1	Title: Portraying persons who inject drugs recently infected with hepatitis C accessing
2	antiviral treatment: a cluster analysis.
3	Authors:
4	Jean-Marie Bamvita ^{1,2} , Elise Roy ³ , Geng Zang ¹ , Didier Jutras-Aswad ^{1,2} , Adelina Artenie ^{1,4} ,
5	Annie Levesque ^{1,4} , Julie. Bruneau ^{1,2}
6	¹ CRCHUM (Centre de Recherche du Centre Hospitalier de l'Université de Montréal); Tour
7	Saint-Antoine, 850, rue St-Denis, Montréal (Québec), H2X 0A9
8	² Département de médecine familiale, Université de Montréal; Faculté de médecine, Université
9	de Montréal, Pavillon Roger-Gaudry, Bureau S-711, 2900, boul. Édouard-Montpetit, Montréal
10	(Québec) H3T 1J4
11	³ Sherbrooke University; Faculté de médecine et des sciences de la santé, Université de
12	Sherbrooke, Campus Longueuil, 1111, rue St-Charles Ouest, bureau 500, Longueuil (QC) J4K
13	5G4
14	⁴ Family Medicine Department, McGill University; 5858, Chemin de la Côte des Neiges, 3e
15	étage, Montréal (QC) H3S 1Z1
16	Email addresses:
17	Jean-Marie Bamvita: <u>wansuanganbamvita@sympatico.ca</u> ; Elise Roy:
18	Elise.Roy@usherbrooke.ca; Geng Zang: geng.zang@umontreal.ca; Didier Jutras-Aswad :
19	didierjaswad@gmail.com; Adelina Artenie : adelina.artenie@mail.mcgill.ca; Annie Lévesque:
20	annie.levesque.9@umontreal.ca; Julie Bruneau : julie.bruneau@umontreal.ca
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24 ABSTRACT

25 OBJECTIVES:

To empirically determine a categorization of people who inject drug (PWIDs) recently infected with hepatitis C virus (HCV), in order to identify profiles most likely associated with early HCV treatment uptake.

29 METHODS:

The study population was composed of HIV-negative PWIDs with a documented recent HCV infection. Eligibility criteria included being 18 years old or over, and having injected drugs in the previous 6 months preceding the estimated date of HCV exposure. Participant classification was carried out using a TwoStep cluster analysis.

34 RESULTS:

From September 2007 to December 2011, 76 participants were included in the study. 60 participants were eligible for HCV treatment. Twenty-one participants initiated HCV treatment. The cluster analysis yielded 4 classes: Class 1: *Lukewarm health seekers dismissing HCV treatment offer*; Class 2: *Multi-substance users willing to shake off the hell*; Class 3: *PWIDs unlinked to health service use*; Class 4: *Health seeker PWIDs willing to reverse the fate*.

40 CONCLUSION:

Profiles generated by our analysis suggest that prior health care utilization, a key element
for treatment uptake, differ between older and younger PWIDs. Such profiles could inform the
development of targeted strategies to improve health outcomes and reduce HCV infection among
PWIDs.

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46 Key words: Hepatitis C, intravenous drug abuse, treatment

47 INTRODUCTION

The prevalence of HCV infection is estimated at 130-170 million people worldwide, currently driven by the growing number of infections among people who inject drugs (PWID).[1] Not treated, the majority (75-85%) evolve to chronic infection; and some (20%) develop intractable and lethal diseases (cirrhosis, liver failure, hepatoma).[2]

Before the advent of well-tolerated, orally administered HCV treatment regimens, 52 traditional interferon-based antiviral treatment induced significant side effects that were deterring 53 some patients from completing the treatment course. For patients who achieved sustained viral 54 response equivalent to a cure, HCV treatment was shown to bring additional benefits, such as 55 reduction of risky drug-consumption behaviours,[3] and improvement of quality of life.[4] It is 56 likely that within the next three to five years, well-tolerated, orally administered Interferon-free 57 regimens will be available, thus improving the feasibility of treating difficult populations.[5] A 58 recent modeling study by Martin and colleagues suggested that significant decreases in HCV 59 prevalence can be accomplished by increasing simultaneously needle exchange program and 60 opiate substitution therapy coverage on the one hand, and HCV treatment coverage on the other 61 hand.[6] In large observational community-based drug users' cohorts, however, the HCV 62 treatment uptake was estimated at < 8%, or less than 1% annually.[7] Further, despite increasing 63 efforts to attract vulnerable population in treatment, the number of PWIDs treated annually still 64 stagnates.[8] 65

