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RARE DETECTION OF BORDETELLA PERTUSSIS-PERTACTIN DEFICIENT STRAINS IN ARGENTINA, A COUNTRY THAT USES THE WHOLE-CELL VACCINE FOR PRIMARY VACCINATION SERIES

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Abstract:	Pertussis resurgence had been attributed to waning vaccine immunity and Bordetella pertussis adaptation to escape vaccine-induced immunity. Circulating bacteria differ genotypically from strains used in pertussis- vaccine production. Pertactin-deficient-strains are highly prevalent in aP- vaccinating countries, suggesting strong aP-imposed selection of the circulating bacteria. To corroborate this hypothesis, systematic studies on PRN-prevalence performed in wP-using countries are needed. We present pertussis-epidemiological data and molecular characterization of B. pertussis isolates obtained during 2000-2017 in Buenos Aires, a wP- primary-vaccination-employing area. From 2002 pertussis-case incidences increased with regular 4-year outbreaks, with most cases

detected in infants under-one-year-old. From the total analyzed B. pertussis isolates, 90.6% (317/350) contained the ptxP3-ptxA1-prn2-fim3-2 allelic profile. Only two pertactin-deficient isolates were found by immunoblotting and sequencing techniques. The low prevalence of pertactin-deficient-strains detected in Argentina would suggest that the loss of pertactin-gene expression might be aP-vaccine-driven.



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Dear Editor

We would like to thank the editor and reviewer involved for sharing their time, attention and experience to review the manuscript [EID-19-0329]. All comments have helped to improve the manuscript. We have reduced and focused the manuscript in order to clarify the message discussing the PRN deficient strains in Argentina. We have deleted various paragraphs following the suggestions (not shown in the new version of the manuscript) and the suggested text modifications have been introduced (highlighted in yellow) in this revised version of the manuscript. Headings in the Methods and the Results were incorporated. The vaccine schedule was moved from Introduction to the Methods. We clarified the index of discrimination and resubmitted the figure 1 panels A and B as separate files.

We wish to resubmit "*Rare detection of Bordetella pertussis-pertactin deficient strains in Argentina, a country that uses the whole-cell vaccine for primary vaccination series*", for further consideration and publication in Emerging Infectious Diseases.

We look forward to your reply.

Sincerely,

Dra. Daniela Hozbor

RARE DETECTION OF BORDETELLA PERTUSSIS-PERTACTIN DEFICIENT STRAINS IN ARGENTINA, A COUNTRY THAT USES THE WHOLE-CELL VACCINE FOR PRIMARY VACCINATION SERIES

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Running title: B. pertussis PRN deficient strains in Argentina

1 ABSTRACT

2

3 Pertussis resurgence had been attributed to waning vaccine immunity and Bordetella 4 pertussis adaptation to escape vaccine-induced immunity. Circulating bacteria differ 5 genotypically from strains used in pertussis-vaccine production. Pertactin-deficient-6 strains are highly prevalent in aP-vaccinating countries, suggesting strong aP-imposed 7 selection of the circulating bacteria. To corroborate this hypothesis, systematic studies 8 on PRN-prevalence performed in wP-using countries are needed. We present 9 pertussis-epidemiological data and molecular characterization of *B. pertussis* isolates 10 obtained during 2000-2017 in Buenos Aires, a wP-primary-vaccination-employing 11 area. From 2002 pertussis-case incidences increased with regular 4-year outbreaks, 12 with most cases detected in infants under-one-year-old. From the total analyzed B. 13 pertussis isolates, 90.6% (317/350) contained the ptxP3-ptxA1-prn2-fim3-2 allelic 14 profile. Only two pertactin-deficient isolates were found by immunoblotting and 15 sequencing techniques. The low prevalence of pertactin-deficient-strains detected in 16 Argentina would suggest that the loss of pertactin-gene expression might be aP-17 vaccine-driven.

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19

20 INTRODUCTION

Vaccination against pertussis is mandatory worldwide. Two types of vaccines
are currently in use: whole cell vaccine (wP), which was the first vaccine developed
and acellular vaccine (aP) containing purified components of *Bordetella pertussis*,
formulated subsequently due to the adverse reactions associated with the wP (1).

