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¹ Electrophoretic Deposition of Gentamicin-Loaded Bioactive Glass/ ² Chitosan Composite Coatings for Orthopaedic Implants

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13 **Supporting Information**

14 ABSTRACT: Despite their widespread application, metallic 15 orthopaedic prosthesis failure still occurs because of lack of 16 adequate bone-bonding and the incidence of post-surgery

17 infections. The goal of this research was to develop 18 multifunctional composite chitosan/Bioglass coatings loaded

19 with gentamicin antibiotic as a suitable strategy to improve the

20 surface properties of metallic implants. Electrophoretic

21 deposition (EPD) was applied as a single-step technology to 22 simultaneously deposit the biopolymer, bioactive glass

particles, and the antibiotic on stainless steel substrate. The



24 microstructure and composition of the coatings were characterized using SEM/EDX, XRD, FTIR, and TGA/DSC, respectively.
25 The in vitro bioactivity of the coatings was demonstrated by formation of hydroxyapatite after immersion in simulated body fluid

26 (SBF) in a short period of 2 days. High-performance liquid chromatography (HPLC) measurements indicated the release of 40%

of the loaded gentamicin in phosphate buffered saline (PBS) within the first 5 days. The developed composite coating supported

attachment and proliferation of MG-63 cells up to 10 days. Moreover, disc diffusion test showed improved bactericidal effect of

29 gentamicin-loaded composite coatings against S. aureus compared to control non-gentamicin-loaded coatings.

30 KEYWORDS: coatings, bioactive glass, chitosan, gentamicin, electrophoretic deposition, drug delivery

1. INTRODUCTION

³¹ Development of bioactive coatings on metallic orthopaedic ³² implants is an extensive and active research field that is fuelled ³³ by the desire for long-term treatment of critical-sized bone ³⁴ defects.¹ The quest for developing the most suitable bioactive ³⁵ implant coating has been addressed from different perspectives: ³⁶ the composition of the bioactive material,² the structure of the ³⁷ coating in terms of being monolithic or composite,³ the surface ³⁸ topography features,⁴ and the fabrication techniques used to ³⁹ prepare the desirable coating.⁵

40 Among the different bioactive inorganic materials being 41 investigated, silicate bioactive glasses have proved to be a 42 promising group of highly reactive materials as they have been 43 reported to stimulate bone regeneration to a larger extent in 44 comparison to other bioactive ceramics.⁶ Furthermore, 45 combining the bioactive glass structure with a suitable 46 biopolymer has been shown to have advantages such as 47 transforming the brittle glass coating structure into a compliant and soft composite structure,^{7,8} eliminating high temperatures ⁴⁸ required for densification of glass coatings and providing a ⁴⁹ platform for incorporation and release of biomolecules and ⁵⁰ drugs which often require room temperature processing.^{7,9,10} A ⁵¹ well-known biopolymer suitable for biomedical coatings is ⁵² chitosan, which is a natural polysaccharide consisting of β -(1 \rightarrow ⁵³ 4)-glucosamine and *N*-acetyl-_D-glucosamine.¹¹ Chitosan is ⁵⁴ obtained by *N*-deacetylation of chitin. Notable features of this ⁵⁵ biopolymer are susceptibility to enzymatic degradation, ⁵⁶ accelerated angiogenesis, little fibrous encapsulation, ability to ⁵⁷ link to and deliver growth factors, and improved cellular ⁵⁸ adhesion.^{11,12}

Despite versatility of methods and compositions, one crucial 60 aspect that needs to be properly addressed when designing 61

Received: March 18, 2014 Accepted: May 14, 2014 ⁶² orthopaedic coatings, is the ability of the coating material to ⁶³ prevent microbial infections at the implantation site. More ⁶⁴ importantly, formation of bacterial biofilms should be inhibited ⁶⁵ as these are considerably resistant to the immune system and to ⁶⁶ antibiotics.¹³ Because of impaired blood circulation at the bone ⁶⁷ injury site and low local concentration of drug, systemic drug ⁶⁸ administration may not be sufficiently effective against bacterial ⁶⁹ biofilms.¹⁴ Local delivery of drugs via implant coating can be an ⁷⁰ effective approach to treat infections with high local ⁷¹ concentrations of drug, with long-term controlled release and ⁷² without the risk of systemic toxicity or formation of bacterial ⁷³ biofilms.¹⁵ A broad range of organic and inorganic coating ⁷⁴ systems with therapeutic capability for orthopaedic applications ⁷⁵ is being investigated.^{14,16}

Gentamicin sulfate is a broad-spectrum aminoglicosidic 77 antibiotic which is effective against many strains of Gram-78 negative (e.g., *E. Coli*) and some strains of Gram-positive (*e.g.*, 79 *S. aureus*) bacteria. The molecule of gentamicin can have several 80 components depending on its functional groups and the drug 81 contains different percentages of these components. The most 82 common formula is presented in Figure 1.¹⁷

f1



Figure 1. Molecular structure of gentamicin and its different components, C_1 : $R_1 = R_3 = CH_3$, $R_2 = H$; C_{1a} : $R_1 = R_2 = R_3 = H$; C_2 : $R_1 = R_2 = H$, $R_3 = CH_3$; C_{2a} : $R_1 = R_3 = H$, $R_2 = CH_3$.

Because of its broad-spectrum action, gentamicin is 83 84 employed clinically for the treatment of osteomyelitis.¹⁸ As a 85 result, various gentamicin-releasing coating systems have been 86 investigated. For example, stainless steel fracture plates dip-87 coated with PLGA films containing 20 wt % gentamicin have 88 been successfully applied against *S. aureus*.¹⁹ It has also been 89 observed that biodegradable (PEM)/gentamicin polyelectrolyte 90 multilayer coatings, developed by layer-by-layer (LBL) ⁹¹ deposition, displayed synergistic effect for the treatment of ⁹² osteomyelitis infection in vivo.²⁰ As an inorganic delivery 93 system, vancomycin, gentamicin, tobramycin, amoxicillin, 94 cefamandol, cephalothin, and carbenicillin have been bio-95 mimeticaly incorporated in carbonated HAp coatings.²¹ It has 96 been demonstrated that antibiotics with carboxyl groups such 97 as cefamandol, cephalothin and carbenicillin are more prone to 98 bind/chelate with calcium in HAp and therefore have a slower 99 release rate. Gentamicin release from sol-gel HAp spin-coated 100 on Ti alloy has been modelled by three nonlinear mathematical method.²² The results were indicative of a short initial burst 101 102 release followed by the diffusion of gentamicin. In another study, Zhou and co-workers²³ have demonstrated that the 103 release of gentamicin from electrochemically deposited CS/ 104 CaP coating is controlled by its component ratio and surface 105 106 topography. Moreover, gentamicin released from electrospun poly(vinyl alcohol)/polyurethane multilayer structures has 107 108 showed bactericidal effect against both S. aureus and P. 109 aeruginosa strains.²⁴

