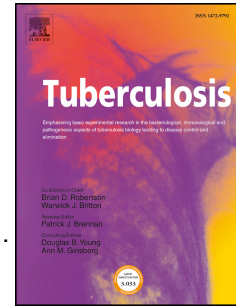


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Tuberculosis vaccine candidates based on mycobacterial cell envelope components

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1 **Tuberculosis vaccine candidates based on mycobacterial cell envelope components.**

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# 1 Tuberculosis vaccine candidates based on mycobacterial cell envelope components.

## 2 Abstract

3 Even after decades searching for a new and more effective vaccine against tuberculosis, the scientific community is  
4 still pursuing this goal due to the complexity of its causative agent, *Mycobacterium tuberculosis* (Mtb). Mtb is a  
5 microorganism with a robust variety of survival mechanisms that allow it to remain in the host for years. The structure  
6 and nature of the Mtb envelope play a leading role in its resistance and survival. Mtb has a perfect machinery that  
7 allows it to modulate the immune response in its favor and to adapt to the host's environmental conditions in order to  
8 remain alive until the moment to reactivate its normal growing state. Mtb cell envelope protein, carbohydrate and lipid  
9 components have been the subject of interest for developing new vaccines because most of them are responsible for  
10 the pathogenicity and virulence of the bacteria. Many indirect evidences, mainly derived from the use of monoclonal  
11 antibodies, support the potential protective role of Mtb envelope components. Subunit and DNA vaccines, lipid  
12 extracts, liposomes and membrane vesicle formulations are some examples of technologies used, with encouraging  
13 results, to evaluate the potential of these antigens in the protective response against Mtb.

14 **Keywords:** vesicles, cell wall, membrane, vaccines, *Mycobacterium tuberculosis*

## 15 1.0. Introduction

16 Despite establishing *Mycobacterium tuberculosis* (Mtb) as the causative agent for tuberculosis (TB) and the  
17 availability of a live vaccine for its prevention since the beginning of the past century, the disease continues to claim  
18 more than a million lives each year (1, 2).

19 Bacille Calmette Guerin (BCG), the current vaccine against TB protects against miliary and meningeal TB in children  
20 but its protection against pulmonary TB (PTB) in adults is questionable. The pulmonary form of the disease is the  
21 most common form and is primarily responsible for disease transmission (3-5). BCG generally show high and  
22 consistent efficacy in the developed world, but in contrast, its effect in developing countries has been far from  
23 successful (3-5).

24 Mtb uses diverse strategies to survive in a variety of host environments and to evade the host's immune response  
25 (IR) (2). The nature of Mtb envelope confers to the bacilli strong resistance to degradation by host enzymes,  
26 impermeability to toxic macromolecules and extreme hydrophobicity (6, 7). Molecules expressed on the  
27 mycobacterial cell envelope (CE) mediate the interactions between Mtb and the host, its recognition by host cell  
28 receptors is crucial to influence the type of the ensuing innate immune response, which will in turn determine the  
29 subsequent specific immune response against the bacteria (6, 7).

30 Considering the relevant role of the CE in the infection process and its outcome, the use of its components as  
31 adjuvants and/or targets for vaccine development has dominated the efforts for the development of new generation  
32 vaccines against TB (8). In this review, we will discuss on Mtb CE components (CEC) and their potential for the  
33 development of new vaccine candidates (VCs).

## 34 2.0. Mtb CE. Structural organization and components.

35 Mtb CE is a complex structure, formed by three main layers: 1) capsule, 2) cell wall (CW) and, 3) plasmatic  
36 membrane (9).

37 About 40% of the CE dry mass is represented by lipids (10), and 90% of the subclasses of mycobacterial lipids are  
38 molecularly distinct from humans and other prokaryotes (7, 11). This unique CE composition and organization is  
39 believed to render mycobacteria less susceptible than other bacterial pathogens to various antibiotic classes, and  
40 provide protection against oxidative radicals and desiccation resistance, in addition to the possibility to manipulate  
41 the host immune system (12-14).

42 **2.1. Capsule.** Mtb capsule is an external carbohydrate-enriched layer that contains proteins, polysaccharides and  
43 low quantity of lipids (9). It confers protection to mycobacteria against several external factors, such as  
44 antimicrobial agents, and has a direct interaction with the elements of the IR (6, 7, 9-15). The proteins  
45 embedded in the capsule are involved in the synthesis and maintenance of the CE, and together with some of  
46 the capsular glycans, are responsible for adhesion, penetration, infection and survival of mycobacteria in the  
47 host cells (16). The capsule also serves as a passive barrier by impeding the diffusion of macromolecules  
48 towards the inner parts of the envelope (16). Additionally, secreted enzymes are identified which are potentially  
49 associated with the detoxification of reactive oxygen intermediates such as catalase/peroxidase and superoxide  
50 dismutase, related with the active resistance of the mycobacteria to the host's microbicidal mechanisms (16). In  
51 addition, some toxic lipids, lytic substances and capsular constituents causes immunopathology during Mtb  
52 infection by inhibiting both macrophage-priming and lymphoproliferation (16).

53 Stokes and colleagues demonstrated that the capsule of the Mtb family members could limit the interaction of  
54 the bacteria with macrophages in the absence of serum opsonins, thereby reducing and/or regulating the uptake  
55 of bacteria by the phagocytes (17). A previous study with a Mtb strain mutated in the polyketide synthase gene  
56 *msl3* with deficit in lipoglycans diacyltrehalose and polyacyltrehalose, showed alteration in the attachment of the  
57 capsule of the mutant (18).

58 Two of the most abundant components of the Mtb capsule are the 19-kDa glycoprotein and the antigen 85  
59 complex (19). The 19-kDa secreted lipoglycoprotein (Rv3763; LpqH) is an abundantly expressed CE-associated  
60 and secreted glycolipoprotein (20). Henao-Tamayo et al, showed that the 19-kDa lipoprotein is essential for the  
61 replication of Mtb in the lungs of normal and immunocompromised mice in an aerosol infection model, while  
62 mutant Mtb, which lacks the protein, allows the bacilli to persist as a low-grade chronic infection (21). On the  
63 other hand, the Ag85 complex which includes three proteins: Ag85A (31-kDa), Ag85B or  $\alpha$ -antigen (30-kDa),  
64 and Ag85C (31.5-kDa), represent the most common Mtb proteins secreted into culture fluids (22). The role of  
65 the Ag85 complex in the pathogenesis and virulence of Mtb is widely studied and the main described  
66 mechanisms include binding to fibronectin and inhibition of phagosome maturation in macrophages (22).

67 **2.2. Cell Wall.** Mtb CW is different from other prokaryotes as it is composed of two segments, i.e. upper (outer  
68 membrane) and lower (CW core). The lower segment functions as a central axis, integrated by peptidoglycans  
69 covalently attached to arabinogalactan via phosphoryl-N-acetyl-glucosaminosyl-rhamnosyl linkage, which in turn  
70 esterified to mycolic acids ( $\alpha$ -alkyl,  $\beta$ -hydroxy long chain fatty acids) and formed mycolyl arabinogalactan-  
71 peptidoglycan (mAGP), better known as the CW core (6). While the upper segment (outer membrane)  
72 comprises free lipids, proteins, phosphatidylinositol mannosides (PIMs), phthiocerol-containing lipids,  
73 lipomannan (LM) and lipoarabinomannan (LAM) (6), which is the major component of the outer membrane (23,  
74 24).

75 Previous studies showed that PIMs, LM and LAM have potent immunomodulatory and immunopathogenic  
76 activities during mycobacterial phagocytosis, macrophage activation and macrophage microbicidal mechanisms  
77 via regulation of cytokine production and secretion. Due to its high solubility, these lipids and proteins are  
78 important molecules for signaling during disease, while the insoluble CW core is important to maintain cell  
79 viability and a robust basal structure supporting the outer “myco-membrane” (outer membrane) (6, 25). This  
80 outer membrane is especially hydrophobic since it is rich in mycolic acids, phospholipids and cord-factor (12).  
81 The so-called “cord-factors” are the best-known mycolic acids esters in mycobacteria, principally trehalose-6,6-  
82 dimycolate (TDM) and trehalose monomycolate (TMM) (26).

