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Sources and formation of nucleation mode particles in remote tropical marine atmospheres over the South China Sea and the Northwest Pacific Ocean

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Highlights:

- Strong and weak local turbulence-driven NPF events were observed over SCS.
- A clear nucleation particle mode was observed over the tropical zone of NWPO.
- No growth of the nucleation mode was detected. This could indicate limited abundance of key precursors.

Abstract

A fast mobility particle sizer operating at a one-second time resolution was used to measure aerosol particle number size distribution (5.6-560 nm) in marine conditions over the South China Sea (SCS) from 29 March to 2 May 2017 and in the tropic zone of the Northwest Pacific Ocean (NWPO) from 10 to 29 October 2018. The clean background number concentration of nucleation mode atmospheric particles (<30 nm) was approximately $0.6 \times 10^3 \text{ cm}^{-3}$ in these areas. Two nighttime and five daytime strong new particle formation (NPF) events were observed to occur extending over a spatial scale from 2-140 km in the SCS, with a net increase of nucleation mode particles of $4.5 \times 10^4 \text{ cm}^{-3} \pm 3.4 \times 10^4 \text{ cm}^{-3}$ during five of the seven events. Nighttime NPF events

30 were unlikely associated with sulfuric acid vapor because of lack of photochemical reactions.
31 Daytime NPF events share several common features with nighttime NPF events, e.g., dramatic
32 spatiotemporal variations in the number concentration of the nucleation mode particles. Without
33 aerosol precursor measurements we cannot address the vapors driving the formation process.
34 However, our results show no banana-shaped growth of the particles. The growth into larger
35 particle sizes seem to be restricted by the availability of condensable components in the gas phase.
36 The nucleation mode was observed and sometimes even dominated the number concentration over
37 other particle modes in the marine atmosphere over the tropic zone of the NWPO. In addition,
38 more data obtained during the two campaigns and other campaigns were also applied to strengthen
39 the analysis in terms of origins, formation and absent growth of nucleation mode particles in the
40 marine atmospheres over the two tropic zones.

41 Key words: new particle formation; tropical marine atmosphere; dramatic; SCS; NWPO

42 **1. Introduction**

43 New particle formation (NPF) is a common atmospheric phenomenon that has been observed
44 globally in various continental and marine environments (Kulmala et al. 2004; Gong et al., 2010;
45 Liu et al., 2008; O'Dowd et al., 2002a,b; Liu et al., 2014; Xiao et al., 2015; Xie et al., 2015; Yu et
46 al., 2015; Zhu et al., 2017; Kerminen et al., 2018; Chu et al., 2019). NPF includes atmospheric
47 nucleation and particle growth, and the grown new particles are proposed to affect the climate by
48 directly scattering sunlight or indirectly affecting cloud formation (Kulmala et al., 2004; Kerminen
49 et al., 2012; Zhang et al., 2012). In the boundary layer of continental atmospheres, sulfuric acid
50 vapor is widely recognized as the necessary precursor for atmospheric nucleation, and NH₃, amines
51 and organics have been reported to greatly enhance atmospheric nucleation (Kulmala et al., 2004;
52 Zhang et al., 2004; Nieminen et al., 2018; Yao et al., 2019). In the boundary layer of marine and
53 coastal atmospheres, the oxidation products of dimethyl sulfide (DMS) such as sulfuric acid as
54 well as reactive iodine compounds are proposed to induce atmospheric nucleation (O'Dowd et al.,
55 2002a,b; Quinn & Bates, 2011, Sipilä et al. 2016). In the free troposphere, ion-induced nucleation
56 can also play a role (English et al., 2011). Relative to the abundant observations in the continental
57 atmospheric boundary layer, observations of the occurrence of NPF in the marine atmospheric
58 boundary layer are scarce (Sellegrì et al., 2016; Nieminen et al., 2018). However, oceans cover
59 almost 70% of the earth's surface and tropical oceans are the major moisture source in the global
60 atmosphere because of the strong evaporation associated with high surface sea water temperature
61 (Deng et al., 2014; John et al., 2009). Studies of NPF in tropical marine atmospheres and their
62 potential impact on the climate are even more limited (Ueda et al., 2016; Williamson et al., 2019).

63 Sulfuric acid vapor has been reported to induce NPF events in clean and polluted marine
64 atmospheres (Leaitch et al., 2013; Croft et al., 2016). The vapor can be generated from the

65 oxidation of marine biogenic precursors or from the long-range transport of continental air
66 pollutants, depending on the sources of air masses. NPF events associated with sulfuric acid vapor
67 in the marine atmosphere usually display a classical banana-shaped growth of new particles in the
68 particle number-size distribution data contour plot (Chang et al., 2011; Liu et al., 2014; Guo et al.,
69 2016; Ueda et al., 2016). Moreover, airborne flight observations indicated NPF events could occur
70 at different altitudes, ranging from the well-mixed planetary boundary layer to the lower free
71 troposphere in urban and coastal areas. It is also possible that the observed NPF events occur aloft
72 and then transport down to the marine boundary layer, near sea level (Weber et al., 1999; Meng et
73 al., 2015; Sanchez et al., 2018; Williamson et al., 2019). In such cases, it is difficult to determine
74 the apparent formation rate of new particles and strength of NPF events based on the observed
75 particle number concentrations near sea level.

76 Reactive iodine compounds have been frequently reported to induce NPF in coastal areas (Allan
77 et al., 2015; Ehn et al., 2010a; Huang et al., 2010a; McFiggans et al., 2010; O'Dowd et al., 2010;
78 O'Dowd et al., 2002a; Sipilä et al., 2016). Marine emissions of reactive iodine compounds occur
79 in coastal areas and in open ocean environments (O'Dowd et al., 2002b; Sipilä et al., 2016). Due
80 to the limited studies, direct evidence is insufficient to support iodine compounds acting as major
81 precursors for NPF in remote marine atmospheres (Allan et al., 2015; Sellegri et al., 2016). In the
82 literature, a small fraction of these NPF events driven by iodine compounds display the classical
83 banana-shaped particle growth (O'Dowd et al., 2010; O'Dowd et al., 2002a; Ehn et al., 2010).
84 During most of the NPF events driven by iodine compounds, the geometric median diameter
85 (GMD) of newly formed particles was smaller than 10-20 nm and no apparent growth of new
86 particles was found. What determines the absence of apparent growth of particles < 10-20 nm is
87 unclear. Zhu et al (2014) and Man et al. (2015) reported a ceiling of 30-50 nm for the growth of

88 newly formed particles in Qingdao and Hong Kong and correlated these observations to
89 insufficient condensation of ammonium nitrate.

90 In this study, a fast mobility particle sizer (FMPS) and a condensation particle counter (CPC)
91 were used to measure the particle number size distributions during a cruise campaign over the
92 South China Sea (SCS) and a cruise campaign over the tropic zone of the Northwest Pacific Ocean
93 (NWPO). The NWPO has been projected to experience the greatest increases in sea surface
94 temperature and CO₂ input under a future warming climate (John et al., 2015; Lauvset et al., 2017).
95 NPF and aerosol-cloud interactions associated may be even more important therein than in other
96 tropic marine atmospheres. The tropic zone of NWPO and the SCS are separated by Philippines,
97 but their water bodies have a large difference on oligotrophic levels. A comparison study of NPF
98 events therein is highly valuable. Taking advantage of the high time resolution data obtained (Yao
99 et al., 2005; Liu et al., 2014), we first isolated NPF events induced by self-vessel emissions in the
100 tropical marine atmosphere to identify NPF events observed only in the atmosphere over the SCS.
101 Different from well-documented features in NPF events in the continental atmosphere, these NPF
102 events over the SCS exhibit some common features such as the occurrence at ambient RH higher
103 than 70%, dramatic spatiotemporal variations in the number concentration of nucleation mode
104 particles, and the absence of banana-shaped growth of new particles. We introduced the coefficient
105 of variation (CV) of the particle number concentration to analyze the causes of the dramatic
106 variations in the number concentration of new particles. We also introduced the maximum increase
107 in the concentration of newly formed particles to characterize these NPF events since the
108 conventionally adopted formation rate of new particles cannot work properly because of dramatic
109 fluctuation of new particles in number concentration. In addition, we conducted a comparative
110 analysis of identified NPF events with those previously reported in the rural coastal atmosphere

111 adjacent to the SCS and previously observed in the sub-tropic zone of the remote NWPO. The
112 results were discussed in terms of factors induced NPF and chemicals determined particle growth,
113 etc. The observations are all compared with those in the marine atmosphere over the tropic zone
114 of the NWPO. This study provides new insights on occurrence and drivers of NPF and origins of
115 nucleation mode particles in tropical marine atmospheres.

116 **2. Materials and Methods**

117 ***2.1. Cruise route and instruments***

118 From 29 March to 2 May 2017, a cruise campaign across the SCS was organized by the National
119 Natural Science Foundation of China using the research vessel *Shiyan-1*. The route is shown in
120 [Fig. 1a](#). A Fast Mobility Particle Sizer (FMPS, TSI, 3091) downstream of a dryer (TSI, 3062) was
121 set on the top floor, approximately 10 m above sea level, to measure the concentrations of marine
122 atmospheric particles from 5.6 nm to 560 nm in 32 channels at a one-second time resolution. A
123 condensation particle counter (CPC, TSI, 3785) shared a splitter with the FMPS and
124 simultaneously operated at a two-second time resolution. The CPC data are used to correct the data
125 measured by the FMPS (Zimmerman et al., 2015). A Droplet Measurement Technologies
126 continuous flow cloud condensation nuclei counter (CCNC, DMT, 100) was used to measure the
127 bulk cloud condensation nuclei (CCN) concentration at five different supersaturation (SS)
128 conditions of 0.05%, 0.1%, 0.2%, 0.4% and 0.6%. In addition, a fourteen-stage Nano MOUDI-II
129 was used to collect atmospheric particles from 0.01 to 18 μm to analyze the aerosol chemical
130 composition. Nine MOUDI samples were collected at a flow rate of 29.4 $\text{L}\cdot\text{min}^{-1}$. The sampling
131 duration was generally longer than 10 hours but varied depending on the rough estimation from
132 on-board measured size-segregated particle number concentrations. A detailed chemical analysis
133 has been described by Hu et al. (2015) and Xie et al. (2018). In addition, meteorological data,

134 including wind speed (WS), wind direction (WD), ambient temperature (T) and relative humidity
135 (RH) were measured continuously on board.