Barriers to HCV treatment were found to be multi-factorial and included factors impeding optimal access at the level of the patient, system and practitioner.[7] Attempts to frame the influence of multidimensional factors and conditions facilitating or impeding health care access and outcomes can be guided by the Behavioral Model of Health Services Utilization, a

conceptual framework developed by Andersen and colleagues.[9] Reasons cited by PWIDs with 70 HCV for not seeking treatment include poor education about their condition and its treatment, an 71 absence of noticeable symptoms, fear of adverse effects of treatment, and other ongoing medical 72 comorbidities and social issues.[10] Beyond individual barriers, factors affecting treatment 73 uptake include financial coverage, housing stability and assessment by the physician of the risks 74 and benefits of immediate versus delayed treatment for HCV-chronically infected individuals.[7] 75 From a service development perspective, it is important to identify profiles of individuals 76 according to treatment uptake. Such profiles could help inform novel interventions to increase 77 treatment uptake in subgroups with specific characteristics. PWIDs recently infected by HCV 78 who are systematically offered treatment under universal financial coverage represent a unique 79 group to study in order to assess how individual profiles, as opposed to specific risk factors, 80 affect treatment uptake. Cluster analysis have been used in intervention research to unmask 81 unknown heterogeneity between concurrent groups by focusing more on inherent differences 82 between cases than on individual variables.[11] 83

The objective of this study was to empirically identify profiles associated with early HCV treatment uptake among recently HCV infected PWIDs who were systematically offered HCV treatment and were covered by universal health insurance.

87 METHODS

88 A. STUDY POPULATION:

The study population was composed of PWIDs recently infected with HCV, enrolled in 89 IMPACT, a study aimed at examining the effect of acute HCV infection and antiviral treatment 90 on the behaviors and quality of life of PWIDs who have access to specific targeted health 91 services. Eligibility criteria included being 18 years old or over, having injected drugs in the 92 previous 6 months or in the 3 month-period preceding the estimated date of HCV infection, and 93 living in the Greater Montreal area. Documented acute HCV infection was defined as either: 1) a 94 HCV antibody negative test, followed by either an HCV antibody or RNA positive test within 6 95 months of the HCV antibody negative test period; or 2) acute symptomatic infection with 96 evidence of hepatitis illness (i.e. jaundice or alanine aminotransferase (ALT) elevation over 400 97 U/L). Participants were recruited from two main sources: i) the St. Luc Cohort, a prospective 98 cohort study with semi-annual visits designed to examine individual and contextual factors 99 associated with HCV and HIV infections among current IDUs (i.e.: drug injection in the six 100 months prior to recruitment);[12]; ii) community and hospital-based collaborating clinics, 101 including the addiction medicine clinic at the Centre Hospitalier de l'Université de Montréal 102 (CHUM). 103

Eligible individuals were invited to participate in the study and were systematically referred to the CHUM addiction medicine clinic for clinical assessment. PWIDs recently infected with HCV, who did not resolve spontaneously after 20 weeks of estimated infection, were offered HCV treatment regardless of their drug use or social conditions.

108 The research protocol has been approved by the Institutional Research Ethical Board of 109 the CHUM, and includes an authorization to access participants' clinical data, when available. A 110 \$30 stipend for travel costs was offered for each completed research visit.

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B.

VARIABLES AND MEASUREMENT INSTRUMENTS:

The variable of interest was "treatment initiation", defined as receiving a first dose of 112 Pegylated interferon. Information was retrieved from the clinical chart, and validated with the 113 clinical nurse. Two measurement instruments were used to characterize participants. The SF-36 114 questionnaire was used to assess health related quality of life (QualityMetric Health Outcomes[™] 115 Scoring Software 4.0). This questionnaire has been extensively used and validated in various 116 patient settings as well as in the general population.[13] Using factor analysis, items of this 117 questionnaire are conceptually reduced to two main dimensions: physical and mental component 118 119 of quality of life, which were used for analysis in this study. A short interviewer-administered questionnaire, derived from the St. Luc Cohort questionnaire,[14] was used to collect socio-120 demographic characteristics, information on injection drug use practices, health related factors 121 and service utilization. Drug use consumption was documented for the prior 6 months. 122

Given the focus on healthcare utilization, the sample has been described according to the Andersen model, with variables categorized as predisposing, enabling and need factors.[9] Predisposing factors comprise individual variables associated with service utilization. Enabling factors include contextual, systemic or structural variables associated with service utilization. Need factors relate to diseases or risky behaviors that could impact on health and wellbeing. Variables considered in our model were further chosen with respect to the current body of knowledge on HCV treatment access for drug users.