83 TDM is the most abundant lipid released by Mtb, which have multiple functions in the pathogenesis of primary,  
84 secondary and cavitary TB (27). In primary TB, TDM interacts with lipids within granulomas to form caseating  
85 granulomas and in secondary TB, the accumulation of mycobacterial Ags and host lipids in alveoli promotes the  
86 activation of toxicity and antigenicity of TDM which rapidly leads to caseation necrosis and formation of cavities  
87 (27). TDM hinder the elicitation of an effective IR through the promotion of a detrimental pro-inflammatory  
88 cytokine production, the persistence of the mycobacteria inside macrophages and retarding the phagosome  
89 maturation (21). Phthiocerol dimycocerosates (PDIMs) are the most abundant mycobacterial lipids, which is  
90 non-covalently attached to the CW skeleton (10). They are the only non-amphipathic lipids produced by this  
91 organism and they play an important structural role in providing a stable base for insertion of other lipids (10).  
92 They could also act as a fluidity modifier, modulating the CW viscosity (28). Thus, PDIMs may affect the  
93 organization of the host membrane which favor receptor-mediated-phagocytosis of Mtb and prevent the  
94 phagosomal acidification which enable Mtb to survive in a protective niche (29). The escape of Mtb from the  
95 phagosome has been postulated to be linked to the presence of PDIMs which induce cell necrosis and Mtb  
96 dissemination (30). Across the CW there are embedded proteins which are abundantly expressed in Mtb and  
97 associated with the other components of this structure, e.g. the 19 kDa lipoprotein and 71 kDa protein which  
98 have been studied as potential VCs for TB (8, 31). Overall, the unusually high mycolic acid content, together  
99 with a variety of cell surface polysaccharides and other intercalated lipids such as sulfolipids (SLs) contribute to  
100 the wall's limited permeability, it's virulence and resistance to therapeutic agents (7, 28).

101 The CW of Mtb is a well-equipped frame that protects this pathogen from unfavorable environments (32).  
102 Peptidoglycan is a complex polymer described as essential component of the bacterial CW (33). Recently, the  
103 role of two enzymes, RodA and PbpA, which are required for the structural shape of Mtb peptidoglycan were  
104 elucidated (33). Both enzymes are required for regulating cell length, without affecting mycobacterial growth and  
105 in the guinea pig infection model, RodA and PbpA are also essential for bacterial survival and formation of  
106 granuloma, suggesting that these proteins may be involved in virulence and as a consequence, in the survival of  
107 Mtb inside the host (33).

108 Mtb is a pathogen characterized by the export of large quantities of proteins during its growing process. One of  
109 the major extracellular proteins is the glutamine synthetase, an enzyme related with the presence of a poly-L-  
110 glutamate component in the CW (34). Since poly-L-glutamate is absent in non-pathogenic mycobacteria (35),  
111 the presence of glutamine synthetase has been suggested to be important in mycobacterial virulence. (34),

112 The  $\alpha/\beta$  hydrolases constitute a powerful family of enzymes in Mtb associated with lipid metabolism, with their  
113 key role in the biosynthesis and maintenance of the pathogen's CE. They have also been associated with Mtb  
114 evasion and modulation of the host IR, as well as, with mycobacterial growth, response to hostile environments

115 and latency (36). A cholesterol ring-cleaving hydrolase, *lpdAB*, is an important virulence factor implicated in *Mtb*  
116 pathogenesis (37) since the virulence and persistence of this pathogen is related to its ability to degrade host-  
117 derived lipids, including cholesterol (37). *lpdAB* is also essential for *Mtb* growth in macrophages based on  
118 transposon mapping (38) and through using deletion mutants of the enzyme (39).

119 *LytR-CpsA-Psr* (LCP) is a protein domain with an important role on bacterial CW synthesis, specifically related  
120 to the transference of arabinogalactan to peptidoglycan. In a previous study using single mutants in the genes  
121 encoding these *Mtb* proteins, it was demonstrated that these genes are important for mycobacterial growth and  
122 antibiotic susceptibility (40).

123 Hydrolase Important for Pathogenesis-1 (*Hip1*) is another CW related protein with an important function on *Mtb*  
124 virulence (41). The catalytic activity of *Hip1* on the host IR was demonstrated using a *Hip1*-knockout strain,  
125 which induced an increased proinflammatory response in both macrophages and neutrophils compared to the  
126 wild type (42).

127 **2.3. Plasmatic membrane.** Plasmatic membrane, also known as cytoplasmic membrane, is composed of a  
128 phospholipid bilayer containing cardiolipin, phosphatidylethanolamine and phosphatidylinositol, which is similar  
129 to the rest of prokaryotes. However, in mycobacteria the phospholipid derivatives are highly glycosylated (9).  
130 *Mtb* can be distinguished by a genus-specific, C19 fatty acid, known as tuberculostearic acid (15). It appears  
131 that the mycobacterial plasmatic membrane plays a limited role in pathogenicity and its main function is  
132 maintenance of the influx-efflux equilibrium (11, 16).

### 133 **3.0. Role of *Mtb* CE in virulence**

134 *Mtb* CEC constitute the major determinants of mycobacterial virulence. Being present at the interface between the  
135 microorganism and the host, the components of the mycobacterial CE are responsible in targeting host-pathogen  
136 interactions (43). The expression of genetic determinants involved in the interaction between the microorganism and  
137 the host, have been demonstrated to influence the ability of a bacterial pathogen to survive inside the host (44). This  
138 mechanism results in the possibility of pathogens to resist physiological and environmental stress (44). The virulence  
139 of *Mtb* also depends on the genes responsible for the processes of biosynthesis, degradation and transport of the CE  
140 (45).

141 There are many virulence factors which have evolved in the *Mtb* complex members as a response to the IR (45). The  
142 CE contains unique lipids and glycolipids that render extreme hydrophobicity to the outer surface. These lipids which  
143 include mycolic acids, phosphatidyl inositol mannosides, PDIMs and lipoglycans such as LM and LAM play important  
144 roles in maintaining integrity of the CE and are involved in the pathogenicity of mycobacteria (46, 47).

145 Mycolic acids are the hallmark of the CE of *Mtb* which create a special lipid barrier with their perpendicular orientation  
146 relative to the plane of the membrane (6). These components affect the permeability of the CE and the ability of *Mtb*  
147 to form biofilms (48). Furthermore, it is essential for the survival of mycobacteria and promote the pathogenicity  
148 during infection (49). Different studies have reported that the disruption of the mycolic acids synthesis pathway or  
149 alteration of their structure, affect the virulence of mycobacteria (50).

150 LAM is a major virulence factor associated with *Mtb* since it allows the mycobacteria to survive in the host cell  
151 environment by altering host resistance and IR (51). LAM inhibits phagosomal maturation in the host cell and  
152 contributes to the inhibition of macrophage functions (52-55).

153 TDM or cord factor is another virulence factor produced abundantly in virulent strains of Mtb (27, 56, 57). TDM blocks  
154 the phagosome-lysosome fusion and migration of polymorphonuclear neutrophils (27, 56, 57). It contributes to the  
155 maintenance of the granulomatous response and the long term survival of Mtb in host cells (27, 56, 57).  
156 Accumulation of TDM causes weight loss in the host, resulting in the condition known as cachexia (58). The  
157 cyclopropane modification of TDM in virulent Mtb strains increase the inflammatory activity upon Mtb recognition by  
158 effectors of the innate IR, promoting the Mtb virulence through the manipulation of immune activation (59).  
159 Previous studies showed that PDIM of Mtb are involved in macrophage invasion, inducing changes in the  
160 organization of plasma membrane lipids (6, 29, 30). Regarding other components of the Mtb CE, the production of  
161 phenolic glycolipids in Mtb is associated with the hyper-virulent phenotype displayed by a subset of Mtb isolates.  
162 There is also a clear correlation between the presence of sulfolipids in Mtb isolates and virulence in guinea pigs (60,  
163 61).

#### 164 **4.0. Indirect evidences of the protective role of Mtb CEC**

165 Studies with polyclonal (pAbs) and monoclonal antibodies (mAbs), which challenged the traditional dogma of the  
166 exclusive role of cellular immunity in the defense against Mtb, had been very important in providing indirect evidence  
167 of the potential role of Mtb CEC in the protection against Mtb (62).

168 The first study describing a beneficial effect of the administration of mAbs directed to mycobacterial CEC on the  
169 course of Mtb infection was conducted with the mAb 9d8 (IgG3) that recognizes AM exclusively (63). This mAb,  
170 increased the survival of intratracheally-infected mice when the Mtb Erdman strain was pre-coated with it. In this  
171 study, the positive effect on survival was associated with an enhanced granulomatous response in the lungs as  
172 compared to controls receiving an isotype-specific non-related mAb (63).

173 Another mAb, MBS43 (IgG2b) directed to MPB83, a surface lipoglycoprotein, prolonged the survival of intravenously  
174 infected mice associated with reduced granuloma size and decreased necrosis in the lung (64).