Electrophoretic deposition (EPD) is a low-cost technique increasingly used to fabricate uniform coatings for

biomedical applications.³ By utilising EPD, coatings with 112 controlled properties can be produced at room temperature 113 and on complex-shaped and porous structures. In EPD, surface- 114 charged particles or polymer molecules in suspension move 115 toward an oppositely charged electrode (i.e., the substrate) due 116 to an applied electrical field and form a coating.²⁵ Co- 117 deposition of polymers and ceramics is one of the most 118 interesting features of EPD applied to the development of 119 biomaterials.^{3,26} Recently, EPD of chitosan/vancomycin anti- 120 biotic²⁷ and chitosan/nanobioactive glass/ampicilin antibiotic²⁸ 121 as drug releasing coatings have been investigated. In another 122 study Patel et al.²⁹ have demonstrated EPD of chitosan-gelatin 123 composites loaded with ampicillin as a model drug and have 124 achieved a rate-controllable drug release by a compositional 125 change in the polymers ratio of the deposited films. EPD have 126 also been used to coat stainless steel cardiovascular stents: one 127 study involves EPD of rapamycin-loaded mesoporous silica 128 nanoparticle/carbon nanotube composite³⁰ and the other has 129 shown EPD of N-nitro-somelatonin-loaded $poly(_{D,L}$ lactide-*co*- 130 glycolide) nanoparticles.³¹ But both of these investigations have 131 used other techniques to load nanoparticles with the drug 132 component prior to the EPD step. 133

We have previously studied in detail the electrophoretic 134 deposition of chitosan,³² Bioglass 45S5,³³ chitosan/Bioglass 135 45S5,³⁴ and chitosan/Bioglass 45S5/silver nano-particles³⁵ 136 composite coatings. As outlined above, there are a few 137 publications investigating the feasibility of EPD in single-step 138 incorporation of drugs in a multifunctional composite film. 139 Therefore, the aim of this study is to explore the addition of an 140 antibacterial function to the chitosan/Bioglass composite 141 coatings by incorporating an antibiotic and we are keen to 142 demonstrate the potential of EPD as a single-step technique for 143 obtaining such a coating. In this work, co-deposition of the 144 multifunctional chitosan/bioactive glass composite coating with 145 added gentamicin has been investigated. The microstructural 146 characteristics of the coatings and their in vitro bioactivity were 147 studied, and preliminary cellular and antibacterial tests to 148 characterize the biological behavior of films were carried out. 149

2. EXPERIMENTAL PROCEDURES

2.1. Materials. 45S5 Bioglass powder with nominal composition: 150 45 SiO₂-24.5 Na₂O-24.5 CaO-6 P₂O₅ (wt %) was used. The particle 151 size was in the range 1.6–26.7 μ m with a median particle size of 9.8 152 μ m. Medium molecular weight chitosan with a degree of deacetylation 153 of about 85%, acetic acid (>98%), gentamicin sulfate (BioReagent, 50 154 mg/mL solution in deionized water) and the reagents used in 155 gentamicin derivatisation procedure were all purchased from Sigma- 156 Aldrich. The gentamicin sulfate was reported to have the following 157 composition C₁ < 45%, C_{1a} < 35%, and C₂ < 30%.³⁶ The following 158 reagents were used to prepare simulated body fluid (SBF) solution:³⁷ 159 NaCl, NaHCO₃, KCl, K₂HPO₄·3H₂O, MgCl₂·6H₂O, CaCl₂, Na₂SO₄, 160 Tris-hydroxymethyl aminomethane and HCl (1.0 M) (all from Sigma- 161 Aldrich).

2.2. Electrophoretic Deposition. Solutions of chitosan (0.5 mg/ 163 mL) in 1 vol % acetic acid in water were prepared by magnetic stirring 164 at room temperature for 24 h (pH 3). To prepare composite 165 suspensions, Bioglass® particles were dispersed in the chitosan 166 solution. For gentamicin-loaded coatings, 1 mL of gentamicin sulfate 167 solution was added to 24 mL of the prepared composite suspension to 168 obtain a concentration of 2 mg/mL of the drug. The pH of the 169 suspensions was measured using JENWAY 3510 pH Meter (Essex, 170 UK). It should be noted that according to trial EPD experiments, 0.5 171 mg/mL was found to be a suitable concentration of chitosan in the 172 solution to obtain a uniform film. As EPD yield is concentration 173 dependent,²⁵ higher chitosan concentrations resulted in a more viscous 174

175 solution, and electrophoretic deposition of a large porous volume of 176 polymer rather than a uniform film. Conversely at lower 177 concentrations, enough amount of chitosan was not deposited to 178 provide a uniform matrix for bioactive glass embedment. Therefore, 179 0.5 mg/mL chitosan was selected for these experiments.

180 AISI 316L stainless steel (called 316L SS hereafter in this work) is 181 among the most commonly used metals for orthopaedic implant 182 applications.³⁸ Thus, for electrophoretic deposition, 316L SS foils (20 183 mm \times 10 mm \times 0.2 mm) were utilised as deposition substrate 184 (cathode). Substrates were washed with acetone and were dried prior 185 to deposition. A gold counter electrode was used in the EPD cell. The 186 distance between the electrodes was kept constant at 1.5 cm and the suspensions were gently stirred during deposition by a magnetic 187 stirrer. The constant electric voltage was applied by a Thurlby Thandar 188 189 Instruments (TTi) EL561 power supply (Cambridgeshire, UK). 190 Chitosan (CS) and chitosan/Bioglass (CS/BG) coatings were also 191 prepared to be compared with chitosan/Bioglass/gentamicin (CS/ 192 BG/GS) coatings. The EPD experimental conditions for each coating 193 are outlined in Table 1. After deposition, the cathodic films were

t1

Table 1. EPD Parameters for Deposition of Coatings from 0.5 mg/mL Chitosan Solutions

coating type	coating name	Bioglass (mg/mL)	gentamicin sulfate (mg/mL)	voltage (V)	time (s)
chitosan	CS	0	0	10	800
chitosan/Bioglass	CS/BG	5	0	10	400
chitosan/ Bioglass/ gentamicin	CS/ BG/ GS	5	2	10	400