130 C. ANALYSES:

Frequency distribution for categorical variables and mean values along with standard
 deviations for continuous variables were used for descriptive analyses. Bivariate analyses using

Pearson Chi-square statistics for categorical variables and independent sample *t*-test for continuous variables were conducted to compare PWID characteristics according to HCV treatment initiation. Statistically significant differences were assessed at P <0.05; P-values were two-sided.

Participant profile was carried out by means of a TwoStep cluster analysis using SPSS 137 Statistics 20.0 package.[15, 16] Variables were introduced in the cluster analysis in an orderly 138 manner, categorical variables first, and then continuous variables. The first categorical variable 139 entered was "Having initiated HCV treatment". Age categories and housing categories were 140 multi-categorical variables. The SF-36 physical and mental component scores were entered as 141 continuous scores in the model. The Log-likelihood method was used to determine inter-subject 142 distance. The first iteration yielded a two-class cluster model based on Schwarz Bayesian criteria 143 and Log-likelihood method, reflecting the overall contribution of participants to the inter-class 144 homogeneity. This cluster analysis was discarded because classes were not contrasted enough for 145 interpretation.[17] Finally the number of classes was set at 4 and produced an acceptable model. 146 The quality of the model was estimated as satisfactory by the class cohesion and separation test. 147

148 **RESULTS**

From September 2007 to December 2011, 76 participants infected with HCV within the previous six months were recruited in Montreal, Canada. Sixteen (21%) cleared their infection spontaneously and were not included in this investigation. Table 1 presents descriptive characteristics of the 60 participants included in analyses, along with comparison analyses between those who have initiated HCV treatment and those who have not. Overall, 21 participants (35%) had initiated HCV treatment.

The four-class cluster analysis is displayed on Table 2. Classes were labelled according to the most prominent characteristics within classes. The four classes can be described as follow.

Class 1: *Lukewarm health seekers dismissing HCV treatment offer*: Younger participants (79% under 30 y.o.), mostly females (86%), poorly educated (93% without a college degree), living predominantly in stable housing (64%). Compared to other classes, they rank fourth as to cocaine injection (64%), and second as to heroin injection. They have the lowest score on both physical and mental components of Quality of life. They represent one of the two highest proportions of participants followed-up by a family physician (35%), and the third lowest proportion of HCV treatment uptake (14%).

164 **Class 2**: *Multi-substance users willing to shake off the hell*: mostly younger participants (87% 165 under 30 y.o), exclusively males, poorly educated, living mostly in stable housing. All members 166 (100%) of this class use IV cocaine and IV heroin. They rank first as regard alcohol 167 consumption, and have the highest proportion of methadone program involvement. 53% have 168 initiated a HCV treatment, ranking second of the 4 classes.

Class 3: *PWIDs unlinked to health service use*: Middle-age participants (64% between 30 and
40 y.o.), exclusively males, with the highest proportion of homelessness of all classes, injecting

mostly cocaine. They also report the lowest involvement in health service use. No one in thatclass has initiated a HCV treatment.

Class 4: *Health seeker PWIDs willing to reverse the fate*: The oldest group (all over 30 y. o.), mostly males, poorly educated, living predominantly (90%) in unstable housing conditions, and using IV cocaine use. Participants in this class have the highest score on the physical component of Quality of life, the highest proportion of heath service use and the highest proportion of HCV treatment initiation. 178 **DISCUSSION**

PWIDs face many challenges and experience competing needs when it comes to taking 179 care of their health. Overall, 35% of eligible PWIDs initiated treatment. The proportion of 180 participants treated in our study soon after diagnosis is greater that in most studies among HCV 181 infected active PWIDs.[18] This may indicate that delaying treatment, either for recently or 182 chronically infected individuals, might not be the best option to increase uptake. Findings from a 183 recent clinical trial conducted in Canada support this assumption: a higher overall sustained viral 184 response (65% vs. 39%) was found among PWIDs allocated to immediate versus delayed 185 treatment onset.[19] 186

This study was undertaken to draw profiles associated with HCV treatment uptake after 187 recent infection, in a setting where treatment was systematically offered under universal health 188 insurance coverage. Overall, results suggest that educated male and female PWIDs, and those 189 who had links with various health care services, as shown by prior hepatitis B vaccination, 190 Opiate Substitution Treatment (OST) participation and visit to a health care professional, were 191 more likely to initiate HCV treatment after recent infection, regardless of drug consumption. As 192 in McGowan study, [20] participants in class 2 and 4, who initiated treatment, were also 193 characterized by lower self-rated mental health quality of life. According to Anderson's model, 194 prior healthcare service utilization may enable further health service use.[9] Participants in class 195 2 and 4, which together comprise 90% of all participants treated, had higher proportions of 196 methadone program participation, hepatitis B vaccination and follow-up by family physician. In 197 a study conducted in Australia by Digiusto and colleagues, [21] participants who had consulted a 198 general practitioner for medication were more likely to have initiated HCV treatment. 199 200 Participation to a methadone maintenance treatment has been associated with a higher

willingness to be treated,[22] to increased treatment uptake [23] and to better outcomes.[24] In a
recent study among drug users followed in methadone and community clinics with enhanced
HCV treatment access, methadone was not associated with uptake.[25]

