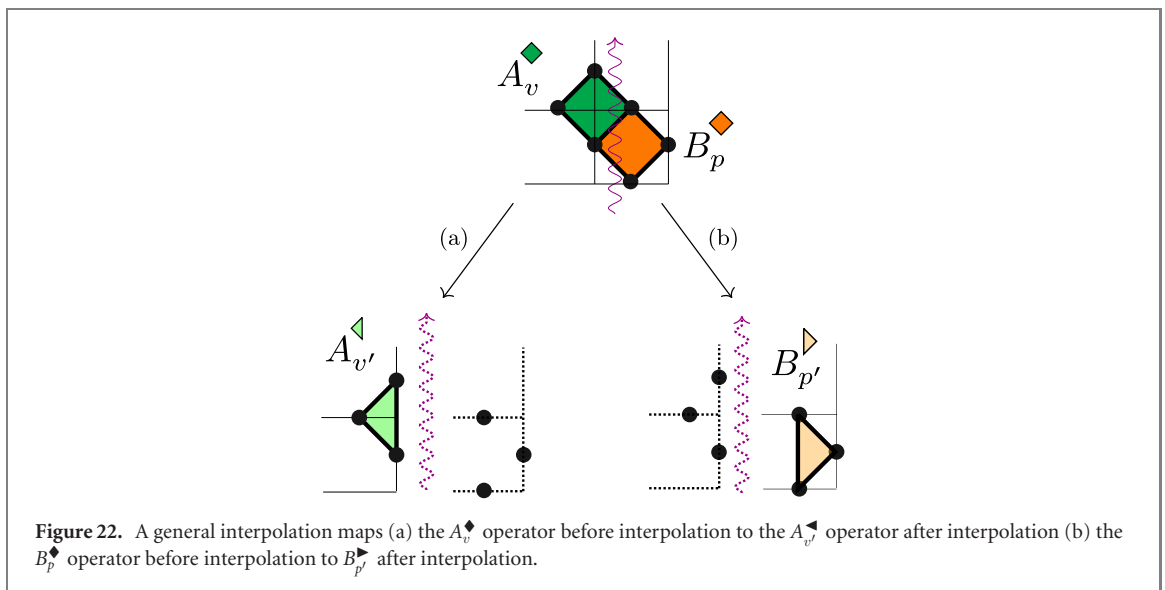
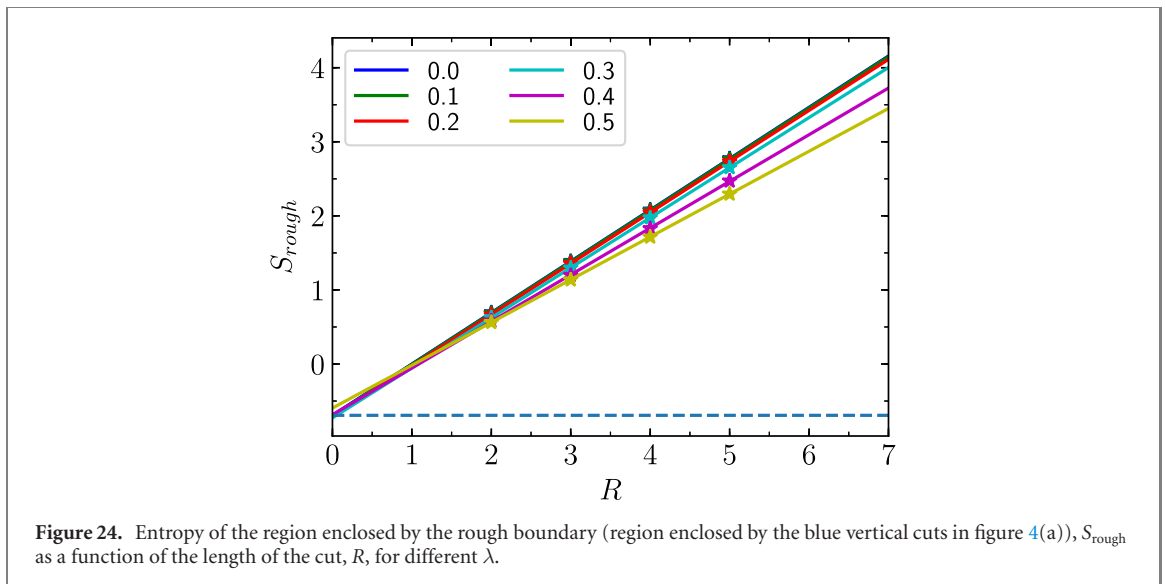
