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AN INVESTIGATION OF KUROSH'S THEOREM

A Thesis

Presented to the

Faculty of

California State University,

San Bernardino

In Partial Fulfillment

of the Requirements for the Degree

Master of Arts

in

Mathematics

by

Keith Anthony Earl

December 2010

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Approved by:



Dr. Gary Griffing, Committee Chair

Date

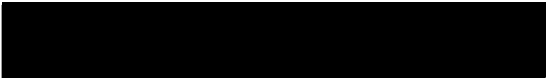
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ABSTRACT

An algebra \mathcal{A} is a vector space over a base field \mathcal{F} which is not necessarily commutative nor unital. Though an algebra may be generated by a finite number of elements, this does not necessarily imply that the algebra is finite-dimensional over \mathcal{F} . This study will be investigation of Alekander Kurosh's problem, in the attempt to establish the necessary hypotheses to ensure that a finitely generated algebra is finite-dimensional.

An algebra \mathcal{A} is algebraic if for each $a \in \mathcal{A}$, $\alpha_n a^n + \alpha_{n-1} a^{n-1} + \cdots + \alpha_0 = 0$ for some $\alpha_i \in \mathcal{F}$ and $n > 0$. Additionally, an algebra is said to satisfy a polynomial identity if there exists an $f \in \mathcal{F}\langle x_1, \dots, x_d \rangle$ such that $f(a_1, \dots, a_d) = 0$ for every $a_1, \dots, a_d \in \mathcal{A}$. In this study we will arrive at the conclusion that if \mathcal{A} is finitely generated, algebraic and satisfies a polynomial identity, then \mathcal{A} is finite-dimensional, providing a sufficient condition to the Kurosh Problem.

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Chapter 1

Introduction

In this work, an algebra \mathcal{A} is a vector space over a base field \mathcal{F} which has a bilinear associative multiplication and is not necessarily commutative nor unital. If every finitely generated subalgebra of \mathcal{A} is finite-dimensional then \mathcal{A} is **locally finite**. In the case that \mathcal{A} is finite-dimensional it will naturally occur that \mathcal{A} is locally finite, since every finitely generated subalgebra is a subspace of \mathcal{A} . In the case that \mathcal{A} is infinite-dimensional, would \mathcal{A} be locally finite? The answer to this question is no since the algebra $\mathcal{F}[x]$ of the polynomials in x is infinite-dimensional, but is generated as an algebra by $\{1, x\}$.

In 1902, William Burnside posed a group theoretic conjecture on whether a finite collection of elements of finite order generates a finite group. Alekander Kurosh, in 1941 [Ami74, p.2], posed an analogous question in terms of algebras to that of Burnside's in an attempt to add a hypothesis to guarantee the local finiteness of algebras. An algebra \mathcal{A} is said to be **algebraic** if for each element $a \in \mathcal{A}$, the subalgebra generated by a is finite-dimensional; that is for some $n \geq 1$ and for some $\alpha_0, \dots, \alpha_n \in \mathcal{F}$, $\alpha_n a^n + \alpha_{n-1} a^{n-1} + \dots + \alpha_0 = 0$. The conjecture proposed by Kurosh can then be stated as follows:

Suppose that an algebraic algebra \mathcal{A} has a finite number of algebra generators, is \mathcal{A} locally finite?

Although Kurosh validated this conjecture in the specific case for algebraic algebras each whose elements satisfy a minimal polynomial of degree no greater than three, in 1963 E.S. Golod and I.R. Shafarevitch disproved Kurosh's conjecture by constructing an infinite-dimensional finitely generated algebraic algebra proving Kurosh's general conjecture in the negative. In order for \mathcal{A} to be finite-dimensional an additional hypothesis

is needed to limit the length of the words $x_{i_1} \cdots x_{i_n} \in \mathcal{F}\langle x_1, \dots, x_d \rangle$, the free associative, non-commutative algebra in the indeterminates x_1, \dots, x_d .

For example, if the condition of commutativity is imposed on the algebra \mathcal{A} then the subalgebra generated by $\{a_1, a_2\} \subseteq \mathcal{A}$ will then be locally finite, since the degree of each word $a_1^{n_1} a_2^{m_1} \cdots a_1^{n_j} a_2^{m_j} = a_1^{n_1 + \cdots + n_j} a_2^{m_1 + \cdots + m_j}$ in the subalgebra is bounded by the degrees of the polynomials satisfied by a_1 and a_2 respectively. To see this process in detail, let a_1 and a_2 be nil with $a_1^r = 0$ and $a_2^s = 0$, then a_1 and a_2 are algebraic and $a_1^{n_1 + \cdots + n_j} a_2^{m_1 + \cdots + m_j} = a_1^n a_2^m$, for some $0 \leq n < r$, $0 \leq m < s$. Thus the typical element of the subalgebra generated by a_1 and a_2 is spanned by these finitely many $a_1^n a_2^m$, and so is finite-dimensional. The same iterative process may be used to show in the algebraic, but not necessarily nil case, that \mathcal{A} is locally finite. Though every commutative nil algebra is locally finite, in the absence of commutativity and nil-potency we will need the inclusion of a **polynomial identity**.

Let \mathcal{A} be an algebra, then \mathcal{A} satisfies a polynomial identity (P.I.) if there exists some $f(x_1, \dots, x_d) \in \mathcal{F}\langle x_1, \dots, x_d \rangle$ such that $f(a_1, \dots, a_d) = 0$ for every $a_1, \dots, a_d \in \mathcal{A}$. Kurosh's theorem can now be stated as,

Suppose that an algebraic algebra \mathcal{A} satisfies a polynomial identity, then \mathcal{A} is locally finite.

In order to comprehend Kurosh's theorem, we will need to investigate the notion of a module over a ring. A **module** \mathcal{M} over a ring \mathcal{R} (\mathcal{R} -Module) is a ring homomorphism $\mathcal{R} \rightarrow \text{End}(\mathcal{M})$, the ring of all endomorphisms of the abelian group \mathcal{M} . For a given \mathcal{R} -module \mathcal{M} , a specific ring homomorphism that will be frequently used in this thesis will be the map $\mathcal{R} \rightarrow \text{End}(\mathcal{M})$ which sends $a \mapsto S_a$ where $(b)S_a = ba$ ($b \in \mathcal{M}$). Furthermore a module is **faithful** if the ring homomorphism is 1-1, and is **irreducible** if there does not exist any proper submodules of \mathcal{M} other than $\{0\}$.

For example \mathbb{Z}_7 is a right (or left) \mathbb{Z} -module given by the ring homomorphism $\Phi: \mathbb{Z} \rightarrow \text{End}(\mathbb{Z}_7)$ where for $r \in \mathbb{Z}$, S_r is well-defined by $(x)S_r = xr$ ($x \in \mathbb{Z}_7$). Since the only proper subgroup of \mathbb{Z}_7 is $\langle 0 \rangle$, \mathbb{Z}_7 does not contain any proper submodules, hence it is an irreducible \mathbb{Z} -module. In addition \mathbb{Z}_7 is not faithful as a \mathbb{Z} -module since any two multiples of 7 produce the same image in $\text{End}(\mathbb{Z}_7)$. In particular for $7, 14 \in \mathbb{Z}$, $(x)S_7 = 7x = 0 = 14x = (x)S_{14}$, thus Φ is not 1-1 since $\text{Ker}(\Phi) = 7\mathbb{Z}$. To correct this we may consider the ring $\mathbb{Z}/\text{Ker}(\Phi) = \mathbb{Z}/7\mathbb{Z}$, and by the first isomorphism theorem of rings

$\Psi : \mathbb{Z}/7\mathbb{Z} \rightarrow \text{End}(\mathbb{Z}_7)$ is a 1-1 mapping. We have constructed a $\mathbb{Z}/7\mathbb{Z}$ -module \mathbb{Z}_7 that is faithful and irreducible. Alternatively, the abelian group \mathbb{Z}_6 is neither a faithful nor irreducible \mathbb{Z} module, since multiples of 6 will produce the same image under Φ , and it contains the proper subgroups and hence submodules $\langle 2 \rangle$ and $\langle 3 \rangle$.

In this project we will also need to present the commuting ring Δ of an \mathcal{R} -module \mathcal{M} , which is the subring of $\text{End}(\mathcal{M})$ consisting of all endomorphisms of \mathcal{M} that commute with the endomorphisms S_a ($a \in \mathcal{R}$). We will then examine **Jacobson Density**, which is a generalization of all Δ -linear endomorphisms on \mathcal{M} , and the concept of primitive rings (rings having a faithful irreducible module). These ideas will be necessary to prove **Kaplansky's theorem** an important breakthrough in P.I. theory and in the development of Kurosh's theorem; it states that a primitive algebra that satisfies a P.I. is finite dimensional over its center.

This masters project will be an exposition of the Kurosh Theorem and the necessary and sufficient condition that \mathcal{A} must be algebraic and satisfy a P.I. to be locally finite.

Chapter 2

\mathcal{R} -Modules & Schur's Lemma

2.1 \mathcal{R} -modules

In this chapter we will introduce the concept of a module over a ring, which will be referred to as an \mathcal{R} -module. Generally an \mathcal{R} -module is a vector space over a ring. Formally an \mathcal{R} -module may be described using representation theory (Chapter 1) but it will be beneficial to the reader for an axiomatic description.

Definition 2.1. Let \mathcal{R} be a ring and \mathcal{M} an additive abelian group. Then \mathcal{M} is a *right \mathcal{R} -module* if there is a map $\mathcal{M} \times \mathcal{R} \rightarrow \mathcal{M}$, sending $(m, r) \mapsto mr$ and for which the following holds for all $m \in \mathcal{M}$ and $r \in \mathcal{R}$,

1. $(m_1 + m_2)r = m_1r + m_2r$
2. $m(r_1 + r_2) = mr_1 + mr_2$
3. $(mr_1)r_2 = m(r_1r_2)$.

Though the rings that we consider do not necessarily have unity, an \mathcal{R} -module \mathcal{M} is **unital** if there exists $1 \in \mathcal{R}$ such that $m(1) = m$ for every $m \in \mathcal{M}$. Additionally we could define a left \mathcal{R} -module by allowing the ring elements to act on the group elements on the left, but throughout this study an \mathcal{R} -module will simply be a right \mathcal{R} -module.

Example 2.2. $6\mathbb{Z}$ is a right \mathbb{Z} module, $6\mathbb{Z} \times \mathbb{Z} \rightarrow 6\mathbb{Z}$ with the action defined as $(x + 6\mathbb{Z})y = xy + 6\mathbb{Z}$ ($x, y \in \mathbb{Z}$). Since $6\mathbb{Z}$ is a two-sided ideal of \mathbb{Z} , the properties of $6\mathbb{Z}$ as a \mathbb{Z} -module are satisfied.

In the context of a vector space \mathcal{V} , a subspace of \mathcal{V} is a subset which is a vector space itself under the operations of \mathcal{V} . It will be natural for us to define an analogous concept in terms of modules.

Definition 2.3. A *submodule* \mathcal{N} of \mathcal{M} is an abelian subgroup of \mathcal{M} which is closed under scalar multiplication: if $x \in \mathcal{N}$, $r \in \mathcal{R}$ then $xr \in \mathcal{N}$.

Example 2.4. \mathcal{R} itself is a right \mathcal{R} -module with the action defined as usual ring multiplication. In addition any right ideal \mathcal{U} of \mathcal{R} is a submodule of \mathcal{R} .

We will often denote the action of \mathcal{R} on \mathcal{M} by multiplication. That is $\mathcal{M}\mathcal{R} = \{mr \mid m \in \mathcal{M}, r \in \mathcal{R}\}$. This will serve to remove any confusion that might arise by implementing function notation.

Proposition 2.5. Let \mathcal{M} be an \mathcal{R} -module then for a fixed $m \in \mathcal{M}$, $m\mathcal{R}$ is a submodule of \mathcal{M} .

Proof. To verify this we will first show that $m\mathcal{R}$ is a subgroup of \mathcal{M} . \mathcal{M} is an \mathcal{R} -module, hence $m\mathcal{R} \subseteq \mathcal{M}$. For $mr_1, mr_2 \in m\mathcal{R}$ we have $mr_1 - mr_2 = m(r_1 - r_2) \in m\mathcal{R}$. By the standard subgroup test $m\mathcal{R}$ is a subgroup of \mathcal{M} . To see that it preserves multiplication by ring elements, it follows from the fact that \mathcal{M} is an \mathcal{R} -module that for $r_1 \in \mathcal{R}$, $(mr_1)r_2 = m(r_1r_2) \in m\mathcal{R}$. \square

Example 2.6. Let \mathcal{N} be a submodule of \mathcal{M} . The quotient module \mathcal{M}/\mathcal{N} is an \mathcal{R} -module by defining the action $(\mathcal{N} + m)r = \mathcal{N} + mr$ for every $r \in \mathcal{R}$, $m \in \mathcal{M}$.

Proposition 2.7. If \mathcal{U} is an ideal of \mathcal{R} then the submodules of \mathcal{M} as an \mathcal{R} -module correspond to the submodules of \mathcal{M} as a \mathcal{R}/\mathcal{U} -module.

We will defer the reader to [Jac09, p.3] for the proof of this proposition.

Definition 2.8. If \mathcal{M} and \mathcal{N} are \mathcal{R} -modules then the mapping $\Psi : \mathcal{M} \rightarrow \mathcal{N}$ is a *module homomorphism* if and only if for $m_1, m_2 \in \mathcal{M}$, $r \in \mathcal{R}$

1. $(m_1 + m_2)\Psi = (m_1)\Psi + (m_2)\Psi$
2. $(m_1r)\Psi = (m_1)\Psi r$.

Definition 2.9. A module \mathcal{M} is *irreducible* if the action on \mathcal{M} by \mathcal{R} is non-trivial ($\mathcal{M}\mathcal{R} \neq \{0\}$) and if the only submodules of \mathcal{M} are $\{0\}$ and \mathcal{M} .

Definition 2.10. An ideal I of \mathcal{R} is *maximal* if for every ideal S of \mathcal{R} such that $I \subset S \subseteq \mathcal{R}$, then $S = \mathcal{R}$.

The next result provides a family of examples of irreducible modules.

Proposition 2.11. *Let I be a maximal ideal of \mathcal{R} then \mathcal{R}/I is an irreducible \mathcal{R} -module.*

Proof. Let I be a maximal right ideal of \mathcal{R} . Since \mathcal{R}/I is an \mathcal{R} -module, it suffices to show that \mathcal{R}/I contains no non-zero proper submodules. If \mathcal{N} is a non-zero proper submodule of \mathcal{R}/I , then the preimage of \mathcal{N} under the map $v: \mathcal{R} \rightarrow \mathcal{R}/I$ is a right ideal of \mathcal{R} . Furthermore this right ideal is not equal to \mathcal{R} and properly contains I . A contradiction, since I is maximal. Hence $\mathcal{N} = \{0\}$. \square

Example 2.12. For any prime p , $\mathbb{Z}/p\mathbb{Z}$ is an irreducible \mathbb{Z} -module.

