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et al

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# Phentolamine Mesylate Ophthalmic Solution Provides Lasting Pupil Modulation and Improves Near Visual Acuity in Presbyopic Glaucoma Patients in a Randomized Phase 2b Clinical Trial

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**Purpose:** Phentolamine mesylate ophthalmic solution (PMOS), applied to the eye topically, was shown previously to have beneficial effects in patients with dim light vision disturbances (DLD), including decreased pupil diameter (PD), improved best-corrected distance visual acuity (BCDVA), as well as lower intraocular pressure (IOP). The ORION-1 trial evaluated the long-term safety and efficacy of PMOS in a glaucomatous, presbyopic population.

**Patients and Methods:** In this randomized, double-masked, multi-center, placebo-controlled, multiple-dose Phase 2b trial, 39 patients with elevated IOP were randomized to receive one evening dose of study medication or placebo for 14 days. The primary outcome measure was mean change in diurnal IOP, and the key secondary outcome measures included changes in PD, distance-corrected near visual acuity (DCNVA), and conjunctival hyperemia.

**Results:** Use of 1% PMOS did not lead to a statistically significant decrease in diurnal IOP compared to placebo ( $P = 0.89$ ) but trended toward a greater decrease in patients with lower IOP baselines. PMOS produced a statistically significant mean 20% PD reduction under both photopic and mesopic conditions that was sustained for 36 hours post-dosing. A statistically significant number of patients with PMOS compared to placebo demonstrated  $\geq 1$  line of improvement in photopic DCNVA at day 8 ( $P = 0.0018$ ), day 15 ( $P = 0.0072$ ), and day 16 ( $P = 0.0163$ ), with a trend for 2- and 3-line improvements at all time points. There was no statistical difference in conjunctival hyperemia compared to placebo.

**Conclusion:** Although mean IOP was not lowered significantly, daily evening dosing of 1% PMOS was found to be well tolerated with no daytime conjunctival redness and demonstrated improvement in DCNVA with sustained PD reduction in a glaucomatous and presbyopic population. Smaller pupil size can have beneficial effects in improving symptoms of presbyopia and DLD, which will be the focus of further studies.

**Keywords:** IOP, presbyopia, night vision disturbances, dim light vision disturbances, pupil diameter, ORION-1

## Introduction

Glaucoma, presbyopia, and night vision or dim light vision disturbances (DLD) are among the most common ocular disorders affecting aging populations. Glaucoma is the second leading cause of blindness worldwide after cataracts,<sup>1</sup> while presbyopia is arguably the most common ocular ailment worldwide affecting ~1.8 billion people.<sup>2</sup> Dim light or night vision disturbances are common sequelae of some combination of

ocular aberrations, scatter and internal intraocular lens (IOL) reflections, sometimes seen after lens or corneal-based refractive surgery, as well as in patients with keratoconus, pseudophakic patients with a square edge IOL design and in other conditions.<sup>3-6</sup>

The currently available treatments for glaucoma have different limitations and risks that must be considered when tailoring the choice of treatment to a patient's needs and preferences. First, it is not uncommon for a patient to require more than one class of drugs, and even then, many patients still do not achieve a safe target intraocular pressure (IOP).<sup>7</sup> Second, therapeutic classes have known ocular systemic adverse effects, including beta-adrenergic antagonists with bradycardia, dyspnea, and wheezing, as well as alpha-2 agonists with dry mouth, fatigue, sedation, and dizziness.<sup>8,9</sup> Therefore, it is important to develop IOP-lowering therapies for patients with signs of glaucoma progression despite lower IOP with current treatment and also in individuals with normotensive glaucoma (NTG), where patients show progressive structural changes in their optic nerve fibers and/or progressive visual field loss despite IOP in what may be otherwise considered a "normal range." The Baltimore Eye Survey of 5308 patients found that 78% of eyes with primary open-angle glaucoma had screening IOP of <25 mmHg, indicating the importance of achieving efficacy at these lower IOP levels.<sup>10</sup> Whereas the prevalence of NTG was once thought to be low, the Beaver Dam Eye Study showed that nearly one-third of glaucoma patients met the diagnostic criteria of having NTG.<sup>11</sup> Other studies indicate that as many as two-thirds of glaucomatous patients in Japan have NTG.<sup>12</sup>

As a potential alternative therapy for this subset of patients, alpha-adrenergic antagonists, including phentolamine, have been previously shown to lower IOP in animals via decreased aqueous humor production and increased uveoscleral outflow,<sup>13</sup> which is a secondary outflow track for aqueous humor. The primary outflow pathway is the trabecular meshwork (TM), a tissue with contractile properties and alpha-2-adrenergic receptors.<sup>14</sup> Thus, the non-selective alpha-antagonist phentolamine may relax the TM and lower IOP via this major outflow pathway. Further impetus to explore the potential of phentolamine mesylate ophthalmic solution (PMOS) as a potential ocular antihypertensive was the observation that in a prior DLD trial, patients with normal baseline IOP treated with different concentrations of PMOS, an investigational alpha-1 and alpha-2 antagonist, showed statistical lowering of IOP

after a few hours (ClinicalTrials.gov identifier: NCT01703559).<sup>15</sup>

Similar to glaucoma, presbyopia is an age-related ocular disorder, typically beginning to manifest clinically at around 40 years of age. Presbyopia is caused by inability of the aging lens to dynamically change shape, curvature, and dioptric power in an effort to focus the image of nearby objects onto the retina, often described as loss of accommodation. Presbyopia has a significantly negative impact on quality of life,<sup>16</sup> interfering with daily activities such as reading, use of computers or hand-held devices, and seeing the dashboard of the car. Currently, there are no pharmacological therapies approved for presbyopia, but there is some evidence that decreasing pupil diameter, optimally to a size of 1.6 to 2 mm to create a "pinhole" effect, can improve visual acuity by increasing the depth of focus via pseudoaccommodation.<sup>17-19</sup> Pupil sizes smaller than that range are subject to the detrimental effects of diffraction, decreased retinal illumination, and photon noise, negatively impacting contrast thresholds and reading performance.<sup>20</sup>