Many countries continued using wP for the primary vaccination series and for the
boosters recommended for children under 7 years of age. The industrialized countries
have switched to aP vaccination. In the last two decades, however, the number of
pertussis cases detected increased to around 24.1 million per year, with approximately
161,000 deaths (2, 3). Though most cases occur in developing, developed countries
have also had large-scale outbreaks, even those nations with high vaccination rates (2,
4-6).

32 The main causes proposed for this worrisome pertussis epidemiology are: 33 vaccination coverages lower than the >90% recommended by the World Health 34 Organization (WHO), a waning of the vaccine-induced immunity (7, 8)—occurring 35 faster in the acellular vaccinated population—and an evolution of the circulating 36 bacteria to vaccine-immunity-evasive phenotypes (9, 10). The first reports on 37 bacterial evolution documented genetic polymorphisms encoding the proteins 38 included in the vaccines—e. g., pertactin (PRN) and pertussis toxin—and later in the 39 pertussis-toxin promoter (ptxP) (11, 12). More recently, a major increase in the 40 isolation of *B. pertussis* bacteria that do not express certain vaccine antigens was 41 reported (10, 13, 14). In countries using PRN-containing aP vaccines like the USA, 42 Canada, and Australia, the PRN-deficient isolates increased substantially in the last 4 43 years (10, 15, 16). The expansion of strains deficient in PRN in populations 44 vaccinated with PRN-containing aP vaccines indicates that such strains apparently 45 have a selective advantage in aP-vaccinated populations (17). To corroborate this 46 hypothesis, we undertook systematic studies on PRN prevalence in Argentina, a wP-47 using country. We monitored and analyzed the *B. pertussis*-population dynamics in 48 Buenos Aires (Argentina). Our aim was to assess whether or not PRN-deficient 49 strains were circulating in Buenos Aires and to analyze the results obtained in relation

50	to the vaccine used and the epidemiological situation of the disease in 2000-2017
51	period.
52	
53	MATERIALS AND METHODS
54	Population studied, clinical-case definition, and laboratory diagnosis
55	We used pertussis epidemiological data and samples collected during 2000-
56	2017 from the Pertussis Reference Laboratory in La Plata (Laboratorio VacSal.
57	Instituto de Biotecnología y Biología Molecular. Facultad de Ciencias Exactas,
58	Universidad Nacional de La Plata, CONICET La Plata, Buenos Aires). Data on
59	gender, age, duration of symptoms, vaccination status, and laboratory results were
60	collected.
61	Pertussis clinical-case was confirmed in patients by <i>B. pertussis</i> isolation in
62	culture, amplification of B. pertussis-specific DNA by PCR, or serology result of
63	pertussis toxin (PT) immunoglobulin G (IgG) >120 IU/mL. A confirmed case of
64	pertussis is also defined as a case that meets the clinical case definition and is
65	epidemiologically linked to a laboratory confirmed case (18, 19) (20).
66	
67	Vaccine schedule used in Buenos Aires
68	The wP was introduced in Argentina—a country of 44.9 million inhabitants—
69	in the 1970s and is still in use for the three primary doses at 2, 4, and 6 months and
70	for the two boosters at 18 months and school entry at 5–6 years in the public sector
71	(around 90% of the population). The aP vaccine is used in the private sector and for
72	the boosters in adolescents, healthcare workers in contact with infants under 12
73	months, household contacts of very-low-birth-weight infants, and during pregnancies.
74	Though in most of Argentina, the DTP3 (diphteria-tetanus-pertussis-
75	containing vaccine as a third dose) coverage during recent years ranged between
76	91.0% and 95.0%, in certain jurisdictions that figure was 80.0% or lower (21). The