Example 2.13. For a field \mathcal{F} , let $q(x)$ be an irreducible polynomial in $\mathcal{F}[x]$. Define the principle ideal of $\mathcal{F}[x]$ generated by $q(x)$ to be $\langle q(x) \rangle = \{f(x)q(x) \mid f(x) \in \mathcal{F}[x]\}$, then $\mathcal{F}[x]/\langle q(x) \rangle$ is an irreducible $\mathcal{F}[x]$ -module.

Proposition 2.14. *Let \mathcal{M} be an irreducible \mathcal{R} -module, then for every non-zero $m \in \mathcal{M}$, $m\mathcal{R} = \mathcal{M}$.*

Proof. Let $m \neq 0$ be an element of \mathcal{M} . Then $m\mathcal{R}$ and $\mathcal{N} = \{x \in \mathcal{M} \mid x\mathcal{R} = 0\}$ are both submodules of \mathcal{M} . From irreducibility, both submodules are either 0 or \mathcal{M} . We see that $\mathcal{N} = \{0\}$, otherwise $\mathcal{N}\mathcal{R} = \{0\} = \mathcal{M}\mathcal{R}$ which implies that the action is trivial. As a result $\mathcal{N} = \{0\}$ and since $m \neq 0$, we have that $m\mathcal{R} \neq \{0\}$. We see that $m\mathcal{R} = \mathcal{M}$. \square

This result will be often referred to in Chapter 4.

For an \mathcal{R} -module \mathcal{M} if $\mathcal{M}r = 0$, it does not necessarily imply that $r = 0$. In Example 2.2 for $6 \in \mathbb{Z}$ and for every $x \in \mathbb{Z}$ we have $(x + 6\mathbb{Z})6 = x6 + 6\mathbb{Z} = 0 + 6\mathbb{Z}$. In Example 2.13, any polynomials $g, h \in \mathcal{F}[x]$ with $g(x) = h(x)q(x)$, $g(x)$ will be mapped to 0 under the action on $\mathcal{F}[x]/\langle q(x) \rangle$ by $\mathcal{F}[x]$. We will look to classify these ring elements that annihilate the module by the given action.

Definition 2.15. $\text{Ann}(\mathcal{M}) = \{r \in \mathcal{R} \mid \mathcal{M}r = \{0\}\}$. Furthermore, a module \mathcal{M} is *faithful* if $\text{Ann}(\mathcal{M}) = \{0\}$.

We will leave it to the reader to verify that $\text{Ann}(\mathcal{M})$ is a two-sided ideal of \mathcal{R} .

Proposition 2.16. \mathcal{M} is a faithful $\mathcal{R}/\text{Ann}(\mathcal{M})$ -module.

Proof. Consider $\Phi : \mathcal{M} \times \mathcal{R}/\text{Ann}(\mathcal{M}) \longrightarrow \mathcal{M}$, $(m, r + \text{Ann}(\mathcal{M})) \mapsto m(r + \text{Ann}(\mathcal{M})) = mr + \text{Ann}(\mathcal{M})$. With the presence of cosets we must first show that this mapping is well-defined. To see this suppose $(m, r + \text{Ann}(\mathcal{M})) = (m, r' + \text{Ann}(\mathcal{M}))$. Since $r + \text{Ann}(\mathcal{M}) = r' + \text{Ann}(\mathcal{M})$, we have $r - r' \in \text{Ann}(\mathcal{M})$. In particular, $m(r - r') + \text{Ann}(\mathcal{M}) = 0 + \text{Ann}(\mathcal{M})$. From this we obtain the desired result $mr + \text{Ann}(\mathcal{M}) = mr' + \text{Ann}(\mathcal{M})$. One can verify that the defined action preserves the necessary properties of an $\mathcal{R}/\text{Ann}(\mathcal{M})$ -module.

We will proceed to show that Φ is faithful by proving that only the zero element in $\mathcal{R}/\text{Ann}(\mathcal{M})$ annihilates \mathcal{M} . Let $m(r + \text{Ann}(\mathcal{M})) = 0$ for every $m \in \mathcal{M}$. From the definition of $\text{Ann}(\mathcal{M})$, we see that $mr = 0$ for every $m \in \mathcal{M}$. This places $r \in \text{Ann}(\mathcal{M})$. We have showed that $r + \text{Ann}(\mathcal{M}) = 0 + \text{Ann}(\mathcal{M})$. So \mathcal{M} is a faithful $\mathcal{R}/\text{Ann}(\mathcal{M})$ -module. \square

2.2 Module Representation

Proposition 2.17. 1) Let \mathcal{M} be an \mathcal{R} -module then there exists a ring homomorphism $\mathcal{R} \rightarrow \text{End}(\mathcal{M})$, the ring of all endomorphisms of \mathcal{M} . 2) Let \mathcal{M} be an abelian group and let $\Phi : \mathcal{R} \rightarrow \text{End}(\mathcal{M})$ be a ring homomorphism. Then \mathcal{M} is an \mathcal{R} -module.

Proof. (1) For every $r \in \mathcal{R}$ let $S_r : \mathcal{M} \rightarrow \mathcal{M}$ with the evaluation $(m)S_r = mr$. Since \mathcal{M} is an \mathcal{R} -module we see that for $m_1, m_2 \in \mathcal{M}$,

$$\begin{aligned} (m_1 + m_2)S_r &= (m_1 + m_2)r \\ &= m_1r + m_2r \\ &= (m_1)S_r + (m_2)S_r, \end{aligned}$$

so S_r is an endomorphism of the abelian group \mathcal{M} . $\text{End}(\mathcal{M})$ is a ring with respect to the binary operations of addition and multiplication given by: for $\phi, \psi \in \text{End}(\mathcal{M})$ we define addition as $(m)(\phi + \psi) = (m)\phi + (m)\psi$, and multiplication as $(m)(\psi\phi) = [(m)\psi]\phi$.

Let $\Phi : \mathcal{R} \rightarrow \text{End}(\mathcal{M})$ with $(r)\Phi = S_r$. Then for $r, s \in \mathcal{R}$ and $m \in \mathcal{M}$, $(m)S_{r+s} = (m)S_r + (m)S_s$ which results in $(r + s)\Phi = (r)\Phi + (s)\Phi$. In addition we see that $(m)S_{rs} = (m)(rs) = (mr)s = [(m)S_r]S_s$. Thus $(rs)\Phi = (r)\Phi(s)\Phi$. We see that Φ is a homomorphism.

(2) Define the map $\mathcal{M} \times \mathcal{R} \rightarrow \mathcal{M}$ with $(m, r) \mapsto (m)\Phi_r$. Where the evaluation at r of the endomorphism, $(r)\Phi$ is Φ_r . By applying the fact that Φ_r is an endomorphism of \mathcal{M} we see that the axioms of \mathcal{M} as a \mathcal{R} -module are satisfied.

1. $(m_1 + m_2)\Phi_r = (m_1)\Phi_r + (m_2)\Phi_r$
2. $(m)\Phi_{r+s} = (m)\Phi_r + (m)\Phi_s$
3. $(m)\Phi_{rs} = [(m)\Phi_r]\Phi_s$.

Thus the ring homomorphism Φ defines an \mathcal{R} -module. □

What arises from this proposition is an alternate way to define an \mathcal{R} -module. In addition to the axiomatic approach, we may consider an \mathcal{R} -module \mathcal{M} to be a **representation** of \mathcal{R} . That is there exists a ring homomorphism from $\mathcal{R} \rightarrow \text{End}(\mathcal{M})$. This approach will be used primarily in Chapter 3, in an attempt to describe special characteristics of \mathcal{R} -modules. The definitions defined earlier in this chapter may be viewed in the context of Proposition 2.17. For instance, a **faithful** module may be viewed as a injective homomorphism $\mathcal{R} \rightarrow \text{End}(\mathcal{M})$.

In addition, a consequence that arises from Proposition 2.17 is that every $r \in \mathcal{R}$ may be identified with a specific endomorphism of \mathcal{M} , S_r . In the proceeding definition we will look to characterize the endomorphisms of \mathcal{M} that commute with the particular endomorphisms S_r .

Definition 2.18. $C(\mathcal{M}) = \{\phi \in \text{End}(\mathcal{M}) \mid \phi S_r = S_r \phi \text{ for every } r \in \mathcal{R}\}$

Proposition 2.19. $C(\mathcal{M})$ is the ring of all module endomorphisms of \mathcal{M} .

Proof. Since the identity endomorphism $1_m: m \mapsto m$ is in $C(\mathcal{M})$ we see it is a non-empty subset of $\text{End}(\mathcal{M})$. For $\psi, \phi \in C(\mathcal{M})$, we have $(m)S_r(\phi - \psi) = (mr)(\phi - \psi) = (mr)\phi - (mr)\psi = (mS_r)\phi - (mS_r)\psi = (m\phi)S_r - (m\psi)S_r = (m)(\phi - \psi)S_r$, which places $\phi - \psi \in C(\mathcal{M})$. To see that $\psi\phi \in C(\mathcal{M})$ observe that $(m)S_r(\phi\psi) = (m)(\phi S_r \psi) = (m)(\phi\psi)S_r$. It may be concluded that $C(\mathcal{M})$ is a subring of $\text{End}(\mathcal{M})$.

Let Υ be the collection of all module endomorphisms of \mathcal{M} . For an arbitrary $\Phi \in C(\mathcal{M})$, by definition $(m_1 + m_2)\Phi = (m_1)\Phi + (m_2)\Phi$ and $(mr)\Phi = (mS_r)\Phi = (m\Phi)S_r = (m\Phi)r$. As a result $C(\mathcal{M}) \subseteq \Upsilon$. Conversely, any module endomorphism of Υ must preserve the scalars of \mathcal{R} , hence must commute with every S_r so $\Upsilon \subseteq C(\mathcal{M})$. With both inclusions proved we see that $C(\mathcal{M}) = \Upsilon$. □

2.3 Schur's Lemma

Definition 2.20. A *division ring* is a ring in which every non-zero element has a multiplicative inverse.

Theorem 2.21. (Schur's Lemma) *Let \mathcal{M} be an irreducible \mathcal{R} -module, then $C(\mathcal{M})$ is a division ring.*

Proof. For $C(\mathcal{M})$ to be a division ring we must show that any non-zero element of $C(\mathcal{M})$ is invertible. That is if $\phi \neq 0$ and $\phi \in C(\mathcal{M})$, there exists a ϕ^{-1} such that $\phi\phi^{-1} = \phi^{-1}\phi = 1_{\mathcal{M}}$. Note that this can be reduced to proving that if $\phi \in C(\mathcal{M})$ that there is a $\phi^{-1} \in \text{End}(\mathcal{M})$. This is because if $S_r\phi = \phi S_r$ for every $r \in \mathcal{R}$, then $\phi^{-1}(S_r\phi)\phi^{-1} = \phi^{-1}(\phi S_r)\phi^{-1}$, which results in $\phi^{-1}S_r = S_r\phi^{-1}$ placing $\phi^{-1} \in C(\mathcal{M})$.

Let $\phi \neq 0 \in C(\mathcal{M})$ and denote $(\mathcal{M})\phi = N$. For every $r \in \mathcal{R}$ we see that $Nr = (N)S_r = (\mathcal{M}\phi)S_r = (\mathcal{M}S_r)\phi \subseteq (\mathcal{M})\phi \subseteq N$. Thus N is closed under multiplication of elements of the ring \mathcal{R} . From this we see that N is a submodule of \mathcal{M} . Since \mathcal{M} is irreducible, N is either \mathcal{M} or $\{0\}$. This implies that $(\mathcal{M})\phi = \mathcal{M}$ or $(\mathcal{M})\phi = \{0\}$. Since $\phi \neq 0$ the latter case cannot occur, thus $(\mathcal{M})\phi = \mathcal{M}$. We see that ϕ is surjective. From definition the kernel of this mapping, $\text{Ker}(\phi)$ is a submodule of \mathcal{M} . In addition it cannot be all of \mathcal{M} thus, $\text{Ker}(\phi) = \{0\}$. Note that ϕ is injective, since if $m_1, m_2 \in \mathcal{M}$ with $(m_1)\phi = (m_2)\phi$, then $(m_1 - m_2)\phi = 0$ which implies that $m_1 - m_2 \in \text{Ker}(\phi) = \{0\}$. Thus $m_1 = m_2$. We have proven that ϕ is a bijection. Thus there is an inverse ϕ^{-1} which is a endomorphism of \mathcal{M} . From the previous remarks, we have proven that $\phi^{-1} \in C(\mathcal{M})$. \square

Chapter 3

The Density Theorem

3.1 The Density Theorem

Definition 3.1. A ring is *primitive* if and only if it has a faithful irreducible module.

From Schur's Lemma, for an irreducible module \mathcal{M} , it was proven that the commuting ring $C(\mathcal{M})$ is a division ring. With this result, we may view \mathcal{M} as a right vector space over $C(\mathcal{M})$. In fact denoting $C(\mathcal{M}) = \Delta$, then for $v \in \mathcal{M}$ and $\alpha \in \Delta$, $v\alpha$ is the evaluation of v by the module homomorphism α . Since \mathcal{M} is an \mathcal{R} -module, vector addition is identical to addition of group elements of \mathcal{M} . The scalars of \mathcal{M} are elements of $End(\mathcal{M})$, so both distributive laws are satisfied and by the properties of composition of functions: $v(\alpha + \beta) = v\alpha + v\beta$, $v(\alpha\beta) = (v\alpha)\beta$. Since Δ is a division ring the scalars do not necessarily commute. Aside from this, most properties of a vector space over a field (i.e linear independence) are preserved.

Definition 3.2. \mathcal{R} is a *dense ring of linear transformations* on \mathcal{M} over Δ , if for any k linear independent elements (over Δ) $v_1, \dots, v_k \in \mathcal{M}$ ($k \geq 1$) and for any elements $m_1, \dots, m_k \in \mathcal{M}$ there is an $r \in \mathcal{R}$ such that $v_i r = m_i$ for $i = 1, 2, \dots, k$.

A dense ring of linear transformations is also said to act densely on \mathcal{M} .

Lemma 3.3. Let \mathcal{V} be a finite-dimensional subspace of \mathcal{M} over Δ . Suppose $m \in \mathcal{M}$ and $m \notin \mathcal{V}$ then there exists an $r \in \mathcal{R}$ such that $\mathcal{V}r = \{0\}$ and $mr \neq 0$.

Proof. Suppose that $\dim(\mathcal{V})=k$ ($k \geq 0$). We will proceed by induction on the dimension of the subspace \mathcal{V} over Δ .

If $\dim(\mathcal{V})=0$, we have that $\mathcal{V} = \{0\}$. Since \mathcal{M} is irreducible from Proposition 2.14, if $m \neq 0$ there exists a $r \in \mathcal{R}$ such that $mr \neq 0$. Naturally $\mathcal{V}r = \{0\}$ and the base case is proved.

