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3 **GLOBAL DIVERSITY AND DISTRIBUTION OF AEROBIC ANOXYGENIC**
4 **PHOTOTROPHS IN THE TROPICAL AND SUBTROPICAL OCEANS**

5
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25
26 **Running title:** *Photoheterotrophs across the global ocean*

27 **Keywords:** AAP bacteria, *pufM* gene, ecological processes, selection, dispersion,
28 biogeography, Malaspina Expedition

30 **ABSTRACT**

31 The aerobic anoxygenic phototrophic (AAP) bacteria are common in most marine environments
32 but their global diversity and biogeography remain poorly characterized. Here, we analyzed
33 AAP communities across 113 globally-distributed surface ocean stations sampled during the
34 Malaspina Expedition in the tropical and subtropical ocean. By means of amplicon sequencing
35 of the *pufM* gene, the genetic marker for this functional group, we show that AAP communities
36 along the surface ocean were mainly composed of members of the Halieaceae
37 (Gammaproteobacteria), which were adapted to a large range of environmental conditions, and
38 of different clades of the Alphaproteobacteria, that seemed to dominate under particular
39 circumstances, such as in the oligotrophic gyres. AAP taxa were spatially structured within each
40 of the studied oceans, with communities from adjacent stations sharing more taxonomic
41 similarities. AAP communities were composed of a large pool of rare members and several
42 habitat-specialists. When compared to the surface ocean prokaryotic and picoeukaryotic
43 communities, it appears that AAP communities display an idiosyncratic global biogeographical
44 pattern, dominated by selection processes and less influenced by dispersal limitation. Our study
45 contributes to the understanding on how AAP communities are distributed in the horizontal
46 dimension and the mechanisms underlying their distribution across the global surface ocean.

47 INTRODUCTION

48 The discovery of marine aerobic photoheterotrophs (i.e., aerobic anoxygenic phototrophic
49 (AAP) bacteria and proteorhodopsin-containing bacteria) (Béjã *et al.*, 2000; Kolber *et al.*, 2000)
50 challenged the classic view of bacterioplankton being composed of photoautotrophic
51 microorganisms as primary producers and of chemoheterotrophs as consumers. Since then,
52 many studies have investigated their abundance, diversity, and distribution in the ocean, and
53 ultimately tried to understand their role in the marine ecosystem (DeLong and Béjã, 2010;
54 Kirchman and Hanson, 2013; Koblížek, 2015; Pinhassi *et al.*, 2016). AAP bacteria are
55 photoheterotrophs that use dissolved organic matter but that harvest solar energy using
56 bacteriochlorophyll *a* (Bchl_a) to supplement their metabolism. In the marine environment, these
57 organisms can typically constitute up to 10% of total prokaryotes (Schwalbach and Fuhrman,
58 2005; Sieracki *et al.*, 2006; Jiao *et al.*, 2007; Hojerová *et al.*, 2011) and are an active part of the
59 community because they consist of large cells that display higher growth rates and receive
60 higher grazing pressure than most bacteria (Sieracki *et al.*, 2006; Koblížek *et al.*, 2007; Ferrera
61 *et al.*, 2011, 2017). It has thus been hypothesized that this functional group plays a remarkably
62 important role in the processing of organic matter, and as a consequence, in the global carbon
63 cycle (see review by Koblížek, 2015).

64 Phylogenetically, marine AAP bacteria belong mainly to the Alpha- and Gammaproteobacteria
65 classes. The *pufM* gene, involved in the synthesis of Bchl_a, is commonly used to screen the
66 diversity of AAPs in environmental samples and to describe their distribution patterns. The first
67 studies showed AAP communities as being mainly affiliated to the alphaproteobacterial
68 *Roseobacter*-like clade (Béjã *et al.*, 2002; Oz *et al.*, 2005) but the Global Ocean Sampling
69 (GOS), based on metagenomic data, unveiled that an important fraction of marine AAP bacteria
70 were associated to phylogroups without cultured representatives (Yutin *et al.*, 2007). The later
71 study also showed that, while the *Roseobacter*-like AAPs were the most ubiquitous clade,
72 unidentified uncultured groups dominated in open ocean areas, while Gammaproteobacteria
73 dominated in coastal sites (Yutin *et al.*, 2007). Later investigations showed that

74 Gammaproteobacteria have in fact a widespread distribution and can constitute an important
75 fraction of AAP communities in diverse sites of contrasting trophic status (Mašín *et al.*, 2006;
76 Lehours *et al.*, 2010; Ferrera *et al.*, 2014; Lehours and Jeanthon, 2015; Auladell *et al.*, 2019). In
77 contrast, AAPs from the Betaproteobacteria clade are rarely prevalent in marine environments
78 and they seem to prefer low-salinity waters (Waidner and Kirchman, 2008; Cottrell and
79 Kirchman, 2009; Boeuf *et al.*, 2013).

80 Although most AAP diversity studies have been restricted to particular areas of the world's
81 ocean, a few studies have already compared communities across different oceanic regions. The
82 pioneering metagenomic study by Yutin *et al.*, (2007), which covered a transect between 45°N
83 in the Atlantic Ocean and 15°S in the Pacific Ocean, showed that the composition of AAP
84 communities varied between different biogeographical regions. By constructing clone libraries
85 in a limited number of samples (N=10) from the Pacific, Atlantic and Indian oceans, Jiao *et al.*,
86 (2007) reported diversity patterns linked to the trophic regime of the oceanic region. Later,
87 another study compared clone libraries of different seas encompassing a very large
88 environmental variability (Mediterranean Sea, North Pacific Ocean, Western Beaufort Sea,
89 Barents Sea and Norwegian Sea), and found that deterministic processes largely influenced the
90 structuring of AAP assemblages (Lehours *et al.*, 2018). This study further concluded that
91 diverse AAP lineages showed some habitat preference, suggesting the existence of a certain
92 degree of ecological cohesiveness for AAP clades, at least when comparing contrasting biomes.
93 Besides, a study applying high-throughput sequencing to coastal Australian waters concluded
94 that AAP communities exhibited niche partitioning whereas others shared their preferred niches
95 (Bibiloni-Isaksson *et al.*, 2016). Altogether, these results indicate that AAP assemblages –and
96 the taxa within them– display complex spatial patterns (Jiao *et al.*, 2007; Yutin *et al.*, 2007;
97 Lehours *et al.*, 2010; Jeanthon *et al.*, 2011; Boeuf *et al.*, 2013; Lehours and Jeanthon, 2015;
98 Bibiloni-Isaksson *et al.*, 2016), probably driven by environmental selection (Lehours *et al.*,
99 2018). Nevertheless, these conclusions are drawn from studies performed at different scales,

100 using various methodologies and biased towards particular –and often coastal– ocean regions,
101 so a coherent global assessment is still lacking.

102 The exploration of the worldwide distribution of marine microorganisms, and thus, the
103 definition of global biogeographical patterns, has become feasible in the last decade thanks to
104 contemporary global oceanographic circumnavigations like the Malaspina Circumnavigation
105 Expedition (Duarte 2015) or the *Tara* Oceans Expedition (Karsenti *et al.*, 2011), that used
106 standardized procedures in a large collection of samples, coupled with recent advances in
107 sequencing methodologies. Large scale surveys have also been key in the definition of the
108 underlying ecological mechanisms in bulk prokaryotic and small eukaryotic communities (de
109 Vargas *et al.*, 2015; Salazar *et al.*, 2015; Sunagawa *et al.*, 2015; Ruiz-González *et al.*, 2019;
110 Logares *et al.*, 2020; Obiol *et al.*, 2020). Data generated from large sequencing initiatives have
111 also been used to retrieve new diversity (Tully *et al.*, 2018; Nayfach *et al.*, 2020), including that
112 within the AAPs (from the *Tara* Oceans expedition, Graham *et al.*, 2018). Hence, a
113 comprehensive study defining the global ocean biogeography of AAP assemblages and the
114 mechanisms underlying their patterns is now feasible, but yet to be performed.

115 Here, we present a global assessment of AAP bacteria communities across the global tropical
116 and subtropical ocean based on the Malaspina Circumnavigation Expedition. In particular, we
117 studied the diversity and biogeography of AAP communities at a fine scale in the surface ocean
118 using amplicon sequence variants (ASVs) of the *pufM* gene. Our objectives were three-fold: 1)
119 to describe the diversity and biogeography of the surface AAP assemblages along the global
120 tropical and subtropical ocean, 2) to disentangle the factors driving global patterns of AAP
121 communities, and 3) to compare the trends observed in the AAP communities with those of the
122 broader surface ocean microbiota (i.e., whole prokaryotic and picoeukaryotic communities). For
123 this purpose, we analyzed the composition of AAP communities based on the dominance or
124 rarity of each individual taxa in an approach based on the spatial abundance distribution of each
125 ASV. Further, we estimated the role of different ecological processes shaping the structure of
126 AAP communities. Since AAP bacteria, as a whole, display ecological traits that differentiate

127 them from the rest of the bacterioplankton (i.e., photoheterotrophy, high growth rates, and
128 higher susceptibility to predation than other prokaryotes), we hypothesize that their ecological
129 patterns may deviate from those of the bulk communities.

