# Conjugacy in Garside Groups I: Cyclings, Powers, and Rigidity

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### Abstract

In this paper a relation between iterated cyclings and iterated powers of elements in a Garside group is shown. This yields a characterization of elements in a Garside group having a rigid power, where 'rigid' means that the left normal form changes only in the obvious way under cycling and decycling. It is also shown that, given X in a Garside group, if some power  $X^m$  is conjugate to a rigid element, then m can be bounded above by  $||\Delta||^3$ . In the particular case of braid groups  $\{B_n, n \in \mathbb{N}\}$ , this implies that a pseudo-Anosov braid has a small power whose ultra summit set consists of rigid elements. This solves one of the problems in the way of a polynomial solution to the conjugacy decision problem (CDP) and the conjugacy search problem (CSP) in braid groups. In addition to proving the rigidity theorem, it will be shown how this paper fits into the authors' program for finding a polynomial algorithm to the CDP/CSP, and what remains to be done.

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### 1 Introduction

Braid groups  $B_n$ , n = 1, 2, 3, ..., were introduced in a foundational paper by Emil Artin [3] in 1925. In it Artin gave the well-known presentation:

(1) 
$$B_n = \left\langle \sigma_1, \dots, \sigma_{n-1} \middle| \begin{array}{ll} \sigma_i \sigma_j = \sigma_j \sigma_i & \text{if } |i-j| > 1, \\ \sigma_i \sigma_j \sigma_i = \sigma_j \sigma_i \sigma_j & \text{if } |i-j| = 1. \end{array} \right\rangle.$$

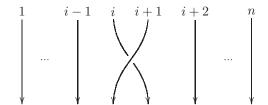


Figure 1: The elementary braid  $\sigma_i$ .

The elementary braid  $\sigma_i$  is depicted in Figure 1. To study  $B_n$  Artin used the fact that there is a canonical homomorphism  $\pi: B_n \to \Sigma_n$ , where the image is the symmetric group, defined by sending a braid to the associated permutation of its endpoints. He went on to uncover the structure of the kernel of  $\pi$ , and used what he learned to solve the word problem in  $B_n$ : to decide, for arbitrary words X, Y in the generators and their inverses, whether they represent the same element of  $B_n$ . Artin also posed the conjugacy decision problem (CDP): to decide whether, for arbitrary  $X, Y \in B_n$ , there exists  $Z \in B_n$  such that  $Y = Z^{-1}XZ$ . A different but related problem, the conjugacy search problem (CSP) asks to find Z, provided that one knows that it exists.

During the period 1925-1969 various efforts were made to solve the conjugacy problem, building on techniques which had been introduced in [3], but there was no significant progress. Then, in 1969 F. Garside [21] brought completely new techniques to bear, looking at  $B_n$  in a very new way which stressed the similarity of its combinatorics to those of  $\Sigma_n$ , rather than focussing on  $\ker(\pi:B_n\to\Sigma_n)$ . Garside succeeded in solving both the word and conjugacy search problems simultaneously, and in a unified way. His methods were soon shown to apply to other groups too [12],[17], and over the years broadened to an entire class of groups which subsequently became known as Garside groups. The ideas that Garside introduced, and their subsequent improvements, are the subject of this paper, which is the first in a series with the unifying title 'Conjugacy in Garside groups I, II, III,... They have a common goal: to improve Garside's algorithm for the CDP/CSP in a Garside group to obtain, in the particular case of the braid group  $B_n$ , an algorithm which is polynomial both in n and an appropriate measure ||X||, ||Y|| of the complexity of X and Y. This would have implications as regards the security of certain codes in public key cryptography [2, 26].

The existence of such a polynomial algorithm for the word problem in all Garside groups is now known via the work of [18, 19] for the braid groups. In [15] and [14] the class of Garside groups is defined in a more general setting, and shown to be biautomatic. It is a consequence of the way the definitions were chosen in [15, 14] that in fact, Garside's algorithm solves the word and CDP/CSP's in all Garside groups. Our work in this paper is a step in a program that we have developed to prove that the CDP/CSP in  $B_n$  is polynomial in both n and ||X||. But all results in this paper are valid in every Garside group, except the results in §3.4 and Theorem 3.37, where we consider applications of these results to the special case of braid groups, in particular to pseudo-Anosov braids.

Before we can state exactly what we do in this paper, and describe it in context, we need to set up necessary notation and review the known results and techniques. The combinatorial structure that we will use, and the new structure that we have uncovered, is quite complicated and, we think, interesting. In order to make this paper accessible to non-experts we give details and examples which those who are acquainted with the literature will probably wish to bypass quickly, moving on to §1.4, where we describe

the essential content of this paper and its context in our larger goal, and thence to §2, where our new contributions begin.

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The work in this paper and [9, 10], which are being completed at this writing, was done simultaneously and independently from the work of S.J. Lee and E.K.Lee in [29, 30, 31]. We first became aware of that work when we were in the process of writing up this one. Some of this work, notably Proposition 2.23 below and the results in [9], were reported on in talks at conferences in Banff in October 2004 and Luminy in June 2005.

### 1.1 Garside groups

Among the known equivalent definitions of Garside groups, we use the one which was suggested to us by John Crisp [13], because it seems the most natural of the many possible definitions. A group G is said to be a Garside group if it satisfies properties (A), (B) and (C) below:

(A) G admits a lattice order  $(G, \leq, \vee, \wedge)$ , invariant under left-multiplication.

This means that there is a partial order  $\leq$  on the elements of G such that  $a \leq b$  implies  $ca \leq cb$  for every  $c \in G$ . Also, every pair of elements  $s, t \in G$  admits a unique lcm  $s \vee t$  and a unique gcd  $s \wedge t$  with respect to  $\leq$ . This partial order  $\leq$  defines a submonoid  $P \subset G$ , called the *positive cone* of G, defined by  $P = \{p \in G; 1 \leq p\}$ . Notice that the invariance of  $\leq$  under left-multiplication implies that  $P \cap P^{-1} = \{1\}$ , and also that  $a \leq b \iff a^{-1}b \in P$ . Hence the submonoid P determines the partial order  $\leq$ , so we shall equally talk about the lattice (G, P). We remark that if  $a, b \in P$  then  $a \leq b$  if and only if a is a prefix of b, that is, there exists  $c \in P$  such that ac = b. This is why  $\leq$  is sometimes called the *prefix* order.

There is also a related *suffix* order, defined by  $b \succeq a$  if  $ba^{-1} \in P$ . It is important that  $a \preceq b$  does not imply that  $b \succeq a$ . Sometimes we will get genuinely new information by using both orderings, even when the proofs are little more than copies of one-another.

- (B) There exists an element  $\Delta \in P$ , called the Garside element, satisfying:
  - (a) The interval  $[1, \Delta] = \{s \in G; 1 \leq s \leq \Delta\}$  generates G. Its elements are called the *simple elements* of G. We shall always assume that  $[1, \Delta]$  is finite, that is, that G has *finite type*.
  - (b) Conjugation by  $\Delta$  preserves the positive cone  $P: \Delta^{-1}P\Delta = P$ .

We remark that if  $\Delta$  satisfies both (a) and (b), then [1,  $\Delta$ ] also generates P as a monoid, which is one of the properties usually required in the definition of a Garside element.

(C) The monoid P is atomic.

This means that for every  $x \in P$  there exists an upper bound on the length of a (strict) chain  $1 \prec x_1 \prec \cdots \prec x_r = x$ . In other words, if we define the *atoms* of G as the elements  $a \in P$  which

cannot be decomposed in P (there are no nontrivial  $b, c \in P$  such that a = bc), then for every  $x \in P$  there exists an upper bound on the number of atoms in a product  $x = a_1 a_2 \cdots a_r$  with each  $a_i$  an atom. In particular, if P is atomic, one can define the *length* of an element  $x \in P$  as the maximal length of such a chain, that is,

$$||x|| = max\{n : x = a_1a_2 \cdots a_n, \text{ where } a_i \in P \setminus \{1\}\}.$$

Notice that the atoms generate G.

These data determine a Garside structure on G, which may be defined as follows: Let G be a countable group, P be a submonoid, and  $\Delta \in P$ . The triple  $(G, P, \Delta)$  is said to be a (finite type) Garside structure on G if (G, P) is a lattice,  $\Delta$  is a Garside element (with  $[1, \Delta]$  finite), and P is atomic. We remark that a given group G may admit more than one Garside structure.

**Example 1:** Our first example is very simple: We consider the braid group  $B_3$  and its two known Garside structures:

1A. The classical Garside structure is associated to the presentation (1) of  $B_3$ . The Garside element is  $\sigma_1\sigma_2\sigma_1 = \sigma_2\sigma_1\sigma_2$ . The elements in P correspond to the braids in which all crossings are positive. The atoms are  $\sigma_1$  and  $\sigma_2$ .

1B. If we set  $x = \sigma_1, y = \sigma_2, z = \sigma_2 \sigma_1 \sigma_2^{-1}$  we get the presentation  $\langle x, y, z \mid xy = yz = zx \rangle$ . The Garside element is now  $\Delta = xy$ . See [37] for the way in which this structure was used to solve the shortest word problem in  $B_3$  and to give an algorithm for determining the genus of knots and links which are closed 3-braids. This Garside structure was generalized to all n in [7].

**Example 2: Free abelian groups of finite rank.** This is another very simple example of a Garside group:

$$\mathbb{Z}^n = \langle x_1, \dots, x_n \mid x_i x_j = x_j x_i, i < j \rangle.$$

The positive cone is

$$\mathbb{N}^n = \{ x_1^{e_1} \cdots x_n^{e_n} \; ; \quad e_i \ge 0, \; \forall i \}.$$

The Garside element is  $\Delta = x_1 \cdots x_n$ , and the simple elements have the form  $x_1^{e_1} \cdots x_n^{e_n}$  where  $e_i \in \{0, 1\}$  for every  $i = 1, \ldots, n$ . Hence there are  $2^n$  simple elements.

Example 3: The braid group  $B_n$ , with the classical Garside structure: Garside used the presentation (1). The usual Garside structure in this group is determined by  $(B_n, B_n^+, \Delta)$ , where  $B_n^+$  is the monoid of positive braids, consisting of the elements in  $B_n$  that can be written as a product of  $\sigma_i$ 's with no  $\sigma_i^{-1}$ , and

(2) 
$$\Delta = (\sigma_1)(\sigma_2\sigma_1)(\sigma_3\sigma_2\sigma_1)\cdots(\sigma_{n-1}\cdots\sigma_1)$$

is a half-twist on all of the strands. The atoms are  $\sigma_1, \ldots, \sigma_{n-1}$ . The elements in P correspond to the braids in which all crossings are positive. The Garside element  $\Delta$  can be characterized as the only positive braid in which every pair of strands cross exactly once. The simple elements, in the case of  $B_n$ , are the positive braids in which every pair of strands cross at most once. It follows that every simple element corresponds to a permutation on the set of n elements (the strands). Hence there are n! simple elements in  $B_n^+$ , with this Garside structure. Figure 2 shows the Hasse diagram representing the lattice of simple elements in  $B_4^+$ . In this diagram, an element a is joined by a line to an element b in the upper row if and only if  $a \leq b$ . Moreover, each line type corresponds to a right multiplication by an atom: a single line corresponds to  $\sigma_1$ , a double line to  $\sigma_2$  and a dotted line to  $\sigma_3$ . This lattice of simple elements determines the whole Garside structure of the group.

Example 4: Spherical type Artin-Tits groups: [11] The previous three examples were particular cases of Artin-Tits groups. All Artin-Tits groups of spherical type are known to be Garside groups [12]. Given a finite set S, a Coxeter matrix over S is a symmetric matrix  $M = (m_{st})_{s,t \in S}$ , where  $m_{ss} = 1$  for

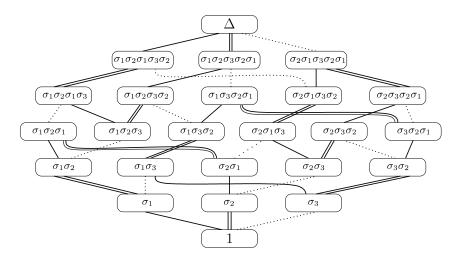


Figure 2: The lattice of simple elements in  $B_4^+$ . They are 4! = 24 elements.

all  $s \in S$  and  $m_{s,t} \in \{2, 3, \dots, \infty\}$ . Every Coxeter matrix M defines a group  $A_M$  given by the following presentation:

$$A_M = \left\langle S \mid \underbrace{stst\cdots}_{m_{st} \text{ terms}} = \underbrace{tsts\cdots}_{m_{st} \text{ terms}}, \text{ for all } s, t \in S \right\rangle,$$

where  $m_{st} = \infty$  means that there is no relation involving s and t. The group  $A_M$  is called the Artin-Tits group associated to M, also called Artin group or generalized braid group.

If one adds to the above presentation the relations  $s^2 = 1$  for all  $s \in S$ , one obtains the group  $W_M$ , called the *Coxeter group* associated to M. An Artin-Tits group is said to be of *spherical type* if its corresponding Coxeter group is finite.

The usual Garside structure in these groups is given by  $(A_M, A_M^+, \Delta)$ , where  $A_M^+$  is the monoid of positive elements, consisting of products of elements of S (the above presentation of  $A_M$ , considered as a monoid presentation, gives  $A_M^+$ ), and the Garside element  $\Delta$  is defined as follows. The set of generators S can be decomposed into two sets  $S = S_1 \cup S_2$ , where elements contained in the same set  $S_i$  commute. This decomposition can be easily obtained from the Coxeter graph of the group. The reader is referred to [11] for the definition of a Coxeter graph and its associated Coxeter matrix, and also for a list of the Coxeter graphs associated to the finite Coxeter groups. If the Coxeter graph  $\Gamma$  is connected, there is only one possible decomposition of S in the above sense. Now define

$$\Delta_1 = \prod_{s \in S_1} s$$
 and  $\Delta_2 = \prod_{s \in S_2} s$ .

Then one has

$$\Delta = \underbrace{\Delta_1 \Delta_2 \Delta_1 \Delta_2 \cdots}_{h \text{ terms}},$$

where h is the Coxeter number of the corresponding Coxeter group. The Coxeter numbers corresponding to the spherical type Artin-Tits groups are the following.

١	Type	$A_l$	$B_l$	$D_l$	$E_6$	$E_7$	$E_8$	$F_4$	$G_2$	$H_3$	$H_4$	$I_2(p)$
ı	h	l+1	2l	2l - 2	12	18	30	12	6	10	30	p

As an example, the Garside element of the spherical Artin-Tits group of type  $B_l$  is  $\Delta = ((s_1s_3\cdots s_l)(s_2s_4\cdots s_{l-1}))^l$  when l is odd, and  $\Delta = ((s_1s_3\cdots s_{l-1})(s_2s_4\cdots s_l))^l$  when l is even. Notice that the Artin-Tits monoid of type  $A_l$  is precisely the Artin braid monoid on l+1 strands. Notice

also that the Garside structure given by this construction coincides with the original Artin structure for braid groups described above.

We remark that every spherical type Artin-Tits group admits another Garside structure, discovered in [6], called the *dual* Garside structure. In the case of braid groups, the dual Garside structure is precisely the one discovered in [7].

**Example 5: Torus knot groups.** The fundamental group of the complement of a (p, q)-torus knot, where p, q > 1 are coprime, is given by the following presentation:

$$\langle x, y \mid x^p = y^q \rangle$$
.

If we consider the monoid given by this presentation, it is a Garside monoid with Garside element  $\Delta = x^p$ .

**Example 6:** The following two examples of Garside groups do not belong to a class of known groups, but they have interesting properties which are not satisfied by the groups in the previous examples. They were discovered and studied by Picantin in [35]. In both cases, we give presentations of the groups which, considered as monoid presentations, yield the corresponding Garside monoids. Hence we shall only define the Garside element, in each case.

- 1.  $G = \langle x, y, z \mid xzxy = yzx^2, yzx^2z = zxyzx = xzxyz \rangle$ . The Garside element is  $\Delta = xzxyzx$ . In most examples of Garside groups, the Garside element  $\Delta$  is the least common multiple (with respect to  $\preceq$ ) of the atoms. In this example, since the relations are homogeneous, the atoms are just the letters x, y and z, and one has  $\lim_{\preceq} (x, y, z) = xzxyz$ . But  $xzxyz \neq \Delta$ . Indeed, since conjugation by  $\Delta$  must preserve the set of atoms, all atoms must be left and right divisors of  $\Delta$ , but we have  $xzxyz \not\succeq y$ , hence  $\lim_{\preceq} (x, y, z) = xzxyz \neq \lim_{\succeq} (x, y, z)$ . This is an example of a Garside monoid in which the  $\lim_{\preceq}$  of the atoms is not a Garside element.
- 2.  $G = \langle x,y \mid xyxyx = y^2 \rangle$ . Garside element  $\Delta = y^3$ . In this case,  $\lim_{\preceq} (x,y) = \lim_{\succeq} (x,y) = y^2$ , but  $y^2$  is not a Garside element. Indeed, since conjugation by  $\Delta$  must preserve the set of simple elements, the set of positive left-divisors and the set of positive right-divisors of  $\Delta$  must coincide. But this does not happen for  $y^2$ . For instance  $xyxy \preceq y^2$  but  $y^2 \not\succeq xyxy$ . This is also an example of a Garside monoid in which the relations are not homogeneous, hence the length of a positive element is not given by the letter length of any representative.

Construction of new Garside monoids. We already provided several examples of Garside monoids and groups. Using these monoids as building blocks, one can construct new Garside monoids and groups thanks to the following result. In [35] there is a definition of the so called *crossed product* of monoids, which also allows to construct new Garside monoids.

**Theorem 1.1.** [35] The crossed product of Garside monoids is a Garside monoid.

An example of crossed product, given in [28], is the semidirect product  $\mathbf{Z} \ltimes G^n$ , where the action of  $\mathbb{Z}$  on the free product  $G^n$  (G is a Garside group) is given by cyclic permutations of coordinates.

### 1.2 Solving the word and conjugacy problems in Garside groups

From now on, we will fix a Garside group G with a finite type Garside structure  $(G, P, \Delta)$ . We will show how to solve the word problem, giving a well known normal form for elements in a Garside group. The basic reference is [18]. While everything in that paper relates to the braid groups, most of it generalizes easily to arbitrary Garside groups, which were singled out as a class several years later [15, 14].

**Definition 1.2.** (Left normal form): Given  $X \in G$ , we will say that a decomposition  $X = \Delta^p x_1 \cdots x_r$   $(r \ge 0)$  is the *left normal form* of X is it satisfies

1.  $p \in \mathbb{Z}$  is maximal such that  $\Delta^p \leq X$ . That is,  $x_1 \cdots x_r \in P$  and  $\Delta \not\leq x_1 \cdots x_r$ 

2.  $x_i = (x_i \cdots x_r) \wedge \Delta$ , for  $i = 1, \dots, r$ . That is,  $x_i$  is the biggest simple prefix of  $x_i \cdots x_r$ .

One can also show by induction that  $\Delta^p x_1 \cdots x_i = X \wedge \Delta^{p+i}$ , for  $i = 1, \dots, r$ .

It is known that one can check whether a given decomposition  $X = \Delta^p x_1 \cdots x_r$  is a left normal form by looking at each pair of consecutive factors  $x_i x_{i+1}$ . We say that a pair of simple elements  $a, b \in [1, \Delta]$  is left weighted, if the product ab is in left normal form as written, that is, if  $a = (ab) \wedge \Delta$ . Then  $\Delta^p x_1 \cdots x_r$  is a left normal form if and only if  $x_1 \neq \Delta$  and each pair  $x_i x_{i+1}$  is left weighted.

Notice that, if we consider the set of simple elements as a set of generators for G, then the decomposition defined above is a normal form in the usual sense, that is, a unique way to write any element of G as a product of the generators and their inverses. If one wishes to obtain a normal form with respect to any other set of generators (for example the set of atoms), one just needs to choose a unique way to write each simple element in terms of the desired generators, and replace this in the left normal form.

We now give several standard terms that will be needed to work with Garside groups.

If  $X = \Delta^p x_1 \cdots x_r$  is in left normal form, the *infimum*, supremum and canonical length of X, are defined by  $\inf(X) = p$ ,  $\sup(X) = p + r$  and  $\ell(X) = r$ , respectively.

The shift map  $\tau$  is the inner automorphism  $\tau: G \to G$  given by  $\tau(x) = \Delta^{-1}x\Delta$ .

Given a simple element x, we define  $x^* = x^{-1}\Delta$ . That is,  $x^*$  is the only simple element such that  $xx^* = \Delta$ , and is called the *right complement* of x. The element  $x^*$  is the maximal element s (with respect to  $\leq$ ) such that xs is simple. A product ab of simple elements a and b is left weighted if and only if  $a^* \wedge b = 1$ . It will be convenient to define the *right complement map*  $\partial : [1, \Delta] \to [1, \Delta]$  by  $\partial(x) = x^*$ :

**Lemma 1.3.** The map  $\partial: [1,\Delta] \to [1,\Delta]$  is a bijection, and  $\partial^2 = \tau$ .

*Proof.* We show that  $\partial$  is a bijection by defining its inverse  $\partial^{-1}: [1, \Delta] \to [1, \Delta]$  as  $\partial^{-1}(y) = \Delta y^{-1}$ . The element  $\Delta y^{-1}$ , sometimes denoted  $^*y$ , is called the *left complement* of y. It is the only simple element such that  $^*y = \Delta$ .

On the other hand,  $\partial^2(x) = \partial(x^{-1}\Delta) = (\Delta^{-1}x)\Delta = \tau(x)$ , as we wanted to show.

Corollary 1.4. There exists a positive integer e such that  $\Delta^e$  belongs to the center of G. More precisely, one has  $\tau([1,\Delta]) = [1,\Delta]$  and  $\tau(A) = A$ , where A is the set of atoms in G, and  $\tau^e = id_G$  for some positive integer e, so that  $\Delta^e$  is central.

Proof. Since  $\partial([1,\Delta]) = [1,\Delta]$ , it follows that  $\tau([1,\Delta]) = \partial^2([1,\Delta]) = [1,\Delta]$ . This also implies that  $\tau(A) = A$ . Indeed, suppose that there is some atom a such that  $\tau(a)$  is not an atom. Then  $\tau(a)$  is a simple element that can be decomposed into a product of two simple elements  $\tau(a) = st$ . But then  $\tau^{-1}(s)$  and  $\tau^{-1}(t)$  are simple elements such that  $a = \tau^{-1}(\tau(a)) = \tau^{-1}(s)\tau^{-1}(t)$ . A contradiction, since a is an atom. Hence  $\tau(A) \subset A$ . Since A is a finite set, and  $\tau: G \to G$  is a bijection, it follows that  $\tau(A) = A$ .

Finally, since  $\tau$  induces a permutation in A, there exists a positive integer e such that  $\tau^e$  induces the trivial permutation on A. Since the atoms generate G, it follows that  $\tau^e$  is the trivial automorphism of G. That is to say,  $\Delta^e$  is central.

**Remark:** In the braid group  $B_n$  one has e = 2, so  $\Delta^2$  is central. Furthermore, the center of  $B_n$  is the cyclic group generated by  $\Delta^2$ .

The right complement plays an important role when comparing the left normal forms of X and  $X^{-1}$ .

**Theorem 1.5.** [18] *If* 

$$X = \Delta^p x_1 \cdots x_r,$$

in left normal form, then the left normal form of  $X^{-1}$  is equal to

$$X^{-1} = \Delta^{-p-r} x_r' \cdots x_1',$$

where  $x_i' = \tau^{-p-i}(\partial(x_i))$  for  $i = 1, \dots, r$ .

**Remark:** Notice that  $x_i' = \tau^{-p-i}(\partial(x_i)) = \partial^{-2p-2i+1}(x_i)$ , so the left normal form of  $X^{-1}$  is equal to

$$X^{-1} = \Delta^{-p-r} \ \partial^{-2p-2r+1}(x_r) \ \partial^{-2p-2r+3}(x_{r-1}) \cdots \partial^{-2p-1}(x_1).$$

Corollary 1.6. For every  $X \in G$ , one has  $\inf(X^{-1}) = -\sup(X)$ ,  $\sup(X^{-1}) = -\inf(X)$  and  $\ell(X^{-1}) = \ell(X)$ .

