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2 **Re-interpreting plant morphological responses to UV-B radiation**

3

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16 **ABSTRACT**

17 There is a need to reappraise effects of UV-B radiation on plant morphology in light of improved  
18 mechanistic understanding of UV-B effects, particularly elucidation of the UVR8-photoreceptor. We  
19 review responses at cell and organismal levels, explore their underlying regulatory mechanisms,  
20 function in UV-protection, and consequences for plant fitness. UV-induced morphological changes  
21 include thicker leaves, shorter petioles, shorter stems, increased axillary branching and altered  
22 root:shoot ratios. At the cellular level, UV-B morphogenesis comprises changes in cell division,  
23 elongation and/or differentiation. However, notwithstanding substantial new knowledge of  
24 molecular, cellular and organismal UV-B responses, there remains a clear gap in our understanding  
25 of the interactions between these organisational levels, and how they control plant architecture.  
26 Furthermore, despite a broad consensus that UV-B induces relatively compact architecture, we note  
27 substantial diversity in reported phenotypes. This may relate to UV-induced morphological changes  
28 being underpinned by different mechanisms at high and low UV-B doses. It remains unproven  
29 whether UV-induced morphological changes have a protective function involving shading and  
30 decreased leaf penetration of UV-B, counterbalancing trade-offs such as decreased photosynthetic  
31 light capture and plant competitive abilities. Future research will need to disentangle seemingly  
32 contradictory interactions occurring at the threshold UV dose where regulation and stress-induced  
33 morphogenesis overlap.

34

35 **Keywords (max 10)**

36 ultraviolet radiation, stress induced morphogenic responses (SIMR), whole plant phenotype, UVR8  
37 photoreceptor, chronic/acute stress, flavonoid accumulation, auxin homeostasis, plant-plant  
38 interactions, canopy structure and light interception

39

## 40 INTRODUCTION

41 Plants use solar radiation, not just as a source of energy, but also as a source of information about  
42 the environment. Well documented examples of information-harvesting include the capability to  
43 sense and respond to the timing, duration, wavelength, dose and direction of light, and this  
44 underlies processes such as photoperiodicity, phototropisms and photomorphogenesis (Kendrick &  
45 Kronenberg 1994). The term photomorphogenesis is often used to describe light-regulated plant  
46 development, i.e. the induction by light of signalling cascades that trigger a broad range of responses  
47 at the molecular, cellular and organismal level. Here, we will use the term photomorphogenesis in a  
48 stricter sense, to explore the morphological responses of plants grown under different UV-B  
49 conditions.

50 UV-B wavelengths (280 - 315 nm) are biologically active, with low doses inducing changes in gene-  
51 expression, physiology, metabolite accumulation and morphology (Heijde & Ulm 2012; Schreiner *et*  
52 *al.* 2012). A specific UV-B photoreceptor, UVR8, has been identified (Rizzini *et al.* 2011). UVR8-  
53 mediated processes include antioxidant defence and accumulation of phenolic pigments (Jenkins  
54 2009; Hideg *et al.* 2013). UVR8 is also involved in the regulation of plant morphology under UV-B  
55 radiation. UV-induced architectural changes include, amongst others; thicker leaves; shorter  
56 petioles; leaf curling, and alterations in leaf shape and width; decreases in stem elongation;  
57 increased axillary branching or tillering, and altered root:shoot ratio and structure of the  
58 inflorescence (Jansen 2002; Furness *et al.* 2005a; Hectors *et al.* 2007; Yang *et al.* 2008; Wargent *et al.*  
59 2009a, b; Klem *et al.* 2012; Robson & Aphalo 2012). However, the role of UVR8 in these UV-B  
60 mediated morphological changes remains poorly understood.