136 Datasets obtained during two spring cruise campaigns across the sub-tropic oceanic zone of the
137 NWPO in 2014 (18 March to 21 April) and 2016 (25 March to 22 April) were used for comparative
138 analysis and the cruise routes are shown in [Fig. 1b](#). A FMPS and a Nano Scanning Mobility Particle
139 Sizer Spectrometer (SMPS) nanoparticle sizer (TSI, 3910) were used to measure the
140 concentrations of marine atmospheric particles on the 2014 and 2016 cruise campaigns,
141 respectively. In addition, NPF events on the eastern coastline of Hong Kong in 2011 that were
142 previously reported by Man et al. (2015) were included for comparison with those observed across
143 the SCS.

144 FMPS and CPC datasets obtained onboard the research vessel *Kexue* during a cruise campaign
145 across the tropic oceanic zone of the NWPO on 10-29 October 2018 were also analyzed ([Fig. S1](#)).
146 The number concentrations of particles <10 nm during the campaign were excluded for analysis
147 because of their noisy signals. Such noisy signals were not observed for the number concentrations
148 of particles ≥ 10 nm and the concentrations were normal in comparison with those measured during
149 other cruise campaigns. The FMPS was out of service after 29 October because of severe weather
150 conditions.

151 **2.2. Computational methods**

152 In this study, the size distribution of newly formed particles was fitted by multiple log-normal
153 distribution functions (Whitby, 1978; Zhu et al., 2014). The apparent growth rate (GR) of newly
154 formed particles was calculated as:

$$155 \quad GR = \frac{\Delta D_p}{\Delta t}$$

156 where ΔD_p is the increased median mobility diameter of the new particle mode and Δt is the
157 duration of the growth of newly formed particles. The GR of preexisting particles before NPF
158 events was also calculated using this method.

159 Moreover, measurements averaged over each 30 s period were used to calculate the coefficient
160 of variation (CV, equal to the standard deviation over the mean concentration in each 30 s interval),
161 which has been demonstrated to be useful for describing the transport of aerosol particles (Meng
162 et al., 2015). In this study, we calculated the CV of the 5.6-30 nm and 30-100 nm particle number
163 concentrations ($N_{<30}$ and N_{30-100} , respectively) and a t-test was used to assess the statistical
164 significance ($p < 0.05$). The CV ratio, defined as CV of $N_{<30}$ ($CV_{N_{<30}}$) over CV of N_{30-100} ($CV_{N_{30-100}}$)
165 was also computed. We combined the CV values for different sized particles and the CV ratios
166 during, before, and after the NPF events to analyze the causes of dramatic spatiotemporal
167 variations in number concentration of new particles.

168 The net maximum increase in the nucleation mode particle number concentration (NMINP) was
169 calculated as $N_{<30}(t1) - N_{<30}(t0)$. $N_{<30}(t0)$ is the nucleation mode particle number concentration
170 immediately before the apparent NPF initialization. Depending on the characteristics of the NPF
171 events, $N_{<30}(t1)$ can be calculated in two ways (Zhu et al., 2017). For NPF events with a relatively
172 smooth and single temporal peak nucleation mode particle number concentration, the maximum
173 concentration is used; this method is referred to as Method 1. For NPF events with multiple peaks
174 in the temporal profile of the nucleation mode particle number concentration, it is defined as the
175 average of the top 10 percentile values of these peaks (referred to as Method 2). In the SCS, the
176 NPF events fall into the second case and hence Method 2 was used. However, the NPF events in
177 the NWPO and Hong Kong (Man et al. 2015) fall into the first case and Method 1 was used.
178 Formation rate of newly formed particles (FR) is calculated by NMINP over $t_1 - t_0$.

179 In addition, the 24-hour air mass back trajectories were calculated by using the Hybrid Single-
180 Particle Lagrangian Integrated Trajectory (HYSPLIT) model from the NOAA Air Resources
181 Laboratory to investigate the origins of air masses. The 6-hourly 24-hour backward trajectories at
182 100 m, 500 m and 1000 m above mean sea level (a.m.s.l.) were calculated at the initial NPF time
183 on NPF days (Fig. S2).

184 **3. Results and discussion**

185 *3.1. Separating NPF events from combustion plumes in marine atmospheres*

186 Ship combustion plumes released by the research vessel itself or other marine traffic contain a
187 large amount of primary particles and can greatly increase the particle concentrations in the marine
188 atmosphere (Li et al., 2015; Liu et al., 2014; Ueda et al., 2016). In addition to the primary particles,
189 ship combustion may release large amounts of gases such as SO₂, particularly from ships using
190 heavy oil or high sulfur-content diesel (i.e., 0.5-1.0% sulfur-content diesel in China). Under
191 favorable meteorological conditions, secondary formation of atmospheric particles in freshly
192 diluted ship plumes is also expected. Thus, it is essential to identify the primary and secondary
193 particle signals associated with self-vessel plumes and nucleation mode particles unrelated to self-
194 vessel plumes. Primary and secondary particles derived from other marine traffic plumes have
195 become part of ambient aerosols in marine atmospheres and are therefore included in our analysis.
196 They are included as part of non self-vessel events in the discussions below. The separation method
197 is explained in more detail below.

198 As shown in Figs. 2a and 2b, a plume (marked with red rectangle in Fig. 2a) with geometric
199 median mobility diameter (GMD) of particles of 50±10 nm was observed from 10:25 to 16:45
200 (Beijing local standard time) on 15 April 2017. The research vessel traveled approximately 20 km

201 during the period (Fig. 2e), implying that the plume is most likely regional. A few spikes of N_{30-100}
202 superimposed on the plume occurred from 11:45 to 12:10 (Fig. 2b, marked with green
203 rectangle) and the GMD of particles in the spikes was as high as 60 ± 10 nm (Fig. 2a). A number of
204 spikes were also observed from 15:20 to 16:40 and from 19:20 to 21:15 (Fig. 2b, marked with
205 purple rectangle), for which the GMD of particles was also 60 ± 10 nm. The spikes lasted only from
206 several minutes to ten minutes. Considering the almost invariant large GMD of the particles and
207 the largely increased N_{30-100} (0.9×10^4 - 5.2×10^4 cm^{-3}) in spikes against the clear background
208 (770 ± 199 cm^{-3} on that day), the spikes were very likely caused by primary self-vessel emissions,
209 superimposed onto the regional plume. Note that there was no increase in $N_{<30}$ in these N_{30-100}
210 spikes on that day. A few spikes in $N_{<30}$ were observed from 12:45 to 14:05 (with the vessel
211 traveling for ~ 3 km, red line in Fig. 2e) but there was no significant correlation between N_{30-100}
212 and $N_{<30}$ at 95% confidence (top right of Fig. 2b). Moreover, the net increase in $N_{<30}$ of each spike
213 was much larger than that in N_{30-100} simultaneously measured during the period. However, the
214 reverse is true in self-vessel-induced NPF events because of large condensation sink as shown in
215 Figs. 2c and 2d and discussed in the next paragraph. Thus, these spikes in $N_{<30}$ were more likely
216 ascribed to non self-vessel-induced NPF events, in which the nucleation mode particles had the
217 GMD at 11 ± 0.3 nm and NMNIP of 2.1×10^4 cm^{-3} . Nighttime NPF event was not observed on that
218 day.

219 Self-vessel induced NPF events indeed occur for sometimes through the whole campaign (Fig.
220 S3). We further characterized self-vessel induced NPF in order to distinguish from non self-vessel-
221 induced NPF events abovementioned. Dozens of spikes in $N_{<30}$ observed on 5 April 2017, as
222 shown in Figs. 2c and 2d, were used to illustrate and nucleation mode particles in spikes had their
223 GMD at 9.0 ± 0.3 nm instead of 11 ± 0.3 nm observed in non self-vessel-induced NPF events. Even

224 more spikes in N_{30-100} were observed on 5 April 2017, but some were observed also in the absence
225 of spikes in $N_{<30}$. Again, the almost invariant GMD of particles at approximately 60 nm in these
226 spikes implied that primary self-vessel emissions probably overwhelmingly contributed to the N_{30-100}
227 spikes. Notably, some spikes in N_{30-100} occurred simultaneously with spikes in $N_{<30}$, with a
228 significant correlation at 95% confidence (right top of Fig. 2d), unlike those spikes from non self-
229 vessel emissions as shown in top right of Fig. 2b. These spikes in $N_{<30}$ should be ascribed to the
230 nucleation of primarily-emitted sulfuric acid in diluted self-vessel plumes. Fresh ship plumes
231 always contain sulfuric acid and organic vapors produced from combustion. The formation of
232 nucleation mode particles in ship plumes relies on the balance of the availability of sulfuric acid
233 and organic vapors for nucleation against the condensation sink. However, $N_{<30}$ was only 1/3-1/2
234 of N_{30-100} in presence of spikes of $N_{<30}$ in self-vessel plumes because of the large condensation
235 sink associated with those accumulation mode particles (Fig. 2d). Thus, largely increased N_{30-100}
236 was also used as an indicator for anthropogenic combustion emissions in the marine atmosphere.
237 We conducted a similar analysis for the data collected throughout the entire campaign. Seven
238 strong non self-vessel-induced NPF events were identified with $N_{<30}$ generally above $1 \times 10^4 \text{ cm}^{-3}$.
239 (Figs. 1a, 3 and S3) and are discussed in detail in section 3.2-3.4. A number of weak non self-
240 vessel-induced NPF events were also observed at different times, with $N_{<30}$ decreased by
241 approximately one order of magnitude. Only the one occurring immediately after a strong NPF
242 event on 15 April and the longest non-continuous weak NPF event on April 27-28 were selected
243 for analysis in Section 3.5. In the particular events, $N_{<30}$ were comparable to those during NPF
244 events observed in the clean marine atmosphere over the North Pacific Ocean reported by Ueda et
245 al (2016).

246 During the cruise campaign over the tropic oceanic zone of the NWPO in 2018, the GMD of
247 particles derived from self-vessel plumes were generally 32 ± 4 nm, with an exception of 28 ± 3 nm
248 only during five hours at 12:13-17:03 on 25 October 2018 (Fig. S1). The exception was not yet to
249 be explained. Self-vessel plumes were less frequently detected because the FMPS was not situated
250 downwind of the vessel smoke stack under most of wind conditions.