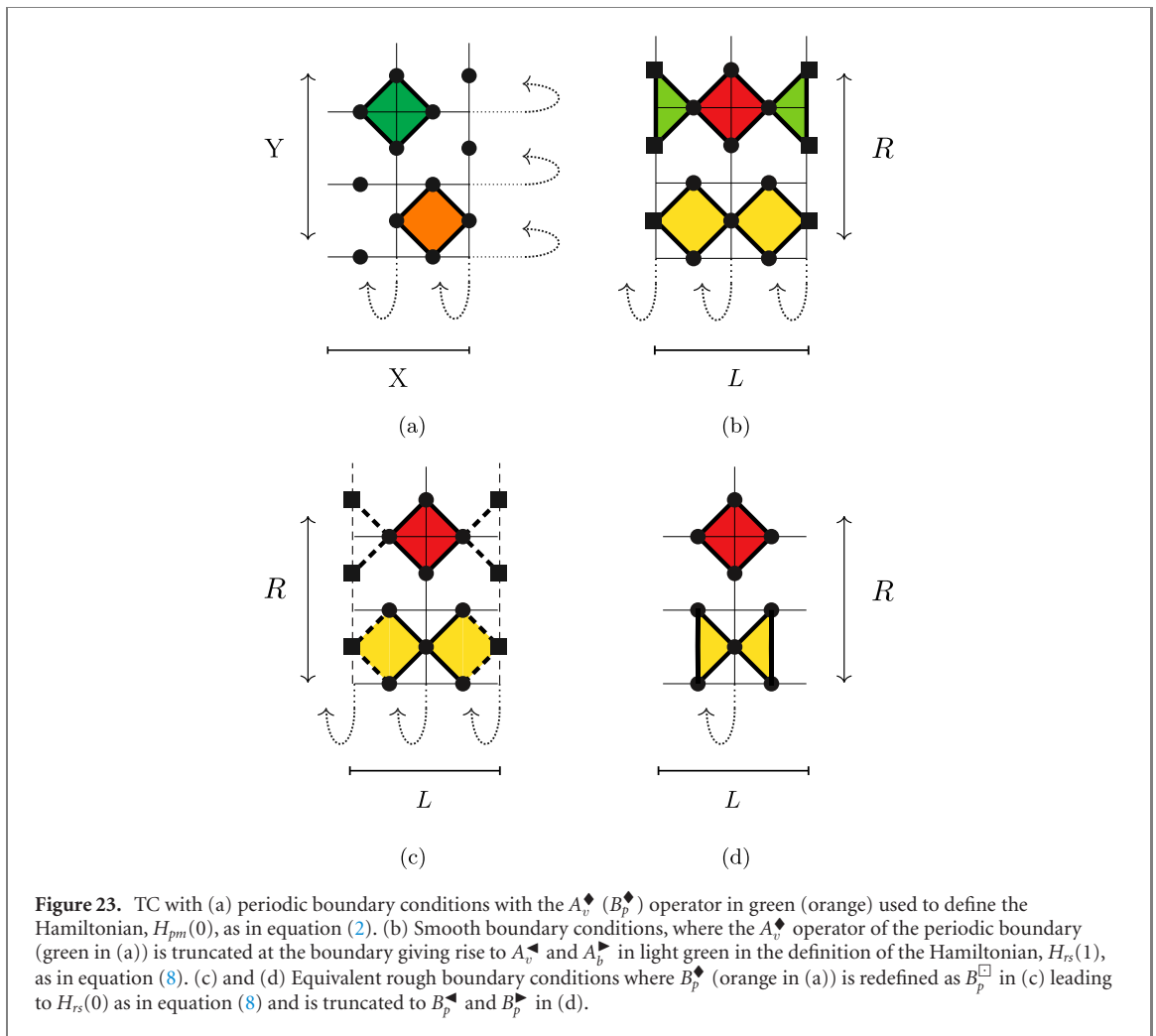