175 Enhanced survival has also been observed in experiments using the mAb SMITB14 (IgG1) directed against the AM  
176 portion of LAM. Passive immunization of BALB/c mice with SMITB14 and its corresponding F(ab') have been shown  
177 to provide protection against Mtb infection in BALB/c mice, as determined by dose-dependent reduction in bacterial  
178 load in lungs and spleens, reduced weight loss and increased long-term survival (65).

179 In another study, mice receiving intravenous mAb 5c11 (IgM), that recognizes other mycobacterial arabinose-  
180 containing carbohydrates in addition to AM, prior to mannosylated lipoarabinomannan (ManLAM) administration,  
181 showed a significant clearance of ManLAM and redirection of this Mtb product to the hepatobiliary system (66). This  
182 study provided evidence that Abs can affect the fate of free mycobacterial polysaccharides. In addition, it was  
183 suggested that the liver and bile salts may have a role in the defense against mycobacterial infection, especially in  
184 the presence of specific Abs (66).

185 Heparin-binding haemagglutinin (HBHA) is a surface exposed protein which has been involved in mycobacterial  
186 dissemination (67). Two mAbs against HBHA: 3921E4 (IgG2a), and 4057D2 (IgG3), were used to coat mycobacteria  
187 before administration to mice. In mice receiving mycobacteria pre-coated with either mAb, spleen CFUs were  
188 reduced while lung CFUs were comparable to those of control (67). These results suggested that anti-HBHA Abs  
189 interfered with mycobacterial dissemination.

190 The Mtb 16 kDa protein (also called Acr antigen or HspX) has been identified as a major membrane protein and its  
191 expression is increased in Mtb growing inside infected macrophages (68-70). IgA mAbs against this protein reached

192 the respiratory fluids after its administration by different routes (71). Intranasal administration of mAb TBA61 (IgA),  
193 directed against 16-kDa antigen of Mtb resulted in lung CFU reduction 9 days after intranasal or aerosol challenge  
194 with Mtb (72). These results suggested that Abs could affect the early stages of infection. In another series of  
195 studies, López and colleagues evaluated the efficacy of TBA61 in the control of pulmonary infection (73). Using an  
196 intratracheal model of pulmonary infection with Mtb H37Rv, they evaluated bacterial load and morphometric and  
197 histological changes in the lungs of infected mice treated with the mAb (73). The results showed a significant  
198 reduction in bacterial load and morphometric and histopathological changes in lungs of mice treated with TBA61,  
199 compared to control groups. The reduction of CFU in lungs of the treated group was associated with a better  
200 organization of the granulomas and less pneumonic area (73).

201 Balu and colleagues evaluated the properties of a new human mAb recognizing the 16 kDa protein (74). The mAb  
202 2E9 (IgA1) was constructed using a single-chain variable fragment clone selected from an Ab phage library (74). The  
203 intranasal co-inoculation of 2E9 (IgA1) with recombinant murine IFN- $\gamma$  significantly inhibited lung infection in  
204 transgenic mice for human myeloid IgA Fc receptor, CD89 (74). Inhibition of the infection by the Ab was synergistic  
205 with human rIFN- $\gamma$  in cultures of purified human monocytes (74). This study demonstrated the feasibility of  
206 generating human mAbs to mycobacterial Ags, and their efficacy in mouse models adapted to human immune  
207 system (74).

208 In other experiments, the coating of Mtb with human IgG and secretory IgA formulations inhibited the infection in  
209 mouse models of progressive TB, which suggest that human Abs, directed to CEC are associated with protection  
210 against Mtb (75, 76).

211 The administration to mice of pAbs derived from healthy humans highly exposed to Mtb afforded protection in a Mtb  
212 challenge model in mice with the protection being associated with the presence of Abs against the CE (77).  
213 Administration of a commercial human IgG formulation pre-incubated with Mtb, abrogated the initial protection  
214 afforded by this formulation, suggesting an important role of Abs in Mtb protection (76).

215 These experimental evidences suggest a role of Abs in the defense against TB and the importance to consider the  
216 potential of mycobacterial CEC to elicit protective Ab responses in TB vaccine development.

## 217 **5.0. TB VCs based on mycobacterial CEC**

218 CEC have been used in TB vaccine development as adjuvants, and/or vaccine immunogens, although it is not  
219 always possible to establish a clear-cut distinction of these activities due to the overlapping effects of the  
220 components in several VCs. In the following sections, we will discuss the use of different CEC as adjuvants and  
221 vaccine immunogens.

222 **5.1. Mycobacterial CEC as adjuvants.** Vaccines based on individual proteins or fusion proteins are attractive  
223 alternatives because they are safe and produce little or no adverse effects. However, with few exceptions, proteins  
224 themselves are not detected as signs of danger and therefore do not induce IR in their first encounter with the host.  
225 To induce an IR, protein vaccines require pathogen-associated molecular patterns (PAMPs), which are small  
226 molecular structures found mainly in microorganisms like bacteria and viruses. The recognition of PAMPs through  
227 the pattern recognition receptors (PRR) triggers the induction of innate responses that finally lead to the specific  
228 adaptive IR against protein vaccines.

229 The immune properties of the lipid PAMPs present in the CE of mycobacteria have been extensively investigated.  
230 The early studies of Ribí et al. (78) and other studies (79, 80) demonstrated the adjuvant capacity of polar and apolar



231 mycobacterial CW extracts in different vaccine formulations against TB (**Table 4**) and other diseases (81, 82),  
232 including cancer (83, 84). Further studies have shown that lipids present in the CE of mycobacteria are powerful  
233 adjuvant for Th1 IR when delivered as liposomes and that these lipids can improve the protection against TB by  
234 themselves (85) (**Table 4**) or in a formulation with a subunit vaccine (86) (**Table 1**).

235 LMs, LAMs, ManLAM, lipoproteins, PDIMs, mycolic acids (MAs) and mycolate esters are among the most important  
236 PAMPs on the mycobacterial CE. In recent years, much progress has been made in elucidating the immune  
237 molecular mechanisms triggered by mycobacterial glycolipids and the host cellular receptors involved in these  
238 processes (87). However, a few studies have demonstrated the contribution of these glycolipids (or their derivatives)  
239 to the protection against Mtb in animal models, when formulated with subunit vaccines.

240 Below, we describe examples that have been reported on the use of mycobacterial CEC as adjuvants of TB subunit  
241 VCs in Mtb-challenge experiments.

242 5.1.1. **Monomycoloyl glycerol (MMG)**: The study of Andersen et al. has demonstrated that immunization of  
243 mice with Ag85B/ESAT-6 adjuvanted with N,N-dimethyl-N,N-dioctadecylammonium bromide (DDA)  
244 liposomes in combination with MMG or synthetic analogues induced a Th1-biased IR that provided  
245 significant protection against TB at levels comparable to the protective immunity induced by BCG  
246 vaccination (88) (**Table 1**).

247 5.1.2. **TDM**: TDM is one of the most potent immunostimulatory molecules on the mycobacterial CE; this glycolipid  
248 formulated with either protein Ags or DNA vaccines has shown powerful adjuvant properties.

249 The study of de Paula et al., has shown that a single dose of co-encapsulated DNAhsp65 and TDM into  
250 biodegradable poly (DL-lactide-co-glycolide) (PLGA) microspheres reduced the bacterial burden of Mtb  
251 in mice and guinea pigs as efficiently as three doses of naked DNAhsp65 (89) (**Table 2**). However, this  
252 vaccination scheme did not exceed the protection conferred by BCG. Conversely, boosting BCG-  
253 vaccinated mice with DNAapa coencapsulated with TDM in microspheres reduced the bacterial burden  
254 in lungs 70 days post Mtb challenge compared to vaccination with BCG alone (90) (**Table 2**).

255 Mtb10.4–HspX fusion antigen adjuvanted with DDA and TDM induced antigen-specific humoral and cell-  
256 mediated immunity. When used as a booster to BCG, this formulation slightly improved the protection  
257 conferred by BCG alone against Mtb challenge in mice (91) (**Table 1**).

258 Similarly, Decout et al. found that vaccination of mice with Ag85A and TDM incorporated in DDA  
259 liposomes induced strong Th1 and Th17 IRs and conferred protection against Mtb infection (92) (**Table**  
260 **1**).