See Section 9.5 of [19] for a proof that an n-braid of length m can be put in left normal form in running time  $O(m^2n\log n)$ , with the usual Garside structure of  $B_n$ , and see [7] to find how one can compute the normal form in time  $O(m^2n)$ , using the dual Garside structure of  $B_n$ , usually known as Birman-Ko-Lee structure. In general, using the normal form algorithm, the complexity of computing the left normal form of a given element in a Garside group G is  $O(m^2p)$ , where p is the complexity of computing the gcd of two simple elements in G. The number p usually depends on the length of  $\Phi$  (simple elements are smaller than  $\Phi$ ) and on the number of atoms in G, since one usually computes the gcd of two elements by iteratively testing if there is some atom which is a common prefix.

We now explain the algorithms for solving the conjugacy decision and search problems (CDP/CSP) in Garside groups that were given in [21, 18, 20, 22]: given two elements  $X, Y \in G$ , determine if X and Y are conjugate and, if this is the case, compute a conjugating element Z such that  $X^Z = Z^{-1}XZ = Y$ . Each algorithm in [21, 18, 20, 22] is an improvement of the previous one, but the basic idea is the same in all of them: Given an element  $X \in G$ , the algorithm computes a finite subset  $I_X$  of the conjugacy class of X which has the following properties:

- (1) For every  $X \in G$ , the set  $I_X$  is finite, non-empty and only depends on the conjugacy class of X. In particular, two elements  $X, Y \in G$  are conjugate if and only if  $I_X = I_Y$  or, equivalently,  $I_X \cap I_Y \neq \emptyset$ .
- (2) Given  $X \in G$ , a representative  $\widetilde{X} \in I_X$  and an element  $a \in G$  such that  $X^a = \widetilde{X}$  can be computed effectively.
- (3) Given a non-empty subset  $I \subset I_X$ , there is a finite process which either proves that  $I = I_X$  or produces an element  $Z \in I$  and an element  $b \in G$  such that  $Z^b \in I_X \setminus I$ . In particular,  $I_X$  can be constructed from any representative as the closure under this process.

Given  $X, Y \in G$ , solving the CDP/CSP then involves the following steps.

- (a) Find representatives  $\widetilde{X} \in I_X$  and  $\widetilde{Y} \in I_Y$ .
- (b) Repeatedly use the process from (3), keeping track of the conjugating elements, to compute further elements of  $I_X$  until either
  - (i)  $\widetilde{Y}$  is found as an element of  $I_X$ , proving X and Y to be conjugate and providing a conjugating element, or
  - (ii) the entire set  $I_X$  has been constructed without encountering  $\widetilde{Y}$ , proving that X and Y are not conjugate.

We now discuss, briefly, each particular algorithm in [21, 18, 20, 22]. In Garside's original algorithm [21], the set  $I_X$  is the Summit Set of X, denoted SS(X), which is the set of conjugates of X having maximal infimum. This was improved by Elrifai and Morton [18] who considered  $I_X = SSS(X)$ , the super summit set of X, consisting of the conjugates of X having minimal canonical length. They also show that SSS(X) is the set of conjugates of X having maximal infimum and minimal supremum, at the same time.

For instance, in the braid group  $B_n$  with the usual Garside structure, one has  $SS(\sigma_1) = SSS(\sigma_1) = \{\sigma_1, \ldots, \sigma_{n-1}\}$ . A small example in which SSS(X) is strictly smaller than SS(X) is given by  $X = \Delta \sigma_1 \sigma_1 \in B_3$ , for which  $SSS(X) = \{\Delta \cdot \sigma_1 \sigma_3\}$  and  $SS(X) = \{\Delta \cdot \sigma_1 \sigma_3, \Delta \cdot \sigma_1 \cdot \sigma_1, \Delta \cdot \sigma_3 \cdot \sigma_3\}$  (the factors in each left normal form are separated by a dot). In general SSS(X) is much smaller than SS(X).

Starting by a given element X, one can find an element  $\widetilde{X} \in SSS(X)$  by a sequence of special conjugations, called *cyclings* and *decyclings*. The conjugating elements involved in a cycling or a decycling will play a crucial role later, so we start by defining them.

**Definition 1.7.** Given  $X \in G$  whose left normal form is  $X = \Delta^p x_1 \cdots x_r$  (r > 0), we define the *initial* factor of X as  $\iota(X) = \tau^{-p}(x_1)$ , and the final factor of X as  $\varphi(X) = x_r$ . If r = 0 we define  $\iota(\Delta^p) = 1$  and  $\varphi(\Delta^p) = \Delta$ .

**Remark:** Up to conjugation by  $\Delta^p$ , the simple element  $\iota(X)$  (resp.  $\varphi(X)$ ) corresponds to the first (resp. last) non- $\Delta$  factor in the left normal form of X. An equivalent definition of  $\iota(X)$  and  $\varphi(X)$ , which does not involve the left normal form of X (although it involves its infimum and supremum), is the following.

- $\iota(X) = X\Delta^{-p} \wedge \Delta$ .
- $\varphi(X) = (\Delta^{p+r-1} \wedge X)^{-1}X$ .

This explains why  $\iota(\Delta^p)$  and  $\varphi(\Delta^p)$  are defined in the above way.

The initial and final factors of X and  $X^{-1}$  are closely related.

**Lemma 1.8.** For every  $X \in G$  one has  $\iota(X^{-1}) = \partial(\varphi(X))$  and  $\varphi(X^{-1}) = \partial^{-1}(\iota(X))$ .

Proof. Let  $\Delta^p x_1 \cdots x_r$  be the left normal form of X, and suppose that r > 0. We know that  $\Delta^{-p-r} x'_r \cdots x'_1$  is the left normal form of  $X^{-1}$ , where  $x'_i = \tau^{-p-i}(\partial(x_i))$ . Hence one has  $\iota(X^{-1}) = \tau^{p+r}(x'_r) = \tau^{p+r}(\tau^{-p-r}(\partial(x_r))) = \partial(x_r) = \partial(\varphi(X))$ . Permuting X and  $X^{-1}$  in this formula yields  $\iota(X) = \partial(\varphi(X^{-1}))$ , hence  $\varphi(X^{-1}) = \partial^{-1}(\iota(X))$ .

If r = 0, that is if  $X = \Delta^p$ , then  $\iota(X^{-1}) = 1 = \partial(\Delta) = \partial(\varphi(X))$ , and  $\varphi(X^{-1}) = \Delta = \partial^{-1}(1) = \partial^{-1}(\iota(X))$ , so the result is also true in this case.

**Remark:** The above result can be restated as follows: For every  $X \in G$ , one has  $\varphi(X)\iota(X^{-1}) = \Delta = \varphi(X^{-1})\iota(X)$ .

We can now define the very special conjugations called *cyclings* and *decyclings*.

**Definition 1.9.** Given  $X \in G$ , we call  $\mathbf{c}(X) = X^{\iota(X)}$  the *cycling* of X and we call  $\mathbf{d}(X) = X^{\varphi(X)^{-1}}$  the *decycling* of X. In other words, if  $\Delta^p x_1 \cdots x_r$  is the left normal form of X and r > 0, then

$$\mathbf{c}(X) = \Delta^p x_2 \cdots x_r \tau^{-p}(x_1)$$
, and  $\mathbf{d}(X) = x_r \Delta^p x_2 \cdots x_{r-1}$ .

In the case  $\ell(X) = 0$ , we have  $\mathbf{c}(X) = \mathbf{d}(X) = X$ .

Roughly speaking, for an element of positive canonical length, the cycling of X is computed by passing the first simple factor of X to the end, while the decycling of X is computed by passing the last simple factor of X to the front. However, the powers of  $\Delta$  are not taken into account, which is why one must use the automorphism  $\tau$ . Notice that the above decompositions of  $\mathbf{c}(X)$  and  $\mathbf{d}(X)$  are not, in general, left normal forms. Hence, if one wants to perform iterated cyclings or decyclings, one needs to compute the left normal form of the resulting element at each iteration.

As we said above, cyclings and decyclings can be used to find an element in SSS(X), given X. The following result was shown for braid groups, but the same proof is valid for every Garside group. Choose any  $X \in G$ . Let  $r = \ell(X)$  and let m be the letter length of  $\Delta$  in the atoms of the given Garside structure.

**Theorem 1.10.** [18], [8] Let  $(G, P, \Delta)$  be a Garside structure of finite type. Choose  $X \in G$ , and let  $r = \ell(X)$ . Let m be the letter length of  $\Delta$ .

- 1. A sequence of at most rm cyclings and decyclings applied to X produces a representative  $\widetilde{X} \in SSS(X)$ .
- 2. If  $Y \in SSS(X)$  and  $\alpha \in P$  is such that  $Y^{\alpha} \in SSS(X)$  then  $Y^{\alpha \wedge \Delta} \in SSS(X)$ .

Notice that  $\alpha \wedge \Delta$  is always a simple element. Since the set of simple elements is finite, one has the following:

**Corollary 1.11.** [18] Let  $X \in G$  and  $\mathcal{V} \subset SSS(X)$  be non-empty. If  $\mathcal{V} \neq SSS(X)$  then there exist  $Y \in \mathcal{V}$  and a simple element s such that  $Y^s \in SSS(X) \setminus \mathcal{V}$ .

Since SSS(X) is a finite set, the above corollary allows to compute the whole SSS(X). More precisely, if one knows a subset  $\mathcal{V} \subset SSS(X)$  (at the beginning  $\mathcal{V} = \{\widetilde{X}\}$ ), one conjugates each element in  $\mathcal{V}$  by all simple elements (recall that G is of finite type, that is, the set of simple elements is finite). If one encounters a new element Z with the same canonical length as  $\widetilde{X}$  (a new element in SSS(X)), then consider  $\mathcal{V} \cup \{Z\}$  and start again. If no new element is found, this means that  $\mathcal{V} = SSS(X)$ , and we are done. One important remark is that this algorithm not only computes the set SSS(X), but it also provides conjugating elements joining the elements in SSS(X). Hence it solves both the CDP and the CSP in Garside groups.

The computational cost of computing SSS(X) depends mainly in two ingredients: the size of SSS(X) and the number of simple elements. If we consider braid groups  $B_n$  with the usual Garside structure, for instance, all known upper bounds for the size of SSS(X) are exponential in n, although it is conjectured that for fixed n a polynomial bound in the canonical length of X exists [19]. Recall also that the number of simple elements is n!, and one needs to conjugate every element in SSS(X) by all simple elements. Fortunately, this task can be avoided thanks to the following result.

**Theorem 1.12.** [20] Let  $X \in SSS(X)$ . If  $s, t \in G$  are such that  $X^s \in SSS(X)$  and  $X^t \in SSS(X)$ , then  $X^{s \wedge t} \in SSS(X)$ .

Corollary 1.13. Let  $X \in G$  and  $Y \in SSS(X)$ . For every  $u \in P$  there is a unique  $\preceq$ -minimal element  $\rho_Y(u)$  satisfying

$$u \leq \rho_Y(u)$$
 and  $Y^{\rho_Y(u)} \in SSS(X)$ .

*Proof.* The gcd of  $\{v \in P \mid u \leq v, Y^v \in SSS(X)\}$  is the element  $\rho_Y(u)$  and has all the claimed properties.

The set  $\rho_Y(A) = \{\rho_Y(a) \mid a \text{ is an atom}\}\$ contains all nontrivial elements which are  $\leq$ -minimal among those conjugating Y to an element in SSS(X). We call the latter the *minimal simple elements* for Y with respect to SSS(X). Since one could have  $\rho_Y(a) \prec \rho_Y(b)$  (strict) for two distinct atoms a and b, the set of minimal simple elements for Y is in general strictly contained in  $\rho_Y(A)$ .

**Corollary 1.14.** Let  $X \in G$  and  $\mathcal{V} \subset SSS(X)$  be non-empty. If  $\mathcal{V} \neq SSS(X)$  then there exist  $Y \in \mathcal{V}$  and a minimal simple element  $\rho = \rho_Y(a)$  such that  $Y^{\rho} \in SSS(X) \setminus \mathcal{V}$ .

Using the technique of minimal simple elements, super summit sets can be computed as in [18], but instead of conjugating each element  $Y \in SSS(X)$  by all simple elements, it suffices to conjugate Y by its minimal simple elements. Notice that the number of minimal simple elements for a given  $Y \in SSS(X)$  is bounded by the number of atoms. In the case of the braid group  $B_n$  with the usual Garside structure, the number of atoms is n-1, hence one just needs to perform n-1 conjugations instead of n!, for each element in SSS(X). Moreover, the minimal simple elements for a given  $Y \in SSS(X)$  can be computed very fast [20].

Notice that the algorithm just described computes not only the set SSS(X), but also the minimal simple elements that connect the elements in SSS(X) by conjugations. In other words, the algorithm computes a directed graph whose vertices are the elements in SSS(X), and whose arrows are defined as follows: there is an arrow labeled by  $\rho$  starting at Y and ending at Z if  $\rho$  is a minimal simple element for Y and  $Y^{\rho} = Z$ . In Figure 3 one can see the graph associated to  $\sigma_1 \in B_4$ . Notice that there are exactly 3 arrows starting at every vertex (the number of atoms in  $B_4$ ). In general, the number of arrows starting at a given vertex can be smaller or equal, but never bigger than the number of atoms.

Let us mention here a tool that will be used several times in this paper, which is the transport map introduced in [22]. Let  $X \in SSS(X)$  and let  $\alpha$  be an element such that  $\alpha^{-1}X\alpha = Y \in SSS(X)$ . We

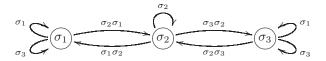


Figure 3: Graph associated to  $SSS(\sigma_1)$  in  $B_4$ .

can write this by  $X \xrightarrow{\alpha} Y$ . We know from [18] that  $\mathbf{c}(X)$  and  $\mathbf{c}(Y)$  also belong to SSS(X). Notice that  $X \xrightarrow{\iota(X)} \mathbf{c}(X)$  and  $Y \xrightarrow{\iota(Y)} \mathbf{c}(Y)$ . In [22], the transport  $\alpha^{(1)}$  of  $\alpha$  is defined as the element making the following diagram commutative in the sense explained below:

$$X \xrightarrow{\iota(X)} \mathbf{c}(X)$$

$$\alpha \downarrow \qquad \qquad \downarrow^{\alpha^{(1)}}$$

$$Y \xrightarrow{\iota(Y)} \mathbf{c}(Y)$$

This means  $\alpha^{(1)} = \iota(X)^{-1} \alpha \iota(Y)$ . The nontrivial fact shown in [22] is that if  $\alpha$  is simple, then  $\alpha^{(1)}$  is simple, and if  $\alpha$  is a minimal simple element for X, then  $\alpha^{(1)}$  is a minimal simple element for  $\mathbf{c}(X)$ .

At this point, the size of the set of simple elements is no longer a problem for the complexity of the algorithm, but there is still a big problem to handle: The size of SSS(X) is, in general, very big. The most recent improvement, given in [22], is to define a small subset of SSS(X) satisfying all the good properties described above, so that a similar algorithm can be used to compute it. The definition of this new subset appeared after observing that the *cycling* function maps SSS(X) to itself. As SSS(X) is finite, iterated cycling of any representative of SSS(X) must eventually become periodic. Hence it is natural to define the following:

**Definition 1.15.** Given  $X \in G$ , define the *ultra summit set* of X, USS(X), to be the set of elements  $Y \in SSS(X)$  such that  $\mathbf{c}^m(Y) = Y$ , for some m > 0.

The ultra summit set USS(X) thus consists of a (finite) set of disjoint, closed orbits under cycling. For instance, in the braid group  $B_n$  one has  $USS(\sigma_1) = SSS(\sigma_1) = SS(\sigma_1) = \{\sigma_1, \dots, \sigma_{n-1}\}$ , and each element corresponds to an orbit under cycling, since  $\mathbf{c}(\sigma_i) = \sigma_i$  for  $i = 1, \dots, n-1$ . A less trivial example is given by the element

$$X = \sigma_1 \sigma_3 \sigma_2 \sigma_1 \cdot \sigma_1 \sigma_2 \cdot \sigma_2 \sigma_1 \sigma_3 \in B_4.$$

In this example USS(X) has 6 elements, while SSS(X) has 22 elements. More precisely, the ultra summit set of X consists of 2 closed orbits under cycling,  $USS(X) = O_1 \cup O_2$ , each one containing 3 elements:

$$O_1 = \{ \sigma_1 \sigma_3 \sigma_2 \sigma_1 \cdot \sigma_1 \sigma_2 \cdot \sigma_2 \sigma_1 \sigma_3, \quad \sigma_1 \sigma_2 \cdot \sigma_2 \sigma_1 \sigma_3 \cdot \sigma_1 \sigma_3 \sigma_2 \sigma_1, \quad \sigma_2 \sigma_1 \sigma_3 \cdot \sigma_1 \sigma_3 \sigma_2 \sigma_1 \cdot \sigma_1 \sigma_2 \},$$

$$O_2 = \{ \sigma_3 \sigma_1 \sigma_2 \sigma_3 \cdot \sigma_3 \sigma_2 \cdot \sigma_2 \sigma_3 \sigma_1, \quad \sigma_3 \sigma_2 \cdot \sigma_2 \sigma_3 \sigma_1 \cdot \sigma_3 \sigma_1 \sigma_2 \sigma_3, \quad \sigma_2 \sigma_3 \sigma_1 \cdot \sigma_3 \sigma_1 \sigma_2 \sigma_3 \cdot \sigma_3 \sigma_2 \}.$$

Notice that  $O_2 = \tau(O_1)$ . Notice also that the cycling of every element in USS(X) gives another element which is already in left normal form, hence iterated cyclings corresponds to cyclic permutations of the factors in the left normal form. We will say that elements satisfying this property are rigid. The precise definition will be given in §1.4. We remark that the size of the ultra summit set of a generic braid of canonical length l is either l or 2l [22]. This means that, in the generic case, ultra summit sets consist of one or two orbits (depending on whether  $\tau(O_1) = O_1$  or not), containing rigid braids.

The algorithm given in [22] to solve the CDP/CSP in Garside groups (of finite type) is analogous to the previous ones, but this time one needs to compute USS(X) instead of SSS(X). In order to do this, the following results, which are analogous to those given for super summit sets, are used.

**Theorem 1.16.** [22] Let  $X \in USS(X)$ . If  $s, t \in G$  are such that  $X^s \in USS(X)$  and  $X^t \in USS(X)$ , then  $X^{s \wedge t} \in USS(X)$ .

Corollary 1.17. [22] Let  $X \in G$  and  $Y \in USS(X)$ . For every  $u \in P$  there is a unique  $\preceq$ -minimal element  $c_Y(u)$  satisfying

$$u \leq c_Y(u)$$
 and  $Y^{c_Y(u)} \in USS(X)$ .

**Definition 1.18.** Given  $X \in G$  and  $Y \in USS(X)$ , we say that a simple element  $s \neq 1$  is a minimal simple element for Y with respect to USS(X) if  $Y^s = s^{-1}Ys \in USS(X)$ , and no proper prefix of s satisfies this property.

Notice that the set of minimal simple elements for Y with respect to USS(X) is contained in  $c_Y(A) = \{c_Y(a) \mid a \text{ is an atom}\}$ , hence the number of minimal simple elements for Y is bounded by the number of atoms. For the rest of the paper, all minimal simple elements will be considered with respect to ultra summit sets (and not super summit sets).

**Corollary 1.19.** [22] Let  $X \in G$  and  $\mathcal{V} \subset USS(X)$  be non-empty. If  $\mathcal{V} \neq USS(X)$  then there exist  $Y \in \mathcal{V}$  and an atom a such that  $c_Y(a)$  is a minimal simple element for Y, and  $Y^{c_Y(a)} \in USS(X) \setminus \mathcal{V}$ .

In [22] it is shown how to compute the minimal simple elements corresponding to a given  $Y \in USS(X)$ , hence one can compute the whole USS(X) starting by a single element  $\widetilde{X} \in USS(X)$ .

As above, the algorithm in [22] not only computes USS(X) but also a graph which determines the conjugating elements. This graph is defined as follows.

**Definition 1.20.** Given  $X \in G$ , the directed graph  $\Gamma_X$  is defined by the following data:

- 1. The set of vertices is USS(X).
- 2. For every  $Y \in USS(X)$  and every minimal simple element s for Y with respect to USS(X), there is an arrow labeled by s going from Y to  $Y^s$ .

We remark that one obtains an element  $\widetilde{X} \in USS(X)$  by iterated application of cycling to an element in SSS(X), which we know how to compute using cyclings and decyclings. The number of times one needs to apply cycling, in order to go from an element in SSS(X) to an element in USS(X) is not known in general. Nevertheless, the theoretical complexity of the algorithm in [22] is not worse than the one of the algorithm in [20], and is substantially better in practice, at least for braid groups.

In fact, it follows from the work in [21, 18, 8, 20, 22] discussed above, that the complexity of CDP/CSP for two elements X, Y in a Garside group  $(||X|| \ge ||Y||)$  is O(|USS(X)||p+q), where p is a polynomial in ||X|| and the number of atoms, and q is related to the number of times one must apply cycling to an element in SSS(X) to transform it into an element in USS(X). We believe that the second term q is negligible compared to |USS(X)||p, so our main interest is in trying to bound the size of the ultra summit set of an element in a Garside group.

In the particular case of braid groups, the size and structure of an ultra summit set happen to depend heavily on the geometrical properties of the braid, more precisely, on its Nielsen-Thurston type. This is explained next.

### 1.3 The Thurston-Nielsen trichotemy in the braid groups

The braid group  $B_n$  is isomorphic to the mapping class group  $\pi_0(\operatorname{Diff}_+(D_n^2))$  of the disc with n points removed. Admissible diffeomorphisms preserve orientation, fix  $\partial D^2$  pointwise and fix the n punctures or distinguished points setwise. Admissible isotopies fix both pointwise. As a mapping class group,  $B_n$  has structure which, at this time, has not been fully related to its Garside structure, although some interesting relation between the two structures can be found in [16]. We will use the geometric structure in §3.4 and also in [9, 10], so we describe what we need here. The structure that we describe had its origins in 3 very long papers of J. Nielsen [33], written in the 1930's, but the grand sweep of the theory was not recognized until much later, in the work of W. Thurston [36]. We refer to it as the *Thurston-Nielsen trichotemy*. There are many ways to describe it. We choose one which is based upon the action of  $B_n$  on

isotopy classes of simple closed curves (scc) on  $D_n^2$ . The scc considered in  $D_n^2$  are non-degenerate, which means that they bound neither a single puncture nor all punctures (otherwise they could be collapsed to a puncture or isotoped to the boundary).

**Theorem 1.21.** [36, 33] Let  $X \in B_n$ . Then, after a suitable isotopy, X belongs to exactly one of the following pairwise disjoint classes:

- 1. X is 'periodic'. That is, some power of X is a power of a Dehn twist on  $\partial D_n^2$  (this Dehn twist is precisely  $\Delta^2$ , with the usual Garside structure).
- 2. X is 'pseudo-Anosov' or PA. That is, neither X nor any power of X fixes the isotopy class of any scc on  $D_n^2$ . This case is the generic case.
- 3. X is 'reducible'. That is, there exists a family of scc on  $D_n^2$  whose isotopy class is fixed by X, so that some power  $X^m$  of X fixes the isotopy class of each simple closed curve in the family. Moreover, if the disc  $D_n^2$  is split open along suitable representatives of the fixed curves, then the restriction of  $X^m$  to the closure of each component of the split-open disc is either periodic or PA.

We note that there is a working algorithm, given in [4, 5], to determine whether a given braid is periodic or reducible, and we used it in basic ways when we computed the millions of examples that suggested the different structures of ultra summit sets, depending on the geometric type. Note that if one can recognize whether a braid is periodic or reducible, then if it is neither it must be PA.