194 gently rinsed with deionized water, dried and stored in a desiccator 195 until further characterization. It should be noted that because chitosan 196 has a lower density (0.6 g/cm3) than Bioglass (2.7 g/cm3), an EPD 197 coating obtained from chitosan-only solution has a lower deposition 198 yield (deposition weight per area) than that of deposited from a 199 Bioglass-containing suspension. In practice, a reasonable amount of 200 chitosan deposit is required to perform characterizations such as 201 thermogravimetric analysis and infrared spectroscopy. Consequently, 202 the EPD time was doubled for CS films to increase deposition yield. 203 The deposition yield was measured to be 1.5 and 4.4 mg/cm³ for CS 204 and CS/BG coatings, respectively.

2.3. Characterization of Coatings. *2.3.1. Microstructural* 206 *Characterization.* To study the microstructural features, we used 207 high-resolution scanning electron microscopy (LEO Gemini 1525 208 SEM). The samples were coated with chromium using EMITECH 209 K575X sputter coater (Emitech Ltd., UK) beforehand to avoid any 210 charging artefacts during imaging. The SEM was fitted with an Oxford 211 Instruments INCA energy-dispersive X-ray spectrometer (EDS) which 212 was used for qualitative elemental analysis of the coatings.

213 The crystalline state of the material was evaluated with X-ray 214 diffraction (XRD) analysis using PANalytical X'Pert Pro MPD 215 instrument with Cu–K α radiation at 40 kV and 40 mA, applying a 216 step size of 0.04° for the 2 θ range of 5–80° and with a count rate of 50 217 s per step.

Fourier transform infrared spectroscopy (FTIR) was performed in 219 transmission mode using a PerkinElmer Multiscope spectrometer in 220 the mid-IR region ($5000-400 \text{ cm}^{-1}$). For FTIR analysis the coatings 221 were removed from the substrates, mixed and ground with potassium 222 bromide (KBr) at a weight ratio of 1:100 and pressed into pellets (13 223 mm diameter and 0.8 mm thickness).

In order to estimate the composition of the coatings, they were removed from the substrates and thermogravimetric analysis (TGA) and differential scanning calorimetry (DSC) were performed in air using a simultaneous thermal analyzer (NETZSCH STA 449 C, Bermany). A heating rate of 10 °C/min was utilized and three samples were tested per coating condition.

230 **2.3.2. Acellular in Vitro Study by Immersion in Simulated** 231 **Body Fluid.** To investigate the level of acellular in vitro bioactivity of coatings in terms of hydroxyapatite (HAp) formation, the simulated 232 body fluid (SBF) test as proposed by Kokubo et al. was performed.³⁷ 233 Coated samples (10 mm \times 10 mm \times 0.2 mm) were immersed in 30 234 mL of SBF and were then incubated at 37 °C for 2, 5, 7, 14, and 21 235 days. At each time point samples were removed from SBF, rinsed with 236 ion-exchange distilled water, left to dry in air, and then stored in a 237 desiccator. The formation of HAp was examined with SEM/EDX, 238 XRD and FTIR techniques after SBF immersion. For comparison, 239 samples before immersion in SBF were also characterized. 240

2.3.3. Gentamicin Release Study. To determine the efficiency of 241 EPD to incorporate gentamicin in the chitosan matrix, release of the 242 antibiotic from another type of sample; known as conditioned sample; 243 was also investigated. To prepare the conditioned sample, we pipetted 244 100 μ L of gentamicin sulfate solution (2 mg/mL) over coatings of CS/ 245 BG and samples were left to dry at room temperature. The amount of 246 antibiotic released from these samples was compared with that from 247 EPD samples. 248

In order to quantify the amount of gentamicin incorporated in the 249 coatings, coatings were scraped off the substrate and immersed in 1 250 mL deionized water (borate buffer pH 10.4). After 10 min sonication, 251 the immersion samples were centrifuged and the supernatant was 252 tested for dissolved gentamicin. 253

The in vitro release of gentamicin antibiotic from the EPD and 254 pipetted samples was studied by incubating coated samples (10 mm \times 255 10 mm \times 0.2 mm) in 2.5 mL of phosphate buffered saline (PBS, Sigma 256 P4417-50TB, one tablet in 200 mL deionized water) at 37 °C. 257 Aliquots of 2.5 mL (the total release volume) were withdrawn from 258 samples at predetermined times (42 h, 84 h, and 7, 14, 21, 28, 35, 42, 259 49, and 56 days), and were replenished by adding fresh PBS. The 260 reason PBS solution was used instead of SBF was that the high 261 concentration of ions in SBF limits detection of released gentamicin by 262 the quantification method used here, which is high-performance liquid 263 chromatography (HPLC).

The concentrations of gentamicin incorporated in the supernatant 265 of as-received coatings as well as in the releasing samples were 266 quantified by HPLC and ultraviolet detection. For this purpose, the 267 gentamicin in solution had to be derivatised. As gentamicin is an 268 aminoglicosidic compound, its derivatisation methods involve 269 chemical reactions with the primary amino groups of the drug.¹⁷ 270 The method described in the following paragraphs has been developed 271 for derivatisation of gentamicin in the present study and is based on 272 modifications to a technique previously proposed by Sampath et al.³⁹ 273

The reactive solution (derivatising agent) consisted of 130 mg of 274 ortho-phthalaldehyde dissolved in 0.5 mL of methanol. This solution 275 was mixed with 3.8 mL borate buffer (30 mM, pH 10.4) and 290 μ L 2- 276 mercaptoethanol (as gentamicin derivatizing agent) was added to it. 277 The final volume was adjusted to 5 mL by borate buffer. The obtained 278 reactive solution was kept at 4 °C, in which it was stable for 2–3 days. 279 For derivatisation, 0.4 mL of reactive solution was added to 1 mL of 280 test sample and 1.2 mL of 2-propanol (total volume of 2.5 mL). The 281 solution was then heated in a 40 °C bath for 5 min.