130

131 **RESULTS AND DISCUSSION**

132 *Oceanographic context*

133 The 113 studied stations were representative of the tropical and subtropical regions of the three
134 major oceans, the Pacific, the Atlantic and the Indian Ocean (Table 1, Fig. S1). The cruise track
135 spanned across all five subtropical oceanic gyres, characterized by their oligotrophic conditions,
136 as well as over relatively more productive areas such as the Equatorial Pacific, the Caribbean
137 Sea, the Benguela coastal province or the South Subtropical convergence current, in the South
138 Australian Bight (Estrada *et al.*, 2016). Across this route, temperatures ranged between 15.8 and
139 29.3°C (mean 24.5°C), with the coldest waters found in the South Australian Bight and the
140 warmest temperatures in samples located along the Equatorial Pacific and Atlantic Oceans (Fig.
141 S2). Salinity ranged from 33.15 to 37.65 PSU, being the highest in stations from the Atlantic
142 Ocean and lowest in certain stations from the Indian and Pacific oceans. Chlorophyll *a* (Chl*a*)
143 ranged between 0.034 (Station 38 in the South Atlantic) and 0.647 mg·m⁻³ (Station 45 in the
144 Benguela current coast) with a mean value of 0.155 mg·m⁻³. Phosphate, nitrate, and silicate had
145 higher concentrations in the Equatorial Pacific, in the South African stations and in the South of
146 Australia (Fig. S2). Water mass properties and productivity regimes for the stations sampled in
147 the Malaspina Circumnavigation Expedition have been previously described in detail (e.g.
148 Estrada *et al* 2016, Teira *et al* 2019, Regaudie-de-Gioux *et al* 2019, and Villamaña *et al* 2019).

149

150 *Contrasting patterns of alpha diversity in distinct biogeographical provinces*

151 Our survey of the *pufM* gene allowed us to generate the largest dataset of amplicon sequence
152 variants of the *pufM* gene thus far available. Partial sequencing of this marker resulted in 1119

153 distinct ASVs that clustered into 229 OTUs (94% similarity). Rarefaction curves reached a
154 plateau for all samples (Fig. S3A), indicating that we obtained a fair representation of the
155 AAPs' surface ocean diversity for each individual sample, while the global sample-based
156 rarefaction curve (Fig. S3B) suggested that the number of ASVs would rise had more stations
157 been sampled. We observed a large variability in the richness estimates (Chao1 index) per
158 community (Fig. 1), which varied between 14 and 132 ASVs (mean 61) while the Shannon
159 diversity index ranged between 0.9 and 3.9 (mean 2.9). Overall, richness values were within the
160 same range than those previously reported from the Mediterranean Sea or Australian coastal
161 waters using similar methodologies (Bibiloni-Isaksson *et al.*, 2016; Auladell *et al.*, 2019).

162 Richness and diversity of AAP communities were highest in the North Atlantic (mean richness
163 81, mean Shannon diversity 3.1) compared to other regions (Tukey test, $p < 0.001$, Fig. 1).
164 Taxonomic richness and diversity varied between and within some Longhurst provinces. In
165 general, AAP bacteria diversity was lower in eutrophic areas (correlation between Shannon and
166 Chla concentration, $N=113$, $R=-0.33$, $p < 0.001$ and primary production, $N=96$, $R=-0.38$,
167 $p < 0.001$), consistent with previous observations (Jiao *et al.*, 2007; Jeanthon *et al.*, 2011). In
168 contrast, AAP communities having higher richness values were associated with low
169 concentrations of phosphate ($N=89$, $R=-0.48$, $p < 0.0001$) and nitrate ($N=89$, $R=-0.34$,
170 $p=0.001$), and correlated positively with temperature and salinity ($N=113$, $R=0.24$, $p=0.011$;
171 $R=0.29$ $p=0.002$, respectively, see Table S1). Temperature and salinity had been shown to
172 influence AAP bacterial richness at local scales (Lehours and Jeanthon, 2015; Bibiloni-Isaksson
173 *et al.*, 2016). Our results demonstrate that temperature, salinity, and trophic status govern
174 patterns of AAP bacterial alpha diversity at the global scale.

175 We also explored whether the patterns of AAP diversity were similar to the trends observed for
176 other picoplanktonic groups. To this end, we compared the Shannon diversity of prokaryotes
177 and picoeukaryotes (previously determined in the same sample set, Ruiz-González *et al.*, 2019;
178 Logares *et al.*, 2020) with the values obtained for AAP bacteria. We observed a significant
179 negative correlation between the Shannon diversity index of AAP communities and that of total

180 prokaryotes (N=104, R=-0.32, p=0.001, Fig. S4), while no significant correlation was found
181 with the picoeukaryotic community values. However, the low diversity values observed for
182 AAP bacteria in some eutrophic regions (PEQD, PNEC and SSTC provinces, cf. Table 1 for
183 complete names) were not observed in the whole prokaryotic dataset, suggesting that trophic
184 status may exert a strongest role in shaping the diversity of the AAP subcommunity than of the
185 bulk prokaryotic assemblage.

186 *Spatially structured communities dominated by distinct taxonomic groups*

187 We classified all the ASVs into 7 broad taxonomic groups based on their placement in a
188 reference phylogenetic tree (Fig. S5). One group contained sequences assigned to the family
189 Halieaceae of the Gammaproteobacteria (here-after ‘Gamma-Halieaceae’ group), while the
190 ‘Betaproteobacteria’ group included sequences from the Burkholderiales order. Members of the
191 Alphaproteobacteria were distinguished into four subgroups: ‘Methylobacteriaceae’ (sequences
192 from order Rhizobiales, family Methylobacteriaceae), ‘Rhodobacterales’ (order
193 Rhodobacterales), ‘Sphingomonadales’ (order Sphingomonadales), and ‘Alpha-Others’, which
194 grouped other members of the Alphaproteobacteria that could not be further assigned. Finally,
195 sequences that did not belong to any of these groups were classified as ‘Others’.

196 Most of the studied communities (75 out of 113 sampled stations, Fig. 2 and Fig. S6) were
197 dominated by Gamma-Halieaceae, followed by 24 stations dominated by Alpha-
198 Rhodobacterales. The overall dominance of these groups is in agreement with previous studies
199 from the Mediterranean Sea (Lehours *et al.*, 2010; Jeanthon *et al.*, 2011; Ferrera *et al.*, 2014;
200 Auladell *et al.*, 2019), the Baltic Sea (Mašín *et al.*, 2006), the Arctic Ocean (Lehours and
201 Jeanthon, 2015), and Australian waters (Bibiloni-Isaksson *et al.*, 2016).

202 The large dominance of gammaproteobacterial clades in marine AAP communities has been a
203 matter of debate and it has been argued that it could be due to possible primer biases in
204 amplicon-based studies (Lehours *et al.*, 2010; Ferrera *et al.*, 2014). In fact, PCR-based
205 approaches can suffer from amplification biases that could result in misrepresentation of the
206 relative abundances of various taxa as well as in low phylogenetic coverage. Nevertheless, a

207 recent comparison of AAP assemblages in the Mediterranean Sea using metagenomics and
208 *pufM* amplicon sequencing showed that, despite there were some discrepancies in the relative
209 abundance of certain taxa, Gammaproteobacteria were abundant in both the amplicon and
210 metagenomic datasets, which showed comparable patterns of diversity and community structure
211 (Auladell *et al.*, 2019). This study also showed that despite that the amplicon approach –
212 identical to the one used here– missed some phylogenetic groups, it allowed the identification of
213 other groups that were overlooked by metagenomics because they were present in low
214 abundances, as well as the retrieval of more variants, enabling the definition of distinct ecotypes
215 among very similar sequences (Auladell *et al.*, 2019). Metagenomics is often considered the
216 least biased approach for functional gene analysis, but it is limited in its capacity to retrieve the
217 least abundant members of the communities, and AAP taxa are generally present at relatively
218 low abundances in natural samples (often below 10%). Although technically possible, the cost
219 of conducting a high-resolution global ecological study based on a specific functional gene
220 using metagenomics would be prohibitive and unfeasible for most researchers because, among
221 other reasons, metagenomes retrieve less copies of specific marker genes for a given sequencing
222 investment. Given that the goal of this work was to establish the global ecological patterns of
223 AAP communities, and to understand how these are assembled at the fine-scale, we consider
224 that the *pufM* amplicon sequencing, despite not free of biases, was the most suitable approach to
225 address our questions.