Suppose that the hypothesis is valid for every subspace of \mathcal{W} of \mathcal{M} over Δ with $\dim(\mathcal{W}) \leq k-1$. If we let v_1, \dots, v_k be a basis of \mathcal{V} then each element in \mathcal{V} may be written as $\sum_{i=1}^k v_i \alpha_i = \sum_{i=2}^k v_i \alpha_i + v_1 \alpha_1$ ($\alpha_i \in \Delta$). Thus \mathcal{V} may be decomposed into $\mathcal{V} = \mathcal{W} + v\Delta$ ($v = v_1 \notin \mathcal{W}$). Define $A(\mathcal{W}) = \{x \in \mathcal{R} \mid \mathcal{W}x = 0\}$. Observe \mathcal{W} is a finite-dimensional subspace with $\dim(\mathcal{W}) = \dim(\mathcal{V}) - 1 = k - 1$. By induction, if $m \in \mathcal{M}$ and $m \notin \mathcal{W}$ then there exists $x \in \mathcal{R}$ with $\mathcal{W}x = 0$ and $mx \neq 0$. In short, for this particular m there exists $x \in A(\mathcal{W})$ such that $mx \neq 0$. The induction hypothesis may be stated that if $m \in \mathcal{M}$ and $mA(\mathcal{W}) = 0$ then $m \in \mathcal{W}$.

We see immediately that $A(\mathcal{W})$ is right ideal of \mathcal{R} . In fact from the converse of the above statement since $v \notin \mathcal{W}$, $vA(\mathcal{W}) \neq \{0\}$. We see that $vA(\mathcal{W})$ is a submodule of \mathcal{M} that is a non-zero. By irreducibility we have that $vA(\mathcal{W}) = \mathcal{M}$.

For $\mathcal{V} = \mathcal{W} + v\Delta$ we will choose a $m' \in \mathcal{M}$ with $m' \notin \mathcal{V}$. By contradiction, suppose that for every $r \in \mathcal{R}$, if $\mathcal{V}r = \{0\}$ then $m'r = 0$. We will show that this is not possible thus proving the theorem. Since $vA(\mathcal{W}) = \mathcal{M}$, for every $x \in \mathcal{M}$ there is an $a \in A(\mathcal{W})$ such that $va = x$. Consider the following map $\beta : \mathcal{M} \rightarrow \mathcal{M}$, $x \mapsto m'a$, where $x = va$. It follows immediately that β is well-defined and is an endomorphism of \mathcal{M} . In addition for $xr = (va)r = v(ar)$ we have,

$$(xr)\beta = m'(ar) = (m'a)r = (x)\beta r.$$

Hence β is a module homomorphism of \mathcal{M} which places it in Δ . For $a \in A(\mathcal{W})$,

$$m'a = (x)\beta = (va)\beta = (v)\beta a.$$

From this we have that $m'a = (v)\beta a$ or equivalently,

$$(m' - (v)\beta)a = 0 \text{ for every } a \in A(\mathcal{W}).$$

Since $(m' - (v)\beta)A(\mathcal{W}) = \{0\}$, by the induction hypothesis $m' - (v)\beta \in \mathcal{W}$. But this leads us to conclude that $m' \in \mathcal{W} + (v)\beta \subseteq \mathcal{W} + v\Delta = \mathcal{V}$. This is a contradiction to the hypothesis that $m' \notin \mathcal{V}$. Thus for $m \in \mathcal{M}, m \notin \mathcal{V}$ there exists an $r \in \mathcal{R}$ such that $\mathcal{V}r \neq \{0\}$ and $mr \neq 0$. \square

Theorem 3.4. (*Density Theorem*). *Let \mathcal{R} be a primitive ring with a faithful irreducible module \mathcal{M} , then \mathcal{R} is dense on \mathcal{M} .*

Proof. Let $v_1, \dots, v_n \in \mathcal{M}$ be linearly independent over Δ , and let $w_1, \dots, w_n \in \mathcal{M}$. Denote by \mathcal{V}_i the linear span of v_j for $j \neq i$. Thus $\mathcal{V}_1 = \text{span}(v_2, v_3, \dots, v_n)$. From Lemma 3.3, since $v_1 \in \mathcal{M}$ and $v_1 \notin \mathcal{V}_1$ there exists a $r_1 \in \mathcal{R}$ with $\mathcal{V}_1 r_1 = \{0\}$ and $v_1 r_1 \neq 0$. From Proposition 2.14 we have that $(v_1 r_1) \mathcal{R} = \mathcal{M}$.

From the above there exists a s_1 in \mathcal{R} such that $w_1 = (v_1 r_1) s_1 = v_1 t_1$ ($t_1 \in \mathcal{R}$). In addition $\mathcal{V}_1 t_1 = \mathcal{V}_1 (r_1 s_1) = (\mathcal{V}_1 r_1) s_1 = \{0\}$. This process may be conducted iteratively for each $\mathcal{V}_2, \dots, \mathcal{V}_n$. As a result for every v_i there is a t_i such that $v_i t_i = w_i$ and $\mathcal{V}_i t_i = \{0\}$. Consider $t = t_1 + t_2 + \dots + t_n$, then from the fact that $v_j \in \mathcal{V}_i$ for all $j \neq i$ we have,

$$v_i t = v_i (t_1 + t_2 + \dots + t_n) = \sum_{j \neq i} v_i t_j + v_i t_i = w_i$$

exhibiting that \mathcal{R} acts densely on \mathcal{M} . □

Theorem 3.5. *Let \mathcal{R} be a primitive ring. Then for some division ring Δ either,*

1. $\mathcal{R} \cong \Delta_n$, the ring of all $n \times n$ matrices with entries in Δ .
2. Given any integer k there exists a subring I_k of \mathcal{R} which maps homomorphically onto Δ_k .

Proof. \mathcal{R} is primitive, thus by Theorem 3.4 it is dense on a vector space \mathcal{V} over some division ring Δ . We will consider two cases:

Suppose \mathcal{V} is finite-dimensional over Δ with $\dim_{\Delta}(\mathcal{V}) = n$. It will be proven that \mathcal{R} is isomorphic to the ring of all $n \times n$ matrices over Δ . Recall that we may define a ring homomorphism $\mathcal{R} \rightarrow \text{End}_{\Delta}(\mathcal{V})$, $r \mapsto S_r$ where for $v \in \mathcal{V}$, $r \in \mathcal{R}$ we have $(v)S_r = vr$. Note that $\text{End}_{\Delta}(\mathcal{V}) = \text{Hom}_{\Delta}(\mathcal{V}, \mathcal{V})$, the ring of all Δ -linear maps from $\mathcal{V} \rightarrow \mathcal{V}$. Since every Δ linear map (with respect to a given basis) is uniquely determined by a $n \times n$ matrix with entries in Δ , we see that $\text{Hom}_{\Delta}(\mathcal{V}, \mathcal{V}) \cong \Delta_n$. Our argument now reduces to proving $\mathcal{R} \cong \text{End}_{\Delta}(\mathcal{V})$. Let $\{e_1, \dots, e_n\}$ be a basis of \mathcal{V} over Δ . Then for $f \in \text{End}_{\Delta}(\mathcal{V})$, $(e_i)f = w_i$ ($w_i \in \mathcal{V}$). But from density there exists an r such that

$$(e_i)S_r = e_i r = w_i$$

Since S_r and f agree on the generators of \mathcal{V} , they are equal. Hence $r \mapsto S_r = f$, which proves surjectivity.

In addition \mathcal{R} acts faithfully in \mathcal{V} . As a result for $\mathcal{R} \rightarrow \text{End}_\Delta(\mathcal{V})$ is an injective mapping. From above we have proved that $\mathcal{R} \cong \text{End}_\Delta(\mathcal{V})$, thus it is isomorphic to Δ_n .

Suppose \mathcal{V} is not finite-dimensional over Δ , and let k be a positive integer. We will construct subring $I_k \cong \Delta_k$. Let $v_1, \dots, v_k, \dots \in \mathcal{V}$ be a infinite linear independent set. Consider the finite-dimensional subspace $\mathcal{Q} = v_1\Delta + v_2\Delta + \dots + v_k\Delta$. In addition let $I_k = \{r \in \mathcal{R} \mid \mathcal{Q}r \subseteq \mathcal{Q}\}$. It then follows directly that I_k is a subring of \mathcal{R} . From density we may assert that $I_k \rightarrow \text{End}_\Delta(\mathcal{Q})$, $r \mapsto S_r$ is a surjective ring homomorphism. Thus I_k maps homomorphically onto Δ_k . \square

It will be beneficial for the reader to note that the linear independence of $v_1, \dots, v_n \in \mathcal{V}$ is a necessary condition for the Density Theorem. Suppose v_1, \dots, v_n are linearly dependent and take w_1, \dots, w_n to be a linearly independent collection in \mathcal{V} . From Theorem 3.4 there is a $t \in \mathcal{R}$ such that $v_i t = w_i$ $i = 1, 2, \dots, n$. Suppose that from the assumption of dependence, v_1 can be written as

$$\begin{aligned} v_1 &= v_2\alpha_2 + \dots + v_n\alpha_n \quad (\alpha_n \in \Delta) \\ v_1 t &= (v_2\alpha_2)t + \dots + (v_n\alpha_n)t \\ w_1 &= (v_2 t)\alpha_2 + \dots + (v_n t)\alpha_n \\ &= w_2\alpha_2 + \dots + w_n\alpha_n. \end{aligned}$$

This would imply that w_1, \dots, w_n is a collection of linearly dependent elements over Δ which is a contradiction. Thus the independence of v_1, \dots, v_n is a necessary condition for the Density Theorem.

Chapter 4

The Jacobson Radical

4.1 The Jacobson Radical

Definition 4.1. Let \mathcal{R} be a ring. The *radical of \mathcal{R}* , $\mathcal{J}(\mathcal{R})$ is the collection of all ring elements r such that $\mathcal{M}r = \{0\}$ for all irreducible \mathcal{R} -modules \mathcal{M} . If \mathcal{M} has no irreducible modules then $\mathcal{J}(\mathcal{R}) = \mathcal{R}$.

It directly follows from Definition 4.1 that $\mathcal{J}(\mathcal{R})$ is a ideal of \mathcal{R} , and is equivalent to $\mathcal{J}(\mathcal{R}) = \bigcap \text{Ann}(\mathcal{M})$ where the intersection runs across all irreducible \mathcal{R} -modules \mathcal{M} . We will defer to [Her05, p.13], in which it is proven that $\mathcal{J}(\mathcal{R})$ when considering irreducible \mathcal{R} -modules is the same when considering irreducible left \mathcal{R} -modules. For a fixed \mathcal{R} , consider Ω to be the non-empty collection of all irreducible \mathcal{R} -modules. If $a \in \mathcal{J}(\mathcal{R})$ then for every $\mathcal{M} \subseteq \Omega$, $(\mathcal{M})S_a = \mathcal{M}a = \{0\}$. This means that for every $\mathcal{M} \subseteq \Omega$ the image of a under the representation determined by \mathcal{M} is the zero endomorphism.

4.2 Characterization of the Jacobson Radical

Definition 4.2. A right ideal F of \mathcal{R} is called *regular* if there exists a $b \in \mathcal{R}$ such that for every $x \in \mathcal{R}$, $x - bx \in F$.

Example 4.3. For a commutative ring \mathcal{R} containing unity, every ideal is regular.

Example 4.4. Let $2\mathbb{Z}$ be the non-unital ring generated by the even integers. Then the

ideal in $2\mathbb{Z}$, $\langle 6 \rangle = \{6j \mid j \in 2\mathbb{Z}\}$ is regular. If $b = 4$ then for $x \in 2\mathbb{Z}$,

$$\begin{aligned} x - 4x &= 2q - 4(2q) \quad q \in \mathbb{Z} \\ &= -6q \in \langle 6 \rangle. \end{aligned}$$

Example 4.5. In the ring referenced in Example 4.4, the ideal $\langle 4 \rangle = \{4j \mid j \in 2\mathbb{Z}\}$ is not regular. That is there does not exist a $b \in 2\mathbb{Z}$ such that $x - bx \in \langle 4 \rangle$ for every $x \in 2\mathbb{Z}$. To see this, take $x = 4 \in 2\mathbb{Z}$ then,

$$\begin{aligned} 4 - b(4) &= 4 - (2r)4 \quad r \in \mathbb{Z} \\ &= 4 - 8r \\ &= 4(1 - 2r) \notin \langle 4 \rangle. \end{aligned}$$

Proposition 4.6. *Let \mathcal{M} be an irreducible \mathcal{R} -module, then $\mathcal{M} \cong \mathcal{R}/F$ for some maximal regular right ideal F of \mathcal{R} .*

Proof. Let $\mathcal{Q} = \{q \in \mathcal{M} \mid q\mathcal{R} = \{0\}\}$. We see that \mathcal{Q} is a submodule of \mathcal{M} . Since \mathcal{M} is irreducible, $\mathcal{Q} = \{0\}$ or $\mathcal{Q} = \mathcal{M}$. If $\mathcal{Q} = \mathcal{M}$, then $\mathcal{Q}\mathcal{R} = \{0\} = \mathcal{M}\mathcal{R}$; a contradiction on the irreducibility of \mathcal{M} . This forces $\mathcal{Q} = \{0\}$. Since $\mathcal{M}\mathcal{R} \neq \{0\}$ there exists a non-zero element m such that $m\mathcal{R} \neq \{0\}$. From Proposition 2.14 we may conclude that $m\mathcal{R} = \mathcal{M}$.

Consider the mapping $\psi: \mathcal{R} \rightarrow \mathcal{M}$ by $(r)\psi = mr$. We claim that ψ is a surjective module homomorphism from \mathcal{R} onto \mathcal{M} . For $r_1, r_2 \in \mathcal{R}$ we have that ,

$$\begin{aligned} (r_1 + r_2)\psi &= m(r_1 + r_2) = mr_1 + mr_2 = (r_1)\psi + (r_2)\psi, \text{ and} \\ (r_1a)\psi &= m(r_1a) = (mr_1)a = (r_1)\psi a. \end{aligned}$$

This proves that ψ is a module homomorphism. To show that ψ is surjective, we recall that the direct image $(\mathcal{R})\psi = m\mathcal{R} = \mathcal{M}$. Denote the kernel of ψ as $\text{Ker}(\psi) = F$, then by the standard isomorphism theorem,

$$\begin{aligned} \mathcal{R}/\text{Ker}(\psi) &\cong (\mathcal{R})\psi \text{ which is equivalent to,} \\ \mathcal{R}/F &\cong \mathcal{M}. \end{aligned}$$

To see that F is maximal, suppose there is a right ideal I of \mathcal{R} such that $F \subset I \subseteq \mathcal{R}$. The image of I under ψ is a submodule of \mathcal{M} . By irreducibility of \mathcal{M} , $(I)\psi$ is equal to $\{0\}$ or \mathcal{M} . If $(I)\psi = \{0\}$, then $I \subseteq \text{Ker}(\psi) = F$ which results in $I = F$, which is a contradiction. If $(I)\psi = \mathcal{M}$ then $(I)\psi = (\mathcal{R})\psi$. Let $x \in \mathcal{R}$, then there exists a $i \in I$ such that $(x)\psi = (i)\psi$ or equivalently, $(x - i)\psi = 0$. This places $x - i \in \text{Ker}\psi = F$. Thus $(x - i) + i = x \in I$ and as a result $I = \mathcal{R}$. Since the only ideal that properly contains F is \mathcal{R} , we may conclude that F is maximal.