# 1.4 A project to solve the conjugacy problems in braid groups and a summary of our results

Making use of almost all of the ideas that we have just described, we have developed a strategy for attacking the problem of the complexity of the conjugacy decision and search problems (CDP/CSP) in the braid groups. It uses the structure of centralizers of PA braids, and the uniqueness of their roots [23], some particular properties of periodic braids, and also the geometric decomposition of a reducible braid along its invariant curves. Hence, our strategy does not apply to an arbitrary Garside group, although many of the results that we show (all results in [9] and all results in this paper, except Theorem 3.37 and those in §3.4) are stated and hold in the general framework of Garside groups. The results in [10] and the remaining parts of our project are conceived for braid groups, although we believe that they will probably be generalized to other Garside groups, at least to spherical type Artin-Tits groups.

As was noted in the previous sections, two elements X, Y in a Garside group are conjugate if and only if one element in USS(X) is also in USS(Y). This means that we must compute all of USS(Y) in order to be able to test conjugacy. Thus we will need to understand the structure and size of the ultra summit set. Unfortunately, however, USS(X) can be quite complicated, partly because cycling is not, in general, a cyclic permutation of the factors in a left normal form, but also because it is not clear how the distinct orbits in USS(X) are related. The former problem is avoided if USS(X) is made of rigid elements:

In Section 3 we will introduce and study rigid elements. Let  $X = \Delta^p x_1 x_2 \cdots x_r$  be in left normal form. Assume r > 0. Then X is rigid if  $\Delta^p x_1 x_2 \cdots x_r \tau^{-p}(x_1)$  is in normal form as written. We were lead to study rigid elements when we realized, long ago, that it was often very difficult to predict and understand the changes in normal form of braids after cycling. If X is rigid, the left normal form of  $\mathbf{c}(X)$  is precisely  $\Delta^p x_2 \cdots x_r \tau^{-p}(x_1)$ , so cycling is simpler than in the general case, and the combinatorics in USS(X) are easier to understand.

In this paper we will see that obtaining a polynomial solution to the CDP/CSP for certain elements in a Garside group, reduces to obtaining such a solution for rigid elements. In the case of braid groups, this happens for pseudo-Anosov (PA) braids. Since the property of being PA is generic in  $B_n$ , this is an important step in the case of braids.

Assuming that  $X, Y \in B_n$ , we consider the three cases separately: X, Y are PA, periodic or reducible. We break our approach to  $B_n$  into the following 6 steps:

I **Determining if a braid is periodic, reducible or PA.** We remark that it is very fast to decide whether a given braid is periodic [23], so the main problem is to determine if a braid is reducible, and find the reducing curves. This question is solved in [4, 5], but the proposed algorithm computes SSS(X). In fact, one can replace SSS(X) by USS(X), but having to compute USS(X) means that the algorithm is not polynomial, in general (In [10] there are examples of USS's in  $B_n$  whose size is exponential in n). This yields the following.

**Open question 1:** Is there an algorithm to determine if a braid in  $B_n$  is reducible and to find its reducing curves, which is polynomial in n and ||X||?

This problem was first studied in [4]. Some work in this direction can be found in [27].

II **PA** braids: passing to powers. In Sections 2 and 3 of this paper we show that if X and Y are PA, there is some small power m such that  $USS(X^m)$  is made of rigid braids, and it suffices to solve the CDP/CSP for  $X^m$  and  $Y^m$ .

In this regard we make two remarks: The first is that, in view of the results in [23], for every nonzero integer m, the braids X and Y are conjugate if and only if  $X^m$  and  $Y^m$  are conjugate. Furthermore, PA braids have unique roots. Hence if X and Y are PA, and Z conjugates  $X^m$  to  $Y^m$ , then Z conjugates X to Y. Therefore nothing is lost in passing to powers.

Our second remark is that we prove the non-emptiness of the stable ultra summit set SU(X) in a Garside group (compare with [29], where the stable super summit set is introduced). That is, for every  $X \in G$  define  $SU(X) = \{Y \in USS(X) \mid Y^k \in USS(Y^k) \text{ for all } k \in \mathbb{Z}\}$ . Proposition 2.23 of this paper proves that  $SU(X) \neq \emptyset$ . However, we will not need to work in SU(X), it will suffice to control a bounded number of powers of X, and we learn how to do that.

- III Understanding the USS graph. In [9] we uncover and study the structure of the ultra summit set of an element in a Garside group. More precisely, we show that the conjugations corresponding to minimal simple elements (the arrows in the USS graph) are a very special kind of conjugation that we call *partial cyclings*. This work is not restricted to braids. At the end of [9] we specialize our work to the cases: (a) X is a rigid element, and (b) X is a periodic element (in a Garside group, that is, a root of some power of  $\Delta$ ). This is a first step towards the solution of the following.
- IV Finding a polynomial bound for the size of USS(X), when X is rigid. At this writing this work is incomplete. We have computed many many examples, using random searches, and on the basis of the evidence found that in the generic case USS(X) has either 2 orbits, where one is the conjugate of the other by  $\Delta$ , or 1 orbit which is conjugate to itself by  $\Delta$ . However, there are exceptional cases where USS(X) has unexpected size. There is no indication whatsoever of uncontrolled growth. Indeed, the combinatorial conditions that are uncovered in [9] are so restrictive that exponential growth seems very unlikely. But since we do not have an affirmative answer, we state the following.

**Open question 2:** If X is a rigid element in  $B_n$ , is the size of USS(X) bounded above by some polynomial in n and ||X||?

We remark that, in §3.2 of this paper, we show that if  $X \in G$  is a rigid element of canonical length greater than 1, then USS(X) consists of rigid elements.

Finally, solving Open question 2 affirmatively would imply that the algorithm in [22] applied to rigid braids is polynomial in n and ||X||, provided that the following is also true, at least for conjugates of a rigid braid:

**Open question 3:** Given  $X \in B_n$  and  $Y \in SSS(X)$ , let m be such that  $\mathbf{c}^m(Y) \in USS(X)$ . Is m bounded above by a polynomial in n and ||X||?

V **Periodic braids.** In [10] we settle the CSP for periodic braids in  $B_n$ , in polynomial time with respect to n and ||X||. We remark that the CDP for periodic braids was already known to be polynomial [4, 5], but the usual algorithm to solve the CSP is not polynomial in this case, so in [10] we find a new specific algorithm for periodic braids.

VI Reducible braids. Suppose that Open question 1, 2 and 3 above are solved. Note that reducible braids are braids that are made up of braided tubes, each containing braided tubes and so forth until one reaches an irreducible braid, which is then either periodic or PA. Once that reducing curves are known, and one knows how to solve the CDP/CSP for irreducible braids, one can use techniques from [23] to solve the CDP/CSP for reducible ones in polynomial time.

As a conclusion, the work in this paper and in [9, 10], together with an affirmative answer to Open questions 1, 2 and 3 above, would yield a polynomial algorithm to solve the CDP/CSP in braid groups. Due to our increasing understanding of the structure of ultra summit sets, we believe that this final goal is within reach.

In this paper we will solve problem II above. Most of our results (except those in §3.4 and Theorem 3.37) hold in all Garside groups.

In §2 we determine the relationship between the m-times iterated cycling  $c^m(X)$  of  $X \in G$  and the  $m^{th}$  power  $X^m$  of X. The main result is Theorem 2.9 of §2.2. In §2.4 we introduce the stable ultra summit set SU(X) of  $X \in G$  (cf [29]) and give a short proof that it is non-empty. While we realized, after we had completed the work in this paper, that we did not really need SU(X) in our work, we include it for completeness, and because it may be useful for others.

In §3 we study rigid elements in Garside groups and prove some surprising results about them. In particular, in Theorem 3.15 we prove that if X is rigid and  $\ell(X) > 1$  then every element in USS(X) is also rigid. Theorem 3.21 characterizes exactly which elements in a Garside group have rigid powers. Using it, we prove in Theorem 3.23 that if X is a pseudo-Anosov braid, then there exists an m such that  $X^m$  is rigid. In Theorem 3.34 we solve the problem that is described in II above in this section, obtaining a polynomial bound for the power m.

## 2 Cyclings and powers.

Recall the definition of a rigid element in a Garside group G (Definition 3.1). Our goal in this paper is to understand the conditions under which an element  $X \in USS(X) \subset G$  which is not necessarily rigid has a small power  $X^m$  which is rigid. This will be done by investigating the relationship between iterated cyclings and iterated powers of X. However, the connection between cycling and normal forms of powers is fairly subtle. The problems that we will encounter and solve will be easier to understand after we study an example. They will probably have been encountered by others who have worked with left normal forms (see [1, 18, 19]) in the braid group  $B_n$ , and struggled to understand how they change after cycling.

An Example: Let  $X = \Delta^p x_1 \cdots x_r \in USS(X) \subset G, r > 0$ . Since  $\inf(X) = p$ ,  $\ell(X) = r$ , it is immediate that  $\inf(X^m) \ge mp$  and that  $\ell(X^m) \le mr$ . We can think of the terms  $\Delta^{mp}$  in the normal form of  $X^m$  as the expected  $\Delta's$ , and any additional ones as unexpected  $\Delta's$ . Similarly,  $\sup(X) = p + r$ , so that mp + mr is the expected supremum of  $X^m$ . There will be an unexpected decrease in  $\sup(X^m)$  if and only if the actual value of  $\sup(X^m)$  is less than mp + mr. These two issues are closely related, because by Corollary 1.6  $\sup(X) = -\inf(X^{-1})$  and  $\inf(X) = -\sup(X^{-1})$ , so that if we arrive at an understanding of unexpected increases in the infimum, we will also have arrived at an understanding of unexpected decreases in the supremum. Unfortunately, however, the normal form of  $X^m$  is not easily related to the normal form of X, as is illustrated by the following example, taken from the 5-string braid group  $B_5$ .

Let  $X = 12132143143 \in B_n$ , where the letter i means the elementary braid  $\sigma_i$ . In this example  $\inf(X) = 0$ . A calculation shows that X is in its ultra summit set, and there are 2 cycling orbits in USS(X), each with 4 elements, with the second being the conjugate of the first by  $\Delta$ . In this simple case  $\ell(X) = 2$ , that is, there are 2 simple factors in the left normal form for  $X = C_1 \cdot R_1$ , where dots are used to separate the simple words in the left normal form. Here is the first orbit:

```
X = 12132143 \cdot 143 = C_1 \cdot R_1
c(X) = 121324321 \cdot 14 = C_2 \cdot R_2
c^2(X) = 12132432 \cdot 214 = C_3 \cdot R_3
```

```
c^3(X) = 121343 \cdot 12324 = C_4 \cdot R_4
c^4(X) = X
```

What about powers of X? Calculating left normal forms, we find that:

 $X = 12132143 \cdot 143$ 

 $X^2 = \Delta \cdot 2324321 \cdot 14 \cdot 143$ 

 $X^{3} = \Delta^{2} \cdot 12324 \cdot 214 \cdot 14 \cdot 143$   $X^{4} = \Delta^{2} \cdot 12132143 \cdot 143 \cdot 12324 \cdot 214 \cdot 14 \cdot 143$ 

 $X^5 = \Delta^3 \cdot 2324321 \cdot 14 \cdot 143 \cdot 12324 \cdot 214 \cdot 14 \cdot 143$ 

Since  $\inf(X) = 0$ , the powers  $X^2, X^3, X^4, X^5$  have 1,2,2,3 unexpected  $\Delta's$ .

A hint at how the normal forms of X and  $X^m$  might be related comes from a more careful inspection of this example (and many many other examples like it). The initial factors of the elements in the orbit of X are  $C_1 = 12132143$ ,  $C_2 = 121324321$ ,  $C_3 = 12132432$ ,  $C_4 = 121343$ . These are the 'conjugating factors' that are used when we cycle, that is, if  $\mathbf{C}_m = C_1 C_2 \cdots C_m$ , then  $c^m(X) = X^{\mathbf{C}_m}$ . Here are the left normal forms for  $C_1, C_2, \ldots, C_5$ :

 $C_1 = 12132143 =$ first simple factor in X

 $\mathbf{C}_2 = 12132143121324321 = \Delta \cdot 2324321 = \text{product of first 2 simple factors in } X^2$  $\mathbf{C}_3 = 1213214312132432112132432 = \Delta^2 \cdot 12324 = \text{product of first 3 simple factors in } X^3$ 

 $\mathbf{C}_4 = \Delta^2 \cdot 12132143 \cdot 143 = \text{product of first 4 simple factors in } X^4$ 

 $C_5 = \Delta^3 \cdot 2324321 \cdot 14 = \text{product of first 5 simple factors in } X^5$ 

One of the main results in this paper states that, for every  $X \in USS(X)$ , the product of the first m factors in the left normal form of  $X^m\Delta^{-mp}$ , where we include powers of  $\Delta$  in the count, is precisely  $\mathbf{C}_m$ , the product of the conjugating elements involved in the first m cyclings of X. This will allow us to determine which elements admit a rigid power and, under some hypothesis, we find an upper bound for the smallest power which is rigid. In the particular case of braid groups, these results apply to pseudo-Anosov braids, since we will show in §3.4 that every pseudo-Anosov braid in its ultra summit set has a rigid power.

#### Decomposition of powers of X. 2.1

In this section we will decompose  $X^m$  as a product of two elements, each of which is determined by the iterated cyclings of  $X \in G$ . Assume from now on that  $X \in SSS(X)$  and  $\ell(X) > 1$ . We will develop some basic properties of this decomposition for elements of a Garside group.

We first need some notation. If the left normal form of X is  $\Delta^p x_1 \cdots x_r$ , recall that  $\iota(X) = \tau^{-p}(x_1)$  is the initial factor of X, and that the cycling of X is defined by  $\mathbf{c}(X) = X^{\iota(X)}$ . If we apply iterated cyclings to X, the conjugating elements will be denoted by  $C_1, C_2, \ldots$  That is,  $C_i = \iota(\mathbf{c}^{i-1}(X))$  for  $i \geq 1$ . Hence one has  $\mathbf{c}^m(X) = X^{C_1 \cdots C_m}$ . The letter C in the symbol  $C_i$  comes from conjugating element, since one conjugates  $\mathbf{c}^{i-1}(X)$  by  $C_i$  to obtain  $\mathbf{c}^i(X)$ .

The element X can be decomposed as follows:  $X = \Delta^p x_1 \cdots x_r = C_1 \Delta^p x_2 \cdots x_r$ . We denote  $R_1 =$  $x_2 \cdots x_r$ , so  $X = C_1 \Delta^p R_1$ . For the iterated cyclings of X, we denote  $R_i$  in a similar way, that is, the element satisfying  $\mathbf{c}^{i-1}(X) = C_i \Delta^p R_i$ . The letter R comes from remainder. Notice that every  $C_i$  is a simple element, while  $R_i$  is simple only if  $\ell(X) \leq 2$ , and it is trivial if  $\ell(X) = 1$ . The important fact about these elements relies on how they behave when they are multiplied in the right way.

**Definition 2.1.** Let  $X \in SSS(X)$  with  $\inf(X) = p$  and  $\ell(X) \geq 1$ . For  $i \geq 1$ , let  $C_i$  and  $R_i$  be the elements defined above. Then, for every  $m \ge 1$ , we define:

 $\bullet \quad \mathbf{C}_m = C_1 \cdots C_m$ 

•  $\mathbf{R}_m = \tau^{-p}(R_m)\tau^{-2p}(R_{m-1})\cdots\tau^{-mp}(R_1)$ .

Notice that

$$\mathbf{R}_m \Delta^{pm} = (\Delta^p R_m)(\Delta^p R_{m-1}) \cdots (\Delta^p R_1).$$

Since later we will deal not only with X, but with successive cyclings of X, we want to define the corresponding elements above, for  $\mathbf{c}^k(X)$ . Hence we define  $\mathbf{C}_{[k,m]}$  and  $\mathbf{R}_{[k,m]}$  to be the elements  $\mathbf{C}_m$  and  $\mathbf{R}_m$  above, but defined with respect to  $\mathbf{c}^k(X)$ . This yields the analogous definition with the indices shifted by k:

**Definition 2.2.** Let  $X \in SSS(X)$  with  $\inf(X) = p$  and  $\ell(X) \ge 1$ . For  $i \ge 1$ , let  $C_i$  and  $R_i$  be the elements defined above. Then, for every  $m \ge 1$  and  $k \ge 0$ , we define:

$$\bullet \quad \mathbf{C}_{[k,m]} = C_{k+1} \cdots C_{k+m}$$

• 
$$\mathbf{R}_{[k,m]} = \tau^{-p}(R_{k+m})\tau^{-2p}(R_{k+m-1})\cdots\tau^{-mp}(R_{k+1}).$$

Notice that

$$\mathbf{R}_{[k,m]}\Delta^{pm} = (\Delta^p R_{k+m})(\Delta^p R_{k+m-1})\cdots(\Delta^p R_{k+1}).$$

Clearly,  $\mathbf{C}_m = \mathbf{C}_{[0,m]}$  and  $\mathbf{R}_m = \mathbf{R}_{[0,m]}$ .

In the particular case in which  $X \in USS(X)$ , that is,  $X \in SSS(X)$  and  $\mathbf{c}^t(X) = X$  for some positive integer t, we can extend the above definition to negative values of k, as follows. We know that  $\mathbf{c}^m(X) \in USS(X)$  for every  $m \geq 0$ . If we denote by  $\mathcal{O}(X)$  the orbit of X under cycling, we can define  $\mathbf{c}^{-m}(X)$  to be the element  $Y \in \mathcal{O}(X)$  such that  $\mathbf{c}^m(Y) = X$  (although cycling is not injective in the whole G, it is a bijection in USS(X), so we hope this notation will not cause confusion).

Recall that we defined  $C_i = \iota(\mathbf{c}^{i-1}(X))$ , and  $R_i$  in such a way that  $\mathbf{c}^{i-1}(X) = C_i \Delta^p R_i$ , for every  $i \geq 1$ . The same definitions can now be given for every  $i \in \mathbb{Z}$ , as we have definitions for the *negative* cyclings of X. Since  $\mathcal{O}(X)$  is a finite set, the sequences  $\{C_i\}_{i\in\mathbb{Z}}$  and  $\{R_i\}_{i\in\mathbb{Z}}$  are periodic. Therefore, we have definitions for the elements  $C_i$ ,  $R_i$ , and also  $\mathbf{C}_{[k,m]}$  and  $\mathbf{R}_{[k,m]}$  for every  $i, k \in \mathbb{Z}$  and every  $m \geq 1$ .

Let us show a result that will be useful later.

**Lemma 2.3.** Let  $X \in SSS(X)$  with  $\inf(X) = p$  and  $\ell(X) \geq 1$ . For every  $m, k \geq 1$  one has

$$\mathbf{R}_{[k-1,m]}\Delta^{pm} C_k = C_{k+m} \mathbf{R}_{[k,m]}\Delta^{pm}.$$

In other words,

$$(\Delta^p R_{k+m-1}) \cdots (\Delta^p R_k) C_k = C_{k+m} (\Delta^p R_{k+m}) \cdots (\Delta^p R_{k+1}).$$

Moreover, if  $X \in USS(X)$ , the same equality holds for every  $k \in \mathbb{Z}$ .

*Proof.* We first show the result for  $X \in SSS(X)$  and  $k \ge 1$ . If m = 1, the result is true since  $(\Delta^p R_k)C_k = \mathbf{c}^k(X) = C_{k+1}(\Delta^p R_{k+1})$  by definition. Suppose the result true for m-1. Then one has

$$(\Delta^{p} R_{k+m-1})(\Delta^{p} R_{k+m-2}) \cdots (\Delta^{p} R_{k}) C_{k} = (\Delta^{p} R_{k+m-1}) C_{k+m-1} (\Delta^{p} R_{k+m-1}) \cdots (\Delta^{p} R_{k+1})$$

$$= \mathbf{c}^{k+m-1} (X)(\Delta^{p} R_{k+m-1}) \cdots (\Delta^{p} R_{k+1}) = C_{k+m} (\Delta^{p} R_{k+m})(\Delta^{p} R_{k+m-1}) \cdots (\Delta^{p} R_{k+1}),$$

so the result is also true for m and we are done.

If 
$$X \in USS(X)$$
 and  $k < 0$ , the same proof is valid.

We will now see how the element  $X^m$  can be decomposed in terms of  $\mathbf{C}_m$  and  $\mathbf{R}_m$ , together with some properties concerning the normal form of these two factors.

**Lemma 2.4.** Let  $X \in SSS(X)$ , with  $\ell(X) \geq 1$ . Let  $C_i$ ,  $R_i$ ,  $C_m$  and  $R_m$  be the elements defined above. Then:

1. The m-th power of X has the decomposition

$$X^m = \mathbf{C}_m \mathbf{R}_m \Delta^{mp}.$$

- 2. In this decomposition,  $\inf(\mathbf{R}_m) = 0$  and  $\iota(\mathbf{R}_m) \leq C_{m+1}$ , for every  $m \geq 1$ .
- 3. In general  $\inf(\mathbf{C}_m) \geq 0$ . If  $\ell(X) > 1$ , one has  $\sup(\mathbf{C}_m) = m$ ,  $\ell(\mathbf{C}_m) > 0$  and  $\varphi(\mathbf{C}_m) \succeq \varphi(\mathbf{c}^m(X))$ , for every  $m \geq 1$ .

**Remark:** The left normal form of  $C_m$  is not so easy to understand, as we saw in the example that was given at the beginning of Section 2. Uncovering it, and relating it to the left normal form of  $X^m$ , will be a major part of our investigations.

Proof:

1. For m=1 the result is clear, since  $X=C_1\Delta^pR_1=\mathbf{C}_1\mathbf{R}_1\Delta^p$  by definition. Now suppose that

$$X^{m-1} = \mathbf{C}_{m-1} \mathbf{R}_{m-1} \Delta^{(m-1)p}.$$

Then one has

$$X^m = X^{m-1}X = \mathbf{C}_{m-1}\mathbf{R}_{m-1}\Delta^{(m-1)p} C_1(\Delta^p R_1).$$

By Lemma 2.3 with k = 1, it then follows that

$$X^{m} = \mathbf{C}_{m-1} C_{m} \mathbf{R}_{[1,m-1]} \Delta^{(m-1)p} (\Delta^{p} R_{1}) = \mathbf{C}_{m} \mathbf{R}_{[1,m-1]} \Delta^{mp} R_{1}$$
$$= \mathbf{C}_{m} \mathbf{R}_{[1,m-1]} \tau^{-mp} (R_{1}) \Delta^{mp} = \mathbf{C}_{m} \mathbf{R}_{m} \Delta^{mp},$$

so the result is true for every  $m \geq 1$ .

2. To prove that  $\inf(\mathbf{R}_m) = 0$  and that  $\iota(\mathbf{R}_m) \leq C_{m+1}$ , for every  $m \geq 1$ , we notice that  $\mathbf{R}_m$  is positive by definition. Hence both statements will follow if we can show that  $\Delta \wedge \mathbf{R}_m \leq C_{m+1}$ .

If m=1 one has  $\mathbf{R}_1 = \tau^{-p}(x_2 \cdots x_r)$ , hence  $\Delta \wedge \mathbf{R}_1 = \tau^{-p}(x_2)$ . We also have  $C_2 = \iota(\mathbf{c}(X)) = \iota(\Delta^p x_2 \cdots x_r \tau^{-p}(x_1))$ . Since  $X \in SSS(X)$ , we have  $\inf(x_2 \cdots x_r \tau^{-p}(x_1)) = 0$ , so the first factor in its left normal form is equal to  $x_2s$  for some simple element s. Hence  $C_2 = \iota(\Delta^p x_2 \cdots x_r \tau^{-p}(x_1)) = \tau^{-p}(x_2s)$ . Therefore  $\Delta \wedge \mathbf{R}_1 = \tau^{-p}(x_2) \leq \tau^{-p}(x_2s) = C_2$ , and the result is true for m=1.