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230 Interestingly, Gamma-Haliaceae and Alpha-Rhodobacterales-dominated communities were not
231 randomly distributed but appeared to be spatially structured, with a marked succession of
232 samples dominated by either one or the other group (see Fig. 2A). Gamma-Haliaceae

233 contributed between 0.1% and 99.7% of total community *pufM* sequences (median or mean??).
234 In locations where they were not abundant, the contribution of Alpha-Rhodobacterales was
235 high, suggesting a replacement of the dominant taxonomic group across space (Fig. 2). Both
236 groups also showed high intragroup diversity across samples (see Fig. 2), yet we observed that
237 in some stations in the North Pacific region (Stations 114, 115, 118 and 119) this intragroup
238 diversity decreased, and one single sequence assigned to the Gamma-Halieaceae (ASV217)
239 represented abundances over 50% coinciding with a decrease in salinity. The relative
240 contribution of Alpha-Rhodobacterales increased toward ultraoligotrophic gyre waters,
241 characterized by low Chla concentrations (N=107, R=-0.42, p<0.001) and deeper chlorophyll
242 maxima (N=113, R=0.42, p<0.001). While the negative correlation between the contribution of
243 Gamma-Halieaceae and Alpha-Rhodobacterales was observed before (Ferrera *et al.*, 2014;
244 Bibiloni-Isaksson *et al.*, 2016; Auladell *et al.*, 2019), in those cases, higher relative abundances
245 of Alpha-Rhodobacterales were linked to higher concentrations of Chla and, in general, to
246 higher nutrient levels. While those studies were conducted in coastal stations, the Malaspina
247 Expedition occupied open-ocean stations, yet covered contrasting regions, from some relatively
248 eutrophic areas (such as the Equator, South African provinces or the South Australian Bight) to
249 the oligotrophic open ocean gyres. Just like seasonal ecotypes have been defined within the
250 Alpha-Rhodobacterales based on 16S rRNA gene sequencing (Mena *et al.*, 2020), one possible
251 explanation for the observed contrasting results is that closely related, but ecologically different
252 Alpha-Rhodobacterales could be divided into an ecotype with a preference for productive
253 regions such as coastal areas and an ecotype dominant in oligotrophic environments like the
254 oceanic gyres.

255 Representatives from the Alpha-Sphingomonadales and ‘Other Alpha’ were scarce across the
256 surface ocean with some localized exceptions (see Fig. 2B). The relative abundances of Alpha-
257 Sphingomonadales-like members correlated positively with prokaryotic heterotrophic
258 production (N=113, R=0.44; p<0.005), prokaryotic cell volume and total biomass (N=113,
259 R=0.52; p<0.001 and R=0.46; p<0.005 respectively). Interestingly, in stations where

260 Sphingomonadales dominated, this dominance was due to a single ASV (ASV512), which
261 contributed up to 50% of the total AAP community reads. This ASV is related to an uncultured
262 bacterial sequence (96% of identity in the *pufM* nucleotide sequence) detected in the Beaufort
263 Sea (Boeuf *et al.*, 2013) but does not resemble any cultured AAP bacteria. Thus, information on
264 the physiology of the organism behind this sequence is missing. In any case, its widespread
265 distribution from the Arctic to the tropical oceans and its ability to dominate communities under
266 different conditions are remarkable. Other ASVs that could only be assigned to the Alpha-
267 Proteobacteria level (and were thus grouped as “Alpha-Others”) dominated communities in
268 some stations across the whole transect (Fig. 2), such as one in the Pacific Ocean (Station 93),
269 one in the Atlantic Ocean (Station 1, adjacent to the Strait of Gibraltar) and several stations in
270 the South Australian Bight (Stations 72, 72 and 75), coinciding with the South Subtropical
271 Convergence zone (SSTC Longhurst province). In these stations, two ASVs were dominant,
272 ASV860 in the Atlantic Ocean and ASV1102 in the Pacific and in the South of Australia.
273 Although we could not classify them further and they do not have close cultured representatives,
274 they are very similar to sequences from previous studies. In particular, ASV860 is very similar
275 (99.5% identity) to a sequence retrieved from the Atlantic Ocean (OTU SPIT34 in (Lehours and
276 Jeanthon, 2015), accession number KM654597) and ASV1102 is identical to an uncultured
277 bacterium found in the East coast of Tasmania (Bibiloni-Isaksson *et al.*, 2016). This ASV
278 appears to be associated to low water temperature (correlation with temperature, N=113, R
279 =-0.40, p<0.001) and higher concentrations of nitrate (N=89, NO₃⁻, R=0.47, p<0.001). Finally,
280 Betaproteobacteria representatives were scarce along the dataset (only 11 sequences with very
281 low abundances) as expected, since this group is mostly absent in the marine environment
282 (Ferrera *et al.*, 2014; Bibiloni-Isaksson *et al.*, 2016; Lehours *et al.*, 2018; Auladell *et al.*, 2019).

283 The taxonomic composition hitherto described here is based on the relative abundances of
284 ASVs. In order to obtain data on the absolute abundance of AAP bacteria, we quantified them
285 by epifluorescence microscopy. Unfortunately, we were not able to quantify AAP abundance
286 along the entire transect, but only in a subset of 21 stations (samples for other stations were

287 either not available or of insufficient quality). Yet, the stations for which the abundance was
288 quantified, AAPs were uniformly distributed along the transect (except for the Indian Ocean for
289 which samples were not available) and should provide a good representation of the abundance
290 variation along the tropical and subtropical oceans. Abundances ranged between $5.52 \cdot 10^2$ and
291 $6.2 \cdot 10^4$ cells/mL and the percentage of AAP bacteria within the prokaryotic community varied
292 between 0.1 and 10% (Fig. S7). Although we estimated AAP abundances for a subgroup of
293 samples, their absolute and relative cell abundance are in line with the abundances reported in
294 previous studies using the same methodology (see data reviewed in Koblížek, 2015). We
295 observed higher AAP bacteria concentrations at lower latitudes (correlation between latitude
296 and %AAP, $N=21$, $R=0.50$, $p=0.024$, Fig. S7), and interestingly, we did not find any
297 relationship between the abundance of AAP bacteria and the taxonomic composition of the
298 AAP communities (see Fig. S7). This observation indicates that despite several communities
299 were dominated by different ASVs, there was not a single dominant taxonomic group associated
300 to the increase in absolute AAP bacterial abundances.

301 ***ASVs displaying bimodal and lognormal Spatial Abundance Distributions (SpADs) dominate***
302 ***AAP assemblages***

303 We explored the spatial patterns of AAPs and found that most of the individual taxa (64%) were
304 only found in one oceanic region, and these sequences represented only around 10% of the total
305 number of reads. On the contrary, very few sequences (30 ASVs) appeared in all sampled areas,
306 and they represented almost 50% of the total number of reads. Within this group of prevalent
307 sequences, we found representatives of all the taxonomic groups defined above (data not
308 shown), and thus dominance or rarity of individual sequences does not seem to be linked to
309 taxonomy. For this reason, to better understand the ecological behavior of AAP taxa we went
310 beyond their taxonomy affiliations by analyzing the Spatial Abundance Distribution (SpADs) of
311 the individual taxa, an approach that has proven as a useful tool for identifying groups of
312 bacteria sharing similar spatial patterns regardless of their identity (Niño-García *et al.*, 2016;
313 Ruiz-González *et al.*, 2020). In particular, the SpADs analysis classifies individual taxa into

314 different categories according to the shape of their abundance distribution (see Experimental
315 Procedures). The different shapes can be interpreted as ecological traits because the abundance
316 distribution of a given taxon will be the result of the combination of its physiological capacities,
317 environmental tolerances or ability to persist under unfavorable conditions, but also of the
318 external factors controlling its abundance. This approach has been previously used to explore
319 the mechanisms behind the ubiquity or rarity of taxa within aquatic prokaryotic or
320 picoeukaryotic communities (Niño-García *et al.*, 2016; Mangot *et al.*, 2018; Ruiz-González *et*
321 *al.*, 2019; LaBrie *et al.*, 2021), but to our knowledge this is the first time that it is restrictively
322 applied to a functional group.

323 We only found 2 ASVs displaying normal-like distributions presenting high abundances and
324 broad environmental tolerances (Fig. S8A,C); the bimodal category (N=15 ASVs) included
325 ASVs with lower average abundances and occurrence, likely representing less generalist taxa
326 whose presence is restricted to specific areas, while lognormal (N=228) and logistic (N= 872)
327 distributions, which represented the majority of cases, were characteristic of globally rare and
328 endemic AAPs (Fig. S8). AAP assemblages in the surface ocean were dominated by bimodal
329 and lognormal ASVs (Fig. 3C), mostly associated to Gamma-Haliaceae, Alpha-
330 Rhodobacterales and Alpha-Sphingomonadales groups (Fig. S8), and only few communities
331 were dominated by either normal-like or logistic taxa. The two normal-like ASVs were
332 Sphingomonadales-like (Fig. S8), suggesting large environmental tolerances for this category,
333 regardless of its relatively low contribution in most stations (Fig. 2B).

