

1 **Exploring the mechanical response of low-carbon soil improvement mixtures**

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11

12 **ABSTRACT**

13 As society moves towards decarbonisation it is important to assess the hydro-mechan-
14 ical behaviour of binders that could offer a low-carbon alternative to Portland cement
15 in ground improvement technologies. This work considers two such alternatives: one
16 still largely unexplored (metakaolin-based geopolymers) and a better known one (col-
17 loidal silica). Results from unconfined compressive strength, permeability tests, un-
18 drained monotonic and cyclic triaxial tests on granular soils (sand and silty sand)
19 treated with those two binders are presented and discussed, emphasizing similitudes
20 and differences with the response of similar soils treated with other conventional and
21 unconventional binders. Effects of silt content, curing conditions and soil/binder ratios
22 are examined. Both colloidal silica and metakaolin-based geopolymer significantly im-
23 prove the mechanical properties of the treated soils, although the geopolymer results
24 in a stronger and stiffer material. Both treatments reduce much the permeability of the
25 treated soil, but the reduction achieved with CS is larger.

26 **Keywords:** sand-silt mixture; triaxial tests; permeability; metakaolin-based geopoly-
27 mer; colloidal silica

28 **ABBREVIATIONS AND SYMBOLS**

| | | |
|----|------------|---|
| 29 | C_{iv} | Volumetric cement content |
| 30 | D_r | Relative density |
| 31 | c' | Drained cohesion |
| 32 | e | Void ratio |
| 33 | E | Young modulus |
| 34 | F_0 | Metakaolin volumetric filling |
| 35 | FA | Fly-ash |
| 36 | G_s | Specific gravity |
| 37 | k_w | Water permeability |
| 38 | MK | Metakaolin |
| 39 | OPC | Ordinary Portland cement |
| 40 | q | Deviatoric stress amplitude |
| 41 | TXCIU | Monotonic triaxial compression test |
| 42 | CTXU | Cyclic triaxial compression test |
| 43 | UCS | Unconfined compressive strength test |
| 44 | ρ_s | Density of the solid particles (components) |
| 45 | ρ_s^* | Density of the solid particles in the mixture |
| 46 | ϕ | Diameter |

47 φ' Drained friction angle

48 ν Poisson's ratio

49 η Porosity

50 INTRODUCTION

51 Ground improvement techniques enhance the mechanical and hydraulic properties of
52 soils for engineering applications. Several ground improvement methods involve the
53 addition of binders to soils in place. These methods are usually classified according to
54 the level of soil disturbance associated with binder placement (e.g., Cambefort, 1977;
55 Mitchell, 1981; Karol, 2003; Spagnoli, 2021). Techniques based on mechanical mixing,
56 such as deep soil mixing, or fluid-driven erosion and mixing, such as jet-grouting, re-
57 quire total soil remoulding and occupy one end of this spectrum. At the other end are
58 located techniques that imply very low disturbance, such as permeation grouting. Re-
59 gardless of the technology selected, the binder that is currently most often employed
60 in ground improvement technology is ordinary Portland cement (OPC).

61 Cement manufacturing accounts for 8% of global carbon dioxide (CO₂) emissions and
62 reducing the carbon dioxide footprint of concretes is increasingly seen as urgent (IEA,
63 2018). Low-carbon binders, in which OPC has been totally substituted, are key to
64 achieve significant long-term emission reductions (Lehne and Preston, 2018). This fits
65 well with the perception of material use as the dominant factor in life-cycle environ-
66 mental impact of geotechnical systems (Kendall et al 2017). Referring particularly to
67 ground improvement, life-cycle carbon emissions have been proposed as a means to
68 evaluate the global environmental impact of specific projects (Shillaber et al. 2016). It
69 is thus likely that the road towards sustainable ground improvement passes through a
70 much-increased use of low-carbon binders (Mohammed et al. 2021).

71 Alkali-activated binders (AAB) represent one important low-carbon alternative to OPC
72 (Provis and Deventer, 2014). AAB result from the reaction of a solid alumino-silicate
73 based material (precursor) and an alkaline metal (activator, e.g. sodium hydroxide). A
74 variety of products can act as precursors in AAB. Important examples include industrial

75 wastes, (such as ground blast-furnace slag (GBFS) or fly-ash residue from coal-fired
76 electricity generation), as well as natural products, (such as volcanic ash or a calcined
77 kaolinite known as metakaolin). The potential of AAB for ground improvement applica-
78 tions has been repeatedly highlighted from a variety of perspectives from strength im-
79 provement (e.g. Cristelo et al., 2011; Canakci et al. 2019) to environmental remediation
80 (Ji and Pei, 2019; Du et al. 2020). Finally, it is worth noting that the use of AAB is not
81 the only alternative to obtain low-carbon binders for ground improvement, as other
82 residues (e.g. calcium carbide, Du et al. 2016) and additives (e.g. superphosphate, Xia
83 et al. 2017, 2019) are also useful for that purpose.

84

85 Metakaolin (MK) and low-calcium fly-ash result in almost exclusively aluminosilicate
86 AABs, which are generally known as geopolymers (Davidovits, 1994, 2008; Provis and
87 Bernal, 2014). The term “geopolymer” describes an amorphous network of polymer-
88 ized silicoaluminates (Ma et al. 2018). Geopolymers are also used in the concrete in-
89 dustry as full or partial replacement for conventional cements (e.g. Singh et al. 2015).

90

91 Metakaolin is an industrial product, generally having more consistent properties than
92 residue-based AAB. The raw material (clay) is abundant (IEA, 2018) and, unlike some
93 residues, will not be limited by foreseeable changes in technology (as is the case of
94 GGBS) or in the energy production mix (as is the case of FA). On the other hand, the
95 current production of metakaolin is still small and it is currently marketed at significantly
96 higher prices per weight than OPC or other AAB precursors.

97 Metakaolin-based binders are highly viscous (Provis and Bernal, 2014). This makes
98 them unsuitable for soil permeation purposes, and better adapted for techniques such

99 as deep-mixing. A low-carbon alternative to OPC for permeation purposes is colloidal
100 silica (CS). Colloidal silica of interest in ground permeation takes the form of manufac-
101 tured aqueous suspensions of nanometric silica particles, solidifying as gel at a rate
102 controlled by pH and salt concentration (Bergna and Roberts, 2006). CS has several
103 inherent advantages for permeation treatments, such as small particle size, low vis-
104 cosity and non-toxicity. All these benefits have driven the uptake of CS for ground im-
105 provement in geo-environmental (Moridis et al. 1995; Wong et al. 2018) and liquefac-
106 tion mitigation applications (Gallagher et al., 2007; Zhao et al., 2020). It turns out that
107 CS treatments are also advantageous over OPC-alternatives from the carbon emission
108 viewpoint (Gallagher et al. 2013)

109 As with any other geomaterial, good mechanical and hydraulic characterization of
110 binder-soil mixtures is necessary to achieve sustainable design objectives, as the al-
111 ternative is overdesign and/or increased failure risk (Basu et al. 2015). Hydromechan-
112 ical characterization studies of soils improved with CS are well advanced (e.g., Persoff
113 et al, 1998; Gallagher and Mitchell, 2002; Díaz-Rodríguez et al., 2008; Porcino et al.,
114 2011; Porcino et al., 2012; Vranna and Tika, 2015; Georgiannou et al., 2017; Salvatore
115 et al., 2020). Table 1 presents a brief summary of such work: one aspect that has not
116 been investigated previously is the effect of fines on CS treatment.

117 Mechanical investigations of soil treated with metakaolin-based geopolymer are lim-
118 ited. Some studies (e.g. Kolovos et al., 2013; Cyr et al., 2013; Deng et al., 2015; Wu
119 et al., 2016; Asteris et al., 2017) have assessed the use of metakaolin in soil treatment
120 as a partial Portland substitute, but they used no alkali activators and, therefore, the
121 resulting binders were not geopolymers. Furthermore, when metakaolin-based geo-
122 polymers have been used, mechanical testing was limited to unconfined compressive
123 strength (Zhang et al. 2013; Rong-rong and Dong-dong, 2020; Spagnoli et al. 2021a).

124 The situation is different for residue-based geopolymer-soil mixtures where initial scop-
125 ing studies (Verdolotti et al., 2008; Cristelo et al., 2013; Singhi et al., 2016; Yaghoubi
126 et al., 2018) have been followed by more in-depth mechanical studies (Rios et al. 2016;
127 2017; Abdullah et al. 2019, 2020).

128 Spagnoli et al. (2021b) presented a detailed study of the effect of curing conditions on
129 microstructural and hydraulic properties of metakaolin-soil mixtures. However, the me-
130 chanical response was only explored by means of unconfined compression tests. It is
131 thus necessary to perform more in-depth studies of the mechanical response of me-
132 takaolin treated soils, for instance with triaxial tests where effective stress can be con-
133 trolled and pore pressure is registered.

134 The purpose of this work is to partially fill that gap in the current knowledge and present
135 a study of the monotonic and cyclic triaxial strength of soils (a sand and a silty sand)
136 treated with a metakaolin-based geopolymer. For contrast, the results are presented
137 alongside those obtained with a CS treatment of the same soils: this had the added
138 interest of examining the effect of soil fines in the CS treatment, an aspect that was not
139 touched upon in previous studies.

140 **MATERIALS AND METHODS**

141 *Base materials*

142 Two reference granular soils were used for the treatment. The first one is Holcim quartz
143 sand (0.2-0.6mm). The second is silty sand obtained by mixing dry carbonate silt
144 (CaCO_3) in a proportion of 10% by weight with the previous reference sand. The grain
145 size distribution of the soils is presented in Figure 1. Chemical and physical properties
146 of the materials, as well as their initial state, are summarized in Table 2.

147 The first binder employed in this study uses CS (MasterRoc MP 320®, Master Builders
148 Solutions) as precursor. This product is an aqueous dispersion (density 1.30 Mg/m³)
149 of silica particles of uniform nanometric size and silica concentration of 40% (Table 2).
150 The CS was mixed with a solution of NaCl (10% solution) at a volume ratio of 12% to
151 induce the gelation process.

152 For the geopolymer employed here the precursor material was a metakaolin powder
153 (Argical™-M 1000, Imerys) (Table 2), a dehydroxylated aluminium silicate
154 (Al₂O₃·2SiO₂) resulting from the calcination and micronization of kaolinitic clay and
155 having lamellar-shaped particles. The metakaolin powder was activated with an alka-
156 line water solution of potassium silicate ($w(\text{SiO}_2)/w(\text{K}_2\text{O}) = 1$). Potassium silicate was
157 selected to enhance workability, as it is known to result in less viscous binders than
158 the more frequently employed sodium-based activators (Provis and Bernal, 2014).

159

160 *Sample preparation*

161 The CS suspension was mixed with the accelerator saline solution (Table 3), stirred
162 for 1 minute (with a Robot 500, 500W (Taurus, Spain)) and permeated into the soils,
163 which had been previously dry poured in the moulds from a height of 200 mm. Perme-
164 ation took place through the porous steel base of the moulds, driven by an air-liquid
165 interface system applying an injection pressure of 3 kPa. Injection continued until the
166 injected fluid fully permeated the specimen, in a process that always lasted less than
167 5 minutes. Tomographic inspection of permeated specimens (Figure 2) showed that
168 the process resulted in a very uniform and complete filling of pore space by the hard-
169 ened colloid.