An additional unmet ocular disorder, DLD, affects patients under mesopic or scotopic conditions. DLD involves photic phenomena, such as glare, halo, and starbursts, which can be a result of a combination of ocular aberrations, light scatter, internal reflection due to the square edge conformation of IOLs, as well as the effect of multiple images simultaneously superimposed onto the retina, as in the case of multifocal IOLs. Many people who suffer from DLD are unable to comfortably drive at dusk or otherwise function visually at night when the pupil naturally dilates and aberrant light rays from the periphery enter the eye to distort images or cause glare or starburst effects.<sup>4,21,22</sup> This large cohort includes patients with night myopia (eg, uncorrected myopia), refractive errors (eg, irregular corneal astigmatism, and hyperopia), multifocal and other IOL designs, cataracts, keratoconus, and peripheral corneal imperfections from refractive surgeries (eg, laser-assisted in situ keratomileusis [LASIK], photorefractive keratectomy [PRK], radial keratotomy [RK]).<sup>23-25</sup> In many of these cases, DLD can be mitigated by miosis, where the smaller pupil blocks the unfocused, peripheral aberrant rays of light, selectively allowing passage of the more centrally focused rays<sup>26</sup> or obviates internal reflections resulting from a square edge IOL design.<sup>6</sup> A miotic change in the pupil size can be achieved by modulating one of two or both opposing sets of muscles – the iris sphincter muscles controlled by the cholinergic nervous

system and the iris dilator muscles controlled by the adrenergic nervous system.<sup>27,28</sup> An alpha-1 antagonist approach will weaken the action of the iris dilator muscle and produce a miotic effect.<sup>29</sup>

Given the co-existence of these conditions in older cohorts, this trial studied the safety and efficacy of 1% PMOS, an alpha-1 antagonist, as a drug candidate to lower IOP in glaucoma patients, and, in parallel, to modulate pupil diameter and improve visual acuity in refractive conditions such as presbyopia and DLD. The hypothesis was that at the 1% concentration:

1. PMOS may provide a once-daily evening therapeutic option to reduce IOP in patients with open-angle glaucoma (OAG) or ocular hypertension (OHT).
2. PMOS may provide a unique once-daily evening therapeutic option in presbyopic patients to durably reduce pupil diameter and improve near vision.
3. PMOS would improve near vision without compromising distance visual acuity.
4. PMOS demonstrates a well-tolerated safety profile with mild adverse effects.

## Patients and Methods

The ORION-1 Phase 2 trial was a randomized, multi-center, double-masked, placebo-controlled trial of 1% PMOS applied bilaterally at night for 14 days in patients with OAG or OHT (ClinicalTrials.gov identifier: NCT03960866). The study participants were either naïve to, or were previously taking, IOP-lowering medication and had to be washed out for approximately 30 days prior to dosing.

The investigators conducted the multi-centered trial in accordance with Good Clinical Practice, the ethical principles set forth in the Declaration of Helsinki and with the US Code of Federal Regulations governing the protection of human patients. The study protocol and its amendments, and the informed consent form for all sites, were reviewed and approved by a centralized IRB (Quorum Review IRB, Seattle, WA).

## Patient Selection

Patients underwent a Screening visit where they were included in the trial if they were at least 18 years of age with either OHT in both eyes or OAG in 1 eye with OHT in the fellow eye, which was previously untreated or treated with  $\leq 2$  ocular hypotensive medications. If study participants previously took IOP-lowering medications, they had to be washed out for at least 28 days, but no

more than 35 days. Patients who entered the washout period returned to the study site after approximately 2 weeks for an IOP safety check and evaluation of adverse events (AEs) and concomitant medications. If, in the judgment of the investigator, there was any risk to the eye(s) of the patient or if the mean IOP during the washout was  $>30$  mmHg, then an appropriate rescue or prior medication could be administered, and the patient was considered a screen failure. Untreated or post-washout IOP had to be  $\geq 22$  mmHg and  $\leq 30$  mmHg in the study eye to qualify for enrollment.

Patients were excluded if they had closed or very narrow angles (Grade 0–1, Shaffer) or angles that the investigator judged as occludable, previous ocular surgery, trauma, any pupil abnormalities, recent ocular infection in the eye, central corneal thickness in either eye  $\geq 600$   $\mu\text{m}$  at Screening, or any contact lens wear within 3 days prior to and for the duration of the trial. Gonioscopy (using a direct gonioscope) for grading the angle was performed at Screening unless screening criteria were available from a previous test within the last 6 months. In addition, patients were excluded if they had clinically significant systemic disease, use of systemic adrenergic or cholinergic drugs up to 30 days prior to Screening, use of systemic medications that could have an effect on IOP, or resting heart rate (HR) and blood pressure (BP) out of the normal range, or known hypersensitivity to any alpha-adrenoceptor antagonists. Each investigator also assessed the appropriateness of patient entry into this clinical trial in that no patients were entered with optic nerve or visual field signs of end-stage glaucoma or, in the investigator's best judgment, could have risk of visual field worsening as a result of participation in this trial.