77	official coverages for adolescent boosters and maternal-immunization for 2015, 2016,
78	and 2017 were 75.3, 81.9%, and 88.0%, and 61.7%, 65.6%, and 67.0%, respectively.
79	
80	Samples and bacterial-growth conditions
81	The Pertussis Reference Laboratory samples included nasopharyngeal
82	specimens from 16,151 hospitalized patients from Buenos Aires with signs of
83	pertussis infection. These samples were routinely screened for <i>B. pertussis</i> by culture
84	and PCR. <i>B. pertussis</i> culture was performed on Regan-Lowe agar (Difco)
85	supplemented with 15% (v/v) defibrinated fresh sheep blood at 36.5 °C and
86	monitored for 10 days. Suspected colonies were replicated in Bordet-Gengou agar
87	(Difco) supplemented with 15% (v/v) defibrinated fresh sheep blood. Colonies
88	exhibiting hemolysis were Gram-stained and tested by agglutination with <i>B</i> .
89	pertussis-specific antiserum (Murex Diagnostic, Dartfort, England) and PCR (22, 23).
90	The isolates were also biochemically typed by the API-20-NE system (bioMérieux,
91	Marcy l'Étoile, France).
92	The isolates were stored at -80 °C in 1% (w/v) Casaminoacid solution
93	containing 20% (v/v) glycerol. B. pertussis strain Tohama phase I (Collection de
94	l'Institut Pasteur) was also grown on Bordet-Gengou agar at 36.5 °C for 72 h.
95	B. pertussis-isolate characterization
96	Genotyping
97	Total <i>B. pertussis</i> isolates (n=350) collected in Buenos Aires from January

98 2000 through December 2017 were included in the analyses (Table 1).

99	For genotypification, the pertussis-toxin-promoter (<i>ptxP</i>), pertussis-toxin-A-subunit
100	(ptxA), PRN (prn), and fimbriae-type-3 (fim3) loci were PCR-amplified with the
101	respective primers indicated in Table 2 and sequenced as previously described (24-
102	32). The isolates were also screened for an array of mutations causing deficiency in
103	the immunogen PRN through PCR amplification and molecular sequencing (26, 29).
104	Primers CCCATTCTTCCCTGTTCC AT and GCCTGAGCCTGGAGACTGG (26)
105	were used to amplify the complete prn gene (26). These primers in combination with
106	internal primers were used to sequence the complete gene.
107	The discriminatory power of the MLST technique here used was calculated by
108	year using the equation reported by Hunter et al (33). This equation is based on the
109	probability that two unrelated strains sampled from the test population will be placed
110	into different typing groups. Thus, the index can take any value between 0 and 1, the
111	former representing the lowest discriminatory capacity meaning that all the strains

112 being in a single genotyping group (lowest diversity) and the latter representing the

- 113 largest discriminatory capacity indicating high genotypic diversity among the isolates.
- 114

115 **PRN immunoblotting**

For this assay, 2 x10¹⁰ colony-forming units of *B. pertussis* isolates were treated with Laemmli sample buffer and the extracts run on 12.5% (w/v) sodium-dodecylsulfatepolyacrylamide gels. After electrophoresis, the proteins were transferred from the polyacrylamide to a polyvinylidenphosphate membrane (Immobilon P, Millipore) and were incubated with a 1:2,500 dilution of PRN-specific polyclonal immune sera. This was obtained from BALB/c mice immunized with purified *B. pertussis* 69-kDa PRN

- 122 (NIBSC Code N° 90/654 version 4). Alkaline-phosphatase-labelled sheep anti(mouse
- immunoglobulins) was used for detecting the immune complexes. Nitroblue
- tetrazolium and 5-bromo-4-chloro-3-indolyl phosphate were the phosphatase
- 125 chromogenic substrates (Biodynamics SRL, Buenos Aires, Argentina). B. pertussis
- 126 Tohama strain was served as the PRN-positive control.

127 **Serotype analysis**

- 128 Serotype analysis was also performed using an agglutination assay with
- 129 monoclonal antibodies against fimbriae type 2 (anti-Fim2 mAb; NIBSC 04/154) and
- 130 fimbriae type 3 (anti-Fim3 mAb, NIBSC, 04/156) according to the EU laboratory
- 131 group recommendations (34).

132 **Results**

133 Pertussis epidemiology in Buenos Aires

134 In this section we describe the epidemiology of pertussis in Buenos Aires (the 135 most populated province of Argentina) during 2000–2017. During these years, the 136 Pertussis Reference Laboratory located at La Plata city received 75% of the total 137 clinical samples (nasopharyngeal samples) from pertussis-suspected cases detected in 138 Buenos Aires and reported to the Ministry of Health, including a total of 16,151 139 samples from which 3,220 (19.9%) were laboratory-confirmed cases. Two thousand 140 and eight hundred seventy samples were positive by PCR for B. pertussis-specific 141 genes and 350 samples were positive by PCR and culture. 142 The provincial cases-per-year distribution reflected the pattern of the whole 143 country with the three outbreaks detected, in 2008, 2011, and 2016 (Fig. 1 Panels A 144 and B). In each year of the period analyzed, most of the cases were detected in the