251 In summary, self-vessel NPF events are characterized by coexisting spikes of $N_{<30}$ and N_{30-100}
252 and the net increase in $N_{<30}$ was approximately only 1/3-1/2 that in N_{30-100} ; non self-vessel NPF
253 events are characterized by either absence of spikes of N_{30-100} or the net increase in $N_{<30}$ to be
254 much larger than that in N_{30-100} .

255 ***3.2. Overview of strong NPF events observed across the SCS***

256 If not specified, NPF events presented in Section 3.2-3.4 are categorized as strong events, with
257 characteristics of either absence of spikes of N_{30-100} or the large net increase in $N_{<30}$. Seven non
258 self-vessel-induced NPF events were observed on six of the thirty-one days of the cruise over the
259 SCS (Table 1). There were five daytime events and two nighttime events. The seven NPF events
260 shared some unique features such as the occurrence under ambient RH larger than 70% and
261 dramatic spatiotemporal variations in $N_{<30}$, etc., as presented below. Attempts were made to
262 examine NPF in SCS out of the marginal seas of China, a cruise campaign along the long coast
263 from the Yellow Sea, to the East China Sea to the SCS was conducted in the spring of 2018.
264 Unfortunately, due to weather conditions that were unfavorable for NPF, no NPF event was
265 observed. No NPF event was observed either over the tropic oceanic zones of the NWPO during a
266 cruise campaign in the fall of 2018. Thus, measurements in Hong Kong, adjacent to the SCS, are
267 used to compare and illustrate these unique features (Table 1).

268 All seven NPF events in SCS occurred under clear weather conditions with ambient RH
269 exceeding 70%. In contrast, the NPF events at the rural site in Hong Kong were all observed at
270 ambient RH below 70% (Man et al., 2015). The continental NPF events were usually observed at
271 low ambient RH (Hamed et al., 2011; Kulmala et al., 2004). Hamed et al. (2011) reported the
272 maximum concentration of sulfuric acid vapor was observed at ambient RH below 60%. NPF
273 events in the continental atmosphere were rarely observed at ambient RH exceeding 70% because
274 of several different seasons, e.g., high ambient RH significantly reduces OH concentrations
275 (Hamed et al., 2011; Kerminen et al. 2018; Kulmala et al., 2004). The daytime NPF events
276 observed in the remote humid marine atmosphere over the SCS might be driven by ambient
277 nucleation independent on ambient RH while nighttime NPF events were also independent on
278 photochemical reactions.

279 FR has been widely used to characterize NPF events in the literature. The typical FR induced
280 by sulfuric acid nucleation ranges from 0.01 to 10 $\text{cm}^{-3}\text{s}^{-1}$ in the continental atmospheric boundary
281 layer (Kulmala et al., 2004). We calculated the values of FR during the spring cruise campaign
282 over the SCS and found that they were unrealistically large (as high as 87 $\text{cm}^{-3}\text{s}^{-1}$ and 112 $\text{cm}^{-3}\text{s}^{-1}$
283 on 1 April and 7 April 2017, respectively, the FR was calculated as $\text{NMINP}/(t_1-t_{01})$, t_1 and t_{01}
284 were labeled in [Figs. 3b and 3e](#)) that cannot be explained by ambient nucleation of sulfuric acid
285 vapor. However, large FR (10^2 - $10^4 \text{ cm}^{-3}\text{s}^{-1}$) is common for reported NPF events induced by iodine
286 compounds in literature (O'Dowd et al., 2002b). However, direct measurements of these iodine
287 compounds in the smaller sized need to confirm this (Yu et al., 2019).

288 In addition, the NMINP varied from 0.7×10^4 to $9.3 \times 10^4 \text{ cm}^{-3}$, with the mean of
289 $4.5 \times 10^4 \pm 3.4 \times 10^4 \text{ cm}^{-3}$ in five of the seven NPF events (For the event on 31 March, NMINP was
290 calculated after 10:15-10:30). No NMINP was calculated for the other two events due to the lack

291 of measurements in the initial periods. Condensational sink and NMINP are poorly correlated. The
292 mean of NMINP is approximately one order of magnitude large than theoretically estimated and
293 measured NMINP in marine NPF events induced by the oxidation of DMS (Chang et al., 2011;
294 Collins et al., 2017; Dall'Osto et al., 2017; Pirjola et al., 2000) and therefore unlikely induced by
295 the biogenic DMS sources. The mean NMINP in this study over the SCS was also higher than that
296 in the rural coastal areas of Hong Kong (Man et al., 2015) of $1.3 \times 10^4 \text{ cm}^{-3}$ and that in the polluted
297 atmosphere over the Yellow Sea and East China Sea of $1.3 \times 10^4 \text{ cm}^{-3}$ (Liu et al., 2014). Although
298 no concentrations of sulfuric acid are available for discussion, the concentrations of SO_4^{2-} in
299 particles less than $3.2 \mu\text{m}$ over the SCS and those in $\text{PM}_{2.5}$ in Hong Kong were alternatively used
300 to argue the difference on observed NMINP. The average value was as low as $0.48\text{-}1.3 \mu\text{g}/\text{m}^3$
301 during some periods of the campaign over the SCS (not shown), when the particle sampler was
302 not downwind of self-vessel plumes. The average value of SO_4^{2-} during NPF events reached 14.2
303 $\mu\text{g}/\text{m}^3$ in Hong Kong as reported by Man et al. (2015). The low concentrations of SO_4^{2-} over the
304 SCS further suggest the background marine atmosphere to be “less polluted”, except self-vessel
305 plumes. At such low SO_4^{2-} levels over the SCS, low concentrations of sulfuric acid should be there
306 and unlikely cause high NMINP.

307 Moreover, Ueda et al. (2016) reported an NMINP of $N_{<30}$ of less than 500 cm^{-3} in the clean
308 marine atmosphere over the North Pacific Ocean, which was approximately two orders of
309 magnitude lower than what we observed over the SCS. However, under the influence of transport
310 of anthropogenic continental air pollutants (see the calculated back trajectories shown in Fig. S2),
311 the NMINP during the NPF events observed in the remote atmosphere over the sub-tropic zone of
312 the NWPO in the spring of 2014 and 2016 ranged from $0.18 \times 10^4 \text{ cm}^{-3}$ to $1.8 \times 10^4 \text{ cm}^{-3}$, with the
313 mean of $1.1 \times 10^4 \text{ cm}^{-3}$ (Guo et al., 2016; Zhu et al., 2019).

314 Furthermore, we did not observe the typical “banana-shaped” particle growth in any of the
315 seven NPF events identified over the SCS. The GMD of newly formed particles remained invariant
316 at ~11 nm in these NPF events (Fig. 1a). At the rural site in Hong Kong, the GMD of newly formed
317 particles eventually increased to over 20 nm in all NPF events. However, for the NPF on 16 March
318 2011 in that study, the GMD of newly formed particles remained invariant at ~10 nm for the initial
319 40 minutes (bottom left of Fig. 1b in Man et al., 2015).

320 Overall, these seven events had occurrence conditions with ambient RH>70%, unrealistically
321 large FR and absence of apparent particle growth that are distinctively different from those of NPF
322 events driven by sulfuric acid in coastal and marine atmospheres. These NPF events across SCS
323 were unlikely induced by sea-derived dimethyl sulfide because of their large NMINP. The NMINP
324 even larger than those observed in Hong Kong and the NWPO suggests that the NPF events over
325 the SCS were associated with stronger ambient nucleation.

326 *3.3. Comparison of nighttime and daytime NPF events*

327 On the same day (7 April, Figs. 3d and 3e), the intensity of the nighttime NPF event is clearly
328 weaker than that of the daytime NPF event. The same is generally true for the overall comparison
329 between two nighttime NPF events and five daytime NPF events (Fig. 1a). Solar radiation can
330 initiate photochemical reactions, which would subsequently enhance daytime NPF.

331 Two nighttime NPF events were observed over the SCS on 2 and 7 April in absence of banana-
332 shaped growth (Figs. 3d-3f and S3d-S3f). We first studied the nighttime NPF event on 7 April
333 because there was no disturbance from self-vessel emissions (Figs. 3d-3f). This event lasted
334 approximately one hour (from 22:30 to 23:40) and occurred over a ~25 km oceanic zone on the
335 basis of the cruise track superimposed in Fig. 3e, with an average $N_{<30}$ of $4.0 \times 10^3 \pm 2.2 \times 10^3 \text{ cm}^{-3}$
336 (green shadow in Fig. 3e). The average $N_{<30}$ was substantially larger than the averages before and

337 after the event ($6.7 \times 10^2 \pm 2.5 \times 10^2 \text{ cm}^{-3}$ at 22:00-22:30 and $6.1 \times 10^2 \pm 67 \text{ cm}^{-3}$ at 23:40-24:00). Note
338 that N_{30-100} decreased by approximately 60% during the NPF events in comparison with from the
339 hourly average value before the event. The FMPS was situated only approximately 20 m distance
340 from the vessel chimney smoke stack. Either a strong signal or no signal from own emission
341 plumes was detected by the FMPS, depending on whether the FMPS was downwind of the stack.
342 The NPF event started with a sharply decreasing wind speed from 6.0 ms^{-1} to 0.5 ms^{-1} and the wind
343 direction changed from west to east (green shadow in Fig. 3f), implying that the stagnant air mass,
344 not self-vessel emission, was related to NPF. Decreasing wind speed is usually caused by
345 descending motions, favoring accumulation of precursors for nucleation. The $N_{<30}$ fluctuated
346 dramatically (Fig. 3e) through the event, which is an indication of high spatiotemporal
347 heterogeneity of new particles.