Suppose that  $\Delta \wedge \mathbf{R}_{m-1} \leq C_m$  for some m. By definition,  $\mathbf{R}_m = \tau^{-p}(R_m \mathbf{R}_{m-1})$ , hence

$$\Delta \wedge \mathbf{R}_m = \Delta \wedge \tau^{-p}(R_m \mathbf{R}_{m-1}) = \tau^{-p}(\Delta \wedge (R_m \mathbf{R}_{m-1})).$$

Notice that, since  $\inf(\mathbf{R}_{m-1}) = 0$  by the induction hypothesis, the initial factor of  $R_m \mathbf{R}_{m-1}$  depends only on  $R_m$  and on the initial factor of  $\mathbf{R}_{m-1}$ , that is,

$$\Delta \wedge (R_m \mathbf{R}_{m-1}) = \Delta \wedge (R_m (\Delta \wedge \mathbf{R}_{m-1})) \leq \Delta \wedge (R_m C_m).$$

But  $\Delta^p R_m C_m = \mathbf{c}^m(X)$ , and  $\iota(\mathbf{c}^m(X)) = C_{m+1}$ , hence  $\Delta \wedge (R_m C_m) = \tau^p(C_{m+1})$ . Therefore

$$\Delta \wedge (R_m \mathbf{R}_{m-1}) \preceq \tau^p(C_{m+1}),$$

and then

$$\Delta \wedge \mathbf{R}_m = \tau^{-p}(\Delta \wedge (R_m \mathbf{R}_{m-1})) \leq \tau^{-p}(\tau^p(C_{m+1})) = C_{m+1},$$

as we wanted to show.

3. We prove (3) by induction on m. If m=1 then  $\mathbf{C}_1=C_1$ . As  $\ell(X)>0$ ,  $C_1$  is a non-trivial simple element, whence  $\sup(C_1)=\ell(C_1)=1$ . As  $X\in SSS(X)$ , the number of canonical factors cannot decrease when passing from X to  $\mathbf{c}(X)$ . In particular, the factor  $C_1$  moved to the end cannot be absorbed completely which shows  $\varphi(\mathbf{C}_1)=C_1\succeq \varphi(\mathbf{c}(X))$ .

Suppose the result true for some  $m \geq 1$  and let  $F = \varphi(\mathbf{C}_m) = \varphi(C_1 \cdots C_m)$ . As above we see that  $\varphi(\mathbf{c}^m(X))$   $C_{m+1}$  cannot be simple, as X (and hence  $\mathbf{c}^m(X)$ ) is super summit. Notice that we used  $\ell(X) > 1$  here. Since  $F \succeq \varphi(\mathbf{c}^m(X))$  by induction, this implies that  $FC_{m+1}$  also has supremum 2. It is well known [32] that if one multiplies a left normal form  $y_1 \cdots y_m$  by a simple element  $C_{m+1}$ , then the left normal form of the product is computed by by applying m local transformations to pairs of consecutive factors, starting by the rightmost pair. In this way one can compute the normal form of every element, so we will refer to this as the normal form algorithm. Since  $\sup(\mathbf{C}_m) = m$  by induction hypothesis, and  $FC_{m+1}$  is not simple, the normal form algorithm implies that  $\sup(\mathbf{C}_{m+1}) = \sup(C_1 \cdots C_{m+1}) = m+1$ . This algorithm together with  $F \succeq \varphi(\mathbf{c}^m(X))$  and  $\ell(X) > 1$  also implies that

$$\varphi(\mathbf{C}_{m+1}) = \varphi(C_1 \cdots C_m C_{m+1}) = \varphi(FC_{m+1}) \succeq \varphi(\varphi(\mathbf{c}^m(X)) \ C_{m+1}) = \varphi(\mathbf{c}^{m+1}(X)).$$

Finally, since  $C_{m+1} \neq \Delta$  and  $FC_{m+1}$  has supremum 2, it follows that  $\varphi(\mathbf{C}_{m+1}) = \varphi(FC_{m+1}) \neq \Delta$ , so  $\ell(\mathbf{C}_{m+1}) > 0$ .

Since the super summit set of an element is closed under cycling, Lemma 2.4 is still true if we apply it to every iterated cycling of X. If furthermore  $X \in USS(X)$ , the same will be true for every element in  $\mathcal{O}(X)$ . This yields the following result.

**Lemma 2.5.** Let  $X \in SSS(X)$ , with  $\ell(X) \geq 1$ . With the above notation, one has, for every  $k \geq 0$  and every  $m \geq 1$ :

1. The m-th power of  $\mathbf{c}^k(X)$  has the decomposition

$$(\mathbf{c}^k(X))^m = \mathbf{C}_{[k,m]} \mathbf{R}_{[k,m]} \Delta^{mp}.$$

- 2. In this decomposition,  $\inf(\mathbf{R}_{[k,m]}) = 0$  and  $\iota(\mathbf{R}_{[k,m]}) \leq C_{k+m+1}$ , for every  $m \geq 1$ .
- 3. In general  $\inf(\mathbf{C}_{[k,m]}) \geq 0$ . If  $\ell(X) > 1$ , one has  $\sup(\mathbf{C}_{[k,m]}) = m$ ,  $\ell(\mathbf{C}_{[k,m]}) > 0$  and  $\varphi(\mathbf{C}_{[k,m]}) \succeq \varphi(\mathbf{c}^{k+m}(X))$ , for every  $m \geq 1$ .

Moreover, if  $X \in USS(X)$ , the result holds for every  $k \in \mathbb{Z}$ .

Notice that in  $\mathbf{C}_{[k,m]}$  and  $\mathbf{R}_{[k,m]}$ , the first index determines an element in the cycling orbit of X, and the second index determines its power. One can also think of m as being the number of factors in the decompositions of  $\mathbf{C}_{[k,m]}$  and  $\mathbf{R}_{[k,m]}$  given by the definitions. But this is not necessarily the number of factors in their normal forms.

### 2.2 Interplay between $C_m$ and $R_m$ .

Having proved that  $X^m = \mathbf{C}_m \mathbf{R}_m \Delta^{pm}$ , we will show that if X belongs to its ultra summit set, this decomposition is left weighted, that is,  $\varphi(\mathbf{C}_m)\iota(\mathbf{R}_m)$  is in left normal form as written. In other words, since we know by Lemma 2.4 that  $\sup(\mathbf{C}_m) = m$ , we will show that the product of the first m factors, including  $\Delta$ 's, in the left normal form of  $X^m \Delta^{-pm}$  is precisely  $\mathbf{C}_m$ .

If  $X \in USS(X)$ , recall that by Lemma 2.3, one has

$$\mathbf{R}_{[k-1.m]}\Delta^{pm} C_k = C_{k+m} \mathbf{R}_{[k.m]}\Delta^{pm},$$

for every  $k \in \mathbb{Z}$ . We will actually see that the initial factor of this element is precisely  $C_{k+m}$ , no matter how many remainders we multiply on the right, that is, no matter how big is m.

**Lemma 2.6.** Let  $X \in SSS(X)$  with  $\inf(X) = p$  and  $\ell(X) \ge 1$ . For every  $m \ge 1$  and  $k \ge 0$ , one has

$$(C_{k+m} \mathbf{R}_{[k,m]}) \wedge \Delta = C_{k+m}.$$

If furthermore  $X \in USS(X)$ , this is also true for every  $k \in \mathbb{Z}$ .

*Proof.* If m = 1, we need to show that  $(C_{k+1}\mathbf{R}_{[k,1]}) \wedge \Delta = C_{k+1}$ , but we have  $\mathbf{c}^k(X) = C_{k+1}\Delta^p R_{k+1} = C_{k+1}\mathbf{R}_{[k,1]}\Delta^p$ , where this decomposition is left weighted by definition. So the result is true for m = 1.

Suppose the result true for m-1. This means that  $(C_{k+m-1} \mathbf{R}_{[k,m-1]}) \wedge \Delta = C_{k+m-1}$ . If we multiply on the left by  $R_{k+m-1}$  we get  $(R_{k+m-1}C_{k+m-1} \mathbf{R}_{[k,m-1]}) \wedge (R_{k+m-1}\Delta) = R_{k+m-1}C_{k+m-1}$ . Notice that  $\Delta \leq R_{k+m-1}\Delta$ , hence if we consider the maximal simple prefix of each element in the above equality, we obtain

$$(R_{k+m-1}C_{k+m-1} \mathbf{R}_{[k,m-1]}) \wedge \Delta = (R_{k+m-1}C_{k+m-1}) \wedge \Delta.$$

On the other hand, recall that  $(\Delta^p R_{k+m-1})C_{k+m-1} = \mathbf{c}^{k+m-1}(X) = C_{k+m}(\Delta^p R_{k+m})$ , hence  $R_{k+m-1}C_{k+m-1} = \tau^p(C_{k+m})R_{k+m}$ , where  $\tau^p(C_{k+m})$  is the maximal simple prefix of this element. Therefore, one has

$$(R_{k+m-1}C_{k+m-1}\mathbf{R}_{[k,m-1]}) \wedge \Delta = (R_{k+m-1}C_{k+m-1}) \wedge \Delta = \tau^p(C_{k+m}).$$

Now notice that

$$\Delta^{p} R_{k+m-1} C_{k+m-1} \mathbf{R}_{[k,m-1]} = C_{k+m} \Delta^{p} R_{k+m} \mathbf{R}_{[k,m-1]} = C_{k+m} \mathbf{R}_{[k,m]} \Delta^{p}.$$

This means that

$$R_{k+m-1}C_{k+m-1}\mathbf{R}_{[k,m-1]} = \tau^p(C_{k+m}\mathbf{R}_{[k,m]}),$$

and we just showed that its maximal simple prefix is precisely  $\tau^p(C_{k+m})$ . Applying  $\tau^{-p}$  to this element, one obtains  $(C_{k+m} \mathbf{R}_{[k,m]}) \wedge \Delta = C_{k+m}$ , as we wanted to show.

The proof for  $X \in USS(X)$  and k < 0 is the same.

It will possibly help to understand the situation if we extract a particular case from the above result, assuming that  $X \in USS(X)$ :

**Lemma 2.7.** Let  $X \in USS(X)$  with  $\inf(X) = p$  and  $\ell(X) \ge 1$ . For every  $m \ge 1$ , one has

$$(C_1 \mathbf{R}_{[1-m,m]}) \wedge \Delta = C_1.$$

In other words, the biggest simple prefix of

$$C_1 \tau^{-p}(R_1) \tau^{-2p}(R_0) \tau^{-3p}(R_{-1}) \cdots \tau^{-mp}(R_{2-m})$$

is  $C_1$ , no matter how big is m.

We can now show that the decomposition  $C_m \mathbf{R}_m$  is left weighted. This will actually be a particular case of the following stronger result.

**Proposition 2.8.** Let  $X \in USS(X)$  with  $\ell(X) \geq 1$ . With the above notation, for every  $k, l, m, n \in \mathbb{Z}$ , such that  $m, n \geq 1$  and k + m = l + n, the decomposition  $\mathbf{C}_{[k,m]}\mathbf{R}_{[l,n]}$  is left weighted. That is,  $\varphi(\mathbf{C}_{[k,m]})\iota(\mathbf{R}_{[l,n]}) \wedge \Delta = \varphi(\mathbf{C}_{[k,m]})$ .

*Proof.* We proceed by induction on m. If m = 1, one has  $\mathbf{C}_{[k,1]}\mathbf{R}_{[l,n]} = C_{k+1}\mathbf{R}_{[l,n]}$ . Since k+1 = l+n by hypothesis, it follows from Lemma 2.6 that the biggest simple prefix of this element is precisely  $C_{k+1}$ . Hence  $\mathbf{C}_{[k,1]}\mathbf{R}_{[l,n]}$  is left weighted, and the result is true for m = 1.

Now consider k, l, m, n as above, with m > 1, and suppose the result true for m - 1. This implies that  $\mathbf{C}_{[k,m-1]}\mathbf{R}_{[l-1,n]}$  is left weighted, since k, l-1, m-1, n satisfy the required hypothesis. (Notice that if we had required  $k, l \geq 0$ , we would not have been able to apply the induction hypothesis here, since we could have had l-1 < 0; This is why we require  $X \in USS(X)$  and not only in SSS(X).)

Let  $\mathbf{C}_{[k,m-1]} = \Delta^q y_1 \cdots y_s$  and  $\mathbf{R}_{[l-1,n]} = z_1 \cdots z_t$  in left normal form. Then  $\mathbf{C}_{[k,m-1]} \mathbf{R}_{[l-1,n]} = \Delta^q y_1 \cdots y_s z_1 \cdots z_t$  is in left normal form as written, by induction hypothesis. Now multiply this element on the right by  $\tau^{-pn}(C_l)$ . By the normal form algorithm, since  $\Delta^q y_1 \cdots y_s z_1 \cdots z_t$  is already in left normal form and  $\tau^{-pn}(C_l)$  is a simple element, then the left normal form of  $\Delta^q y_1 \cdots y_s z_1 \cdots z_t \tau^{-pn}(C_l)$  is computed by applying s+t local transformations to pairs of consecutive factors, starting by the rightmost pair. When we apply the first t transformations, we obtain the left normal form of  $z_1 \cdots z_t \tau^{-pn}(C_l) = \mathbf{R}_{[l-1,n]} \tau^{-pn}(C_l)$ . By Lemma 2.3, this element is equal to  $C_{l+n} \mathbf{R}_{[l,n]} = C_{k+m} \mathbf{R}_{[l,n]}$ . Moreover, by Lemma 2.6,  $C_{k+m}$  is the biggest simple prefix of this element. Hence the left normal form of  $z_1 \cdots z_t \tau^{-pn}(C_l)$  has the form  $C_{k+m} z_1' \cdots z_t'$ , where  $z_1' \cdots z_t' = \mathbf{R}_{[l,n]}$ . We then have

$$\mathbf{C}_{[k,m-1]}\mathbf{R}_{[l-1,n]}\tau^{-pn}(C_l) = \mathbf{C}_{[k,m-1]}C_{k+m}\mathbf{R}_{[l,n]} = \Delta^q y_1 \cdots y_s C_{k+m} z_1' \cdots z_t',$$

where the last t+1 factors in the latter decomposition are in left normal form.

If we continue applying the normal form algorithm, we perform s local transformations to the element  $y_1 \cdots y_s C_{k+m}$ , which is equal to  $\mathbf{C}_{[k,m-1]} C_{k+m} = \mathbf{C}_{[k,m]}$ . Since the resulting factorization of  $\mathbf{C}_{[k,m]} \mathbf{R}_{[l,n]}$  is in left normal form by construction, it follows that  $\varphi(\mathbf{C}_{[k,m]})\iota(\mathbf{R}_{[l,n]})$  is left weighted, as we wanted to show.

This result implies one of the strongest relations between cyclings and powers of an element X in its ultra summit set:

**Theorem 2.9.** Let  $X \in USS(X)$  with  $\inf(X) = p$  and  $\ell(X) > 1$ . For every  $m \ge 1$ , the product of the first m factors (including  $\Delta$ 's) in the left normal form of  $X^m \Delta^{-mp}$  is equal to  $\mathbb{C}_m$ . That is,

$$(X^m \Delta^{-mp}) \wedge \Delta^m = \mathbf{C}_m.$$

In particular,  $\iota(X^m) = \iota(\mathbf{C}_m)$ .

*Proof.* The first claim is a straightforward consequence of the previous result and Lemma 2.4, since  $X^m \Delta^{-mp} = \mathbf{C}_m \mathbf{R}_m$ , where the latter decomposition is left weighted and  $\sup(\mathbf{C}_m) = m$ .

The second claim follows from the fact that  $\iota(Y) = \iota(Y\Delta^t)$  for every  $Y \in G$  and every  $t \in \mathbb{Z}$ . Hence  $\iota(X^m) = \iota(X^m\Delta^{-mp}) = \iota(\mathbf{C}_m\mathbf{R}_m)$ . Since the latter decomposition is left weighted, and  $\ell(\mathbf{C}_m) > 0$ , it follows that  $\iota(X^m) = \iota(\mathbf{C}_m)$ , as we wanted to show.

Corollary 2.10. For  $X \in USS(X)$  and  $m \ge 1$ , one has

$$\inf(X^m) = m\inf(X) + \inf(\mathbf{C}_m).$$

In particular, the unexpected  $\Delta's$  in  $X^m$  are determined entirely by the  $\mathbf{C}_m$  part of the normal form of  $X^m$ .

*Proof.* Since we know by Lemma 2.4 that  $\ell(\mathbf{C}_m) > 0$ , it follows from Theorem 2.9 that all  $\Delta$ 's in the left normal form of  $X^m$  can be seen in  $\Delta^{pm}\tau^{pm}(\mathbf{C}_m)$ , where  $p = \inf(X)$ . Hence  $\inf(X^m) = pm + \inf(\mathbf{C}_m)$ , and the result follows.

We end this section with an immediate corollary concerning how the infimum and supremum of an element, in its ultra summit set, behave when one raises the element to some power. This is related to the translation number of the element (see [31]). Notice that the following result is closely related to Proposition 3.6 in [29].

Corollary 2.11. Let  $X \in USS(X)$ . For every  $m \ge 1$ , one has

$$\inf(X^m) + \inf(X) \le \inf(X^{m+1}) \le \inf(X^m) + \inf(X) + 1.$$

If  $X^{-1} \in USS(X^{-1})$ , then

$$\sup(X^m) + \sup(X) - 1 \le \sup(X^{m+1}) \le \sup(X^m) + \sup(X).$$

*Proof.* Let  $p = \inf(X)$ . By the previous corollary,  $\inf(X^m) = pm + \inf(\mathbf{C}_m)$  and  $\inf(X^{m+1}) = p(m+1) + \inf(\mathbf{C}_{m+1})$ . Hence, the first inequality will be true if and only if

$$\inf(\mathbf{C}_m) \le \inf(\mathbf{C}_{m+1}) \le \inf(\mathbf{C}_m) + 1.$$

But  $\mathbf{C}_{m+1} = \mathbf{C}_m C_{m+1}$ , where  $C_{m+1}$  is a simple element. The result then follows from the following well know fact, which is a direct consequence of the normal form algorithm: If an element in a Garside group is multiplied by a simple element, then its infimum either is preserved or is increased by one.

The second inequality is equivalent to the first one, since  $\sup(X) = -\inf(X^{-1})$  by Corollary 1.6.

### 2.3 The absolute initial and final factors

In this section we will define some simple factors related to an element  $X \in USS(X)$ . They are defined in terms of the cycling elements  $C_i$ , but they are closely related to powers of X, as we will see. We called them the *absolute* initial and final factors of X.

In general, the absolute initial and final factors are related to, but do not coincide with, the initial and final factors of X. Nevertheless we will see that, if X has a rigid power  $X^m$ , the absolute initial and final factors of X coincide with the initial and final factors of  $X^m$ .

Suppose that  $X \in USS(X)$ . We saw in Lemma 2.5 that

$$\varphi(\mathbf{C}_{[k,m]}) = \varphi(C_{k+1} \cdots C_{k+m}) \succeq \varphi(\mathbf{c}^{k+m}(X)),$$

where this is true for every  $m \geq 1$  and every  $k \in \mathbb{Z}$ . This implies a very interesting fact: if we fix the number k+m and take different values of k, that is, if we start with  $C_{k+m}$  and multiply it on the left by  $C_{k+m-1}$ , then by  $C_{k+m-2}$ , etc., then the final factor of each of the resulting elements is a left multiple of  $\varphi(\mathbf{c}^{k+m}(X))$ . For instance, if we take k+m=0, we have

$$\varphi(C_{-m+1}C_{-m+2}\cdots C_{-1}C_0)\succeq \varphi(X),$$

for every  $m \geq 1$ .

In the same way, by Lemma 2.5 we know that

$$\iota(\mathbf{R}_{[k,m]}) = \iota(\tau^{-p}(R_{k+m})\tau^{-2p}(R_{k+m-1})\cdots\tau^{-mp}(R_{k+1})) \leq C_{k+m+1}$$

for every  $m \ge 1$  and every  $k \in \mathbb{Z}$ , where  $p = \inf(X)$ . Hence, if we fix k + m, say k + m = 0, we have (recall that  $C_1 = \iota(X)$ )

$$\iota(\tau^{-p}(R_0)\tau^{-2p}(R_{-1})\cdots\tau^{-mp}(R_{-m+1})) \leq \iota(X),$$

for every  $m \ge 1$ . In the particular case in which  $\inf(X) = p = 0$ , this formula is even more similar to the above one, since one has:

$$\iota(R_0R_{-1}\cdots R_{-m+1}) \leq \iota(X),$$

for every  $m \geq 1$ .

But it is even more interesting to relate the values of

$$\varphi(C_{-m+1}C_{-m+2}\cdots C_{-1}C_0)$$
 and of  $\iota(\tau^{-p}(R_0)\tau^{-2p}(R_{-1})\cdots \tau^{-mp}(R_{-m+1}))$ ,

respectively, for different values of m. It turns out that they form ordered chains with respect to  $\succeq$  and  $\preceq$ , respectively, as shown in the following result.

**Proposition 2.12.** Let  $X \in USS(X)$  with  $\ell(X) > 1$ . For every  $k, m \in \mathbb{Z}$  with m > 0, one has

$$\varphi(\mathbf{C}_{[k,m]}) \succeq \varphi(\mathbf{C}_{[k-1,m+1]})$$
 and  $\iota(\mathbf{R}_{[k,m]}) \preceq \iota(\mathbf{R}_{[k-1,m+1]}).$ 

In other words, for every  $k \in \mathbb{Z}$  there are chains:

$$\varphi(\mathbf{C}_{[k,1]}) \succeq \varphi(\mathbf{C}_{[k-1,2]}) \succeq \varphi(\mathbf{C}_{[k-2,3]}) \succeq \cdots$$

and

$$\iota(\mathbf{R}_{[k,1]}) \leq \iota(\mathbf{R}_{[k-1,2]}) \leq \iota(\mathbf{R}_{[k-2,3]}) \leq \cdots$$

*Proof.* We know that  $\sup(\mathbf{C}_{[k,m]}) = m$  and  $\sup(\mathbf{C}_{[k-1,m+1]}) = m+1$ . Moreover,  $\mathbf{C}_{[k-1,m+1]} = C_k C_{k+1} \cdots C_{k+m} = C_k \mathbf{C}_{[k,m]}$ . Hence, if we write  $\mathbf{C}_{[k,m]} = \Delta^q c_1 \cdots c_s$  in left normal form (where q+s=m and s>0), then  $\varphi(\mathbf{C}_{[k,m]}) = c_s \succeq \varphi(C_k \Delta^q c_1 \cdots c_s) = \varphi(\mathbf{C}_{[k-1,m+1]})$ .

On the other hand, let  $p = \inf(X)$ . One has

$$\mathbf{R}_{[k-1,m+1]} = \tau^{-p}(R_{k+m})\tau^{-2p}(R_{k+m-1})\cdots\tau^{-mp}(R_{k+1})\tau^{-(m+1)p}(R_k) = \mathbf{R}_{[k,m]}\cdot\tau^{-(m+1)p}(R_k),$$

that is,  $\mathbf{R}_{[k,m]} \leq \mathbf{R}_{[k-1,m+1]}$ . Since we know that  $\inf(\mathbf{R}_{[k,m]}) = \inf(\mathbf{R}_{[k-1,m+1]}) = 0$ , it follows that  $\iota(\mathbf{R}_{[k,m]}) \leq \iota(\mathbf{R}_{[k-1,m+1]})$ .

Since the chains given by the above proposition consist of proper simple elements, we know that the chains must stabilize. But we will furthermore show that they stabilize fast. More precisely, they stabilize exactly at the first repetition. Moreover, the corresponding chains for all elements in  $\mathcal{O}(X)$  stabilize at the same time. This is proved by the next 4 lemmas and the proposition that follows them.

**Lemma 2.13.** Let  $X \in USS(X)$  with  $\ell(X) > 1$  and m > 0. If  $\varphi(\mathbf{C}_{[k,m]}) = \varphi(\mathbf{C}_{[k-1,m+1]})$  for some  $k \in \mathbb{Z}$ , then  $\varphi(\mathbf{C}_{[i,m]}) = \varphi(\mathbf{C}_{[i-1,m+1]})$  for every  $i \in \mathbb{Z}$ .