We will now prove the existence of a element $b \in \mathcal{R}$ such that $x - bx \in \mathcal{R}$ for every $x \in \mathcal{R}$. With $m\mathcal{R} = \mathcal{M}$ there exists a $b \in \mathcal{R}$ such that $mb = m$. For every $x \in \mathcal{R}$ we have $mx - (mb)x = 0$. Since \mathcal{M} is an \mathcal{R} -module, $m(x - bx) = 0$ which places $x - bx \in F$. \square

Proposition 4.7. *Let F be a proper regular right ideal of \mathcal{R} , then it can be embedded in a maximal right ideal that is regular.*

Proof. Since F is regular there exists a $b \in \mathcal{R}$ such that $x - bx \in F$ for every $x \in \mathcal{R}$. If $b \in F$ then as a right ideal $bx \in F$, which implies that $(x - bx) + bx = x \in F$ thus $F = \mathcal{R}$. This is a contradiction, and we have $b \notin F$.

Let \mathcal{W} be a collection of proper ideals I_i that contain F . One can easily verify that \mathcal{W} is a po-set with respect to the relation of inclusion. Denote $\mathcal{C} = \{I_i \mid I_i \subseteq \mathcal{W}\}$ to be a totally ordered subset of \mathcal{W} . Note that $\bigcup_{\mathcal{C}} I_i$ serves as an upper bound for \mathcal{C} . This ideal is regular since $x - bx \in F \subseteq \bigcup_{\mathcal{C}} I_i$. It is important to note that $b \notin \bigcup_{\mathcal{C}} I_i$, otherwise this would lead to $\bigcup_{\mathcal{C}} I_i = \mathcal{R}$ which is a contradiction. Since every totally ordered set of regular ideals that contain F has an upper bound, Zorn's Lemma may be applied. There then exists a maximal regular ideal of \mathcal{R} which clearly contains F . \square

It is understood that a maximal regular right ideal of a ring \mathcal{R} , is a maximal right ideal of \mathcal{R} which is regular.

Definition 4.8. Let I be an ideal of \mathcal{R} , then $(I : \mathcal{R}) = \{x \in \mathcal{R} \mid \mathcal{R}x \subseteq I\}$.

Proposition 4.9. $\mathcal{J}(\mathcal{R}) = \bigcap (F : \mathcal{R})$ where the intersection runs across all maximal regular right ideals of \mathcal{R} .

Proof. By definition, $\mathcal{J}(\mathcal{R}) = \bigcap \text{Ann}(\mathcal{M})$ where the intersection runs across all irreducible \mathcal{R} -modules. It is then required for us to show that $\text{Ann}(\mathcal{M}) = (F : \mathcal{R})$ for some

maximal regular right ideal F . From Proposition 4.6 every irreducible \mathcal{R} -module is isomorphic to \mathcal{R}/F for some maximal regular right ideal F . Let us denote $\mathcal{M} = \mathcal{R}/F$. If $x \in \text{Ann}(\mathcal{M})$ then $\mathcal{M}x = (r + F)x = F$ for every $r \in \mathcal{R}$. Thus $rx \in F$ for every $r \in \mathcal{R}$ which can be written as $\mathcal{R}x \subseteq F$. We see that $x \in (F : \mathcal{R})$, hence $\text{Ann}(\mathcal{M}) \subseteq (F : \mathcal{R})$.

To show the other inclusion let $x \in (F : \mathcal{R})$, then $\mathcal{R}x \subseteq F$. This implies that $rx \in F$ for every $r \in \mathcal{R}$ which is equivalent to $(r + F)x = F$ for every $r \in \mathcal{R}$. This places $x \in \text{Ann}(\mathcal{M})$. We see that for every irreducible \mathcal{R} -module, \mathcal{M} that $\text{Ann}(\mathcal{M}) = (F : \mathcal{R})$ for a maximal regular right ideal. The Jacobson radical of a ring \mathcal{R} is then $\mathcal{J}(\mathcal{R}) = \bigcap \text{Ann}(\mathcal{M}) = \bigcap (F : \mathcal{R})$. \square

Proposition 4.10. $\mathcal{J}(\mathcal{R})$ is the intersection of the maximal regular right ideals of \mathcal{R} .

Proof. Let F be a maximal regular right ideal of \mathcal{R} and let $b \in \mathcal{R}$ such that $x - bx \in F$ for every $x \in \mathcal{R}$. Then for any $r \in (F : \mathcal{R})$ we have $(r - br) + br = r \in F$ thus $(F : \mathcal{R}) \subseteq F$, for every maximal regular right ideal F of \mathcal{R} . Intersecting over all maximal regular right ideals gives us $\bigcap (F : \mathcal{R}) \subseteq \bigcap F$. From Proposition 4.9 this results in $\mathcal{J}(\mathcal{R}) \subseteq \bigcap F$.

For the other inclusion let $x \in \bigcap F$. We will first construct a regular right ideal $\mathcal{R}' = \{xu + u | u \in \mathcal{R}\}$ ($x = -b$) that is equal to \mathcal{R} . If $\mathcal{R}' \neq \mathcal{R}$ then by Proposition 4.7 $\mathcal{R}' \subseteq F'$ for some proper maximal regular right ideal F' of \mathcal{R} . Since $x \in \bigcap F$ we see that $x \in F'$. As a right ideal of \mathcal{R} , $xu \in F'$. Since $\mathcal{R}' \subseteq F'$, $xu + u \in F'$ thus $(xu + u) - xu = u \in F'$ for every $u \in \mathcal{R}$, implying that $F' = \mathcal{R}$. The proper ideal \mathcal{R}' cannot be embedded in a maximal regular right ideal. A contraction, thus $\mathcal{R}' = \mathcal{R}$. From this equality, there exists a $b' \in \mathcal{R}$ such that $xb' + b' = -x$ or $x + xb' + b' = 0$.

If $\bigcap F \subseteq \mathcal{J}(\mathcal{R})$ then $\mathcal{J}(\mathcal{R}) = \bigcap F$ and we are done. Suppose that $\bigcap F \not\subseteq \mathcal{J}(\mathcal{R})$, then there exists an irreducible \mathcal{R} -module \mathcal{M} such that $\mathcal{M}(\bigcap F) \neq \{0\}$. There then is a non-zero $m \in \mathcal{M}$ with $m(\bigcap F) \neq \{0\}$. It follows from Proposition 2.14 that the submodule, $m(\bigcap F) = \mathcal{M}$. From this there exists a $t \in \bigcap F$ such that $mt = -m$. It was established earlier that for $t \in \bigcap F$ that $\{tu + u | u \in \mathcal{R}\} = \mathcal{R}$, which implies $t + tv + v = 0$. With these two relations we have $0 = -m(0) = -m(t + tv + v) = -[mt + m(tv) + mv] = -(-m - mv + mv) = m$, which is a contradiction under the assumption that $m \neq 0$. Thus the hypothesis $\mathcal{M}(\bigcap F) \neq \{0\}$ is invalid. As a result $\bigcap F$ annihilates all irreducible \mathcal{R} -modules. From this we may conclude that $\bigcap F \subseteq \mathcal{J}(\mathcal{R})$. \square

Definition 4.11. An element $x \in \mathcal{R}$ is *right-quasi-regular* if there exists a $b \in \mathcal{R}$ such

that $x + xb + b = 0$. Furthermore, a right ideal, \mathcal{I} is a right-quasi-regular ideal if every element in \mathcal{I} is right-quasi-regular.

Corollary 4.12. $\mathcal{J}(\mathcal{R})$ is a right-quasi-regular ideal of \mathcal{R} .

Corollary 4.13. $\mathcal{J}(\mathcal{R})$ contains all right-quasi-regular ideals of \mathcal{R} .

Proposition 4.14. Let \mathcal{R} be commutative ring with unity. If the non units of \mathcal{R} form an ideal \mathcal{I} , then $\mathcal{J}(\mathcal{R}) = \mathcal{I}$.

Proof. First we will show that $\mathcal{I} \subseteq \mathcal{J}(\mathcal{R})$. Suppose $x \in \mathcal{I}$. If $1 + x$ is not a unit then we would have $(1 + x) - x = 1 \in \mathcal{I}$. This is a contradiction that every element of \mathcal{I} is a non-unit. From this we see that $1 + x$ is a unit and there exists $b \in \mathcal{R}$ such that

$$\begin{aligned} (1 + x)b &= -1 \\ b + xb &= -1 \\ -bx - x(bx) &= x \\ x + x(bx) + bx &= 0. \end{aligned}$$

Which proves that \mathcal{I} is right-quasi-regular ideal. From Corollary 4.13, $\mathcal{I} \subseteq \mathcal{J}(\mathcal{R})$. Conversely if $x \in \mathcal{J}(\mathcal{R})$ and if x is a unit then $-1 \in \mathcal{J}(\mathcal{R})$. Since $\mathcal{J}(\mathcal{R})$ is a right-quasi-regular ideal for some b we have

$$\begin{aligned} -1 + (-1)b + b &= 0 \\ -1 - b + b &= 0 \\ 1 &= 0 \end{aligned}$$

which is a contradiction. We see that x is not a unit thus $\mathcal{J}(\mathcal{R}) = \mathcal{I}$. □

From this proposition we have the following example of the Jacobson radical of a ring.

Example 4.15. $\mathbb{R}[[x]]$ is the ring of the formal power series in one indeterminate with coefficients in \mathbb{R} . The non-units of $\mathbb{R}[[x]]$ form an ideal and its elements are the polynomials of $\mathbb{R}[[x]]$ with zero constant term (easy check). We see that $\mathcal{J}(\mathbb{R}[[x]]) = \langle x \rangle = \{xf(x) \mid f(x) \in \mathbb{R}[[x]]\}$.

4.3 Algebras

Definition 4.16. Let \mathcal{A} be a ring and let \mathcal{F} be a field. Then \mathcal{A} is an *algebra* over \mathcal{F} if,

1. \mathcal{A} is an \mathcal{F} -module with the action written as $(x, \alpha) \mapsto \alpha x$.
2. For every $\alpha \in \mathcal{F}$ and $x, y \in \mathcal{A}$,

$$\alpha(xy) = (x\alpha)y = x(\alpha y).$$

Definition 4.17. A *right ideal* \mathcal{I} of an algebra \mathcal{A} over a field \mathcal{F} is a linear subspace which for every $a \in \mathcal{A}$, $x \in \mathcal{I}$ then $xa \in \mathcal{I}$.

Definition 4.18. A set S is a *subalgebra* of \mathcal{A} over \mathcal{F} if S is both a subring and a submodule of \mathcal{A} .

Proposition 4.19. *Let \mathcal{A} be an algebra over a field \mathcal{F} then every maximal regular right ideal of \mathcal{A} as a ring is a maximal regular right ideal of \mathcal{A} as an algebra.*

Proof. Suppose F is a maximal regular right ideal in \mathcal{A} as a ring. By definition there is a $b \in \mathcal{A}$ with $x - bx \in F$ for every $x \in \mathcal{A}$. Note that for $\alpha \in \mathcal{F}$, αF is a right ideal of \mathcal{A} .

If $\alpha F \not\subseteq F$, then $\alpha F + F$ is a right ideal of \mathcal{A} which properly contains F . From the maximality of F we have $\alpha F + F = \mathcal{A}$. The element $b \in \mathcal{A}$ may be expressed as

$$\begin{aligned} b &= x_1 + \alpha x_2 \quad (x_1, x_2 \in F) \\ b^2 &= (x_1 + \alpha x_2)b \\ &= x_1b + x_2(\alpha b). \end{aligned}$$

From this we see that $b^2 \in F$. From the definition of F being a regular right ideal, $b - b^2 \in F$. This results in $(b - b^2) + b^2 = b \in F$. With this element in F it follows that $F = \mathcal{A}$, which is a contradiction of the maximality of F . Therefore $\alpha F \subseteq F$ for every $\alpha \in \mathcal{F}$. Thus F is a subspace of \mathcal{A} over \mathcal{F} and is a regular right ideal of \mathcal{A} as an algebra. Since any ideal that contains F is an ideal of \mathcal{A} as a ring, we see that F must be a maximal regular right ideal of \mathcal{A} as an algebra.

Suppose F is a maximal regular right ideal of \mathcal{A} as an algebra. It immediately follows that F is a regular right ideal of \mathcal{A} as a ring. By Proposition 4.7, F may be

embedded in a maximal regular right ideal F' . From above, F' is a maximal regular right ideal of \mathcal{A} as a algebra. Since F' is maximal $F = F'$. Thus F is a maximal regular right ideal of \mathcal{A} as a ring. \square

Corollary 4.20. *Let \mathcal{A} be an algebra, then $\mathcal{J}(\mathcal{A}) = \bigcap F$ where the intersection runs across all maximal regular right ideals of \mathcal{A} as an algebra.*

Definition 4.21. An algebra \mathcal{A} is called *algebraic* if for every $a \in \mathcal{A}$ the subalgebra generated by a is finite-dimensional. That is there exists a n (dependent on a) and $\alpha_i \in \mathcal{F}$ such that $a^n + \alpha_{n-1}a^{n-1} + \dots + \alpha_0 = 0$. The least such n is the *degree* of a . Furthermore an algebraic algebra is *bounded of degree n* if every $a \in \mathcal{A}$ has degree n .

Definition 4.22. An element a is *nilpotent* if there exists an integer $n > 0$ such that $a^n = 0$. An ideal \mathcal{I} is *nil* if each of its elements is nilpotent.