334 Communities dominated by logistic ASVs in our study appeared spatially clustered and
335 coincided with productive regions such as the Benguela coastal province in the South Atlantic,
336 the Caribbean Sea, the Equatorial Pacific and the station nearest to the Strait of Gibraltar (Fig.
337 3C). In fact, the relative abundances of logistic ASVs showed a significant positive correlation
338 with the mean chlorophyll *a* concentration across stations (N=107, R=0.43, p<0.0001), pointing
339 to local selection of globally-rare opportunistic AAP bacteria in nutrient-rich areas, as shown for
340 prokaryotic and picoeukaryotic bloomers (Ruiz-González *et al.*, 2019; Logares *et al.*, 2020).

341 Yet, the overall distribution of SpADs in our study differs from that reported by Ruiz-González
342 *et al.* (2019) for the whole prokaryotic communities from the same Malaspina Expedition
343 surface samples. Whereas bimodal and lognormal ASVs were prevalent in AAP communities,
344 bulk prokaryotic assemblages were dominated by a few cosmopolitan normal-like OTUs
345 (operational taxonomic units). For the bulk community, bimodal and logistic OTUs increased in
346 stations with anomalies in temperature and productivity with respect to the average values. This
347 different distribution suggests that AAP bacteria are less homogeneously distributed than the
348 bulk bacterioplankton (or at least than their dominant members) and that changes in the
349 environment have a large effect on the AAP communities, promoting larger compositional shifts
350 across environmental gradients and the increase of habitat specialists within this functional
351 group.

352 *Environmental setting drives marked differences in community structure among oceanic*
353 *regions*

354 We further analyzed the AAP community structure along the Malaspina transect using Bray-
355 Curtis dissimilarity metrics. The overall Bray-Curtis dissimilarity (mean 0.85 ± 0.15) was
356 significantly higher than that described for prokaryotic and picoeukaryotic assemblages in the
357 same transect (prokaryotes mean = 0.61 ± 0.19 ; picoeukaryotes mean = 0.74 ± 0.08 , Logares *et*
358 *al.*, 2020), meaning that changes in the species composition and abundance distributions across
359 AAP communities are larger than across bulk microbial groups. The higher beta diversity
360 observed is consistent with these results, showing that AAP communities are mainly composed
361 of habitat specialists (ASVs with a bimodal distribution) and rare taxa (lognormal distribution),
362 while bulk prokaryotic communities were dominated by few abundant and ubiquitous species
363 (Ruiz-González *et al.*, 2019).

364 Moreover, we explored which abiotic or biotic variables influenced AAP community structure
365 across the global ocean through PERMANOVA ($p < 0.001$), and temperature, salinity and Chla
366 emerged as the most important variables (Table S2). When we pulled all samples together in a
367 distance-based redundancy analysis (dbRDA, Fig. S9), the first two axis explained only 16% of

368 the variation, and there was no obvious clustering of communities based on region or province,
369 even though simple analyses of variance showed statistical differences ($p=0.001$, Table S3).
370 Thus, we further analyzed the samples for each ocean separately (Fig. 4). Higher percentages of
371 variation were explained by the two first axis (28.9%, Pacific Ocean, 29.1%, Atlantic Ocean and
372 40.2% Indian Ocean), and the main variables associated were temperature (with 1st axis) and
373 salinity (with 2nd axis) (Fig. 4 and Fig. S10). Stations from the same Longhurst province
374 clustered together in most cases and, in general, we observed that communities from adjacent
375 locations were more similar to each other than communities from distant stations, pointing to
376 gradual changes in community structure along areas of the surface ocean (Fig. 4). Previous
377 studies restricted to specific areas of different ocean basins observed that the composition of
378 AAP bacteria varied with the trophic conditions (Jiao *et al.*, 2007; Yutin *et al.*, 2007), while
379 studies from the Arctic Sea showed that the hydrological context of the water masses were also
380 relevant (Boeuf *et al.*, 2013; Lehours and Jeanthon, 2015). Our results indicate that temperature,
381 salinity and the general environmental context (as defined by the Longhurst provinces) largely
382 structure AAP surface communities in the global tropical and subtropical ocean.

383 ***Community dissimilarity increases with increasing geographic distance***

384 To visualize the turnover of AAP communities along the Malaspina track we plotted taxonomic
385 community dissimilarities versus geographic distance (Fig. 3A) –considering only pairwise
386 comparisons within the same ocean– which unveiled a strong pattern of biogeography, that is, a
387 remarkable increase of community dissimilarity with increasing distance within each ocean. To
388 further explore community turnover at a fine scale, we explored the sequential changes of beta
389 diversity across the whole sampling transect and found 17 stations displaying Bray-Curtis (BC)
390 dissimilarity values > 0.75 which can be interpreted as sites of abrupt changes in community
391 structure (Fig. 3B). In general, the pattern of sequential beta diversity followed the changes
392 shown through the SpAD analysis (see Fig. 3B and C). Stations showing the highest
393 dissimilarity values ($BC > 0.9$) were located in the South African Atlantic Coast (BENG and
394 EARF) and the Costa Rica Dome (PNEC), where some sequences –belonging to logistic ASVs–

395 presented remarkably high relative abundances, associated to an increase in Chla concentration
396 (see above). Other sites (BC values 0.75–0.9) were in the borders of several Longhurst
397 provinces, such as the South Subtropical Convergence (SSTC), the Pacific Equatorial
398 Divergence (PEQD), the North Atlantic tropical gyre (NATR) or provinces in the South
399 Atlantic (SATL, BENG and EARF) (see Fig. 3B). The partition of the surface ocean into
400 biogeographical provinces was proposed by Longhurst (1998) based on changes in
401 environmental oceanic variables and their annual dynamics. This subdivision has been
402 extensively used in several studies analyzing the surface ocean microbiota and has been proven
403 to explain their biogeographic structure (see for example Friedline *et al.*, 2012; Frank *et al.*,
404 2016; Milici *et al.*, 2016; Logares *et al.*, 2020; Ruiz-González *et al.*, 2020). We indeed observed
405 that different Longhurst provinces harbored distinct AAP communities but it should also be
406 considered that the borders between these provinces are dynamic and change seasonally
407 (Reygondeau *et al.*, 2013). For example, during the boreal summer, the Northwest Atlantic
408 subtropical gyral (NASW, not included in this sampling) and North Atlantic tropical gyre
409 (NATR) provinces tend to become mixed and an infiltration from the NASW province into the
410 NATR province has clearly been observed (see Fig. 4 in Reygondeau *et al.*, 2013). In this same
411 area (NATR province) and timing (during June and July) we observed two samples (Stations
412 133 and 135) that differed largely from the rest, as seen by their different taxonomic
413 composition (see Leg 7 in Fig. 2) and high BC sequential dissimilarities (Fig. 3B). This
414 difference could not be attributed to any measured environmental variable. Although this is
415 speculative, the infiltration of water from a different province or some other physical
416 oceanographic feature (Baltar *et al.*, 2010, 2016, Bagnaro *et al.*, 2020), could explain the abrupt
417 changes seen in the North Atlantic in our study. Overall, we observed that AAP communities
418 displayed strong biogeographic patterns, with large dissimilarities across the surface ocean
419 which surpassed in magnitude those described for the bulk surface ocean microbiota.

420 ***Selection has a prominent role in structuring AAP communities***

421 Our analyses of AAP community turnover clearly showed a biogeography pattern across the
422 surface ocean. The different patterns of diversity and species composition across spatial scales
423 result from the combination of different ecological processes, such as selection, dispersal, or
424 drift (Vellend, 2010). Changes in microbial species composition across space could be related to
425 selection processes driven by changes in environmental variables (Fig. 4). Nevertheless, we
426 observed that environmental conditions at adjacent stations were generally comparable, so these
427 changes could also arise from dispersal limitation imposed by physical oceanographic features
428 (Baltar *et al.*, 2010, 2016, Bagnaro *et al.*, 2020). In fact, previous studies have shown that
429 oceanic features such as boundaries between different ocean regions can act as strong barriers
430 and delimit the distribution of microbes in the ocean (Baltar *et al.*, 2016, Raes *et al.* 2018).
431 Whether the pattern observed is the result of environmental selection and/or dispersal limitation
432 cannot be determined based on our previous analysis (see also Hanson *et al.*, 2012). Thus, to
433 further investigate the ecological processes shaping AAP communities across the global surface
434 ocean, we applied the approach proposed by Stegen *et al.* (2013), which quantitatively estimates
435 the influence of selection, dispersal and drift based on the phylogenetic turnover of
436 communities. Since this method relies solely on the phylogeny of the *pufM* gene and on null
437 models (randomization), it avoids the problem of unmeasured environmental variables that can
438 potentially be associated with selection or dispersal (Stegen *et al.*, 2013). The influence of
439 selection was estimated using the β -nearest taxon index (β NTI), which is the difference between
440 the observed phylogenetic turnover for a given pair of communities and the null model after 999
441 randomizations (see Experimental Procedures). The values of β NTI were calculated for the all
442 the pairwise community comparisons possible in the dataset. We found that ~23% of the
443 pairwise comparisons had values of β NTI < -2, which implies that there is a shorter
444 phylogenetic distance within these pairs of communities, than expected by chance (Stegen *et al.*,
445 2012). Lower turnover between communities is expected when environmental conditions are
446 very similar and there is a –homogeneous– selection of closely related taxa in these
447 communities. Likewise, ~27% of the pairwise comparisons had β NTI > 2, which is associated
448 with a greater phylogenetic distance than the expected under a null model and can be interpreted

449 as different environmental conditions –heterogeneously– selecting distantly related taxa (Stegen
450 *et al.*, 2012). Overall, ~50% of the observed turnover could be explained by selection, with
451 homogeneous and heterogeneous selection being almost equally important at a global scale (Fig.
452 5). Within samples located in the same Longhurst province, homogeneous selection had an
453 important role, as the main ecological process in most provinces (see Fig. S11). In turn,
454 heterogeneous selection had a modest role within Longhurst provinces, and only operated in
455 some provinces. Based on β NTI values of comparisons between adjacent stations,
456 heterogeneous selection was high in areas were logistic ASVs dominated (data not shown),
457 pointing towards the selection of rare taxa in productive areas. These results are in line with
458 previous studies that already pointed to selection as a major ecological process structuring AAP
459 communities in both spatial (Lehours *et al.*, 2018) and temporal studies (Auladell *et al.*, 2019).

