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### The T-type calcium channel isoform $Ca_v3.1$ is a target for the hypnotic effect of the anaesthetic neurosteroid $(3\beta,5\beta,17\beta)-3$ -hydroxyandrostane-17-carbonitrile

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### **Abstract**

**Background:** The mechanisms underlying the role of T-type calcium channels (T-channels) in thalamocortical excitability and oscillations in vivo during neurosteroid-induced hypnosis are largely unknown.

Methods: We used patch-clamp electrophysiological recordings from acute brain slices ex vivo, recordings of local field potentials (LFPs) from the central medial thalamic nucleus in vivo, and wild-type (WT) and  $Ca_v3.1$  knock-out mice to investigate the molecular mechanisms of hypnosis induced by the neurosteroid analogue (3 $\beta$ ,5 $\beta$ ,17 $\beta$ )-3-hydroxyandrostane-17-carbonitrile (3 $\beta$ -OH).

Results: Patch-clamp recordings showed that 3 $\beta$ -OH inhibited isolated T-currents but had no effect on phasic or tonic  $\gamma$ -aminobutyric acid A currents. Also in acute brain slices, 3 $\beta$ -OH inhibited the spike firing mode more profoundly in WT than in Ca<sub>v</sub>3.1 knockout mice. Furthermore, 3 $\beta$ -OH significantly hyperpolarised neurones, reduced the amplitudes of low threshold spikes, and diminished rebound burst firing only in WT mice. We found that 80 mg kg<sup>-1</sup> i.p. injections of 3 $\beta$ -OH induced hypnosis in >60% of WT mice but failed to induce hypnosis in the majority of mutant mice. A subhypnotic dose of 3 $\beta$ -OH (20 mg kg<sup>-1</sup> i.p.) accelerated induction of hypnosis by isoflurane only in WT mice, but had similar effects on the maintenance of isoflurane-induced hypnosis in both WT and Ca<sub>v</sub>3.1 knockout mice. *In vivo* recordings of LFPs showed that a hypnotic dose of 3 $\beta$ -OH increased  $\delta$ ,  $\theta$ ,  $\alpha$ , and  $\beta$  oscillations in WT mice in comparison with Ca<sub>v</sub>3.1 knock-out mice. Conclusions: The Ca<sub>v</sub>3.1 T-channel isoform is critical for diminished thalamocortical excitability and oscillations that underlie neurosteroid-induced hypnosis.

Keywords: calcium channel; electrophysiology; hypnosis; mechanisms of anaesthesia; neurosteroid; thalamus

### Editor's key points

- ullet The anaesthetic neurosteroid analogue 3eta-OH induces hypnosis in rat pups without triggering neuronal apoptosis, but its mechanisms of action are largely unknown.
- The authors investigated the role of T-type calcium channels (T-channels) in thalamocortical excitability and oscillations in vivo during neurosteroid-induced hypnosis.
- Effects of 3β-OH on the Ca<sub>v</sub>3.1 T-channel isoform were essential for the diminished thalamocortical excitability and oscillations that underlie neurosteroidinduced hypnosis.
- These studies may enable novel and potentially safer approaches in clinical anaesthesia.

The neurosteroid analogue (3β,5β,17β)-3-hydroxyandrostane-17-carbonitrile (3β-OH) induces hypnosis in rat pups without triggering neuronal apoptosis. However, the specific mechanisms underlying 3β-OH-induced hypnosis are largely unknown. Many neuroactive steroids (neurosteroids) act as  $\gamma$ aminobutyric acid A (GABA<sub>A</sub>) receptor potentiators, <sup>2–5</sup> but we reported that 3β-OH blocks low voltage-gated calcium channels or T-type calcium channels (T-channels) in the thalamic reticular nucleus and in sensory neurones of the dorsal root ganglion.6,7

The central medial nucleus (CeM) of the thalamus is part of the non-specific thalamus, with diffuse projections to the anterior and posterior regions of the cerebral cortex and many subcortical structures.8 It has been proposed that the CeM acts as a key hub through which general anaesthesia and natural sleep are induced.9 We have shown that T-channels can modulate excitability of rat CeM neurones<sup>10</sup> and that the Ca<sub>v</sub>3.1 isoform of T-channels in CeM neurones is important for isoflurane-induced oscillations in vivo during anaesthesia. 11

Given the essential role of T-channels in excitability and oscillations in thalamocortical neurones, we hypothesised that Ca<sub>v</sub>3.1 channels are important for neurosteroid-induced hypnosis and thalamocortical oscillations. We investigated the effects of  $3\beta$ -OH on excitability of CeM neurones in an ex vivo slice preparation; we also used mouse genetics in vivo to correlate the hypnotic effects of 3β-OH with thalamocortical oscillations using thalamic local field potentials (LFPs) and cortical EEG recordings from wild-type (WT) and Cav3.1 knockout (KO) mutant mice.

### **Methods**

### Animals

Experimental procedures with animals were performed according to a protocol approved by the Institutional Animal Care and Use Committee of the University of Colorado Anschutz Medical Campus, Aurora, CO, USA. Treatments of animals adhered to guidelines set forth in the NIH Guide for the Care and Use of Laboratory Animals. All efforts were made to minimise animal suffering and to use only the necessary number of animals to produce reliable scientific data. Male juvenile C57BL/6J (WT) and Ca<sub>v</sub>3.1 KO mice (postnatal days 30-35 [P30-P35]) were used for ex vivo electrophysiological recordings whereas older male mice (3 months) were used for behavioural and in vivo electrophysiological experiments. C57BL/6 mice were

obtained from the Jackson Laboratory (Bar Harbor, ME, USA) whereas Ca<sub>v</sub>3.1 KO mice (α1G null) (Riken BioResources Centre, Tsukuba, Ibaraki, Japan) were generated on the C57BL/6 background as described. 12 All animals were maintained on a 14/10 h light-dark cycle with food and water ad libitum. Behavioural assessments for in vivo experiments were done in a blinded fashion. Details of specific experimental procedures can be found in the Supplementary Material.