Figure 22. A general interpolation maps (a) the A_v^\blacklozenge operator before interpolation to the $A_{v'}^\blacktriangle$ operator after interpolation (b) the B_p^\blacklozenge operator before interpolation to $B_{p'}^\blacktriangle$ after interpolation.

Appendix D. Interpolating between mixed boundaries on either end

We interpolate between TC on a cylinder with mixed boundary conditions as in figure 26 (we interpolate between (a) and (b) as λ is varied from 0 to 1). The TPT is characterized by the energy gap closing at



$\lambda = 0.5$ and belongs to the class of $\tilde{G}_{\lambda=0} = \tilde{G}_{\lambda=1}$. There is neither parity conservation, as excitations can be singly drawn from the boundary, nor anyonic symmetry, due to the condensation properties at the boundary, for all λ , implying that it is not necessary that every TPT is accompanied by a broken symmetry. In the main discussion, we referred to the parity being broken with respect to e, m -type excitations without laying much emphasis on the choice of the boundary of the cylinder i.e. left or right physical boundary. We observe that by specifying the parity symmetry with respect to a particular physical boundary, allows us to

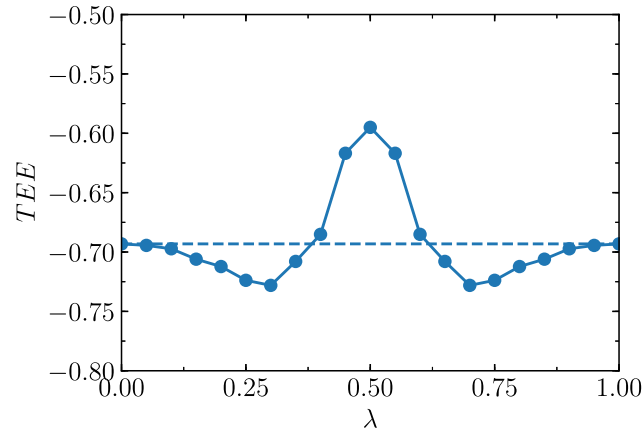


Figure 25. TEE as a function of the interpolation strength, λ , with rough boundary as the enclosed region.

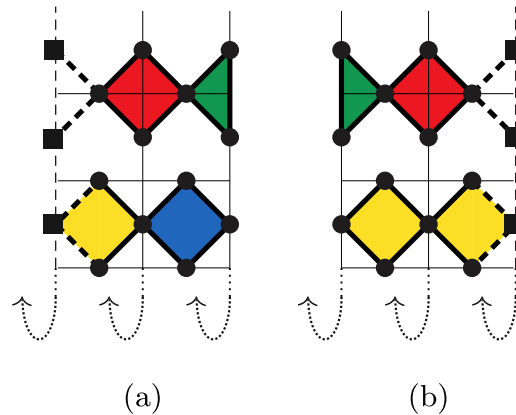


Figure 26. TC on a cylinder with mixed boundary conditions (a) rough boundary on the left and smooth boundary on the right (b) smooth boundary on the left and rough boundary on the right.

state the following: either a break in the parity with respect to a particular physical boundary or break in the anyonic symmetry is necessary and sufficient to characterize the presence of a TPT.

Extending the above implication to the current scenario, it is evident that the parity of $e(m)$ -type excitations is preserved with respect to the right (left) physical boundary in the limit of $\lambda = 0$, while is broken in the limit of $\lambda = 1$. Therefore, we have substantiated that imposing stronger conditions on the parity preservation leads to a bi-implication between the presence of TPT and the parity conservation, anyonic symmetries. The above statement may be generalized for any abelian quantum doubles, as the parity of atleast one of the superselection sectors is broken due to the condensation at the boundary.

Appendix E. TPT's with the domain wall intact

In every scenario discussed above, we have observed that the TPT is characterized by break in parity conservation of either e, m excitations or both due to the introduction of relevant boundary conditions. In this section, we present a scenario where the TPT is solely characterized by the break in anyonic symmetry with no conservation in parity, at all λ . To this extent, we consider the TC on a torus with domain wall ($\lambda = 0$) and instead of interpolating along the domain wall we cut through the periodic boundary as in figure 27 to a cylinder with mixed boundary with the domain wall intact ($\lambda = 1$). The interpolation encodes a TPT as the GSD in the limit of $\lambda = 0$ is 2 while in the limit of $\lambda = 1$ is 4.

In the limit of $\lambda = 0$, there is no conservation in parity due to the presence of domain wall although the anyonic symmetry is conserved. On the other hand at $\lambda = 1$ it is still possible to draw single excitations from the boundary thereby there is no conservation in parity while the anyonic symmetry is also broken due to the introduction of open boundaries. Therefore, in this case the TPT is solely characterized by the break in anyonic symmetry.