*Proof.* Since X belongs to a closed orbit under cycling, the sequences  $\{\mathbf{C}_{[i,m]}\}_{i\in\mathbb{Z}}$  and  $\{\mathbf{C}_{[i,m+1]}\}_{i\in\mathbb{Z}}$  are periodic, hence it suffices to show the case i=k+1.

The property  $\varphi(\mathbf{C}_{[k,m]}) = \varphi(\mathbf{C}_{[k-1,m+1]})$ , that is,  $\varphi(C_{k+1} \cdots C_{k+m}) = \varphi(C_k \cdots C_{k+m})$ , can be reinterpreted as follows. Since  $\sup(C_{k+1} \cdots C_{k+m}) = m$  and  $\sup(C_k \cdots C_{k+m}) = m+1$ , their final factors coincide if and only if the first m-1 factors of the first element, multiplied on the left by  $C_k$ , coincide with the first m factors of the second element. In other words,

$$(C_k \cdots C_{k+m}) \wedge (C_k \Delta^{m-1}) = (C_k \cdots C_{k+m}) \wedge \Delta^m.$$

We can now apply Gebhardt's transport [22] to the whole equality. We know that the transport of  $\Delta^m$  is  $\Delta^m$ . Notice that the transport of  $C_k$  (based at  $\mathbf{c}^{k-1}(X)$ ) is  $C_{k+1}$ . This implies, by recurrence, that the transport of  $C_k \cdots C_{k+m}$  is  $C_{k+1} \cdots C_{k+m+1}$ , and also that the transport of  $C_k \Delta^{m-1}$  is  $C_{k+1} \Delta^{m-1}$ . Since the transport preserves greatest common divisors, the transport of the above equality yields

$$(C_{k+1}\cdots C_{k+m+1}) \wedge (C_{k+1}\Delta^{m-1}) = (C_{k+1}\cdots C_{k+m+1}) \wedge \Delta^m,$$

that is,  $\varphi(\mathbf{C}_{[k+1,m]}) = \varphi(\mathbf{C}_{[k,m+1]})$ , and the result is shown.

**Lemma 2.14.** Let  $X \in USS(X)$  with  $\ell(X) > 1$ . If  $\varphi(\mathbf{C}_{[k,m]}) = \varphi(\mathbf{C}_{[k-1,m+1]})$  for some  $k, m \in \mathbb{Z}$  with m > 0, then  $\varphi(\mathbf{C}_{[i,j]}) = \varphi(\mathbf{C}_{[i-1,j+1]})$  for every  $i \in \mathbb{Z}$  and every  $j \geq m$ .

*Proof.* We know by Lemma 2.13 that  $\varphi(\mathbf{C}_{[i,m]}) = \varphi(\mathbf{C}_{[i-1,m+1]})$  for every  $i \in \mathbb{Z}$ . We just need to be able to increase the second subindex. But if  $\varphi(C_{i+1} \cdots C_{i+m}) = \varphi(C_i \cdots C_{i+m}) \neq \Delta$ , and we multiply both elements on the right by  $C_{i+m+1}$ , since we know that no unexpected decrease of supremum will happen  $(\sup(\mathbf{C}_{[i,m+1]}) = m+1)$ , it follows that

$$\varphi(C_{i+1}\cdots C_{i+m}C_{i+m+1}) = \varphi(\varphi(C_{i+1}\cdots C_{i+m})C_{i+m+1})$$

$$= \varphi(\varphi(C_i \cdots C_{i+m})C_{i+m+1}) = \varphi(C_i \cdots C_{i+m}C_{i+m+1}).$$

Hence  $\varphi(\mathbf{C}_{[i,m+1]}) = \varphi(\mathbf{C}_{[i-1,m+2]})$  for every  $i \in \mathbb{Z}$ . By induction on m, it follows that  $\varphi(\mathbf{C}_{[i,j]}) = \varphi(\mathbf{C}_{[i-1,j+1]})$  for every  $j \geq m$ , as we wanted to show.

The analogous results can now be shown for the chain involving prefixes of  $\mathbf{R}_{[k,m]}$ .

**Lemma 2.15.** Let  $X \in USS(X)$  with  $\ell(X) > 1$  and  $m \ge 1$ . If  $\iota(\mathbf{R}_{[k,m]}) = \iota(\mathbf{R}_{[k-1,m+1]})$  for some  $k \in \mathbb{Z}$ , then  $\iota(\mathbf{R}_{[i,m]}) = \iota(\mathbf{R}_{[i-1,m+1]})$  for every  $i \in \mathbb{Z}$ .

*Proof.* As above, since X belongs to a closed orbit under cycling, it suffices to show the case i = k+1. We want to reinterpret the equality  $\iota(\mathbf{R}_{[k,m]}) = \iota(\mathbf{R}_{[k-1,m+1]})$ . If we recall that  $(\mathbf{c}^k(X))^m = \mathbf{C}_{[k,m]}\mathbf{R}_{[k,m]}\Delta^{mp}$  where  $p = \inf(X)$ , and that  $\inf(\mathbf{R}_{[k,m]}) = 0$ , then we see that

$$(\mathbf{c}^k(X))^m \wedge (\mathbf{C}_{[k,m]}\Delta^{mp+1}) = \mathbf{C}_{[k,m]} \iota(\mathbf{R}_{[k,m]}) \Delta^{mp} = C_{k+1} \cdots C_{k+m} \iota(\mathbf{R}_{[k,m]}) \Delta^{mp}.$$

In the same way, we obtain

$$(\mathbf{c}^{k-1}(X))^{m+1} \wedge \left( \mathbf{C}_{[k-1,m+1]} \Delta^{(m+1)p+1} \right) = \mathbf{C}_{[k-1,m+1]} \, \iota(\mathbf{R}_{[k-1,m+1]}) \, \Delta^{(m+1)p}$$

$$= C_k \cdots C_{k+m} \iota(\mathbf{R}_{[k-1,m+1]}) \Delta^{mp+p}.$$

Therefore the equality  $\iota(\mathbf{R}_{[k,m]}) = \iota(\mathbf{R}_{[k-1,m+1]})$  can be rewritten as follows:

$$C_k \left[ (\mathbf{c}^k(X))^m \wedge (\mathbf{C}_{[k,m]} \Delta^{mp+1}) \right] \Delta^p = (\mathbf{c}^{k-1}(X))^{m+1} \wedge \left( \mathbf{C}_{[k-1,m+1]} \Delta^{(m+1)p+1} \right).$$

If we apply Gebhardt's transport to the whole equality, it follows that

$$C_{k+1} \left[ (\mathbf{c}^{k+1}(X))^m \wedge (\mathbf{C}_{[k+1,m]} \Delta^{mp+1}) \right] \Delta^p = (\mathbf{c}^k(X))^{m+1} \wedge \left( \mathbf{C}_{[k,m+1]} \Delta^{(m+1)p+1} \right),$$

hence  $\iota(\mathbf{R}_{[k+1,m]}) = \iota(\mathbf{R}_{[k,m+1]})$ , and the result is shown.

**Lemma 2.16.** Let  $X \in USS(X)$  with  $\ell(X) > 1$ . If  $\iota(\mathbf{R}_{[k,m]}) = \iota(\mathbf{R}_{[k-1,m+1]})$  for some  $k, m \in Z$  with m > 0, then  $\iota(\mathbf{R}_{[i,j]}) = \iota(\mathbf{R}_{[i-1,j+1]})$  for every  $i \in \mathbb{Z}$  and every  $j \geq m$ .

Proof. We know by Lemma 2.15 that  $\iota(\mathbf{R}_{[i,m]}) = \iota(\mathbf{R}_{[i-1,m+1]})$  for every  $i \in \mathbb{Z}$ . We just need to be able to increase the second subindex. But  $\iota(\mathbf{R}_{[i,m]}) = \iota(\mathbf{R}_{[i-1,m+1]})$  is equivalent to  $\iota((\Delta^p R_{i+m})(\Delta^p R_{i+m-1}) \cdots (\Delta^p R_{i+1})) = \iota((\Delta^p R_{i+m})(\Delta^p R_{i+m-1}) \cdots (\Delta^p R_{i}))$ , where  $p = \inf(X)$ . If we multiply both elements on the left by  $\Delta^p R_{i+m+1}$ , since we know that there is no unexpected increase of infimum  $(\inf(\mathbf{R}_{[i,m+1]}) = 0)$ , it follows that

$$\iota((\Delta^p R_{i+m+1})(\Delta^p R_{i+m})\cdots(\Delta^p R_{i+1})) = \iota((\Delta^p R_{i+m+1})\iota((\Delta^p R_{i+m})\cdots(\Delta^p R_{i+1})))$$

$$= \iota((\Delta^p R_{i+m+1})\iota((\Delta^p R_{i+m})\cdots(\Delta^p R_i))) = \iota((\Delta^p R_{i+m})(\Delta^p R_{i+m-1})\cdots(\Delta^p R_i)).$$

Hence  $\iota(\mathbf{R}_{[i,m+1]}) = \iota(\mathbf{R}_{[i-1,m+2]})$  for every  $i \in \mathbb{Z}$ . By induction on m, it follows that  $\iota(\mathbf{R}_{[i,j]}) = \iota(\mathbf{R}_{[i-1,j+1]})$  for every  $j \geq m$ , as we wanted to show.

**Proposition 2.17.** Let  $X \in USS(X)$  with  $\ell(X) > 1$ . Given  $k \in \mathbb{Z}$ , the chain

$$\varphi(\mathbf{C}_{[k,1]}) \succeq \varphi(\mathbf{C}_{[k-1,2]}) \succeq \varphi(\mathbf{C}_{[k-2,3]}) \succeq \cdots$$

stabilizes whenever  $\varphi(\mathbf{C}_{[k-j+1,j]}) = \varphi(\mathbf{C}_{[k-j,j+1]})$ , and this happens for some  $j < ||\Delta||$ . Moreover, for all  $i \in \mathbb{Z}$ , the analogous chains starting at  $\varphi(\mathbf{C}_{[i,1]})$  stabilize at the same value of j. Also, the chain

$$\iota(\mathbf{R}_{[k,1]}) \leq \iota(\mathbf{R}_{[k-1,2]}) \leq \iota(\mathbf{R}_{[k-2,3]}) \leq \cdots$$

stabilizes whenever  $\iota(\mathbf{R}_{[k-j+1,j]}) = \iota(\mathbf{R}_{[k-j,j+1]})$ , and this happens for some  $j < ||\Delta||$ . Moreover, for all  $i \in \mathbb{Z}$ , the analogous chains starting at  $\iota(\mathbf{R}_{[i,1]})$  stabilize at the same value of j.

*Proof.* By Lemma 2.14, all chains stabilize whenever  $\varphi(\mathbf{C}_{[k-j+1,j]}) = \varphi(\mathbf{C}_{[k-j,j+1]})$  for some j. Up to that point, the chains must be made of strict inequalities. But the maximal length of such a chain (formed by nontrivial simple elements) is bounded by the length of  $\Delta$ . The proof that the second sequence stabilizes is identical.

**Definition 2.18.** Given  $X \in USS(X)$  with  $\ell(X) > 1$ , we define the absolute final factor F(X) of X as the factor in which the above descending chain stabilizes, for k = -1, that is:

$$F(X) = \varphi(\mathbf{C}_{[-m,m]}),$$

for  $m \geq ||\Delta|| - 1$ . In other words,  $F(X) = \varphi(C_{-m+1}C_{-m+2}\cdots C_{-1}C_0)$ , for m big enough.

**Definition 2.19.** Given  $X \in USS(X)$  with  $\ell(X) > 1$ , we define the absolute initial factor I(X) of X as the factor in which the above ascending chain stabilizes, for k = -1, that is:

$$I(X) = \iota(\mathbf{R}_{[-m,m]}),$$

for  $m \geq ||\Delta|| - 1$ . In other words,  $I(X) = \iota(\tau^{-p}(R_0)\tau^{-2p}(R_{-1})\cdots\tau^{-mp}(R_{-m+1}))$ , or alternatively  $I(X) = \iota((\Delta^p R_0)(\Delta^p R_{-1})\cdots(\Delta^p R_{-m+1}))$ , for m big enough, where  $p = \inf(X)$ .

**Proposition 2.20.** Given  $X \in USS(X)$  with  $\ell(X) > 1$ , the decomposition F(X)I(X) is left weighted as written.

*Proof.* This is an immediate consequence of Proposition 2.8, since

$$F(X)I(X) = \varphi(\mathbf{C}_{\lceil -m,m \rceil})\iota(\mathbf{R}_{\lceil -m,m \rceil})$$

for m big enough.

We have seen at the beginning of this section that  $F(X) \succeq \varphi(X)$  and  $I(X) \preceq \iota(X)$ . But we will see now that the absolute factors are also related to the initial and final factors of powers of X.

**Proposition 2.21.** Let  $X \in USS(X)$  with  $\inf(X) = p$  and  $\ell(X) > 1$ . For every  $m \ge 1$  such that  $X^m \in SSS(X^m)$ , one has:

- $F(X) \succeq \varphi(X^m)$ .
- $I(X) \leq \iota(X^m)$ .

*Proof.* The case m=1 is a straightforward consequence of Lemma 2.5, for k=-m.

Suppose that m > 1. We know from Theorem 2.9 that the left normal form of

$$(\mathbf{c}^{-m}(X))^m = (C_{-m+1} \cdots C_0) X^m (C_0^{-1} \cdots C_{-m+1}^{-1})$$

is equal to

$$\Delta^{pm+q}y_1\cdots y_sz_1\cdots z_t,$$

where  $\Delta^q y_1 \cdots y_s = \tau^{pm} (C_{-m+1} \cdots C_0)$  and q+s=m. If we conjugate this element by  $C_{-m+1} \cdots C_0$  we obtain

$$X^m = \Delta^{pm} z_1 \cdots z_t \Delta^q \tau^{-pm} (y_1 \cdots y_s).$$

But if  $X^m \in SSS(X^m)$ , we also have  $Y^m \in SSS(X^m)$  for every Y in the cycling orbit of X: Indeed,  $Y^m = (X^{\mathbf{C}_t})^m = (X^m)^{\mathbf{C}_t}$  for some t, where  $\mathbf{C}_t = (X^m \Delta^{-mp}) \wedge \Delta^t$  by Theorem 2.9. Since  $X^m \Delta^{-mp}$  and  $\Delta^t$  conjugate  $X^m$  to elements in their super summit sets (namely  $\tau^{-mp}(X^m)$  and  $\tau^t(X^m)$ , respectively), it follows by Theorem 1.12 that  $Y^m = (X^m)^{\mathbf{C}_t} \in SSS(X^m)$ . In particular  $(\mathbf{c}^{-m}(X))^m \in SSS(X^m)$ , hence  $\ell(X^m) = \ell((\mathbf{c}^{-m}(X))^m) = s + t$ . Since the above decomposition of  $X^m$  has precisely s + t non- $\Delta$  factors, and the final one is  $\tau^{-pm}(y_s)$ , it follows that  $\tau^{-pm}(y_s) \succeq \varphi(X^m)$ . That is,  $\varphi(C_{-m+1} \cdots C_0) \succeq \varphi(X^m)$ .

Notice that we can apply the same reasoning to every element in the cycling orbit of X, in particular to  $\mathbf{c}^{-m}(X)$ . It follows that  $\varphi(C_{-2m+1}\cdots C_{-m})\succeq \varphi((\mathbf{c}^{-m}(X))^m)=z_t$ . Hence, since multiplying  $C_i$ 's never decreases the supremum, one has

$$\varphi(C_{-2m+1}\cdots C_{-m}C_{-m+1}\cdots C_0) = \varphi(\varphi(C_{-2m+1}\cdots C_{-m})C_{-m+1}\cdots C_0)$$

$$\succeq \varphi(z_t\Delta^q\tau^{-pm}(y_1\cdots y_s)) = \varphi(X^m).$$

Applying the same reasoning again, one obtains by induction on k that  $\varphi(C_{-km+1}\cdots C_0) \succeq \varphi(X^m)$  for every  $k \geq 1$ . When k is big enough so that  $km \geq ||\Delta|| - 1$ , this implies  $F(X) \succeq \varphi(X^m)$ , as we wanted to show.

The relation  $I(X) \leq \iota(X^m)$  is shown in a similar way. Since  $\tau^{-pm}(z_1 \cdots z_t) = \mathbf{R}_{[-m,m]}$ , from the above decomposition of  $X^m$  is follows that  $\iota(\mathbf{R}_{[-m,m]}) = \tau^{-pm}(z_1) \leq \iota(X^m)$ . Applying the same reasoning to  $\mathbf{c}^{-m}(X)$ , is follows that  $\iota(\mathbf{R}_{[-2m,m]}) \leq \iota((\mathbf{c}^{-m}(X))^m) = \tau^{-pm-q}(y_1)$ . Hence

$$\iota(\mathbf{R}_{[-2m,2m]}) = \iota(\mathbf{R}_{[-m,m]} \tau^{pm}(\mathbf{R}_{[-2m,m]})) = \iota(\mathbf{R}_{[-m,m]} \iota(\tau^{pm}(\mathbf{R}_{[-2m,m]})))$$

$$\leq \iota(\mathbf{R}_{[-m,m]} \tau^{-q}(y_1)) = \iota(\Delta^{pm} z_1 \cdots z_t \Delta^q \tau^{-mp}(y_1)) = \iota(X^m).$$

Iterating the same reasoning one shows that  $\iota(\mathbf{R}_{[-km,km]}) \leq \iota(X^m)$  for every  $k \geq 1$ , and when k is big enough this yields  $I(X) \leq \iota(X^m)$ .

### 2.4 The stable ultra summit set.

We have studied, up to now, how powers and cyclings of X are related under the hypothesis, in most cases, that  $X \in USS(X)$ . But this fact does not imply that  $X^m \in USS(X^m)$  for every  $m \in \mathbb{Z}$ , not even for every  $m \in \mathbb{N}$ . If we want to extract more information from the powers of X, it would be desirable that all these powers belonged to their ultra summit sets.

**Definition 2.22.** Given X in a Garside group G, the stable ultra summit set of X is defined as

$$SU(X) = \{ Y \in USS(X); \quad Y^m \in USS(X^m), \ m \in \mathbb{Z} \}.$$

The first obvious question is whether  $SU(X) \neq \emptyset$ .

**Proposition 2.23.** For every  $X \in G$ , the set SU(X) is nonempty.

*Proof.* We can clearly assume that  $\ell(Y) > 0$  for every  $Y \in USS(X)$ . Given an element  $Z = \Delta^p z_1 \cdots z_r$ , its initial factor  $\iota(Z)$  can be described as  $Z\Delta^{-p} \wedge \Delta$ , because  $Z\Delta^{-p}$  is equal to  $\tau^{-p}(z_1 \cdots z_r)$ , hence the initial factor of Z is equal to the first factor of  $Z\Delta^{-p}$ , which is computed by considering its gcd with  $\Delta$ .

Recall that  $\iota(Z)$  is also the conjugating element for cycling. On the other hand, the conjugating element for decycling is  $\Delta^p z_1 \cdots z_{r-1}$ , which can be described as  $Z \wedge \Delta^{p+r-1}$  (even if p is negative).

Therefore, if we want to perform a cycling or a decycling to Z, we must conjugate it by  $Z\Delta^{-p} \wedge \Delta$  or by  $Z \wedge \Delta^{p+r-1}$ , respectively.

Now consider an element X. For every  $Y \in USS(X)$ , define S(Y) to be the set of integers k such that  $Y^k$  belongs to its USS. Let  $V \in USS(X)$  be such that S(V) is maximal. Such a V exists because USS(X) is finite. We will see that  $S(V) = \mathbb{Z}$ , hence  $V \in SU(X)$ .

Suppose that  $S(V) \neq \mathbb{Z}$ . This means that some power of V, say  $V^k$ , does not belong to its USS. We would then like to apply cyclings and decyclings to  $V^k$  to bring it into  $USS(X^k)$ . Let us conjugate V by  $\iota(V^k)$ , to obtain some W. In this way, **all** powers of V will be conjugate by  $\iota(V^k)$ . In particular,  $W^k$  will be the cycling of  $V^k$ . Moreover, if some other power of V, say  $V^s$ , belongs to its USS, then  $W^s$  also belongs to its USS. Indeed, let  $m = \inf(V^k)$ . It is clear that  $V^k \Delta^{-m}$  conjugates  $V^s$  to an element in its USS, namely  $\tau^{-m}(V^s)$ . In the same way,  $\Delta$  conjugates  $V^s$  to an element in its USS,  $\tau(V^s)$ . Therefore, by Theorem 1.16,  $\iota(V^k) = (V^k \Delta^{-m}) \wedge \Delta$  conjugates  $V^s$  to an element  $(W^s)$  in its USS.

Therefore, the set S(W) contains S(V), and the power  $W^k$  is the cycling of  $V^k$ . The same can be done for decycling, since the conjugating element for decycling  $V^k$  is  $V^k \wedge \Delta^t$  (for some t). Hence, by suitable conjugations of V, we can apply iterated cyclings and decyclings to  $V^k$ , until we obtain a conjugate Z of V such that S(Z) contains S(V), and  $Z^k$  belongs to its USS. But then S(Z) strictly contains S(V), which contradicts the maximality of S(V). This shows that SU(X) is non empty.

**Remark:** Although we had a different name for the *stable ultra summit set*, we chose the latter when we learnt about the paper [29], in which the *stable super summit set* was defined in a similar way as above, but considering  $Y^m \in SSS(Y^m)$  for every  $m \in \mathbb{N}$ . We remark that Proposition 2.23 was made public by the authors at a meeting on braid groups held in Luminy, in June 2005, some months before

the appearance of [29]. Notice also that the proof of Proposition 2.23 can be applied to show the non-emptyness of the stable super summit set, using Theorem 1.12 instead of Theorem 1.16. Moreover, one can extend the set of exponents to the whole  $\mathbb{Z}$ , in both cases. The proof of the nonemptyness of the stable super summit set in [29] is much more involved, and was found independently from ours.

Now notice that the proof of Proposition 2.23 yields an algorithm to compute the set

$${Y \in USS(X); \quad Y^m \in USS(X^m), \ m \in [A, B]}$$

for every pair of integers A < B, that is, we can assume that  $X^m$  belongs to its ultra summit set for all integers between A and B. A priori, no matter how big is the interval [A,B], this does not say that  $X \in SU(X)$ , since one could have  $X^t \notin USS(X^t)$  for some  $t \notin [A,B]$ . But for our purposes we will only need that  $X^m \in USS(X^m)$  for  $m \in [1, ||\Delta||]$ , hence the proof of Proposition 2.23 allows us to assume this hypothesis.

**Remark:** Very recently we learnt that in [31], a finite time algorithm to compute the stable *super* summit set [29] is given. It is possible that similar methods can be used to compute SU(X) in finite time, but as we said above, we will not need that for our purposes in this paper.

Let us then assume that  $X^m \in USS(X^m)$  for  $m = 1, ..., ||\Delta||$ . Recall that we have defined some factors  $C_i$ ,  $R_i$ ,  $\mathbf{C}_i$  and  $\mathbf{R}_i$  related to X, for every  $i \in \mathbb{Z}$ . We can thus define the same elements related to each  $X^m$ , but we need some notation to make the distinction between them, for different values of m. The notation  $C_i(X^m) = \iota(\mathbf{c}^{i-1}(X^m))$  would not cause confusion, but it would be too awkward for the formulae below, so we will simplify it by denoting:

$$C_i^{(m)} = C_i(X^m) = \iota(\mathbf{c}^{i-1}(X^m)).$$

Thus  $C_i^{(m)}$  has the same definition as  $C_i$ , but related to  $X^m$  instead of X. Later on, we will study the sequence

$$C_1, C_1^{(2)}, C_1^{(3)}, \dots$$

that is,

$$\iota(X), \iota(X^2), \iota(X^3), \dots$$

Notice that, a priori, there does not have to be a relation between them, due to the unexpected increases of supremum that one encounters when taking powers.

Similarly to  $C_i^{(m)}$ , one defines  $R_i^{(m)}$ ,  $\mathbf{C}_i^{(m)}$  and  $\mathbf{R}_i^{(m)}$  in the same way as  $R_i$ ,  $\mathbf{C}_i$  and  $\mathbf{R}_i$ , but related to  $X^m$  instead of X. The relation between these elements for different powers of X will be crucial in the sequel.