170 Equal weights of potassium silicate, de-aired water and metakaolin powder were mixed
171 (refer to Table 3), obtaining a Si/Al ratio of 1.3 and a water/binder mass ratio of 2. This
172 last ratio is analogous to the water/cement ratio used in deep mixing applications with
173 OPC (e.g., Puppala et al., 2008; BAUER Maschinen GmbH, 2016). The slurry obtained
174 was mechanically mixed (as described for CS) for 5 minutes to reach a homogeneous
175 lump-free dispersion of density 1.37 Mg/m^3 , and then hand-mixed with the soils with a
176 spatula. The resulting binder-soil material was poured in the moulds from a height of
177 200 mm. The mould walls were then lightly hit with a rubber hammer to remove some
178 entrapped air bubbles in the mixtures, until the target specimen height was attained.

179 Each specimen was left in the mould for one day. Afterwards two different curing pro-
180 tocols were followed. Dry curing (D) consisted on leaving specimens until testing at a
181 room temperature of 20°C and relative humidity 50%. Wet curing (W), which was only
182 used for some metakaolin specimens, involved submerging specimens in de-aired wa-
183 ter at 20°C . Immersion started three days after the preparation when they displayed
184 adequate consistency. Since tests were carried out after 3, 7 and 28 days of curing,
185 there is no difference between W and D specimens at three days. Dry curing is con-
186 sidered more realistic for applications of deep soil mixing in unsaturated soil and/or in
187 exposed conditions, such as retaining walls (Mosadegh et al.2017; Le Kouby et al.
188 2018).

189 The as-poured Holcim sand void ratio was $e = 0.825$, corresponding to a relative den-
190 sity $D_r = 35\%$ (refer to Table 2 for minimum and maximum void ratios of the sand). The
191 addition of 10% by weight of carbonate silt in the sand resulted in an as-poured void
192 ratio $e = 0.584$. The volume of geopolymer slurry added to the soil was selected to fill
193 a fixed fraction F_0 of the as-poured soil porosity. Two different filling target ratios were
194 selected, $F_0 = 40$ or 100% The weight ratios resulting from these two different filling

195 targets F_0 are indicated in Table 4. In the table, the volumetric cement content C_{iv} ,
196 representing the fraction of dry metakaolin powder volume in the total volume of the
197 specimens (Consoli et al. 2012), and the ratio between the as compacted/poured soil
198 porosity η and C_{iv} are also shown. The water content / ponderal binder content, defined
199 as indicated by Horpibulsuk et al. (2005) and Cai et al. (2015), has been included in
200 the Table 4, as well.

201 Specimens were fabricated at two different sizes: UC-size specimens ($D = 70$ mm; h
202 = 140 mm) for UCS, and TX-size specimens ($D = 38$ mm; $h = 76$ mm) for cyclic and
203 monotonic TX testing and hydraulic measurements. The ends of each specimen were
204 trimmed with a diamond band saw and then sanded before testing, to obtain smooth
205 faces and a height/diameter ratio equal to 2 (ASTM D4767, 2017; ASTM 2166, 2017).

206 An overview of the experimental program is summarized in Table 5. The following no-
207 menclature is used to identify different specimens. The first letters indicate the compo-
208 sition: MK: pure geopolymer, S: sand, SO: sand + carbonate silt, CS: colloidal silica,
209 SMK: sand + geopolymer, SOMK: sand + carbonate silt + geopolymer, SCS: sand +
210 colloidal silica, and SOCS: sand + carbonate silt + colloidal silica. The number in pa-
211 renthesis indicates the target initial filling ratio for the mixtures (F_0). The curing condi-
212 tions are indicated by W and D. Finally, numbers (1, 3, 7, 14, 28) indicated the elapsed
213 curing time in days before testing. For instance, a sample of sand treated with an initial
214 colloidal silica filling equal to 100% of the pore volume, tested after 28 days of curing
215 at 50% RH, would be SCS(100)D28.

216 *Hydraulic and mechanical tests*

217 Unconfined compressive strength tests (UCS) followed a standard procedure (ASTM
218 2166, 2017) which involved an axial-strain rate of around 0.5%/min (see Spagnoli et
219 al. 2021a, for more detail).

220 Isotropically consolidated undrained triaxial compression tests (TXCIU) were carried
221 out at isotropic consolidation pressures of $p'_o = 200$ and 600 kPa. To ensure saturation
222 during shearing, a back pressure of $u_w = 500$ kPa was applied and maintained for at
223 least 24 hours before shearing. The undrained shearing stage was conducted under
224 stress-controlled conditions (47 kPa/min). Secant Young moduli were calculated at dif-
225 ferent axial straining ($\epsilon_{ax} = 0.01\%$ and 2.50%).

226 Permeability of TXCIU specimens was measured in the triaxial cell, at the beginning
227 of each test. A controlled hydraulic gradient was applied using a back-pressure of 100
228 kPa at the bottom and of 10 kPa at the top cap. The inflow and outflow fluid volumes
229 were recorded by pressure/volume controllers, and the saturated permeability was cal-
230 culated under steady-state conditions (equal inflow and outflow fluid volume rates). An
231 isotropic confining total stress of 200 kPa was imposed during the measurement.

232 Saturated TX-size specimens were also tested under cyclic triaxial undrained com-
233 pression (CTXUC). Saturation was performed using a back-pressure of 400 kPa, while
234 maintaining $p' = 10$ kPa. Subsequently, an anisotropic consolidation stage following a
235 $K_0 = 0.5$ stress path was carried out until reaching radial and vertical effective stress
236 $\sigma'_{3,aver} = 125$ kPa and $\sigma'_{1,aver} = 250$ kPa, respectively. The consolidation stage lasted
237 around 24 hours. Finally, 600 cycles at a frequency of 1 Hz were applied to the material
238 under undrained conditions. The cycles were of pure compression with a cyclic stress
239 ratio $CSR = 0.25$. This variable is defined as (Kramer, 1996):

$$240 \quad CSR = \frac{q}{2\sigma'_o} \quad (1)$$

241
$$\sigma'_0 = \frac{1+2K_0}{3} \sigma'_{1,aver}. \quad (2)$$

242 where q is the deviatoric stress amplitude, $\sigma_1 - \sigma_3$, and σ'_0 is the mean effective con-
243 fining stress.

244 Secant undrained moduli were obtained for all cycles. These were computed on the
245 backbone q - ϵ_{ax} curve of each cycle (Matasovic and Vucetic, 1993; Subramaniam et al.
246 2019).

247 **RESULTS**

248 *Unconfined compressive strength (UCS)*

249 Axial stress-strain curves for different UCS tests are presented in Figure 3. For similar
250 curing conditions geopolymer treated soils showed order of magnitude higher
251 strengths than those injected with colloidal silica. This may be related to the strength
252 of the two binders, which is generally much larger for the metakaolin geopolymer. For
253 instance, for wet curing at 28 days Spagnoli et al (2021a) report UCS values of 1.2
254 MPa for specimens of pure MK, whereas Axelsson (2006) has reported values of only
255 40 kPa for a 35% CS gel cured in similar conditions.

256 The effect of curing is also different for the two treatments. It has been reported that
257 dry curing of pure CS results in even higher strength gains than wet curing (Axelsson,
258 2006). On the other hand, dry curing of pure MK results in severe mechanical damage
259 to the material (Spagnoli et al. 2021a). This was borne out by our tests, where in dry-
260 cured CS treated specimens UCS systematically increased, whereas metakaolin
261 treated specimens (SMK(100)D and SOMK(100)D) reduced strength and became
262 more ductile during the curing period. The MK mixtures achieve higher strengths at all
263 the curing times when cured below water (compare panel A and B in Figure 3).

264 Despite those differences, there are also some commonalities in the UCS strength of
265 the mixtures resulting from the two different treatments. To begin with it is noteworthy
266 that the mixtures are, in both cases, stronger than their component materials. It is also
267 interesting to observe that, both for the CS and the MK geopolymer treatment, the
268 presence of some silt within the sand results in stronger materials; the beneficial effect
269 of a carbonate filler in dry-cured mixes has also been observed in OPC-based concrete
270 (Bonavetti et al. 2000)

271 There are many factors that affect the UCS of treated soil specimens. Consoli and co-
272 workers (2011; 2012; 2016; 2020) have advocated the use of the porosity/binder ratio
273 η/C_{iv} as a normalizing factor accounting for void ratio of the host soil (through the dry
274 mix porosity value, η) and binder dosage (through the volumetric ratio, C_{iv}). Figure 4
275 (a) uses this parameter to compare the strength performance of the materials tested
276 in this study with some previous work. The comparison indicates that the treatment
277 with MK-based binder results in mechanical performance similar to those of OPC-
278 based treatments. At the same porosity / binder ratio CS treatments result in materials
279 that are significantly weaker than those treated with either OPC or geopolymer, and
280 better aligned with unconventional treatments such as the waste-glass-carbide lime.

281 The UCS results are again presented in Figure 4 (b) using a different mixture ratio,
282 namely the water content to ponderal binder dosage ratio, w/c_0 , which is frequently
283 used to interpret results of treated fine grained soils (Horpibulsuk et al. 2005; Cai et al.
284 2015). Interestingly, the results of both MK and CS treatments appear now better
285 aligned with the trends from OPC. This result suggests that the much higher strength
286 attained by MK treatments can be interpreted as a by-product of it being a relatively
287 “dry” treatment, whereas CS is instead a very “wet” binder.

288

289 *Permeability*

290 Figure 5 shows the values of saturated water permeability calculated during the satu-
291 ration stage of the TXC tests. Permeation with CS reduced the permeability of the base
292 soils much more than mixing with the geopolymer slurry, even when that mix was de-
293 signed to fill all the voids in the soil. The higher impermeabilizing efficiency of the col-
294 loidal silica treatment is made clearer by plotting the permeability values against the
295 “as-cured” void ratio of the specimens (Figure 5b). At the same void ratio, the CS treat-
296 ment reduced soil permeability by one order of magnitude more than the geopolymer.
297 Note that “as-cured” void ratio was evaluated by the paraffin method and corroborated
298 with CAT image analysis, (see Table 6 and Spagnoli et al. 2021a for more detail).

299 A plausible explanation for this difference is given by the different microstructural fea-
300 tures of the binders, as observed by microscopy (SEM and FESEM). While the me-
301 takaolin geopolymer results in micron-scale heterogeneity (Kuenzel et al. 2012; Katsiki
302 et al.2019; Spagnoli et al. 2021a) the microstructure of CS is only heterogenous at the
303 nanoscale and has smaller pores than those present in the geopolymer (Wong et al.
304 2018, Porcino et al. 2011).

305 *Monotonic triaxial undrained compression (TXCIU)*

306 The deviatoric stress and the excess pore water pressure observed during the shear-
307 ing stage of the CU triaxial tests are presented in Figure 6 and Figure 7.

308 At the same target filling ratio sand specimens improved with geopolymer are stronger,
309 dilate more and are stiffer than those improved with CS. They also have a more brittle
310 failure. The same conclusions can be drawn from the results obtained on silty sand.
311 Dilatancy reduces at the higher confining stress ($p'_0 = 600$ kPa) for all materials. In-

312 creasing the filling ratio for geopolymer resulted in more dilatant and stronger speci-
313 mens. Similar trends were observed by Wong et al. (2018) and Georgiannou et al.
314 (2017) in CS-treated soils.

315 Contrary to what happened with UCS the effect of curing time on the triaxial response
316 of dry-cured MK treated specimens was rather small, showing lesser or no clear decay
317 of peak mobilised strength with time (Figure 8). This suggests that confinement inhib-
318 ited the mechanical effect of MK micro-cracking that was visible on microscopic images
319 (Spagnoli et al. 2021a)

320 Undrained secant Young moduli are presented in Figure 9 (at a small strain level) and
321 Figure 10 (at intermediate strain levels). In these figures the strain level effect (de-
322 creasing stiffness as strain level increases) is larger than the stress level effect (stiff-
323 ness increases as confinement increases). As for the time effect, there is little to no
324 evidence of stiffness reduction due to dry-curing on the geopolymer-soil mixtures. On
325 the contrary, a certain curing-induced increase of stiffness at both strain levels is visi-
326 ble, although more for the silty sand (SO) based mixtures than for the pure sand (S)
327 mixtures. For the CS treated specimens the increase of stiffness with curing is even
328 more consistent.