## Efficacy and Safety Assessments

After Screening, the patient returned to the site at Day 1. At 8 AM, the site conducted baseline ophthalmic examinations including pupil diameter, distance-corrected near and best-corrected distance visual acuity (DCNVA and BCDVA, respectively) under photopic and mesopic conditions, conjunctival hyperemia, biomicroscopy, and then IOP measurements. IOP was the primary efficacy assessment and was measured with a Goldmann applanation tonometer using a 2-person method (1 person physically applies the tonometer, while another reads the result). Non-ophthalmic aspects of the exam included evaluations of AEs, HR, BP, and a urine pregnancy test (for women of childbearing potential only). Patient Day 1 values at 8 AM were their "baseline values." Patients were then randomized 1:1 study



medication:placebo (vehicle) and were further assessed for IOP, conjunctival redness, and AEs at 10 AM and 4 PM. After their 4 PM visit, patients received their study medication—either 1% PMOS or placebo (vehicle) eye drops (Bio-Concept Laboratories, Salem, New Hampshire, USA)—and were instructed on the correct topical ocular administration procedure. Patients were then sent home with instructions to administer their first dose of study medication at 8 PM to 10 PM that evening (Day 1) and to self-administer subsequent doses to both eyes at the same time each evening from Day 1 to Day 14. Patients, investigators, clinical site staff, and all personnel responsible for monitoring and medical evaluation of the data remained masked to treatment assignment throughout the study.

Treatment study visits occurred on Day  $8 \pm 1$  day, Day  $15 \pm 1$  day, and Day 16 (approximately 36 hours after last dose) with measurements at 8 AM, 10 AM, and 4 PM. IOP, pupil diameter, DCNVA, BCDVA, conjunctival redness, AE evaluations, concomitant medications, HR/BP, ophthalmoscopy, biomicroscopy, and urine pregnancy test (for women of childbearing potential only) were performed according to the protocol. BP was measured after at least 3 minutes of rest in the sitting position. If BP was outside the normal range (diastolic BP  $> 105$  mmHg or systolic BP  $> 160$  mmHg), it could be repeated once only following at least a 5-minute rest period in the sitting position. Further, patients were contacted by telephone on Day 22 (7 days after their last dose) to assess AEs, conjunctival redness, and concomitant medications.

Both eyes were assessed at each visit. The study eye was designated as the eye with higher IOP on Day 1 at 8 AM. If both eyes had the same IOP, then the right eye was designated as the study eye.

IOP was measured twice in both eyes using Goldmann applanation tonometry (Automated Ophthalmics, Inc., Elkridge, Maryland, USA) at each assessment and the mean value was used at each time point. Pupil diameter was measured with a pupilometer (Neuroptics NPi-200, Laguna Hills, California, USA). BCDVA was measured in each eye (right eye first) under photopic and mesopic conditions with high contrast using an Early Treatment Diabetic Retinopathy Study (ETDRS) standard chart (Precision Vision, Woodstock, Illinois, USA) 4 meters away in an Illuminator Cabinet. Only the number of letters read was collected and converted to lines as follows: 1 line = 3 to 7 letters read; 2 lines = 8 to 12 letters read; 3 lines = 13 to 17 letters read, etc. DCNVA was measured in logMAR in each eye (right eye first) under photopic and mesopic

conditions with high contrast using an ETDRS visual acuity chart 2000 in a Small 914 Illuminator Cabinet placed 14 inches away (Precision Vision, Woodstock, Illinois, USA). LogMAR values were converted to lines as follows: 1 line = 1.3 logMAR; 2 lines = 1.2 logMAR, etc., to 14 lines = 0.0 logMAR, 15 lines =  $-0.1$  logMAR, 16 lines =  $-0.2$  logMAR, and 17 lines =  $-0.3$  logMAR. Monocular and binocular measurements were recorded. Conjunctival hyperemia was measured with a Cornea and Contact Lens Research Unit (CCLRU) 4-point scale of none, mild, moderate, and severe.

The primary endpoint of the trial was mean change in diurnal IOP of the study eye at Day 15, defined as average of measurements at 8 AM, 10 AM, and 4 PM. Other secondary endpoints included measurements of pupil diameter, testing of DCNVA, as well as assessments of the drug's safety profile.

## Statistical Analyses

A sample size of 36 total completed patients randomized in a 1:1 ratio to the 1% PMOS and the placebo groups were planned for the trial to provide approximately 90% power to detect a difference of 3.4 mmHg between the 1% PMOS and placebo groups in the change from baseline to Day 15 in mean diurnal IOP. This calculation was based on a 2-sided *t*-test at the 5% level of significance ( $\alpha = 0.05$ ) and a common standard deviation of 3.0. Additionally, it was assumed that there would be approximately 10% drop-out between baseline/Day 1 and Day 15. To account for this drop-out, a total of 40 patients were targeted for randomization into the trial.

All statistical analyses and reporting were performed using the SAS<sup>®</sup> System Version 9.4. The primary and each of the continuous secondary efficacy endpoints were analyzed using analysis of covariance (ANCOVA).

## Results

### Demographic and Other Baseline Characteristics

The trial enrolled 39 patients across 5 clinical sites (Rochester, NY; Morrow, GA; Cleveland, OH; Mission Hills, CA; and Ann Arbor, MI) with a median age of 64 years old. Of these 39 patients, 19 were allocated to 1% PMOS and 20 were allocated to placebo. These 39 patients constitute the full analysis set, defined as all randomized patients who have received at least 11 doses of study medication without missing 2 consecutive doses and have both

a Baseline and a Day 15 IOP measurement, which was used for statistical analysis of all efficacy and safety measures, except for IOP. Patients were dosed once daily at bedtime. All demographics and baseline characteristics, including age, baseline IOP, pupil diameter, and visual acuity were similar between arms (Table 1). Two patients, one from the treatment arm and one from the placebo arm, were excluded because of major protocol deviations, reducing the Per Protocol Population to 37 patients, which is the basis of the IOP statistical analysis. One patient from the Nyxol arm took two different prohibited medications, and one patient from the placebo arm had not washed out preserved artificial tears before enrolling in the study. A total of 17 patients had 35 minor protocol deviations.