61 The analysis of published plant UV-responses, and particularly UV-B induced morphological changes,  
62 is complex, due to variations in genotype and experimental conditions (Xu & Sullivan, 2010). For  
63 example, different *Arabidopsis thaliana* ecotypes display quantitatively different morphological

64 responses when exposed to UV-B (Biswas & Jansen 2012), while in *Silene noctiflora* vegetative and  
65 flowering stems display a different dose-response when exposed to UV-B (Qaderi *et al.* 2008). In  
66 *Arabidopsis*, low UV-doses induce rather different effects on plant morphology than higher doses  
67 (Figure 1) (Brodführer 1955). In nature, UV-B levels fluctuate depending on temporal, seasonal and  
68 meteorological factors, and these fluctuations often correspond with particular plant developmental  
69 phases and the position of leaves in the canopy. In contrast, many UV studies are based on the  
70 exposure of plants to a constant, chronic level of UV-B under controlled indoor conditions.  
71 Notwithstanding the uncertain environmental relevance of many published plant UV-studies, there  
72 now seems to be a consensus that UV-B induced stress is a rare event (Ballaré *et al.* 2011). Thus,  
73 over the last decade, plant UV-B research has experienced a major paradigm shift, with the focus  
74 moving from stress caused by high UV-B doses and stress-induced signalling to UV-B-specific  
75 regulatory events triggered by very low UV-B doses and mediated through a dedicated UV-B  
76 photoreceptor (Jenkins 2009; Jansen & Bornman 2012). Indeed, realistic experimental manipulations  
77 of solar UV-B have demonstrated that damaging UV-B-associated stress is the exception rather than  
78 the norm in most natural environments (Searles *et al.* 2001; Li *et al.* 2010). This paradigm shift  
79 necessitates a re-appraisal of commonly-reported UV-B mediated morphological responses, while  
80 simultaneously creating an opportunity to explore the links between established changes in plant  
81 morphology and our new understanding of signalling and molecular-level responses. Here, we will  
82 review the concept of the UV-B induced phenotype at the cell and plant level, explore underlying  
83 regulatory mechanisms, possible functions as well as consequences for plant growth and plant-plant  
84 interactions.

85

## 86 **UV-B RESPONSES AT THE MOLECULAR LEVEL**

87 Major progress has been made in elucidating the molecular pathways underlying many UV-B  
88 responses (Rizzini *et al.* 2011; Tilbrook *et al.* 2013). The UV-B photoreceptor UVR8 mediates  
89 acclimation responses to chronic UV-B doses (exposure to moderate doses over several days)  
90 (Kliebenstein *et al.* 2002), whereas acute (sudden and short-term) exposure to high UV-B induces  
91 more generic mitogen-activated protein kinases (MAPK) (Besteiro *et al.* 2011) and/or signalling  
92 cascades activated by Reactive Oxygen Species (ROS) (Hideg *et al.* 2013). At present, it is not known  
93 whether UV-B mediated morphological changes at cell, organ and organismal level are underpinned  
94 by UV-B-specific low dose signalling, by generic stress-mediated pathways, or are associated with  
95 the complex metabolic changes that occur during acclimation. Here we will review the evidence that  
96 links specific molecular and cellular processes to UV-B mediated morphological changes.

97

#### 98 (I) Regulation of plant morphology via the UVR8 pathway

99 The UV-B specific photoreceptor, UV RESISTANCE LOCUS 8 (UVR8), is a co-regulator of UV-  
100 protection, controlling the expression of genes involved in flavonoid biosynthesis, DNA-repair, and  
101 anti-oxidative defence (Brown *et al.* 2005; Jenkins 2009; Heijde & Ulm 2012). The UVR8 protein  
102 interacts in a strictly UV-B dependent manner with the ubiquitin ligase CONSTITUTIVELY  
103 PHOTOMORPHOGENIC (COP1) (Favory *et al.* 2009), while accumulating in the nucleus where it  
104 controls gene-expression. Further components of the UVR8-signalling cascade are ELONGATED  
105 HYPOCOTYL 5 (HY5) and REPRESSOR OF UV-B PHOTOMORPHOGENESIS 1 (RUP1) and RUP2 (Heijde &  
106 Ulm 2012). Both *cop1* and *uvr8* mutants show no UV-B induced photomorphogenic response (Favory  
107 *et al.* 2009). Thus, 4-day-old *uvr8* seedlings raised under narrowband UV-B fail to show any UV-B  
108 responsive hypocotyl shortening, although wild-type plants might display as much as 50% inhibition  
109 of elongation (Favory *et al.* 2009). In contrast, transgenic UVR8-overexpressing Arabidopsis display  
110 particularly strong inhibition of hypocotyl elongation under UV-B (Favory *et al.* 2009). These