329 *Cyclic triaxial undrained compressions (CTXUC)*

330 The evolution of normalized excess pore pressure during cyclic loading is shown in
331 Figure 11, along the axial strain and the number of cycles. The normalizing stress em-
332 ployed is the minor principal effective stress at consolidation, as is customary for triax-
333 ial conditions. The untreated specimens S and SO underwent high strains (i.e. $\epsilon_{ax} >$
334 5%) within the first 10 cycles of loading already, jointly with a fast increase of the pore
335 water pressures. They reached values of r_u between 0.7 (clean sand) and 0.85 (sand

336 + fines), which are close to a condition of liquefaction of the material. This might reflect
337 an increased relative density in the silty sand (Polito and Martin, 2003) although it is
338 recognized that the effect of non-plastic fines on liquefaction is a complex issue, be-
339 yond the scope of this paper.

340 In all the treated samples the effect of the applied cycles was not dramatic and axial
341 strains remained lower than 1%. Soils treated with CS showed higher strains and ex-
342 cess pore water pressures than those treated with the metakaolin geopolymer. This
343 may be attributed to the combined effect of smaller stiffness and lower permeability of
344 the CS treatment.

345 The stress path of the treated specimens remains distant from the triaxial failure enve-
346 lope (Figure 12). Notwithstanding that, significant stiffness degradation took place dur-
347 ing cyclic loading, even for the stronger MK treated material. In Figure 13, the effect of
348 cycling on the normalized secant undrained Young modulus $E_u/E_{u,in.}$ is presented along
349 the cycles. $E_{u,in.}$ is the stiffness calculated on each CTXUC backbone curve, in corre-
350 spondence of the first shearing cycle. The final secant stiffness is around 5 MPa (2%
351 of $E_{u,in.}$) in the untreated samples, 55 MPa (13% of $E_{u,in.}$) in CS-treated samples, and
352 236 MPa (43% of $E_{u,in.}$) in MK-treated samples.

353 **DISCUSSION**

354 As pointed out before precursor materials for geopolymers not only include metakaolin
355 but also low calcium fly ash. Rios et al. (2016, 2017, 2017b) presented a mechanical
356 study of silty sand treated with a fly ash based geopolymer. The base materials were
357 similar to those employed here (see Figure 1). The binder dosage in the mixtures was
358 slightly higher and the porosity was slightly lower (see Table 7).

359 Figure 14 and Figure 15 compare the peak strength envelopes obtained with the dif-
360 ferent base soils alone and with the geopolymer treated soils, (see also Table 8). Note
361 that in the triaxial tests by Rios et al. (2017) the specimens were sheared in a drained
362 condition, after anisotropic consolidation, whereas here shearing is undrained and con-
363 solidation isotropic. Despite those differences, the two sets of results fit well together.
364 The envelopes obtained for the base soils are remarkably close, a fact that allows
365 better appreciation of the relative improvement obtained by each binder mixture. The
366 strength attained increases as the MK dosage increases and also when silt is added
367 to the sand. This last effect may be due to the silt reducing the soil porosity, although
368 a chemical effect -the strengthening of geopolymer by small doses of calcium car-
369 bonate (Yip et al. 2008)- may also play a role. The FA treatment results in higher en-
370 velopes which, again, may be first related to the smaller porosity/binder ratio (Table 7)
371 and perhaps also to the different binder chemistry.

372 Secant stiffness degradation during shearing, $E_u/E_{u,0.01}$, is compared in Figure 16 for
373 the different geopolymer improved soils. $E_{u,0.01}$ is calculated in correspondence of an
374 axial strain of 0.01%, as for Figure 9. The results suggest that the MK geopolymer and
375 CS are somewhat more fragile than the FA one, as the stiffness decay is faster. Again,
376 the effects of dosage and porosity may explain the difference, although other factors
377 may also play a role. One such factor is the harsher curing condition of the MK speci-
378 mens, where dry curing resulted in visible retraction microcracks in the binder joining
379 together the different grains (Figure 19).

380 By comparison with the geopolymers, the strength increase obtained with the CS treat-
381 ment is much smaller (Figure 17). The results are in good agreement with direct shear
382 results obtained by Wong et al. (2018) on a CS treated sand similar to the one in this
383 study (MP 320, silica concentration = 40% (w/w) in the solution). The limited effect of

384 CS on friction angle was also observed by Wong et al. (2018) and Vranna and Tika
385 (2015). It is noticeable that, contrary to what happened for the UCS, the addition of
386 carbonate silt did not induce significant effects on the triaxial envelope of the CS im-
387 proved soil.

388 The permeability values obtained in this work are compared with similar measurements
389 in Figure 18. The important role of the curing condition on this property is evidenced
390 by the results for CS which was more permeable when cured dry, even for relatively
391 more intense binder treatment (as measured by the w/c_0 ratio).

392 The observed differences between CS and MK treated soils can be related to some
393 features of the induced microstructure. Both binders fill in the gaps between the soil
394 grains, cementing them. But the metakaolin geopolymer presents pervasive retraction
395 cracking at the microscale (Figure 19; see also Spagnoli et al. 2021a), whereas the CS
396 cement bridges, with nanoscale porosity, have a much smoother texture (see Wong et
397 al. 2018).

398 **CONCLUSIONS**

399 This paper investigated the influence of two different binders (i.e. metakaolin-based
400 geopolymer and colloidal silica) on the hydro-mechanical behaviour of loose sandy
401 soils under monotonic and cyclic stresses. The main observations may be summarized
402 as follows

- 403 • Treatment with metakaolin-based geopolymer is as effective to increase the
404 strength of the soils as OPC, attaining similar UCS values for similar poros-
405 ity/binder ratio values
- 406 • Although dry-curing reduces the UCS of metakaolin treated soil, it did not re-
407 duce its confined (triaxial) strength or stiffness,

- 408 • The strength improvements obtained with the metakaolin geopolymer and the
409 colloidal silica are generally well aligned with those obtained with Portland Ce-
410 ment at similar w/c_0 dosage ratios.
- 411 • Metakaolin treated soils had larger stiffness and slower stiffness degradation
412 than those permeated with CS
- 413 • Although both treatments achieved significant reductions in permeability, treat-
414 ment with CS was more effective to reduce permeability than treatment with the
415 metakaolin geopolymer.
- 416 • Both treatments improved significantly the cyclic response of the sandy soils,
417 within the number of cycles investigated, exhibiting low pore-water pressures
418 and axial strains.
- 419 • The presence of carbonate silt within geopolymer-treated specimens resulted
420 in a slight increase of soil cohesion and stiffness, while negligible effects were
421 observed in CS-treated samples.
- 422 • Finally, the mechanical improvement obtained with the metakaolin-based geo-
423 polymer is well aligned with previous observations on fly-ash based geopoly-
424 mers.

425 The dominant role of OPC in ground improvement technologies results from various
426 important factors, including a well proven track record, economic considerations and
427 technical familiarity. Systematic and extensive geomechanical studies of low-carbon
428 alternatives are required for this situation to change. Given the large strength increases
429 obtained in this work, future work on the mechanical and hydraulic properties of me-
430 takaolin-based geopolymers should explore the possibility of using smaller binder dos-
431 ages. The complexities added by realistic curing scenarios must also continue to be
432 explored, for instance addressing also the possible effect of curing under stress. Fi-
433 nally, laboratory testing should be complemented by field testing and demonstration
434 projects of MK-based ground treatment.

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663

664 TABLES

665 Table 1: Summary of previous geotechnical characterization studies on CS.

| Year | Authors | CS | Mixture porosity, η^* | CS concentration, C_{cs} , w/w (%) | silica/ dry soil w/w (%) | C_{iv} | Soil | Sample forming | Mech tests |
|------|------------------------------|-------------------|----------------------------|--------------------------------------|--------------------------|----------------|------------|---------------------------------------|--|
| 1999 | Persoff et al., 1999 | DuPont Ludox SM | 0.38 | 5 to 27 | 1.4 to 7.5 | 0.008 to 0.047 | sand | Pluviation on grout | UCS |
| 2002 | Gallagher and Mitchell, 2002 | DuPont Ludox SM30 | 0.429 | 5 to 20 | 1.7 to 6.8 | 0.010 to 0.039 | loose sand | Pluviation on grout | UCS CTX |
| 2008 | Diaz Rodriguez et al., 2008 | n.a. | 0.482 to 0.502 | 14.5 | 6.8 to 7.4 | 0.036 to 0.037 | fine sand | Pluviation on grout | K_0 -cyclic simple shear |
| 2011 | Porcino et al., 2011 | n.a. | 0.417 | 10 | 2.9 | 0.017 | sand | Permeation from base (3 pore volumes) | UCS Direct simple shear CSSU CTXU |
| 2012 | Porcino et al., 2012 | TSG | 0.417 | 10 | 2.9 | 0.017 | sand | Permeation from base | TXCID cyclic simple shear |

| Year | Authors | CS | Mixture porosity, η^* | CS concentration, C_{cs} , w/w (%) | silica/ dry soil w/w (%) | C_{iv} | Soil | Sample forming | Mech tests |
|------|--------------------------|------------------|----------------------------|--------------------------------------|----------------------------------|--------------------------------|---------------|---|--|
| 2015 | Vranna and Tika, 2015 | Ludox SM30 | 0.408 to 0.432 | 10 | 3.1 to 3.5 | 0.019 to 0.020 | sand | Permeation from base (4 specimen volumes) | TXCIU CTXCIU |
| 2017 | Georgiannou et al., 2017 | Ludox SM30 | 0.355 to 0.432 | 10 | 2.5 to 3.5 | 0.016 to 0.020 | sand | Pluviation on grout | UCS Direct shear test TXCID TXCAD |
| 2018 | Wong et al. 2018 | MasterRoc MP 320 | 0.350 sand 0.480 kaolin | 40 | sand @ 11.7 % kaolin @ 61.7 % | sand @ 0.076 kaolin @ 0.321 | Sand / Kaolin | Pouring CS on sand Hand mixing kaolin | Direct shear test Oedometer test |

| Year | Authors | CS | Mixture porosity, η^* | CS concentration, C_{cs} , w/w (%) | silica/ dry soil w/w (%) | C_{iv} | Soil | Sample forming | Mech tests |
|------|------------------------|------------------|----------------------------|--------------------------------------|--------------------------|----------------|------|---|---|
| 2020 | Ciardi et al., 2020 | MasterRoc MP 325 | 0.405 | 2 to 13 | 0.6 to 3.7 | 0.003 to 0.022 | sand | Pluviation on grout | Direct shear test Oedometer test CTXU |
| 2020 | Salvatore et al., 2020 | MasterRoc MP 325 | 0.429 | 3; 5; 10 | 0.9 to 3.1 | 0.005 to 0.018 | sand | Permeation from base (until immersion complete) | Vane test TXCID CTXCIU |