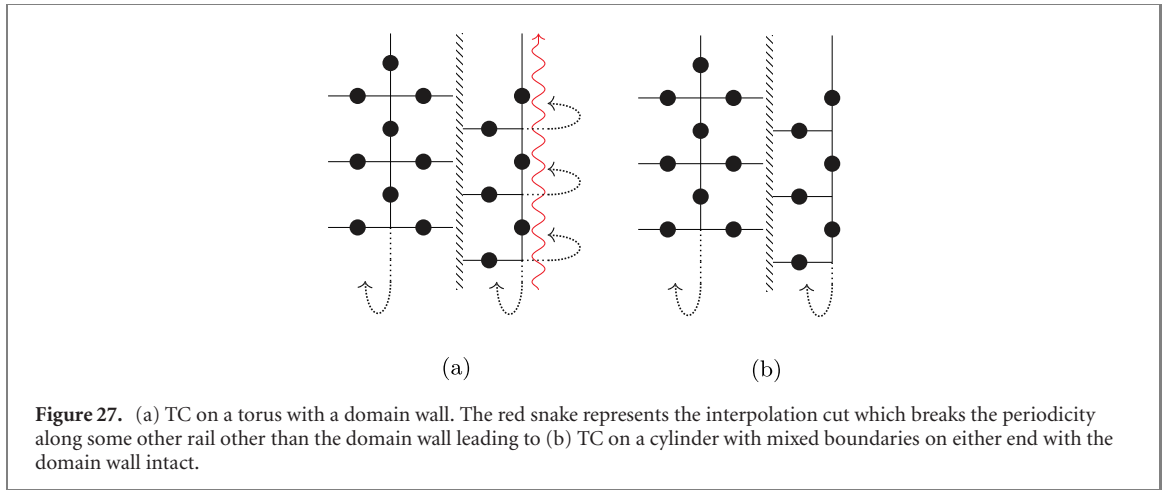


Figure 27. (a) TC on a torus with a domain wall. The red snake represents the interpolation cut which breaks the periodicity along some other rail other than the domain wall leading to (b) TC on a cylinder with mixed boundaries on either end with the domain wall intact.

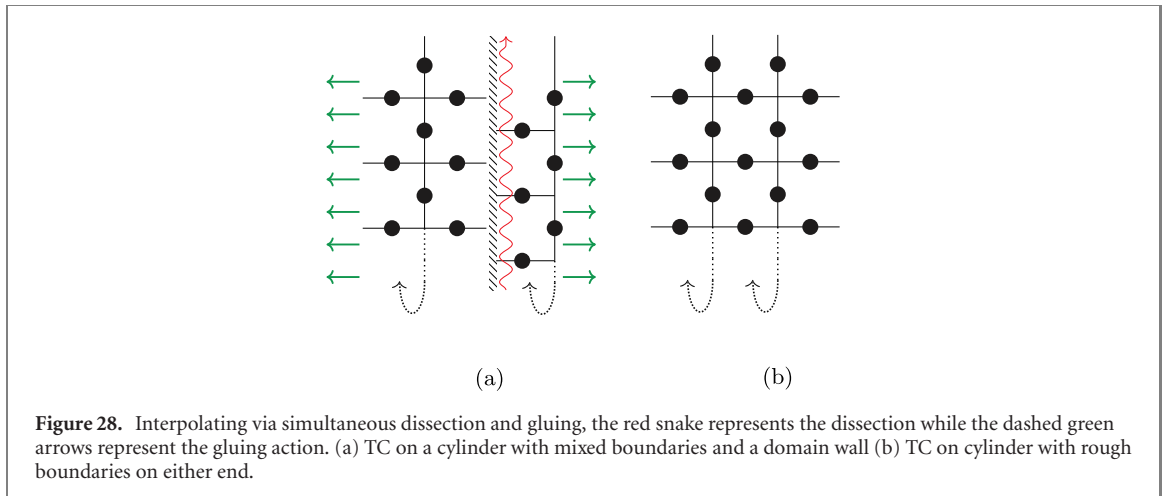


Figure 28. Interpolating via simultaneous dissection and gluing, the red snake represents the dissection while the dashed green arrows represent the gluing action. (a) TC on a cylinder with mixed boundaries and a domain wall (b) TC on cylinder with rough boundaries on either end.