460

461 For the remaining pairwise comparisons, the value of Bray–Curtis-based Raup–Crick (RC_{bray})
462 characterized the magnitude of deviation between the observed BC and the null BC. RC_{bray}
463 distribution varied between -1 and 1 , and only values $|RC_{\text{bray}}| > 0.95$ were considered as
464 significant departures from drift (see Experimental Procedures and Fig. 5).

465 Dispersal limitation explained ~20% of the community turnover ($RC_{\text{bray}} > 0.95$) while
466 homogenizing dispersal was observed only for 18 pairwise comparisons (0.7%). The limited
467 role of dispersal limitation structuring AAP communities could be expected, since distant
468 microbial communities are known to be connected on a global scale, under what has been
469 described as the “Microbial Conveyor Belt” (Mestre and Höfer, 2021). Finally, almost ~30% of
470 the community turnover was explained by drift (stochastic processes), as the differences
471 between the null and the observed beta diversity were not significant. Stochastic processes are
472 difficult to predict and to distinguish from other ecological processes (Zhou and Ning, 2017),
473 however, they play an important role in microbial community assembly (Evans *et al.*, 2017,
474 Graham and Stegen, 2017) and their importance increases under high selection and low

475 dispersal (Fodelianakis *et al.*, 2020), as it happens in AAP communities across the surface
476 ocean.

477 Remarkably, the observed pattern is different from that reported for whole prokaryotic
478 communities along the same transect (Logares *et al.*, 2020), which appeared to be structured to a
479 similar extent by both selection and dispersal (representing each process ~25% of the
480 community turnover). In contrast, dispersal limitation had a much more important role in
481 structuring picoeukaryotic communities (~65%), likely due to their larger cell sizes and lower
482 abundances (Logares *et al.*, 2020). The relatively higher importance of selection mechanisms in
483 AAP communities suggests that AAP bacteria are more affected by small changes in the
484 environmental conditions than the prokaryotic community as a whole. As we have shown
485 above, the community turnover measured as Bray-Curtis dissimilarity is higher in this
486 functional group than in the bulk picoplankton, pointing to higher changes in the composition
487 and structure of AAP communities over short distances. Besides, while prokaryotic assemblages
488 are dominated by few cosmopolitan and very abundant taxa, AAP assemblages are mainly
489 composed by taxa classified as rare or habitat specialists, with more restricted environmental
490 tolerances.

491 **Concluding remarks**

492 In this study we described the global diversity and community structure patterns of marine AAP
493 bacteria in the tropical and subtropical oceans. Alpha diversity varied across biogeographical
494 provinces mainly related to temperature, salinity and trophic status and showed remarkably low
495 values in the more productive Longhurst provinces. AAP communities along the surface ocean
496 were mainly composed of members of the Halieaceae (Gammaproteobacteria), which were
497 adapted to a large range of environmental conditions, and by different clades of the
498 Alphaproteobacteria, that seemed to dominate under particular circumstances, such as in the
499 oligotrophic gyres. These taxa were not randomly distributed but appeared to be spatially
500 structured, with a marked succession of samples dominated by either one or the other class.
501 Communities from adjacent stations shared more taxonomic similarities, that is, community

502 dissimilarity increased with increasing distance, which resulted in a remarkable biogeographical
503 pattern. However, this pattern was to a large extent the result of –homogeneous and
504 heterogeneous– selection of individual taxa, while dispersal and drift had less of a role in
505 shaping the structure of AAP bacterial communities. While the seasonal patterns of AAPs have
506 been shown to be notably comparable to those of the bulk bacterioplankton, at a large-scale,
507 AAP communities seem to have their own spatial patterns that do not mimic those of the bulk
508 picoplankton. Of the measured environmental variables, temperature, salinity and Chla were
509 found to influence AAP community structure. Small changes in environmental conditions
510 translated into significant changes in AAP communities, and therefore, several habitat
511 specialists and many rare species dominated their communities. The photoheterotrophic
512 metabolism, high growth rates and high predation pressure on AAP bacteria, among other
513 attributable traits to this functional group, could explain the stronger role of selection in this
514 group compared to the bulk surface ocean microbiota. Overall, our results represent the most
515 comprehensive study investigating the global biogeography of AAP communities and shows
516 how different ecological processes explain these patterns.

517

518 **EXPERIMENTAL PROCEDURES**

519 **Sample collection**

520 The Malaspina 2010 Expedition took place between December 2010 and July 2011 (Duarte,
521 2015). Samples were collected in 113 stations across the tropical and subtropical waters of the
522 Pacific, Atlantic and Indian oceans. At each station, about 12 L of surface seawater (3 m depth)
523 were collected with a large (30 L) oceanographic bottle. Simultaneously, a CTD profiler was
524 used to profile temperature, salinity, conductivity, fluorescence and dissolved oxygen. Seawater
525 was prefiltered through a 20 µm nylon mesh and a 3 µm filter onto a 0.2 µm Millipore
526 polycarbonate filter. Samples were conserved at –80°C until further processing. Samples for
527 enumerating AAP cells were pre-filtered through a 200 µm mesh and filtered onto 0.2 µm
528 polycarbonate filters. Cells were enumerated by infra-red epifluorescence microscopy in 21

529 stations as described in (Ferrera *et al.*, 2014). The environmental biotic and abiotic parameters
530 used in this study were determined as reported in Estrada *et al.*, (2016) and Ruiz-González *et*
531 *al.*, (2019).

532 **DNA extraction, *pufM* amplification, sequencing and ASV generation**

533 DNA was extracted from the 0.2 µm filter using the phenol-chloroform protocol as described in
534 (Massana *et al.*, 1997). Partial amplification of the *pufM* gene (~245 bp fragments) was done in
535 50 µl reactions using primers *pufM* forward (5'-TACGGSAACCTGTWCTAC-3', (Béjà *et al.*,
536 2002)) and *puf_WAW* reverse (5'-AYNGCRAACCACCANGCCCA- 3', (Yutin *et al.*, 2005))
537 as described in Auladell *et al.* (2019). DNA was sequenced in an Illumina MiSeq sequencer
538 (2×250 bp, Research and Testing Laboratory; <http://rtlgenomics.com/>). After sequencing, we
539 used cutadapt v1.16 (Martin, 2013) to remove primers and DADA2 v1.10 (Callahan *et al.*,
540 2016) to infer amplicon sequence variants (ASV) with the following parameters: maxEE =
541 c(2,6) and truncLen = c(210,150). After filtering chimeras and spurious sequences, we kept 82%
542 of the initial number of reads (mean 24173, min. 4503, max. 79968). To be able to compare our
543 data with previous studies that used OTUs (Operational Taxonomic Units), we clustered the
544 ASVs with UCLUST v10.0 (Edgar, 2010) at 94% similarity, the threshold usually employed for
545 the *pufM* gene (Zeng *et al.*, 2007).

546 **Phylogenetic classification**

547 We used phylogenetic placement for predicting the taxonomic assignment of the *pufM* gene
548 short sequences. Due to the lack of comprehensive public databases for AAP bacteria, we built a
549 custom made *pufM* database retrieving more than 750 sequences longer than 600 bp from the
550 Genome Taxonomy Database (GTDB) and GenBank, as well as from metagenomic datasets
551 from the Tara Oceans Expedition (Sunagawa *et al.*, 2015), the Malaspina Expedition
552 (unpublished), the Global Ocean Survey (GOS) (Yutin *et al.*, 2007; Cuadrat *et al.*, 2016), and
553 the Blanes Bay Microbial Observatory (Auladell *et al.*, 2019). Alignment was done using the
554 *Decipher* R package (Wright, 2016) and MAFFT v.7 (Kato and Standley, 2013). After a
555 manual curation using AliView v1.26 (Larsson, 2014), we kept 673 sequences. A phylogenetic

556 tree was constructed using RAxML v8.2 (Stamakis 2014) (GTRGAMMA model, 100
557 bootstraps), and visualised using iTOL (Letunic and Bork, 2011), see Fig. S5. Finally, to infer
558 the phylogeny of the amplicon sequence variants, we applied the Evolutionary Placement
559 Algorithm v0.3.5 (Barbera *et al.*, 2019).