666 * : As compacted/poured soil porosity

667

| | Holcim sand | Carbonate silt | Sand with 10% carbonate silt | Metakaolin powder | Colloidal Silica |
|--|----------------------|----------------|---------------------------------|----------------------|------------------------|
| Quartz content (w/w, %) | 92.1 | - | 82.9 | 55 | 40 |
| CaCO ₃ content (w/w, %) | - | 98.2 | 9.8 | - | - |
| pH value | 6.69 | 9.90 | - | 6 | 7-9 |
| Maximum grain size, d_{100} (mm) | 0.710 | 0.161 | 0.710 | 0.080* | - |
| Mean grain size, d_{50} (mm) | 0.450 | 0.033 | 0.450 | 0.010-0.015 | $1.5 \cdot 10^{-5}$ ** |
| Grain size, d_{10} (mm) | 0.336 | 0.003 | 0.172 | - | - |
| Coefficient of uniformity | 1.4 | - | 1.8 | - | - |
| Grains fraction < 2 μ m (%) | - | 8.0 | 0.8 | 100 | 100 |
| Density of solids, ρ_s (Mg/m ³) | 2.65 | 2.71 | 2.66 | 2.40 | 2.11** |
| Hygroscopic w/c (%) at RH=50% | <0.3 | 0.1 | <0.3 | - | - |
| Bulk density as poured (Mg/m ³) | 1.34 | 1.10 | 1.47 | - | - |
| Void ratio as poured | 0.825 | 0.464 | 0.584 | - | - |
| Hydraulic conductivity as poured, k_w (m/s) | $7.67 \cdot 10^{-4}$ | - | $2.85 \cdot 10^{-4}$ | - | - |
| Maximum void ratio, e_{max} | 0.982 | - | - | - | - |
| Minimum void ratio, e_{min} | 0.532 | - | - | - | - |
| * d_{95} | | | | | |
| ** Wong et al., 2018 | | | | | |

676 *Table 3 Characteristics of the binders.*

| | | Geopolymer (MK) | Colloidal Silica (CS) |
|---|----------------------------------|---|---|
| Binder | Precursor material | Metakaolin powder | Aqueous dispersion of silica |
| | Activator (*) / Accelerator (**) | Potassium silicate (K ₂ SiO ₄) (*) | De-aired water + 12% added to volume of NaCl (10% w/w) (**) |
| Precursor : activator / accelerator (V/V) | | 1:1 | 8.3:1 |
| Other fractions | | De-aired water | - |
| Water/binder (w/w) | | 1:2 | - |
| % of void's volume filling | | 40% - 100% | 100% |
| Binder-soil mixing technique | | Hand-mixing | Low-pressure permeation |
| Curing conditions | | 50% RH / Under water (20°C) | 50% RH (20°C) |
| Base soils | | S / SO | S / SO |
| Density at slurry/liquid state (Mg/m ³) | | 1.37 | 1.30 |

677

678

679 Table 4 Material proportions in the mixtures.

| | | | Geopolymer (MK) | | | | | Colloidal Silica (CS) | | | | |
|------|------|--|----------------------------------|----------------------------------|---|---------------------------|----------------------|---|-------------------------|---|---------------------------|----------------------|
| Size | Soil | $F_0 = \frac{V_{\text{fluid}}}{V_{\text{pores}}} (\%)$ | Geopolymer slurry/dry soil (w/w) | Metakaolin powder/dry soil (w/w) | $C_{iv} = \frac{V_{\text{MKpowder}}}{V_{\text{tot}}} (-)$ | $\frac{\eta}{C_{iv}} (-)$ | w/c ₀ (-) | Colloidal Silica slurry*/dry soil (w/w) | Silica / dry soil (w/w) | $C_{iv} = \frac{V_{\text{silica}}}{V_{\text{tot}}} (-)$ | $\frac{\eta}{C_{iv}} (-)$ | w/c ₀ (-) |
| UC | S | 100 | 0.43 | 0.14 | 0.086 | 5.3 | 1 | 0.40 | 0.14 | 0.075 | 6.0 | 1.8 |
| TX | S | 100 | 0.43 | 0.14 | 0.086 | 5.3 | 1 | 0.40 | 0.14 | 0.075 | 6.0 | 1.8 |
| UC | SO | 100 | 0.27 | 0.09 | 0.061 | 5.3 | 1 | 0.28 | 0.09 | 0.053 | 6.0 | 1.8 |
| TX | SO | 100 | 0.27 | 0.09 | 0.061 | 5.3 | 1 | 0.28 | 0.09 | 0.053 | 6.0 | 1.8 |
| TX | S | 40 | 0.17 | 0.06 | 0.034 | 13.1 | 1 | n.a. | n.a. | n.a. | n.a. | n.a. |
| TX | SO | 40 | 0.11 | 0.04 | 0.024 | 13.1 | 1 | n.a. | n.a. | n.a. | n.a. | n.a. |

* aqueous dispersion + accelerant

680

681 *Table 5 Overview of the tests performed and treated soils tested. Number of curing days in parenthesis*

| | Unconfined compression (UCS) | compression tests | Consolidated-Un-drained Static Triaxial Tests (TXCIU) | Consolidated-Un-drained Cyclic Triaxial Tests (CTXU) |
|------------|------------------------------|-------------------|---|--|
| S | | | X | X |
| SO | | | X | X |
| SMK(100)D | X (3,7,28) | | X (3,7,28) | |
| SMK(100)W | X (3,7,28) | | | |
| SMK(40)D | | | X (3,7,28) | |
| SMK(40)W | | | | X ⁽⁷⁾ |
| SOMK(100)D | X (3,7,28) | | X (3,7,28) | |
| SOMK(40)D | | | X (3,7,28) | |
| SOMK(40)W | | | | X ⁽⁷⁾ |
| SCS(100)D | X (1,7,28) | | X (3,7,28) | X ⁽⁷⁾ |
| SOCS(100)D | X (1,7,28) | | X (3,7,28) | X ⁽⁷⁾ |

682

683

684 *Table 6 Density of grains in the mixture, dry density, water content and void ratio of CS-treated samples.*

| | ρ_s^* (Mg/m ³) | ρ_d (Mg/m ³) | w (%) | as-treated void ratio, e (-) |
|--------------|---------------------------------|-------------------------------|-------|------------------------------|
| SCS(100)D28 | 2.47 | 1.70 | 0.12 | 0.453 |
| SOCS(100)D28 | 2.49 | 1.72 | 0.13 | 0.448 |

685 ρ_s^* calculated as weighed average of the constituent fractions

686

687 *Table 7 Summary of mixture characteristics from the FA geopolymer studies of Rios et al. (2017a;*
 688 *2017b)*

| Author | Sample ID | As poured/com- pacted porosity, η (-) | Binder pow- der/dry soil (w/w) % | C_{iv} (-) | η / C_{iv} (-) |
|------------------------|-----------|--|--|--------------|---------------------|
| Rios et al. (2017a) | n.a. | 0.248 | 20 | 0.150 | 1.65 |
| Rios et al. (2017b) | M1 | 0.298 | 18 | 0.124 | 2.41 |
| | M2 | 0.342 | 25 | 0.164 | 2.08 |
| | M3 | 0.382 | 33 | 0.206 | 1.86 |

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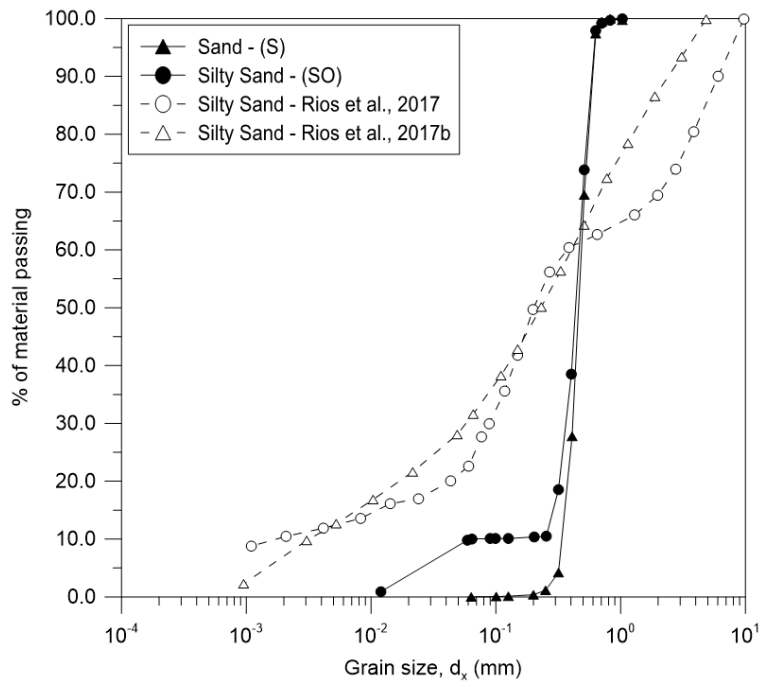
691 *Table 8 Strength parameters obtained by the different treatments.*

| | SMK(100) | SMK(40) | SOMK(100) | SOMK(40) | SCS(100) | SOCS(100) | S | SO |
|-------------|----------|---------|-----------|----------|----------|-----------|----|----|
| c' (kPa) | 225 | 20 | 310 | 84 | 26 | 26 | 0 | 0 |
| ϕ' (°) | 48 | 48 | 48 | 48 | 41 | 41 | 38 | 38 |

692

693

694 **FIGURES**

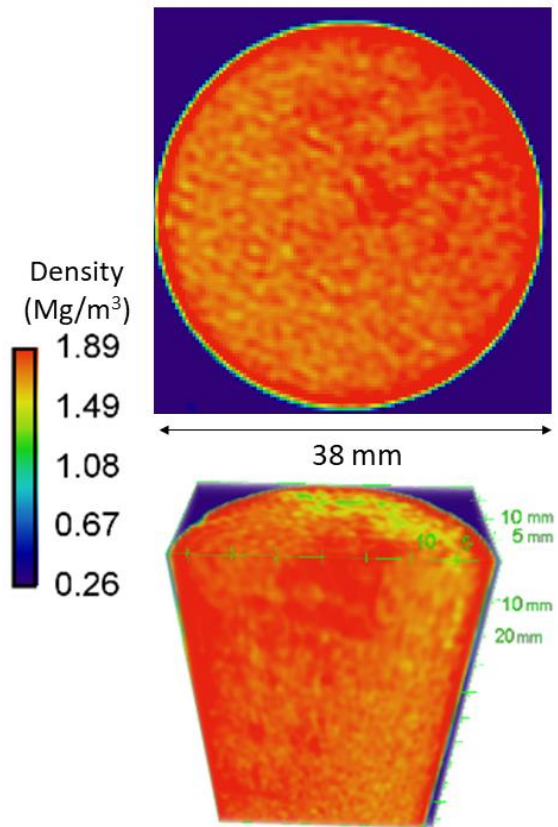


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696 *Figure 1 Grain size distributions of base soils*