HPLC was performed with a Thermo Scientific spectra SYSTEMS, 283 SCM 1000 instrument (AS3000 autosampler and P4000 Quaternary 284 pump). Separation of the derivatised solution was carried out on a 285 reversed phase C18R column (50 mm \times 2 mm, 3 μ m particle size) at a 286 flow rate of 0.3 mL/min, at 20 °C and with the flux of mobile phases as 287 shown in Table 2. The UV detection was performed at 230 nm using 288 t2

Table 2. Step Gradient of Mobile Phases Used in HPLC of Gentamicin

time (min)	A $(\%)^a$	В (%) ^b
0	65	35
4	65	35
6	75	25
60	75	25

^{*a*}A is 700 methanol:250 water:50 acetic acid (volume ratio) + 5 g of octansulfonate. ^{*b*}B is methanol.

289 Thermo Scientific UV 2000 (dual wavelength) detector. For 290 estimation of the amount of gentamicin, the software HPLC Thermo 291 Scientific Chromatography Data Systems was utilized.

2.4. Biocompatibility Studies. 2.4.1. Microbiological Test. The 292 293 effect of the incorporation of gentamicin in coatings on the viable counts of S. aureus (ATCC 25923) was investigated by conducting 294 295 agar disc diffusion tests on CS, CS/BG, and CS/BG/GS EPD samples with 316L SS and PBS as controls. Coatings were first sterilized using 296 297 UV treatment for 45 min each side. Five samples of each series (10 298 mm \times 10 mm in surface area) were immersed at 37 °C in PBS (5 mL) 299 at pH 7.4 for 10 days. At predetermined time intervals (1, 2, 3, 5, 7, 300 and 10 days) aliquots (5 μ L) of each series were removed and applied 301 to paper discs (6 mm diameter) and placed on the surface of Mueller-302 Hinton agar plates seeded with *S. aureus* through a modification of the 303 agar disc diffusion method of CLSI M02 A10.⁴⁰ After each aliquot was 304 taken, the remaining volume was replaced with fresh PBS to mimic 305 physiological clearance. Approximately 107 colony-forming units of S. 306 aureus were inoculated on Mueller-Hinton agar plates. After 24h of 307 incubation, the zones of inhibition (diameter of the inhibition circle 308 around paper disks) were measured.

Bacterial inoculate for Mueller-Hinton agar plates seeding was prepared as follows: bacteria were streaked on Trypticase soy agar 11 (Difco, USA) from -70 °C stocks. Overnight agar cultures were 12 transferred to tryptic soy broth (Difco, USA) and statically incubated 13 at 37°C for 48 h. After centrifugation (8000 × g, 4 °C, 10 min), 14 bacteria were re-suspended to 1.5×10^8 CFU/mL.

315 2.4.2. In Vitro Cellular Test. MG-63 osteoblasts (ECACC, UK), a 316 human osteosarcoma cell line, were used to assess in vitro 317 cytocompatibility of CS, CS/BG and CS/BG/GS EPD coatings. 318 Uncoated 316L SS substrate and tissue culture plastic (TCP) were 319 used as controls. Cells were cultured in low glucose (1 g/L) 320 Dulbecco's Modified Eagles Medium (DMEM containing L-Gluta-321 mine), supplemented with 10% (v/v) Fetal Bovine Serum (FBS) and 322 1% (v/v) antibiotic (penicillin/streptomycin) solution (all from PAA, 323 Coelbe, Germany) (which will be referred to as "complete medium"). 324 Prior to testing, the samples (10 × 10 × 0.2 mm³) were UV-sterilized 325 for 45 min each side.

Almost confluent (80%) cultures were harvested for experiments 326 327 with a solution of 0.05%/0.002% Trypsin/EDTA in Ca²⁺/ Mg²⁺-free 328 PBS (PAA, Coelbe, Germany) and pelleted by centrifugation at 1000 329 rpm for 5 min. Cell counting was performed by trypan blue dye and 330 haemocytometer. The test samples were seeded at a density of 20 000 331 cells/cm² and were incubated in 1 mL of complete medium at 37 °C in 332 a humidified atmosphere (5% CO₂ in 95% air). After an overnight 333 period, samples were transferred to a new well plate and replenished 334 with fresh medium. The cells were then allowed to grow on the 335 coatings for up to 7 days, with the medium changed every 2 days. At 336 specific time intervals, cell proliferation was carried out using the 337 alamarBlue assay (AbD Serotec, Oxford, UK). For this assay, at the end of each time point, 100 μ L of the culture medium was replaced 338 339 with alamarBlue indicator dye and incubated for 4 h. Sample aliquots 340 of 200 μ L were then taken and its fluorescence was measured at 341 excitation and emission wavelengths of 530 and 590 nm, respectively 342 (Thermo Labsystems Fluoroskan Ascent FL, Waltham, USA). The 343 number of viable cells was estimated by interpolating fluorescence 344 readings from a 6 point standard alamarBlue curve. The standard curve 345 ($R^2 = 0.9902$) was obtained by 1:2 serial dilution of initial 1 × 10⁵ cell 346 number.

The surface attachment of MG-63 cells was qualitatively analysed at 348 day 1 and day 7 by SEM imaging. Samples were removed and fixed in 349 3% glutaraldehyde in 0.1 M cacodylate buffer overnight at 4 $^{\circ}$ C. Then 350 the samples were dried by washing in a graded series of ethanol (50, 351 70, 90, and 100%) and finally critical point dried in hexamethyldisi-352 lazane for 2 min. Samples were left to dry in the fume cupboard for 2 353 h, after which they were attached to aluminum stubs and sputter 354 coated with Cr for SEM.