111 responses indicate the importance of UVR8 as a photoreceptor that controls seedling architecture  
112 (Kliebenstein *et al.* 2002). Yet, when *uvr8* mutant plants are grown for longer periods under UV-B  
113 lamps under control conditions (Favory *et al.* 2009; Wargent *et al.* 2009b) or under ambient sunlight  
114 outdoors (Morales *et al.* 2013), they are smaller than wild-type plants, a result which appears to be  
115 in direct contrast to the hypocotyl response. It has been argued that the strong growth reduction  
116 reflects UV-B stress in the poorly UV-protected *uvr8* mutant plants and consequent growth  
117 retardation. Thus, it is considered that *uvr8* mutant plants are insensitive to UV-B as an  
118 informational signal, but hypersensitive to UV-B stress (Favory *et al.* 2009). This hypothesis  
119 effectively reconciles UV-B stress with UVR8-mediated regulation in one model, with the outcome  
120 depending on the balance of the two processes. Such a model can easily accommodate complex  
121 dose-responses, such as those reported by Brodführer as long ago as 1955 (Figure 1), that lowering  
122 the UV-B dose from 100% down to 33% of ambient solar UV-B stimulated inflorescence elongation in  
123 *Arabidopsis*, while very low UV-B doses (2% of ambient solar UV-B) impeded the same process. Bell-  
124 shaped and inversely bell-shaped UV-B dose-response curves have also been observed by Qaderi *et*  
125 *al.* (2008) and van de Staaij *et al.* (1997). In this context it should be noted that UVR8-mediated  
126 photomorphogenic responses are also subject to further regulatory interactions with factors such as  
127 HY5, HYH COP1, RUP 1 and RUP2 (Jenkins 2009; Heijde & Ulm 2012). It is these regulatory  
128 interactions that may further modify gene expression patterns, and consequently plant architecture.  
129 This was reported by Morales *et al.* (2013) who found that not all the UVR8 activated genes that are  
130 expressed under controlled conditions are also expressed under natural outdoor conditions. For  
131 example, UVR8 apparently interacts with UV-A photoreceptors to regulate transcript accumulation,  
132 such as Pyridoxine Biosynthesis 1 (PDX1), in plants grown outdoors (Morales *et al.* 2013). It is likely  
133 that UV-induced morphological responses are likewise co-regulated through feedback-interactions  
134 at the physiological, cell and organ level.

135

136 (II) Stress Induced Morphogenic Responses

137 The concept of Stress Induced Morphogenic Responses (SIMR) captures similarities in phenotype  
138 induced by a broad range of stressors (Potters *et al.* 2007). The concept extends the General  
139 Adaptation Syndrome (GAS), which recognises similarities in biochemical responses to distinct  
140 stressors (Leshem & Kuiper 1996). Many stressors induce similar alterations in plant morphology,  
141 key components of which are the inhibition of cell elongation, localized stimulation of cell division  
142 and alterations in cell differentiation status, i.e. growth redistribution rather than simply growth  
143 cessation. Indeed, SIMR-phenotypes involve decreased root, shoot or stem elongation, but increased  
144 root or shoot branching, and it is this local growth stimulation that distinguishes SIMRs from the  
145 severe stress and damage phenotypes. SIMRs are hypothesised to be caused by common stress-  
146 related processes such as increased ROS production and altered phytohormone metabolism and  
147 transport (Potters *et al.* 2007). There is extensive evidence that UV-B can cause oxidative stress in  
148 plants (cf. Hideg *et al.* 2013), while impacts on auxin metabolism have also been reported (Hectors  
149 *et al.* 2012). Another proposed factor in SIMRs is nitric-oxide mediated change in microtubuli  
150 organisation (Krasylenko *et al.* 2012). The cytoskeleton, and particularly the microtubular system,  
151 plays a key role in plant morphogenesis, being involved in control of cell division and elongation as  
152 well as initiation of lateral growth (Wasteneys 2004). Microtubuli depolymerisation, which can occur  
153 after exposure to high levels of UV-B, is associated with epidermal cell swelling and the inhibition of  
154 root elongation (Krasylenko *et al.* 2012). The concentration of NO increases following exposure to  
155 numerous biotic and abiotic stressors (Besson-Bard *et al.* 2008), indicating that this is a generic  
156 stress-related response. Indeed, alterations in microtubuli organisation are not evident under low  
157 chronic UV-B levels (Jacques *et al.* 2011). Thus, factors associated with SIMR, such as ROS and NO,  
158 appear to mostly play a role under stress-inducing UV-B conditions.