### **Results**

Voltage-dependent inhibition of T-currents by 3β-OH in WT mice

We first examined the effects of 3 μM 3β-OH on well-isolated Tcurrents in CeM neurones from adolescent WT mice using acute brain slices as we have previously determined that this is a relevant brain concentration during hypnosis. Representative current traces from a steady-state inactivation protocol are presented in Fig 1a. The left panel shows traces of inward Tcurrent of a CeM neurone under control conditions, and the right panel shows traces from the same neurone in the presence of 3β-OH, both recorded using a tetramethylammonium with adenosine triphosphate (TMA with ATP) internal solution.  $3\beta$ -OH stabilised inactive states of the channel and shifted inactivation V<sub>50</sub> values of about -5 mV (Fig 1b). Furthermore, 3β-OH reduced current density up to 45% over a wide range of membrane potentials (from -120 to -90 mV) when compared with control conditions (Fig 1c). Similarly, with Cs with ATP internal solution, 3β-OH produced a smaller but significant hyperpolarising shift in V<sub>50</sub> values of about 3 mV (Fig 1d), and the current amplitude decreased by about 30% (Fig 1e).

In contrast, perfusion with the vehicle (0.1% dimethylsulphoxide [DMSO]) did not significantly alter inactivation V<sub>50</sub> and T-current density (Supplementary Fig. S1a and b, respectively).

Different effects of alphaxalone and 3β-OH on GABA<sub>A</sub>

Most neurosteroids prolong the decay of miniature inhibitory postsynaptic currents (mIPSCs). 13 We recorded mIPSCs in CeM neurones to compare the effects of  $3\beta$ -OH and alphaxalone  $(5\alpha$ -pregnan- $3\alpha$ -ol-11,20-dione), a GABAergic neurosteroid. Representative traces from these recordings are shown in Fig 2a. Only alphaxalone significantly increased decay tau of mIPSCs by about two-fold compared with control conditions (Fig 2b and c). The amplitudes of mIPSCs were not different from baseline for either neurosteroid (Supplementary Fig. S2a and b), whereas mIPSC frequency was significantly decreased by alphaxalone but not by 3β-OH (Supplementary Fig. S2c and d). These data confirm that, like in the subiculum of rat pups,  $^{14}$  $3\beta$ -OH inhibits T-currents but does not affect synaptic GABA<sub>A</sub> receptor currents in the CeM. In addition, we recorded picrotoxin-sensitive tonic GABAA current and found that alphaxalone potently enhanced it, whereas  $3\beta$ -OH had no significant effect (Fig 2d).

### 3β-OH reduces spike firing in CeM neurones

We examined the effects of  $3\beta$ -OH on the output of CeM neurones using current-clamp recordings from acute brain slices ex vivo. Representative traces after depolarising (125 pA) and hyperpolarising (-75 pA) current injection under baseline conditions in WT mice are presented in Fig 3a. Note that  $3\beta$ -OH