348 In contrast, the second nighttime NPF event on 2 April lasted for at least three hours and
349 occurred over at least 60 km oceanic zone on the basis of the vessel cruise track (The bottom of
350 the left column in Fig. 1a and Fig. S3d-S3f), but the starting time was unidentified because of
351 missing data. The NPF event ended at 21:30 when the wind speed suddenly increased from 3.8
352 $\pm 0.6 \text{ ms}^{-1}$ to $7.8 \pm 0.8 \text{ ms}^{-1}$ and the wind direction changed from $157 \pm 9^\circ$ to $217 \pm 5^\circ$. $N_{<30}$ also
353 dramatically fluctuated during this NPF event when the average $N_{<30}$ was $9.9 \times 10^3 \pm 5.7 \times 10^3 \text{ cm}^{-3}$
354 (Fig. S3e) and sharply decreased to $492 \pm 89 \text{ cm}^{-3}$ at 21:30-22:00. Unfortunately, a number of
355 spikes in N_{30-100} were observed during the NPF event due to self-vessel emissions (Fig. S3e). When
356 these N_{30-100} spike periods were completely removed, no significant correlation between $N_{<30}$ and
357 N_{30-100} existed, i.e., correlation coefficient of r was as low as 0.05 with $P > 0.1$. In addition, the
358 strongest spike of $N_{<30}$ ($1.3 \times 10^4 \pm 0.8 \times 10^4 \text{ cm}^{-3}$) at 20:53-21:03 occurred concurrently with N_{30-100}
359 to be one order magnitude lower at $1.1 \times 10^3 \pm 0.3 \times 10^3 \text{ cm}^{-3}$. $N_{<30}$ held at $1.4 \times 10^4 \pm 0.4 \times 10^4 \text{ cm}^{-3}$ at

360 20:11-20:31, although N_{30-100} largely increased by over one order of magnitude and reached
361 $1.6 \times 10^4 \pm 1.2 \times 10^4 \text{ cm}^{-3}$. The comparison results further indicate that self-vessel plumes had a
362 negligible influence on $N_{<30}$ during the NPF event. Like dramatic fluctuations of N_{30-100} caused by
363 self-vessel plumes, $N_{<30}$ also dramatically fluctuated, but not simultaneously with N_{30-100} , during
364 the nighttime event. The dramatic fluctuation of $N_{<30}$ implied NPF to be likely driven by locally
365 derived precursors, e.g., reactive iodine compounds proposed in the literature.

366 The five daytime NPF events were observed on 30, 31 March and 1, 4 and 15 April (Fig. 1a).
367 The longest NPF event was observed on 1 April (Fig. 3a) and the vessel traveled approximately
368 140 km during this entire NPF period from 10:00 to 16:20 (Fig. 3b). The NPF event apparently
369 occurred regionally, but the temporal profile of $N_{<30}$ implied that the strength of the NPF varied
370 largely in different oceanic zones. The $N_{<30}$ rapidly increased from 663 particles cm^{-3} to 1.3×10^5
371 cm^{-3} in the initial 26 minutes after 10:00 (green shadow in Fig. 3b) and then dramatically fluctuated
372 around $3.2 \times 10^4 \pm 1.7 \times 10^4 \text{ cm}^{-3}$ at 10:30-13:20 (orange shadow in Fig. 3b). N_{30-100} was only
373 $2.2 \times 10^3 \pm 1.1 \times 10^3 \text{ cm}^{-3}$ and over one order magnitude lower than $N_{<30}$ in the three hours, indicating
374 the marine atmosphere to be “less polluted”. The wind speed narrowly varied around $8.1 \pm 0.8 \text{ ms}^{-1}$
375 before 10:00 (green shadow in Fig. 3c), dramatically varied in the next half hour, and then
376 reduced around $4.5 \pm 0.7 \text{ ms}^{-1}$ at 10:35-12:50 (orange shadow in Fig. 3c). The wind direction turned
377 from $275^\circ \pm 17^\circ$ before 10:00 to the extreme of 21° and then gradually turned back to $240^\circ \pm 7^\circ$ at
378 10:35-12:50. The $N_{<30}$ drastically decreased to $0.7 \times 10^4 \pm 0.7 \times 10^4 \text{ cm}^{-3}$ during 13:20-14:42 (gray
379 shadow in Fig 3b). The new particle signals returned strongly afterwards and the $N_{<30}$ showed
380 another peak of $3.0 \times 10^4 \pm 1.6 \times 10^4 \text{ cm}^{-3}$ at 15:08-15:52 (cyan shadow in Fig. 3b) and then gradually
381 disappeared. Analysis of other daytime NPF events reveals the common feature, i.e., the $N_{<30}$
382 dramatically fluctuated through the event. However, NPF events induced by sulfuric acid vapor

383 usually exhibited a rapid increase in $N_{<30}$ in the initial one-three hours, followed by a gradual
384 decrease in $N_{<30}$ through the events as reviewed by Kulmala et al. (2004). Formation of sulfuric
385 acid vapor in ambient air is not fast enough and the vapor thereby needs accumulation to some
386 extent for nucleation (Kerminen et al., 2018). It is practically impossible for sulfuric acid vapor
387 repeatedly induces NPF for dozens of times in a few hours (Clarke et al., 1998). Nighttime NPF
388 shares the same feature as daytime NPF.

389 Moreover, the two strong daytime NPF events were observed under high levels of N_{30-100} on 30
390 and 31 March (top two in Fig. S3). The NPF event on 31 March was the strongest and was thereby
391 selected to illustrate. During the break of the event (at 10:15-10:30) on that day, $N_{<30}$ and N_{30-100}
392 were as low as $1.3 \times 10^3 \pm 1.3 \times 10^3 \text{ cm}^{-3}$ and $1.1 \times 10^3 \pm 98 \text{ cm}^{-3}$, respectively, indicating the marine
393 atmosphere to be “less polluted”. Due to NPF, $N_{<30}$ increased to $5.7 \times 10^4 \pm 2.6 \times 10^4$ before the break
394 (at 08:29-10:14) and after the break (at 10:31-14:39), when N_{30-100} also largely increased to
395 $1.7 \times 10^4 \pm 0.9 \times 10^4$. Two troughs of $N_{<30}$ at 10:39-11:06 and 13:34-13:54 exactly corresponded to
396 two troughs of $N_{<30-100}$ during the NPF event, implying that NPF may reduce with reduced
397 anthropogenic air pollutants’ signals. However, $N_{<30}$ was poorly correlated to $N_{<30-100}$ during most
398 of periods of the event. Again, the strength of ambient nucleation depends on the balance of
399 availability of precursors against condensational sink.

400 ***3.4. Cause of dramatic variations in $N_{<30}$ during NPF events***

401 Unlike most NPF events observed in continental atmospheres (Kulmala et al., 2013, 2016;
402 Ristovski et al., 2010), the NPF events in the remote marine atmosphere over the SCS show
403 dramatic variations in $N_{<30}$. Wen et al. (2006) also reported dramatic variations in the number
404 concentration of newly formed particles with diameter less than 10 nm in the rural coastal
405 atmosphere at Bodega Bay, California and attributed the NPF events to biogenic activities. The

406 dramatic variations are analyzed below using the CV values as a metric. Meng et al. (2015)
407 reported the CV of $N_{<100}$ to be as low as 0.05 ± 0.02 during banana-shaped NPF events observed in
408 marine atmospheres. The occurrence of NPF largely increased the nucleation mode particle
409 number concentrations and thereby increased the spatial homogeneity of particles in number
410 concentration. In addition to spatial homogeneity of particle number concentration, other factors
411 such as turbulence can affect the CV.

412 Before analyzing the CV values during the NPF events across the SCS, we first examined the
413 CV values at two typical situations, i.e., in regional plumes and in self-vessel plumes. For
414 example, regional Aitken mode particle signals were detected on 15 April over the SCS (Fig. 2a).
415 The CV for N_{30-100} largely decreased from 0.75 ± 0.09 at one hour immediately before the plume
416 arrival (at 09:30-10:25) to 0.13 ± 0.15 at the initial one hour during the plume arrival (at 10:26-
417 11:25) with an increase in N_{30-100} from $785\pm 179\text{ cm}^{-3}$ to $1.3\times 10^4\pm 6.3\times 10^3\text{ cm}^{-3}$. The CV for N_{30-}
418 $_{100}$ further decreased to 0.04 ± 0.03 with additionally increasing N_{30-100} at 12:45 to 14:05 on that
419 day. In contrast, self-vessel plumes also caused a large increase in N_{30-100} relative to the clean
420 marine background. For example, N_{30-100} increased largely from $2.2\times 10^3\pm 0.3\times 10^3\text{ cm}^{-3}$ before
421 the self-vessel plumes at 03:53-04:34 to $1.1\times 10^4\pm 0.5\times 10^4\text{ cm}^{-3}$ in the self-vessel plumes at
422 04:35-06:33 (marked with red rectangle in Fig. 2c) on 5 April over the SCS. $N_{<30}$ also increased
423 from $854\pm 55\text{ cm}^{-3}$ to $5.1\times 10^3\pm 1.9\times 10^3\text{ cm}^{-3}$ in this case, but no increase in $N_{<30}$ occurs in most of
424 self-vessel plumes on that day. The CV values of $N_{<30}$ and N_{30-100} decreased only from 0.97 ± 0.11
425 to 0.53 ± 0.15 for $N_{<30}$ and only from 0.33 ± 0.06 to 0.27 ± 0.14 for N_{30-100} , with increasing N_{30} and
426 N_{30-100} . The similar result can be obtained for observations at 14:41-17:16 in self-vessel plumes
427 (marked with purple rectangle in Fig. 2c) and at 13:41-14:19 after the self-vessel plumes, i.e., a
428 decrease in CV values from 1.3 ± 0.14 to 0.54 ± 0.15 for $N_{<30}$ and from 0.44 ± 0.08 to 0.27 ± 0.13 for

429 N_{30-100} . Considering approximately 20 m distance between the FMPS and the self-vessel smokes
430 stack, local turbulence likely overwhelmed advection in determining high-frequency oscillation
431 signals of $N_{<30}$ and N_{30-100} in self-vessel plumes and led to large CV values for $N_{<30}$ and N_{30-100}
432 therein. On the other hand, advection always overwhelms turbulence in regional transport of air
433 pollutants, leading to a much large decrease in CV values with increasing N_{30-100} .