560 **Data analyses**

561 All statistical analyses were performed using R v3.6.3 (R Core Team 2020). The ASV table was
562 rarefied down to 4500 reads per sample using the *vegan* package. Alpha diversity was estimated
563 using Chao1 and Shannon diversity indices (Chao and Lee, 1992), with the *phyloseq* package.
564 Post-Hoc Tukey tests were employed to see if there were statistically significant differences
565 between the diversity of different regions. To test whether diversity was influenced by
566 environmental conditions, we performed Pearson correlations between a selection of
567 environmental variables and the diversity indices. We also compared the diversity of AAP
568 bacteria with those of the bulk prokaryotic communities using the 16S rRNA data presented in
569 Ruiz-González *et al.* (2019) and the picoeukaryotic community data presented in Logares *et al.*
570 (2020), both from the same samples taken during the Malaspina Expedition. Community
571 composition was analyzed and described using the *phyloseq* package in R.

572 In order to explore the spatial patterns of individual AAP bacteria across space, we analyzed the
573 abundance distribution of each *pufM* sequence across all samples. We used the rarefied table of
574 counts ($\log_{10}(x + 1)$ transformed) to select the statistical distribution that best fitted the spatial
575 abundance distribution (SpAD) of each ASV, as described in Niño-García *et al.* (2016) and
576 Ruiz-González *et al.* (2019). We could classify all ASVs into four SpAD categories: “normal-
577 like” ASVs showed a normal statistical distribution, which has previously been associated with
578 globally abundant and widespread taxa, and which might represent habitat generalists (Niño-
579 García *et al.* 2016, Ruiz-González *et al.* 2019). The distribution of “Bimodal” ASVs is
580 characterized by two density peaks, with the first one commonly corresponding to zero cases,
581 and could be considered less generalists because they are detected in certain regions only and
582 their average abundances are also lower. Finally, ASVs classified as “logistic” and “lognormal”

583 present a distribution with a zero-abundance mode, and they have been shown to comprise
584 mostly rare sequences (for more details on the analysis see Niño-García *et al.* 2016 and Ruiz-
585 González *et al.* 2019). For each category, we calculated the mean abundance and occurrence of
586 ASVs. We also estimated the individual environmental breath as the range of temperature,
587 salinity, Chla, and dissolved oxygen concentration in which each of the ASVs within the
588 different categories were detected.

589 The exploration of the main environmental drivers explaining the structure of AAP
590 communities was done using a Bray-Curtis dissimilarity matrix, built with the *vegdist()* function
591 from the *vegan* package and visualized in a distance-base redundancy analysis (dbRDA), with a
592 previous selection of significant environmental variables (PERMANOVA $p < 0.01$). Permutation
593 tests (*adonis()* function from *vegan* package) were employed to examine community differences
594 among the six oceanic regions (South Pacific, North Pacific, North Atlantic, South Atlantic,
595 Indian and South Australian Bight) and Longhurst oceanographic provinces (Longhurst, 1998).
596 We used Mantel tests (1000 permutations) to compare the changes in the structure of AAP
597 communities between stations with differences in temperature, salinity and Chla. Additionally,
598 we performed partial mantel tests to compare the community structures of AAP, prokaryotes
599 and picoeukaryotes, removing the effect of temperature, salinity and Chla. The Bray-Curtis
600 dissimilarity matrix was also used to analyze the spatial community structure turnover, and to
601 explore sequential changes along the Malaspina transect, by comparing each sample with the
602 one sampled immediately before.

603 Finally, to quantify the relative importance of selection, dispersal and drift as processes
604 structuring the communities of AAP bacteria, we followed the framework developed by Stegen
605 *et al.* (2013). This approach assumes that there is a phylogenetic signal (Cavender-Bares *et al.*
606 2009) in the ASVs optimal habitat conditions (i.e., the habitat preferences of closely related taxa
607 are more similar than the preferences of distantly related taxa). To confirm this assumption, we
608 firstly compared ASVs niche distances (using temperature, salinity and Chla) and ASVs
609 phylogenetic distances using a Mantel correlogram test. We detected phylogenetic signal in the

610 *pufM* gene marker over relatively short phylogenetic distances (Fig. S12), as previously shown
611 with other marker genes (e.g.: Stegen *et al.*, 2013; Dini-Andreote *et al.*, 2015; Huber *et al.*,
612 2020; Logares *et al.*, 2020).