159 In summary, there is evidence for distinct UV-B-mediated morphogenic responses; an UVR8-  
160 mediated response, perhaps fine-tuned through interactions with other environmental and



161 physiological parameters, and a more generic stress response involving changes in cell cycle activity.  
162 A future objective for the UV-B field will be to develop tools that can distinguish between these  
163 distinct pathways, and indicate their relative importance under environmentally relevant conditions.

164

165

166

167

#### 168 **UV-B RESPONSES AT THE CELLULAR LEVEL**

169 The control of cell division and cell expansion is central to regulating organ size (Sugimoto-Shirasu &  
170 Roberts 2003). UV-B can affect cell fate through multiple regulatory and/or stress mediated  
171 processes. For example, UV-B can impede cell cycle progression, probably through the accumulation  
172 of DNA damage, and this particularly slows the G1-to-S step in the cell cycle (Jiang *et al.* 2011). More  
173 generic oxidative stress can also affect cell cycle progression, and this has led to the postulation of  
174 the existence of an 'oxidative stress checkpoint' (De Schutter *et al.* 2007). Cell cycle arrest, involving  
175 specific checkpoints, can facilitate DNA repair before further replication occurs (Jiang *et al.* 2011),  
176 but may also result in decreased cell numbers and/or endoreduplication (Radziejwoski *et al.* 2011).  
177 Notably, UV-B also down-regulates the transcription factor E2Fe/DEL1, which represses the onset of  
178 endoreduplication (Radziejwoski *et al.* 2011). The elimination of down-regulation can lead to an UV-  
179 B mediated increase in ploidy which, in turn, may result in increased cellular expansion, thus  
180 potentially compensating for decreases in cell numbers. Consistently, the UV-B-mediated decrease  
181 in leaf area is relatively small in an Arabidopsis E2Fe/DEL1 knockout line, and this is associated with a  
182 small increase in both ploidy and cell size (Radziejwoski *et al.* 2011).

183 The balancing act between cell division and cell expansion is highlighted by studies of UV effects on  
184 cellular growth. Some UV-exposure studies have revealed inhibition of cell division (Dickson &  
185 Caldwell 1978; Wargent *et al.* 2009a), while others report inhibition of cell expansion (Hectors *et al.*  
186 2010), or a combination of these two processes. Lake *et al.* (2009) report larger cells on the abaxial  
187 leaf surface, although the number of cells per mm<sup>2</sup> was unchanged for the more UV-B exposed  
188 adaxial surface of Arabidopsis Col-0 wild type. Cell density markedly increased when the UV-  
189 sensitive *fah-1* mutant was exposed to UV-B. However, given the nearly 10-fold reduction in leaf  
190 area, the 2-fold increase in abaxial cell density still represents a large overall decrease in leaf cell  
191 numbers. Wargent *et al.* (2009a) also report inhibition of cell division in Arabidopsis, yet in this case  
192 the decrease in cell numbers was partially compensated by increased cell expansion. Cell  
193 morphological studies by Jacques *et al.* (2011) and Hectors *et al.* (2010) have reported that elevated  
194 UV-B does not affect the shape of adaxial pavement cells in Arabidopsis. Indeed, an interesting but  
195 unproven possibility is that UV-B alters the directional expansion of growth, redirecting cellular  
196 expansion from the longitudinal plane to the transverse plane of the leaf, a potential means of  
197 increasing leaf thickness. There is a clear need for future studies to investigate how UV-B affects  
198 both cell orientation and organisation within a leaf, and the effects of UV-B on cellular  
199 differentiation require clarification.