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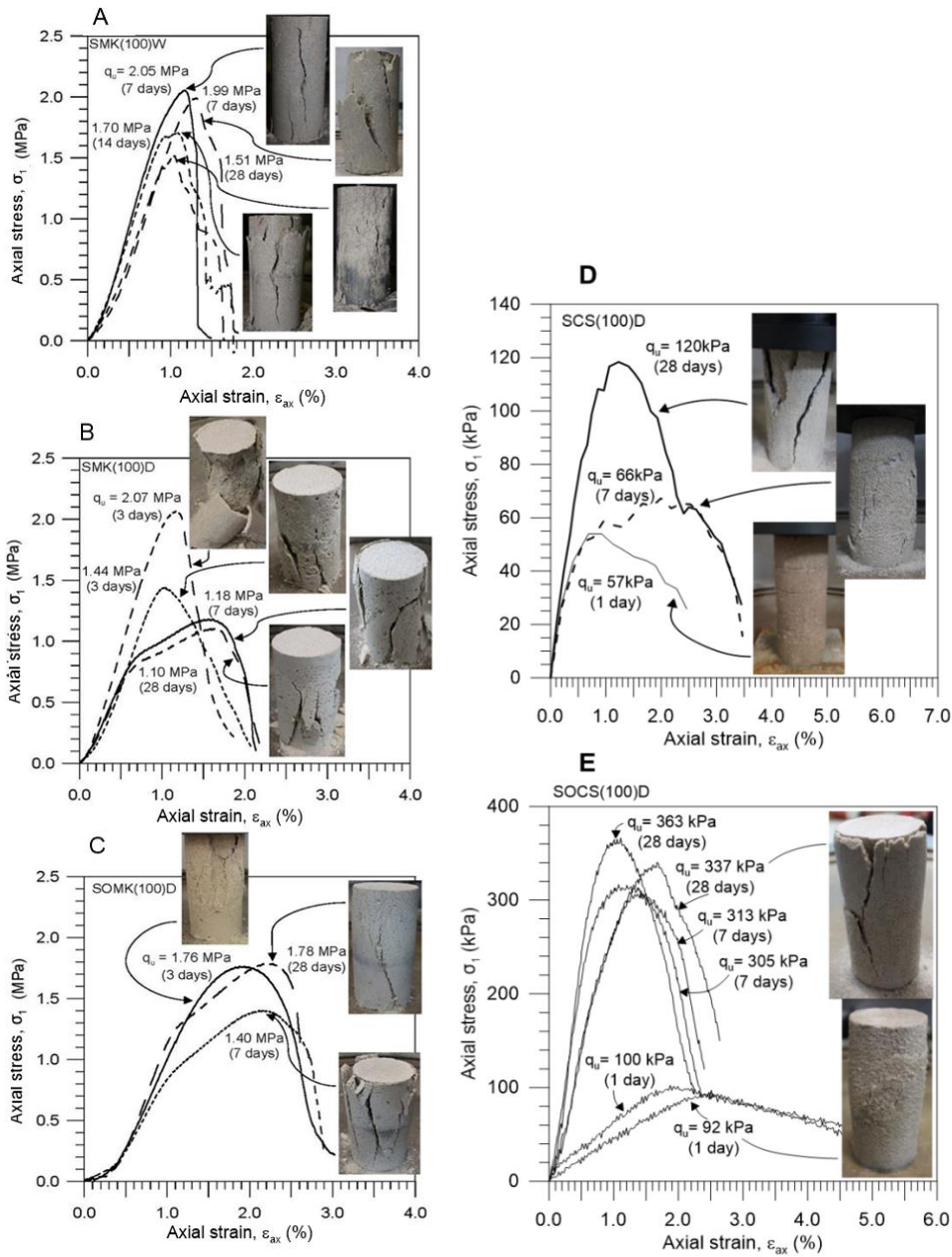
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700 *Figure 2 CT scan of CS injected TX specimen of silty sand with density map*

701

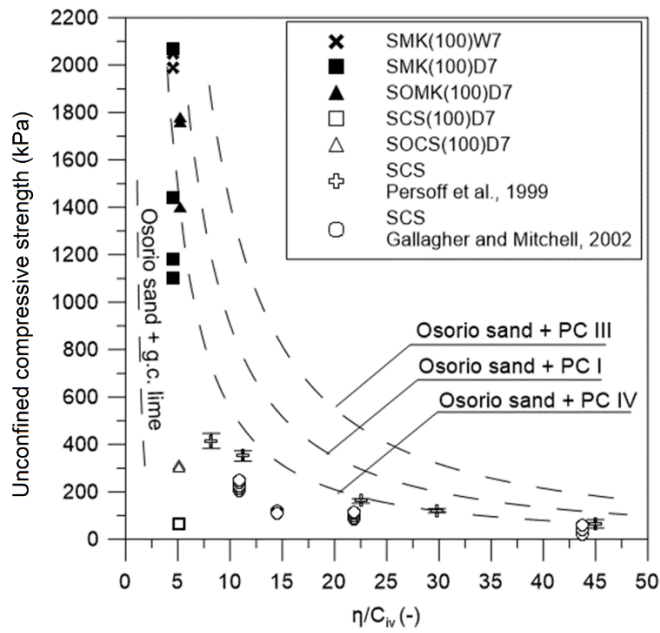


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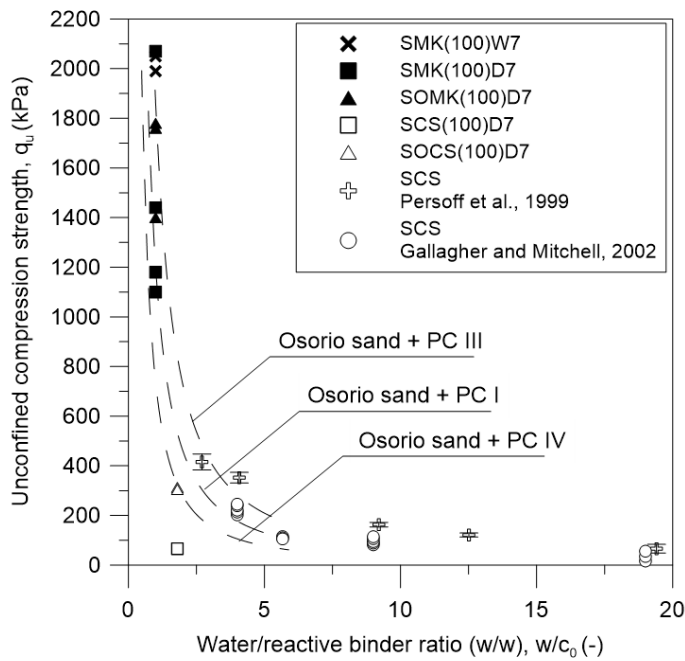
703 *Figure 3 UCS tests results and images of some broken samples. A) SMK cured at relative humidity RH*
 704 *= 50%, B) SMK cured in submerged conditions, C) SOMK cured at relative humidity RH = 50%, D) SCS*
 705 *cured at relative humidity RH = 50% and E) SOCS cured at relative humidity RH = 50%.*

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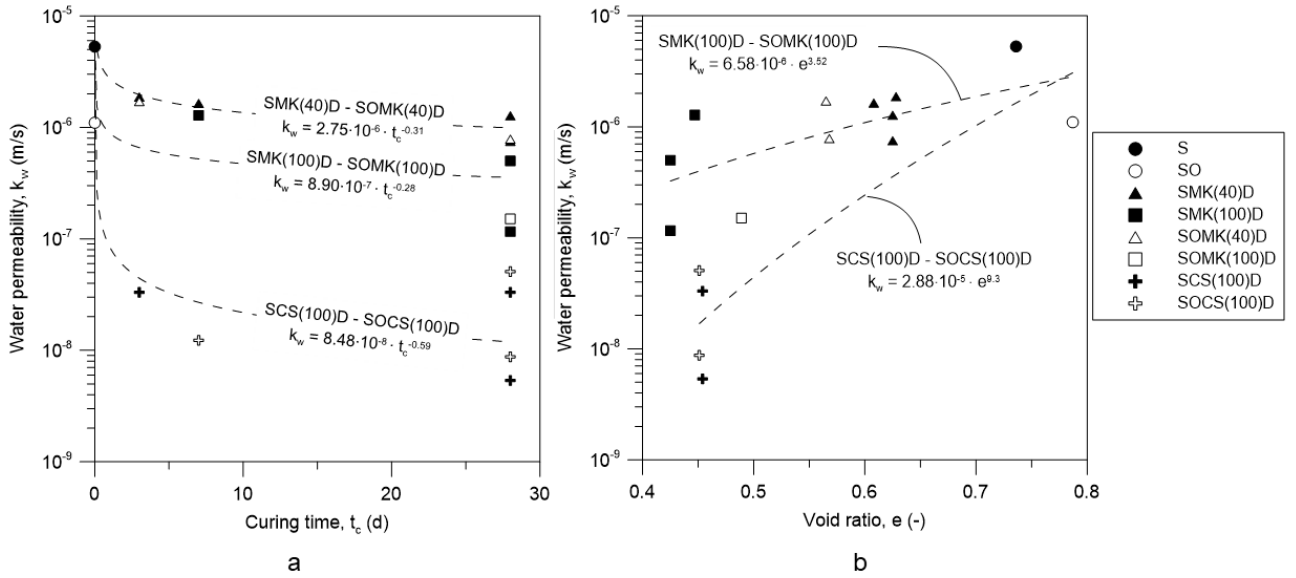


(a)



(b)

708 Figure 4 Strength vs mixture ratios for the specimens expressed as (a) porosity / volumetric binder ratio
 709 (b) water content / ponderal binder ratio. Comparisons with literature results on sand treated with Port-
 710 land Cement (Consoli et al., 2011; 2016) sand with waste glass-carbide lime (Consoli et al., 2020). All
 711 results for specimens cured 7 days (except Gallagher and Mitchell, 4 to 56 days).



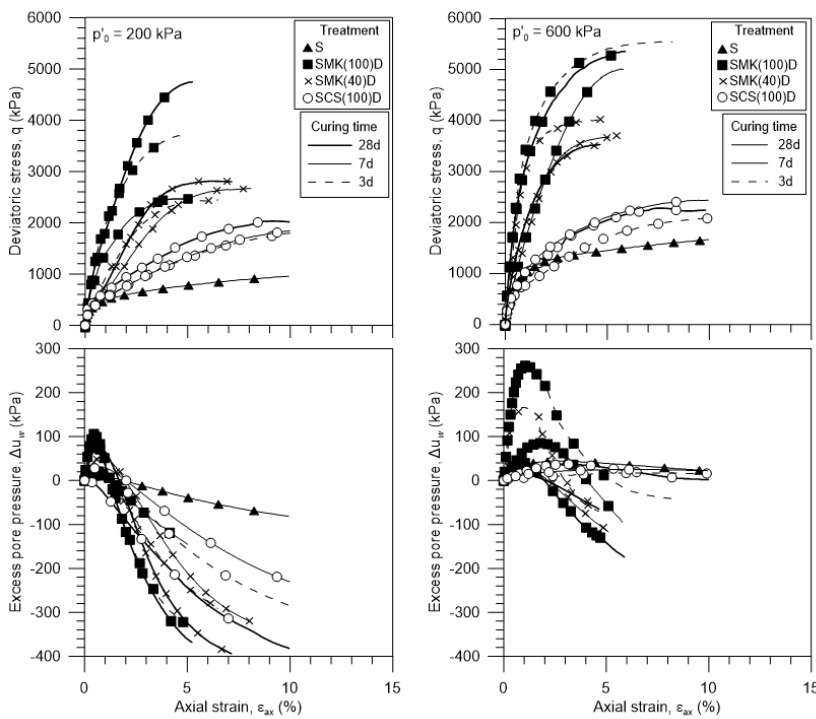
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714 Figure 5 evolution of the saturated water permeability with: a) curing time, b) as-cured void ratio.