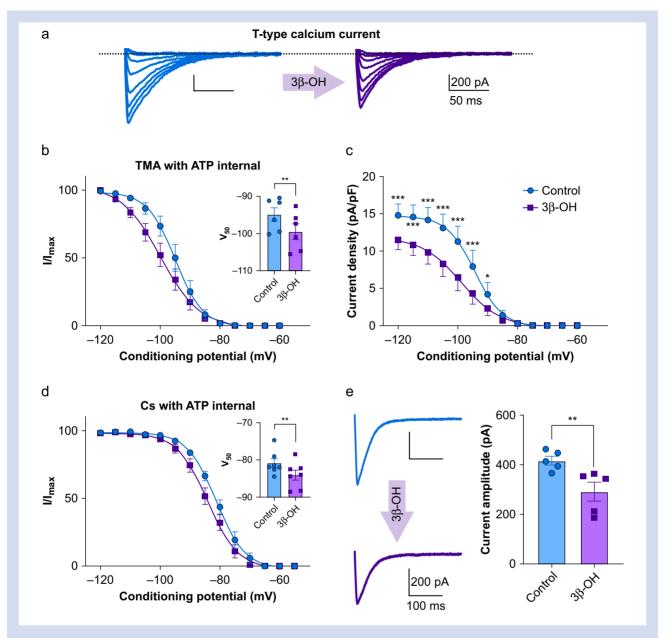


Figure 1. Inhibition of T-currents by  $3\beta$ -OH in CeM neurones. (a) Original traces of T currents in a representative CeM neurone in control conditions recorded using a double-pulse protocol with 3.6 s long prepulses to variable voltages (from -115 to -80 mV in 5 mV increment; blue); traces from the same cell using the identical voltage protocol during an apparent steady-state inhibition of T-current by 3 μM 3β-OH (purple) recorded with TMA with ATP internal solution. (b) Average normalised steady-state inactivation (I/I<sub>max</sub>) curves in control conditions and after application of 3β-OH in the same cells. 3β-OH induced a hyperpolarising shift in V<sub>50</sub> of 4.6 mV; the average V<sub>50</sub> value for steady-state inactivation was -94.9 (1.8) mV in control conditions (blue; six cells, three animals). After  $3\beta$ -OH perfusion of the same cells, the  $V_{50}$  value was -99.5 (2.1) mV (purple). In the inset of panel (b), the  $V_{50}$  was significantly different after  $3\beta$ -OH perfusion (two tailed paired t-test: t<sub>(5)</sub>=4.08, P<0.01). (c) Average current density calculated from the steady-state inactivation protocol. Application of 3β-OH (purple line and data points; six cells, three animals) decreased current density when compared with control conditions (blue line and data points). Data were analysed with two-way RM analysis of variance (ANOVA): interaction F<sub>(12,60)</sub>=13.91, P<0.001; voltage F<sub>(12,60)</sub>=46.66, P<0.001;  $3\beta$ -OH  $F_{(1.5)}$ =15.81, P=0.011; Sidak's post hoc presented in figure). (d) Average normalised steady-state inactivation (I/I<sub>max</sub>) curves in control conditions and after application of 3β-OH in the same cells recorded with Cs with ATP internal solution. 3β-OH induced a hyperpolarising shift in  $V_{50}$  of 3.2 mV because the average  $V_{50}$  value for steady-state inactivation was -80.9 (1.2) mV under control conditions (blue; seven cells, four animals), and after  $3\beta$ -OH perfusion in the same cells, the  $V_{50}$  value was -84.1 (1.3) mV (purple). In the inset of panel (d), the  $V_{50}$ was significantly different after  $3\beta$ -OH perfusion (two tailed paired t-test:  $t_{(6)}$ =3.92, P=0.008). (e) Averaged representative traces recorded under control conditions (blue) and after application of  $3\beta$ -OH (purple) using a protocol Vt=-50 mV, Vh=-90 mV,  $3\beta$ -OH decreased T-current amplitudes from 416.9 (17.5) pA to 291.9 (38.2) pA (two-tailed paired t-test: t<sub>(4)</sub>=4.67, P<0.01; five cells, three animals) \*P<0.05, \*\*P<0.01, \*\*\*P<0.001. 3β-OH, (3β,5β,17β)-3-hydroxyandrostane-17-carbonitrile; ATP, adenosine triphosphate; CeM, central medial nucleus; RM, repeated measure; TMA, tetramethylammonium.

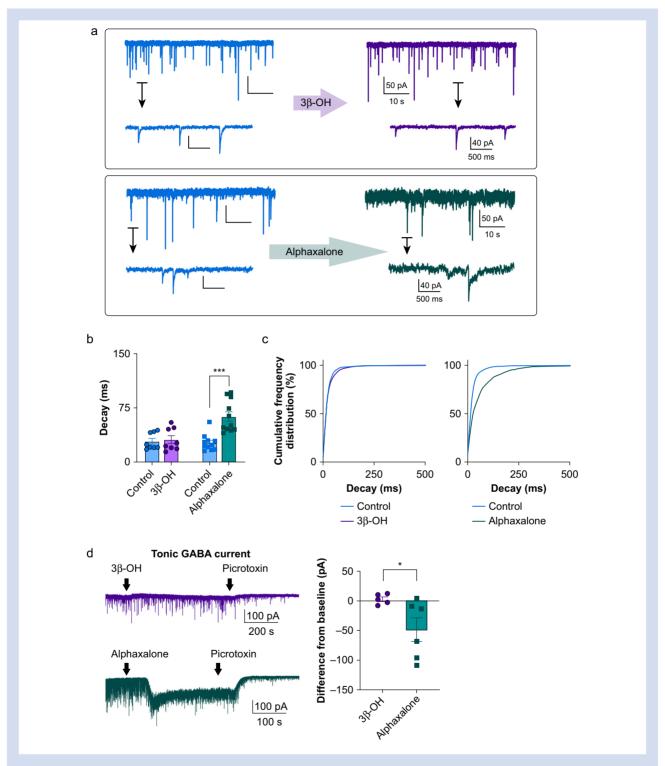


Figure 2. Potentiation of miniature inhibitory postsynaptic currents by alphaxalone but not by 3β-OH. (a) Representative traces from CeM neurones before and after perfusion with  $3\beta$ -OH (top panel) or alphaxalone (bottom panel). (b) Decay tau was increased only after perfusion of alphaxalone but not 3 $\beta$ -OH; alphaxalone increased decay tau from 26.7 (3.4) to 62.9 (6.6) ms (two-tailed paired t-test:  $t_{(10)}$ =6.82, P<0.001). (c) Left panel shows that cumulative frequency distribution for decay tau confirms no change after application of 3β-OH (control 1479 events, 3β-OH 1497 events); right panel shows longer decay taus after alphaxalone perfusion (1523 events for alphaxalone, 2134 events for the control). (d) Representative traces showing tonic GABA current after 3  $\mu$ M 3 $\beta$ -OH (purple trace) or 3  $\mu$ M alphaxalone (green trace) perfusion. (b) Difference from baseline showed a minimal effect of 3β-OH on tonic current in comparison with alphaxalone (3β-OH five carbonitrile; CeM, central medial nucleus; GABA, γ-aminobutyric acid A.

completely abolished T-channel-dependent low threshold spikes (LTSs) and post-inhibitory rebound bursting in CeM neurones. We next studied the effects of 3β-OH on LTSs and rebound burst firing in WT mice elicited by a series of escalating hyperpolarising current injections that served to recover T-channels from inactivation. Summary data from these experiments showed an average reduction of LTS amplitude of 25-50% and increased threshold for LTS by about 30% after 3β-OH application (Fig. 3b top and bottom, respectively).

We also found that  $3\beta$ -OH reduced firing frequency over the range of current injections during input-output protocols that we used to interrogate tonic firing mode in WT mice (Fig 3c). For example  $3\beta\text{-OH}$  inhibited spike firing during current injection of 100 pA by about 90% (Fig 3d). Similar to the volatile anaesthetic isoflurane, 11 we found that 3β-OH hyperpolarised resting membrane potential (RMP) by about 6 mV but did not significantly affect input resistance (IR) in the CeM of WT mice (Fig 3e and f, respectively).