## Intraocular Pressure

In regard to the primary endpoint, the mean reduction in diurnal IOP at Day 15 from baseline in the study eye was not significantly different between the PMOS (−2.30 mmHg) and placebo (−2.18 mmHg) arms ( $P = 0.89$ ) (Figure 1A). Post hoc analyses stratifying eyes meeting different baseline IOP thresholds of <25, <24, and <23 mmHg were conducted in which PMOS demonstrated a significant IOP decrease in patients with baseline IOP

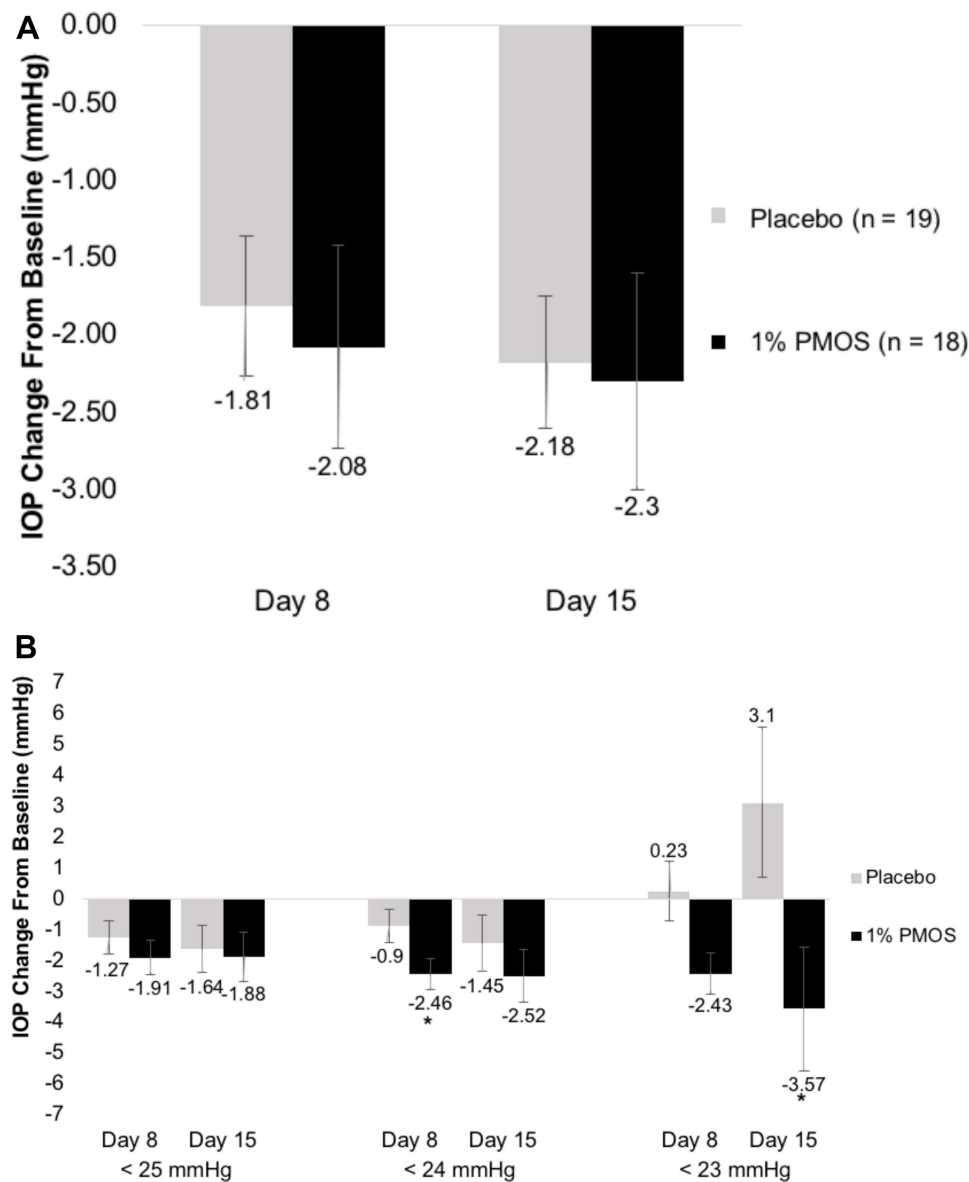
< 24 mmHg at Day 8 ( $P = 0.049$ ) (−2.46 mmHg in the PMOS and −.90 mmHg in the control) and a trend at Day 15 ( $P = 0.40$ ) (−2.52 mmHg in the PMOS and −1.45 mmHg in the control) (Figure 1B). In patients with baseline IOP < 23 mmHg at Day 8, PMOS showed a numeric IOP decrease compared to placebo (−2.43 mmHg in PMOS and +0.23 mmHg in the control), but given the very small sample size, these results should not be over-interpreted.

## Pupil Diameter

PMOS showed a consistent, approximate 20% mean reduction in pupil diameter from baseline in both photopic and mesopic conditions. At baseline, mean pupil diameter was  $4.69 \pm .95$  and  $3.63 \pm .72$  under photopic and mesopic conditions, respectively, and was reduced to  $3.71 \pm .81$  and  $2.86 \pm .55$ , respectively, at the Day 8 8 AM time point. This effect was sustained for over 24 hours from the night that the last dose was given on Day 14 to the Day 16 8 AM time point (Figure 2A and B). Furthermore, at the 8 AM time points of Day 8, Day 15, and Day 16, more than 25% of patients who were given PMOS achieved  $\geq 30\%$  reduction in pupil diameter ( $P = 0.04, 0.01, \text{ and } 0.01$ , respectively) (Figure 3). Statistically significant pupil diameter