2.4.3. Data Analysis. For the microbiological assay five samples per 356 coating condition were tested and for the cellular assay two individual 357 experiments each containing coating samples in quadruplicate were 358 performed. The results were reported as mean \pm standard deviation. Research Article

One-way analysis of variance (ANOVA) with p < 0.05 as significance 359 level was utilised for statistical analysis and Tukey's range test was used 360 for post-hoc analysis. The analyses were carried out using MINITAB 361 15 statistical software. 362

3. RESULTS

3.1. Characterization of Coatings. *3.1.1. Microstructural* ³⁶³ *Characterization.* The microstructure of the CS/BG/GS ³⁶⁴ coating at low and high magnifications is shown in Figure 2a, ³⁶⁵ f2



Figure 2. SEM images of CS/BG/GS coating prepared by EPD at (a) lower and (b) higher magnifications; (c) corresponding EDX spectrum.

b. The coating contains a chitosan matrix with micrometer- 366 sized Bioglass® particles embedded in it. Some cracks are also 367 visible in the deposited film. The EDX spectrum (Figure 2c) 368 contains peaks associated with Si, Na, Ca, and P atoms, which 369 are the constituents of Bioglass as well as C atoms, which can 370 be related to the chitosan and gentamicin components of the 371 coating. 372

FTIR analyses of the EPD coatings are illustrated in Figure 3. 373 f3 The main absorption bands of chitosan as well as the vibration 374 bands for Bioglass® powder are depicted. The most important 375 bands in CS are stretching vibration of O–H from 376 carbohydrate ring and also adsorbed water (3500-3450 377 cm⁻¹); N–H stretching in amine and amide (\sim 3360 cm⁻¹); 378



Figure 3. FTIR spectra of Bioglass powder, CS, CS/BG, and CS/BG/GS EPD coatings. The main vibration bands of chitosan and Bioglass are depicted. Formation of a shoulder at 3645 cm⁻¹ (dotted line) and the change in relative intensities of 1653 (red arrow) and 1580 peaks in composite films compared to CS film (boxed area) denote formation of hydrogen bonding between bioactive glass particles and chitosan.

³⁷⁹ vibration of carbonyl bond (C=O) in amide group at 1653 ³⁸⁰ cm⁻¹ and N–H bending vibration of amine group at 1580 ³⁸¹ cm^{-1.41} On the other hand, the main bands in the spectrum of ³⁸² pure Bioglass® are attributed to Si–O–Si bending vibration ³⁸³ (~500 cm⁻¹) and stretching vibration (920 and 1030 cm⁻¹; the ³⁸⁴ dual peak is indicative of the presence of network modifiers in ³⁸⁵ the structure of glass; i.e., Na and Ca).⁴² The broad peak at ³⁸⁶ 3500 cm⁻¹ and the one at 1480 cm⁻¹; respectively; are ³⁸⁷ associated with water and carbonate groups adsorbed from the ³⁸⁸ atmosphere.

The FTIR spectra of composite CS/BG and CS/BG/GS 389 390 films (Figure 3) indicate the presence of peaks associated with 391 both chitosan and Bioglass®. More importantly, comparison of 392 the spectra of CS/BG, and CS/BG/GS with that of CS in 393 Figure 3, confirms the presence of the following changes in the composite films: broadening of spectrum in the range 3750-394 3000 cm^{-1} , formation of O–H shoulder at 3645 cm⁻¹ (Figure 3 395 396 dashed line) and reduction of C=O vibration at 1653 cm^{-1} 397 relative to N-H vibration at 1580 cm⁻¹ (Figure 3 boxed area). ³⁹⁸ All of these changes are attributed to the formation of hydroxyl ³⁹⁹ groups and hydrogen-bonding.⁴³ The suspension of glass 400 particles in aqueous medium leads to formation of free surface 401 hydroxyl groups which can be involved in hydrogen-bonding 402 with chitosan hydroxyl and carbonyl moieties. This hydrogen-403 bonding results in adsorption of chitosan on glass particles, 404 provides their electrosteric stabilisation in the suspension and 405 in turn aids the co-deposition of the glass and polymer 406 components. Because the main vibration bands of gentamicin 407 molecule are related to N-H and O-H bonds hydrogen bonding between chitosan and gentamicin molecules is also 408 409 expected. Due to the overlapping of these bands with those of 410 the chitosan structure, the FTIR spectra of CS/BG, and CS/ 411 BG/GS coatings in Figure 3 look similar.

⁴¹² The simultaneous thermal analyses (STA) of the coatings ⁴¹³ (Figure 4) encompass subsequent stages of moisture ⁴¹⁴ evaporation (below 100 °C) and combustion of chitosan (in ⁴¹⁵ the range 220-600 °C). The DSC data of CS coating has two ⁴¹⁶ exothermic peaks at ~300 and ~500 °C corresponding to a ⁴¹⁷ two-stage thermal decomposition of chitosan.^{34,44} Gentamicin

f4



Figure 4. TGA and DSC curves comparing Bioglass powder (BG), CS, CS/BG, and CS/BG/GS EPD coatings, showing the weight loss due to water evaporation and burning out of chitosan.

is also expected to thermally decompose in these stages. The 418 TGA curve of the as-received Bioglass powder (BG) shows 419 about 3% weight loss due to loss of moisture and hydroxyl 420 groups. The comparison of TG curves reveals that the 421 percentage of weight loss in both gentamicin-containing and 422 non-containing coatings is notably less than in CS coating 423 because of the presence of glass particles. Because of the lower 424 amount of chitosan in the CS/BG and CS/BG/GS films, their 425 TGA curves do not display the second stage of chitosan 426 burning out as clearly as in neat CS coating. For the same 427 reason chitosan burning produced less pronounced exothermic 428 peaks in the DSC curve of CS/BG/GS compared to DSC curve 429 of CS. The amount of glass particles in CS/BG and CS/BG/GS 430 is 70.03 \pm 0.05 wt % and 70.93 \pm 0.07 wt %; respectively, 431 which is indicative of almost similar loading of particles in both 432 cases.

3.1.2. Acellular in Vitro Study in SBF. Incubation of CS/ 434 BG/GS coatings in SBF at 37 °C provided evidence of 435 bioactivity of the developed gentamicin-loaded coatings. As the 436 SEM images and the EDX spectrum of a sample after 14 days of 437 SBF immersion show (Figure 5), SBF immersion has led to 438 f5 formation of some pores in the structure of the coating and a 439 newly formed nanostructured layer has covered the sample. 440 The EDX spectrum also demonstrates an increase in the 441 intensity of P and Ca peaks and a decrease in the Si peak 442 intensity compared to the as-received samples (Figure 2c), 443 which is associated with deposition of a calcium and 444 phosphorous-rich phase. The new phase also contains small 445 amount of Mg. 446

Furthermore, XRD and FTIR results obtained from SBF 447 treated samples support the formation of the new phase as soon 448 as 2 days of SBF incubation. The XRD patterns (Figure 6) 449 f6 show that at day 2 a semicrystalline phase with main peaks at 450 32° and 25.8° has developed. The crystalline structure of the 451 new phase exhibits XRD peaks matching those of the standard 452 pattern of hydroxyapatite (HAp) crystals (ICDD 00-001-1008). 453 According to the Supporting Information (Table S1), the 454 analysis of full width at half maximum of the XRD peak from 455 (1122) crystallographic plane ($2\theta \approx 32.5^{\circ}$) shows that the 456 average crystallite size has increased form 4.2 nm at day 2 to 5.3 457 nm at day 21 of SBF immersion. Additionally the peak area has 458 increased from day 2 to day 21 suggesting a higher proportion 459



Figure 5. SEM images of CS/BG/GS EPD coating at (a) lower and (b) higher magnifications and (c) EDX analysis after 14 days treatment in SBF. The electron charging in the SEM images is due to the porous nature of the newly formed phase.