261 The toxicity of TDM restricts its use as adjuvant for vaccines. Unlike TDM, trehalose dibehenate (TDB),  
262 a structural analogue of TDM, in which simpler fatty acids replace the complex mycolic acids, has an  
263 acceptable toxicity. Several studies have demonstrated that TDB formulated in cationic liposomes with  
264 DDA (formulation called CAF01) and with a fusion protein Ag85B-ESAT-6 or ESAT-6 as single protein  
265 induced strong Th1 and Th17 responses and protection against Mtb infection in mice at levels  
266 comparable to the protective immunity induced by BCG vaccination (93-95) (**Table 1**).

267 The adjuvant properties of CAF01 and two other liposomal adjuvants: Cationic CAF04 (DDA/MMG),  
268 and CAF05 [DDA/TDB in /poly(I:C)] have been tested in combination with the fusion protein H56  
269 (Ag85B-ESAT6-Rv2660c) in non-human primates. The results of this study have shown that

270 immunization with formulations of H56 in all adjuvants (used as BCG-boosters) resulted in better  
271 survival of the monkeys infected with Mtb and better protection readouts compared to BCG alone,  
272 albeit at non-significant levels (96) (**Table 1**).

273 5.1.3. **Glucose monomycolate**: The study of Decout et al., has demonstrated that glucose and mannose  
274 esterified at O-6 by a synthetic  $\alpha$ -ramified 32-carbon fatty acid are agonists of the C-type lectin receptor  
275 Mincle with similar adjuvant activity to that of TDM. One of these structurally simple synthetic Mincle  
276 ligands, GlcC14C18, has been shown to be less toxic than TDB on the host cells. This adjuvant  
277 induced protective immunity in a mouse model of Mtb infection when incorporated in DDA and  
278 inoculated with Ag85A to a similar extent to that afforded by vaccination with Ag85A/DDA/TDB (92)  
279 (**Table 1**).

280 5.1.4. **Arabinomannan (AM)**: The portion of AM of LAM has been used as adjuvant in different vaccine  
281 formulations. When AM linked to Ag85B was conjugated in Eurocine™ L3 adjuvant emulsion or in  
282 Alum, the resulting conjugates showed good protective efficacy against Mtb challenge in guinea pigs  
283 and mice, respectively. However, the protection afforded by these conjugate vaccines in mice was less  
284 efficient than BCG vaccination (97) (**Table 1**). An AM-tetanus toxoid conjugate (AM-TT), formulated in  
285 Eurocine™ L3 adjuvant, was used as intranasal boost to BCG. The bacterial loads in the spleens of  
286 Mtb-challenged animals were reduced in boosted animals compared to non-boosted animals. This  
287 finding suggests a direct contribution of AM to the protective efficacy of the conjugate vaccine.  
288 However, lung protection against Mtb infection was not improved in boosted animals (98) (**Table 1**).

289 Finally, Prados-Rosales et al.; have used the native capsular AM in vaccine formulations against  
290 tuberculosis infection of mice. They found that vaccination with capsular AM-Ag85b conjugate  
291 increased the survival of Mtb infected animals when compared to non-vaccinated or Ag85b-immunized  
292 mice. The survival of AM-Ag85b-vaccinated mice was similar to that of BCG-vaccinated animals but  
293 the bacterial counts in the lungs and spleen of mice after Mtb challenge was similar between the three  
294 groups (99) (**Table 1**). Interestingly, the authors of this study used passive immunization of naïve mice  
295 with sera from AM-Ag85b vaccinated animals to demonstrate that the protection mechanism induced  
296 by AM-Ag85b was antibody (Ab) mediated. They propose that specific Abs to both AM and Ag85b  
297 contributed to control bacterial dissemination. This observation is consistent with the modest  
298 protection conferred by AM-conjugates linked to Mtb unrelated proteins, such as TT [see above, (98)  
299 (**Table 1**)] and PA from *Bacillus anthracis* (99) (**Table 1**).

300 5.1.5. **Phosphatidylinositol di-mannoside (PIM2)**: PIM2 and its derivatives have been formulated with the  
301 fusion protein Ag85A-ESAT-6 and tested as anti-bovine TB vaccines in a mouse model. Vaccination of  
302 mice with Ag85A-ESAT-6+ PIM2 or BCG conferred a significant reduction in the bacterial load in lungs  
303 compared to that for the PBS control, but only BCG vaccination resulted in a significant reduction in the  
304 mean spleen bacterial count. Other PIM2 derivatives were not shown to improve the protection induced  
305 by the fusion protein Ag85A-ESAT-6 alone. In fact, PIM2ME, a monoether derivative of PIM2,  
306 appeared to have a detrimental effect in the control of bacterial replication in lungs and spleen of  
307 vaccinated and *Mycobacterium bovis* (Mbo) infected mice (100) (**Table 1**). Larrouy-Maumus et al.,  
308 assayed the adjuvant properties of mycobacterial lipids formulating PIM2 and diacylated

309 sulfoglycolipids (Ac2SGL) in liposomes made of DDA and TDB and used them as vaccines against Mtb  
310 in guinea pigs. The results showed that lipid VCs induced reduction of bacterial counts in spleen but  
311 not in lungs when compared to the unvaccinated group. However, vaccinated animals showed less  
312 pathology and also less lung necrosis (101) (Table 1).

313 Wedlock et al., used culture filtrate proteins (CFPs) from Mbo with different lipid formulations in DDA as  
314 boosts of BCG vaccination in cattle. In this study, PIM2 did not improve the protection induced by BCG  
315 alone in Mbo-challenged cattle. Remarkably, only the synthetic lipopeptide and the TLR2 agonist,  
316 Pam3Cys-SK KKK, formulated in DDA with CFPs conferred better protection compared to BCG alone  
317 (102) (Table 1).

318 5.1.6. **Poly- $\alpha$ -L-glutamine** (PLG) are glutamine-rich self-assembling peptides that are associated with the  
319 peptidoglycan layer of mycobacteria through noncovalent interactions. The adjuvant power of these  
320 peptides has been recently assessed. PLG improved the protective efficacy of ESAT-6 alone in a  
321 mouse model of TB, thus reaching to a protection level equivalent to that conferred by BCG vaccination  
322 (103) (Table 1).

323 **5.2. Mycobacterial CEC as immunogens.** Vaccines obtained from/or containing CEC can be classified according to  
324 the platform strategy: either as subunits, DNA, Mtb Ags expressed in attenuated vectors, CE extracts and natural and  
325 artificial membrane vesicles (MVs) (Tables 1-5).

326 **5.2.1. Subunits.** Considering the potential for protection of the Ab responses against CE carbohydrates, and the  
327 growing evidence of the importance of the lipid components in the elicitation of potent T cell responses  
328 against mycobacterial lipids, the breadth of the evaluated VCs as subunits has been expanded beyond the  
329 classical protein-based vaccine targets including carbohydrate and lipid components (Table 1) (63, 65,  
330 104-107).

331 Various subunit VCs have been evaluated using different adjuvant and delivery systems, as isolated  
332 components or as cocktails or fusion proteins, as multi-stage constructions covering different stages of the  
333 infection, administered by different routes and in prime-boost regimes, combined with BCG or with other  
334 VCs (Table 1).

335 In general, the VCs evaluated showed good potential as TB vaccines inducing good immunogenic  
336 responses and protection against Mtb or Mbo in animal models, some of them are at the advanced stages  
337 of clinical evaluation (Table 1).

338  
339 **5.2.2. DNA.** DNA vaccines, with their capacity to elicit humoral and cellular Th1 IRs, including CD8+ cytotoxic  
340 responses have been considered obvious candidates for new generation TB vaccine development (154,  
341 155).

342 DNA VCs, containing CE Ags genes, with different adjuvants, delivery systems and prime-boost schemes  
343 demonstrated good immunogenicity and protective capacity in different animal models (Table 2).

344 **5.2.3. Mtb CE-antigens expressed in attenuated vectors.** The use of bacterial and viral vectors expressing  
345 heterologous Ags as new generation vaccines is one of the most important technological platforms due to  
346 their multiple advantages (186, 187). Expression of CE Ags and in various antigen combinations, either by

347 themselves or combined with other VCs in prime-boost schedules, exemplify some of the candidates at the  
348 advanced stages of clinical evaluation (**Table 3**).

349 **5.2.4. CE extracts.** The presence in the mycobacterial CE of multiple components associated with the interaction  
350 with host receptors, virulence and important processes of relevant importance for the survival of the  
351 bacteria, in addition to their accessibility to the host IRs, has influenced the use of different CE extracts as  
352 experimental VCs (**Table 4**).

353 These candidates represent multi-antigenic formulations with intrinsic adjuvant effect, which has been  
354 evaluated as prophylactic and/ or therapeutic experimental vaccines in different animal models and clinical  
355 trials (**Table 4**).