**Table 1** Demographic and Baseline Characteristics of the Full Analysis Set of the ORION-I Trial Participants

	Placebo	1% PMOS	P-value
N (Full Analysis Set)	20	19	
Age (years): Median, Mean (SD)	64.5, 63.2 (10.35)	61.0, 58.1 (13.23)	0.57
Gender: Female n (%)	13 (65%)	9 (47%)	0.27
Race: White n (%)	14 (70%)	11 (58%)	0.43
<b>Characteristics</b>			
Study Eye [n (%)]			
OD	10 (50%)	11 (58%)	0.62
OS	10 (50%)	8 (42%)	0.62
Baseline Mean Diurnal IOP (Study Eye) mmHg [mean (SD)]			
Study Eye	24.4 (2.10)	24.4 (1.68)	0.94
All Eyes	23.8 (2.24)	23.1 (1.66)	0.29
Baseline IOP Category [n (%)]			
$\geq 25$ mmHg	13 (65%)	10 (53%)	0.43
< 25 mmHg	7 (35%)	9 (47%)	0.43
Mean Baseline BCDVA (SD)			
Photopic logMAR (Study Eye)	0.05 (0.11)	0.05 (0.14)	1.00
Mesopic logMAR (Study Eye)	0.17 (0.12)	0.19 (0.13)	0.62
Mean Baseline DCNVA (SD) (Study Eye)			
Photopic logMAR (Study Eye)	0.28 (0.26)	0.22 (0.18)	0.41
Mesopic logMAR (Study Eye)	0.36 (0.21)	0.38 (0.29)	0.80



**Figure 1** Mean changes in diurnal IOP after treatment. **(A)** Mean change in diurnal IOP at Day 8 and 15 in the study eye (n = 18 for PMOS and n = 19 for placebo) did not show statistical differences between PMOS and control groups. **(B)** A post hoc analysis of diurnal IOP at Day 8 and 15 in any eye with Baseline IOP < 25 mmHg (n = 11 for PMOS and n = 12 for placebo), < 24 mmHg (n = 9 for PMOS and n = 8 for placebo), and < 23 mmHg (n = 4 for PMOS and n = 2 for placebo). \*Denotes P < 0.05.

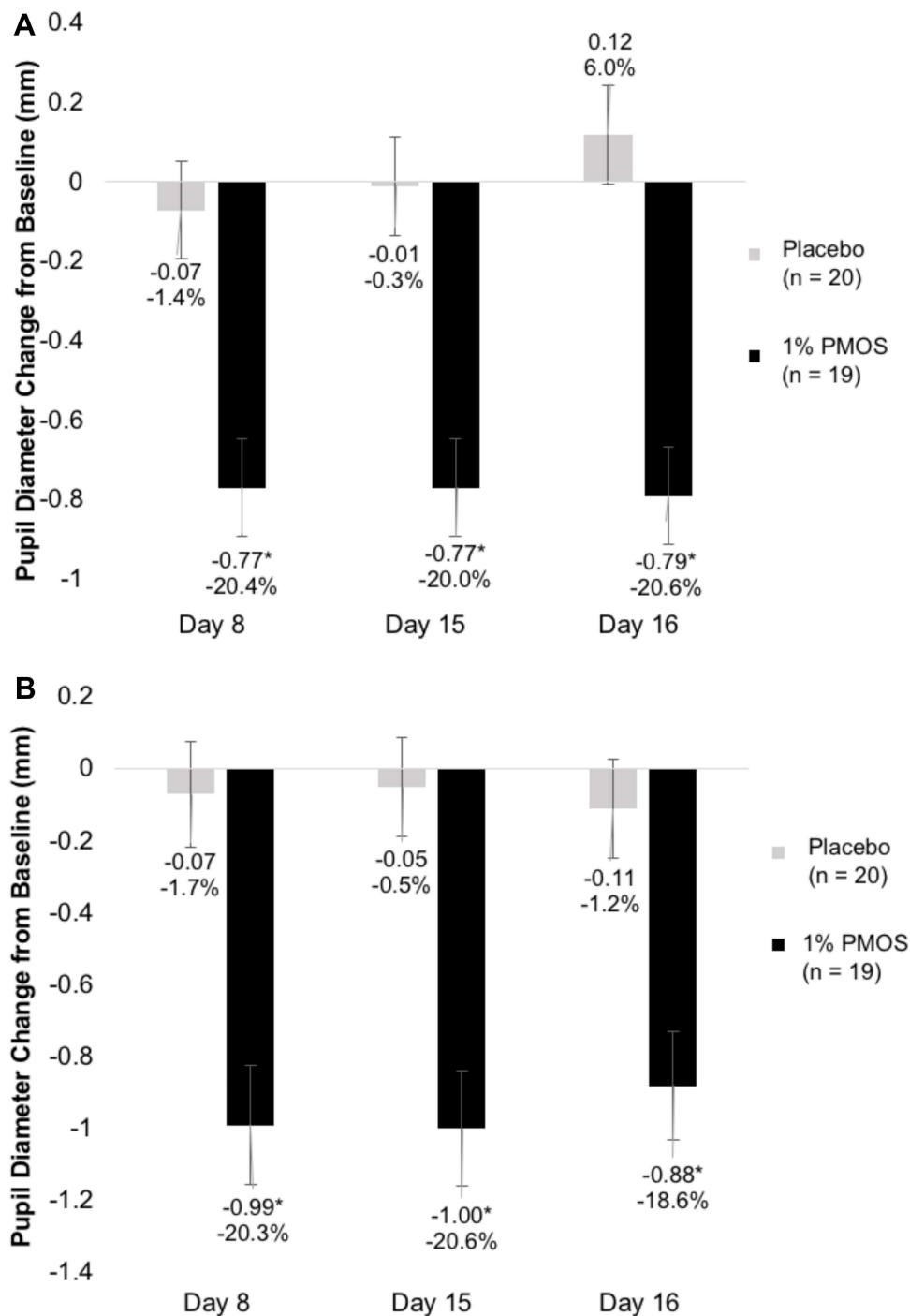
reduction from baseline was observed in the PMOS arm in the vast majority of parameters tested for study eye and fellow eye, regardless of condition (photopic, mesopic), time point (Day 8, Day 15, Day 16), or analysis method (mean pupil diameter, mean percent reduction, percent reduction category).