715

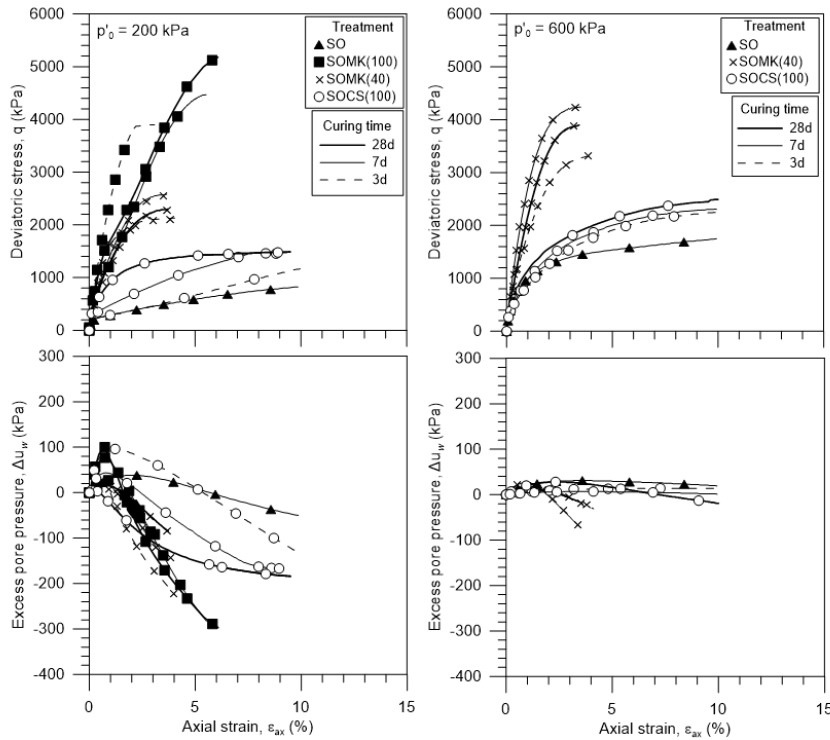
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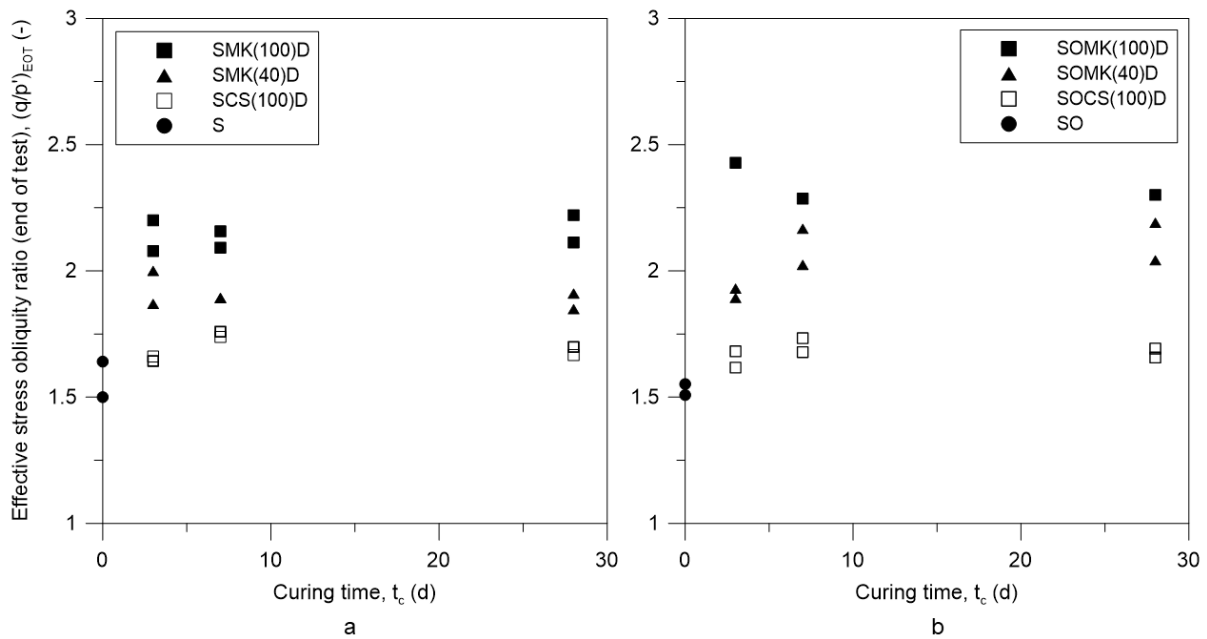
718

719 Figure 6 Deviatoric stress and excess pore water pressure vs axial strain for consolidated undrained
 720 triaxial compressions on specimens of sand with and without binders. Results after consolidation to: a)
 721 $p'_0 = 200$ kPa, b) $p'_0 = 600$ kPa.



722

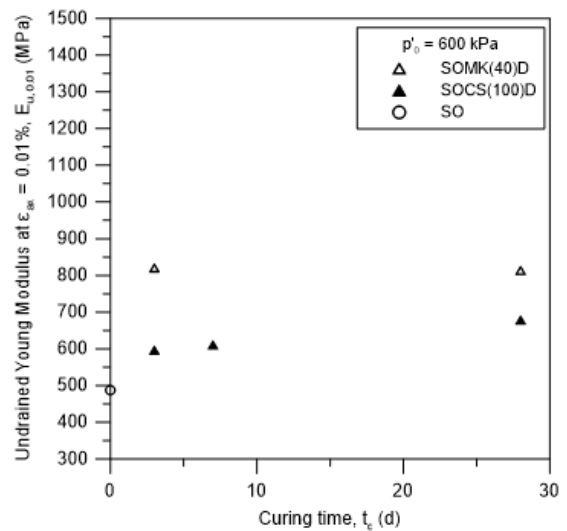
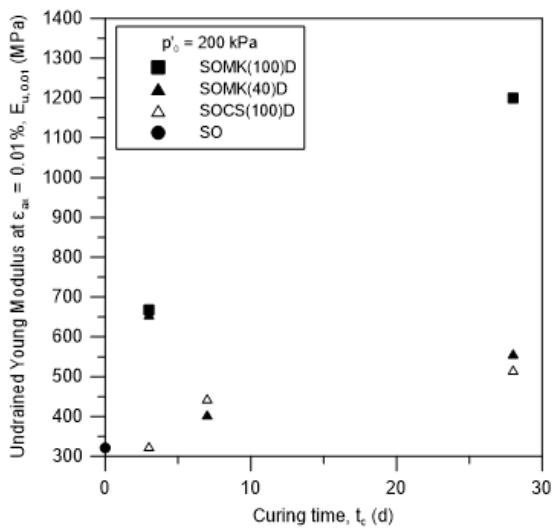
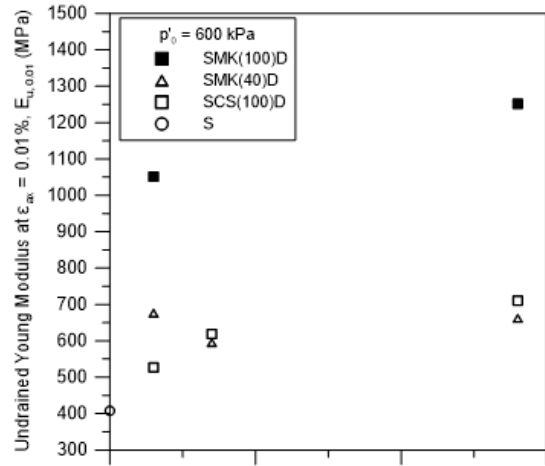
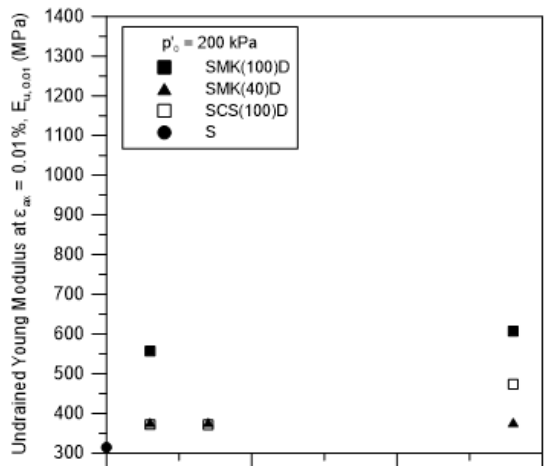
723 Figure 7 Deviatoric stress and excess pore water pressure vs axial strain for consolidated undrained
 724 triaxial compressions on specimens of sandy silt with and without binders. Different consolidation
 725 stresses: a) $p'_o = 200$ kPa, b) $p'_o = 600$ kPa



726

727 Figure 8 Mobilised strength at failure in TXCIU tests for (a) sand with and without binders (b) silty-sand
 728 with and without binders

729



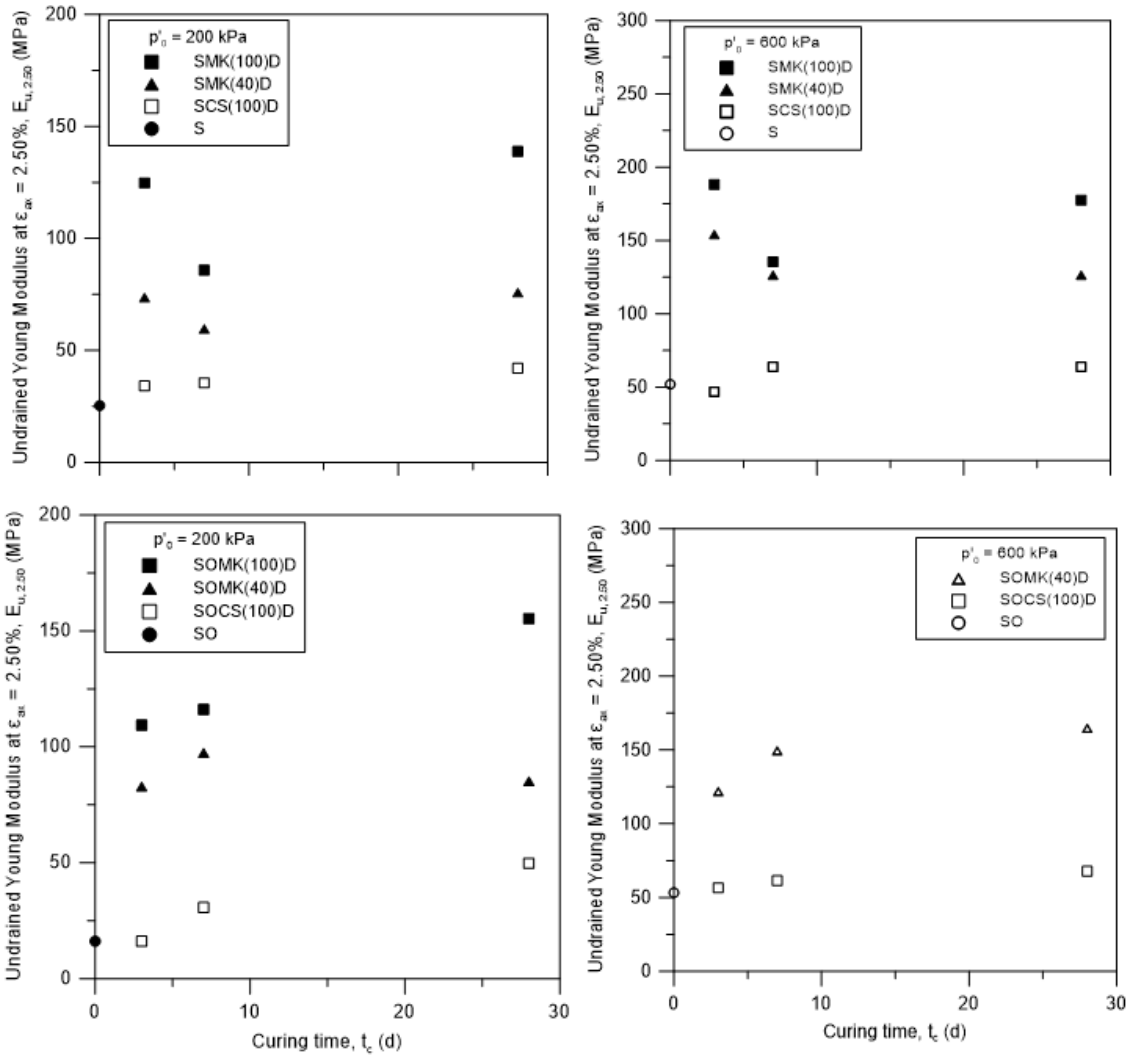
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731 *Figure 9 TXCIU Secant undrained Young Modulus at $\epsilon_{ax} = 0.01\%$*