613 Then, to analyze the influence of selection we calculated the β -mean nearest taxon distance
614 (β MNT) metric, which quantifies the mean phylogenetic distances between two communities,
615 and compared them to a random expectation (999 randomizations). The difference between the
616 observed phylogenetic turnover (or β MNT) and the values obtained with the null model are
617 denoted as β -nearest taxon index (β NTI). Absolute β NTI values above 2 ($|\beta$ NTI| > 2) indicate
618 that coexisting taxa are more closely related than expected by chance, thus pointing to the action
619 of selection. Afterwards, to differentiate whether drift or dispersal were the main structuring
620 processes, we calculated the Raup-Crick metric (Chase *et al.*, 2011) using Bray-Curtis
621 dissimilarities (RC_{bray}) (Chase *et al.*, 2011; Stegen *et al.*, 2013). RC_{bray} compares the measured
622 beta diversity to the beta diversity obtained by the null model (999 randomizations) that would
623 be obtained under random community assembly (drift). RC_{bray} values between -0.95 and +0.95
624 point to a community assembly governed by drift. On the contrary, RC_{bray} values ≥ 0.95 or \leq
625 0.95 indicate that community turnover is driven by dispersal limitation or homogenizing
626 dispersal, respectively (Stegen *et al.*, 2013). For this analysis, raw ASV sequences were aligned
627 with AliView v1.26 (Larsson, 2014), aligned sequences were visually curated with Seaview
628 (Gouy *et al.* 2010) and the phylogenetic tree was constructed using FastTree v2.1.9 (Price *et al.*
629 2009). The β MNTD and β NTI metrics were calculated using the R package *Picante* (Kembel *et*
630 *al.*, 2010) and the RC_{bray} was calculated with the *raup_crick_abundance* function following
631 Stegen *et al.*, (2013). These analyses were performed in R v3.6.3 (R Core Team 2020) and
632 codes are available in Github (https://gitlab.com/crgazulla/malaspina_aaps). Sequence data have
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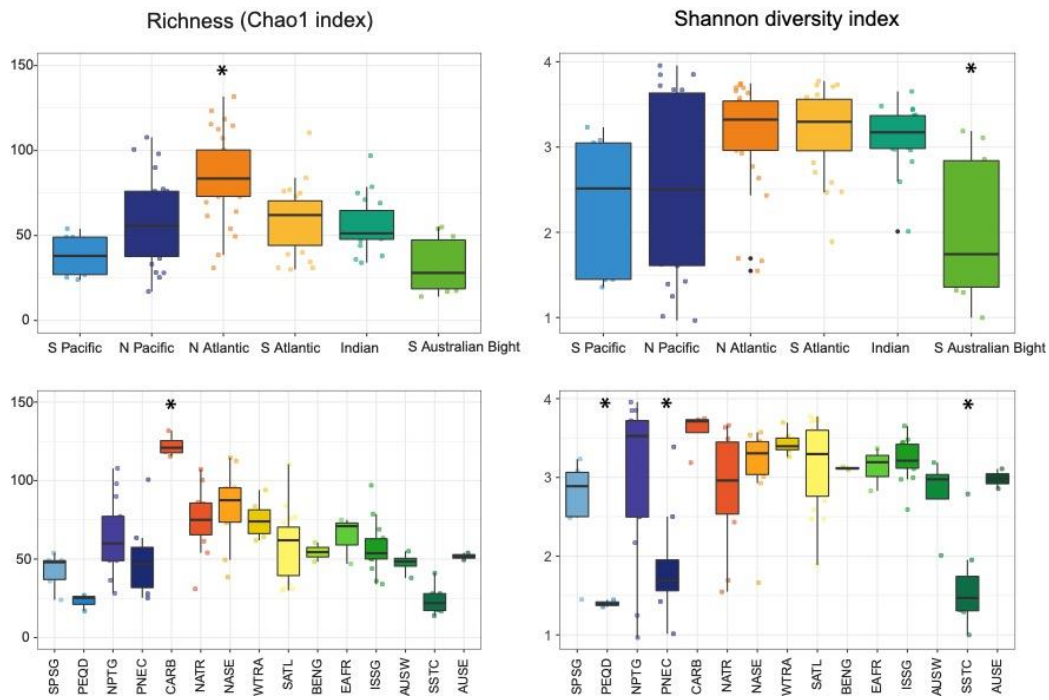
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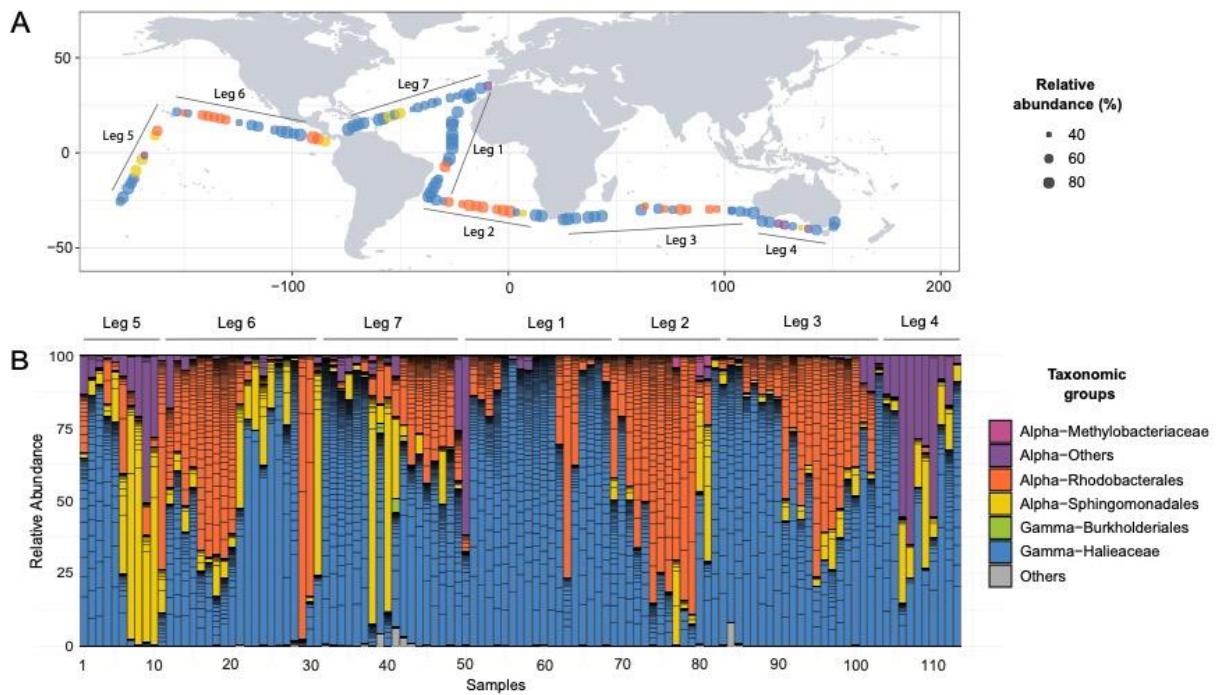
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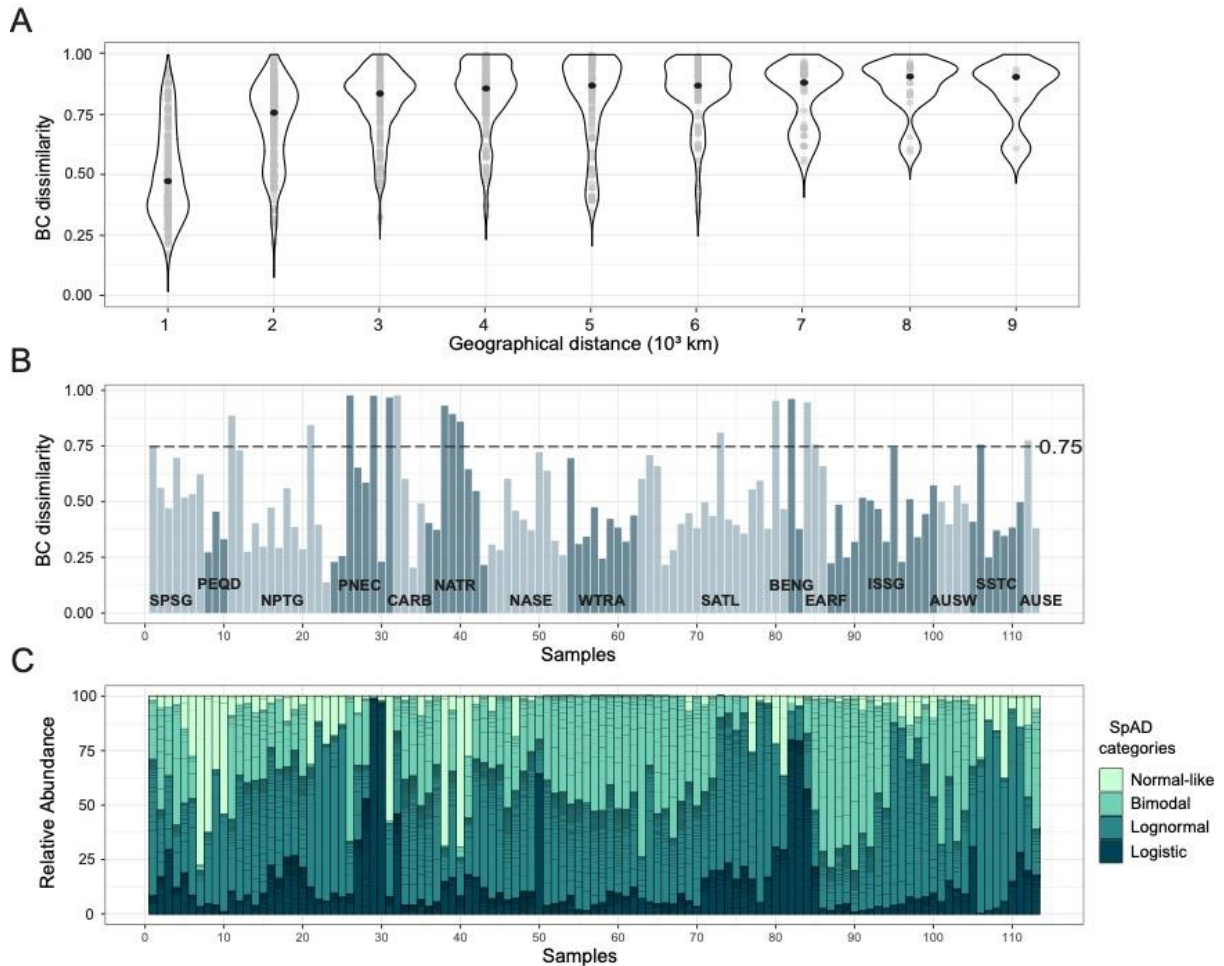
938 **Figure 1.** AAP alpha diversity measured as richness (Chao1 index) and Shannon diversity index
939 within each oceanic region (top panels) and each Longhurst province (bottom panels) sampled
940 during the Malaspina Expedition. The complete names of the Longhurst provinces are listed in
941 Table 1 and Fig S1. *Asterisks indicate regions or provinces that are statistically different from
942 the others, after a post-hoc Tukey test ($p < 0.001$).



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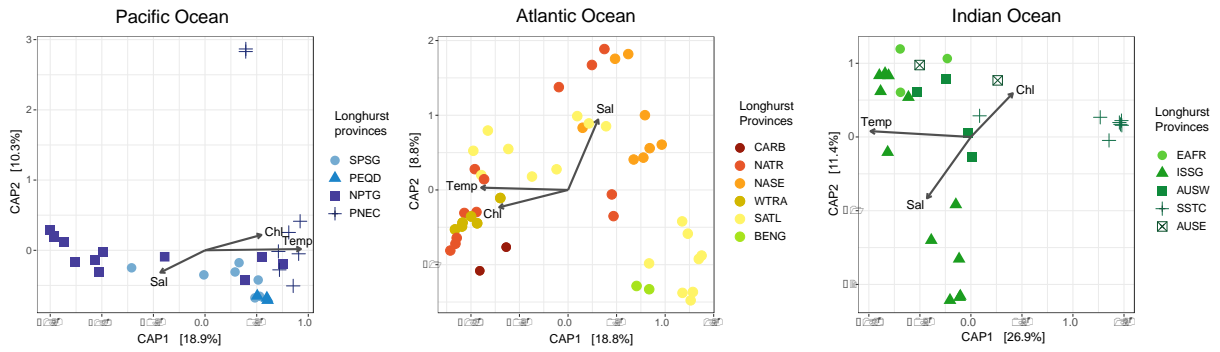
Figure 2. A) Dominant AAP taxonomic groups across the global tropical and subtropical
surface ocean. Each station is colour-coded by the most abundant taxonomic group in the
sample (see taxonomy legend in panel B), and the size of the dot is proportional to the relative