### Distance-Corrected Near Visual Acuity

In an analysis of pooled data from the study eye and fellow eye, mean DCNVA at baseline was 0.26 logMAR. Under mesopic and photopic conditions, a statistically significant number of patients favoring the PMOS arm

compared with the placebo arm achieved  $\geq 1$  line DCNVA improvement at one or more time points where patients were counted in a category if they met the reduction criteria for at least one eye (described as “best eye”) (Figure 4). Further, at Day 15 approximately 60% of patients who were given PMOS had  $\geq 1$  line of improvement in DCNVA in both photopic and mesopic conditions. There were trends towards significance favoring PMOS in DCNVA  $\geq 2$  lines improvement in both mesopic and photopic conditions.

A post hoc analysis with ANCOVA was performed with patients who were categorized as having DCNVA  $\geq 0.3$



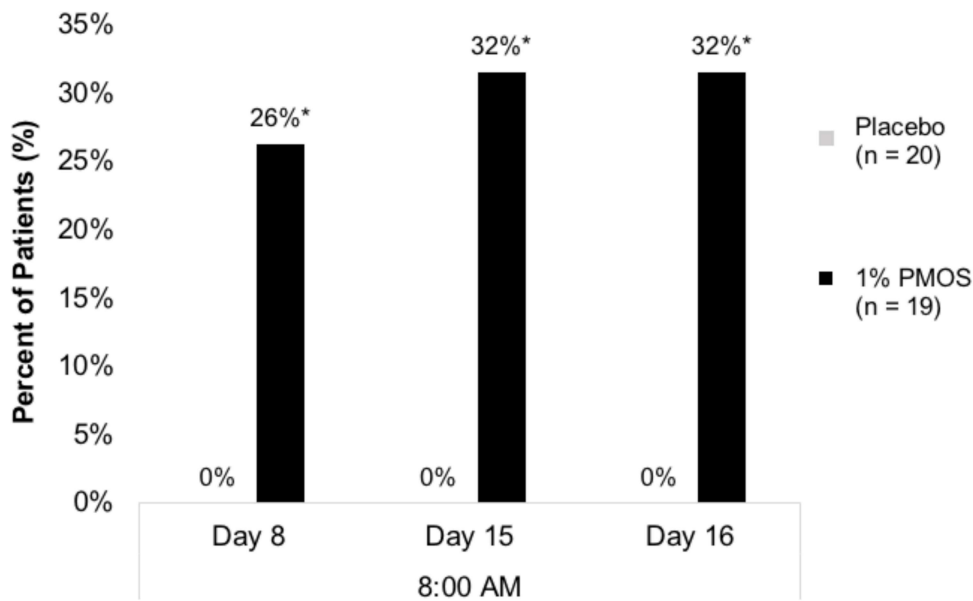
**Figure 2** Mean change in pupil diameter from baseline in (A) photopic and (B) mesopic conditions. \*Denotes  $P < 0.05$ .

logMAR in their study eye in mesopic conditions at baseline, with mean baseline DCNVA of 0.57 logMAR for PMOS ( $n = 11$ ) and 0.48 logMAR for placebo ( $n = 13$ ). Statistically significant improvements in DCNVA from baseline were observed in mesopic conditions with the same  $-0.11$  logMAR least-squares mean difference favoring PMOS compared to placebo at all time points (Day 8, Day 15, and Day 16;

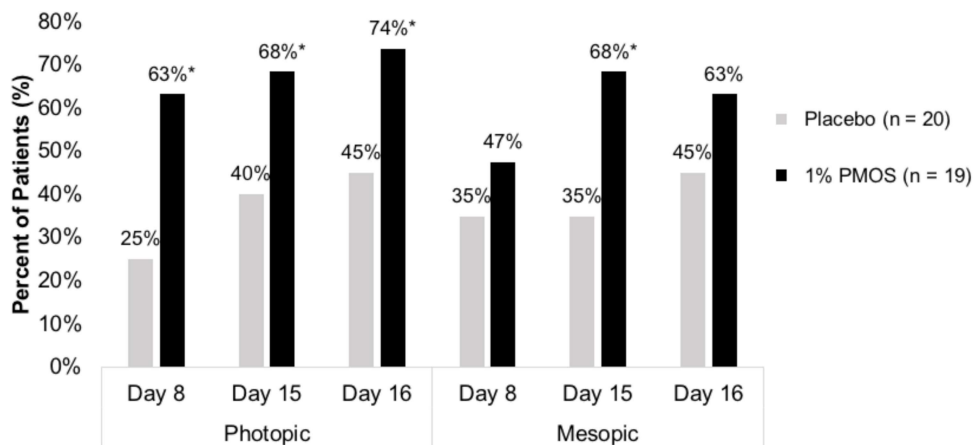
$P < 0.02$ ). A trend with similar mean difference favoring PMOS was observed in photopic conditions.

### Best-Corrected Distance Visual Acuity

The mean baseline BCDVA for all eyes was 0.05 logMAR in photopic conditions and 0.18 logMAR in mesopic conditions across all patients. In all eyes under photopic



**Figure 3** Percent of patients with ≥ 30% reduction in pupil diameter at 3 time points (Day 8, Day 15, and Day 16, at 8AM). \*Denotes  $P < 0.05$ .