200 Jacques *et al.* (2011) reported that UV-B does not affect cell differentiation, visualised as the  
201 stomatal index. Yet, Lake *et al.* (2009) report a significant UV-B-mediated decrease in the adaxial  
202 stomatal index (the ratio of stomata: epidermal cells) of Arabidopsis leaves which they attribute to a  
203 reduction in abscisic acid content. Although, similar decreases in stomatal index, but not stomatal  
204 density, have been reported for *Petunia X* hybrid (Staxen & Bornman 1994), there seems to be no  
205 consistent UV-B effect on stomatal density, with other studies finding decreases (*Glycine max*: Gitz  
206 *et al.* 2005), increases, or no effects (both *Betula pendula*, Kostina *et al.* 2001; Kotilainen *et al.* 2009  
207 amongst others). A special scenario of UV-mediated changes in cell development concerns pollen

208 biology. The pollen wall is relatively poor at attenuating UV-B, with Yellof *et al.* (2008) reporting up  
209 to 20% transmission of UV-B into the cytoplasm. Few studies have investigated the fitness  
210 consequences of increased UV-B irradiance on pollen, but those that have reported morphological  
211 abnormalities (Rozema *et al.* 2001; Koti *et al.* 2004), sometimes associated with reduced plant  
212 reproductive success (Torabinejad *et al.* 1998; Murphy & Mitchell 2013). Thus, at the cellular level,  
213 there is good evidence for UV-induced changes in cell division, elongation and differentiation. It is  
214 tempting to attribute the observed diversity of cellular UV-responses, at least partially, to the similar  
215 diversity at the molecular level, where there is a dynamic balance between UVR8 and stress  
216 mediated pathways.

217

218 **WHOLE PLANT PHENOTYPE AND UV-B**

219 (I) UV-induced changes in whole leaf morphology

220 The most frequently reported UV-B induced morphological changes are a decrease in leaf area  
221 and/or an increase in leaf thickness (Jansen 2002; Furness *et al.* 2005a; Hectors *et al.* 2007; Yang *et*  
222 *al.* 2008; Wargent *et al.* 2009a, b; Klem *et al.* 2012; Robson & Aphalo 2012). Various broad-leaved  
223 species display increased palisade thickness when grown under UV-B (Nagel *et al.* 1998; Robson &  
224 Aphalo 2012), while increased hypodermal thickness has been reported for pine (*Pinus ponderosa*)  
225 (Nagel *et al.* 1998). Detailed studies have shown that UV-effects on leaf area are complex. For  
226 example, Robson & Aphalo (2012) compared the effects of supplemental UV-B on leaf development  
227 of two species of birch. In silver birch (*Betula pendula*), UV-B transiently impeded leaf expansion,  
228 whereas in downy birch (*Betula pubescens*) final leaf size was affected, a persistent effect which was  
229 linked to an eventual reduction in height growth (Robson & Aphalo 2012). Transient UV-B induced  
230 reductions in leaf expansion were also reported for *Rumex patientia* (Sisson & Caldwell 1976,  
231 Dickson & Caldwell 1978) and *Arabidopsis* (Lake *et al.* 2009), possibly related to stress, followed by  
232 recovery to match the leaf area of non-UV exposed plants. Similarly, Hectors *et al.* (2010), noted  
233 transient changes in the length: width ratio of *Arabidopsis* leaves. Exposure to UV-B initially caused a  
234 relatively large decrease in cell expansion along the longitudinal leaf axis, but when these leaves  
235 grew older, the length:width ratio was restored due to stronger inhibition of expansion along the  
236 transverse axis (Hectors *et al.* 2010). We hypothesise that these transient UV effects reflect a short  
237 term disruption in leaf development, perhaps as a consequence of UV-exposure for leaves of a non-  
238 acclimated plant. It appears that once UV-protection is induced in a UV-B exposed leaf, involving  
239 such processes as up-regulation of ROS scavenging, UV-screening and DNA repair capacities, any  
240 disruption in leaf development is overcome. This may enable leaf development to resume its original  
241 pattern, or even produce a compensatory response whereby greater expansion adjusts for reduced  
242 division. The capacity to switch between patterns of leaf development appears to be species