In Ca<sub>v</sub>3.1 KO mice, 3β-OH reduced tonic firing frequency to a lesser extent (Fig 3g and h) and did not significantly affect RMP or IR of CeM neurones (Fig 3i and j, respectively). We did not observe LTS and rebound burst firing using the identical current injection protocols in the mutant mice. 11 These data indicate that Ca<sub>v</sub>3.1 channels are required for LTS and provide constant calcium influx that contributes to RMP likely via the 'T-type window current'. 11 Thus, inhibition of Ca<sub>v</sub>3.1 channels by  $3\beta$ -OH may diminish both tonic and burst firing modes and hyperpolarise CeM neurones.

### Ca<sub>v</sub>3.1 channels are essential for neurosteroidinduced hypnosis

Our current-clamp experiments suggest that the Ca<sub>v</sub>3.1 isoform largely underlies the inhibitory effects of  $3\beta$ -OH on the excitability of CeM neurones. We next asked if Ca<sub>v</sub>3.1 channels are important for the hypnotic effect of this neurosteroid in vivo by measuring loss of righting reflex (LORR) to compare hypnotic potency in WT and mutant mice. The calculated median effective dose (ED<sub>50</sub>) for the LORR was 75.6 (1.5) mg  $kg^{-1}$  in WT mice and 90.3 (0.1)  $mg kg^{-1}$  in mutant mice (Fig 4a). Importantly,  $3\beta$ -OH at 80 mg kg<sup>-1</sup> induced LORR in only 10% of mutant mice and >60% of WT mice (Fig 4b, left panel). Duration of hypnosis was several-fold shorter in KO mice than in WT mice (Fig 4b, right panel).

We hypothesised that potency for hypnosis of the common volatile anaesthetic isoflurane would be reduced with concurrent injections of  $3\beta$ -OH. A sub-hypnotic dose of  $3\beta$ -OH (20 mg kg<sup>-1</sup> i.p.) injected 15 min before administration of escalating concentrations of inhaled isoflurane lowered the final concentration of isoflurane required to maintain LORR similarly in both WT and Ca<sub>v</sub>3.1 KO animals (Fig 4c). The analysis of time to LORR (TTLORR) with a fixed concentration of isoflurane of 1.2 vol% showed that 3β-OH significantly decreased TTLORR by about 20% only in WT mice (Fig 4d). These data suggest that the Ca<sub>v</sub>3.1 isoform of T-channels is essential for 3β-OH-induced hypnosis when administered alone, and for its facilitation of induction but not maintenance of hypnosis with isoflurane.

### Oscillations in CeM during 3β-OH induced hypnosis

We next recorded LFPs from CeM of WT and mutant mice in order to test the hypothesis that Ca<sub>v</sub>3.1 channels play a significant role in the effects of  $3\beta$ -OH at 80 mg kg<sup>-1</sup> on the rhythmic oscillations of intact thalamocortical circuits. We analysed the effects of the vehicle (25% 2-hydroxypropyl-βcyclodextrin) and 3β-OH on total power of LFPs during 60 min after injection at 5 min intervals (Fig 5). There was less  $\delta$  and  $\gamma$ frequency power in the mutant mice with vehicle injections (left panels of Fig. 5a and e), and no statistically significant differences between genotypes in other frequency bands (left panels of Fig. 5b-d). However, there was a profound increase of up to two-fold in total power in WT mice in  $\delta$ ,  $\theta$ ,  $\alpha$ , and  $\beta$ oscillations after injections of  $3\beta$ -OH that was not observed in the mutant mice (right panels of Fig. 5a-d).

In addition, we performed a detailed analysis of LFPs in CeM in vivo between WT and mutant mice at 30 min after i.p. injection of  $3\beta$ -OH (Fig 6a). Power density under  $3\beta$ -OH revealed diminished values in the slower frequencies and in the  $\alpha$  frequency range (2-14 Hz) of about three-fold in the mutant mice (Fig 6b). Mutant mice exhibited diminished total power in comparison with WT animals in all frequency ranges except γ (Fig 6c). Analysis of relative power did not reveal statistically significant differences between the effects of 3β-OH (Fig 6d). Nonetheless, change in relative power (differences between relative power under 3β-OH and baseline) showed a significant drop in the  $\theta$  frequency range in mutant mice that was not seen in WT animals (Fig 6e).

We also analysed simultaneously recorded EEG oscillations from the barrel cortex. Baseline relative power from the CeM and cortex during the awake state showed significant difference mostly at slower frequencies between WT and mutant mice in the CeM but not in the cortex (Supplementary Fig. S3a). Similar to our findings in the CeM, we noticed a large reduction in power density and total power in the  $\delta$ ,  $\theta$ ,  $\alpha$ , and  $\beta$  range in the mutant animals compared with the WT group after injections of 3β-OH (Supplementary Fig. S3b). Relative EEG power showed a significant increase in  $\gamma$  frequencies in mutant mice (Supplementary Fig. S3c), although the change in relative power from baseline was not different (Supplementary Fig. S3d). We propose that the observed differences in oscillations of intact thalamocortical circuits reflect resistance of the mutant mice to the hypnotic effects of  $3\beta$ -OH.

### **Discussion**

Neurosteroids have sedative/hypnotic properties, with the introduction of alphaxalone and its related  $3\alpha,5\alpha$  analogues<sup>15</sup> in the 1970s, which were withdrawn from clinical use because of a relatively high incidence of anaphylactic reactions to the vehicle. 16,17 However, alphaxalone has been reformulated recently and is used in veterinary medicine.<sup>18</sup> The ongoing use of alphaxalone continues to encourage future development of synthetic neurosteroids with hypnotic/ anaesthetic properties.