A salient characteristic of this cluster analysis was the identification of distinct profiles according to treatment uptake, for which standard comparisons were not quite informative. For instance, age was not statistically associated with treatment uptake in bivariate analysis. However, the age distribution in clusters suggests that uptake profiles differ between older and younger drug users. Class 1 and 2 comprised 24 of the 28 individuals under 30. In contrast, class 3 and 4 included all but five individuals over 30.

Hence, when contrasting "younger" (class 1 and 2) and "older" (class 3 and 4) PWID 210 profiles, results from the cluster analysis suggest that the effect of health care utilization, an 211 important element for treatment uptake, differed between older and younger groups. Younger 212 individuals who initiated treatment reported being in methadone substitution treatment in higher 213 proportions. Vaccination and family physician attendance was reported by a substantial 214 proportion of older individuals initiating treatment, and by none of those who did not. In 215 addition, class profiles showed that housing status, namely living in a prison, a shelter or in a 216 therapy setting, was related to treatment uptake among older PWIDs, but not so among younger 217 drug users. 218

The seemingly positive impact of living in an institutional facility, either prison, therapy or shelter, on treatment uptake among older participants in our study may indicate enhanced linkages with healthcare services through service providers, relative to other individuals in this cohort.[26] Conversely, class 3 profile includes a majority of homeless individuals, no one having initiated HCV treatment. According to Andersen's theory, when healthcare access is

determined by enabling factors, such as their housing situation among older participants,systemic inequity is an issue.[9]

Active use of illicit drugs is a treatment barrier documented in many studies. Active illicit 226 drug use was associated with reluctance to initiate HCV treatment by the patient, [27] as well as 227 by the physician. [28] Alcohol abuse was also found associated with not initiating treatment. [29] 228 In our setting, however, the proportion of participants reporting drug and alcohol use was slightly 229 higher among initiates relative to participants who were not treated, consistent across all classes. 230 Active substance use was not a motive to deny treatment in this study. This finding suggests that 231 232 active drug use may not be an important factor in the decision to get treated in the absence of systemic and practitioner-level barriers. It is also possible that ongoing drug use was linked to 233 more contact with health services, probably due to multiple health related consequences of drug 234 use overtime. 235

Results of this study are subject to numerous limitations. First, we acknowledge that our 236 sample may not be representative of drug users in other settings. If there has been some observed 237 shifts in its use, cocaine is still the most prevalent injection drug used in Eastern Canada.[30] 238 Moreover, cocaine use worldwide has remained stable, with indications of increases in Oceania, 239 Asia, Africa and some countries in South America.[31] Despite close clinical follow-up of 240 participants through laboratory analyses, our results could be biased by the self-reported 241 behavioral data related to alcohol and drug use. In general, self-reported data from PWIDs tend 242 to be accurate.[32] This study could also be subject to interviewer bias, which has been 243 mitigated, if not prevented, by regular retraining of interviewers to uphold the integrity of data 244 collection procedures and avoid imposition of systematic bias. A sample of 60 participants is 245 246 obviously low. Nonetheless, the quality of the model was estimated to be satisfactory.

247 CONCLUSION

This study underscores the importance of reaching beyond the individual-level factors in 248 characterizing vulnerable populations in relation to HCV treatment uptake. Looking at profiles 249 instead of individual variables can help tackle health related behaviors of PWIDs recently 250 infected with HCV. This natural experiment represents a novel approach to understanding how 251 specific patient characteristics can be used to develop targeted strategies to improve health 252 outcomes and reduce HCV infection. For example, systemic barriers should be recognized early 253 among those eligible for HCV treatment - such as difficulty to access decent accommodation or 254 job – and tackled strategically by linking patients with case manager and social worker services. 255