243 specific; downy birch halts leaf development early under supplemental UV, and this is associated  
244 with impaired growth (Ren *et al.* 2006; Robson & Aphalo 2012), while silver birch displays  
245 compensatory growth. It appears that both increases in cell number and cell size are expressions of  
246 the resumption of “normal” leaf development, whereby the plant attempts to adjust its leaf size to  
247 environmental conditions. UV-B driven morphogenesis involves stress and/or UVR8-mediated  
248 processes, and may be transient, followed by a return to normal organ development. Given this  
249 complexity, it is highly unlikely that commonly-used single time-point, and single species analyses of  
250 leaf morphogenesis will adequately reveal the full complexity of UV effects.

251

## 252 II) UV-induced changes in stem and root morphology

253 Decreases in stem elongation have been reported for a variety of different species (Barnes *et al.*  
254 1996; Jansen 2002; Furness *et al.* 2005a; Hofmann & Campbell 2011; Germ *et al.* 2013). There is also  
255 extensive evidence that UV-B increases axillary branching and/or tillering (cf. Jansen 2002; Furness  
256 *et al.* 2005a). It is likely that these aboveground effects will also affect the root:shoot ratio. Indeed,  
257 an increased allocation of biomass to roots has been reported to occur under UV-B irradiance  
258 (Bussell *et al.* 2012). A similar allocation shift can be observed when plants raised in the shade are  
259 compared to those in full sun. Such effects are, however, species specific and also depend on  
260 interactions with other biotic components of the ecosystem such as competition from other plant  
261 species (Rinnan *et al.* 2006), mycorrhizae (Zaller *et al.* 2002) and endophytes (McLeod *et al.* 2001).  
262 Thus, any UV-B effect on plant morphology needs to be assessed in the context of an array of  
263 environmental influences.

264

265 **THE BIOLOGICAL FUNCTION OF UV-INDUCED MORPHOGENESIS**

266 Several UV-B induced plant responses (e.g. UV-B induced accumulation of flavonoids) contribute to  
267 plant UV-B protection. However, the evidence is weak that actively regulated morphological changes  
268 substantially contribute to UV-B protection. Moreover, the transient character of some of the UV-  
269 induced changes in leaf development (Lake *et al.* 2009; Robson & Aphalo 2012) would suggest at  
270 best a temporary role in UV-protection. The effectiveness of leaf morphological alterations that  
271 reduce exposure of the mesophyll to UV-B, such as leaf thickening and changes in epidermal cell  
272 shape and size (Nagel *et al.* 1998; Fagerberg & Bornman 2005; Hofmann & Campbell 2012), are often  
273 viewed as important adaptations increasing UV-protection (Vogelmann *et al.* 1996), but must be  
274 considered in the context of a plant's entire physiological acclimation response (Jansen 2002). Most  
275 plant species have efficient photorepair mechanisms and antioxidant scavenging, that under natural  
276 growth conditions effectively ameliorate much of the damage caused by UV-B (Ballaré *et al.* 2011).  
277 Moreover, penetration of UV photons into the mesophyll is typically less than 10% of incident  
278 radiation (Day 1993; Day *et al.* 1994; Barnes *et al.* 2008), while any UV-B that does penetrate the leaf  
279 follows a heterogeneous pattern of transmission via stomatal pores and anticlinal cell wall regions  
280 (Day *et al.* 1993). These findings raise the question of whether leaf thickening is an acclimative  
281 response conferring UV-B protection that is proportionate to the requisite loss of photosynthetic  
282 light use efficiency.