Interestingly,  $3\beta$ -OH, as a  $3\beta$ , $5\beta$ -reduced steroid, does not act as a positive modulator of GABAA receptors; however, it induces hypnosis in rat pups<sup>1</sup> and adult mice (presented herein). This suggests that  $3\beta$ -reduced neurosteroids produce their hypnotic effects through alternate mechanisms. Here, we report that  $3\beta$ -OH stabilised inactive states and reduced Tcurrent density but did not affect phasic or tonic GABAA currents in CeM neurones. We further found that  $3\beta$ -OH reduced rebound burst firing in WT animals and decreased tonic firing in both WT and Ca<sub>v</sub>3.1 KO mice. However, inhibition of tonic firing was less prominent in the mutant mice, particularly at lower amplitude current injections. The modest blocking effect of 3β-OH on tonic firing observed in Ca<sub>v</sub>3.1 KO mice could

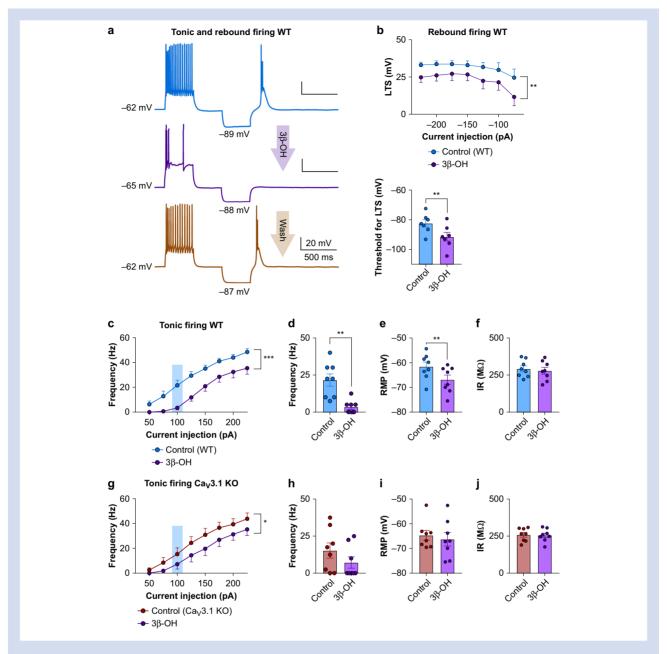


Figure 3.  $3\beta$ -OH influence on the excitability of CeM neurons. (a) Representative traces from a WT CeM neurone before application of  $3\beta$ -OH (blue), after application of 3 μM 3β-OH (purple) and after wash (orange trace) with active membrane responses to a depolarising (125 pA) and hyperpolarising (-75 pA) current injection. (b) Top: averaged LTS amplitude was reduced by application of 3β-OH across all hyperpolarising current pulses from -50 to -225 pA in WT mice (eight cells, four animals; two-way RM analysis of variance [ANOVA]: interaction F<sub>(6,42)</sub>=0.41, P=0.865; current injection  $F_{(6,42)}=5.44$ , P<0.001;  $3\beta$ -OH  $F_{(1,7)}=22.63$ , P=0.002). Bottom: bar graph shows  $3\beta$ -OH increased the threshold for the occurrence of LTS (seven cells, four animals; paired two-tailed t-test  $t_{(6)}$ =4.07, P=0.007). (c) 3 $\beta$ -OH reduced tonic action potential firing frequency across all current pulses in WT mice (eight cells, four animals; from 50 to 225 pA, two-way RM ANOVA: interaction F<sub>(7,49)</sub>=1.66, P=0.140; current injection F<sub>(7,49)</sub>=132.70, P<0.001; 3β-OH effect F<sub>(1,7)</sub>=29.52, P=0.001). (d) 3β-OH decreased tonic frequency at 100 pA current injection in WT mice (eight cells, four animals; paired two-tailed t-test:  $t_{(7)}$ =4.80, P=0.002). (e) 3 $\beta$ -OH hyperpolarised RMP in WT mice (eight cells, four animals; paired two-tailed t-test:  $t_{(7)}$ =4.00, P=0.005). (f) 3 $\beta$ -OH did not affect IR measured with a hyperpolarising current injection of 100 pA in WT mice. (g) 3β-OH diminished tonic action potential firing frequency in Ca<sub>v</sub>3.1 KO mice (eight cells, three animals; from 50 to 225 pA, twoway RM ANOVA: interaction  $F_{(7,49)}$ =1.23, P=0.302; current injection  $F_{(7,49)}$ =63.29, P<0.001;  $3\beta$ -OH effect  $F_{(1,7)}$ =8.26, P=0.024). (h)  $3\beta$ -OH did not significantly affect tonic firing frequency at 100 pA current injection in Ca<sub>v</sub>3.1 KO mice. (i) 3β-OH did not significantly affect RMP in Ca<sub>v</sub>3.1 KO mice. (j) 3β-OH did not significantly affect IR measured with a hyperpolarising current injection of 100 pA in Ca<sub>w</sub>3.1 KO mice. \*P<0.05, \*\*P<0.01, \*\*\*P<0.001. 3β-OH, (3β,5β,17β)-3-hydroxyandrostane-17-carbonitrile; CeM, central medial nucleus; GABA, γ-aminobutyric acid A; KO, knockout; LTS, low threshold spikes; RM, definition; IR, input resistance; RMP, resting membrane potential; WT, wild-type.