356 **5.2.5. Natural and artificial membrane vesicles (MVs).** The important role of bacterial MVs in cell-cell  
357 communication, immunomodulation, virulence and cell survival, and their intrinsic potential advantages as  
358 VCs have focused the interest for their evaluation in animal and human studies, some of them  
359 demonstrating suitable efficacy (230, 231).

360 Mtb produce MVs, which contain relevant Ags and are potentially involved in the virulence and in the  
361 interaction with immune effectors inducing different types of IRs (232-234).

362 Considering the results obtained with the evaluation of MVs as vaccines from different microorganisms,  
363 natural and artificial MVs from pathogenic and non-pathogenic mycobacteria have been evaluated in  
364 animal models demonstrating their immunogenicity and protective capacity, either used alone or as BCG  
365 boosters (**Table 5**).

## 366 **6. Concluding remarks**

367 Mtb CE is a structure which have been capitalized as an important part of the research related to Mtb due to its  
368 peculiar structural organization and its huge impact in the physiology, survival, virulence, interactions with the host  
369 cells and the immune system and its potential importance in diagnosis, therapeutic and vaccine development (6-9,  
370 12, 15, 16).

371 The great diversity and accessibility of their components comprising proteins, lipids, carbohydrates, glycolipids and  
372 lipoproteins make them potential targets for vaccine development (238-242).

373 The important contribution of the CEC in the interaction with the host and the elicitation of non-specific IRs,  
374 associated with their intrinsic adjuvant properties have attracted attention in the field of vaccine development (6, 15,  
375 243-246).

376 Considering the growing evidence of the importance of the Ab responses in the protection against Mtb, the selection  
377 of accessible CEC as VCs is an obvious choice with encouraging results (62, 97-99).

378 The presence of immunodominant protein Ags, eliciting protective IRs in the acute phase of the infection highlights  
379 the interest in the CEC, as exemplified by the incorporation of this class of Ags in various vaccine platforms  
380 evaluated either in animal models or clinical evaluation (111, 140, 141, 157, 159, 174, 178, 179, 188, 190, 202, 216,  
381 217).

382 The importance of lipid components in the induction of specific IRs with potential impact in protection also present an  
383 added element which demonstrate the importance of CEC for the development of new generation vaccines against  
384 TB (3, 101, 104, 105, 107, 246, 247).

385 The great variety of Ags included in the CE related with different stages of the infection is an added advantage for the  
386 development of multi-stage VCs such as fusion proteins, antigen cocktails, CE extracts and natural and artificial  
387 membrane vesicles, which have been evaluated successfully in animal models, with some under clinical evaluation  
388 (69, 85, 109, 113, 130-132, 134, 136, 223-228, 235-237).

389 Another aspect that needs to be considered is the complexities involved in advancing some VCs (extracts synthetic  
390 analogues or combinations) from preclinical to clinical proof of concept. The main potential hurdles that face these  
391 VCs are associated with the characterization of the active component, complexity & consistency of manufacturing  
392 processes and the associated challenges in quality control issues of manufacturing.

393 It is interesting to note that apart from whole cell-based VCs, almost all the VCs which have been evaluated and  
394 those under evaluation in animal models and in clinical trials belong to the Mtb CEC (3, 248). However, it should be  
395 highlighted that the CE based VCs studied thus far, only represent a fraction of the great diversity of the CEC  
396 (proteins, lipids and carbohydrates) that are available (239, 243, 249, 250). Many others have the potential to be  
397 further explored as new components for the development of vaccines stimulating all the components of the IR and  
398 targeting all the phases of the infection.

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### 401 **Conflict of interest**

402 The authors declare that they have no competing interest.

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1085 **Table 1.** Subunit VCs developed from Mtb CEC.

1086

Vaccine candidates (VC)	Subunit VCs developed from Mtb CEC							Comments	Ref
	Model	Route	Adjuvant	Prime	Boost	Challenge	Protec Y/N		
<b>Proteins</b>									
Ag16kDa	Mouse	O/IN	Starch microparticles	VC	VCx2	No	-	H&C-IR	(108)
Ag16kDa-EsxS in PLGA	Mouse	SC	DOTAP	VC	VC	No	-	H&C-IR	(109)
Ag27kDa	Mouse	SC	Ribi or DDA	BCG	VC	Mtb-IV	N-CFU	Strong Th1 (with BCG/Mtb-Ags)	(110)
Ag85A	Mouse	ID	CAF01 or TDB synthetic analogues+DDA	VC	VCx2	Mtb-IN	Y-CFU	H&C-IIR. Protec (+potent: synthetic analogues)	(92)
Ag85B	Mouse	IN	CPG	BCG	VC	BCG-AER	Y-CFU	Presence of dendritic in BAL	(111)
Ag85A-Ag85B	Mouse	IN/SC	DDA	VC	VC	Mtb-IN	Y-CFU	Mucosal, H&C-IR cells.	(112)
Ag85A-ESAT6	Mouse	SC	Synthetic PIM2+oil+water (Emulsigen™)	VC	VCx2	Mbo-AER	Y-CFU	H&C-IR	(100)
Ag85A-Ag85B-CFP20.5-CFP25-CFP32	Mouse	SC	DDA-MPL	VC	VC	Mtb	Y-CFU	H&C-IR	(113)
Ag85B-ESAT6 (H1)	Mouse	O/SC	DDA-MPL	VC-	VC-	Mtb-AER	Y-CFU	C-IR. Oral: no priming effect.	(114)
	Guinea pig		MPL, CT, LT	O/SC	O/SC				
	Mouse	SC	BCG lipids in cationic liposomes	VC	VCx2	Mtb-AER	Y-CFU	H&C-IR LT-Protec>BCG	(86)
	Mouse		PLG				Y-CFU & S	Protec=BCG	(103)
	Mouse	IN	LTK63	BCG	VC	Mtb-AER	Y-CFU	C-IR	(115)
	Mouse	SC	MMG in cationic liposomes	VC	VCx2	Mtb-AER	Y-CFU	Protec=BCG	(88)
	Mouse	SC	CAF01	VC	VCx2	Mtb-AER	Y-CFU	C-IR. LT-Protec.	(93)
	Mouse	IM	CAF01 & Bioneddles	VC	VCx2	Mtb-AER	Y-CFU	C-IR. Protec=BCG	(94)
	Mouse- Neonatal/adult	SC	IC31 or Alum	VC		BCG-IV	Y-CFU	C-IR.	(116)
	Guinea pig	SC	DDA-MPL or TDB	VC	VC	Mtb-AER	Y-S	C-IR.	(117)
	NHP	IM	DDA-MPL or ASO2A	VC		Mtb-IT	Y-CFU	H&C-IR	(118)
	HHA-TST-	IM	IC31	VC		No	-	C-IR. AT	(119)
	HHA- BCG-Vac	IM	IC31	VC		No	-	H&C-IR. AT	(120)
	HHA-TST-	IM	CAF01	VC		No	-	C-IR. AT	(121)
HHIV-Inf-adult	IM	IC31	VC		No	-	Phase II. C-IR (Th1). AT	(122)	
HHAAd-TST-/+	IM	IC31	BCG	VC	No	-	Phase II. C-IR. AT	(123)	
Ag85B-TB10.4 (H4) (Aeras-404)	Mouse	SC	CAF01	VC/Ad	Ad/VC	Mtb-AER	Y-CFU	C-IR	(124)
	Mouse/ Guinea pig	SC	IC31	VC		Mtb-AER	Y-CFU	Dose dependent induction Th1.	(125)
	Guinea pig	SC	IC31	BCG	VC	Mtb-AER	Y-CFU	C-IR	(126)
	HHA- BCG-Vac	IM	IC31	BCG	VC	No	-	C-IR. AS.	(127)
	HHA-BCG-Vac	IM	IC31	BCG	VC	No	-	Phase I. C-IR. AS&T.	(128)
	HHAAd-BCG-Vac	IM	IC31	BCG	BCG/ VC	No	-	Phase II. Prevention QFT conversion. AS&T	(129)
Ag85B-ESAT6-Rv2660c (H56)	Mouse	SC	CAF01	BCG	VC	Mtb-AER	Y-CFU	C-IR. Protec (pre & post exposure)	(130)
	NHP	IM	IC31	BCG	VC	Mtb-IT	Y-S	C-IR. Protec (pre & post exposure)	(131)
	NHP	IM	CAF01, CAF04, CAF05, IC31	BCG	VC	Mtb-IT	Y-S	C-IR	(96)
	HHA-TST-/+	IM	IC31	BCG	VC	No	-	H&C-IT. AS&T.	(132)
Ag85B-Rv2660c-TB10.4(H28)	Mouse	SC	CAF01	VC	VC/ MVA28	Mtb-AER	Y-CFU	C-IR. Protec>BCG (VC7VC)	(133)
	NHP	IM	IC31	BCG	VC/ MVA28	Mtb-AER	Y-S	C-IR. Protec (with both schemes)	
Ag85B-HspX-Mpt64-Ag85B -Mpt64-Mtb8.4	Mouse	SC	DDA-BCG PSN	BCG	VC	Mtb-IV	Y-CFU	H&C-IR. Protec>BCG Combined vaccines (as BCG-booster)	(134)
Ag85B-HspX-CFP10-ESAT6-	Mouse	SC	CpG/Alum	VC	VCx2	No	-	H&C-IR	(136)
	Guinea pig	IM	CpG/Alum	BCG	VCx2	Mtb-SC	Y-CFU	-	
CFP8-CFP10-CFP15 ESAT6-TB10.4	Mouse	SC	MPL/DDA	VC	VCx2	Mtb-IV	Y-CFU	-	(137)
CFP11-CFP21-CFP22.5-CFP31-MPT64									
CFP10-HBHA-TB8.4-	Mouse	SC	DDA/MPL/TDB (DMT)	VC	VC	Mtb-AER	Y-CFU	H&C-IR	(138)