732

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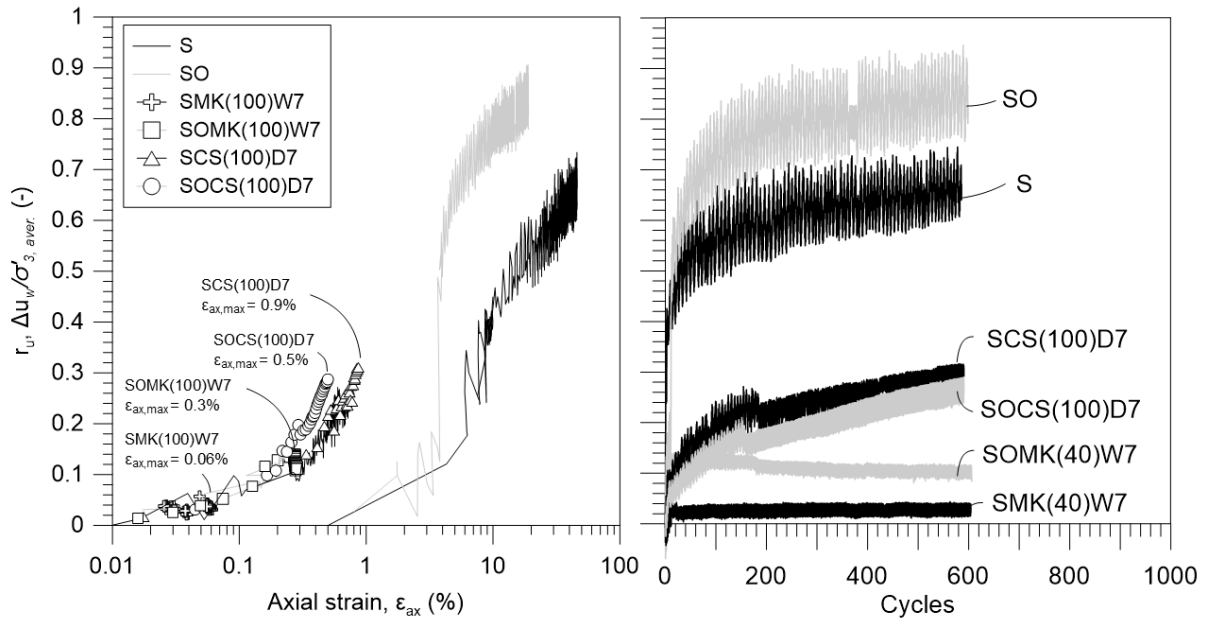
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735

736 Figure 10 TXCIU Secant undrained Young Modulus at $\epsilon_{ax} = 2.50\%$

737



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739 *Figure 11 Evolution of the normalized excess pore water pressure as a function of axial strains (left) and*
 740 *number of applied cycles (right).*

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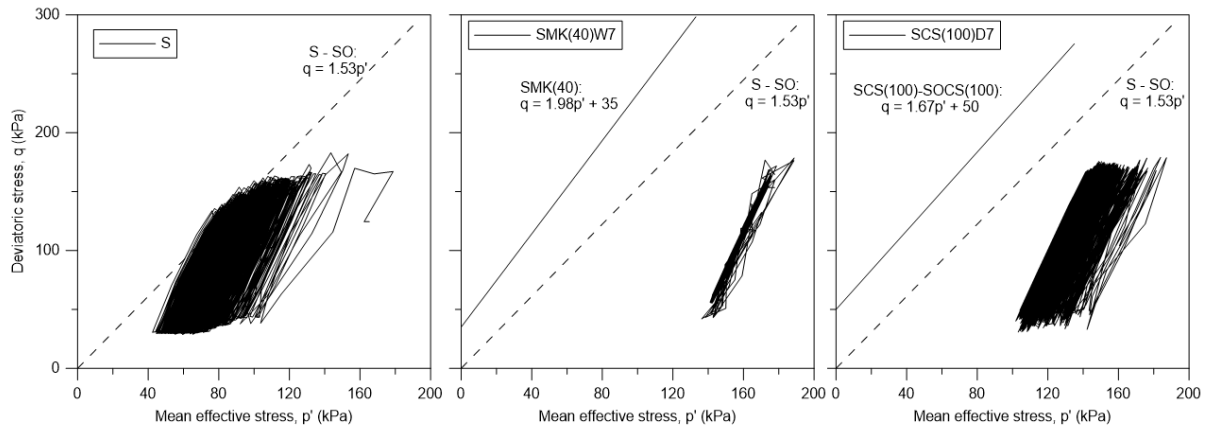
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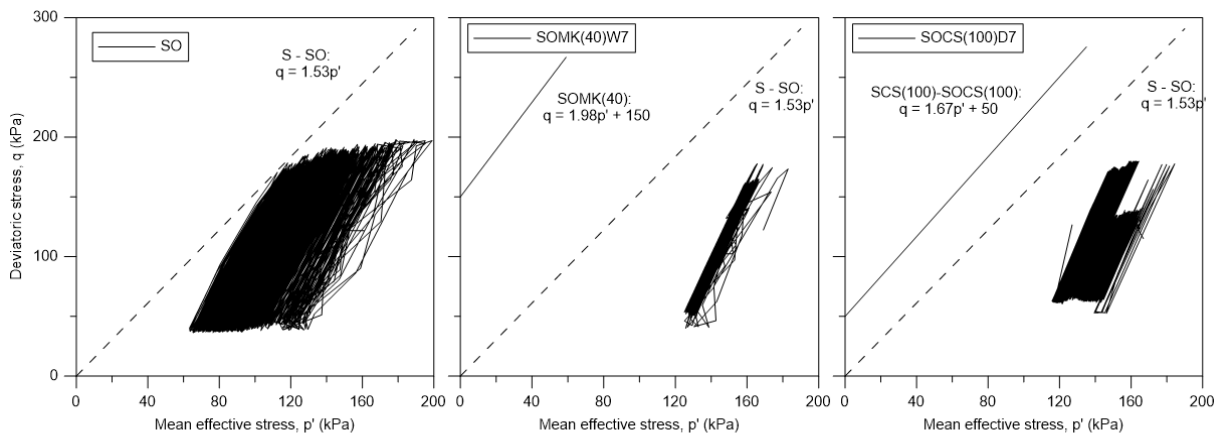


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a

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c



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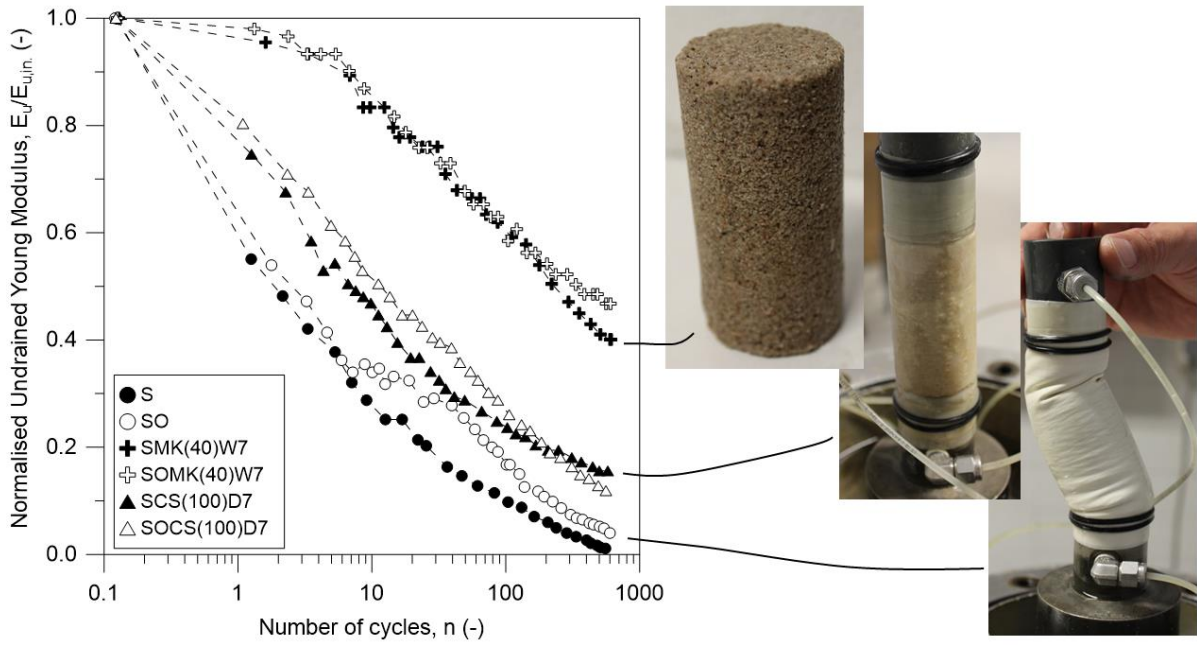
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751 *Figure 12 Evolution of the stress state in CTXC tests and comparisons with failure envelopes obtained*
 752 *by TXC.*

753



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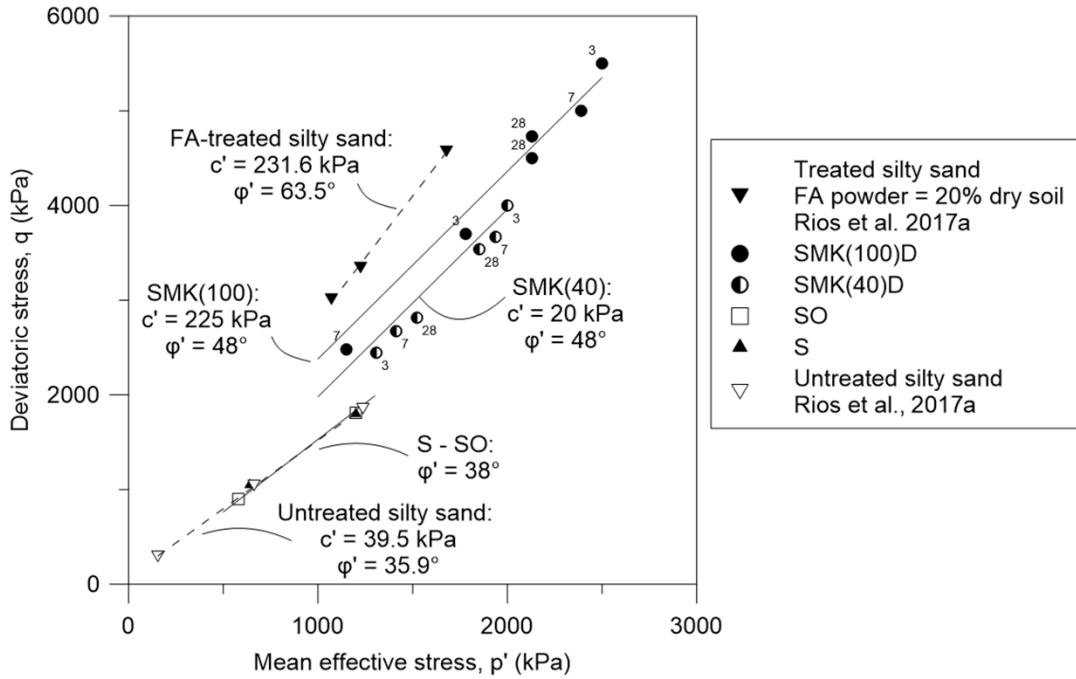
755 *Figure 13 Cyclic stiffness degradation in the untreated and treated specimens, jointly with some samples*
 756 *at the end of test.*