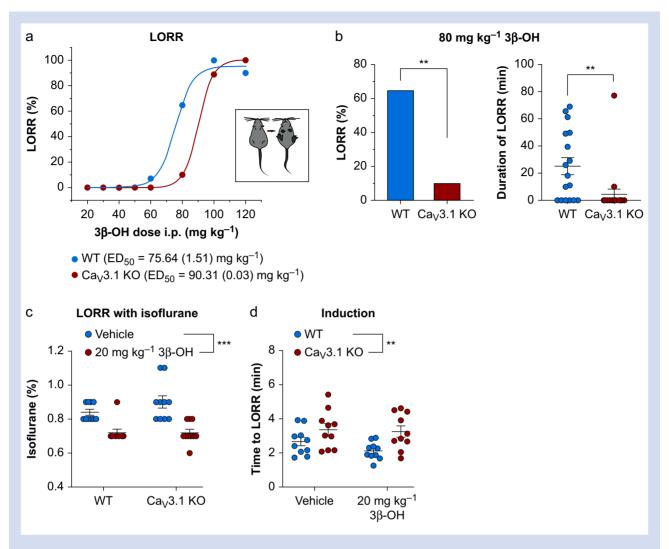
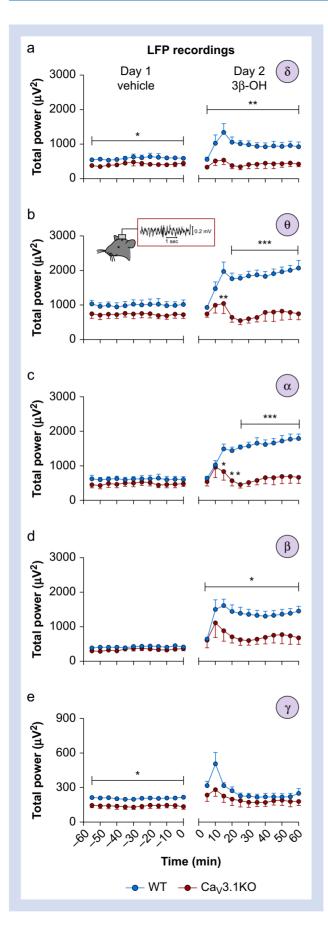


Figure 4. Effect of 3β-OH on anaesthetic endpoints. (a) Dose-response curve for loss of righting reflex (LORR) in WT and Ca<sub>ν</sub>3.1 KO mice with ED<sub>50</sub> indicated on the top of the panel. (b) Left, percentage of animals that lost righting reflex under 80 mg kg<sup>-1</sup> i.p. 3β-OH in WT (17 animals) and Ca<sub>v</sub>3.1 KO (20 animals) cohorts (80 mg kg $^{-1}$ :  $\chi^2 = 9.79$ , P=0.002). (b) Right: duration of LORR under 80 mg kg $^{-1}$  i.p. 3 $\beta$ -OH in WT (17 animals) and Ca<sub>v</sub>3.1 KO (20 animals) mice (unpaired two-tailed t-test: t<sub>(35)</sub>=2.94, P=0.006). (c) 20 mg kg<sup>-1</sup> 3β-OH lowered the percent of isoflurane needed for LORR in WT and Ca<sub>v</sub>3.1 KO mice (10 mice in each group, two-way RM analysis of variance [ANOVA]: interaction significantly less time for induction with isoflurane compared with mutant animals (10 mice in each group, two-way RM ANOVA: interaction  $F_{(1,18)} = 0.71, \ P = 0.410; \ mutation \ effect \ F_{(1,18)} = 9.05, \ P = 0.007; \ 3\beta - OH \ effect \ F_{(1,18)} = 1.59, \ P = 0.223). \ **P < 0.01, \ **P < 0.001. \ 3\beta - OH, \ (3\beta, 5\beta, 17\beta) - 3-1000 + 100000 + 10000 + 10000 + 10000 + 10000 + 10000 + 10000 + 10000 + 100000 + 100000 + 100000 + 100000 + 10000 + 10000 + 100000 + 100000 + 100000 + 100000 + 1000$ hydroxyandrostane-17-carbonitrile; KO, knock-out; WT, wild-type; ED<sub>50</sub>, median effective dose; RM, definition.

be a result of homeostatic compensatory changes in the mutant mice. As  $3\beta$ -OH also inhibits the native Ca<sub>v</sub>3.2 and Ca<sub>v</sub>3.3 isoforms of T-channels, 6 7 contributions of other isoforms of T-channels to the hypnotic effect of  $3\beta$ -OH may not be excluded. Likewise, we cannot exclude the possibility that  $3\beta$ -OH also inhibits voltage-gated sodium channels, as it is generally accepted that most hypnotics/anaesthetics affect multiple ion channels. 19-21

Event-related rhythmic thalamocortical oscillations are usually categorised into six frequency bands: slow (<1 Hz),  $\delta$  (0.5–4 Hz),  $\theta$  (4–8 Hz),  $\alpha$  (8–13 Hz),  $\beta$  (13–30 Hz), and  $\gamma$  (>30 Hz).<sup>22</sup> Mice lacking the Ca<sub>v</sub>3.1 isoform of T-channels had

reduced thalamic  $\delta$  oscillations and sleep spindles during urethane- and barbiturate-induced hypnosis. 23,24 In addition,  $\text{Ca}_{\nu}3.1\,\text{KO}$  mice displayed attenuated cortical and thalamic low frequency oscillations (in the 1-4 Hz range) after ketamine and ethanol administration compared with WT mice.<sup>25</sup> We observed reduced total power of thalamic and cortical  $\delta$ ,  $\theta$ ,  $\alpha$ , and  $\beta$  oscillations in Ca<sub>v</sub>3.1 KO mice after injections of  $3\beta$ -OH. We propose that the differences in slow frequency oscillations are related to the lack of rebound burst firing in Ca<sub>v</sub>3.1 KO animals and inability of 3β-OH to hyperpolarise neurones in the mutant mice. It is well known that T-channels need to be deinactivated by hyperpolarisation in order to be opened to