145 groups of 0-to-2-month (m)– and 2-to-4-month–old infants (Fig. 2). The high

- 146 proportion of cases recorded in patients younger than 6 months was expected since
- 147 pertussis is most severe in that age group.
- Regarding the distribution of confirmed-pertussis-case according to patientage and vaccination status, of the confirmed cases, in 72.6% (2338/3220) those data
 were complete, with 26.5% (619/2338) non-vaccinated because of their age that was
 lower than 2 months. Of the total infants with less than 6 m, 45.3% received complete
 age-specific vaccination schedules, respectively. The percentage of patients with
- uge speeme vacemation senedates, respectively. The percentage of patients with
- 153 complete schedules for children above 6 months was 53.7% and for adolescents above
- 154 11 years only 6.4%. Though this last percentage is low, this age group contained
- 155 considerably fewer individuals than those below age 6 months (44 individuals vs.
- 156 1,590 children above 6 months).
- 157

158 *B. pertussis* genotyping

159 Almost all *B. pertussis* isolates analyzed contained the *ptxA1* (99.7%) and 160 prn2 (98.8%) alleles. The clinical isolates obtained during 2000-2004 period harbored up to 4 different MLST genotypes (Fig. 3). The index of discrimination calculated by 161 year for this period ranged from 0.25 to 0.80. The highest value (higher diversity) was 162 detected in 2000. The *ptx*P1 or *ptx*P4 variant was detected before 2004; thereafter the 163 164 ptxP3 locus prevailed. The majority of the isolates obtained after 2004 were of the 165 ptxP3-ptxA1-prn2-fim3-2 genotype (291/350, 83.1%). For the 2004-2017 period, the 166 index of discrimination ranged from 0 to 0.24, indicating the lowest diversity 167 detected. 168

169 **Fimbriae serotyping**

170 From the total tested isolates	(n=350) only	1 obtained in 2016 was	s classified
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171 as Fim2 whereas the rest of the isolates were Fim3.

172 **PRN Immunoblots**

- 173 Only two of the total *B. pertussis* isolates included in this study were PRN-deficient.
- 174 Both strains were obtained from patients below age 1 year with typical pertussis
- symptoms. These cases were linked in time (2016) but not geographically. One of 175
- these patients was born to mother vaccinated with a PRN-containing aP vaccine and 176
- 177 the other to non-vaccinated mother. For these two strains we detected IS481 sequence
- 178 (in fw sense) at position 1613-1614 of prn disrupting the gene.
- 179

180 DISCUSSION

179	
180	DISCUSSION
181	We undertook a molecular-genetic characterization of the total B. pertussis
182	isolates (n=350) obtained during 2000-2017 period from hospitalized patients in
183	Buenos Aires, Argentina. Buenos Aires as the whole country uses only wP for
184	primary series of pertussis vaccinations. The majority of <i>B. pertussis</i> isolates were
185	obtained during the outbreaks detected in 2007-2008 (n=83); 2011-2012 (n=145) and
186	in 2016-2017 (n=45). Seventy eight percent of the total isolates became from
187	patients with less than 6 months of age, 13,7% from patients with ages that range
188	from 6 to 12 months and 8.3% from patients with $>$ 12 months. As expected the
189	majority of the <i>B. pertussis</i> isolates became from unvaccinated individuals because of
190	the age or incomplete vaccinated for age. As was detected in other countries, almost
191	all isolates here characterized were classified as Fim3 serotype (35).
192	Of the total 350 isolates, the variants $ptxP1$ and $ptxP4$, and the allele $prn1$
193	were detected before 2004. After 2004, the total isolates obtained (n=313) carried