TB10.4-Rv3615c-ESAT6	Mouse	SC	CAF01	VC	VCx2	Mtb-AER	Y-CFU	C-IR. LT- Protec (subdominant epitopes)	(95)
EsxR	Mouse	SC	MPL-TDM	BCG	VC	Mbo	Y-CFU	C-IR	(139)
HBHA	Mouse	IN	CT	VC	VC	BCG-IN	Y-CFU	H&C-IR.	(140)
				BCG/VC	VCx4	Mtb-IT	Y-CFU	H&C-IR. Protec>BCG (as BCG-booster)	(141)
Mtb10.4-HspX	Mouse	SC	TDM+DDA	BCG	VCx2	Mtb-IV	Y-CFU	Protec=BCG. H&C-IR	(91)
Mtb72F	Mouse	IM	ASO2A/ ASO1B	VC	VCx2	Mtb-AER	Y-CFU	H&C-IR	(142)
	Mouse/ Guinea pig	SC	ASO2A	VC/ BCG	VCx2	Mtb-AER	Y-S	H&C-IR	(143)
	Rabbit	IM	ASO1A/ASO1B	BCG/VC	VCx3	Mtb-intratecal	Y-CFU	H&C-IR.	(144)
	NHP	IM	ASO2A	BCG/VC	VCx2/3	Mtb-IT	Y-CFU & S	C-IR. Protec>BCG	(145)
	HHA-TST-	IM	ASO2A	VC	VCx2	No	-	Phase I. H&C-IR. AT	(146)
	HHA-BCG-Vac/ Mtb infected	IM	ASO2A	VC	VCx2	No	-	Phase I/II. H&C-IR. AT	(147)
	HHA-TST- No-BCG-Vac	IM	ASO2A	VC	VCx3	No	-	Phase I. H&C-IR. AT	(148)
	HHA-TST-	IM	ASO1/ASO2	VC (M72/ M72F)	VC	No	-	Phase I/II. H&C-IR. Protec>BCG (M72-ASO1). AT	(149)
PE20	Guinea pig	IM	DDA/TDB	VC	VCx2	Mtb-AER	Y-CFU	H&C-IR	(150)
Culture Filtrate Proteins (CFPs)	Cattle	SC	DDA+MLP or synthetic PIM <sub>2</sub> or Pam <sub>3</sub> CSK <sub>4</sub> with BCG	VC	VCx2	Mbo-IT	Y-CFU	Better results with Pam <sub>3</sub> CSK <sub>4</sub>	(102)
<b>Carbohydrates</b>									
AM (Arabinomannan)	Mouse	SC/IN	TT/Eurocine™L3	SC	IN	Mtb-IN	Y-S	C-IR	(97)
	Guinea pig	SC/IN	TT/Eurocine™L3	SC	IN	No	-	H&C-IR	
	Mouse	IN	TT/Eurocine™L3	BCG	VC	Mtb-IV	Y-CFU	C-IR	(98)
AM-Ag85B	Mouse	SC	Alum	VC	VC	Mtb-IV	Y-S	-	(97)
	Guinea pig	SC	No	SC	IN	Mtb-AER	Y-CFU & S	-	
	Mouse	SC	Alum	VC	VCx2	Mtb-AER	Y-CFU & S	H-IR Inhibit Mtb dissemination	(99)
<b>Lipids</b>									
Ac <sub>2</sub> SGL+ PIM <sub>2</sub> (LipVac1)	Guinea pig	IM	DDA & TDB (liposomes)	VC	VCx2	Mtb-AER	Y-CFU		(101)
SL37+PIM <sub>2</sub> (LipVac2)									
PIMs	Mouse		liposomes & lipid A	VC		Mtb	Y-S	H&C-IR	(151)
TDM (cord factor)	Mouse	IV/IP		VC	VC	Mtb-IV	Y-S	-	(152)
TDM-MBSA	Mouse	SC	IFA	VC	VC	Mtb-IV	Y-CFU & S	-	(153)

**Ac<sub>2</sub>SGL**: Diacylated sulfolipids; **AER**: aerosol; **AM**: Arabinomannan; **AT**: Acceptable tolerability; **AS&T**: Acceptable Safety & tolerability; **BCG**: Bacille Calmete-Guerin; **BCG-Vac**: BCG-vaccinated; **C** cellular; **CFU**: colony forming unit; **HHA**: human healthy adults; **HHAd**: human healthy adolescents; **H**: humoral; **IM**: intramuscular; **IV**: intravenous; **IT**: intratracheal; **IP**: intraperitoneal; **IR**: immune response; **Inf-adult**: infected adults; **LT**: long term; **Mtb**: Mycobacterium tuberculosis; **M72**: point mutation of Mtb72F; **O**: oral; **Pam3CSK4**: synthetic lipopeptide; **PIMs**: Mannophosphoinositides; **PLG**: Poly- $\alpha$ -L-glutamine; **Protec**: Protection; **SC**: subcutaneous; **S**: survival; **TDB**: Trehalose-6,6-dibehenate; **TDM**: Trehalose-6,6-dimycolate (cord factor); **TDM-MBSA**: TDM methylated BSA; **TT**: tetanus toxoid; **VC**: Vaccine candidate; **Y/N**: yes/no.

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1089 Table 2. DNA based vaccines