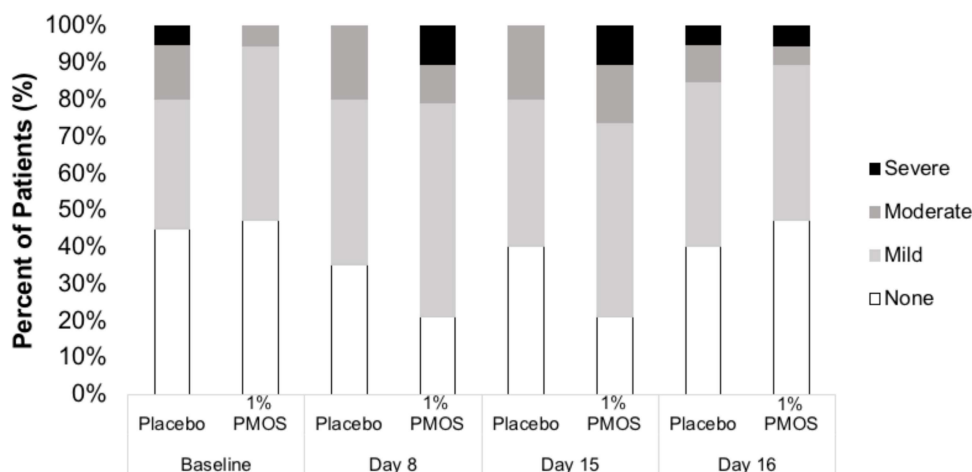
**Figure 4** Percent of patients with ≥ 1 line improvement in DCNVA in photopic (left) and mesopic (right) conditions. \*Denotes  $P < 0.05$ .

conditions, a statistically significant number of patients favoring PMOS compared with placebo achieved ≥ 1 line improvement in BCDVA from baseline in the best eye at the Day 8, 8 AM time point ( $P = 0.03$ ). There was only 1 patient in the PMOS arm and 2 patients in the placebo arm who had a ≥ 3-line worsening in BCDVA at Day 15 in either eye or both eyes, with 1 patient in each arm also exhibiting a worsening in BCDVA at Day 16. At all other time points or visits, there was no statistically significant difference in BCDVA for treatment or placebo patients. In all eyes under mesopic conditions, at all time points and visits, there was no statistically significant difference in BCDVA for treatment or placebo patients.

### Adverse Events

Sixteen treatment-emergent adverse events (TEAEs) were reported in 6 patients (31.6%) in the PMOS arm and 2 TEAEs in 1 patient (5.0%) in the placebo arm ( $P = 0.04$ ). All TEAEs were mild in severity, with no serious TEAEs or TEAEs leading to the withdrawal of medication or trial. Of the 19 PMOS-treated patients, 3 had conjunctival hyperemia, 1 had eye pruritus, 3 had burning or pain on instillation, and 2 had mild infections of the prostate and upper respiratory tract. Of the 20 placebo-treated patients, 1 had conjunctival hyperemia. All ocular TEAEs were considered related to study medication per the investigator, whereas the non-ocular TEAEs were considered not related to





**Figure 5** Conjunctival hyperemia at the 8AM assessment at 4 time points (Day 1/Baseline, Day 8, Day 15, and Day 16), measured using the CCLRU scale of none, mild, moderate, and severe.

treatment. Conjunctival redness scores increased numerically to some extent in the PMOS arm at Day 8 and Day 15, but these scores were not significantly different from the placebo arm at all time points (Figure 5) ( $P = 0.26-1.00$ ).

In addition, mean systolic and diastolic BP and HR were relatively unchanged, remained within normal ranges throughout the duration of the trial, and were similar between arms. Biomicroscopic examination of other parameters, including cornea, conjunctiva (other than redness), anterior chamber, corneal fluorescein staining, as well as examination of the optic nerve, macula vessels, and periphery, showed no clinically significant abnormalities from baseline.

## Discussion

Various anterior ophthalmic conditions associated with the aging population, such as glaucoma, presbyopia, and DLD, would benefit from additional or first-time pharmacologic therapies. PMOS, a proprietary, preservative-free, stable, ophthalmic formulation of phentolamine mesylate, was investigated as a potential treatment option for these ophthalmic conditions.

This Phase 2b trial was designed to explore multiple endpoints across various ophthalmic conditions, with IOP lowering as the primary endpoint and key secondary endpoints including reduction in pupil diameter and improvement in DCNVA. Although the primary endpoint of IOP lowering with once-daily evening dosing of 1% PMOS for glaucoma patients was not met in the ORION-1 trial, many other endpoints relevant for the potential use in presbyopia and DLD patients were met. These endpoints include

confirming the 1% dose and evening dosing regimen of PMOS, statistically significant and durable pupil diameter reductions and near visual acuity improvements, and strengthening the safety profile with no statistically significant increase in conjunctival hyperemia compared to placebo in daytime assessments.

## Intraocular Pressure

Besides the high placebo response often seen in glaucoma trials,<sup>30</sup> another reason that may have contributed to the lack of observed efficacy of 1% PMOS in decreasing IOP was that the patient sample had an average IOP of approximately 24 mmHg, whereas a post hoc analysis of PMOS led to a reduction in IOP in both patients with baseline IOPs of <24 mmHg and DLD patients with normal baseline IOPs after several hours.<sup>27</sup> Based on these preliminary observations, the utility of PMOS could be further explored in patients with NTG or as a second line with topical prostaglandins given the potential for greater IOP-lowering effect in patients with lower baseline IOPs. While patients with lower IOP or NTG may have independent risk factors in addition to IOP contributing to their optic neuropathy and visual field loss, the Collaborative Normotension Glaucoma Study, the Early Manifest Glaucoma trial, and other dose-dependent trials clearly support the central role of adequate IOP reduction in NTG and the rationale for further pressure reduction in those whose disease progresses despite low pressures.<sup>31-33</sup> Also, multiple doses per day for PMOS should be considered since the IOP-lowering effects between 2 and 12 hours are still unknown. This would be similar to other IOP-lowering medications such as timolol or

brimonidine that are routinely given multiple times per day to elicit their effect.<sup>34,35</sup> The authors recognize, however, that conclusions drawn from post hoc analyses are limited in nature and should be interpreted accordingly. We believe that, despite this, the findings can provide information that can inform future studies.