Proposition 4.23. *If \mathcal{A} be an algebraic algebra, then $\mathcal{J}(\mathcal{A})$ is nil.*

Proof. Since $\mathcal{J}(\mathcal{A})$ is a subset of \mathcal{A} , every element of $\mathcal{J}(\mathcal{A})$ is algebraic. Let $a \in \mathcal{J}(\mathcal{A})$, then let \mathcal{U} be the subalgebra generated by a . This finite-dimensional subalgebra \mathcal{U} consists of the elements $\sum_{i=1}^n \alpha_i a^i$ ($\alpha_i \in \mathcal{F}$). In addition $\mathcal{U} \supseteq a\mathcal{U}$, since for $au \in a\mathcal{U}$, $au = a \sum_{i=1}^n \alpha_i a^i = \sum_{i=2}^n \alpha_{i-1} a^i \in \mathcal{U}$. In general we have a descending chain condition where for $k = 0, 1, \dots$ we have $a^k \mathcal{U} \supseteq a^{k+1} \mathcal{U}$. Since \mathcal{U} is finite dimensional $a^k \mathcal{U} = a^{k+1} \mathcal{U}$ for some k . As a result $a^{k+1} \in a^k \mathcal{U} = a^{k+1} \mathcal{U}$ so there exists a $b \in \mathcal{U}$ such that $a^{k+1} = a^{k+1}b$ or equivalently, $a^{k+1} - a^{k+1}b = 0$. Since $b \in \mathcal{J}(\mathcal{A})$, there exists a right-quasi inverse b' such that $b + b' - bb' = 0$. We can now see that a is nilpotent since,

$$\begin{aligned} a^{k+1} &= a^{k+1} - a^{k+1}(b + b' - bb') \\ &= a^{k+1} - a^{k+1}b - a^{k+1}b' + a^{k+1}bb' \\ &= -a^{k+1}b' + a^{k+1}bb' \\ &= 0. \end{aligned}$$

Therefore $\mathcal{J}(\mathcal{A})$ is nil. \square

4.4 Properties of Rings with no Nilpotent Elements

Definition 4.24. An element u of an algebra \mathcal{A} is *idempotent* if $u^2 = u$.

Lemma 4.25. *Suppose \mathcal{R} is a ring with no nilpotent elements, then all idempotent elements of \mathcal{R} lie in its center, $\mathcal{Z}(\mathcal{R})$.*

Proof. Let u be a idempotent element of \mathcal{R} . Then for every $r \in \mathcal{R}$ we have,

$$(ur - uru)^2 = urur - ururu - uru^2r + uru^2ru = 0.$$

Similarly,

$$(ru - uru)^2 = 0.$$

Since \mathcal{R} contains no nilpotent elements, $ur - uru = 0$, $ru - uru = 0$. This leaves us with $ur = uru = ru$, hence $ur = ru$. We have proven that $u \in \mathcal{Z}(\mathcal{R})$. \square

Proposition 4.26. *Let \mathcal{A} be an algebraic algebra that contains no nilpotent elements. Let \mathcal{I} be an ideal and $F \subseteq \mathcal{I}$ a finite subset. Then there exists an idempotent of \mathcal{A} that acts as unity on F .*

Proof. Suppose that $F = \{a_1, \dots, a_k\}$. Let $a_1 \neq 0$ be a non-invertible element in F . As an element of \mathcal{A} it satisfies a polynomial relation

$$a_1^m + \alpha_1 a_1^{m-1} + \dots + \alpha_n a_1^{m-n} = 0, \quad (\alpha_i \in \mathcal{F})$$

where $m - n > 0$. Note that,

$$a_1(a_1^n + \alpha_1 a_1^{n-1} + \dots + \alpha_n) = (a_1^n + \alpha_1 a_1^{n-1} + \dots + \alpha_n)a_1.$$

From this fact we see that

$$\begin{aligned} & [a_1(a_1^n + \alpha_1 a_1^{n-1} + \dots + \alpha_n)]^{m-n} \\ &= (a_1^m + \alpha_1 a_1^{m-1} + \dots + \alpha_n a_1^{m-n})(a_1^n + \alpha_1 a_1^{n-1} + \dots + \alpha_n)^{m-n-1} \\ &= 0. \end{aligned}$$

It follows from the hypothesis that F contains no nilpotent elements thus, $a_1(a_1^n + \alpha_1 a_1^{n-1} + \dots + \alpha_n) = 0$. Next, we will construct a specific idempotent u_1 from this polynomial with the property that $a_1 u_1 = a_1$. We have $0 = a_1^{n+1} + \alpha_1 a_1^n + \dots + \alpha_n a_1$. Rewriting this relation

we have $-\alpha_n a_1 = a_1^{n+1} + \alpha_1 a^n + \cdots + \alpha_{n-(j-1)} a^j$, $j \geq 2$. Factoring a^2 on the right leaves us with, $a_1 = a_1^2 p(a_1)$, which we will denote as $a_1 = a_1 u_1$. Where $u_1 = a_1 p(a_1)$.

Observe that $u_1^2 = a_1^2 p^2(a_1) = a_1 p(a_1) = u_1$. Since a_1 is non-invertible nor 0, $u_1 \neq 0, 1$. In general for a given non-invertible, non-zero element $a_i \in F$ a specific idempotent element u_i exists with $a_i = a_i u_i$. Note that the idempotent $u_i \in F$ is constructed from the polynomial that a_i satisfies. Induction will be used to show that there is an idempotent u such that $a_i u = a_i$ for all $a_i \in F$.

From the previous paragraph there exists a u_1 such that $a_1 u_1 = a_1$. Next, suppose that $a_2 u_1 = a_2, a_3 u_1 = a_3, \dots, a_{k-1} u_1 = a_{k-1}$. If $a_k u_1 = a_k$, we may take $u = u_1$ and we are done. If $a_k u_1 \neq a_k$, from Lemma 4.25 all idempotent elements of \mathcal{I} lie in $\mathcal{Z}(\mathcal{I})$. Then by using the idempotent u_k we have $(a_k - a_k u_1) u_k = a_k - a_k u_1$. From this we see that, $a_k u_k - a_k u_1 u_k = a_k - a_k u_1$, and we may rearrange this relation to get $a_k = a_k u_k - a_k u_1 u_k + a_k u_1$. Factoring an a_k , we have,

$$a_k = a_k(u_k - u_1 u_k + u_1).$$

Let $u = u_k - u_1 u_k + u_1$. It can be easily verified that $u^2 = u$. Furthermore for $i = 1, 2, \dots, k-1$, we see that $a_i u = a_i(u_k - u_1 u_k + u_1) = a_i u_k - a_i u_1 u_k + a_i u_1 = a_i$. Thus an idempotent $u \in F$ has been constructed such that for every $a \in F$, $au = a$. \square

4.5 Free Algebra

Definition 4.27. A set M is a *monoid* if there exists a binary operation $(a, b) \mapsto a \cdot b$ called multiplication which satisfies the following for every $a, b, c \in M$.

1. $(a \cdot b) \cdot c = a \cdot (b \cdot c)$
2. There exists $1 \in M$ with $1 \cdot a = a \cdot 1 = a$.

Consider the set $\mathcal{X} = \{x_1, \dots, x_n\}$, then the **free monoid** generated by \mathcal{X} is a monoid whose elements consists of all finite sequences of \mathcal{X} . That is the set consisting of 1 and elements which are written as,

$$x_{i_1} x_{i_2} \cdots x_{i_m}.$$

These elements are called **monomials**. Multiplication is defined as

$$(x_{t_1} x_{t_2} \cdots x_{t_j})(x_{q_1} x_{q_2} \cdots x_{q_k}) = x_{t_1} x_{t_2} \cdots x_{t_j} x_{q_1} x_{q_2} \cdots x_{q_k}.$$

In addition, $x_{t_1}x_{t_2}\cdots x_{t_j} = x_{q_1}x_{q_2}\cdots x_{q_k}$ if and only if $t_1 = q_1, t_2 = q_2, \dots, t_j = q_k$.

Let \mathcal{F} be a field, then $\mathcal{F}\langle x_1, \dots, x_n \rangle$ is the **free algebra** generated by the non-commuting variables x_1, \dots, x_n . This algebra is spanned by all sums of products of the indeterminates x_1, \dots, x_n . From the previous explanation of the free monoid on M , elements of $\mathcal{F}\langle x_1, \dots, x_n \rangle$ may be expressed as a finite sum

$$f = \sum \alpha_{(i_1, i_2, \dots, i_m)} x_{i_1}^{\sigma(i_1)} x_{i_2}^{\sigma(i_2)} \cdots x_{i_m}^{\sigma(i_m)} \quad \sigma(i_k) \in \mathbb{Z}^+.$$

The **degree** of each monomial occurring in f , $x_{i_1}^{\sigma(i_1)} x_{i_2}^{\sigma(i_2)} \cdots x_{i_m}^{\sigma(i_m)}$ is the sum $\sigma(i_1) + \sigma(i_2) + \cdots + \sigma(i_m)$. The degree of f is the greatest degree of all of the monomials occurring in f . In most cases $f \in \mathcal{F}\langle x_1, \dots, x_n \rangle$ will be expressed as $f(x_1, \dots, x_n)$.

Definition 4.28. $f \in \mathcal{F}\langle x_1, \dots, x_n \rangle$ is *multilinear* if for $k = 1, 2, \dots, n$ and for every $\alpha \in \mathcal{F}$,

1. $f(x_1, x_2, \dots, \overbrace{x + x'}^{kth}, \dots, x_n) = f(x_1, x_2, \dots, \overbrace{x}^{kth}, \dots, x_n) + f(x_1, x_2, \dots, \overbrace{x'}^{kth}, \dots, x_n)$
2. $f(x_1, x_2, \dots, \overbrace{\alpha x}^{kth}, \dots, x_n) = \alpha f(x_1, x_2, \dots, \overbrace{x}^{kth}, \dots, x_n)$

As a consequence f is of the form, $f = \sum \alpha_{(i_1, i_2, \dots, i_n)} x_{i_1} x_{i_2} x_{i_3} \cdots x_{i_n}$ ($\alpha \in \mathcal{F}$), where the monomials $x_{i_1} x_{i_2} \cdots x_{i_n}$ in the summation range over some permutations of x_1, x_2, \dots, x_n .

Example 4.29. In $\mathcal{F}\langle x_1, \dots, x_n \rangle$, $f(x_1, x_2, x_3) = \alpha x_1 x_2 x_3 - \beta x_2 x_1 x_3$ ($\alpha, \beta \in \mathcal{F}$) is a multi-linear polynomial. While $g(x_1, x_2, x_3) = \gamma x_1^2 x_2 x_3 - \delta x_2^3 x_1 x_3^2$ ($\gamma, \delta \in \mathcal{F}$) is not.

Chapter 5

Kaplansky's Theorem

5.1 Polynomial Identities

Definition 5.1. Let \mathcal{A} be an algebra. Then \mathcal{A} satisfies a *polynomial identity* (P.I.) if there exists some $f(x_1, \dots, x_d) \in \mathcal{F}\langle x_1, \dots, x_d \rangle$, such that $f(a_1, \dots, a_d) = 0$ for every $a_1, \dots, a_d \in \mathcal{A}$.

Example 5.2. If \mathcal{A} is a commutative algebra then it satisfies the polynomial identity $f(x_1, x_2) = x_1x_2 - x_2x_1$.

Example 5.3. Let \mathcal{F}_2 be the algebra of 2×2 matrices with entries in field \mathcal{F} . Then \mathcal{F}_2 satisfies the polynomial identity $f(x, y, z) = z(xy - yx)^2 - (xy - yx)^2z$.

Example 5.4. Let \mathcal{F} be field such that $3x = 0$ for every $x \in \mathcal{F}$. Then $\mathcal{F}\langle x_1, x_2 \mid x_1x_2 - x_2x_1 = 1 \rangle$ satisfies the polynomial identity $f(x, y) = (xy + yx)^2 + 2xyxy + xy + 1$. This is a variation of the **Weyl algebra** where the characteristic of \mathcal{F} is 3.

Lemma 5.5. *Let $f \neq 0$ be in $\mathcal{F}\langle x_1, \dots, x_n \rangle$ then there is a positive integer m such that \mathcal{F}_m does not satisfy f .*

Proof. Let f be of degree t . Consider \mathcal{Q} to be the ideal of $\mathcal{F}\langle x_1, \dots, x_n \rangle$ generated by the monomials in x_1, \dots, x_n of degree greater than t . As a result the algebra, $\mathcal{A} = \mathcal{F}\langle x_1, \dots, x_n \rangle / \mathcal{Q}$ is spanned by the representatives that are contained in subspace consisting of all monomials of degree no greater than t . Since \mathcal{A} is finite-dimensional over \mathcal{F} it may be represented as a subalgebra of \mathcal{F}_m ($m = \text{Dim}_{\mathcal{F}} \mathcal{A}$), where $\mathcal{F} \cong \text{End}_{\mathcal{F}}(\mathcal{A})$. Let

\bar{f} be the image of f under the map $\mathcal{F}\langle x_1, \dots, x_n \rangle \rightarrow \mathcal{F}\langle x_1, \dots, x_n \rangle / \mathcal{Q}$. Since f is of degree t it is not contained in \mathcal{Q} , thus \bar{f} is not zero. Since $1 \in \mathcal{A}$ the representation of \bar{f} in \mathcal{F}_m not zero as well. There then exists matrices $a_1, \dots, a_n \in \mathcal{F}_m$ with $f(a_1, \dots, a_n) \neq 0$. This establishes the lemma. \square

Proposition 5.6. *Let \mathcal{A} be an algebra that satisfies a polynomial identity f of degree d . Then \mathcal{A} also satisfies a multilinear identity of degree $\leq d$.*

Proof. We will defer to [Her05, p.157] for the proof of this proposition. \square

We will demonstrate the following process as described in Proposition 5.6. Let $f(x_1, x_2, x_3) = x_1^2 x_3 x_2 - x_2 x_3^2$ be a polynomial identity of degree 4. We will now construct a multilinear polynomial identity from f . By letting $h(x_1, x_2, x_3, x_4) = f(x_1 + x_4, x_2, x_3) - f(x_1, x_2, x_3) - f(x_4, x_2, x_3)$ it directly follows that \mathcal{A} satisfies h . The calculation of h gives us,

$$\begin{aligned} h(x_1, x_2, x_3, x_4) &= (x_1 + x_4)^2 x_3 x_2 - x_2 x_3^2 - (x_1^2 x_3 x_2 + x_2 x_3^2) - (x_4^2 x_3 x_2 + x_2 x_3^2) \\ &= (x_1 x_4 + x_4 x_1) x_3 x_2 - 3x_2 x_3^2 \\ &= x_1 x_4 x_3 x_2 + x_4 x_1 x_3 x_2 - 3x_2 x_3^2 \end{aligned}$$

which produces an identity that is linear in x_1 . By applying the same iterative process to x_3 we have, $g(x_1, x_2, x_3, x_4, x_5) = h(x_1, x_2, x_3 + x_5, x_4) - h(x_1, x_2, x_3, x_4) - h(x_1, x_2, x_5, x_4)$. Simplifying this gives us,

$$\begin{aligned} g(x_1, x_2, x_3, x_4, x_5) &= x_1 x_4 (x_3 + x_5) x_2 + x_4 x_1 (x_3 + x_5) x_2 - 3x_2 (x_3 + x_5)^2 \\ &\quad - (x_1 x_4 x_3 x_2 + x_4 x_1 x_3 x_2 - 3x_2 x_3^2) \\ &\quad - (x_1 x_4 x_5 x_2 + x_4 x_1 x_5 x_2 - 3x_2 x_5^2) \\ &= -3x_2 x_3 x_5 - 3x_2 x_5 x_3. \end{aligned}$$

We see that $g(x_1, x_2, x_3, x_4, x_5)$ is a polynomial identity of degree 3 that is multilinear. Since any algebra homomorphism preserves both products and sums we have the following result.