757

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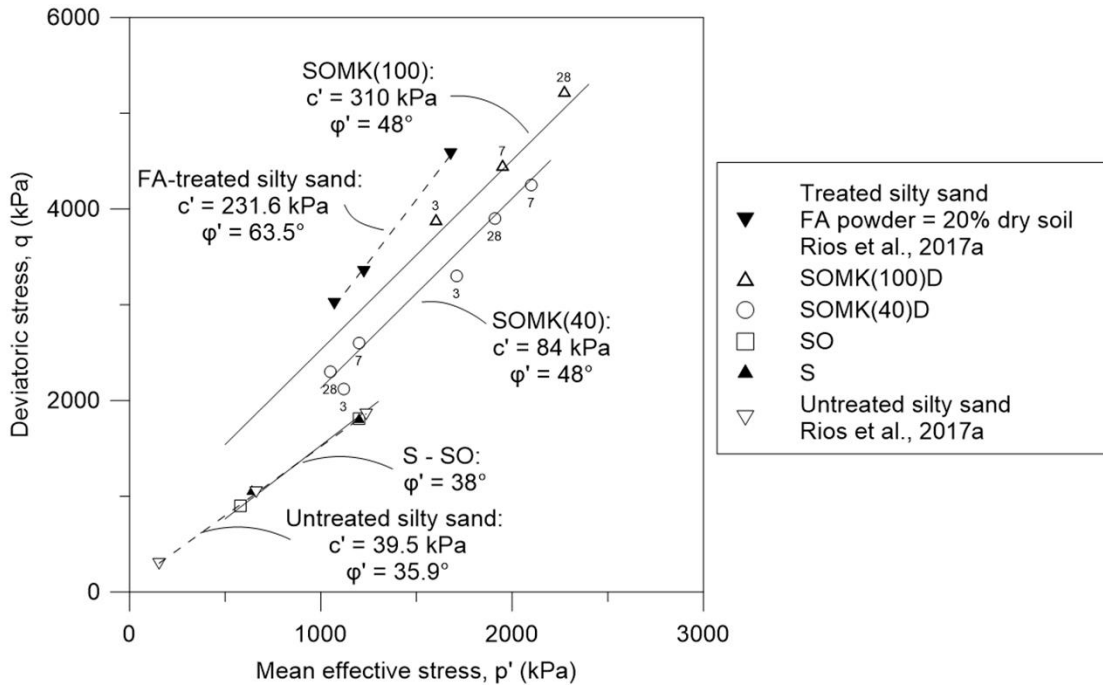
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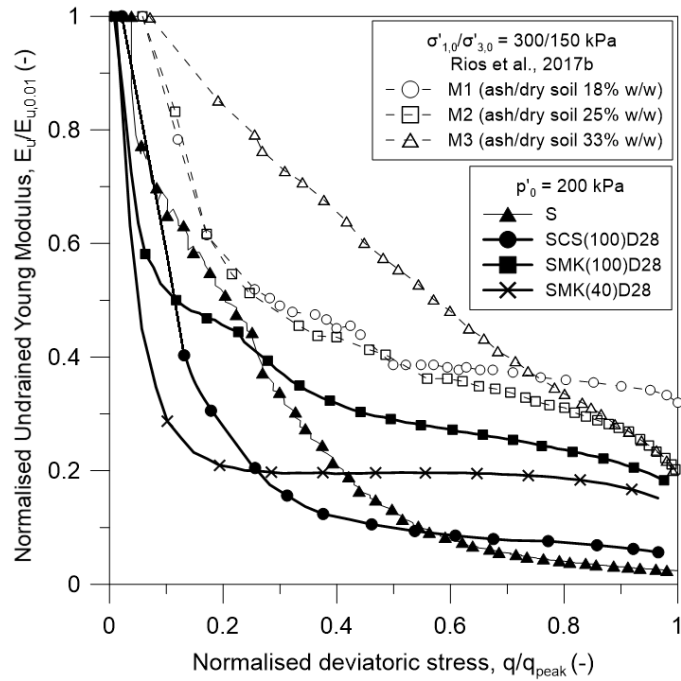
761

762 *Figure 14 Peak strength envelopes for treated and untreated soils. Treated soils include the silty sand*
 763 *treated with a Fly-ash (FA)-based geopolymer (Rios et al., 2017a) and Holcim sand treated with a MK-*
 764 *based geopolymer (this study)*



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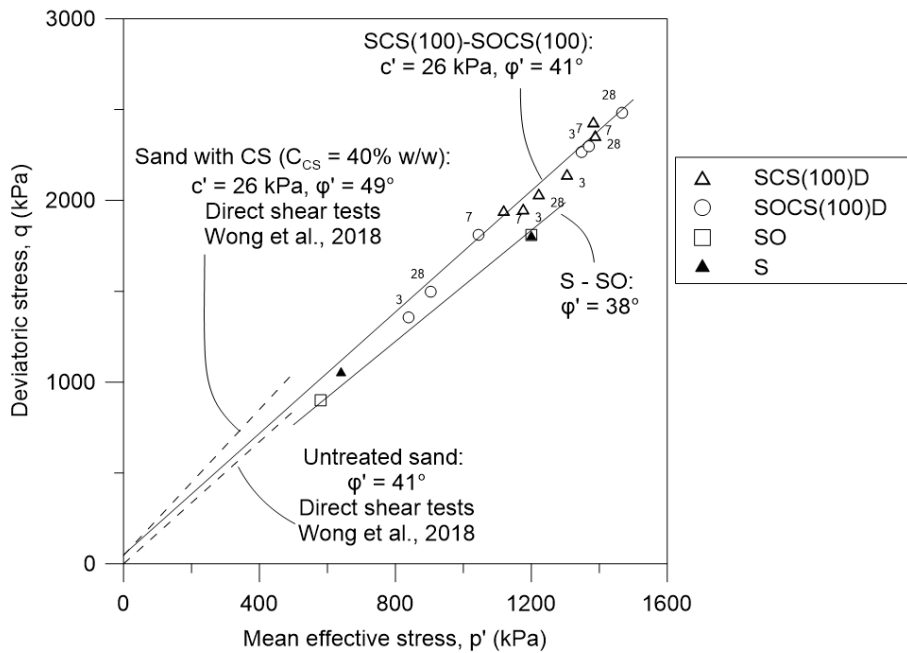
766 *Figure 15 Peak strength envelopes for treated and untreated soils. Treated soils include the silty sand*
 767 *treated with a Fly-ash (FA)-based geopolymer (Rios et al., 2017a) and Holcim sand treated with a MK-*
 768 *based geopolymer (this study)*



769

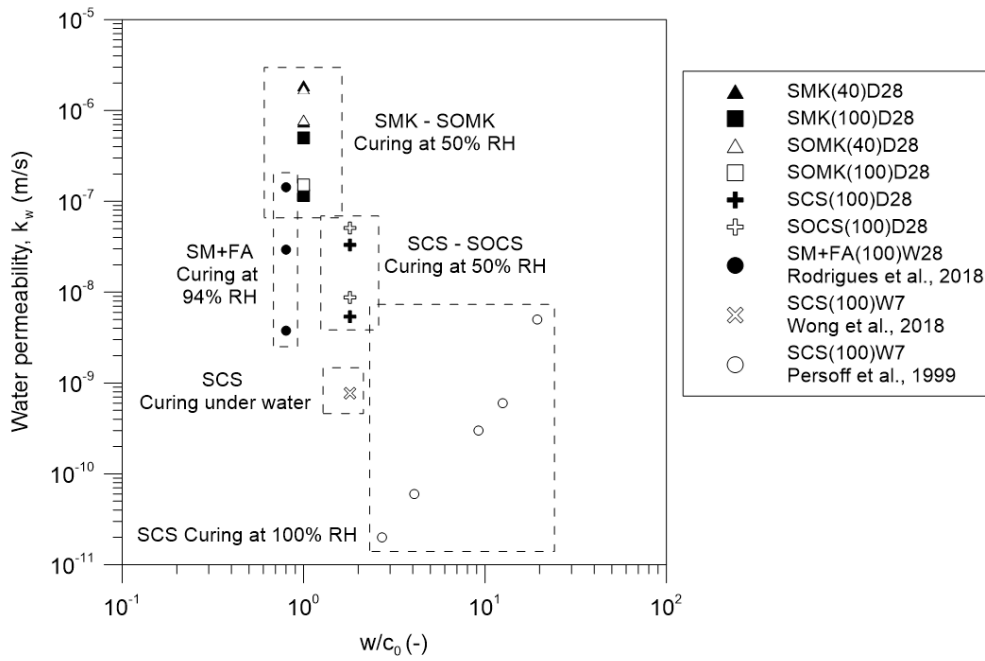
770 Figure 16 Secant stiffness degradation during monotonic triaxial shearing for different geopolymer im-
 771 proved soils

772



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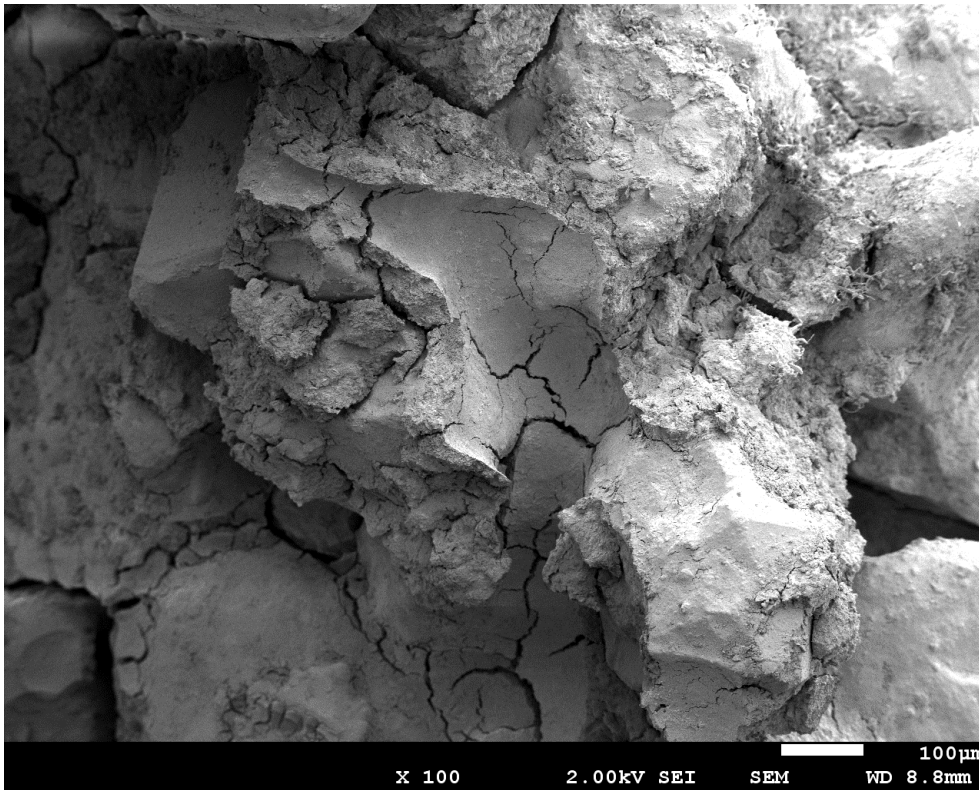
774 Figure 17 CS treated sands (this study+literature). Comparisons between TXC and Direct Shear tests
 775 at low vertical stresses ($\sigma_1 < 300$ kPa) on samples treated with the same CS product (MP 320, silica
 776 concentration in the solution $C_{CS} = 40\%$).



777

778 Figure 18 Permeability vs water content / ponderal binder ratio for the materials tested in this study and
 779 literature values for other CS and fly ash geopolymer treatments.

780



781

782 Figure 19 FESEM image of specimen SMKD(40). Sand grains are bound by cracked geopolymer
 783 bridges