# 3 Rigidity

### 3.1 Rigidity of an element and behavior under cyclings and powers.

In this section we will define a notion of rigidity for elements in a Garside group, and we will study how rigidity is affected when applying some cyclings or taking some powers. The idea of studying rigidity came from the study of elements whose left normal form changes only in the obvious way under cyclings, decyclings and powers, so their ultra summit sets are easier to study. We call them *rigid* elements:

**Definition 3.1 (rigid element).** Let  $X = \Delta^p x_1 \cdots x_r$  be in left normal form, with r > 0. Then X is rigid if the element  $\Delta^p x_1 \cdots x_r \tau^{-p}(x_1)$  is in left normal form as written.

Notice that if X is rigid, then the cycling of X, that is,  $\mathbf{c}(X) = \Delta^p x_2 \cdots x_r \tau^{-p}(x_1)$  is in left normal form as written. Actually, this latter property is equivalent to X being rigid if r > 1. But we prefer the definition above, otherwise every element of canonical length 1 would be rigid.

The following are equivalent definitions of rigid elements.

**Proposition 3.2.** Given  $X = \Delta^p x_1 \cdots x_r \in G$  with r > 0, the following conditions are equivalent.

- 1. X is rigid.
- 2.  $\varphi(X)\iota(X)$  is left weighted as written.
- 3.  $\iota(X) \wedge \iota(X^{-1}) = 1$ .

*Proof.* By definition X is rigid if  $\Delta^p x_1 \cdots x_r \tau^{-p}(x_1)$  is in left normal form as written. Since  $x_1 \cdots x_r$  is already in left normal form, this is equivalent to the left weightedness of  $x_r \tau^{-p}(x_1) = \varphi(X)\iota(X)$  so conditions 1 and 2 are equivalent. But condition 2 means  $\tau^{-p}(x_1) \wedge \partial(x_r) = 1$ . We know that  $\iota(X) = \tau^{-p}(x_1)$  and also, by Lemma 1.8,  $\iota(X^{-1}) = \partial(x_r)$ . Hence conditions 2 and 3 are also equivalent.

In general, we define the *rigidity* of an element, in such a way that rigid elements have rigidity 1.

**Definition 3.3.** Given  $X = \Delta^p x_1 \cdots x_r$  in left normal form, with r > 0, we define the rigidity of X as

$$\mathcal{R}(X) = k/r,$$

where k is the biggest integer in  $\{0, \ldots, r\}$  such that the first k factors in the left normal form of  $x_1 \cdots x_r \tau^{-p}(x_1)$  are precisely  $x_1 \cdots x_k$ . If r = 0, we define  $\mathcal{R}(X) = 0$ .

The rigidity of an element tells us how many (non- $\Delta$ ) factors of the left normal form of X are preserved when considering  $X^2$ . Notice that X is rigid if and only if  $\mathcal{R}(X) = 1$ .

### Examples:

- 1. If  $X = \Delta \cdot 12 \cdot 21 \cdot 12 \in B_3$ , then  $\mathcal{R}(X) = 3/3 = 1$ , since  $\iota(X) = \tau^{-1}(12) = 21$ , and  $12 \cdot 21 \cdot 12 \cdot 21$  is in left normal form as written. Hence X is rigid.
- 2. If  $X = 13 \cdot 13 \cdot 1 \in B_4$ , then  $\mathcal{R}(X) = 2/3$ , since the left normal form of  $(13 \cdot 13 \cdot 1) \cdot 13$  is  $13 \cdot 13 \cdot 13 \cdot 1$ , hence k = 2 and r = 3. This means that two thirds of the left normal form of X are preserved when considering  $X^2 = 13 \cdot 13 \cdot 13 \cdot 13 \cdot 1 \cdot 1$ .
- 3. If  $X = 12132143 \cdot 143 \in B_5$  (this is the example at the beginning of Section 2), then  $\mathcal{R}(X) = 0$ , since the left normal form of  $(12132143 \cdot 143) \cdot 12132143$  is  $\Delta \cdot 2324321 \cdot 14$ . Hence, nothing from the left normal form of X is preserved when computing its square  $X^2 = \Delta \cdot 2324321 \cdot 14 \cdot 143$ . In this case we say that X has no rigidity, or that it is 0-rigid. This is, of course, the most difficult case if one tries to relate cyclings and powers of X.

Let us see some characterizations of rigidity, and then how rigidity behaves under cyclings or powers of an element.

**Lemma 3.4.** Let  $X \in G$  with  $\ell(X) = r > 0$  and  $\inf(X) = p$ . Then  $\mathcal{R}(X) = k/r$  if and only if k is the biggest integer such that

$$(X^2\Delta^{-2p}) \wedge \Delta^k = (X\Delta^{-p}) \wedge \Delta^k.$$

In particular,  $\mathcal{R}(X) > 0$  if and only if  $\inf(X^2) = 2p$  and  $\iota(X^2) = \iota(X)$ .

Proof. First notice that k=0 always satisfies the above condition, since  $(X^2\Delta^{-2p}) \wedge 1 = 1 = (X\Delta^{-p}) \wedge 1$ . Also, no k>r can satisfy the condition, since  $(X\Delta^{-p})$  only has r factors, and this would imply that  $X^2\Delta^{-2p} = X\Delta^{-p}$ , which is not possible if r>0. Hence the biggest integer k satisfying the condition must belong to  $\{0,\ldots,r\}$ .

The rigidity of  $X = \Delta^p x_1 \cdots x_r$  is at least k/r if the first k factors in the left normal form of  $x_1 \cdots x_r \tau^{-p}(x_1)$  are  $x_1 \cdots x_k$ . This is the case if and only if the biggest simple prefix of  $x_k \cdots x_r \tau^{-p}(x_1)$  is  $x_k$ , which in turn is the case if and only if the biggest simple prefix of  $x_k \cdots x_r \tau^{-p}(x_1 \cdots x_r)$  is  $x_k$ . Since  $x_1 \cdots x_k$  is in left normal form, the above condition holds if and only if the left normal form of  $X^2 = \Delta^{2p} \tau^p(x_1 \cdots x_r)(x_1 \cdots x_r)$  has the form  $\Delta^{2p} \tau^p(x_1) \cdots \tau^p(x_k) z_1 \cdots z_t$  for some simple elements

 $z_1, \ldots, z_t$ . This happens if and only if  $(X^2\Delta^{-2p}) \wedge \Delta^k = \tau^{-p}(x_1 \cdots x_k) = (X\Delta^{-p}) \wedge \Delta^k$ . This shows that  $\mathcal{R}(X) = k/r$  if and only if k is the biggest integer satisfying the latter condition.

Now  $\mathbf{R}(X) > 0$  if k is at least 1, where for k = 1 the above condition reads  $X^2 \Delta^{-2p} \wedge \Delta = \tau^{-p}(x_1) = \iota(X)$ , which is equivalent to  $\inf(X^2) = 2p$  and  $\iota(X^2) = \iota(X)$ . (Notice that if  $\inf(X^2) > 2p$  then  $X^2 \Delta^{-2p} \wedge \Delta = \Delta \neq \iota(X^2)$ .)

One can also check the rigidity of an element by looking at its inverse.

**Lemma 3.5.** Let  $X \in G$  with  $\ell(X) = r > 0$ . Then  $\mathcal{R}(X) = k/r > 0$  if and only if  $\sup(X^{-2}) = 2 \sup(X^{-1})$  and the final k factors in the left normal forms of  $X^{-1}$  and  $X^{-2}$  coincide. In particular, X is rigid if and only if  $X^{-1}$  is rigid. And also  $\mathcal{R}(X) > 0$  if and only if  $\sup(X^{-2}) = 2 \sup(X^{-1})$  and  $\varphi(X^{-2}) = \varphi(X^{-1})$ .

*Proof.* The rigid case can be shown independently. We know by Proposition 3.2 that X is rigid if and only if  $\iota(X) \wedge \iota(X^{-1}) = 1$ , and this condition is invariant under taking inverses. Hence X is rigid if and only if so is  $X^{-1}$ .

On the other hand, let  $\Delta^p x_1 \cdots x_r$  be the left normal form of X. By Lemma 3.4,  $\mathcal{R}(X) = k/r > 0$  if and only if the first k factors in the left normal forms of  $X^2 \Delta^{-2p}$  and  $X \Delta^{-p}$  coincide. This means that the left normal form of  $X^2$  is  $\Delta^q y_1 \cdots y_t$ , where q = 2p and  $y_i = \tau^p(x_i)$  for  $i = 1, \ldots, k$ . By Theorem 1.5, the left normal form of  $X^{-1}$  is  $\Delta^{-p-r} x'_r \cdots x'_1$  where  $x'_i = \tau^{-p-i}(\partial(x_i))$ , and the left normal form of  $X^{-2}$  is  $\Delta^{-q-t} y'_t \cdots y'_1$ , where  $y'_i = \tau^{-q-i}(y_i)$ . Then q = 2p means  $\sup(X^{-2}) = 2\sup(X^{-1})$ , and  $y_i = \tau^p(x_i)$  means  $y'_i = \tau^{-2p-i}(\partial(y_i)) = \tau^{-2p-i}(\partial(\tau^p(x_i))) = \tau^{-2p-i}(\partial(x_i)) = \tau^{-p-i}(\partial(x_i)) = x'_i$ , hence the result follows.

In the case of nonzero rigidity, we will be able to state some common property of all powers of X.

Corollary 3.6. Let  $X \in G$  with  $\ell(X) > 0$ . If  $\mathcal{R}(X) > 0$ , then  $\iota(X) = \iota(X^m)$  (whence  $\varphi(X^{-1}) = \varphi(X^{-m})$ ), and also  $\inf(X^m) = \min(X)$  (whence  $\sup(X^{-m}) = \min(X^{-1})$ ) for every  $m \ge 1$ .

*Proof.* We just need to show the equalities  $\iota(X) = \iota(X^m)$  and  $\inf(X^m) = m\inf(X)$ , since in that case, by Corollary 1.6 one has  $\varphi(X^{-1}) = \partial^{-1}(\iota(X)) = \partial^{-1}(\iota(X^m)) = \varphi(X^{-m})$  and  $\sup(X^{-m}) = -\inf(X^m) = -\min(X) = m\sup(X^{-1})$ .

The result is trivially true for m=1. Let  $\Delta^p x_1 \cdots x_r$  be the left normal form of X, and suppose that  $\iota(X^m) = \iota(X) = \tau^{-p}(x_1)$  and  $\inf(X^m) = \min(X) = m \inf(X) = mp$  for some  $m \geq 1$ . Write then  $X^m = \Delta^{mp} \tau^{(mp-p)}(x_1) y_2 \cdots y_s$  in left normal form.

Notice that  $\Delta \not\prec x_1 \cdots x_r \tau^{-p}(x_1)$  as  $\mathcal{R}(X) > 0$ , hence there is no unexpected appearance of  $\Delta$  in the product X  $X^m$ . This implies  $\inf(X^{m+1}) = \inf(X) + \inf(X^m) = p + mp = (m+1)\inf(X)$ . Also  $\iota(X^{m+1}) = \iota(\Delta^p x_1 \cdots x_r \tau^{-p}(x_1) \tau^{-mp}(y_2 \cdots y_s) \Delta^{mp}) = \iota(\Delta^p x_1 \cdots x_r \tau^{-p}(x_1)) = \tau^{-p}(x_1) = \iota(X)$ , and the result follows.

Let us see that rigidity cannot decrease by cyclings.

**Proposition 3.7.** Let  $X \in SSS(X)$  with  $\ell(X) > 0$ . Then  $\mathcal{R}(X) \leq \mathcal{R}(\mathbf{c}^t(X))$  for all  $t \geq 1$ . Furthermore, if  $X \in USS(X)$ , equality holds.

*Proof.* Let  $p = \inf(X)$ . By definition of rigidity,  $\mathcal{R}(X) = k/r$  means that k is the biggest integer such that  $X\iota(X) \wedge \Delta^{p+k} = X \wedge \Delta^{p+k}$ . If we apply the transport map defined in [22] to this equality, we get

$$\mathbf{c}(X)\iota(\mathbf{c}(X)) \wedge \Delta^{p+k} = \mathbf{c}(X) \wedge \Delta^{p+k},$$

which means that  $\mathcal{R}(\mathbf{c}(X)) \geq k/r$  (notice that  $\ell(\mathbf{c}(X)) = r$  since  $X \in SSS(X)$ ). Applying the same reasoning to every cycling of X, one has  $\mathcal{R}(\mathbf{c}^{t-1}(X)) \leq \mathcal{R}(\mathbf{c}^t(X))$  for every  $t \geq 1$ , so the result follows.

If  $X \in USS(X)$ , one cannot have  $\mathcal{R}(X) < \mathcal{R}(\mathbf{c}^t(X))$  for some t, since some further cycling of  $\mathbf{c}^t(X)$  would be equal to X, yielding the contradiction  $\mathcal{R}(X) < \mathcal{R}(X)$ .

If an element has some rigidity, its conjugating elements for cycling  $C_i$  satisfy the following useful property.

**Lemma 3.8.** Let  $X \in SSS(X)$  with  $\ell(X) > 0$ . If  $\mathcal{R}(X) > 0$ , the left normal form of  $\mathbb{C}_m$  is precisely  $C_1C_2\cdots C_m$  for every  $m \geq 1$ .

Proof. Let  $\Delta^p x_1 \cdots x_r$  be the left normal form of X, and let  $\Delta^p y_1 \cdots y_r$  be the left normal form of  $\mathbf{c}(X) = \Delta^p x_2 \cdots x_r \tau^{-p}(x_1)$ . Since  $\mathcal{R}(X) > 0$ , the left normal form of  $x_1 x_2 \cdots x_r \tau^{-p}(x_1)$  is  $x_1 y_1 \cdots y_r$ . Since  $C_1 = \tau^{-p}(x_1)$ ,  $C_2 = \tau^{-p}(y_1)$  and  $x_1 y_1$  is left weighted, it follows that  $C_1 C_2$  is left weighted. Applying the same reasoning to  $\mathbf{c}^{i-1}(X)$  for every  $i \geq 2$ , it follows that  $C_i C_{i+1}$  is left weighted as written, hence  $C_1 \cdots C_m$  is the left normal form of  $\mathbf{C}_m$ .

It is easy to see that if an element X is rigid, then every power of X is rigid. We can generalize this to every element  $X \in USS(X)$ , showing that the rigidity of X can never decrease by taking powers.

**Proposition 3.9.** Let  $X \in USS(X)$  with  $\ell(X) \geq 1$ . Then  $\mathcal{R}(X) \leq \mathcal{R}(X^m)$  for every m > 1.

Proof. Let  $\ell(X) = r$  and  $\mathcal{R}(X) = k/r$ . If k = 0 the result is trivial, so we can assume that k > 0. In the case r = 1 this would mean that X is rigid, hence every power of X is rigid and the result would also be true. Therefore we will also assume that  $\ell(X) = r > 1$ . Let  $\Delta^p x_1 \cdots x_r$  be the left normal form of X. Recall that by Corollary 3.6 inf $(X^t) = tp$  for every  $t \ge 1$ . We will show that for  $m \ge 1$  one has

$$(X^m \Delta^{-pm}) \wedge \Delta^{mk} = \mathbf{C}_{mk}.$$

Recall from Theorem 2.9 that  $(X^{mk}\Delta^{-pmk}) \wedge \Delta^{mk} = \mathbf{C}_{mk}$ . Since one has  $X^m\Delta^{-pm} \leq X^{mk}\Delta^{-pmk}$  for every k > 0, (notice that the infimum of both elements is 0) it follows that

$$(X^m \Delta^{-pm}) \wedge \Delta^{mk} \preceq (X^{mk} \Delta^{-pmk}) \wedge \Delta^{mk} = \mathbf{C}_{mk},$$

hence we only need to show that  $C_{mk} \leq X^m \Delta^{-pm}$ .

We will first show that  $C_i = \tau^{-p}(x_i)$  for i = 1, ..., k. This will be done by proving that, for i = 0, ..., k-1 the first k - i non- $\Delta$  factors in the left normal form of  $\mathbf{c}^i(X)$  are  $x_{i+1} \cdots x_k$ . Indeed, this is trivially true for i = 0. If we assume the claim true for some  $i, 0 \le i < k-1$ , we have  $\mathbf{c}^i(X) = \Delta^p x_{i+1} \cdots x_k y_{k+1} \cdots y_r$ . By Proposition 3.7 we know that  $\mathcal{R}(\mathbf{c}^i(X)) \ge k/r$ , hence the first k-1 non- $\Delta$  factors in the left normal form of  $\mathbf{c}^{i+1}(X) = \Delta^p x_{i+2} \cdots x_k y_1 \cdots y_r \tau^{-p}(x_{i+1})$  are precisely  $x_{i+2} \cdots x_k y_1 \cdots y_i$ . In particular, the first k-(i+1) non- $\Delta$  factors are  $x_{i+2} \cdots x_k$ , thus the claim is shown. This implies that

$$\mathbf{C}_k = C_1 \cdots C_k = \tau^{-p}(x_1 \cdots x_k),$$

hence  $\mathbf{C}_k \preceq X\Delta^{-p}$ .

Now suppose that  $\mathbf{C}_{mk} \preceq X^m \Delta^{-pm}$  for some  $m \geq 1$ . If we apply k times the transport defined in [22], which preserves  $\preceq$ , we obtain

$$\mathbf{C}_{[k,mk]} \preceq (\mathbf{c}^k(X))^m \Delta^{-pm}.$$

But since  $\mathbf{C}_k = \tau^{-p}(x_1 \cdots x_k)$ , it follows that  $\mathbf{c}^k(X) = X^{\mathbf{C}_k} = \Delta^p x_{k+1} \cdots x_r \tau^{-p}(x_1 \cdots x_k)$ . Hence

$$X^{m+1} = (\Delta^{p} x_{1} \cdots x_{r})^{m+1} = \Delta^{p} x_{1} \cdots x_{k} (x_{k+1} \cdots x_{r} \Delta^{p} x_{1} \cdots x_{k})^{m} x_{k+1} \cdots x_{r}.$$

$$= \Delta^{p} x_{1} \cdots x_{k} (\Delta^{p} \tau^{p} (x_{k+1} \cdots x_{r}) x_{1} \cdots x_{k})^{m} x_{k+1} \cdots x_{r}$$

$$= \tau^{-p} (x_{1} \cdots x_{k}) (\mathbf{c}^{k} (X))^{m} \tau^{-p} (x_{k+1} \cdots x_{r}) \Delta^{p}.$$

Since  $\tau^{-p}(x_1 \cdots x_k) = \mathbf{C}_k$ , and  $\mathbf{C}_{[k,mk]} \leq (\mathbf{c}^k(X))^m \Delta^{-pm}$ , it follows that

$$\mathbf{C}_{(m+1)k} = \mathbf{C}_k \mathbf{C}_{[k,mk]} \preceq X^{m+1} \Delta^{-(m+1)p},$$

as we wanted to show. Hence  $(X^m \Delta^{-pm}) \wedge \Delta^{mk} = \mathbf{C}_{mk}$  for every  $m \geq 1$ .

Now recall from Lemma 3.8 that, since k > 0, the left normal form of  $\mathbf{C}_{mk}$  is  $C_1 \cdots C_{mk}$ . Hence, for every  $m \ge 1$  one has

$$(X^{2m}\Delta^{-2pm}) \wedge \Delta^{mk} = \mathbf{C}_{2mk} \wedge \Delta^{mk} = \mathbf{C}_{mk} = (X^m\Delta^{-pm}) \wedge \Delta^{mk}.$$

By Lemma 3.4, and since  $\ell(X^m) \leq mr$ , this implies that  $\mathcal{R}(X^m) \geq \frac{mk}{mr} = \frac{k}{r} = \mathcal{R}(X)$ , as we wanted to show.

**Remark:** The main difference between rigidity of cyclings and rigidity of powers is that, while iterated cycling of  $X \in SSS(X)$  yields a non-decreasing sequence

$$\mathcal{R}(X) \leq \mathcal{R}(\mathbf{c}(X)) \leq \mathcal{R}(\mathbf{c}^2(X)) \leq \cdots,$$

this does not happen for powers of X, even if  $X \in USS(X)$ . For instance, if  $X = 12132143 \cdot 143 \in B_5$  is the example at the beginning of Section 2, one has

$$\mathcal{R}(X) = 0$$
,  $\mathcal{R}(X^2) = 0$ ,  $\mathcal{R}(X^3) = 1$ ,  $\mathcal{R}(X^4) = 0$ ,  $\mathcal{R}(X^5) = 0$ ,  $\mathcal{R}(X^6) = 1, \dots$ 

Notice that this is not in contradiction with Proposition 3.9, where the rigidity of  $X^m$  is compared with that of X, not with the rigidity of the intermediate powers.

The above results imply that elements having some rigidity behave nicely with respect to powers and cyclings, in the following sense:

Corollary 3.10. If  $X \in USS(X)$  with  $\ell(X) \geq 1$  and  $\mathcal{R}(X) > 0$ , then one has  $(\mathbf{c}^t(X))^m = \mathbf{c}^t(X^m)$  for every  $t, m \geq 1$ .

Proof. By Lemma 3.8, the left normal form of  $\mathbf{C}_m$  is  $C_1 \cdots C_m$ . If  $\ell(X) > 1$  this implies, by Theorem 2.9, that  $C_1 = \iota(C_1 \cdots C_m) = \iota(X^m)$ . If  $\ell(X) = 1$  then  $X^m = C_1 \cdots C_m \Delta^{mp}$  where  $p = \inf(X)$ , so also in this case we have  $\iota(X^m) = C_1$ . Hence  $\mathbf{c}(X^m) = (X^m)^{C_1} = (X^{C_1})^m = (\mathbf{c}(X))^m$ , and the result is true for t = 1. If the result is true for some t - 1, it suffices to apply the previous case to  $\mathbf{c}^{t-1}(X)$ , which has some rigidity by Proposition 3.7, to obtain

$$\mathbf{c}^t(X^m) = \mathbf{c}(\mathbf{c}^{t-1}(X^m)) = \mathbf{c}((\mathbf{c}^{t-1}(X))^m) = (\mathbf{c}(\mathbf{c}^{t-1}(X)))^m = (\mathbf{c}^t(X))^m.$$

**Corollary 3.11.** Let  $X \in USS(X)$  with  $\ell(X) \geq 1$ . If  $\mathcal{R}(X) > 0$  then  $X^m$  belongs to a closed orbit under cycling, for every  $m \geq 1$ .

*Proof.* Let N be the orbit length of X. By Corollary 3.10,  $\mathbf{c}^N(X^m) = (\mathbf{c}^N(X))^m = X^m$ , so the result follows.

**Remark:** The above result does not imply that  $X^m \in USS(X)$ , since it could happen that  $X^m \notin SSS(X^m)$ . But the fact that  $X^m$  belongs to a closed orbit under cycling will be enough for our purposes.

### 3.2 The ultra summit set of a rigid element is made of rigid elements

By the above discussion on rigidity, we know that if  $X \in USS(X)$  is rigid, then the whole orbit of X under cycling is made of rigid braids. But what about the other orbits in USS(X)? In this subsection we will show that, if  $\ell(X) > 1$ , all orbits in USS(X) are made of rigid braids. Hence USS(X) is just the set of rigid conjugates of X. We start with three small results.

**Lemma 3.12.** Given  $X \in USS(X)$  then  $\mathbf{d}(X) \in USS(X)$ .

Proof. Let  $\Delta^p x_1 \cdots x_r$  be the left normal form of X. Notice that  $X^X = X \in USS(X)$  and that  $X^{\Delta^{p+r-1}} = \tau^{p+r-1}(X) \in USS(X)$ . Then, by Theorem 1.16,  $\mathbf{d}(X) = X^{(\Delta^p x_1 \cdots x_{r-1})} = X^{X \wedge \Delta^{p+r-1}} \in USS(X)$ .