947 abundance of the dominant taxon. The Malaspina Expedition legs are indicated to help visualize
 948 the cruise track. B) Community composition at each station, expressed as the relative
 949 contribution of each *pufM* sequence colour-coded by its taxonomic affiliation. Samples are
 950 ordered following the cruise path as in panel A.
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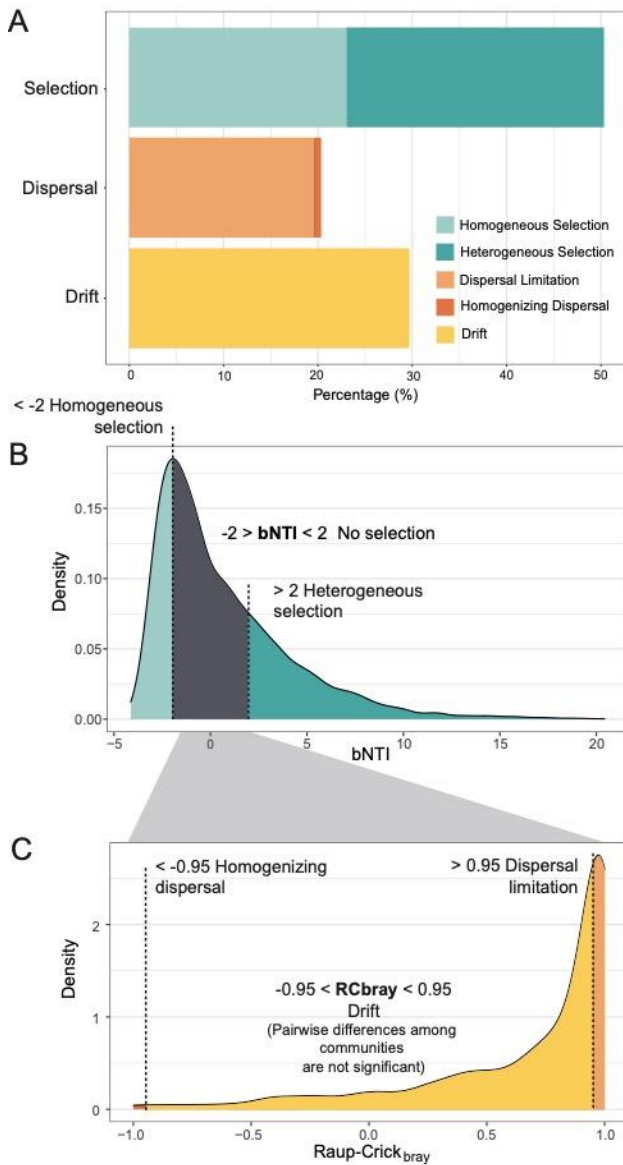
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953 **Figure 3.** A) Changes in community dissimilarity between AAP assemblages, measured as
 954 Bray-Curtis (BC) dissimilarity with regard to the geographical distance among samples. All
 955 comparisons are represented by grey dots while black dots indicate the median value of
 956 dissimilarity at each distance. We only considered pairwise comparisons between samples
 957 located in the same ocean. B) Sequential change in community composition across space
 958 (sequential beta diversity). Bars represent BC dissimilarity between each community and the
 959 one sampled immediately before (e.g., first bar represents BC dissimilarity between stations 113
 960 and 1, second bar represents BC dissimilarity between stations 1 and 2, and so on, up to stations
 961 112 and 113). Samples are ordered following the cruise path as in Figure 2 for comparison.
 962 Alternating light and dark colour represent a change in Longhurst provinces along the transect
 963 and the provinces are indicated according to Longhurst (1998) abbreviations. C) Relative
 964 contribution in each community of the four Spatial Abundance Distribution (SpAD) categories
 965 of ASVs throughout the surface ocean, displayed using the same sample order as in panel B.



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967 **Figure 4.** Distance-based redundancy analysis (dbRDA) performed separately for the Pacific,
 968 Atlantic and Indian Ocean stations. Samples are color-coded according to the Longhurst
 969 provinces to which they belong. Temperature, salinity, and chlorophyll *a* were the three
 970 variables that explained the largest fraction of community variance, and they are represented by
 971 arrows, where “Temp” is temperature, “Sal” is salinity, and “Chl” is chlorophyll *a*
 972 concentration.



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974 **Figure 5.** A) Percentage of the AAP bacterial community turnover associated to each ecological
 975 process in the tropical and subtropical surface ocean. B) Distribution of β NTI estimates for the
 976 total number of comparisons between all samples in the dataset. Absolute values of β NTI above
 977 2 are considered as significant departures from random phylogenetic turnover and are associated
 978 to homogeneous and heterogeneous selection (blue areas). The grey area represents the fraction
 979 of nonsignificant β NTI values. To disentangle whether drift or dispersal are the main ecological
 980 processes shaping the turnover between these communities, Bray–Curtis-based Raup–Crick
 981 (RC_{bray}) was calculated. C) Distribution of RC_{bray} for the pairwise community comparisons that
 982 are not structured by selection. RC_{bray} values between -0.95 and $+0.95$ point to a community
 983 assembly governed by drift (yellow area). On the contrary, RC_{bray} values $> +0.95$ or < -0.95
 984 indicate that community turnover is driven by dispersal limitation or homogenizing dispersal
 985 respectively (orange areas).

986 TABLES

987

988 **Table 1.** Provinces covered during the 2010 Malaspina Expedition and values (average
 989 \pm standard deviation, minimum to maximum) of temperature, salinity and Chlorophyll *a*
 990 concentration measured in each province. Names and abbreviations according to
 991 Longhurst (1998). N = number of stations visited in each Longhurst province.

992

Provinces	Province abbreviations	N	Temperature (°C)	Salinity (PSU)	Chlorophyll <i>a</i> (mg·m ⁻³)
East Australian Coastal	AUSE	2	21.39 \pm 0.35 (21.14 to 21.64)	35.52 \pm 0.08 (35.47 to 35.58)	0.34 \pm 0.02 (0.32 to 0.36)
Australia-Indonesia Coastal	AUSW	4	23.05 \pm 1.41 (21.36 to 24.8)	35.48 \pm 0.09 (35.34 to 35.54)	0.13 \pm 0.03 (0.1 to 0.16)
Benguela Current Coastal	BENG	2	20.55 \pm 0.16 (20.44 to 20.66)	35.52 \pm 0.06 (35.48 to 35.56)	0.14 \pm 0.11 (0.06 to 0.22)
Caribbean	CARB	4	28.73 \pm 0.29 (28.38 to 29.09)	35.6 \pm 0.08 (35.54 to 35.71)	0.14 \pm 0.04 (0.09 to 0.19)
East Africa Coastal	EAFR	3	23.94 \pm 1.82 (22.56 to 26)	35.41 \pm 0.12 (35.31 to 35.54)	0.3 \pm 0.3 (0.09 to 0.65)
Indian South Subtropical Gyre	ISSG	14	23.57 \pm 1.36 (21.74 to 25.92)	35.65 \pm 0.25 (35.23 to 36.14)	0.07 \pm 0.03 (0.04 to 0.14)
Northeast Atlantic Subtropical Gyre	NASE	10	21.35 \pm 1.88 (18.45 to 24.31)	37.03 \pm 0.39 (36.43 to 37.65)	0.1 \pm 0.07 (0.04 to 0.25)
North Atlantic Tropical Gyre	NATR	11	26.82 \pm 1.32 (24.83 to 28.85)	36.68 \pm 0.67 (35.53 to 37.57)	0.14 \pm 0.1 (0.05 to 0.31)
North Pacific Tropical Gyre	NPTG	13	23.86 \pm 1.49 (21.65 to 26.35)	34.66 \pm 0.2 (34.2 to 34.94)	0.17 \pm 0.09 (0.08 to 0.44)
Pacific Equatorial Divergence	PEQD	3	27.5 \pm 0.62 (26.89 to 28.13)	35.21 \pm 0.31 (34.85 to 35.39)	0.24 \pm 0.05 (0.19 to 0.29)
North Pacific Equatorial Countercurrent	PNEC	8	28.37 \pm 0.58 (27.61 to 29.28)	33.84 \pm 0.4 (33.15 to 34.28)	0.34 \pm 0.11 (0.18 to 0.52)
South Atlantic Gyral province	SATL	19	24.7 \pm 2.26 (20.9 to 27.33)	36.49 \pm 0.45 (35.79 to 37.25)	0.07 \pm 0.03 (0.03 to 0.12)
South Pacific Subtropical Gyre	SPSG	7	28 \pm 2 (23.99 to 29.31)	35.04 \pm 0.41 (34.43 to 35.59)	0.11 \pm 0.05 (0.06 to 0.18)
South Subtropical Convergence Province	SSTC	7	17.29 \pm 1.35 (15.75 to 19.55)	35.3 \pm 0.2 (34.99 to 35.61)	0.25 \pm 0.14 (0.1 to 0.52)
Western Tropical Atlantic Province	WTRA	6	27.6 \pm 0.29 (27.27 to 28.05)	35.77 \pm 0.38 (35.42 to 36.33)	0.23 \pm 0.11 (0.11 to 0.44)

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