induce repetitive burst firing.<sup>26,27</sup> As observed with ketamine and ethanol,  $^{25}$  hyperpolarisation induced by 3 $\beta$ -OH can explain not only differences in low frequency oscillations between WT and Ca<sub>v</sub>3.1 KO mice but also diminished hypnosis in Ca<sub>v</sub>3.1 null mice. We propose that 3β-OH hyperpolarised CeM neurones in WT mice because of voltage-dependent inhibition of the T-type 'window current' as we recently showed for isoflurane and another T channel blocker TTA-P2. 11 A previous study found that Ca<sub>v</sub>3.1 KO had delayed TTLORR with volatile anaesthetics.12 However, the same study reported that TTLORR by the intravenous anaesthetic propofol (a GABAA potentiator) was not different between WT and  $Ca_{v}3.1$  KO mice. This suggests that different classes of anaesthetics have different mechanisms of interaction with Ca<sub>v</sub>3.1 channels and other molecular targets in the thalamocortical circuitry.

Injection of  $3\beta$ -OH at 80 mg  $kg^{-1}$  induced hypnosis in WT animals but was insufficient to do so in Ca<sub>v</sub>3.1 KO mice. Although we used a global KO mice, the lack of effect of 3β-OH on lowering isoflurane concentration needed for hypnotic induction in the mutant mice may implicate Ca<sub>v</sub>3.1 channels in CeM because this thalamic nucleus has been proposed to be important for induction but not for maintenance of anaesthetic hypnosis. Focal genetic deletion of Ca<sub>v</sub>3.1 channels from the midline thalamus may lead to a fragmented and reduced sleep state.<sup>28</sup> This suggests that inhibition of Ca<sub>v</sub>3.1 channels in CeM contributes to the hypnotic effects of  $3\beta$ -OH. However, future studies with focal deletion of Ca<sub>v</sub>3.1 channels specifically in the CeM may conclusively address this issue. Finally, studies with another structurally unrelated selective T-channel blocker, TTA-A2, revealed that in vivo T-channel

Figure 5. Oscillations in CeM neurones after injections of 3β-OH. (a) Total  $\delta$  power after injections of the vehicle 2-hydroxypropyl- $\beta$ -cyclodextrin (Day 1) and 3 $\beta$ -OH (Day 2) in WT and Ca<sub>v</sub>3.1 KO mice. Mutant mice had less  $\delta$  power on Day 1 (two-way RM analysis of variance [ANOVA]: interaction  $F_{(11,121)}$ =0.27, P=0.990; time  $F_{(11,121)}=1.15$ , P=0.330; mutation effect  $\underline{F}_{(1,11)}=5.93$ , P=0.033).  $3\beta$ -OH enhanced  $\delta$  power in WT animals (two-way RM ANOVA: interaction  $F_{(11,121)}$ =1.51, P=0.137; time  $F_{(11,121)}$ =3.62, P<0.001; mutation effect  $F_{(1,11)}$ =11.99, P=0.005). (b) Total  $\theta$  power after vehicle (Day 1) or 3β-OH (Day 2) in WT and Ca<sub>v</sub>3.1 KO mice. 3β-OH enhanced  $\theta$  power in WT animals (two-way RM ANOVA: interaction  $F_{(11,121)}$ =4.07, P<0.001; time  $F_{(11,121)}$ =4.03, P<0.001; mutation effect F<sub>(1,11)</sub>=26.60, P<0.001; Sidak's post hoc presented in figure). (c) Total  $\alpha$  power for vehicle (Day 1) or 3 $\beta$ -OH (Day 2) in WT and Ca<sub>v</sub>3.1 KO mice. 3β-OH enhanced α power in WT animals (two-way RM anova: interaction  $F_{(11,121)}$ =5.87, P<0.001; time  $F_{(11,121)}$ =5.10, P<0.001; mutation effect  $F_{(1,11)}$ =27.74, P<0.001; Sidak's post hoc presented in figure). (d) Total  $\beta$  power for vehicle (Day 1) or  $3\beta$ -OH (Day 2) in WT and  $Ca_v3.1$  KO mice.  $3\beta$ -OH enhanced β power in WT animals (two-way RM ANOVA: interaction  $F_{(11,121)}$ =1.16, P=0.324; time  $F_{(11,121)}$ =2.82, P=0.003; mutation effect  $F_{(1,11)}$ =8.62, P=0.013; Sidak's post hoc presented in figure). (e) Total  $\gamma$  power for vehicle (Day 1) or 3 $\beta$ -OH (Day 2) in WT and  $Ca_v$ 3.1 KO mice. Mutant mice had less  $\gamma$  power on Day 1 (twoway RM anova: interaction  $F_{(11,121)}=0.53$ , P=0.879; time  $F_{(11,121)}$ =1.77, P=0.067; mutation effect  $F_{(1,11)}$ =6.73, P=0.025). 3 $\beta$ -OH did not statistically change  $\gamma$  power between WT and  $\text{Ca}_{\text{v}}3.1$ KO animals. WT, seven animals, Ca<sub>v</sub>3.1 KO, six animals; \*P<0.05, \*\*P<0.01, \*\*\*P<0.001. 3 $\beta$ -OH, (3 $\beta$ ,5 $\beta$ ,17 $\beta$ )-3-hydroxyandrostane-17carbonitrile; CeM, central medial nucleus; KO, knock-out; LFP, local field potentials; WT, wild-type.