Figure 6. XRD patterns of CS/BG/GS EPD coatings before (0 days) and after treatment in SBF for 2, 5, 7, 14, and 21 days. The standard pattern for HAp (00-001-1008) has been shown for comparison.

460 of newly formed crystalline HAp phase at longer SBF 461 immersion times.

The FTIR spectra of the corresponding SBF samples $_{462}$ presented in Figure 7 display a reduction in the heights of $_{463}$ $_{\rm f7}$



Figure 7. FTIR spectra of CS/BG/GS EPD coatings before (0 days) and after treatment in SBF for 2, 5, 7, 14, and 21 days.

the peaks related to Bioglass $(Si-O-Si \text{ at } 459 \text{ cm}^{-1})$ and 464 chitosan (amine at 1580 cm⁻¹) with SBF immersion time. 465 Depicted graphs also show formation of new bonds within 2 466 days, which is coherent with XRD data. Occurrence of 467 phosphate (564, 605, 963, and 1030 cm⁻¹) and carbonate 468 (875 and 1420 cm⁻¹) peaks evidences the formation of 469 hydroxyl carbonate apatite (HCAp). The vibration at 1646 470 cm⁻¹ is due to adsorbed water in the structure of the new 471 phase.

3.1.3. Gentamicin Release Study. The amounts of the 473 loaded and released gentamicin were evaluated by HPLC-UV 474 technique after the derivatisation procedure. An example of the 475 chromatographs obtained is presented in Figure 8. Assessment 476 f8



Figure 8. Chromatographs of gentamicin released from (a) EPD and (b) conditioned CS/BG/GS coatings, in comparison to the (c) standard gentamicin solution and (d) blank (PBS) samples. The retention times for EPD coatings are displayed.

of HPLC peaks in EPD and conditioned samples in comparison 477 to the blank and standard, provides evidence for gentamicin 478 quantification. According to the graphs, the retention times of 479 different gentamicin components are approximately 4.8, 11.1, 480 and 13.3 min, respectively, with slight shifting in different 481 samples. Identification of these three gentamicin components, 482 483 however, would require further investigations such as mass 484 spectroscopy, which was beyond the scope of this study. 485 The amount of gentamicin loaded in 1 cm² (substrate area) 486 of EPD and conditioned samples were 144.2 \pm 0.8 μ g and 219 487 \pm 1 μ g, respectively. As 50 mg of gentamicin sulfate was added 488 to the EPD suspension, it can be concluded that 0.3% of the 489 drug in the suspension was deposited in the EPD sample.

490 Gentamicin release profiles from both EPD and conditioned 491 samples are depicted in Figure 9. Although for the EPD sample



Figure 9. Cumulative release of gentamicin from EPD and conditioned CS/BG/GS coatings in PBS (The data indicate mean \pm standard deviation for three individual experiments).

⁴⁹² nearly 50% of the loaded antibiotic was released within 28 days ⁴⁹³ of immersion in PBS, a similar release percentage was reached ⁴⁹⁴ after 7 days for the conditioned sample. After the initial burst ⁴⁹⁵ release, the concentration of the drug in the medium increased ⁴⁹⁶ slowly up to 56 days and reached up to 57.1% (82.31 μ g) and ⁴⁹⁷ 66.7% (146.12 μ g) for EPD and conditioned samples, ⁴⁹⁸ respectively. Overall, the release rate of gentamicin from the ⁴⁹⁹ composite films was lower for the EPD coatings.

3.2. Biocompatibility Studies. 3.2.1. Microbiological 500 501 Study. The antimicrobial disc susceptibility test indicated that 502 the medium from CS/BG/GS coatings subjected to immersion 503 in PBS developed a zone of inhibition of about 13 mm up to 2 504 days (Figure 10). A significant difference was observed between 505 CS/BG/GS and CS/BG films for the first 2 days during which 506 the burst release of gentamicin takes place. However, after 2 days both CS/BG and CS/BG/GS films were capable to inhibit 507 508 bacterial growth at a significantly lower level (5.4-6 mm). This secondary, low efficiency bacteriostatic effect, which can also be 509 510 observed in CS/BG samples from day 1, can be related to the 511 local increase in pH during the degradation of Bioglass.⁴⁵ The 512 increase in pH in the immediate environment around bioactive 513 glass particles has been reported by other researchers.⁴⁶ The 514 PBS control samples, 316L SS and CS coatings, did not develop 515 any zone of inhibition against S. aureus growth.

516 **3.2.2.** In Vitro Cellular Study. The cellular metabolic activity 517 was measured by alamarBlue assay and based on these results, 518 the percentage of cell number was estimated. As Figure 11 519 shows, CS, CS/BG, CS/BG/GS, and controls (316L SS and 520 TCP) supported proliferation of MG-63 cells over 7 days. At 521 each time point, all coatings exhibit significantly (p < 0.05) 522 smaller cell number compared to TCP (positive control). After 523 7 days of culture, no significant difference was observed among 524 316L SS, CS, CS/BG and CS/BG/GS samples. It was observed



Figure 10. Antimicrobial disc susceptibility test showing the relative diameters of zones of inhibition after different periods of immersion in PBS up to 10 days. The PBS control, 316L SS, and CS did not develop any zones of inhibition. (p < 0.05 at the same time period: # is for CS/BG/GS vs. CS/BG coatings) (The data represent mean \pm standard deviation for five individual experiments).