## Pupil Reduction and Visual Performance

Efficacy endpoints of pupil diameter reduction with 1% PMOS demonstrated a statistically significant and clinically relevant mean reduction in pupil diameter of approximately 20% at all time points under photopic and mesopic conditions. Further, the moderate miotic pupil diameter effects were maintained 10 to 20 hours later on Day 8 and Day 15 in this older population, and pupil diameter effects were sustained through 36 hours post-dose at Day 16. This is consistent with prior trials where 1% PMOS showed a decrease in pupil diameter of ~15% among a younger and middle-aged population, which lasted up to 24 hours.<sup>15</sup> Such long-term effects might be attributed to drug binding to melanin, creating a depot and slowing drug release.<sup>36</sup>

In this trial of a presbyopia-aged cohort, over 85% of patients had DCNVA worse than 0.1 logMAR. Many of these presbyopes could benefit from expanding their depth of focus via a smaller pupil, thereby blocking unfocused peripheral rays of light and expanding their Conoid of Sturm. Relevant to presbyopia, the creation of a pinhole-sized pupil can allow the eye to better focus on near objects via the mechanism of pseudoaccommodation, resulting in less dependence on reading adds, reading glasses or contact lenses.<sup>17–19</sup> This phenomenon is supported by the fact that 1% PMOS showed  $\geq 1$ -line improvement in DCNVA from baseline as compared with placebo under different lighting conditions and at multiple time points. In addition, mean DCNVA improvements were seen with patients who had 20/40 or worse vision at baseline.

Further, there was only one patient in the PMOS group and two patients in the placebo group with loss of BCDVA in this study, suggesting that PMOS can improve near vision without compromising distance vision. Moreover, the improvement in DCNVA in this trial could potentially be expanded upon with a second miotic agent since the target optimal pinhole pupil size of 1.6 mm to 2 mm was not achieved with 1% PMOS alone.

In addition to treating presbyopia, this trial suggests that PMOS may be a potential candidate for treating patients

with DLD. A smaller pupil size in DLD can mitigate optical scatter or higher order aberrations that occur when light passes through a wider optical zone of the cornea or intraocular structures, as well as internal light reflections within an IOL related to square edge design. This has been shown in prior trials where patients with DLD exhibited improvement in mesopic low-contrast distance visual acuity and contrast sensitivity after treatment with PMOS.<sup>15,37</sup>

It should be noted that, besides phentolamine, other alpha-1 adrenergic antagonists have a miotic effect and, in fact, have been shown to be safe and effective for the pharmacological reversal of mydriasis. Dapiprazole hydrochloride ophthalmic solution 0.5%, an alpha-1 adrenergic receptor antagonist, was approved by the US FDA in 1990 for the treatment of iatrogenically induced mydriasis produced by adrenergic or parasympatholytic agents.<sup>38</sup> However, the product was withdrawn and discontinued by the manufacturer for reasons not related to safety or efficacy.<sup>39</sup> Thymoxamine, another alpha-1 antagonist, has also shown efficacy in reducing PD from iatrogenic mydriasis but was never approved by the FDA for this purpose.<sup>40</sup> In contrast to these selective alpha-1 adrenergic antagonists, PMOS is a non-selective alpha-1 and alpha-2 antagonist acting on adrenergic receptors and the current study provides new information as to the temporal effects on pupil size and near vision of this agent.

## Safety

PMOS at 1% concentration continued to demonstrate a favorable overall safety profile, consistent with prior clinical trials.<sup>15,37</sup> All TEAEs were mild in severity, and none led to discontinuation or withdrawal from the trial. There were no systemic side effects. Given the mechanism of PMOS as an alpha-1 adrenergic antagonist and its potential effects on hemodynamics,<sup>41</sup> an important safety metric consistent with prior results was no change in mean systolic and diastolic BP and HR.<sup>15,37</sup> This is in contrast with the effects of timolol, which has been shown to significantly reduce BP and HR.<sup>42</sup>

The ocular side effect of mild-to-moderate conjunctival hyperemia was expected due to the on-target vasodilatory effect of alpha-1 antagonist drugs. A new and important finding from previous trials of PMOS, however, was the lack of clinical or statistical significance in daytime conjunctival hyperemia when PMOS is dosed daily in the evening, establishing a preferred dosing regimen.

## Conclusion

This trial found that 1% PMOS did not reduce IOP in patients with glaucoma or OHT. However, statistically significant decreases in pupil size and improvements in DCNVA were seen, which may be clinically relevant in ocular diseases with pupil modulation as a solution, such as presbyopia and DLD. In addition, evening dosing of PMOS was shown to be durable, exhibiting effects up to 36 hours while also ameliorating daytime redness. There were no major ocular or systemic safety issues. PMOS has been studied in patients ranging from 18 to 81 years of age and further trials should be explored to use PMOS eye drops for pupil modulation indications or potentially NTG.

## Data Sharing Statement

The data sets generated and/or analyzed during the current study are not publicly available owing to the need to minimize risk to the privacy and confidentiality of research participants and ensure compliance with legal requirements for privacy and data protection but are available from the corresponding author on reasonable request.

## Ethics Approval and Informed Consent

This multi-center trial was compliant with the principles of the Declaration of Helsinki and the International Council for Harmonisation guidelines for Good Clinical Practice; it was registered at ClinicalTrials.gov (identifier: NCT03960866). Written consent was obtained from each patient prior to any study-related procedures at Visit 1. The study protocol and its amendments, and the informed consent form for all sites, were reviewed and approved by a centralized IRB (Quorum Review IRB, Seattle, WA). The authors do not intend to share individual deidentified participant data, nor will any study documents be made available, aside from publicly available presentations and publications.

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## Disclosure

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