434 With a large difference in CV values associated with the transport of air pollutants driven by
435 advection and local turbulence in mind, we analyzed the CV values of $N_{<30}$ and N_{30-100} and the CV
436 ratios during the NPF events and before or after the events. Again, we first re-examined these
437 variables during, before or after the NPF events in the spring coastal atmosphere in Hong Kong
438 (Man et al., 2015). Compared to the period before NPF events, based upon the bottom section of
439 Table 1, the $CV_{N<30}$ and ratio of $CV_{N<30}$ to $CV_{N30-100}$ drastically decreased during the NPF events
440 in Hong Kong and increased after the events. The $CV_{N<30}$ were as low as 0.1 during the NPF events
441 therein (bottom section of Table 1). The decreasing extent in CV values was consistent with that
442 for N_{30-100} observed in the regional plume over the SCS on 15 April.

443 In the SCS, there were seven NPF events and three had no data before the NPF events. On 31
444 March, there was a break of NPF at 10:15-10:30 and the CV at the break was used for comparison.
445 On 2 April, the CV immediately after the NPF event was used for comparison. The six NPF events
446 can be divided into two general categories compared to the period before or after NPF events. The
447 first category is characterized by reduction in $CV_{N<30}$ and the CV ratio during the events, similar
448 to what was found in self-vessel plumes. There are four NPF events in the first category, i.e., two
449 nighttime events on 2 and 7 April and two daytime events on 31 March and 7 April. However, the
450 values were much larger than those in the regional plume observed over the SCS on 15 April and
451 those during the NPF in Hong Kong, indicating that the transport of newly formed particles may

452 not be dominantly driven by advection. The NPF events may be related to highly localized
453 formation of new particles. In some events, NPF can occasionally be affected by self-vessel plumes
454 as discussed in Section 3.3, indirectly supporting highly localized NPF. On the other hand, NPF
455 events in marine atmospheres were widely proposed to occur aloft in the literature, possibly
456 because of lower ambient temperature or lower condensation sink, and then move to low altitude
457 (Clarke et al., 1998; Meng et al., 2015; Wiedensohler et al., 1996; Sanchez et al., 2018). At ~200
458 m above the ground level, atmospheric particles formed by iodine compounds have also been
459 observed (O'Dowd et al., 2007). Turbulence always dominates to drive the downward transport of
460 air masses, leading to an oscillation of observed signals in 0.1-10 Hz (Duan et al., 2013). In fact,
461 observed signals of gases and particles in 0.1-10 Hz are widely used to calculate the vertical flux
462 in various atmospheres, i.e., eddy covariance technique. However, the possibility of the
463 turbulence-driven downward transport of newly formed particles in this study was low because 1)
464 wind speeds decreased during the NPF events on 2 and 7 April; 2) the strength of turbulence
465 theoretically decreases with decreasing wind speed.

466 The second category is characterized by the increases in $CV_{N<30}$ and CV ratios during the events.
467 It includes two daytime events on 1 and 15 April. On 1 April 2017, the $CV_{N<30}$ during the NPF
468 event (0.74 ± 0.34) were significantly larger than those before the event (0.48 ± 0.12), with $P<0.01$.
469 The ratio of $CV_{N<30}$ to $CV_{N30-100}$ during the NPF events (4.2) was also larger than that before the
470 NPF events (3.4). Again, wind speeds largely decreased during the event (Fig. 3c). This increased
471 $N_{<30}$ with the spatial heterogeneity can be attributed to the occurrence of highly heterogeneous
472 NPF in the boundary layer, near sea level, over ~140 km oceanic zone. Its heterogeneity is even
473 larger than those in category 1. On 15 April 2017, when the $CV_{N<30}$ were comparable during the
474 NPF event (0.50 ± 0.14) and before the event (0.57 ± 0.14). The ratio of the CV of $N_{<30}$ to the CV of

475 N_{30-100} was as large as 11, much larger than that before the NPF event. These results indicated that
476 this NPF event did not increase spatial homogeneities albeit the enhancement of $N_{<30}$. Therefore,
477 we consider this event has similar characteristics and similar mechanism to the one on April 1.

478 **3.5. Weak NPF events over the SCS**

479 We first analyzed one weak NPF event occurring immediately after the strong event (12:45 to
480 14:05) on 15 April in the marine atmosphere over the SCS (Fig. 2a). The weak new particle signal
481 lasted for approximately 4 hours and gradually disappeared after 18:20 on that day. $N_{<30}$ varied
482 around $1.7 \times 10^3 \pm 0.4 \times 10^3 \text{ cm}^{-3}$ during the weak event, which were approximately four times less
483 than $N_{<30}$ ($7.9 \times 10^3 \pm 7.0 \times 10^3 \text{ cm}^{-3}$) during the strong NPF event. However, they were significantly
484 larger than the background values of $0.74 \times 10^3 \pm 0.35 \times 10^3 \text{ cm}^{-3}$ before the NPF event (at 09:30-
485 10:15) and $0.67 \times 10^3 \pm 0.26 \times 10^3 \text{ cm}^{-3}$ after the NPF event (at 19:30-21:30) with $P < 0.05$. The CV of
486 $N_{<30}$ during the weak NPF event were 0.63 ± 0.17 against 1.25 ± 0.14 before the NPF event and
487 1.06 ± 0.19 after the NPF event because of increasing spatial homogeneity with increasing $N_{<30}$.
488 During the weak NPF event, no significant correlation between $N_{<30}$ and N_{30-100} with $r = 0.37$ and
489 $P > 0.1$ and the GMD of nucleation mode particles were almost invariant at $11 \pm 0.3 \text{ nm}$.
490 Concentrations of precursors for nucleation in the atmosphere over the travelled oceanic zone after
491 14:05 may have decreased dramatically, leading to the weak NPF. However, direct measurements
492 of the precursors need to confirm this. We also analyzed meteorological conditions. The reduction
493 in nucleation is not yet to be explained. Overall, the strong NPF event plus the weak NPF event
494 on 15 April occurred over $\sim 30 \text{ km}$ oceanic zone of the SCS.

495 The longest weak NPF event was observed on 27-28 April, but it occurred somewhat
496 intermittently in daytime and nighttime (Fig. 4). Concentrations of precursors over the travelled

497 oceanic zone during the most of time on 27-28 April may allow for the weak nucleation, but it was
498 not the case over the travelled oceanic zone during most of periods from 06:00 to 15:20 on 27
499 April. For example, $N_{<30}$ varied around $1.1 \times 10^3 \pm 0.8 \times 10^3 \text{ cm}^{-3}$ on 27-28 April, excluding 06:00-
500 15:20 on 27 April. The $N_{<30}$ were significantly larger the background values of $0.58 \times 10^3 \pm 0.26 \times 10^3$
501 cm^{-3} before the event (at 17:10-24:00 on 26 April). The $N_{<20}$ showed similar trend as $N_{<30}$, and
502 more details were shown in Fig. S4. The weak NPF was clearly enhanced at 15:50-19:40 on 27
503 April with even larger $N_{<30}$ of $2.0 \pm 1.2 \times 10^3 \text{ cm}^{-3}$. During the longest weak NPF event, no
504 significant correlation between $N_{<30}$ and N_{30-100} with $r=0.48$ and $P>0.1$ and the GMD of nucleation
505 mode particles were almost invariant at $11 \pm 0.3 \text{ nm}$. The long events further suggested the
506 existence of non self-vessel-induced NPF in the atmosphere over the SCS independent on solar
507 radiation. Overall, either weak NPF events or absence of NPF events generally occurred over the
508 SCS through the campaign. However, strong NPF events occurred sometimes. The SCS is subject
509 to an oligotrophic oceanic zone (Chu et al., 2018), and the sea level anomaly showed upwelling
510 zones to be irregularly distributed in the SCS during the cruise period (Fig. S5). The ocean
511 upwelling carried nutrients from deeper sea water to the surface, which could promote the
512 production of biological precursors from seaweeds (Wen et al., 2006). In addition, the bacteria in
513 oligotrophic marine environments could synthesize CH_3I , which could contribute the source of
514 biological precursors (Amachi et al., 2001; Yokouchi et al., 2012).

515 ***3.6. Nucleation mode particles over the NWPO***

516 In October 2018, the averaged particle number concentration distributions over the tropic
517 oceanic zone of the NWPO can be categorized into three periods (Fig. 5). During Period 1 on 9-
518 14 October, nucleation mode particles dominantly contributed to the total particle number
519 concentration and had the GMD of $25 \pm 2 \text{ nm}$. Nucleation mode particles still dominated during

520 Period 2 on 15-23 October. However, the number concentrations of nucleation mode particles were
521 lower than during Period 1. During Period 3 on 24-29 October, the nucleation, Aitken and
522 accumulation modes were comparable. $N_{<30}$ varied around $1.6 \times 10^3 \pm 1.1 \times 10^3$ during Period 1,
523 $0.5 \times 10^3 \pm 1.5 \times 10^3$ during Period 2 and $3.8 \times 10^3 \pm 2.6 \times 10^3$ during Period 3. On average, N_{30-200} during
524 Period 2 and Period 3 increased by approximately 60% and approximately 300% against Period 1,
525 respectively. The large percentage increases were likely caused by anthropogenic emissions from
526 upwind continents, marine traffics or others. Although nucleation mode particles can be clearly
527 identified through the campaign, NPF events were never observed. Overall, the GMD of nucleation
528 mode particles during Period 1 and 2 were almost invariant, and slightly increased to 27 ± 2 nm
529 during Period 3. No detectable NPF events in the boundary layer, near sea level, through the entire
530 campaign implied that atmospheric nucleation unlikely occurred therein. Sanchez et al. (2018) also
531 reported nucleation mode particles with the GMD of ~ 25 nm in free troposphere and near sea
532 surface (their Figs. 5a, b) measured by particle sizers on the NASA C-130 aircraft and R/V Atlantis
533 on 20 May 2016 during NAAMES2. They ascribed the nucleation mode particles in the boundary
534 layer, near sea level, to downward transport. Unlike in the SCS, the tropic oceanic zone over the
535 NWPO is conventionally called as “Ocean Desert” (Polovina et al., 2008). Lack of biogenic
536 precursors for nucleation is expected in the boundary layer, near sea level. Recent modeling results
537 proposed that the vertical transport of nucleation mode particles from free troposphere acted as an
538 importance source of these particles in the tropic atmosphere (Williamson et al., 2019). The
539 nucleation mode particles may grow to some extent in different atmospheres, when they transport
540 downward to the boundary layer, near sea level.