Figure 11. Osteoblast-like human osteosarcoma cell line (MG-63) response to 316L SS substrate, CS, CS/BG and CS/BG/GS coatings measured by alamarBlue assay up to 7 days culture. Tissue culture plastic (TCP) was used as control. The resultant number of cells for each coating was normalised against the number of cells on TCP at day 1 culture and was reported as percentage. p < 0.05 at the same time period: * is for TCP vs. all other coatings; # is for marked bar vs. 316L SS; + is for marked bar vs. CS/BG. (Data represent the mean \pm standard deviation of two individual experiments each performed in quadruplicate).

that the proliferation of cells on all samples increased over the $_{525}$ period of study. The results indicate that the gentamicin-loaded $_{526}$ coatings were nontoxic to cells. $_{527}$

Electron microscopy images of samples subjected to cell $_{528}$ culture study show evidence of MG-63 cells attachment to $_{529}$ different coatings. For example, Figure 12 shows cells spreading $_{530 fl2}$ over samples, which is seen to increase from day 1 to day 7. In $_{531}$ addition, on 316L SS and CS samples confluent cells were $_{532}$ observed at day 7 (Figure 12b, d). These results confirm that $_{533}$ the EPD coatings supported attachment and growth of $_{534}$ osteoblast-like cells over 7 days in culture.

G

f11

f10

f9



Figure 12. SEM images showing morphology of MG-63 cells spreading on the surface of (a, b) 316L SS, (c, d) CS, (e, f) CS/BG, and (g, h) CS/BG/GS at (a, c, e, g) day 1 and (b, d, f, h) day 7 of culture (some of the cells are marked with white arrows.

4. DISCUSSION

 $_{536}$ In this work, EPD was successfully used to deposit a $_{537}$ multifunctional coating on a metallic substrate. Although $_{538}$ 316L SS was used here as deposition substrate, it is pertinent $_{539}$ to point out that for similar substrate surface conditions, as long $_{540}$ as the substrate is electrically conductive, the EPD rate is $_{541}$ independent of the substrate material.²⁵ Therefore, the $_{542}$ methodology applied here is extendable to other conductive $_{543}$ implant substrate materials such as Ti alloys.

We have previously explained in detail the EPD mechanisms 545 of chitosan³² and bioactive glass.³³ Chitosan macromolecules 546 dissolve in acidic aqueous solution (\sim pH <5) due to 547 protonation of amine groups and form polycations Moreover, during the EPD process, electrolysis of water occurs 548 that increases the local pH at the cathode 549

$$2H_2O + 2e^- \rightarrow H_2 + 2OH^-$$
 (Cathode)

Consequently, as the electrophoresis of polycations towards the 550 cathode occurs, the protonated amine groups of chitosan lose 551 their charge in the high pH region to form an insoluble deposit 552

$$CS - NH_3^+ + OH^- \rightarrow CS - NH_2 + H_2O$$
 (Cathode)

On the other hand, bioactive glass particles develop a pH- 553 dependent surface charge due to surface-bound hydroxyl 554 groups.⁴⁷ At pH below the isoelectric point of Bioglass (pH 555 11.5), the concentration of positive surface charges is more 556 than the negative ones and a net positive surface charge is 557 obtained. These particles are moved toward the cathode by the 558 electric field and form a deposit by coagulation.³³

$$CS - NH_2 + H_3O^+ \rightarrow CS - NH_3^+ + H_2O^+$$

FTIR analyses confirmed the hypothesis that during the 560 561 electrophoretic deposition process, positively charged chitosan 562 molecules in suspension interacted with the hydroxyl groups on 563 the bioactive glass particles surface to form hydrogen-bonds. 564 This phenomenon, which leads to adsorption of chitosan on 565 glass particles, improves the stability of Bioglass® suspensions 566 through electrosteric stabilisation²⁶ and leads to electrophoretic 567 co-deposition of the polymeric and glass components. Due to 568 relatively larger concentration of glass particles in the EPD 569 suspension (5 mg/mL) compared to the chitosan concen-570 tration (0.5 mg/mL), a higher wt % of bioactive glass (~70 wt 571 %) is incorporated in the final coating.³⁴ Moreover, the alkaline 572 effect caused by Bioglass partial dissolution in the chitosan 573 solution, renders lower charge density of chitosan chains as well 574 as higher suspension conductivity and consequently lower 575 deposition rate of the polymer is achieved.³² These two factors 576 result in formation of a more brittle EPD coating with 577 increasing glass concentration, which is more susceptible to cracking upon drying. Furthermore, water electrolysis and 578 579 hydrogen gas production at the cathode during EPD leaves 580 porosity in the structure. The surface topography of chitosan 581 film changes with the amount of bioactive glass particles 582 incorporated in it. Results not presented here show an increase 583 in surface roughness with higher Bioglass content as well as deposition of a smoother composite film when nanosized 584 585 Bioglass particles were used.⁴⁸

To add antibacterial functionality to these composite 586 587 coatings, we introduced gentamicin sulfate into the EPD 588 suspension. Gentamicin has high water solubility and the pK_{a} values of amino groups of gentamicin are between 5.5 and 9; 589 590 hence at acidic pH the drug molecule is positively charged.⁴ 591 Therefore, it was anticipated that cathodic deposition of the 592 drug from the composite suspension would be feasible. 593 Moreover its stability over a broad pH range (2-10) up to 594 15 days has been reported.⁵⁰ This facilitates incorporation of 595 the drug in the acidic pH of chitosan/Bioglass suspension (pH 596 4.46 \pm 0.02) used in the present EPD experiments. 597 Additionally, the presence of amino and hydroxyl groups in 598 the gentamicin molecule can lead to the formation of hydrogen 599 bonds with the hydroxyl moieties of Bioglass and chitosan.

EDX measurements indicated that the HCAp surface layer 600 601 developed on CS/BG/GS composite coating after immersion 602 in SBF had a Ca/P atomic ratio of 1.56 \pm 0.04. The slightly 603 lower Ca/P atomic ratio in this study compared to that of bone 604 mineral $(Ca/P = 1.57 \text{ to } 1.62)^{52}$ may be due to the substitution 605 of Mg atoms in the HAp structure. Furthermore, the test was 606 not conducted in equilibrium with CO_2 atmosphere, which is a 607 requirement for physiological conditions. Such factors can 608 result in formation of a calcium-deficient apatite with lower Ca/ 609 P ratios.^{52,53} Although HAp-forming ability in SBF has been 610 widely assumed as an indication of bioactivity in vivo,³⁷ the SBF 611 test according to Kokubo³⁷ has been discussed critically in the 612 literature⁵⁴ and improvements for in vitro bioactivity testing 613 have been suggested. Under these considerations, the SBF 614 testing in this work has been conducted to demonstrate the 615 HAp-forming ability of Bioglass-containing antibacterial 616 composite coating based on the standardised Kokubo method, which enables a comparison with a large volume of data in the 617 618 literature.