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194	ptxP3-ptxA1-prn2 alleles with the fim3-1 or fim3-2 combination. These genotypes
195	differed from those of the vaccine-production strains (36) and were the most common
196	in other countries that were highly vaccinated (35).
197	The polymorphism in PRN first described and the subsequent spread of PRN-
198	deficient isolates have elicited a deep concern in the healthcare system since these
199	changes hypothetically might represent a selective avoidance by the bacteria of the
200	immunity induced by the vaccines. The prn2 predominance detected in the more
201	recent Buenos-Aires isolates agrees with the hypothesis that strains in the vaccinated
202	population with that allele are fitter than those harboring other <i>prn</i> alleles (37).
203	As to a deficiency in PRN expression, we detected only two isolates
204	containing an IS481 in the coding region of <i>prn</i> . These isolates were obtained from
205	patients with less than 1 year of age linked in time (obtained in 2016) but not in place.
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206	One of these patients was born to a mother vaccinated with a PRN-containing aP
206 207	vaccine and the other to non-vaccinated mother.
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207 208	vaccine and the other to non-vaccinated mother. We were interested to note that we had previously received practically no
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219 This low frequency of PRN-deficient strains in regions where wP is still in use 220 supports the proposed hypothesis that PRN-deficient clinical isolates present an 221 advantage in an aP-vaccine-primed immunity (41). Accordingly, PRN-deficient 222 clinical isolates were able to overcome an anti-PRN-mediated inhibition of 223 macrophage cytotoxicity *in vitro* (42). Moreover, a recent study revealed that recently 224 collected PRN-deficient *B. pertussis* clinical isolates harboring a *ptx*P3 variant and the 225 prn2 allele remained at higher colony-forming units/lung and were capable of 226 sustaining infection longer in aP-immunized mice than isolates still producing the 227 protein (42). The authors of that study speculated that these particular isolates might 228 thus be capable of infecting immunized individuals at an earlier stage of waning 229 immunity after aP-vaccine immunization or post-infection, thus having an advantage 230 over isolates producing PRN. These findings of Hegerle et al. (42) are consistent with 231 those recently reported by Safarchi et al. (17) indicating a higher fitness of PRN-232 negative strains in aP-immunized mice. These latter authors demonstrated in a mixed-233 infection model in which PRN-negative B. pertussis colonized the respiratory tract of 234 aP-immunized mice more effectively than the PRN-positive strain, thus outcompeting 235 that strain (17).

236 Regarding a possible association between clinical findings and the PRN 237 expression of the bacterial isolates that caused the human infections; recent studies 238 suggest that symptoms (with the exception of apneas which was less likely in PRN 239 deficient infections) and clinical course were similar regardless PRN expression (14, 240 41). Clarke et al (2015) added new data on this subject that suggest that the rapid 241 emergence of PRN deficient *B. pertussis* variants is unlikely to contribute to any 242 greater risk of death or severe outcomes from infections in young, vulnerable infants 243 <mark>(43).</mark>

Studies like the one reported here support the ongoing hypothesis regarding the pathogen adaptation of *B. pertussis* to the type of vaccine used. A key finding in this work was that the use of the wP in the primary series of vaccinations correlated with a near-complete absence of PRN-deficient strains even though the aP vaccine was employed in subsequent regimes. A continued surveillance for PRN production in circulating *B. pertussis* is needed, as well as a monitoring of other possible

- 250 genotypic changes in the *B. pertussis* population, including a lack of expression of
- 251 other immunogens contained in acellular vaccines.
- 252

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256 PMA and EB are fellows from CONICET. VR is a student of the Biochemistry career

and MG is a support professional from CONICET

258 Dr. Donald F. Haggerty, a retired academic career investigator and native English

speaker, edited the final version of the manuscript.

- 260 CONFLICT OF INTEREST STATEMENT
- The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

264

265 AUTHORS AND CONTRIBUTORS

DFH planned the study, interpreted data, and wrote the manuscript. DB,
planned the study, interpreted data, and edited the figures and manuscript. FC,
VR, PMA, GM and EB performed experiments and laboratory analyses. All
authors approved the final manuscript.

270

271 **REFERENCES**

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420 Table 1: Immunization status of the patients infected with the *B. pertussis*

421 strains studied

Year	Number	Patient information						
	of	< 2 m of age $< 6 m$		of age 6-12 m of age		> 12 m of age		
	strains	Unvaccinated	Incomplete	Complete	Incomplete	Complete	Incomplete	Complete
		because of	vaccination	vaccination	vaccination	vaccination	vaccination	vaccination
		age	schedule	schedule	schedule	schedule	schedule	schedule
2000	7	3	1	1	2			
2001	7	2	1	1	3			
2002	5	3	1	1				
2003	9	4	2	2	1			
2004	9	3	2	2	2			
2005	6	3	1	1	1			
2006	6		3		3			
2007	38	10	10	9	6	3		
2008	45	21	10	6	4		4	
2009	7	3	2	2				
2010	6	4	2					
2011	86	40	20	16	2	4		4
2012	59	20	15	10		9	5	
2013	6	3				3		
2014	3		2			1		
2015	6	3	1	1			1	
2016	32	12	1	8	2		1	8
2017	13	3	1	1		2		6