**Lemma 3.13.** If  $X \in G$  is rigid, then  $\mathbf{c}^i(X)$  and  $\mathbf{d}^i(X)$  are rigid for every  $i \geq 1$ . Moreover  $X \in USS(X)$ , and if  $e \geq 1$  is such that  $\Delta^e$  is central, then  $\mathbf{c}^m(X) = X$  for some  $m \leq \ell(X)$  e.

Proof. Let  $\Delta^p x_1 \cdots x_r$  be the left normal form of X. By Proposition 3.7, all iterated cyclings of X are rigid. Then one can easily show by recurrence that if i = kr + j with  $0 \le j \le r - 1$ , the left normal form of  $\mathbf{c}^i(X)$  is equal to  $\Delta^p \tau^{kp}(x_{j+1}) \cdots \tau^{kp}(x_r) \tau^{(k+1)p}(x_1) \cdots \tau^{(k+1)p}(x_j)$ . Hence, if e is such that  $\tau^e = 1$ , one has  $\mathbf{c}^{er}(X) = \Delta^p \tau^{ep}(x_1) \cdots \tau^{ep}(x_r) = \Delta^p x_1 \cdots x_r = X$ , so X belongs to a closed orbit under cycling, and the orbit length is a divisor of  $re = \ell(X) e$ .

By Lemma 3.5 X is rigid if and only if so is  $X^{-1}$ . This means that  $\mathbf{c}^i(X^{-1})$  is rigid for every  $i \geq 1$ . But we know by [18] that  $\mathbf{c}^i(X^{-1}) = (\mathbf{d}^i(X))^{-1}$ , hence  $\mathbf{d}^i(X)$  is also rigid for every  $i \geq 1$ .

Furthermore, by the above arguments  $X^{-1}$  belongs to a closed orbit under cycling, thus X belongs to a closed orbit under decycling. But an element belonging to closed orbits under cycling and decycling belongs to its ultra summit set (since one can always reach the ultra summit set by iterated cycling and decycling), so it follows that  $X \in USS(X)$ .

For elements which belong to their ultra summit set, the converse of Lemma 3.13 is also true.

**Lemma 3.14.** If  $Y \in USS(X)$  is not rigid, then neither  $\mathbf{c}^i(Y)$  nor  $\mathbf{d}^i(Y)$  are rigid for any  $i \geq 1$ .

*Proof.* It clearly suffices to show the result for i = 1. First,  $\mathbf{c}(Y)$  has the same rigidity as Y by Proposition 3.7, hence it cannot be rigid.

Now suppose that  $\mathbf{d}(Y)$  is rigid. This is clearly not possible if  $\ell(Y) = 1$ , so we can suppose that  $\ell(Y) > 1$ . If  $\Delta^p y_1 \cdots y_r$  is the left normal form of Y, then  $\mathbf{d}(Y) = \Delta^p \tau^p(y_r) y_1 \cdots y_{r-1}$ , although this decomposition is not the left normal form of  $\mathbf{d}(Y)$ . Nevertheless, since  $y_1 \cdots y_{r-1}$  is in left normal form, we know, by the left normal form algorithm (see for instance [19]) that there is a decomposition  $y_i = a_i b_i$  for  $i = 1, \ldots, r-1$  such that the left normal form of  $\mathbf{d}(Y)$  is precisely  $\Delta^p(\tau^p(y_r)a_1)(b_1a_2)\cdots(b_{r-2}a_{r-1})(b_{r-1})$ .

Since we are assuming that  $\mathbf{d}(Y)$  is rigid, we have that  $(b_{r-1})(y_r\tau^{-p}(a_1))$  is left weighted as written. Notice that this implies that  $y_{r-1}(y_r\tau^{-p}(a_1))$  is left weighted as written. Hence the left normal form of  $y_1 \cdots y_r\tau^{-p}(y_1)$  is precisely  $y_1 \cdots y_{r-1}(y_r\tau^{-p}(a_1))\tau^{-p}(b_1)$ . In other words,  $\mathcal{R}(Y) = \frac{r-1}{r}$ . By Proposition 3.7, all iterated cyclings of Y have rigidity  $\frac{r-1}{r}$ . This implies, in particular, that

$$\mathbf{c}^{r-1}(Y) = Y^{\tau^{-p}(y_1 \cdots y_{r-1})} = \Delta^p y_r \tau^{-p}(y_1 \cdots y_{r-1}) = \tau^{-p}(\mathbf{d}(Y)),$$

but this latter element is supposed to be rigid. A contradiction. Hence no iterated decycling of Y can be rigid.

We can finally prove the main result concerning the elements of the ultra summit set of a rigid element.

**Theorem 3.15.** Let X be rigid and  $\ell(X) > 1$ . Then every element in USS(X) is rigid.

Proof. Suppose that there exists an element in USS(X) which is not rigid. Since every two elements in USS(X) are connected by a sequence of conjugations by simple elements, there must be a non-rigid element in USS(X) which is the conjugate of a rigid one by a simple element. Hence we can assume without loss of generality that  $X^s = Y$  for some non-rigid element  $Y \in USS(X)$  and some simple element S. We will also assume that S is a maximal element (with respect to S) in the set of all simple elements conjugating S to a non-rigid element in S0. We will get a contradiction by showing that S1 is conjugate to S2 is simple element which is a proper right multiple of S3.

Let  $\Delta^p x_1 \cdots x_r$  be the left normal form of X and let  $\Delta^p y_1 \cdots y_r$  be the left normal form of Y. Since  $X^s = Y$ , it is known by [22] that there exist simple elements  $s_0, \ldots, s_r$  such that  $s_0 = \tau^p(s)$ ,  $s_r = s$  and  $y_i = s_{i-1}^{-1} x_i s_i$  for  $i = 1, \ldots, r$ . That is, the left normal form of Y is  $\Delta^p y_1 \cdots y_r = \Delta^p(s_0^{-1} x_1 s_1)(s_1^{-1} x_2 s_2) \cdots (s_{r-1}^{-1} x_r s_r)$ .

Now consider  $\mathbf{d}(X)$  and  $\mathbf{d}(Y)$ . By Lemma 3.12, these two elements belong to USS(X). Since X is rigid, the left normal form of  $\mathbf{d}(X)$  is  $\Delta^p \tau^p(x_r) x_1 \cdots x_{r-1}$ . However, Y is not rigid, so the left normal form of  $\mathbf{d}(Y)$  is not  $\Delta^p \tau^p(y_r) y_1 \cdots y_{r-1}$ , since  $\tau^p(y_r) y_1$  is not left weighted as written. (Here we use the fact that  $r = \ell(X) > 1$ .) Hence,  $\iota(\mathbf{d}(Y)) = y_r t$  for some nontrivial simple element t.

The elements  $\mathbf{d}(X)$  and  $\mathbf{d}(Y)$  are also connected through a conjugation by a simple element. Namely,  $\mathbf{d}(X)^{s_{r-1}} = \Delta^p \tau^p(s_{r-1})^{-1} \tau^p(x_r) x_1 \cdots x_{r-1} s_{r-1} = \Delta^p \tau^p(y_r) y_1 \cdots y_{r-1} = \mathbf{d}(Y)$ . Hence, by [22] again, there exist simple elements  $t_0, \ldots, t_r$  such that  $t_0 = \tau^p(s_{r-1})$ ,  $t_r = s_{r-1}$  and the left normal form of  $\mathbf{d}(Y)$  is  $\Delta^p(t_0^{-1}\tau^p(x_r)t_1)(t_1^{-1}x_1t_2)\cdots(t_{r-1}x_{r-1}t_r)$ . Therefore  $\iota(\mathbf{d}(Y)) = \tau^{-p}(t_0)^{-1}x_r\tau^{-p}(t_1) = s_{r-1}^{-1}x_r\tau^{-p}(t_1)$ . Since we saw that  $\iota(\mathbf{d}(Y)) = y_r t = (s_{r-1}^{-1}x_r s)t$ , it follows that  $s t = \tau^{-p}(t_1)$ , which is a simple element. If we denote  $u = \tau^{-p}(t_1)$ , we just showed that  $s \prec u$  (strict) and that  $\iota(\mathbf{d}(Y)) = s_{r-1}^{-1}x_r u$ .

Finally, notice that  $X^u = ((X^{x_r^{-1}})^{s_{r-1}})^{s_{r-1}^{-1}x_ru} = (\mathbf{d}(X)^{s_{r-1}})^{s_{r-1}^{-1}x_ru} = \mathbf{d}(Y)^{\iota(\mathbf{d}(Y))} = \mathbf{c}(\mathbf{d}(Y))$ . But since Y is not rigid and belongs to USS(X), Lemma 3.14 tells us that  $\mathbf{d}(Y)$  is not rigid. Since  $\mathbf{d}(Y)$  also belongs to USS(X) by Lemma 3.12, it follows again by Lemma 3.14 that  $\mathbf{c}(\mathbf{d}(Y))$  is not rigid, and belongs to USS(X). But  $s \not\subseteq u$ , so this contradicts the maximality of s, and we are done.

Corollary 3.16. If X is rigid and  $\ell(X) > 1$ , then USS(X) is the set of rigid conjugates of X.

*Proof.* Let Y be a rigid conjugate of X. Since Y is rigid, it belongs to its ultra summit set and since it is conjugate to X, its ultra summit set is precisely USS(X). Conversely, every element in USS(X) is rigid by the above result.

Corollary 3.17. If X is rigid and  $\ell(X) > 1$ , then  $USS(X^{-1})$  is the set of inverses of the elements in USS(X).

*Proof.* This is a direct consequence of Corollary 3.16 and the fact that  $Y \in G$  is rigid if and only if  $Y^{-1}$  is rigid (Lemma 3.5).

**Remark:** If  $\ell(X) = 1$ , then USS(X) may contain rigid and non-rigid elements. For instance, the simple element  $12321435 \in B_6$  is rigid (since  $12321435 \cdot 12321435$  is left weighted), but it is conjugate (by 23) to the simple element 12134325, which is not rigid (the left normal form of 12134325 12134325 is  $1213432514 \cdot 213245$ ). Clearly both elements belong to the ultra summit set, since they are simple.

### 3.3 Elements having a rigid power

In this section we will characterize elements  $X \in G$  having a rigid power  $X^m$  for some integer  $m \neq 0$ . Notice that such an element cannot be periodic. Otherwise, since rigidity is preserved by powers, some rigid power of X (which, by definition, has positive canonical length) would also be a power of  $\Delta$  (which has zero canonical length), and this is not possible. If the element X belongs to its ultra summit set, we can say something more.

**Proposition 3.18.** Let  $X \in USS(X)$  with  $\ell(X) \geq 1$ . If X has a rigid power, then there exists some M > 0 such that  $\mathbf{C}_M = \Delta^k X^t$  for some integers k, t, where t > 0 and  $\Delta^k$  is central.

*Proof.* Let  $p = \inf(X)$ . If  $\ell(X) = 1$  then  $X^M = \mathbf{C}_M \Delta^{-pM}$  for every M, so we just need to take M big enough so that  $\Delta^M$  is central, and we are done. Hence we can assume that  $\ell(X) > 1$ .

Let e > 0 be such that  $\Delta^e$  is central, let m > 0 be such that  $X^m$  is rigid, and let N be the orbit length of X under cycling. Consider T = emN. By Lemma 2.4 one has  $X^T = \mathbf{C}_T \mathbf{R}_T \Delta^{pT}$ , and by Proposition 2.8,  $\varphi(\mathbf{C}_T)\iota(\mathbf{R}_T)$  is left weighted. Since T is a multiple of e,  $\Delta^{pT}$  is central. Since T is a multiple of m, it follows that  $X^T$  is rigid, hence  $\varphi(X^T)\iota(X^T) = \varphi(\mathbf{R}_T)\iota(\mathbf{C}_T)$  is also left weighted (notice that the equality holds since  $\Delta^{pT}$  is central). Finally, since T is a multiple of N, it follows that  $\mathbf{C}_T$  commutes with X, thus it commutes with  $X^T$ . Then one has:

$$X^T = (X^T)^{\mathbf{C}_T} = \mathbf{R}_T \Delta^{pT} \mathbf{C}_T = \Delta^{pT} \mathbf{R}_T \mathbf{C}_T.$$

Moreover, since  $\varphi(\mathbf{R}_T)\iota(\mathbf{C}_T)$  is left weighted,  $\varphi(X^T) = \varphi(\mathbf{C}_T)$ . Hence  $\varphi(\mathbf{C}_T)\iota(\mathbf{C}_T) = \varphi(X^T)\iota(X^T)$  is left weighted by the rigidity of  $X^T$ , so it follows that  $\mathbf{C}_T$  is also rigid. In particular,  $\inf((\mathbf{C}_T)^k) = k\inf(\mathbf{C}_T)$  for every k > 0. Since T is a multiple of N, one has  $(\mathbf{C}_T)^k = \mathbf{C}_{Tk}$ . Hence, by considering a suitable multiple

of T, we can assume that  $\inf(\mathbf{C}_T)$  is a multiple of e, that is,  $\mathbf{C}_T = \Delta^{eq} y_1 \cdots y_r$ , and  $\mathbf{R}_T = z_1 \cdots z_s$ , where  $y_r z_1$  and  $z_s y_1$  are left weighted. Then one has

$$\Delta^{e(pmN+q)}y_1\cdots y_rz_1\cdots z_s = X^T = \Delta^{e(pmN+q)}z_1\cdots z_sy_1\cdots y_r,$$

where both decompositions of  $X^T$  are in left normal form. In other words, the left normal form of  $X^T$  is invariant under some cyclic permutations of its factors. This is only possible if there is some rigid element  $Y = y_1 \cdots y_a$  (where  $a = \gcd(r, s)$ ), such that  $Y^i = y_1 \cdots y_r$  and  $Y^j = y_1 \cdots y_r z_1 \cdots z_s$  for some i, j > 0. But then  $\mathbf{C}_{Tj} = (\mathbf{C}_T)^j = (\Delta^{e(pmN+q)}y_1 \cdots y_r)^j = \Delta^{ej(pmN+q)}Y^{ij}$ . Since  $Y^{ij} = (y_1 \cdots y_r z_1 \cdots z_s)^i$ , it follows that  $Y^{ij} = \Delta^{ek'}X^{Ti}$  for some  $k' \in \mathbb{Z}$ . Denoting M = Tj, t = Ti and k = e(k' + jpmN + jq), one finally obtains  $\mathbf{C}_M = \Delta^k X^t$ , as we wanted to show.

We will now show that the converse of Proposition 3.18 is also true for elements of canonical length greater than 1, by the following two results.

**Proposition 3.19.** Let  $X \in USS(X)$  with  $\ell(X) > 1$ . Suppose that  $\mathbf{C}_M = \Delta^k X^t$  for some integers M, k, t, where M, t > 0 and  $\Delta^k$  is central. Then  $\mathcal{R}(X^{-m}) > 0$  for some m > 0.

*Proof.* Notice that  $\mathbf{C}_M$  commutes with X, hence M is a multiple of the orbit length of X, and then  $(\mathbf{C}_M)^r = \mathbf{C}_{Mr}$  for every  $r \geq 1$ . This implies  $\mathbf{C}_{2M} = (\mathbf{C}_M)^2 = \Delta^{2k}(X^t)^2$ , where  $\Delta^{2k}$  is also central. Hence, replacing M by a multiple if necessary, we can assume that  $M \geq ||\Delta||$ .

By Lemma 2.4,  $\sup(\mathbf{C}_M) = M$  and  $\sup(\mathbf{C}_{2M}) = 2M$ . Hence  $\sup((X^t)^2) = -2k + 2M = 2(-k + M) = 2\sup(X^t)$ . At the same time, since M is a multiple of the orbit length of X and  $M \geq ||\Delta||$ , one has  $\varphi(\mathbf{C}_M) = F(\mathbf{c}^M(X)) = F(X)$  and also  $\varphi(\mathbf{C}_{2M}) = F(X)$ . Therefore  $\varphi((X^t)^2) = \varphi(\mathbf{C}_{2M}) = F(X) = \varphi(\mathbf{C}_M) = \varphi(X^t)$ . By Lemma 3.5, this means that  $\mathcal{R}(X^{-t}) > 0$ , so we take m = t and we are done.  $\square$ 

**Proposition 3.20.** Let  $X \in USS(X)$  with  $\ell(X) > 1$ . Suppose that  $\mathbf{C}_M = \Delta^k X^t$  for some integers M, k, t, where M, t > 0 and  $\Delta^k$  is central. Then  $X^T$  is rigid for some T > 0.

Proof. We know by Proposition 3.19 that  $\mathcal{R}(X^{-m}) > 0$  for some m > 0. We also know that  $\mathbf{C}_{Mr} = \Delta^{kr}X^{tr}$  for every  $r \geq 1$ . Hence, replacing M by a multiple, if necessary, we can assume that both M and t are multiples of m. Since  $\mathcal{R}(X^{-m}) > 0$ , and M and t are multiples of m, Corollary 3.6 implies that  $\varphi(X^M) = \varphi(X^m) = \varphi(X^t) = \varphi(\mathbf{C}_M)$ . Notice that  $M - t \neq 0$ , otherwise  $\mathbf{R}_M$  would be a power of  $\Delta$ , while  $\ell(\mathbf{R}_M) > 0$  by Lemma 2.4. Hence |M - t| is also a nontrivial multiple of m, so we have  $\varphi(\mathbf{C}_M) = \varphi(X^{|M-t|})$ .

On the other hand, by Lemma 2.4 one has  $X^M = \mathbf{C}_M \mathbf{R}_M \Delta^{pM} = \Delta^k X^t \mathbf{R}_M \Delta^{pM}$ , where  $p = \inf(X)$ . Since  $\Delta^k$  is central, this means  $\mathbf{R}_M = X^{-t} \Delta^{-k} X^M \Delta^{-pM} = X^{M-t} \Delta^{-k-Mp}$ . Recall that  $\ell(\mathbf{R}_M) > 0$ , hence  $\iota(\mathbf{R}_M) = \iota(X^{M-t})$ . Moreover M - t > 0, otherwise we would have  $\varphi(\mathbf{C}_M) = \varphi(X^{t-M})$  and  $\iota(\mathbf{R}_M) = \iota(X^{M-t}) = \partial(\varphi(X^{t-M}))$ , whence  $\varphi(\mathbf{C}_M)\iota(\mathbf{R}_M) = \Delta$  and this contradicts Proposition 2.8, which states that  $\varphi(\mathbf{C}_M)\iota(\mathbf{R}_M)$  is left weighted.

Therefore M-t>0, and then  $\varphi(\mathbf{C}_M)=\varphi(X^{M-t})$ . Therefore  $\varphi(X^{M-t})\iota(X^{M-t})=\varphi(\mathbf{C}_M)\iota(\mathbf{R}_M)$  is left weighted, hence  $X^{M-t}$  is rigid and we just take T=M-t.

We have then shown the following result.

**Theorem 3.21.** Let  $X \in USS(X)$  with  $\ell(X) > 1$ . Then X has a rigid power if and only if  $\mathbf{C}_M = \Delta^k X^t$  for some integers M, k, t, where M, t > 0 and  $\Delta^k$  is central.

Moreover, in this case M-t>0 and M can be chosen so that  $\mathbf{R}_M=\Delta^s X^{M-t}$  where  $\Delta^s$  is central, and  $X^M$ ,  $X^t$  and  $X^{M-t}$  are all rigid.

*Proof.* The first claim is shown in Propositions 3.18 and 3.20. In the proof of Proposition 3.20 it is also shown that in this case M-t>0. Replacing M by some suitable multiples, we replace  $X^M$ ,  $X^t$  and  $X^{M-t}$  by powers, hence we can choose M in such a way that these three elements are rigid.

A very interesting consequence of this result is the following

**Theorem 3.22.** Let  $X \in USS(X)$  with  $\ell(X) \geq 1$ . If X has a rigid power, then all elements in USS(X) have rigid powers.

*Proof.* By Theorem 3.21,  $\mathbf{C}_M = \Delta^k X^t$  for some integers M, k, t, where M, t > 0 and  $\Delta^k$  is central. We can also assume that M is a multiple of the orbit length of X.

Let  $Y \in USS(X)$ . For  $i \ge 1$ , let  $C'_i$ ,  $R'_i$ ,  $\mathbf{C}'_i$  and  $\mathbf{R}'_i$  denote the elements analogous to  $C_i$ ,  $R_i$ ,  $\mathbf{C}_i$  and  $\mathbf{R}_i$ , defined for Y instead of X.

Let  $\alpha$  be a positive element such that  $\alpha^{-1}X\alpha = Y$ . In [22], the M-th transport of  $\alpha$  is defined as the element  $\beta$  such that  $\alpha^{-1}\mathbf{C}_M\beta = \mathbf{C}_M'$ . It is shown in [22] that some iterated transport of  $\alpha$  will be equal to  $\alpha$ . Hence, replacing M by a multiple if necessary, we can assume that  $\beta = \alpha$ . But then  $\mathbf{C}_M' = \alpha^{-1}\mathbf{C}_M\alpha = \alpha^{-1}\Delta^kX^t\alpha = \Delta^k\alpha^{-1}X^t\alpha = \Delta^kY^t$ . By Theorem 3.21 this means that Y also has a rigid power.

### 3.4 Consequences for pseudo-Anosov braids.

The results from the previous subsection have a very important consequence in the case of braid groups. The structure of centralizers of pseudo-Anosov braids is well known, and this allows to show that pseudo-Anosov braids in their ultra summit set have rigid powers.

**Theorem 3.23.** Let  $X \in B_n$  be a pseudo-Anosov braid. If  $X \in USS(X)$  and  $\ell(X) > 1$ , then X has a rigid power.

Proof. Let N be the orbit length of X under cycling. Then  $\mathbf{C}_N$  commutes with X. It is known [25, 24] that if X is pseudo-Anosov, every element in the centralizer of X has a common power with X, up to multiplication by a central power of  $\Delta$ . Hence  $(\mathbf{C}_N)^r = \Delta^k X^t$  for some integers r, k, t, where  $\Delta^k$  is central. Moreover we can assume that r > 0, otherwise we consider the inverse of the above equation. Since N is the orbit length of X,  $(\mathbf{C}_N)^r = C_{Nr}$ , hence taking M = Nr one has  $\mathbf{C}_M = \Delta^k X^t$  for some positive M and some integers k, t such that  $\Delta^k$  is central. By Theorem 3.21, we only need to show that t > 0.

Suppose that t < 0. Replacing M by a multiple if necessary, we can assume that  $M \ge ||\Delta||$ , hence  $\varphi(\mathbf{C}_M) = F(X) = \varphi(\mathbf{C}_{Mr}) = \varphi(X^{tr})$  for every  $r \ge 1$ . We can also assume that M is a (positive) multiple of t, hence t - M will be a (negative) multiple of t, and then  $\varphi(\mathbf{C}_M) = \varphi(X^{t-M})$ .

On the other hand, by Lemma 2.4, we know that  $X^M = \mathbf{C}_M \mathbf{R}_M \Delta^{Mp}$ , where  $p = \inf(X)$ . Hence  $\mathbf{R}_M = X^{M-t} \Delta^{-k-Mp}$ , and then  $\iota(\mathbf{R}_M) = \iota(X^{M-t}) = \partial(\varphi(X^{t-M}))$ . This would contradict Proposition 2.8, which states that  $\varphi(\mathbf{C}_M)\iota(\mathbf{R}_M)$  is left weighted. Therefore t > 0, and Theorem 3.21 implies that X has a rigid power.

Corollary 3.24. Every pseudo-Anosov braid has a rigid power, up to conjugacy.

*Proof.* Let Y be a pseudo-Anosov braid, and let  $X \in SU(Y)$ . That is, X is conjugate to Y and all powers of X belong to their ultra summit set.

We know that powers of  $\Delta$  are not pseudo-Anosov but periodic, hence  $\ell(X) \geq 1$ . We will first show that we have  $\ell(X^m) > 1$  for some m > 1. Indeed, if  $\ell(X^m) = 1$  for all m > 1, since the set of simple elements is finite we would have  $X^a = \Delta^u s$  and  $X^b = \Delta^v s$  for the same simple element s and  $a \neq b$ . But then  $X^{b-a} = \Delta^{v-u}$ , which is not possible since a pseudo-Anosov braid cannot be periodic.