Appendix F. TPT's arising out of simultaneous dissection and gluing

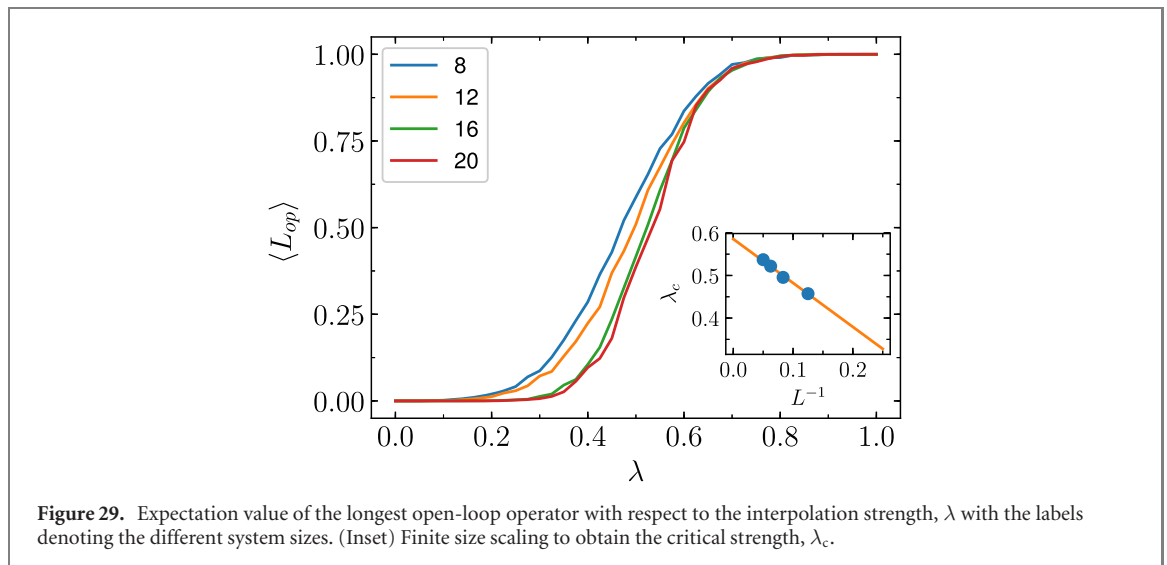
In this section, we introduce a TPT arising out of simultaneous dissection and gluing along two different boundaries. To this end, we consider the TC Hamiltonian on cylinder with mixed boundaries along with a domain wall in the limit of $\lambda = 0$, being mapped to TC Hamiltonian on a cylinder with a rough boundary at either end in the limit of $\lambda = 1$, see figure 28.

The TPT is marked by the change in GSD as it maps from 4 in the limit of $\lambda = 0$ to 2 in the limit of $\lambda = 1$. Additionally, we also note that the parity conservation is preserved with respect to m -type excitations in the limit of $\lambda = 1$ while it remains broken in the limit of $\lambda = 0$.

Appendix G. Dissipative interpolation via imperfect cooling

In section 5, we have introduced collapse operators whose action leaves the state invariant in the absence of the excitations or diffuse/annihilate the excitations when present. In this section, we introduce collapse operators as in equation (G1), where the $A_v(B_p)$ operators along the interpolation cut are additionally scaled by the relevant interpolation parameter.

$$\begin{aligned}
 c_v^t(\lambda) &= \frac{\sqrt{\gamma_v}}{2} (1 - \lambda) \sigma_z^{(i)} (\mathbb{1} - (1 - \lambda) A_v^\blacklozenge), \\
 c_f^t(\lambda) &= \frac{\sqrt{\gamma_f}}{2} (1 - \lambda) \sigma_x^{(j)} (\mathbb{1} - (1 - \lambda) B_f^\blacklozenge), \\
 c_v^o(\lambda) &= \frac{\sqrt{\gamma_v}}{2} \lambda \sigma_z^{(i)} (\mathbb{1} - \lambda A_v^\blacktriangle), \\
 c_f^o(\lambda) &= \frac{\sqrt{\gamma_f}}{2} \lambda \sigma_x^{(j)} (\mathbb{1} - \lambda B_f^\blacktriangle),
 \end{aligned} \tag{G1}$$



The key difference between these collapse operators and the ones introduced earlier, as in equation (10), is given by the fact that in the absence of excitations, the former induces additional excitations while the latter leaves the state invariant. To gain further insight into the phase transition, we compute the expectation value of the open-loop operator with respect to the interpolation strength, λ , see figure 29. By performing finite size analysis, we obtain $\lambda_c = 0.586 \pm 0.001$ which is lower compared to the earlier case.

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