Proposition 5.7. *Let \mathcal{A} be a algebra that satisfies a polynomial identity f . If Φ is an algebra homomorphism $\Phi : \mathcal{A} \rightarrow \mathcal{B}$, then $\Phi(\mathcal{A})$ satisfies the same identity.*

5.2 Tensor Product

Definition 5.8. Let E be a field, then E is a *field extension* of \mathcal{F} if $\mathcal{F} \subseteq E$ and if \mathcal{F} is a field with respect to the operations of E restricted to \mathcal{F} .

Definition 5.9. Let \mathcal{A} be an algebra over field \mathcal{F} with a field extension E . Then the *tensor product* of \mathcal{A} and E over \mathcal{F} , written as $\mathcal{A} \otimes_{\mathcal{F}} E$ is an algebra constructed by “extending the base field to E .” If $\{a_i\}$ is an \mathcal{F} basis of \mathcal{A} then $\{a_i \otimes 1\}$ is an E basis of $\mathcal{A} \otimes_{\mathcal{F}} E$. The elements of $\mathcal{A} \otimes_{\mathcal{F}} E$ are expressed as a finite sum of $\alpha(a \otimes e)$ for $a \in \mathcal{A}$, $e \in E$, $\alpha \in \mathcal{F}$ and which satisfies the following properties

1. $(a_1 \otimes e_1)(a_2 \otimes e_2) = a_1 a_2 \otimes e_1 e_2$
2. $(a_1 + a_2) \otimes e = a_1 \otimes e + a_2 \otimes e$
3. $a \otimes (e_1 + e_2) = a \otimes e_1 + a \otimes e_2$
4. $\alpha(a \otimes e) = \alpha a \otimes e = a \otimes \alpha e$
5. $0 \otimes e = a \otimes 0 = 0$.

It follows from the above properties that the tensor product is bi-linear and that $\text{Dim}_E(\mathcal{A} \otimes_{\mathcal{F}} E) = \text{Dim}_{\mathcal{F}} \mathcal{A}$. We will refer the reader to [Jac09, p.215 – 220], [Hun74, p.207 – 216], for further details on the construction of this algebra.

Lemma 5.10. *If \mathcal{A} satisfies a multilinear polynomial identity f then for any extension field E of field \mathcal{F} , $\mathcal{A} \otimes_{\mathcal{F}} E$ satisfies f .*

We will demonstrate a particular example of Lemma 5.10 where \mathcal{A} is commutative. Note that \mathcal{A} satisfies the identity $f(x_1, x_2) = x_1 x_2 - x_2 x_1$. Then for the tensor product $\mathcal{A} \otimes_{\mathcal{F}} E$ we have

$$\begin{aligned}
 f(a_1 \otimes e_1, a_2 \otimes e_2) &= (a_1 \otimes e_1)(a_2 \otimes e_2) - (a_2 \otimes e_2)(a_1 \otimes e_1) \\
 &= a_1 a_2 \otimes e_1 e_2 - a_2 a_1 \otimes e_2 e_1 \\
 &= a_1 a_2 \otimes e_1 e_2 - a_2 a_1 \otimes e_1 e_2 \\
 &= (a_1 a_2 - a_2 a_1) \otimes e_1 e_2 \\
 &= 0 \otimes e_1 e_2 \\
 &= 0.
 \end{aligned}$$

Lemma 5.16. *Let Δ be a division ring with center $\mathcal{Z}(\Delta)$. If K is a maximal subfield of Δ then $\Delta \otimes_{\mathcal{Z}(\Delta)} K$ is a dense ring of linear transformations on Δ as a vector space over K .*

Proof. We will defer the proof of this lemma to [Jac64, p.95]. □

Theorem 5.17. (*Kaplansky's Theorem*). *Let \mathcal{A} be a primitive algebra that satisfies a polynomial identity. Then \mathcal{A} is finite-dimensional over its center $\mathcal{Z}(\mathcal{A})$.*

Proof. Since \mathcal{A} is primitive, from Theorem 3.5 it is either isomorphic to Δ_n for some integer n , or for every integer k there exists a subalgebra of \mathcal{A} that maps homomorphically onto Δ_k .

Suppose that the latter of the two occurred. For each k , let S_k be the subalgebra of \mathcal{A} that maps homomorphically onto Δ_k . From Proposition 5.6 we may assume f be the multilinear identity that \mathcal{A} satisfies. Since any subalgebra or homomorphic image of \mathcal{A} satisfies the polynomial identity on \mathcal{A} , Δ_k satisfies f as well. From this the center of Δ_k , $\mathcal{Z}(\Delta_k)$ (a field) satisfies f for every k . From Lemma 5.5 we see that this is an impossibility. As a result the first case must occur, thus $\mathcal{A} \cong \Delta_n$.

Let K be a maximal subfield of Δ . From the above isomorphism, Δ satisfies the polynomial identity f . With this result and the multi-linearity of f , $\Delta \otimes_{\mathcal{Z}(\Delta)} K$ satisfies this identity as well. In addition from Lemma 5.16, $\Delta \otimes_{\mathcal{Z}(\Delta)} K$ is a dense ring of linear transformations on Δ over K thus the above argument may be applied. It follows that $\Delta \otimes_{\mathcal{Z}(\Delta)} K \cong K_q$ for some positive integer q . From the definition of the tensor product we have $q^2 = \text{Dim}_K(\Delta \otimes_{\mathcal{Z}(\Delta)} K) = \text{Dim}_{\mathcal{Z}(\Delta)}(\Delta)$. In addition Δ_n is finite-dimensional over Δ , thus $\text{Dim}_{\Delta}(\Delta_n) = n^2$.

From the fact that Δ_n over Δ and Δ over $\mathcal{Z}(\Delta)$ are finite-dimensional, the spanning set of Δ_n over $\mathcal{Z}(\Delta)$ is finite. Thus Δ_n is finite-dimensional over $\mathcal{Z}(\Delta)$. In other words $\text{Dim}_{\mathcal{Z}(\Delta)}(\Delta_n) = p$, for some positive integer p . From Corollary 5.14, $\mathcal{Z}(\Delta) \cong \mathcal{Z}(\Delta_n)$, thus $\text{Dim}_{\mathcal{Z}(\Delta_n)}(\Delta_n) = p$. Since it was established earlier that $\Delta_n \cong \mathcal{A}$, we may conclude that $p = \text{Dim}_{\mathcal{Z}(\Delta_n)}(\Delta_n) = \text{Dim}_{\mathcal{Z}(\mathcal{A})}(\mathcal{A})$. We have proven that \mathcal{A} is finite-dimensional over its center. □

Chapter 6

Locally Finite Algebras

6.1 Locally Finite Algebras

Definition 6.1. Let $\mathcal{X} = \{x_1, x_2, \dots\}$ be a subset of \mathcal{A} , then the *subalgebra generated by* \mathcal{X} , denoted $\langle \mathcal{X} \rangle$ is the intersection of all subalgebras of \mathcal{A} containing \mathcal{X} .

Definition 6.2. \mathcal{A} is *locally finite* if and only if every finite subset $\mathcal{X} = \{x_1, x_2, \dots, x_n\}$ of \mathcal{A} generates a finite-dimensional subalgebra.

Proposition 6.3. *Let \mathcal{A} be an algebraic algebra that is commutative, then \mathcal{A} is locally finite.*

Proof. Let $\{a_1, \dots, a_k\}$ be a finite subset of \mathcal{A} and take \mathcal{U} be the subalgebra of \mathcal{A} generated by this set. Since \mathcal{A} is algebraic there exists a polynomial f_i of degree $n_i \geq 0$ that a_i satisfies for $i = 1, 2, \dots, k$. Note that each generator a_i has its corresponding n_i . \mathcal{A} is commutative thus the multiplication of two monomials in \mathcal{U} may be rewritten as follows

$$a_1^{r_1} a_2^{r_2} \cdots a_k^{r_k} a_1^{s_1} a_2^{s_2} \cdots a_k^{s_k} = a_1^{r_1+s_1} a_2^{r_2+s_2} \cdots a_k^{r_k+s_k}, \quad r_i, s_i \geq 0.$$

From this, every element in \mathcal{U} is a finite sum of monomials of the above form. We will prove that \mathcal{U} is finite dimensional by showing that for an arbitrary element each monomial in the summand has generators a_i with an exponent no greater than n_i , the degree of the polynomial satisfied by a_i . It will suffice to show that the generator a_1 can be iteratively reduced to that of degree less than n_1 . Given $u = \sum a_1^{m_1} a_2^{m_2} \cdots a_k^{m_k} \in \mathcal{U}$ we will use induction on the exponent of a_1 . Assume that $n_1 \leq m_1$. If $m_1 = n_1$ then

$$u = \sum a_1^{m_1} a_2^{m_2} \cdots a_k^{m_k} = \sum a_1^{n_1} a_2^{m_2} \cdots a_k^{m_k}.$$

\mathcal{U} is algebraic thus $a_1^{n_1} = \alpha_1 a_1^{n_1-1} + \dots + \alpha_{n_1}$, ($\alpha_i \in \mathcal{F}$). We will denote this as $a_1^{n_1} = \alpha_1 a_1^{n_1-1} + p(a_1)$, where the highest exponent of a_1 in $p(a_1)$ is less than $n_1 - 1$. Substituting this into the sum we now have

$$u = \sum [\alpha_1 a_1^{n_1-1} + p(a_1)] a_2^{m_2} \dots a_k^{m_k} = \sum \alpha_1 a_1^{n_1-1} a_2^{m_2} \dots a_k^{m_k} + \sum p(a_1) a_2^{m_2} \dots a_k^{m_k}.$$

The exponent of a_1 has been iteratively reduced to that of less than n_1 . Suppose that for $m_1 = n_1 + t_1$ and for some $t_1 \geq 1$ the exponent of a_1 may be reduced to that which is less than $n_1 + t_1$. Let $m_1 = n_1 + (t_1 + 1)$ then applying the previous technique we have

$$\begin{aligned} u &= \sum a_1^{n_1} a_1^{t_1+1} a_2^{m_2} \dots a_k^{m_k} \\ &= \sum [\alpha_1 a_1^{n_1-1} + p(a_1)] a_1^{t_1+1} a_2^{m_2} \dots a_k^{m_k} \\ &= \sum [\alpha_1 a_1^{n_1+t_1} + a_1^{t_1+1} p(a_1)] a_2^{m_2} \dots a_k^{m_k} \\ &= \sum \alpha_1 a_1^{n_1+t_1} a_2^{m_2} \dots a_k^{m_k} + \sum a_1^{t_1+1} p(a_1) a_2^{m_2} \dots a_k^{m_k} \end{aligned}$$

Where the highest exponent of a_1 in $a_1^{t_1+1} p(a_1)$ is less than $n_1 + t_1$. By induction, u may be expressed as a sum where a_1 has an exponent less than n_1 . This process may be applied to a_2, \dots, a_k successively and as a result the finite set of monomials $a_1^{m_1} a_2^{m_2} \dots a_k^{m_k}$ ($m_i < n_i$) spans \mathcal{U} . Therefore, \mathcal{U} is finite-dimensional which proves that \mathcal{A} is locally finite. \square

Proposition 6.4. *Let \mathcal{U} be a finitely generated algebraic algebra containing unity. If \mathcal{U} is finite-dimensional over its center $\mathcal{Z}(\mathcal{U})$ (a field), then \mathcal{U} is finite-dimensional over \mathcal{F} .*

Proof. By hypothesis \mathcal{U} is finite-dimensional over $\mathcal{Z}(\mathcal{U})$. There then exists elements e_1, \dots, e_m in \mathcal{U} such that every element in \mathcal{U} is a linear combination of the e_i 's with scalars in $\mathcal{Z}(\mathcal{U})$. From this fact if $a_i \in \mathcal{U}$, then it may be expressed as $a_i = \sum_{t=1}^m z_{it} e_i$ ($z_{it} \in \mathcal{Z}(\mathcal{U})$). In addition if $a_i, a_j \in \mathcal{U}$, then $a_i a_j = \sum_{k=1}^m z_{ijk} e_k$ ($z_{ijk} \in \mathcal{Z}(\mathcal{U})$).

Note that for a finitely generated algebra \mathcal{U} over \mathcal{F} , if it is also locally finite over \mathcal{F} then \mathcal{U} is finite-dimensional over \mathcal{F} . Let $\mathcal{X} = \{a_1, \dots, a_n\}$ be a subset of \mathcal{U} . We will show that $\langle \mathcal{X} \rangle$ the algebra over \mathcal{F} generated by this set, is finite-dimensional over \mathcal{F} . Let \mathcal{I} be the subalgebra of $\mathcal{Z}(\mathcal{U})$ generated by the set $\{z_{it}, z_{ijk}\}$ $i, j, k = 1, 2, \dots, m$. Since $\mathcal{I} \subseteq \mathcal{Z}(\mathcal{U}) \subseteq \mathcal{U}$, we see that \mathcal{I} is commutative and algebraic. From Proposition 6.3, \mathcal{I} is locally finite. With the added property that \mathcal{I} is finitely generated we may conclude that \mathcal{I} is finite-dimensional over \mathcal{F} .

Let $W = \{\sum \beta_i e_i \mid \beta_i \in \mathcal{I}\}$. Since \mathcal{I} contains 1 it may be considered as a field, thus W is a finitely generated algebraic algebra over \mathcal{I} . From this we see that W is a finite-dimensional algebra over \mathcal{I} . Since \mathcal{I} is a finite-dimensional algebra over \mathcal{F} we may conclude that W is a finite-dimensional algebra over \mathcal{F} . With $z_{it}, z_{ijk} \in \mathcal{I}$ the subalgebra generated $\{a_1, \dots, a_n\}$, $\langle \mathcal{X} \rangle \subseteq W$. Thus $\langle \mathcal{X} \rangle$ is finite-dimensional, hence \mathcal{U} is locally finite. Since \mathcal{U} itself is finitely generated over \mathcal{F} , it may be concluded that it is finite-dimensional over \mathcal{F} . \square

Lemma 6.5. *If \mathcal{B} and \mathcal{A}/\mathcal{B} are finite-dimensional vector spaces then \mathcal{A} is finite dimensional.*

Proof. Let $v: \mathcal{A} \rightarrow \mathcal{A}/\mathcal{B}$. Then v is a surjective linear map with \mathcal{B} as its kernel. A well know linear algebra result [Hun74, p.5] is that $\dim(\text{kernel}(v)) + \dim(\text{image}(v)) = \dim(\mathcal{A})$. Which is equivalent to $\dim(\mathcal{B}) + \dim(\mathcal{A}/\mathcal{B}) = \dim(\mathcal{A})$. Since from the hypothesis \mathcal{B} and \mathcal{A}/\mathcal{B} are finite-dimensional, it follows that \mathcal{A} is finite-dimensional. \square

Definition 6.6. An ideal \mathcal{I} of an algebra \mathcal{A} is a *locally finite ideal* if when regarded as an algebra it is locally finite.