422 Complete Vaccination Schedule refers to that the individual according to its 423 age received the total number of doses indicated in the National Vaccination

424 Calendar.

425 Incomplete Vaccination Schedule refers to that the individual according to its

426 age did not receive the total number of doses indicated in the National

- 427 Vaccination Calendar
- 428

429 **Table 2.** Primers used in the polymerase-chain reaction

Gene	Primer Sequence	References
<i>ptx</i> P	F: 5'-AATCGTCCTGCTCAACCGCC-3' R: 5'-GGTATACGGTGGCGGGAGGA-3'	(27, 28)
<i>ptx</i> A	F: 5'-CCCCTGCCATGGTGTGATC-3' R: 5'-TCAATTACCGGAGTTGGGCG-3'	(29)
prn	F: 5'-CAATGTCACGGTCCAA-3' R: 5'-GCAAGGTGATCGACAGGG-3'	(26)
fim3	F: 5'-GACCTGATATTCTGATGCCG-3' R: 5'-AAGGCTTGCCGGTTTTTTTTGG-3'	(31)

431

432 LEGENDS TO THE FIGURES

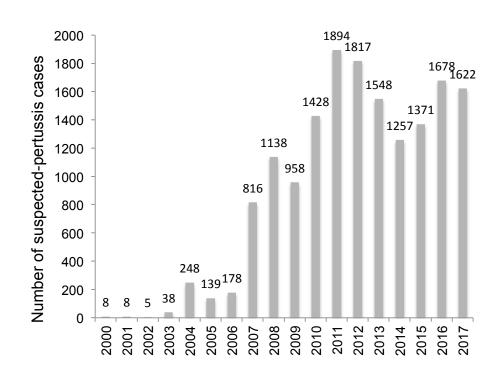
- 433 Fig. 1. Panel A. Number of suspected-pertussis cases reported to the Reference
- 434 Laboratory per year during 2000–2017 in the Buenos-Aires province. Panel B.
- 435 Number of laboratory-positive pertussis cases during those same years. The numbers
- 436 above the bars denote the precise *ordinate* values.
- 437
- 438 Fig. 2. Number of laboratory-positive pertussis cases according to age during 2000–
- 439 2017 for the seven cohorts between ages 0 and >11 years (*cf.* key to bar textures). The
- 440 age groups did not include the patients with ages on the border.
- 441 **Fig. 3.** Percentage of multi-locus-sequence-typing genotypes (*cf.* bar-texture key)