541 **3.7. Hindered growth of new particles**

542 In the literature, new particles driven by iodine compounds have been reported to rarely grow
543 larger than 10-20 nm (Ehn et al., 2010; Sipilä et al., 2016). The reported number size distributions
544 of the newly formed particles usually showed negligible or small changes during the NPF period,
545 although the number concentrations varied largely. In this study, the newly formed particles
546 showed no clear growth during NPF events over the SCS. The feature appears to be consistent
547 with NPF driven by iodine compounds. In addition, the regional plume observed on 15 Apr 2017
548 showed an invariant GMD of preexisting particles at ~50 nm for approximately five hours before
549 the plume disappeared (Fig. 2). This strongly suggests that there was not enough semi-volatile
550 species in the gas phase to promote particle growth through condensation. Kinetic factors such as
551 the gas-particle mass transfer rates for larger 50 nm particles should not be the limiting factor
552 because of their time scales in seconds. For example, Man et al. (2015) observed the growth of
553 newly formed particles encountered a ceiling at 40-50 nm and the GMD was invariant at the ceiling
554 size for a few hours. However, when the product of $\text{HNO}_3 \times \text{NH}_3$ exceeded the required value (Man
555 et al., 2015), a rapid growth of 40-50 nm particles was observed.

556 The Kelvin effect term is larger for 10 nm particles than for 50 nm particles. If semi-volatile
557 species cannot thermodynamically support the growth of 50 nm particles, it would be impossible
558 to grow 10 nm particles any larger. No banana-shaped growth was observed during the NPF events
559 over the SCS, as it was apparently restricted by the limited semi-volatile species. In addition, the
560 strongest NPF event on 31 March (Fig. S3) appeared to be sometimes enhanced by anthropogenic
561 combustion air pollutants in particle number concentration. However, no apparent growth of
562 nucleation mode particles was observed either. In a recent literature review, the growth of
563 nucleation mode particles can be driven by highly oxidized low volatile biogenic organic vapor

564 associated with emissions from coniferous forests (Kerminen et al., 2018). However, coniferous
565 forests in tropic marine environments are not expected.

566 As a comparison, the clear banana-shaped growth observed in Hong Kong implied that the
567 concentrations of semi-volatile species in the coastal atmosphere of the SCS were generally larger
568 than the threshold for condensation on newly formed particles to occur. However, the lack of
569 apparent growth in the initial 40 minutes of one NPF event in Hong Kong (the NPF event shown
570 in the left bottom of Fig. 1b) showed that it might also be limited by the abundance of semi-
571 volatiles (Man et al., 2015). In addition, the banana-shaped growth of newly formed particles was
572 also observed in the sub-tropic atmosphere over the NWPO. The 24-hr back trajectories during
573 those NPF events showed that air masses travelled over the continent (Fig. S2). Notably, the
574 apparent growth of newly formed particles and preexisting particles were simultaneously observed
575 on 25 March 2016 (Fig. 1b). The growth rate of preexisting particles (36.7 nm/h) was larger than
576 that of newly formed particles (4.9 nm/h) during the NPF event in 2016 over the NWPO (Fig. 1b).
577 Semi-volatile species with higher volatility may preferably condense on preexisting particles with
578 larger size over newly formed particles because of the stronger Kelvin effect of the smaller
579 particles (Burkart et al., 2017). In contrast, no apparent growth of newly formed particles and
580 preexisting particles were observed across the SCS (Fig. 1a).

581 Based on the above comparison, it can be reckoned that the atmosphere over the SCS during
582 the observational period lacked key precursors from the continent to support the growth of newly
583 formed particles. However, the 24-h back trajectories during the NPF events over the SCS showed
584 that air masses sometimes came from the remote area in the Philippines. More studies are needed
585 to explore those key precursors.

586 **4. Conclusion**

587 In this study, two strong nighttime and five strong daytime NPF events as well as several weak
588 NPF events were identified in the remote marine atmosphere during a cruise campaign across the
589 SCS from 29 March to 2 May 2017. The cruise track during the strong NPF events identified that
590 they occurred over a region from approximately 2 km to over 140 km. The NMINP of NPF events
591 were as high as $0.7\text{-}9.3\times 10^4\text{ cm}^{-3}$, with an average of $4.5\times 10^4\text{ cm}^{-3}$, implying large variations in
592 concentration of aerosol precursors in the marine atmosphere. Moreover, dramatic high-frequency
593 variations in $N_{<30}$ during the NPF events strongly suggest that they are affected by the turbulence.
594 The possibility of strong turbulence-driven downward transport of newly formed particles was
595 low, but we had no measurements aloft to confirm this. Our comprehensive analyses indicate that
596 the NPF events were not likely originate from sulfuric acid vapor. Moreover, our comparison
597 analyses suggest that the lack of banana-shaped growth might be due to limited abundance of key
598 precursors for the growth of newly formed particles.

599 In contrast, NPF events were surprisingly never observed over the tropic zone of the NWPO.
600 However, the nucleation particle mode with GMD mostly at $25\pm 2\text{ nm}$ was clearly observed and
601 sometimes even dominated over other particle modes during the cruise. Combining the absence of
602 NPF events and observed GMD of nucleation mode particles, we conclude the nucleation mode
603 particles are probably not generated in the boundary layer, near sea level. Alternatively, they might
604 be due to the downward transport from aloft.

605 Overall, sources and formation mechanisms of nucleation mode particles in tropic marine
606 atmospheres apparently highly varied. To better understand their climate impacts of the nucleation
607 mode particles, more observations at least in different seasons, are needed.

608 **Declaration of competing interests**

609 The authors declare that they have no known competing financial interests or personal
610 relationships that could have appeared to influence the work reported in this paper.

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861 **Figure Captions**

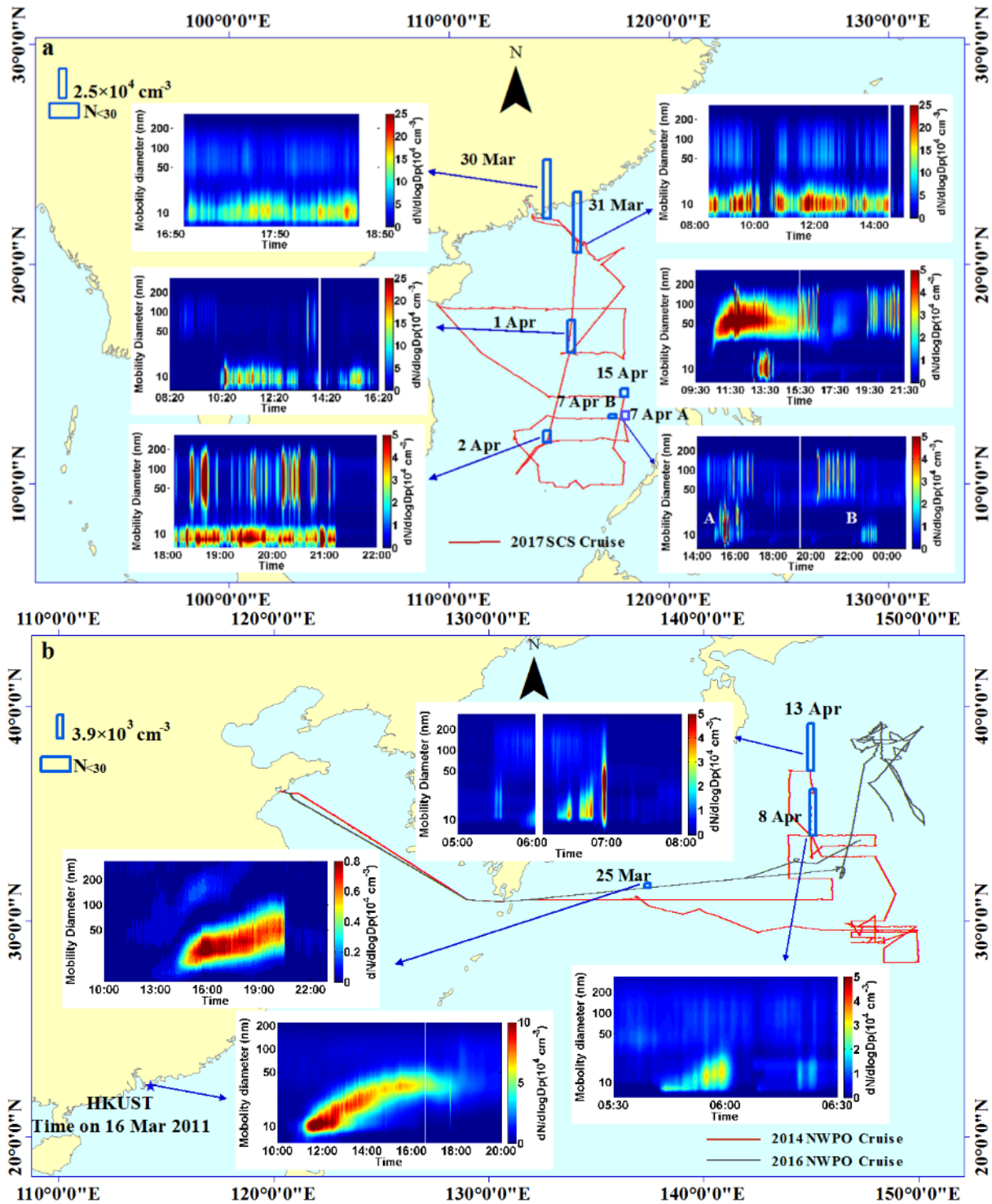
862 **Fig. 1.** NPF events observed in the atmosphere across SCS, NWPO and in Hong Kong. ((a) NPF events observed in
863 the atmosphere across SCS, the blue bars represent the number concentration of the 5.6-30 nm particles ($N_{<30}$), the red
864 line represents the cruise track across SCS during the period of 29 March to 2 May 2017; (b) NPF events observed in
865 the atmosphere across NWPO in comparison with NPF in Hong Kong, the blue bars are defined as same as those
866 across SCS, the red and gray lines represent the cruise track across NWPO in 2014 and 2016 individually.

867 **Fig. 2.** NPF event observed on 15 April 2017 (left column) and plume observed on 5 April 2017 (right column) in
868 the atmosphere across SCS. ((a), (c) contour plot of particles number concentration ($dN/d \log D_p$); (b), (d) time
869 series of $N_{<30}$ and N_{30-100} ; (e) the vessel track at 10:25-16:45 superimposed in (b) and NPF was observed at the track
870 of red line; the direction of the dotted arrow represents the predominant wind direction during NPF events.