Proposition 6.7. *Let \mathcal{C} be an ideal of a algebra \mathcal{A} . If \mathcal{A}/\mathcal{C} and \mathcal{C} are locally finite, then \mathcal{A} is locally finite.*

Proof. Let $\{a_1, \dots, a_k\} \subseteq \mathcal{A}$. We will show that the subalgebra of \mathcal{A} generated by this set is finite-dimensional. We will denote $\{\bar{a}_1, \dots, \bar{a}_k\}$ to be the image of this set under the map $\mathcal{A} \rightarrow \mathcal{A}/\mathcal{C}$. Since \mathcal{A}/\mathcal{C} is locally finite, the subalgebra generated by $\{\bar{a}_1, \dots, \bar{a}_k\}$ is finite-dimensional. Let $\{\bar{a}_1, \dots, \bar{a}_k, \bar{a}_{k+1}, \dots, \bar{a}_n\}$ be a spanning set of this subalgebra. From this every element in \mathcal{A}/\mathcal{C} may be expressed as $\sum \alpha_i \bar{a}_i$, ($\alpha_i \in \mathcal{F}$). The multiplication of two elements $\bar{a}_i, \bar{a}_j \in \mathcal{A}/\mathcal{C}$ may be expressed as $\bar{a}_i \bar{a}_j = \sum \alpha_{ijl} \bar{a}_l$. Using the properties of cosets, a inverse image of this product will be $a_i a_j = \sum \alpha_{ijl} a_l + c_{ij}$, ($c_{ij} \in \mathcal{C}$).

Denote \mathcal{S} to be the subalgebra generated by $\{a_1, \dots, a_n\}$. It will be beneficial

for the reader to observe the product of elements in \mathcal{A} . We see that,

$$\begin{aligned}
(a_i a_j) a_q &= \left(\sum \alpha_{ijk} a_k + c_{ij} \right) a_q \\
&= \sum \alpha_{ijk} a_k a_q + c_{ij} a_q \\
&= \sum \alpha_{ijk} (\beta_{kqp} a_p + c_{kq}) + c_{ij} a_q \\
&= \sum (\alpha_{ijk} \beta_{kqp}) a_p + \alpha_{ijk} c_{kq} + c_{ij} a_q.
\end{aligned}$$

In addition,

$$a_i (a_j a_q) = \sum (\alpha_{ipk} \beta_{jqp}) a_k + \beta_{jqp} c_{ip} + a_i c_{jq}.$$

Lastly, we need to consider the product above by an additional a_r ,

$$(a_i a_j a_q) a_r = \sum (\alpha_{ipk} \beta_{jqp} \gamma_{krs}) a_s + (\alpha_{ipk} \beta_{jqp}) c_{pq} + \beta_{jqp} c_{ip} a_r + a_i c_{jq} a_r.$$

We will let \mathcal{Q} be the subalgebra generated by $\{c_{ij}, a_i c_{jq}, c_{ij} a_q, a_i c_{jq} a_r\}$. Since each of these elements are in \mathcal{C} , a locally finite ideal, \mathcal{Q} is finite dimensional. In addition \mathcal{Q} is a subspace of \mathcal{S} in which clearly $qa, aq \in \mathcal{Q}$ ($a \in \mathcal{A}, q \in \mathcal{Q}$), hence is an ideal of \mathcal{S} .

Consider the map $\mathcal{S} \rightarrow \mathcal{S}/\mathcal{Q}$, sending $a \mapsto \bar{a} = a + \mathcal{S}$. Since $\mathcal{C} \subseteq \mathcal{Q}$, the image of the product of $a_i a_j$ is $\bar{a}_i \bar{a}_j = \sum \alpha_{ijk} \bar{a}_k$, in which we see that $\{\bar{a}_i, \dots, \bar{a}_n\}$ is a spanning set of \mathcal{S}/\mathcal{Q} . This set of vectors can be reduced to a finite basis by removing any vectors that are linearly dependent, thus \mathcal{S}/\mathcal{Q} is finite-dimensional over \mathcal{F} . Since \mathcal{S}/\mathcal{Q} and \mathcal{Q} are finite-dimensional over \mathcal{F} from Lemma 6.5 we may conclude that \mathcal{S} is finite-dimensional over \mathcal{F} . This proves that \mathcal{A} is locally finite. \square

Lemma 6.8. *Let $\phi: \mathcal{U} \rightarrow \mathcal{V}$ be a ring homomorphism. If \mathcal{U} is locally finite, then the image of \mathcal{U} under ϕ , $\phi(\mathcal{U})$ is locally finite.*

We will leave the proof of this lemma to the reader.

Proposition 6.9. *Let \mathcal{U} and \mathcal{V} be a locally finite ideals of \mathcal{A} , then $\mathcal{U} + \mathcal{V}$ is locally finite.*

Proof. Define $\phi: \mathcal{U} + \mathcal{V} \rightarrow \mathcal{U}/\mathcal{U} \cap \mathcal{V}$, sending $u + v \mapsto u + \mathcal{U} \cap \mathcal{V}$. Note that this map is surjective, since for any coset $u' + \mathcal{U} \cap \mathcal{V}$, we have that, $\phi(u' + v) = u' + \mathcal{U} \cap \mathcal{V}$. Next, we will show that ϕ is a homomorphism. Let $u + v, u' + v' \in \mathcal{U} + \mathcal{V}$ then,

$$\begin{aligned}
\phi[(u + v) + (u' + v')] &= \phi[(u + u') + (v + v')] = (u + u') + \mathcal{U} \cap \mathcal{V} \\
&= u + \mathcal{U} \cap \mathcal{V} + u' + \mathcal{U} \cap \mathcal{V} \\
&= \phi(u + v) + \phi(u' + v').
\end{aligned}$$

In addition, we may note that the kernel of this mapping satisfies, $\text{Ker}(\phi) = \mathcal{V}$. From the standard isomorphism theorem,

$$\mathcal{U} + \mathcal{V} / \text{Ker}\phi \cong \phi(\mathcal{U} + \mathcal{V})$$

Since ϕ is surjective we have,

$$\mathcal{U} + \mathcal{V} / \mathcal{V} \cong \mathcal{U} / \mathcal{U} \cap \mathcal{V}.$$

From the use of Lemma 6.8 and the fact that $\mathcal{U} / \mathcal{U} \cap \mathcal{V}$ is a homomorphic image of \mathcal{U} , we see that $\mathcal{U} / \mathcal{U} \cap \mathcal{V}$ is locally finite. Applying this lemma to the isomorphism above we may conclude that $\mathcal{U} + \mathcal{V} / \mathcal{V}$ is locally finite. By hypothesis \mathcal{V} is locally finite. Then by applying Theorem 6.7 implies that $\mathcal{U} + \mathcal{V}$ is locally finite. \square

Proposition 6.10. *For every algebra \mathcal{A} there exists a maximal locally finite ideal which contains all locally finite ideals of \mathcal{A} .*

Proof. We will first show the existence of a maximal locally finite ideal of \mathcal{A} then proceed to show it contains all locally finite ideals of \mathcal{A} . Let \mathcal{W} be a collection of locally finite ideals of \mathcal{A} . One may verify that \mathcal{W} is a po-set related by containment. Let us denote $\mathcal{C} = \{\mathcal{W}_i \mid \mathcal{W}_i \subseteq \mathcal{W}\}$ to be a totally ordered subset of \mathcal{W} . We will show that $\bigcup_{\mathcal{C}} \mathcal{W}_i$ is an upper bound of \mathcal{C} . If X is a finite subset of $\bigcup_{\mathcal{C}} \mathcal{W}_i$, then since \mathcal{C} is totally ordered there exists a \mathcal{W}_β of \mathcal{A} such that $X \subseteq \mathcal{W}_\beta$, thus $\langle X \rangle$ is finite-dimensional. This implies that $\bigcup_{\mathcal{C}} \mathcal{W}_i$ is an element of \mathcal{C} . In addition, $\bigcup_{\mathcal{C}} \mathcal{W}_i$ clearly contains $\mathcal{W}_j \in \mathcal{C}$, thus is an upper bound of \mathcal{C} . Since every totally ordered subset of \mathcal{W} contains an upper bound, Zorn's Lemma may be applied. As a result, there exists a maximal locally finite ideal denoted as $L(\mathcal{A})$.

Next we will show that $L(\mathcal{A})$ contains all locally finite ideals of \mathcal{A} . Let \mathcal{U} be a locally finite ideal of \mathcal{A} . By Proposition 6.9, $L(\mathcal{A}) + \mathcal{U}$ is also a locally finite ideal of \mathcal{A} . As a result, $L(\mathcal{A}) \subseteq L(\mathcal{A}) + \mathcal{U}$. By maximality we see that $L(\mathcal{A}) = L(\mathcal{A}) + \mathcal{U}$, which implies that $\mathcal{U} \subseteq L(\mathcal{A})$. This completes the proof. \square

From here on we will denote $L(\mathcal{A})$ to be the maximum locally finite ideal of \mathcal{A} .

Corollary 6.11. \mathcal{A} is locally finite if and only if $L(\mathcal{A}) = \mathcal{A}$.

The proof of this theorem follows directly from Proposition 6.10. We will leave the proof to the reader.

Theorem 6.12. $L(\mathcal{A}/L(\mathcal{A})) = \{0\}$.

Proof. Consider the homomorphism $v: \mathcal{A} \rightarrow \mathcal{A}/L(\mathcal{A})$. Let \overline{C} be a locally finite ideal of $\mathcal{A}/L(\mathcal{A})$. From the correspondence theorem $\overline{C} = C/L(\mathcal{A})$ for some ideal C of \mathcal{A} containing $L(\mathcal{A})$. With \overline{C} and $L(\mathcal{A})$ as locally finite ideals of \mathcal{A} , from Proposition 6.7 it may be concluded that C is locally finite as well. Since C is locally finite, $C \subseteq L(\mathcal{A})$ and as a result $L(\mathcal{A}) = C$. This implies that the locally finite ideal, $\overline{C} = C/L(\mathcal{A}) = \{0\}$. We see that every locally finite ideal of $\mathcal{A}/L(\mathcal{A})$ is $\{0\}$. Thus it follows from Proposition 6.10 that $L(\mathcal{A}/L(\mathcal{A})) = \{0\}$. \square

In Proposition 6.10 it was proven that $L(\mathcal{A})$ contains all locally finite ideals of \mathcal{A} . We will look to extend this result by proving $L(\mathcal{A})$ contains all one-sided locally finite ideals of \mathcal{A} as well.

Proposition 6.13. Let \mathcal{U} be a locally finite right (or left) ideal of \mathcal{A} then $\mathcal{U} \subseteq L(\mathcal{A})$.

Proof. Let $\overline{\mathcal{U}}$ be the image of the right ideal \mathcal{U} under the homomorphism $v: \mathcal{A} \rightarrow \mathcal{A}/L(\mathcal{A}) = \overline{\mathcal{A}}$. Since $L(\overline{\mathcal{A}}) = L(\mathcal{A}/L(\mathcal{A})) = \{0\}$, if $\overline{\mathcal{U}}$ is locally finite as a two-sided ideal $\overline{\mathcal{U}} = \{0\}$. From the properties of cosets this would imply that $\mathcal{U} \subseteq L(\mathcal{A})$, hence being locally finite. With this we see that the proof of this theorem reduces to showing that the locally finite right ideal $\overline{\mathcal{U}} = \{0\}$.

Since v is a surjective map $\overline{\mathcal{U}}$ is a right ideal of $\overline{\mathcal{A}}$. To prove that $\overline{\mathcal{U}} = \{0\}$ we will first show that the ideal $\overline{\mathcal{A}}\overline{\mathcal{U}}$ is locally finite ideal of $\overline{\mathcal{A}}$. Let $\{x_1, \dots, x_n\}$ be a non-empty subset of $\overline{\mathcal{A}}\overline{\mathcal{U}}$. Then

$$\begin{aligned} x_i &= \sum a_{ij}u_{ij}, & a_{ij} \in \overline{\mathcal{A}}, u_{ij} \in \overline{\mathcal{U}} & \text{ and,} \\ x_i x_k &= \sum a_{ij}u_{ij}a_{kl}u_{kl}. \end{aligned}$$

We will denote $u_{ij}a_{kl} = q_{ijkl}$. Let W be the subalgebra generated by $\{q_{ijkl}, u_{kl}\}$. From Proposition 5.7, \bar{U} is locally finite. In addition, $q_{ijkl} \in \bar{U}$ so the subalgebra W is finite-dimensional over \mathcal{F} . The product $x_i x_k = \sum a_{ij} q_{ijkl} u_{kl} \subseteq \sum a_{ij} W$. Let $Q = \sum a_{ij} W$. Since W is finite-dimensional and Q is a finite sum, it follows that Q is finite dimensional and that it contains any product any two x_i 's. To show that Q is closed under multiplication it will suffice just to compute the following

$$\begin{aligned} x_t x_i x_k &\subseteq x_t \sum a_{ij} W \\ &\subseteq \left(\sum a_{tp} u_{tp} \right) \sum a_{ij} W = \sum a_{tp} q_{tpij} W \\ &\subseteq \sum a_{tp} W \\ &\subseteq Q. \end{aligned}$$

Since the product of any collection of x 's is contained in Q , the subalgebra generated by $\{x_1, \dots, x_n\}$ will be contained in this finite dimensional vector space as well. Thus the subalgebra generated by $\{x_1, \dots, x_n\}$ is finite-dimensional over \mathcal{F} . It has been shown that $\bar{\mathcal{A}} \bar{U}$ is a locally finite ideal of $\bar{\mathcal{A}}$ hence $\bar{\mathcal{A}} \bar{U} = \{0\}$. With the additional fact that \bar{U} is a right ideal of $\bar{\mathcal{A}}$ we see that \bar{U} is a two sided ideal of $\bar{\mathcal{A}}$. Since \bar{U} is locally finite, we see that $\bar{U} = \{0\}$. In reference to the earlier remarks, we have that $U \subseteq L(\mathcal{A})$, the desired result. \square

Theorem 6.14. *Let $\mathcal{A} \neq \{0\}$ be finitely generated algebraic algebra that satisfies a polynomial identity. If \mathcal{A} contains no nilpotent elements then $L(\mathcal{A}) \neq \{0\}$.*

Proof. Since \mathcal{A} is algebraic, from Proposition 4.23 $\mathcal{J}(\mathcal{A})$ is nil. With the additional hypothesis that \mathcal{A} contains no nilpotent elements, we may conclude that $\mathcal{J}(\mathcal{A}) = \{0\}$. Since $\mathcal{J}(\mathcal{A}) \neq \mathcal{A}$, there exists an irreducible \mathcal{A} -module \mathcal{N} . From Proposition 2.16 we see that \mathcal{N} is a faithful $\mathcal{A}/Ann(\mathcal{N})$ -module. A problem that may occur is \mathcal{N} over $\mathcal{A}/Ann(\mathcal{N})$ may not be irreducible. Since from Proposition 2.7 the submodules of \mathcal{N} over \mathcal{A} correspond to the submodules of \mathcal{N} over $\mathcal{A}/Ann(\mathcal{N})$, \mathcal{N} is a faithful irreducible $\mathcal{A}/Ann(\mathcal{N})$ -module. Generally, we may conclude that there exists an ideal \mathcal{I} such that \mathcal{A}/\mathcal{I} is primitive.