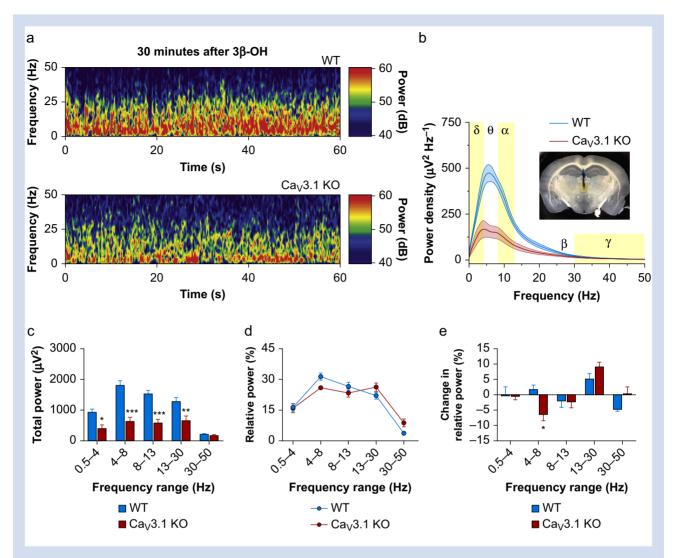


Figure 6. Oscillatory differences in CeM between WT and Ca<sub>v</sub>3.1 KO mice after 3β-OH. (a) Representative spectrograms from the CeM at 30 min after i.p. injections of 3β-OH recorded from WT (upper image) and Ca<sub>v</sub>3.1 KO mice (lower image). (b) Power density with electrode confirmation (inset in figure). Power density revealed decreased low frequency oscillations in Ca<sub>v</sub>3.1 KO mice under 3β-OH. (c) Total power revealed less power in  $\delta$ ,  $\theta$ ,  $\alpha$ , and  $\beta$  frequency range in Ca<sub>v</sub>3.1 KO mice (two-way RM analysis of variance [anova]: interaction F<sub>(4,44)</sub>=10.76,  $P<0.001; oscillations \ F_{(4,44)}=37.80, \ P<0.001; mutation \ effect \ F_{(1,11)}=32.50, \ P<0.001; Sidak's \ post \ hoc \ presented \ in \ figure). \ (d) \ Analysis \ of \ relative$ power after neurosteroid injection did not find significant differences between WT and Ca<sub>v</sub>3.1 KO mice. (e) Change in relative power (relative power under 3 $\beta$ -OH minus relative power during baseline in CeM) revealed differences between WT and Ca $_{v}$ 3.1 KO mice in the  $\theta$  $frequency\ range\ (two-way\ RM\ anova:\ interaction\ F_{(4,44)}=3.30,\ P=0.019;\ oscillations\ F_{(4,44)}=8.08,\ P<0.001;\ mutation\ effect\ F_{(1,11)}=0.31,\ P=0.591;\ normalized$ Sidak's post hoc presented in figure). WT, seven animals; Ca<sub>v</sub>3.1 KO, six animals; \*P<0.05, \*\*P<0.01, \*\*\*P<0.001. 3β-OH, (3β,5β,17β)-3hydroxyandrostane-17-carbonitrile; CeM, central medial nucleus; KO, knock-out; RM, repeated measure; WT, wild-type.

inhibition promotes slow-wave sleep in WT mice but not in mice lacking Ca<sub>v</sub>3.1/Ca<sub>v</sub>3.3 T-channels.<sup>25</sup>

Consistent with expression of Ca<sub>v</sub>3.1 channels in both cortex and the thalamus, 28 LFP and EEG recordings revealed similar effects of  $3\beta$ -OH in WT and  $Ca_v3.1$  KO mice – namely the mutant mice exhibited diminished total power in comparison with WT animals in all frequency ranges except in the  $\gamma$  band. In the cortex there was an increase in the relative power of γ frequency oscillations after 3β-OH administration compared with vehicle in mutant mice. Considering the role of high frequency oscillations in arousal and memory processes,  $^{30}$  augmentation in the range of  $\gamma$  frequency oscillations

could contribute to the observed resistance to hypnosis in mutant mice after  $3\beta$ -OH. Although interpretation of behavioural studies using global KO mice can be complicated by compensatory changes (as with any KO paradigm), our results with Ca<sub>v</sub>3.1 KO mice strongly implicate a role for this Tchannel isoform in neurosteroid-induced hypnosis and thalamocortical oscillations.

### **Conclusions**

A previous study showed that 3β-OH is an effective hypnotic in rat pups<sup>1</sup> without causing neuroapoptosis as is frequently observed with other anaesthetics that target neuronal GABAA (propofol) and N-methyl-D-aspartate (ketamine) receptors. 31-33 Here we demonstrate the importance of the Ca<sub>v</sub>3.1 isoform of T-channels in thalamocortical excitability and oscillations that underlie 3\beta-OH-induced hypnosis. This work has the potential to overturn existing dogma about the anaesthetic mechanisms of neurosteroids and to shift the focus to underappreciated targets, such as thalamic T-channels. These studies may be used as a starting point to develop novel and potentially safer approaches in clinical anaesthesia.

### Authors' contributions

Performed experiments: TTS, SF, FMM, DW, DW, TC, HF, PD Synthetised the neurosteroids: KK

Analysed the data: TTS, SMT

Designed the studies, supervised the overall project, and performed final manuscript preparation: TTS, YHR, DFC, VJT,

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### Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.bja.2020.07.022.

### Declarations of interest

The authors declare that they have no conflicts of interest.

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