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Vaccine candidates (VC)	DNA based VC							Comments	Ref
	Model	Route	Adjuvant	Prime	Boost	Challenge	Protec (Y/N)		
19 kDa /Rv3763	Mouse	IM	No	VC	VCx2	Mtb-IV	N	H&C-IR	(156)
27kDa	Mouse	IM	No	BCG	VCx2	Mtb-IV/BCG	N-CFU	Abrogates BCG Protec	(110)
Ag85A	Mouse	IM	PLG	VC	VCx2	Mtb-AER	Y-CFU	C-IR	(157)
	Mouse	SC	No	VC	VCx2	Mtb-AER	Y-CFU	C-IR	(158)
	Guinea pig	GG	IFA	VC	VC/Ag85A	Mtb-AER	Y-CFU	-	(159)
Ag85A (S. thyphimurium as delivery system)	Mouse	O/IN	No	VC	VCx2	Mtb-IV	Y-CFU	H&C-IR	(160)
Ag85B	Mouse	IM	Cardiotoxin	VC	VCx3	Mbo	Y-partial	H&C-IR	(161)
	Mouse	IM	-	VC	VC/BCG	Mtb-AER	Y-CFU	-	(162)
Ag85B-CFP10-CFP21	Mouse	IM	No	VC	VCx2	Mtb-IV	Y-CFU	H&C-IR.	(163)
Ag85B-MPT64-MPT83	Cattle c.	IM	No	VC	BCG	Mbo	Y-CFU	C-IR	(164)
	Mouse	IM	IL2 gene	VC	VCx2	Mtb-IV	Y-CFU	H&C-IR	(165)
	Cattle c.	IM	DDA	VC	VCx2	Mbo-IT	Y-CFU	H&C-IR	(166)
Ag85B-ESAT6-KatG-Rv1818c-MTB8.4-MTB12-MTB39A-MPT63-MPT64-MPT83	Mouse	IM	Fused to TPA/ubiquitin-Ub	VC	VCx2	Mtb-AER	Y-CFU & S	C-IR	(167)
Ag85A-ESAT6	Mouse	IM	No	VC	VC/BCG/attenuated Mb	Mbo-AER	Y-CFU	H&C-IR. Protec=BCG (with booster)	(168)
Ag85B-ESAT6	Mouse	IM	No	VC/BCG	VC	Mtb-AER	Y-CFU & S	C-IR-IR. Protec>BCG (as booster)	(169)
	Mouse	IM	No	VC	VC	Mtb	Y-CFU	H&C-IR. Protec=BCG	(170)
Ag85A-Ag85B-CFP10 ESAT6 (Tcell epitopes fused to HSP65)	Mouse	IM	No	VC	VCx3	BCG-IN	Y-CFU	H&C-IR	(171)
Ag85B-ESAT6-MPT83	Mouse	IM	DDA	VC	VCx2	Mtb-IV	Y-CFU	H&C-IR. Protec>BCG	(172)
Apa	Mouse	SC/IM	TDM+PLGA	BCG-SC	VC-IM	Mtb-IT	Y-CFU	Protec>BCG	(90)
Apa/Pro	Mouse	SC/IM	CMV-IE/ubiquitin	VC	VC	BCG-IV	Y-CFU	H&C-IR	(173)
	Guinea pig	ID		VC	-	No	-	H&C-IR	
ESAT6	Mouse	IM	No	VC/rBCG	VC/VCx2	No	-	C-IR	(174)
	Guinea pig	IM	No	VC/rBCG	VC/VCx2	Mtb-AER	N-CFU	No protec: VC. Abrogates protec (as booster of rBCG)	
ESAT6/16kDa/SodA	Mouse	IM	No	VC	VCx2	No	-	H&C-IR	(175)
	Guinea pig	IM	No	VC	VCx2	Mtb-SC	Y-CFU		
ESAT6-CFP10	Bull calves	IM	IFA + DNA:GM-CSF + DNA:CD80/CD86	VC	VC	No	-	H&C-IR. Best results with: VC+DNA:GM-CSF + DNA:CD80/CD86	(176)
	Calves	IM		VC/BCG VC+BCG	VC	Mbo-AER	Y-CFU	Best results with: BCG+VC+DNA:GM-CSF+DNA:CD80-CD86)	
ESAT6/KatG/MPT64/HBHA	Mouse	IM	No	VC	VCx2	Mtb-AER	Y-CFU	H&C-IR	(177)
HSP65	Mouse	IM/GG	No	VC	VCx2	Mtb-IT	Y-CFU	H&C-IR. Protec:Y (IM): Protec: N (GG)	(178)
	Mouse/ Guinea pig	IM	TDM+PLGA	VC	-	Mtb-IT	Y-CFU	H&C-IR. Protec=BCG	(89)
	Mouse	IN/IM	No	BCG-IN/SC	VCx2	Mtb-IT	Y-CFU	C-IR. Protec>BCG (BCG-IN + VC)	(179)
Hsp65-Hsp70-Apa	Mouse	IM	No	VC	VCx3/BCG	Mbo-IV	Y-CFU	C-IR. Protec: (with BCG boost)	(180)
LppX (22kDa)	Mouse	IM	No	VC	VCx2	Mtb-IV	N-CFU	H&C-IR	(181)
MPT64 fused to ubiquitin	Mouse	IM	No	VC	VCx2	Mtb-AER	Y-CFU	H&C-IR	(182)
MPB83	Mouse	IM	No	VC	VCx3	Mbo-IV	Y-CFU	H&C-IR	(183)
	Cattle c.	IM	No	VC	VCx2	No	-	C-IR	
MPB70/MPB83	Cattle	IM	No	VC	VCx2/Proteinx2	Mbo-IT	N-CFU	H&C-IR (after priming with protein)	(184)
Mtb72F	Mouse	IM	No	VC	VCx2	Mtb-AER	Y-CFU	H&C-IR	(142)
	Guinea pig	IM	No	VC	VCx2	Mtb-AER	Y-S	-	
PstS1/PstS2/PstS3	Mouse	IM	No	VC	VCx2	Mtb-IV	Y-CFU	H&C-IR. Protec (PstS3)	(185)

**AER:** aerosol; **BCG:** Bacille Calmete-Guerin; **Cattle c.:** Cattle calves **C** cellular; **CFU:** colony forming unit; **GG:** Gene-gun; **H:** humoral; **ID:** intradermal; **IFA:** incomplete Freund adjuvant; **IM:** intramuscular; **IN:** intranasal; **IR:** immune response; **IT:** intratracheal; **IV:** intravenous; **Mbo:** *Mycobacterium bovis* **Mtb:** *Mycobacterium tuberculosis*; **O:** oral; **Protec:** Protection; **S:** survival; **SC:** subcutaneous; **SodA:** superoxide dismutase A; **VC:** Vaccine candidate; **Y/N:** yes/no.

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1092 Table 3. TB VCs based on Mtb CE antigens expressed in attenuated vectors  
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Vaccine candidate (VC)	Mtb CE antigens expressed in attenuated vectors						Comments	Ref
	Model	Route	Prime	Boost	Challenge	Protec (Y/N)		
<b>Adenovirus (Ad)</b>								
Ag85A	Mouse	IM/IN	VC-IM/IN	VCx2-IM/IN	Mtb-IN	Y-CFU	C-IR. Protec: IN	(188)
			VC-IM/IN	VCx3= 2:IM; 1:IN/IM	Mtb-IN	Y-CFU	Protec: IN+IM better results	
	Mouse	IN/ID	BCG-	ChAd-MVA	Mtb-AER	Y-CFU	Protec: ChAd (IN) followed by MVA (ID/IN)	(189)
	Mouse	SC/IN	BCG	VCx2-IM/IN	Mtb-IN	Y-CFU	C-IR. Protec>BCG: IN	(190)
	Mouse	IM/IN	DNA-IM	VC-IN	Mtb-IN/AER	Y-CFU	Protec>BCG: IN	(188)
	Mouse/	IM /IN	VC	-	Mtb-IN	Y-CFU	C-IR. Protec: IN	(191)
	Guinea pig	IN/IM	BCG/VC	VC-IN/IM	Mtb-AER	Y-CFU & S	Protec>BCG: IN	(192)
Calves	ID	BCG	VC	Mbo-IT	Y-CFU, pathology	C-IR. Protec>BCG	(193)	
Ag85A-TB10.4	Mouse	IN/IM	VC	-	Mtb-IN	Y-CFU	C-IR. Protec>BCG	(194)
Ag85A-Ag85B-TB10.4	Mouse	IN/IM	VC	-	Mtb-IN	Y-CFU	C-IR	(195)
Ag85B	Mouse	ID/IN	DNA-ID	VC-IN	Mtb-AER	Y-CFU	C-IR	(196)
<b>BCG</b>								
16kDa	Mouse	SC	VC	-	Mtb-IV	Y-CFU	H&C-IR	(197)
72f	C. monkey	ID	VC	VCx2	Mtb-IT	Y S	C-IR	(198)
Ag85A	C. monkey	ID	VC	-	Mtb-IT	Y-CFU	H&C-IR	(199)
Ag85B-16kDa	Mouse	SC	VC	-	Mtb-IN	Y-CFU	H&C-IR	(200)
Ag85B	Mouse	SC	VC	-	Mtb-IV	Y-CFU	H&C-IR	(197)
	Guinea pig	ID	VC	-	Mtb-AER	Y-CFU & S	C-IR	(201)
	HHA PPD-	ID	VC	-	No	-	C-IR. AT	(202)
Ag85B-ESAT6	Mouse	SC	VC	-	Mtb-IV	Y-CFU	H&C-IR	(203)
Ag85B-ESAT6-INFy	Mouse	SC	VC	-	Mtb-IV	Y-CFU	H&C-IR. Protec>BCG	(204)
Ag85B-CFP10-ESAT6-Mtb8.4-MTP40	Mouse	IP	VC	VCx2	No	-	H&C-IR	(205)
MPT64-PE_PGRS33	Mouse	SC	VC	-	Mtb-AER	Y-CFU & S	H&C-IR. Protec>BCG	(206)
<b>Human Parainfluenza type2 virus (rhPIV2)</b>								
Ag85B	Mouse	IN	VC/DNA	VCx3	Mtb-AER	Y-CFU	C-IR. Protec>BCG	(207)
<b>Influenza virus</b>								
ESAT-6	Mouse/	IN	VC	VCx2	Mbo-IV	Y-CFU	C-IR. Protec=BCG	(208)
	Guinea pig	SC/IN	VC	VC	Mtb-SC	Y-CFU	Protec=BCG	
<b>Mycobacterium smegmatis</b>								
19 kDa/Rv3763	Mouse	SC	VC	-	Mtb-IV	N-CFU & S	Deleterious effect	(156)
Ag85B epitope	Mouse	SC	VC	VC	No	-	H&C-IR	(209)
<b>Mycobacterium vaccae</b>								
19 kDa/Rv3763	Mouse	SC	VC	-	Mtb-IV	N-CFU & S	Deleterious effect	(156)
<b>Salmonella Thyphimurium</b>								
Ag85B	Mouse	O/IV	VC	VCx2	Mtb-IV	Y-CFU	C-IR	(210)
ESAT6	Mouse	IV	VC/DNA	DNA/VC	Mtb-IV	Y-CFU	C-IR	(211)
Ag85B-ESAT6	Mouse	O/IN	VC-O/IN	Protein-IN	No	-	H&C-IR	(212)
	Guinea pig	O/SC	VC-O	Protein-SC	Mtb-AER	Y-CFU & S	-	
<b>Modified Vaccinia Virus Ankara (MVA)</b>								
Ag85A	Mouse	IN/	BCG-IN	VC-IN/parenteral	Mtb-AER	Y-CFU	C-IR. Protec>BCG (VC-IN)	(213)
	Guinea pig	SC	BCG	VC/Fowlpox-85A	Mtb-AER	Y-S	Protec>BCG	(214)
	Calves	ID	BCG	VC	Mbo-IT	Y-CFU & pathology	C-IR. Protec>BCG (VC booster)	(193)
	R. macaques	ID/AER	BCG	VC	No	-	IR (AER). AS.	(215)
	HHA	ID/AER	BCG	VC	No	-	Specific-IR. Both were safe	(216)
	HHI	ID	BCG	VC	No	-	C-IR. AS&T. Efficacy=BCG	(217)
Ag85B-ESAT6-	Mouse	SC	VC	-	Mtb-IV	Y-CFU	H&C-IR. Protec>BCG	(218)
Ag85A-Ag85B-ESAT6-HSP60-MTB39-IL15	Mouse	SC	VC/ESAT&Ag85Bx3	VCx2/ESAT&-Ag85Bx3	Mtb-IV	Y-CFU	C-IR	(219)
<b>Vesicular stomatitis virus (VSV)</b>								
Ag85A	Mouse	IN/IM	VC/Ad	VC-IN	Mtb-AER	Y-CFU	C-IR. Protec: (with prime-boost)	(220)
VSV-846 (Rv3615c-Mtb10.4-Rv2660c)	Mouse	IN	VC/BCG	VC	BCG-IN	Y-CFU	C-IR. Protec>BCG (with prime-boost)	(221)
VSV-846	Mouse	IN	VC	-	BCG-IN	Y-CFU	C-IR. LT-Protec>BCG	(222)