871 **Fig. 3.** NPF event observed on 1 (left column) and 7 April 2017 (right column) ((a), (d) contour plots particles
872 number concentration ($dN/d \log D_p$); (b), (e) time series of $N_{<30}$ and N_{30-100} ; (c), (f) time series of wind direction and
873 speed; The vessel track superimposed in (b) and (d), and red line is the track where NPF was observed; the direction
874 of the dotted arrow represents the predominant wind direction during NPF events.

875 **Fig. 4.** Weak NPF events observed on 27-28 Apr. ((a) contour plots particles number concentration ($dN/d \log D_p$);
876 (b) The vessel track during 27-28 Apr and the direction of the dotted arrow represents the predominant wind
877 direction during NPF events; (c) time series of $N_{<30}$ and N_{30-100} ; (d) time series of wind direction and speed.

878 **Fig. 5.** (a), (b), (c) the vessel tracks during period 1 (09-14 Oct), period 2 (15-23 Oct) and period 3 (24-29 Oct)
879 across NWPO in 2018; (d), (e), (f) particle size distribution during period 1, 2 and 3 respectively; (g) contour plots
880 particles number concentration ($dN/d \log D_p$) without self-vessel plume during 09-29 Oct across NWPO in 2018.



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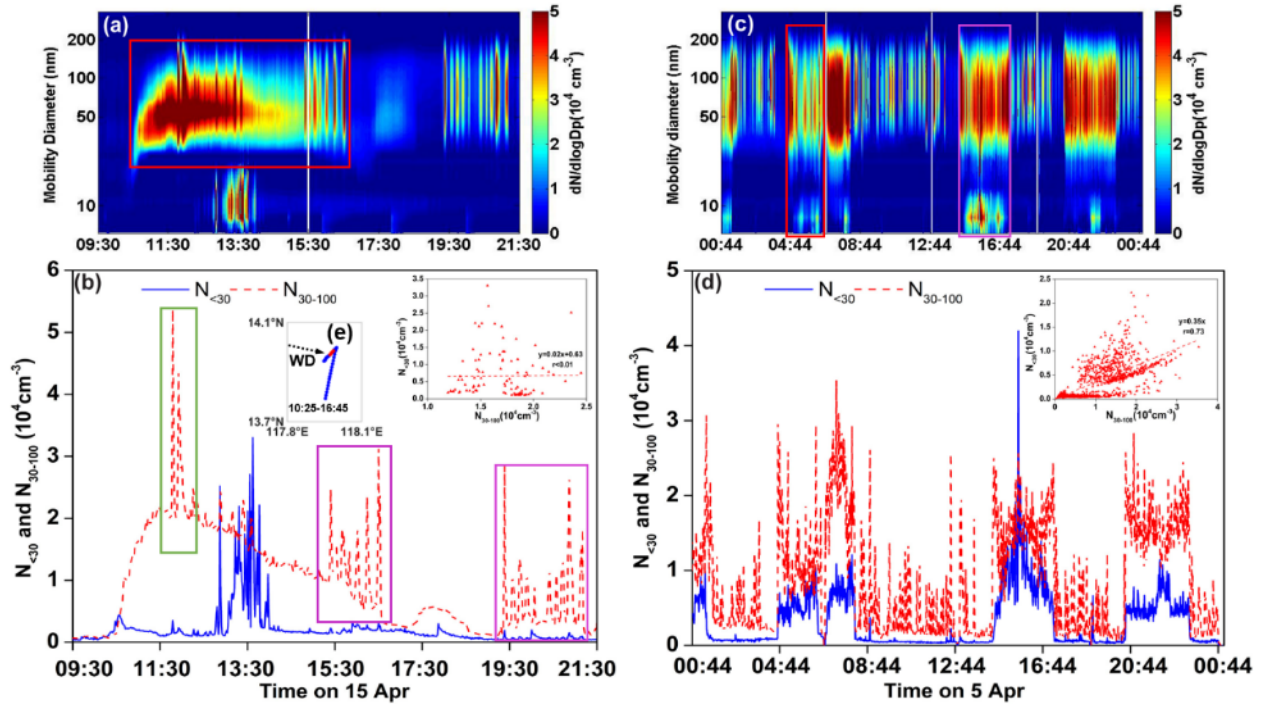
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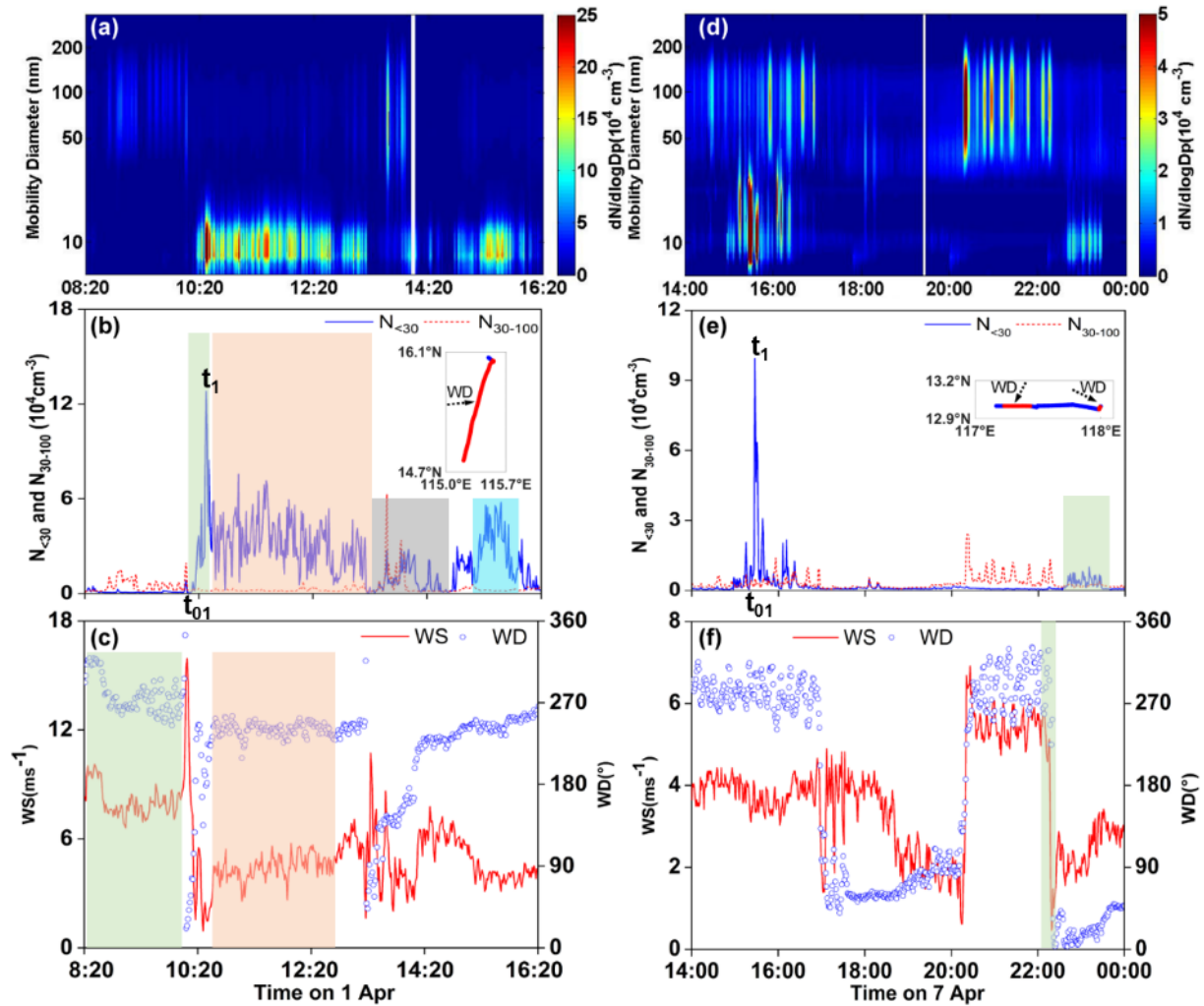
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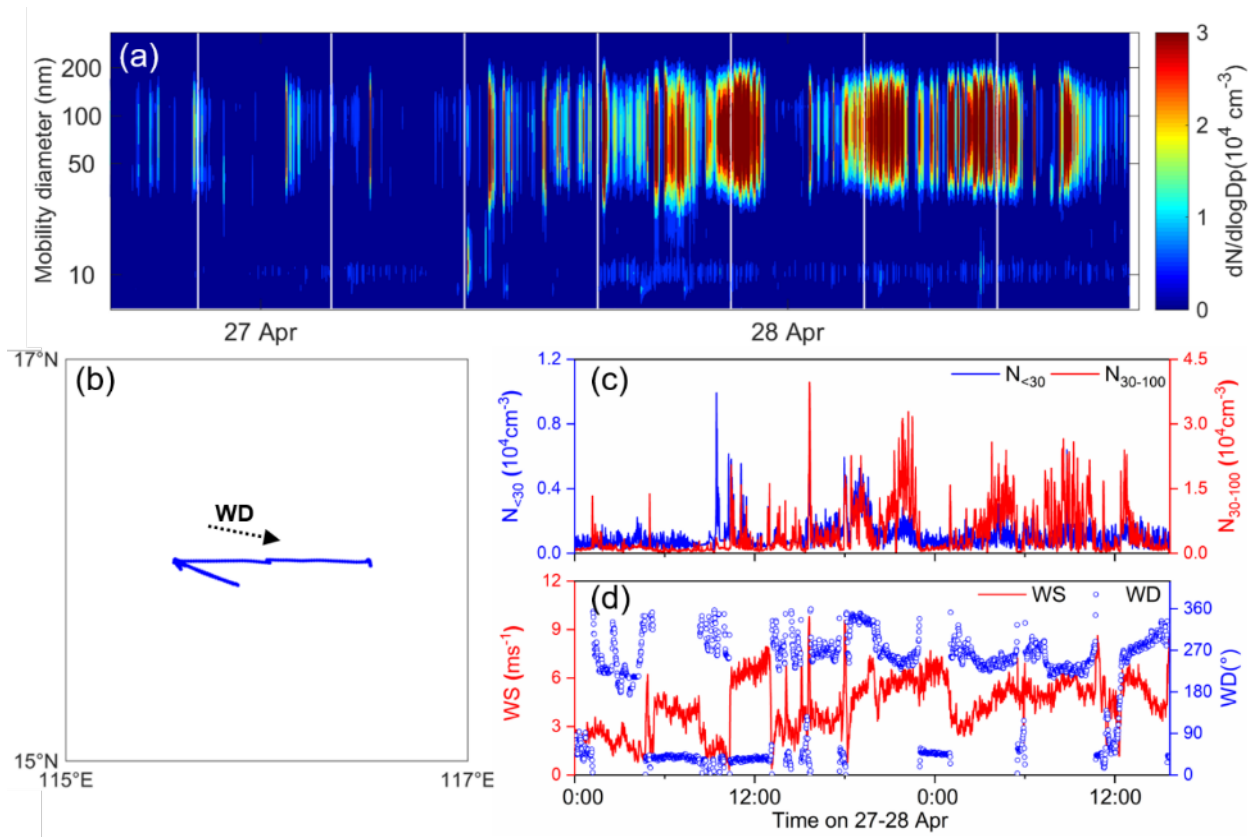
Fig. 1. NPF events observed in the atmosphere across SCS, NWPO and in Hong Kong. ((a) NPF events observed in the atmosphere across SCS, the blue bars represent the number concentration of the 5.6-30 nm particles ($N_{<30}$), the red line represents the cruise track across SCS during the period of 29 March to 2 May 2017; (b) NPF events observed in the atmosphere across NWPO in comparison with NPF in Hong Kong, the blue bars are defined as same as those across SCS, the red and gray lines represent the cruise track across NWPO in 2014 and 2016 individually.