619 One of the complexities associated with gentamicin is its 620 quantification by HPLC. As this aminoglicoside is a weak UV 621 chromophore, it needs to be post-column derivatised to be 622 detectable by UV. Most derivatisation techniques involve chemical reaction with amino groups of the drug.¹⁷ The 623 method developed here utilizes *o*-phthalaldehyde in the 624 presence of 2-mercaptoethanol as derivatising. It has been 625 shown that this chemical combination can significantly improve 626 derivatisation of primary amino groups compared to other 627 chemicals such as ninhydrin or fluorescamine and therefore 628 provides higher detection sensitivity.⁵⁵ 629

Drug release kinetics from a polymer containing matrix 630 depends on various factors such as polymer swelling and 631 erosion, drug distribution inside the matrix and matrix 632 porosity.⁵⁶ As the present coatings have pores and a low 633 weight percentage of chitosan, the characteristic time of 634 diffusion of the solvent is short and consequently drug release 635 can be mainly influenced by drug dissolution and diffusion in 636 the liquid which fills the pores. Additionally it has been 637 demonstrated that for a uniform drug distribution the 638 dissolution of the drug at the matrix/release medium interface 639 gives rise to a burst effect followed by a slower release.⁵⁶ The 640 release profiles from both EPD and conditioned samples were 641 found to follow this trend. Because conditioned samples have 642 higher total amount of loaded drug as compared to EPD 643 samples; with most of it expected to be physically bound to the 644 surface layer; both stages of release occurred faster. Moreover, 645 this feature displays the efficiency of EPD in incorporation of 646 the drug within the coating rather than on the coating surface. 647 On the other hand, the amount of incorporated drug via EPD 648 has been relatively low which might be due to the low 649 electrophoretic mobility of gentamicin molecule at the 650 suspension pH (~4.5). Therefore, additional strategies should 651 be implemented to increase the drug loading capacity in the 652 electrophoretically deposited coatings. These approaches can 653 make use of functionalized glass particles surface with 654 negatively charged chemical groups, which can form strong 655 bonds with cationic gentamicin molecules, thus enhancing its 656 loading efficiency.⁵⁷

S. aureus is the pathogen that is responsible for about two 658 thirds of chronic osteomyelitis infections.²⁰ Most of the bacteria 659 involved in chronic osteomyelitis are susceptible to gentami- 660 cin.¹⁸ Gentamicin release from CS/BG/GS films did develop a 661 zone of inhibition against S. aureus up to 2 days, which 662 according to CLSI M02 A10⁴⁰ is indicative of an intermediate S. 663 aureus susceptibility level. Gentamicin binds to components in 664 the bacterial cell and causes production of abnormal proteins 665 which have a bacteriocidal effect.⁵⁸ To maintain this effect for 666 longer periods of time, the amount of loaded gentamicin and its 667 release profile must be modified so that the initial burst release 668 is prolonged and "more drug" is available for release in later 669 stages. As a potential future step, it is proposed to develop a 670 "sequential drug delivery system" with different release profiles, 671 which can be achieved through deposition of a multilayered 672 coating. In such a system, an outer drug-loaded layer can 673 support initial burst release up to the minimum inhibitory 674 concentration (MIC) and extra drug-containing layers under- 675 neath can maintain the MIC for the period of treatment. Ti 676 rods coated with polyelectrolyte films loaded with gentamicin 677 have been reported to release 70% of their drug within 3 days 678 and have delivered a total average of 550 μ g/cm² drug within 4 679 weeks.²⁰ These films could successfully inhibit S. aureus growth 680 in vitro and in vivo. The corresponding amount of gentamicin 681 loaded in the EPD coatings in this study supported 682 proliferation of osteoblast-like cells in line with chitosan and 683 chitosan/bioactive glass films. After 7 days of culture no 684 significant difference was observed between the samples. This 685

686 implies that the present multi-functionalization process of 687 adding bioactive glass particles and gentamicin antibiotic has 688 not compromised the cytotoxicity level of the composite 689 coatings. Thus, the biocompatibility experiments conducted on 690 the EPD samples provide a preliminary assessment of the 691 response of these orthopaedic composite coatings to specific 692 strains of bacteria and to osteoblast cells.

5. CONCLUSIONS

693 Electrophoretic deposition was applied to prepare bioactive and 694 antibacterial chitosan-based composite coatings for orthopaedic 695 implants. The strategy implemented for multi-functionalizing 696 these coatings involved addition of bioactive glass particles and gentamicin as a molecular antibacterial agent. The coatings 697 698 formed bonelike apatite upon immersion in simulated body 699 fluid, which is a qualitative confirmation of their bioactivity. 700 Moreover, the coating released 40% of its gentamicin payload 701 within 5 days of burst release followed by a sustained drug 702 delivery over a period of 8 weeks. The release kinetics could 703 inhibit bacterial growth for the first 2 days and it could support cellular proliferation for up to 10 days. To further extend the 704 705 bactericidal behavior of these coatings, chemical functionaliza-706 tion of glass particles and application of a sequential (e.g. multi-707 layered) release system are suggested. Future work will explore 708 the suitable range of gentamicin loading which provides a 709 minimum inhibitory concentration against bacteria as well as 710 supporting cellular attachment and proliferation. Additionally, 711 prior to in vivo studies, the interfacial bonding of these coatings 712 to the metallic substrate and the mechanical properties of the 713 developed films will be investigated.

714 ASSOCIATED CONTENT

715 Supporting Information

716 Table S1 shows the change in full width at half maxima 717 (FWHM), peak positions and peak areas of $(11\overline{2}2)$ crystallo-718 graphic plane, as well as hydroxyl apatite crystallite sizes at 719 different SBF immersion times. This material is available free of 720 charge via the Internet at http://pubs.acs.org/.

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729 Notes

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