Let $v : \mathcal{A} \rightarrow \mathcal{A}/\mathcal{I}$, sending $a \mapsto \bar{a}$. By hypothesis, \mathcal{A} satisfies a polynomial identity. Since v is a homomorphism, from Lemma 5.7 \mathcal{A}/\mathcal{I} satisfies the same polynomial identity. With the previous paragraph in mind, we have shown that \mathcal{A}/\mathcal{I} is a primitive

algebra that satisfies a polynomial identity. From Kaplansky's Theorem, \mathcal{A}/\mathcal{I} is finite dimensional over its center $\mathcal{Z}(\mathcal{A}/\mathcal{I})$.

From the hypothesis \mathcal{A} is generated by some finite set $\{a_1, \dots, a_k\}$. It is evident that the image of the set under the mapping $v, \{\bar{a}_1, \dots, \bar{a}_k\}$ generates \mathcal{A}/\mathcal{I} . Since \mathcal{A}/\mathcal{I} is finitely generated, we may now apply Proposition 6.4 and conclude that \mathcal{A}/\mathcal{I} is finite-dimensional over \mathcal{F} .

Let $\{\bar{e}_1, \dots, \bar{e}_m\}$ be a basis of \mathcal{A}/\mathcal{I} . Although v is not an injective map, we may still determine an inverse image $\{e_1, \dots, e_m\}$ of $\{\bar{e}_1, \dots, \bar{e}_m\}$. For an $\bar{a}_i \in \mathcal{A}/\mathcal{I}$, we have $\bar{a}_i = \sum \alpha_{ij} \bar{e}_j$, ($\alpha_{ij} \in \mathcal{F}$). In \mathcal{A} , this element is of the form $a_i - \sum \alpha_{ij} e_j = b_i$, ($b_i \in \mathcal{I}$). We have showed that

$$a_i = \sum \alpha_{ij} e_j + b_i.$$

Similarly for $e_i e_j \in \mathcal{A}$,

$$e_i e_j = \sum \beta_{ijk} e_k + b_{ij} \quad b_{ij} \in \mathcal{I}.$$

Let \mathcal{I}' be an ideal of \mathcal{A} generated by finite set $\{b_i, b_{ij}\}$, $i, j = 1, \dots, n$. We will now prove that $\mathcal{I} = \mathcal{I}'$, showing that \mathcal{I} is finitely generated. Clearly the generators of \mathcal{I}' imply $\mathcal{I}' \subseteq \mathcal{I}$. Let $a \in \mathcal{I}$ then from above we may conclude that $a = \sum \gamma_i e_i + b'$ ($b' \in \mathcal{I}'$, $\gamma_i \in \mathcal{F}$). Since $a, b' \in \mathcal{I}$, $a - b' = \sum \gamma_i e_i \in \mathcal{I}$. The image $\overline{a - b'} = \sum \gamma_i \bar{e}_i = \bar{0}$. The \bar{e}_i 's form a basis for \mathcal{A}/\mathcal{I} . By linear independence $\gamma_i = 0$ for every i , which results in $a = b'$, hence $\mathcal{I} \subseteq \mathcal{I}'$. This proves that $\mathcal{I} = \mathcal{I}'$.

From Proposition 4.26 there is an idempotent element $u \in \mathcal{Z}(\mathcal{I})$ where $ub_i = b_i$ and $ub_{ij} = b_{ij}$ for all i, j . With this idempotent element we can apply the *left Pierce decomposition* [Jac64, p48] of \mathcal{A} which results in

$$\mathcal{A} = \mathcal{A}u \oplus \mathcal{A}(1 - u)$$

where $\mathcal{A}u$ and $\mathcal{A}(1 - u) = \{a - au \mid a \in \mathcal{A}\}$ are two sided ideals of \mathcal{A} . Since u is in the center of \mathcal{I} , $\mathcal{I} = \mathcal{A}u$ and the left Pierce decomposition can be reduced to,

$$\mathcal{A}/\mathcal{I} = \mathcal{A}/\mathcal{A}u \cong \mathcal{A}(1 - u).$$

\mathcal{A}/\mathcal{I} corresponds to a two sided ideal $\mathcal{A}(1 - u)$ of \mathcal{A} . It was previously proven that \mathcal{A}/\mathcal{I} is finite dimensional over \mathcal{F} . Its isomorphic image $\mathcal{A}(1 - u)$ must also be finite dimensional, hence locally finite. We may conclude that $\mathcal{A}(1 - u)$ is a non-zero locally finite ideal of \mathcal{A} which is contained in $L(\mathcal{A})$. We have proven that $L(\mathcal{A}) \neq \{0\}$. \square

Chapter 7

Kurosh's Theorem

7.1 Overview

Recall that a finitely generated algebra is not necessarily finite-dimensional. There are numerous examples that can confirm this. In particular the algebra $\mathcal{F}[x]$ is not finite-dimensional but is generated as an algebra by 1 and x . Conversely there are natural examples in which a finitely generated algebra is finite-dimensional. In the case in which \mathcal{A} is algebraic and commutative, any finite subset of \mathcal{A} generates a finite-dimensional algebra. In general is there a condition that is both necessary and sufficient to ensure that an algebra is locally finite?

Alekander Kurosh in 1962 discovered that an algebra that is algebraic and satisfies a polynomial identity is locally finite. Note that $\mathcal{F}[x]$ is not locally finite, nor is it algebraic, since there does not exist a non-zero polynomial that x satisfies. We will conclude our exposition by proving Kurosh's Theorem.

7.2 Kurosh's Theorem

Theorem 7.1. (Kurosh's Theorem) *Let \mathcal{A} be an algebraic algebra over field \mathcal{F} that satisfies a polynomial identity, then \mathcal{A} is locally finite.*

Proof. From Proposition 5.6 we may assume that the polynomial identity is multilinear. Since any finitely generated subalgebra of \mathcal{A} will satisfy the same identity we may assume that \mathcal{A} is finitely generated. Our argument will be reduced to proving that a finitely

generated algebraic algebra \mathcal{A} that satisfies a multilinear polynomial identity of degree d is locally finite.

Recall that the maximum locally finite ideal of \mathcal{A} , $L(\mathcal{A})$ contains all locally finite ideals of \mathcal{A} . From Corollary 6.11, \mathcal{A} is locally finite if and only if $L(\mathcal{A}) = \mathcal{A}$. In this proof we will consider the quotient $\overline{\mathcal{A}} = \mathcal{A}/L(\mathcal{A})$, and arrive at the conclusion that $\overline{\mathcal{A}} = \{0\}$ which will result in $L(\mathcal{A}) = \mathcal{A}$.

From Theorem 6.12 we have that $L(\overline{\mathcal{A}}) = L(\mathcal{A}/L(\mathcal{A})) = \{0\}$. We will assume that $\overline{\mathcal{A}} \neq \{0\}$ and distinguish two cases both resulting in $L(\overline{\mathcal{A}}) \neq \{0\}$, which is a contradiction. This will show that $\overline{\mathcal{A}} = \{0\}$ and prove that \mathcal{A} is locally finite. We will proceed with the first case.

Case 1. ($\overline{\mathcal{A}}$ contains no non-zero nilpotent elements)

Since $\overline{\mathcal{A}}$ is the homomorphic image of the natural map, $v : \mathcal{A} \rightarrow \mathcal{A}/L(\mathcal{A})$, $\overline{\mathcal{A}}$ satisfies the polynomial identity of \mathcal{A} . Let $f(x_1, \dots, x_d)$ be the identity satisfied by $\overline{\mathcal{A}}$. By assumption \mathcal{A} is generated by some non-empty set $\{a_1, \dots, a_k\}$ and the image of these elements under v will also generate $\overline{\mathcal{A}}$. Thus $\overline{\mathcal{A}}$ is finitely generated algebraic algebra that satisfies an identity. From Theorem 6.14 we have that $L(\overline{\mathcal{A}}) \neq \{0\}$.

Case 2. ($\overline{\mathcal{A}}$ contains a non-zero nilpotent element)

We may assume there exists a non-zero nilpotent element $u \in \overline{\mathcal{A}}$ is such that $u^2 = 0$. We will look at the left ideal $\overline{\mathcal{A}}u = \{\overline{a}u \mid \overline{a} \in \overline{\mathcal{A}}\}$ of $\overline{\mathcal{A}}$.

If $\overline{\mathcal{A}}u = \{0\}$, then $u \in \text{Ann}(\overline{\mathcal{A}})$. Note that $\text{Ann}(\overline{\mathcal{A}})$ is locally finite since for any finite subset $\{u_1, u_2, \dots, u_k\} \subseteq \text{Ann}(\overline{\mathcal{A}})$ the subalgebra generated by this set will be spanned by $\{u_1, u_2, \dots, u_k\}$ ($u_i u_j = 0$, $i, j = 1, 2, \dots, n$). Hence $\text{Ann}(\overline{\mathcal{A}})$ is a non-empty locally finite ideal of $\overline{\mathcal{A}}$. This implies $L(\overline{\mathcal{A}}) \neq \{0\}$.

In the case that $\overline{\mathcal{A}}u \neq \{0\}$, we will use induction on the degree of the polynomial identity to show that $\overline{\mathcal{A}}u$ is locally finite and is thus contained in $L(\overline{\mathcal{A}})$. As previously stated we may assume $\overline{\mathcal{A}}$ to satisfy a multilinear polynomial identity $f(x_1, \dots, x_d)$ of degree d . The proof will proceed by induction on d .

If $d = 2$, then the polynomial identity that is satisfied by $\overline{\mathcal{A}}$ is of the form $f(x_1, x_2) = \alpha x_1 x_2 + \beta x_2 x_1$ ($\alpha, \beta \in \mathcal{F}$). As a result $\overline{\mathcal{A}}$ is either commutative or $\overline{\mathcal{A}}^2 = \{0\}$. In the former case, Proposition 6.3 may be used to prove that $\overline{\mathcal{A}}$ is locally finite. If $\overline{\mathcal{A}}^2 = \{0\}$ then clearly any subalgebra generated by a finite subset of $\overline{\mathcal{A}}$ will be finite-dimensional.

We will now assume that every algebraic algebra that satisfies a polynomial identity of degree less than d is locally finite. By assumption $\overline{\mathcal{A}}$ satisfies a multilinear identity of degree d . Since $\overline{\mathcal{A}}u$ is a subspace of $\overline{\mathcal{A}}$ it satisfies f as well. Next, we will decompose f into

$$f(x_1, \dots, x_d) = x_1 g(x_2, \dots, x_d) + h(x_1, \dots, x_d)$$

where x_1 never appears first in any of the monomials in h . Setting $x_1 = u$, $x_2 = \overline{a_2}u$, $x_3 = \overline{a_3}u$, \dots , $x_d = \overline{a_d}u$, we have

$$f(u, \overline{a_2}u, \dots, \overline{a_d}u) = ug(\overline{a_2}u, \dots, \overline{a_d}u) + h(u, \dots, \overline{a_d}u).$$

From the fact that h is multilinear the evaluation $h(u, \overline{a_2}u, \dots, \overline{a_d}u) = 0$. This is because every monomial in the summand has a factor of the form $x_j x_1$ which substitutes to $(\overline{a_j}u)u = \overline{a_j}u^2 = 0$ for some $j = 2, \dots, d$. We are left with $0 = f(u, \dots, \overline{a_d}u) = ug(\overline{a_2}u, \dots, \overline{a_d}u)$.

In $\overline{\mathcal{A}}u$, let $\mathcal{D} = \{x \in \overline{\mathcal{A}}u \mid ux = 0\}$. Since $(\overline{\mathcal{A}}u)\mathcal{D} = \{0\}$, \mathcal{D} is a two sided ideal of $\overline{\mathcal{A}}u$. In addition $\mathcal{D}^2 \subseteq (\overline{\mathcal{A}}u)\mathcal{D}$, hence $\mathcal{D}^2 = \{0\}$ and from the above remarks we may conclude that \mathcal{D} is locally finite. It follows directly from \mathcal{D} that $\overline{\mathcal{A}}u/\mathcal{D}$ satisfies $g(x_2, \dots, x_d)$ under the map sending $\overline{a}u \mapsto \overline{a}u + \mathcal{D}$.

Since $\overline{\mathcal{A}}u/\mathcal{D}$ satisfies a polynomial of degree $d-1$, by induction we can conclude that $\overline{\mathcal{A}}u/\mathcal{D}$ is locally finite. Since \mathcal{D} is locally finite, by Proposition 6.7 it follows that $\overline{\mathcal{A}}u$ is locally finite. We have proven that $\overline{\mathcal{A}}u$ is a non-empty locally finite left ideal of $\overline{\mathcal{A}}$. From Proposition 6.13, $\overline{\mathcal{A}}u \subseteq L(\overline{\mathcal{A}})$ which implies that $L(\overline{\mathcal{A}}) \neq \{0\}$.

From both cases it has been shown that if $L(\overline{\mathcal{A}}) \neq \{0\}$, but from Theorem 6.12, $L(\overline{\mathcal{A}}) = L(\mathcal{A}/L(\mathcal{A})) = \{0\}$. For this to be valid it must be that $\overline{\mathcal{A}} = \{0\}$, which implies that $L(\mathcal{A}) = \mathcal{A}$ revealing to us that \mathcal{A} is locally finite. \square

Chapter 8

Conclusion

The origin of Kurosh's initial question can be traced to the Burnside Problem. Similar to the Kurosh Theorem, the Burnside Problem's hypothesis is whether a group in which any finite collection of group elements all of which have finite order generates a finite group. In 1963 Golod and Shafarevitch introduced a technique in which a nilpotent algebra was constructed that is not locally finite. Thus showing that in absence of a polynomial identity an algebraic algebra may not be locally finite. With this, Kurosh's Theorem provides the necessary and sufficient conditions for an algebra to be locally finite. From the Golod/Shafarevitch result an analogous group may be constructed that provides a negative answer to the Burnside Problem. We will recommend [Her05, p.187-193] to the reader that is curious of Golod and Shafarevitch's construction of an algebraic algebra that is not locally finite.

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