**AER:** aerosol; **AS:** Acceptable safety; **AT:** Acceptable tolerability; **BCG:** Bacille Calmete-Guerin; **C:** cellular; **CFU:** colony forming unit; **ChAd:** Chimpanzee Ad; **C.monkey:** Cynomolgus monkey; **H:** humoral; **HHA:** human healthy adults; **HHI:** human healthy infants; **ID** intradermal; **IM:** intramuscular; **IN:** intranasal; **IP:** intraperitoneal; **IR:** immune response; **IT:** intratracheal; **IV:** intravenous; **LT:** long term; **Mbo:** *Mycobacterium bovis* **Mtb:** *Mycobacterium tuberculosis*; **Protec:** Protection; **R. macaques:** Rhesus macaques; **S:** survival; **SC:** subcutaneous, **T:** tolerability; **VC:** Vaccine candidate; **Y/N:** yes/no.

1095 Table 4. TB VCs based on Mtb CE extracts

1096

Vaccine candidate (VC)	VC based on Mtb CE extracts							Comments	Ref
	Model	Route	Adjuvant	Prime	Boost	Challenge	Protec (Y/N)		
TSP-Aq	Mouse	SC	IFA	VC	VCx2	Mtb-IV	Y-CFU	C-IR. Protec=BCG	(223)
CE-PPC	Mouse	SC/IM	No	VC-SC	VCx2-SC/IM	Mtb-IV	Y-CFU & S	H&C-IR	(224)
LMs	Mouse	SC	With/without Alum	VC	VC	Mtb-IT	Y-CFU	Protec= BCG (VC with /without Alum)	(225)
MycO-CE-O	Mouse	IV	No	VC	-	Mtb-AER	Y-CFU	Protec: CW from BCG, Mbo, Mtb & non-tuberculous mycobacteria	(78, 79)
Mtb-WLE	Guinea pig	SC	QS-21/DDA or both	VC	VCx2	Mtb-AER	Y-CFU	Protec (VC+ QS-21+DDA and QS-21)	(85)
RUTI	Mouse	SC	No	VC	VC	Mtb-AER	Y-CFU	Immunotherapeutic effect on reactivation after ST chemotherapy. C-IR	(226)
	Mouse	SC	No	VC	VC/	Mtb-AER	Y-CFU	ST-Protec=BCG (lung) Protec<BCG (spleen) LT-Protec=BCG (lung)	(227)
				BCG	VCx2				
				VC	VCx3				
	Mouse	SC	No	VC	VC	Mtb-AER	-	Therapeutic effect (decrease of CFU)	(227)
	Guinea pig	SC	No	VC	VC	Mtb-AER	Y-CFU	Immunotherapeutic effect on reactivation after ST-chemotherapy.	(226)
	Guinea pig	SC	No	VC	VC	Mtb-AER	N-S	-	(227)
HHA-no BCG vac Non Mtb infected	SC	No	VC	VC	No	-	Phase I. C-IR. AT.	(228)	
Latently infected HIV (+/-)	SC	No	VC	VC	No	-	Phase II. C-IR. Reasonable tolerability.	(229)	
MycO-CEO	Rhesus Monkey	IV	No	VC	-	Mtb-AER	Y-Chest X-rays & gross & microscopic pathology	Protec= BCG (CWs from BCG & Mbo)	(80)

**AER:** aerosol; **AT:** Acceptable tolerability; **BCG:** Bacille Calmete-Guerin; **C:** cellular; **CFU:** colony forming unit; **CE-PPC:** Mtb CE protein peptoglycan complex in liposomes; **H:** humoral; **HHA:** human healthy adults; **IFA:** Incomplete Freund adjuvant; **IM:** intramuscular; **IR:** Immune response; **IT:** intratracheal; **IV:** intravenous; **LMs:** lipid extract from *M. smegmatis*; **LT:** long term; **Mbo:** *Mycobacterium bovis*; **Mtb:** *Mycobacterium tuberculosis*; **Mtb-WLE:** Mtb H37Rv-whole lipid extract in liposomes; **MycO-CE-O:** Mycobacterial-CE in oil; **Protec:** Protection; **RUTI:** Fragmented Mtb cells in liposomes; **S:** survival; **SC:** subcutaneous; **ST:** short term; **TSP-Aq:** Aqueous fraction of Triton X-100-soluble Mtb H37Rv CE proteins; **VC:** Vaccine candidate; **Y/N:** yes/no.

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1098 Table 5. Natural and artificial membrane vesicles (MVs)

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Vaccine candidate (VC)	Natural and artificial membrane vesicles (MVs)							Comments	Ref
	Model	Route	Adjuvant	Prime	Boost	Challenge	Protec Y/N		
MV-BCG/ MV-Mtb	Mouse	SC	No	VC/ BCG	VC	Mtb-AER	N/Y CFU	Protec=BCG (MV-Mtb). No Protec: (MV-BCG) Protec>BCG (as BCG-booster)	(235)
PLBCG	Mouse	SC	With/ without Alum	VC/ BCG	VC	Mtb-IT	Y-CFU	Protec=BCG (less lung lesions). Protec > BCG (VC as BCG-booster)	(236)
PLMs	Mouse	SC	With/ without Alum	VC	VC	Mtb-IT	Y-CFU	Protec (with/without Alum) Protec= BCG (VC with Alum)	(237)

**AER:** aerosol; **BCG:** Bacille Calmete-Guerin; **CFU:** colony forming unit; **IT:** intratracheal; **MV:** membrane vesicle; **MV-BCG:** Natural MV from BCG; **MV-Mtb:** Natural MV from *Mycobacterium tuberculosis*; **PLBCG:** Artificial MV from BCG; **PLMs:** Artificial MV from *Mycobacterium smegmatis*;  **Protec:** protection; **SC:** subcutaneous; **VC:** vaccine candidate; **Y/N:** yes/no

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