elien

442 among isolates collected between 2000 and 2017 in Buenos Aires, Argentina

443

Figure 1 Carriquiriborde et al

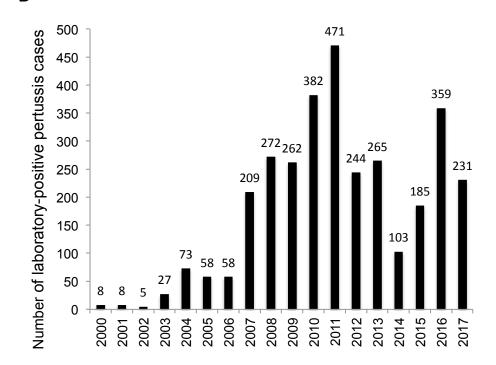


Α

Year

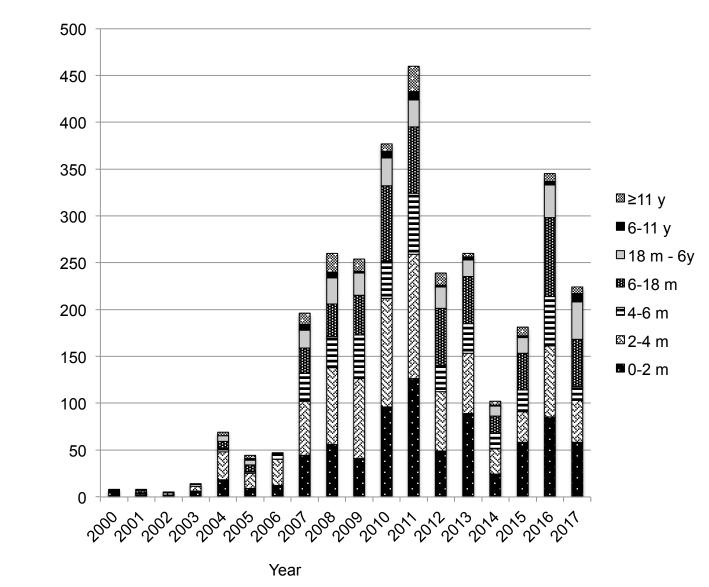
Figure 1 Carriquiriborde et al

В



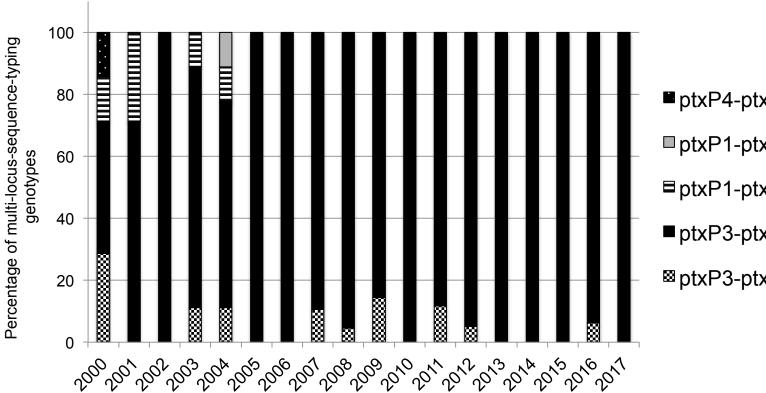
Year

Number of laboratory-positive pertussis cases



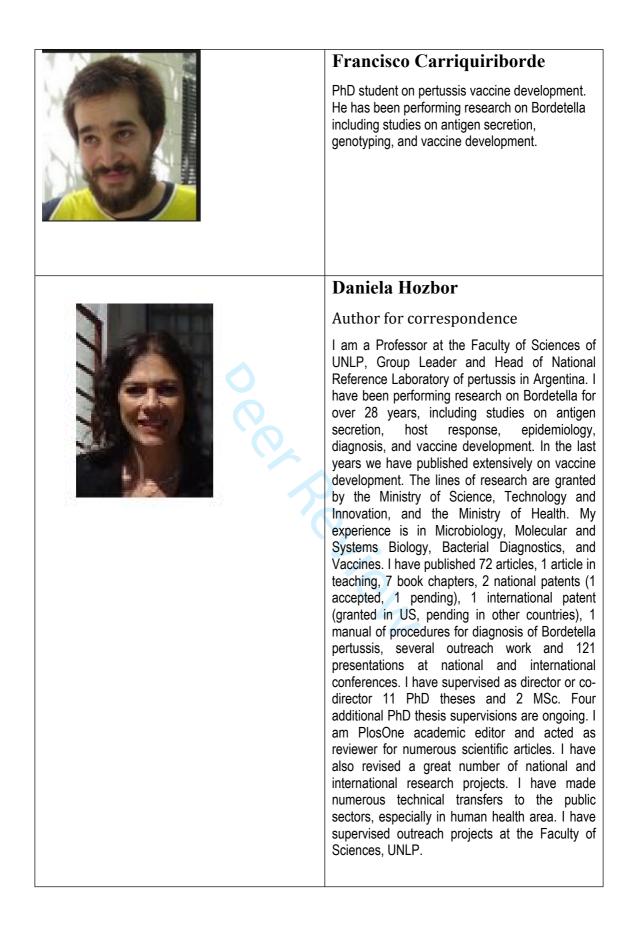
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Figure 3 Carriquiriborde et al



ptxP4-ptxAno1,prn2, fim3-1
ptxP1-ptxA1,prn1, fim3-2
ptxP1-ptxA1,prn1, fim3-1
ptxP3-ptxA1,prn2, fim3-2
ptxP3-ptxA1,prn2, fim3-1

Year



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