Since the property of being pseudo-Anosov is preserved by powers,  $X^m$  is a pseudo-Anosov braid such that  $\ell(X^m) > 1$ . Moreover,  $X^m \in USS(X^m)$ , as  $X \in SU(Y)$ . Hence we can apply Theorem 3.23 to  $X^m$  and it follows that some power of  $X^m$ , thus some power of X, is rigid. Since X is conjugate to Y, the result follows.

We remark that generic elements of  $B_n$  are pseudo-Anosov. This means that most elements in  $B_n$  have rigid powers, up to conjugacy.

### 3.5 A bound for the rigid power of an element.

In this section we will show that, if  $X \in USS(X)$  has a rigid power, and several powers of X belong to their ultra summit sets, then  $X^m$  is rigid for some *small* m, namely  $m < ||\Delta||^3$ . Moreover, if  $\ell(X) > 1$  we can take  $m < ||\Delta||^2$ . In the particular case of braid groups, using the Artin structure one has  $||\Delta|| = n(n-1)/2$ , and using the Birman-Ko-Lee structure  $||\Delta|| = n - 1$ . Hence in both cases the bound is polynomial on the number of strands, and does not depend on the length of the braid.

We first need to show two results concerning elements having rigid powers and absolute final factors.

**Proposition 3.25.** Let  $X \in USS(X)$  with  $\ell(X) > 1$ . Suppose that X has a rigid power, and that  $X^t \in USS(X^t)$  for some t > 1. Then  $F(X) = F(X^t)$ .

*Proof.* By Theorem 3.21, one has  $\mathbf{C}_M = \Delta^k X^r$  for some M, k, r such that M, r > 0 and  $\Delta^k$  is central. Replacing M by a multiple if necessary, so that M is a multiple of the orbit length of X under cycling, one has  $\mathbf{C}_{[-M,M]} = \mathbf{C}_M = \Delta^k X^r$ .

In the same way,  $X^t$  also has a rigid power. Moreover, since  $\ell(\mathbf{C}_t) \geq 1$ ,  $\ell(\mathbf{R}_t) \geq 1$  and  $\mathbf{C}_t\mathbf{R}_t$  is left weighted, one has  $\ell(X^t) > 1$ . Hence we can apply Theorem 3.21 to  $X^t$  and we obtain  $\mathbf{C}_{[-M',M']}^{(t)} = \mathbf{C}_{M'}^{(t)} = \Delta^{k'}X^{tr'}$  for some M', r' > 0 and some k' such that  $\Delta^{k'}$  is central.

Replacing M and M' above by some suitable multiples, we can assume that r=tr', and also that  $M, M' \geq ||\Delta||$ . Hence  $F(X^t) = \varphi(\mathbf{C}_{[-M',M']}) = \varphi(\Delta^{k'}X^{tr'}) = \varphi(\Delta^kX^r) = \varphi(\mathbf{C}_{[-M,M]}) = F(X)$ .

**Proposition 3.26.** Let  $X \in USS(X)$  with  $\ell(X) > 1$ , and suppose that X has a rigid power. If  $\mathcal{R}(X) > 0$ , then  $\iota(X) = I(X)$ . If  $\mathcal{R}(X^{-1}) > 0$ , then  $\varphi(X) = F(X)$ .

*Proof.* By Theorem 3.21, there exists some M > 0 such that  $\mathbf{C}_M = \Delta^k X^t$  and  $\mathbf{R}_M = \Delta^s X^{M-t}$ , where t > 0, M - t > 0, and both  $\Delta^k$  and  $\Delta^s$  are central.

Suppose that  $\mathcal{R}(X^{-1}) > 0$ . By Corollary 3.6 this implies that  $\varphi(X^m) = \varphi(X)$  for every  $m \ge 1$ . We can assume that M is a multiple of the orbit length of X, hence  $\mathbf{C}_{[-M,M]} = \mathbf{C}_M$ . If one chooses M big enough (replacing it by a multiple if necessary), one has  $F(X) = \varphi(\mathbf{C}_{[-M,M]}) = \varphi(\mathbf{C}_M) = \varphi(X^t) = \varphi(X)$ .

Now suppose that  $\mathcal{R}(X) > 0$ . Then  $\iota(X^m) = \iota(X)$  for every m > 0, by Corollary 3.6. In the same way as above, since M is a multiple of the orbit length of X and M - t > 0, replacing M (and thus t) by a multiple if necessary one has  $I(X) = \iota(\mathbf{R}_{[-M,M]}) = \iota(\mathbf{R}_M) = \iota(\Delta^s X^{M-t}) = \iota(X^{M-t}) = \iota(X)$ .

In order to obtain the claimed bound on rigid powers, we need to investigate how the left normal form of  $\mathbf{C}_{[k,m]}$  is modified when we multiply it on the left by  $C_k$ . We actually show the following, more general result.

**Proposition 3.27.** Let  $X \in USS(X)$  with  $\ell(X) > 1$ , and suppose that X has a rigid power. Let t > 1 be such that  $X^t \in USS(X^t)$ . Consider  $C_1 \cdots C_m = \Delta^k y_1 \cdots y_s$  and  $C_{m+1} \cdots C_{m+t} = \Delta^q z_1 \cdots z_r$  in left normal form. Then, the final t-1 factors in the left normal form of  $C_1 \cdots C_{m+t}$  are precisely  $z_2 \cdots z_r$ .

*Proof.* We will need to use the factors  $C_i$  and  $R_i$  corresponding to the element  $Y = (\mathbf{c}^m(X))^t$ . In order to avoid an excessive use of indices, we will denote them by  $C'_i$  and  $R'_i$ . That is,  $C'_1 = \iota(Y)$ , and the other elements  $C'_i$  and  $R'_i$  are defined in the same way as the corresponding elements for X.

We know by Theorem 2.9 that  $C_{m+1} \cdots C_{m+t}$  is equal to the product of the first t factors (including  $\Delta$ 's) in the left normal form of  $(\mathbf{c}^m(X))^t \Delta^{-pt}$  (where  $p = \inf(X)$ ). Hence  $C'_1 = \iota((\mathbf{c}^m(X))^t) = \tau^{-q}(z_1)$ , and  $\tau^{-q}(z_2) \leq K'_1$ .

Now one has

$$C_1 \cdots C_m C_{m+1} \cdots C_{m+t}$$

$$= C_1 \cdots C_m \Delta^q z_1 \cdots z_r$$

$$= C_1 \cdots C_m \tau^{-q}(z_1) \tau^{-q}(z_2) \Delta^q z_3 \cdots z_r.$$

By Proposition 2.12 and the definition of absolute final factors, one has  $\varphi(C_1 \cdots C_m) \succeq F(\mathbf{c}^m(X))$ . Recall from the proof of Proposition 2.23 that if  $X^t \in USS(X^t)$  then  $(\mathbf{c}^m(X))^t$  also belongs to its ultra summit set, since the action of cycling or decycling any power of X (in particular X) preserves the set of powers of X belonging to their ultra summit set. Moreover, since X has a rigid power, Theorem 3.22 implies that  $\mathbf{c}^m(X)$  also has a rigid power. We can then apply Proposition 3.25 to obtain  $F(\mathbf{c}^m(X)) = F((\mathbf{c}^m(X))^t) = F(Y)$ . Hence  $\varphi(C_1 \cdots C_m) \succeq F(Y)$ .

This yields the following:

$$\varphi(C_1 \cdots C_m \tau^{-q}(z_1)) = \varphi(C_1 \cdots C_m C_1') \succeq \varphi(F(Y)C_1') = \varphi(C_{-a+1}' \cdots C_{-1}' C_0' C_1')$$

for a big enough. But we know by Lemma 2.5 that the decomposition

$$\varphi(C'_{-a+1}\cdots C'_{-1}C'_0C'_1) R'_1$$

is left weighted. Hence

$$\varphi(C_1 \cdots C_m \tau^{-q}(z_1)) \tau^{-q}(z_2)$$

is also left weighted, and the factors  $z_2 \cdots z_r$  are not modified when computing the left normal form of  $C_1 \cdots C_{m+t}$ .

The fact that the left normal forms of  $\mathbf{C}_{[-m,m]}$  are not modified too much when one increases m, implies a strong property on the initial factors of powers of X: they are comparable by  $\preceq$ . First we need the following technical result.

**Lemma 3.28.** Let  $A = \Delta^p x_1 \cdots x_r \in G$ , and let s be a simple element. Then either  $\iota(As) \leq \iota(A)$  or  $\iota(A) \leq \iota(As)$ .

*Proof.* Suppose there is no unexpected  $\Delta$  when multiplying A by s, that is,  $\inf(As) = p$ . Then  $\iota(\Delta^p x_1 \cdots x_r s) = \tau^{-p}(x_1 t)$  for some (possibly trivial) simple element t. Hence  $\iota(A) = \tau^{-p}(x_1) \leq \tau^{-p}(x_1 t) = \iota(As)$ .

Now suppose there is an unexpected  $\Delta$ , that is,  $\inf(As) = p + 1$ . Let  $\alpha = (x_2 \cdots x_r s) \wedge \Delta$ . Then  $\iota(As) = \iota(\Delta^p x_1 \cdots x_r s) = \iota(\Delta^p x_1 \alpha)$ . Moreover,  $x_1 \alpha = \Delta \beta$  for some simple element  $\beta$ , that is,  $\alpha = \partial(x_1)\beta$ . Since  $\alpha$  is simple, it follows that  $\beta \leq \partial^2(x_1) = \tau(x_1)$ . Therefore

$$\iota(As) = \iota(\Delta^p x_1 \alpha) = \iota(\Delta^{p+1} \beta) \le \iota(\Delta^{p+1} \tau(x_1)) = \tau^{-p}(x_1) = \iota(A).$$

**Proposition 3.29.** Let  $X \in USS(X)$  with  $\ell(X) > 1$ . Suppose that X has a rigid power, and let  $t \ge 1$  such that  $X^t \in USS(X^t)$ . Then for every  $m \ge 1$ , the simple elements  $\iota(C_1 \cdots C_m)$  and  $\iota(C_1 \cdots C_{m+t})$  are comparable. That is, either

$$\iota(C_1\cdots C_m) \leq \iota(C_1\cdots C_{m+t})$$

or

$$\iota(C_1\cdots C_{m+t}) \leq \iota(C_1\cdots C_m).$$

*Proof.* Write  $C_1 \cdots C_m = \Delta^k y_1 \cdots y_s$  and  $C_{m+1} \cdots C_{m+t} = \Delta^q z_1 \cdots z_r$ . We know by Proposition 3.27 that

$$\varphi(y_1\cdots y_s\tau^{-q}(z_1))\,\tau^{-q}(z_2)$$

is left weighted. Hence

$$\iota(C_1 \cdots C_{m+t}) = \iota(\Delta^k y_1 \cdots y_s \tau^{-q}(z_1)),$$

where  $\tau^{-q}(z_1)$  is simple. By Lemma 3.28, this implies that  $\iota(C_1 \cdots C_{m+t})$  is comparable to  $\iota(\Delta^k y_1 \cdots y_s) = \iota(C_1 \cdots C_m)$ , as we wanted to show.

We can finally state the result concerning the initial factors of powers of X.

**Corollary 3.30.** Let  $X \in USS(X)$  with  $\ell(X) > 1$ , and suppose that X has a rigid power. If  $X^m \in USS(X^m)$  for  $m = 1, ..., ||\Delta||$ , then the set

$$\{\iota(X),\iota(X^2),\cdots\iota(X^{|\Delta||})\}$$

is totally ordered by  $\leq$  (although the total order given by  $\leq$  does not necessarily coincide with the above enumeration).

*Proof.* We just need to recall from Theorem 2.9 that  $\iota(X^m) = \iota(C_1 \cdots C_m)$  for every  $m \ge 1$ , and use the above result. Since every two elements are comparable by  $\le$ , the set is totally ordered.

**Corollary 3.31.** With the above conditions, there exist some integers a, b with  $1 \le a < b \le ||\Delta||$  such that  $\iota(X^a) = \iota(X^b)$ .

*Proof.* The length of a strict chain of simple elements  $1 \prec s_1 \prec s_2 \prec \cdots \prec s_r \prec \Delta$  is bounded by  $||\Delta||$ . Since the elements in  $\{\iota(X), \iota(X^2), \cdots \iota(X^{||\Delta||})\}$  are totally ordered, the lack of a repeated pair would provide a chain of bigger length, which is not possible.

It is important to notice that, in the sequence  $\iota(X), \iota(X^2), \iota(X^3), \ldots$ , when one encounters the first repetition, the sequence becomes periodic. And the period is the distance between the two repeated elements. This is given by the following result.

**Proposition 3.32.** With the above conditions, if  $\iota(X^a) = \iota(X^b)$  then  $\iota(X^{a+k}) = \iota(X^{b+k})$  for every  $k \geq 0$ .

Proof. By hypothesis  $\iota(C_1 \cdots C_a) = \iota(X^a) = \iota(X^b) = \iota(C_1 \cdots C_b)$ . Applying to this equality the transport defined in [22], one obtains  $\iota(C_2 \cdots C_{a+1}) = \iota(C_2 \cdots C_{b+1})$ . Let  $\iota = \iota(C_2 \cdots C_{a+1}) = \iota(C_2 \cdots C_{b+1})$ . By Proposition 3.27, if we multiply  $C_2 \cdots C_{a+1}$  or  $C_2 \cdots C_{b+1}$  on the left by  $C_1$ , only their initial factors (which in both cases are equal to  $\iota$ ) are modified. Moreover, since  $\sup(C_1 \cdots C_{a+1}) = a+1$ , it follows that  $\sup(C_1 \iota) = 2$ . Hence the initial factor of  $C_1 \cdots C_{a+1}$  is equal either to  $\Delta \wedge (C_1 \iota)$  (if the infimum does not increase) or to  $(C_1 \iota) \Delta^{-1}$  (if the infimum increases). In any case,  $\iota(C_1 \cdots C_{a+1}) = \iota(C_1 \iota)$ . In the same way,  $\iota(C_1 \cdots C_{b+1}) = \iota(C_1 \iota)$ , hence  $\iota(X^{a+1}) = \iota(X^{b+1})$ . Induction on k finishes the proof.

The above results can be used to bound the smallest power of X having some rigidity.

**Proposition 3.33.** Let  $X \in USS(X)$  with  $\ell(X) > 1$ , and suppose that X has a rigid power. If  $X^t \in USS(X^t)$  for  $t = 1, ..., ||\Delta||$ , then  $\mathcal{R}(X^m) > 0$  for some positive  $m < ||\Delta||$ .

*Proof.* We know by Corollary 3.31 that  $\iota(X^a) = \iota(X^b)$  for some  $1 \le a < b \le ||\Delta||$ , and by Proposition 3.32 that the sequence  $\iota(X^a), \iota(X^{a+1}), \iota(X^{a+2}), \ldots$  is periodic of period d = b - a.

Since the interval [a, b] has length d, there exists a unique m,  $a \leq m < b$ , which is a multiple of d. Then  $\iota(X^m) = \iota(X^{m+m}) = \iota((X^m)^2)$ . Hence, by Lemma 3.4, we will have  $\mathcal{R}(X^m) > 0$  if we show that  $\inf(X^{2m}) = 2\inf(X^m)$ .

Suppose that  $X^m = \Delta^p y_1 \cdots y_r$ . Since  $m < b \le ||\Delta||$  one has  $X^m \in USS(X^m)$ , so  $\Delta \not\preceq y_2 \cdots y_r \tau^{-p}(y_1)$ , which implies  $\Delta \not\preceq y_2 \cdots y_r \tau^{-p}(y_1 \cdots y_r)$  since  $\tau^{-p}(y_1)$  is the biggest simple prefix of  $\tau^{-p}(y_1 \cdots y_r)$ . Then

$$y_1 \Delta \not\preceq y_1 y_2 \cdots y_r \tau^{-p} (y_1 \cdots y_r).$$

But if  $\inf(X^{2m}) = 2p + 1$  then, since  $X^{2m} = (X^m)^2 = \Delta^p y_1 \cdots y_r \Delta^p y_1 \cdots y_r$ , we would have  $\Delta \leq \tau^p(y_1 \cdots y_r) y_1 \cdots y_r$ , and since  $\iota(X^{2m}) = \iota(X^m) = \tau^{-p}(y_1)$ , it would follow that  $\Delta \tau^{p+1}(y_1) \leq \tau^p(y_1 \cdots y_r) y_1 \cdots y_r$ . Applying  $\tau^{-p}$  to this inequality, we would obtain  $\Delta \tau(y_1) = y_1 \Delta \leq y_1 \cdots y_r \tau^{-p}(y_1 \cdots y_r)$ , a contradiction. Therefore  $\inf(X^{2m}) = 2p = \inf(X^m)$ , and since  $\iota(X^{2m}) = \iota(X^m)$  it follows from Lemma 3.4 that  $\mathcal{R}(X^m) > 0$ .

**Theorem 3.34.** Let  $X \in USS(X)$  with  $\ell(X) > 1$ , and suppose that X has a rigid power. If  $X^t \in USS(X^t)$  for every t such that  $-||\Delta|| \le t \le ||\Delta||$ , then there is some  $m < ||\Delta||^2$  such that  $X^m$  is rigid.

*Proof.* By Proposition 3.33,  $\mathcal{R}(X^p) > 0$  for some  $0 . Applying Proposition 3.33 to <math>X^{-1}$ , one obtains that  $\mathcal{R}(X^{-q}) > 0$  for some  $0 < q < ||\Delta||$ .

Let  $m = \operatorname{lcm}(p,q) \leq pq < ||\Delta||^2$ . Since  $X^m$  is a power of  $X^p$ , it follows from Corollary 3.11 that  $X^m$  it belongs to a closed orbit under cycling, hence it has maximal infimum in its conjugacy class [18]. In the same way, since  $X^{-m}$  is a power of  $X^{-q}$ , it follows from Corollary 3.11 that  $X^{-m}$  belongs to a closed orbit under cycling, thus  $X^m$  belongs to a closed orbit under decycling, and hence it has minimal supremum in its conjugacy class [18]. Therefore  $X^m \in SSS(X^m)$ , and since it belongs to a closed orbit under cycling,  $X^m \in USS(X^m)$ .

Moreover, by Proposition 3.9,  $\mathcal{R}(X^m) > 0$  and  $\mathcal{R}(X^{-m}) > 0$ , since they are powers of  $X^p$  and  $X^{-q}$ . This implies by Proposition 3.26 that  $\iota(X^m) = I(X^m)$  and  $\varphi(X^m) = F(X^m)$ . Since  $F(X^m)I(X^m)$  is left weighted by Proposition 2.20, it follows that  $\varphi(X^m)\iota(X^m)$  is left weighted as written, hence  $X^m$  is rigid, as we wanted to show.

**Remark:** The proof of the above result is based on the fact that if an element X is such that  $\mathcal{R}(X) > 0$  and  $\mathcal{R}(X^{-1}) > 0$ , and if X has a rigid power, then X is already rigid. The hypothesis of X having a rigid power is necessary, since we could have X and  $X^{-1}$  with some rigidity without X being rigid, even if  $X \in SU(X)$ . For instance, if we consider the reducible braid  $X = \sigma_1 \sigma_3 \cdot \sigma_3 \in B_4$ , we have  $\mathcal{R}(X) = \mathcal{R}(X^{-1}) = 1/2$ , but neither X nor any power of X is rigid, since  $X^m = (\sigma_1 \sigma_3)^m \cdot \sigma_3^m$ . In this case  $X \in SU(X)$  and  $\mathcal{R}(X^m) = 1/2$  for every  $m \neq 0$ .

We can also find a bound for the smallest rigid power in the case  $\ell(X) = 1$ , thanks to the following result.

**Lemma 3.35.** Let  $X \in G$  with  $\ell(X) = 1$ . If  $X^t \in USS(X^t)$  for  $t = 1, ..., ||\Delta||$ , then  $\ell(X^m) \neq 1$  for some  $m < ||\Delta||$ .

Proof. Suppose that  $\ell(X^t) = 1$  for  $t = 1, \ldots, ||\Delta||$ . We will show that the set  $\{\iota(X), \iota(X^2), \ldots, \iota(X^{||\Delta||})\}$  is totally ordered by showing that any two elements in that set are comparable. Indeed, given  $s, t \in \{1, \ldots, ||\Delta||\}$  with s < t, we have  $\ell(X^{t-s}) = 1$ , hence  $\iota(X^t) = \iota(X^s X^{t-s}) = \iota(X^s \iota(X^{t-s}))$ . Since  $\iota(X^{t-s})$  is simple, Lemma 3.28 implies that either  $\iota(X^t) \preceq \iota(X^s)$  or  $\iota(X^s) \preceq \iota(X^t)$ . Therefore,  $\{\iota(X), \iota(X^2), \ldots, \iota(X^{||\Delta||})\}$  is a totally ordered set of proper simple elements, thus  $\iota(X^a) = \iota(X^b)$  for some  $1 \le a < b \le ||\Delta||$ . But since  $\ell(X^a) = \ell(X^b) = 1$ , this means that  $X^{b-a}$  is a power of  $\Delta$ , a contradiction. Therefore,  $\ell(X^m) \ne 1$  for some  $m \le ||\Delta||$ .

We can finally remove the hypothesis  $\ell(X) > 1$  in order to bound the rigid power of an element.

**Theorem 3.36.** Let  $X \in USS(X)$ , and suppose that X has a rigid power. If  $X^t \in USS(X^t)$  for every t such that  $-||\Delta||^2 \le t \le ||\Delta||^2$ , then there is some  $m < ||\Delta||^3$  such that  $X^m$  is rigid.

Proof. If  $\ell(X) > 1$  the result follows from Theorem 3.34. If  $\ell(X) = 1$ , Lemma 3.35 implies that  $\ell(X^r) \neq 1$  for some  $r \leq ||\Delta||$ . We cannot have  $\ell(X^r) = 0$ , otherwise X would be periodic and would not have a rigid power. Hence  $\ell(X^r) > 1$ , and  $(X^r)^t \in USS((X^r)^t)$  for  $-||\Delta|| \leq t \leq ||\Delta||$ . The hypothesis of Theorem 3.34 are then satisfied by  $X^r$ , hence  $(X^r)^s$  is rigid for some  $s < ||\Delta||^2$ . Therefore  $X^{rs}$  is rigid with  $rs < ||\Delta||^3$ .

In the case of braid groups, the above result implies the following.

**Theorem 3.37.** If  $X \in B_n$  is a pseudo-Anosov braid, then  $USS(X^m)$  consists of rigid braids, for  $m < ||\Delta||^3$ . Moreover, if the canonical length of the elements in USS(X) is greater than 1, then  $m < ||\Delta||^2$ .

*Proof.* By Corollary 3.24, some conjugate Y of X has a rigid power. Moreover, one can choose  $Y \in SU(X)$ , hence by Theorem 3.36  $Y^m$  is rigid for  $m < ||\Delta||^3$  (and  $m < ||\Delta||^2$  if  $\ell(Y) > 1$ ). In the proof of Theorem 3.36 we see that we can assume  $\ell(Y^m) > 1$ , hence it follows from Theorem 3.15 that  $USS(Y^m)$  consists of rigid elements.

To summarize the consequences for pseudo-Anosov braids, we can solve the CDP/CSP problem for two pseudo-Anosov elements  $X, Y \in B_n$  using rigid braids. We just need to compute an element in  $USS(X^t)$  for each t = 1, 2, ... until we find one of them, say  $\widetilde{X}^m \in USS(X^m)$  which is rigid and has canonical length greater than one. By the above result,  $m < ||\Delta||^3$ . Then all elements in  $USS(X^m)$  will be rigid, so the computation of  $USS(X^m)$  is easier than in the general case, as will be seen in [9], and we will possibly be able to bound the size of  $USS(X^m)$ . Moreover, since pseudo-Anosov braids have unique roots, if one solves the CDP/CSP for  $X^m$  and  $Y^m$ , finding some conjugating element Z, then Z is also a conjugating element for X and Y, so this solves the CDP/CSP for X and Y.

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