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				(12 00)					
		Frequency distribution						Comparison tests	
		Total	sample	Treatment		Treatment		P value*	
		(N = 60)		not initiated n = 39 (65%)		initiated n = 21 (35%)			
		n	%	n	%	n	%		
Age	<30 y.o.	28	46,7	21	53,8	7	33,3		
categories	30-39 y.o.	15	25,0	9	23,1	6	28,6	0.311	
	>40 y.o.	17	28,3	9	23,1	8	38,1	0.133	
Gender	Female	15	25,0	11	28,2	4	19,0	0.437	
	Male	45	75,0	28	71,8	17	81,0		
Education	Secondary or less	44	73,3	30	76,9	14	66,7	0.397	
	College or above	16	26,7	9	23,1	7	33,3		
Housing	Stable housing	25	41,7	18	46,2	7	33,3		
	(home, apartment,								
	room)								
	Temporary housing	22	36,7	12	30,8	10	47,6	0.217	
	(therapy, prison,								
	shelter)								
	Homeless	13	21,7	9	23,1	4	19,0	0.858	
Alcohol co	36	60,0	23	59,0	13	61,9	0.825		

Table 1. Characteristics of participants and comparative analyses according to treatment initiation

IV drugs IV Heroine	29	48,3	19	48,7	10	47,6	0.935
consumed IV Cocaine	53	88,3	34	87,2	19	90,5	0.705
Vaccines Hepatitis B Vaccine	17	28,3	7	17,9	10	47,6	0.015
received							
Quality of PCS Mean (SD)	46,4	10,2	45,6	9,8	47,9	10,9	0.389
life scores MCS Mean (SD)	33,9	13,9	34,0	14,2	33,9	13,8	0.985
Methadone	20	33,3	10	25,6	10	47,6	0.085
Having been followed-up in the	11	18,3	6	15,4	5	23,8	0.424
6 prior months by a family							
physician							

*Pearson Khi square.

		Table 2. Participants	typology (clu	ster analysis;	N = 00)		
			Class 1	Class 2	Class 3	Class 4	Combined
			n = 14;	n = 15;	n = 11;	n = 20;	N = 60;
			(23.3%)	(25.0%)	(18.3%)	(33.3%)	(100.0%)
Predisposing	Age	<30 y.o.	11 (78.6)	13 (86.7)	4 (36.4)	0 (0.0)	28 (46.7)
factors	categories	30-39 y.o.	3 (21.4)	2 (13.3)	7 (63.6)	3 (15.0)	15 (25.0)
	n (%)	40 y.o. and over	0 (0.0)	0 (0.0)	0 (0.0)	17 (85.0)	17 (28.3)
	Gender	Females	12 (85.7)	0 (0.0)	0 (0.0)	3 (15.0)	15 (25.0)
	n (%)	Males	2 (14.3)	15 (100.0)	11 (100.0)	17 (85.0)	45 (75.0)
	Education	Elementary/secondary	13 (92.9)	12 (80.0)	6 (54.5)	13 (65.0)	44 (73.3)
	n (%)	College or over	1 (7.1)	3 (20.0)	5 (45.5)	7 (35.0)	16 (26.7)
Enabling	Housing	Stable housing (home,	9 (64.3)	9 (60.0)	5 (45.5)	2 (10.0)	25 (41.7)
factor	n (%)	apartment, room)					
		Temporary housing	4 (28.6)	2 (13.3)	0 (0.0)	16 (80.0)	22 (36.7)
		(therapy, prison,					
		shelter)					

		Homeless	1 (7.1)	4 (26.7)	6 (54.5)	2 (10.0)	13 (21.7)
Need factors	IV Cocaine cons	sumption n (%)	9 (64.3)	15 (100.0)	11 (100.0)	18 (90.0)	53 (88.3)
	IV heroine const	umption n (%)	9 (64.3)	15 (100.0)	2 (18.2)	3 (15.0)	29 (48.3)
	Alcohol consumption n (%)Quality of LifePCS Mean (SD)		8 (57.1)	13 (86.7)	4 (36.4)	11 (55.0)	36 (60.0)
			45.7 (6.9)	46.4 (9.4)	46.7 (9.1)	46.8 (13.4)	46.4 (10.2)
	(SF-36)						
	(Mean (SD)	MCS Mean (SD)	25.3 (12.1)	37.0 (14.8)	37.5 (8.1)	35.7 (15.3)	33.9 (13.9)
Health	Methadone prog	ram n (%)	5 (35.7)	8 (53.3)	3 (27.3)	4 (20.0)	20 (33.3)
service							
utilization							
	Hepatitis B Vac	cine n (%)	4 (28.6)	3 (20.0)	0 (0.0)	10 (50.0)	17 (28.3)
	Followed-up by a family physician n		5 (35.7)	1 (6.7)	0 (0.0)	5 (25.0)	11 (18.3)
	(%) Having initiated treatment						
			2 (14.3)	8 (53.3)	0 (0.0)	11 (55.0)	21 (35.0)
	n (%)						