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 889 **Fig. 2.** NPF event observed on 15 April 2017 (left column) and plume observed on 5 April 2017 (right column) in
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 891 series of $N_{<30}$ and N_{30-100} ; (e) the vessel track at 10:25-16:45 superimposed in (b) and NPF was observed at the track
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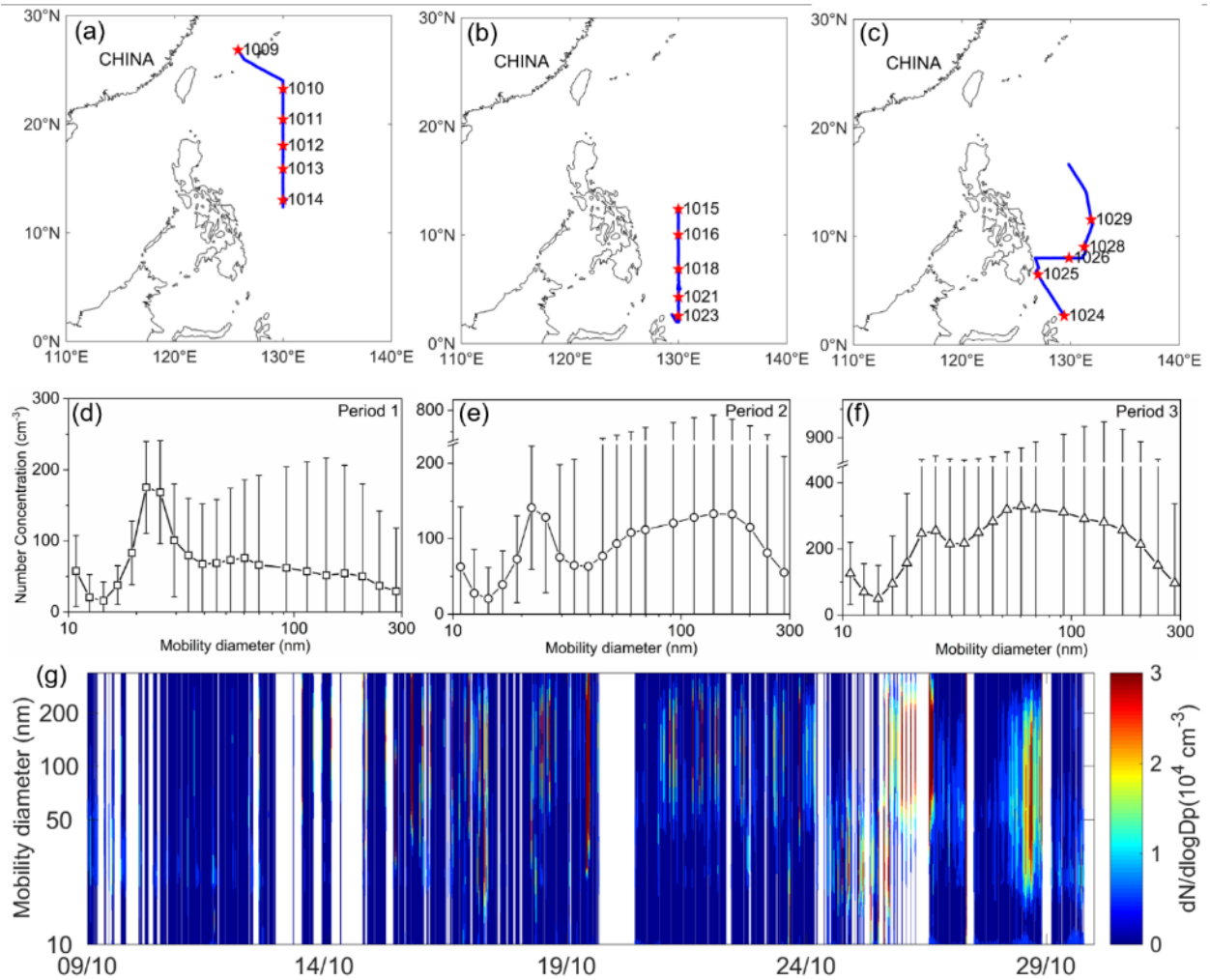


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 894 **Fig. 3.** NPF event observed on 1 (left column) and 7 April 2017 ((a), (d) contour plots particles
 895 number concentration ($dN/d \log D_p$); (b), (e) time series of $N_{<30}$ and N_{30-100} ; (c), (f) time series of wind direction and
 896 speed; The vessel track superimposed in (b) and (d), and red line is the track where NPF was observed; the direction
 897 of the dotted arrow represents the predominant wind direction during NPF events.



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Fig. 4. Weak NPF events observed on 27-28 Apr. ((a) contour plots particles number concentration ($dN/d \log D_p$); (b) The vessel track during 27-28 Apr and the direction of the dotted arrow represents the predominant wind direction during NPF events; (c) time series of $N_{<30}$ and N_{30-100} ; (d) time series of wind direction and speed.



902
 903 **Fig. 5.** (a), (b), (c) the vessel tracks during period 1 (09-14 Oct), period 2 (15-23 Oct) and period 3 (24-29 Oct)
 904 across NWPO in 2018; (d), (e), (f) particle size distribution during period 1, 2 and 3 respectively; (g) contour plots
 905 particles number concentration ($dN/d \log D_p$) without self-vessel plume during 09-29 Oct across NWPO in 2018.

906 **Table 1**

907 Characteristics of NPF events observed across SCS and CVs for NPF events observed in the spring in Hong Kong

Place	event order	Date	Duration of NPF (h)	CS ^{&} (10 ⁻² ms ⁻¹)	NMINP (10 ⁴ cm ⁻³)	CVs (average ± standard deviation)								
						before NPF events			during NPF events			after NPF events		
						N _{<30}	N ₃₀₋₁₀₀	ratios	N _{<30}	N ₃₀₋₁₀₀	ratios	N _{<30}	N ₃₀₋₁₀₀	ratios
SCS	1	30-Mar-17	>1.5	/	/	/	/	/	0.48± 0.19	0.21± 0.12	2.3	/	/	/
	2	31-Mar-17	>6.5	/	9.3*	1.16*± 0.48	0.32*± 0.08	3.6*	0.62± 0.32	0.27± 0.15	2.3	/	/	/
	3	1-Apr-17	6.5	2.2±1.36	6.5	0.48± 0.12	0.14± 0.03	3.4	0.74± 0.34	0.18± 0.10	4.2	/	/	/
	4	2-Apr-17	>3	/	/	/	/	/	0.74± 0.18	0.77± 0.25	0.95	1.32± 0.18	0.73± 0.13	1.8
	5	7-Apr-17	2	0.9±0.50	4.0	1.18± 0.17	0.37± 0.08	3.2	0.67± 0.24	0.50± 0.11	1.3	/	/	/
	6	7-Apr-17	1	1.1±0.38	0.7	/	/	/	0.69± 0.24	0.55± 0.13	1.3	0.96± 0.16	0.64± 0.13	1.5

7	15-Apr-17	1.5	4.1±2.14	2.1	0.57±	0.10±	5.5	0.50±	0.04±	11	0.54±	0.06±	8.4	
					0.14	0.13		0.14	0.03		0.08	0.02		
	16-Mar-11				0.07±	0.03±	2.3	0.01±	0.02±	0.5	0.05±	0.02±	2.5	
					0.03	0.01		0.01	0.01		0.01	0.01		
	17-Mar-11				0.13±	0.02±	6.5	0.02±	0.02±	1	0.07±	0.02±	3.5	
					0.03	0.01		0.02	0.01		0.05	0.01		
	25-Mar-11				0.30±	0.02±	15	0.07±	0.02±	3.5	0.12±	0.02±	6	
					0.08	0.01		0.03	0.01		0.02	0.01		
	Kong	28-Mar-11				0.24±	0.03±	8	0.03±	0.04±	0.75	0.07±	0.02±	3.5
						0.06	0.02		0.04	0.06		0.04	0.01	
6-Apr-11					3.6±	0.03±	120	0.10±	0.03±	3.3	0.09±	0.03±	3	
					1.6	0.01		0.06	0.01		0.03	0.02		
25-Apr-11					0.17±	0.04±	4.3	0.05±	0.02±	2.5	/	/	/	
					0.06	0.01		0.02	0.01					

908 Note: “&” CS represents condensation sink and is calculated according to the method presented by Kumula et al. (2004); “/” means the value cannot be
909 estimated, “*” means the calculation was estimated during part of one NPF event.