283 Adjustments of plant morphology and architecture might be interpreted as providing a flexible and  
284 transitory mean for plants to reduce exposure of leaves to UV-B. For example, leaves of short, bushy  
285 plants are more likely to be shaded and less exposed to UV-B within a mixed canopy. However, once  
286 more this is a rather over-simplified perspective. Radiation measurements show that the diffuse  
287 fraction of the global UV-B irradiance varies from 0.57 to 0.91 while that of photosynthetically active  
288 radiation (PAR) varies from 0.25 to 0.70 (Webb & Steven 1984). The relatively large diffuse  
289 component of UV-B may result in relatively high levels of UV-B radiation within plant canopies. The

290 foremost example of a canopy is a forest system. Forests produce complex and heterogeneous  
291 radiation environments where a very low proportion, down to 1-2% of solar radiation penetrates to  
292 ground level. Yet, the UV:PAR ratio deep in the canopy varies enormously, between sunflecks that  
293 are relatively depleted in UV-B (UV:PAR<1) to shaded understorey where UV-B is strongly enriched  
294 (UV:PAR up to 5) at midday (Yang *et al.* 1993; Brown *et al.* 1994). Changes in the spectral  
295 composition are also modified by the optical properties of canopy phyto-elements, particularly the  
296 spectral reflectance and transmittance of leaves. Percentage leaf reflectance for many crop and tree  
297 species is 0.05 to 0.10% for UV-wavelengths, typically 0.15% for PAR, and approximately 0.4 - 0.6%  
298 for near infra-red (Walter-Shea & Norman 1991; Grant 1997; Holmes & Keiller 2002; Sims & Gamon  
299 2002). Leaf transmittance for the UV-B and UV-A wavebands is negligible. Canopy UV-B optical  
300 properties thus create complex feedback loops whereby the UV-absorbing properties of upper sun  
301 leaves modify the UV environment for shade leaves in a comparable manner to the well known  
302 changes in red: far-red ratio. This is a species specific process; white clover (*Trifolium repens*)  
303 depletes UV-B:PAR with depth into the canopy, a characteristic attributed to the combination to a  
304 planophile leaf angle and high UV-B absorbance (Deckmyn *et al.* 2001). In contrast, grasses such as  
305 *Dactylis glomerata*, with erectophile leaves facilitate much deeper penetration of both UV-B and  
306 PAR into the canopy (Deckmyn *et al.* 2001). Thus, UV-B penetration depends on canopy structure  
307 and species-composition, penetration of diffuse light and leaf reflectance and absorbance.  
308 Consequently, a more dwarfed phenotype does not necessarily imply exposure to a relatively  
309 depleted UV-B to PAR ratio. Furthermore, a shade-utilisation acclimation response would be a costly  
310 UV-defence for the plant given the decrease in PAR exposure incurred (Yang *et al.* 1993), enough to  
311 affect plant competition for light in the canopy (Barnes *et al.* 1996). Finally, shade utilisation would  
312 only be feasible to a restricted selection of plant functional types but might induce contradictory  
313 developmental changes in other species. For example, *Arabidopsis*, a plant displaying strong  
314 dwarfing under UV-B, responds to shading by flowering and bolting (Callaghan & Pigliucci 2002). This

315 would potentially result in exposure of flowers to full solar UV-B, the acclimative significance of  
316 which is at best equivocal.

317 Based on the understanding of morphological responses of plants subject to experimentally-elevated  
318 UV-B doses, and given the relatively high UV:PAR ratio in canopy shade compared with the low  
319 UV:PAR ratio in clearings and sunflecks (Flint and Caldwell 1998), it might be anticipated that shade  
320 leaves are modified by this proportionately large UV-B dose under-canopies beyond those  
321 characteristics exhibited in response to PAR. Nevertheless, the functional relevance of such  
322 morphological responses remains to be proven. The possibility that UV-B driven morphogenesis has  
323 a function other than UV-protection should also be considered. This supposition relies on the  
324 premise that UV-B exposure pre-empts or accompanies high PAR, or more tenuously drought stress  
325 and other seasonal changes. UV-B exposed leaves tend to be smaller and thicker, typical  
326 characteristics of sun-leaves (Lichtenthaler *et al.* 2007); while in the absence of UV-B leaves tend to  
327 be larger and thinner, i.e. more similar to shade leaves (Krizek 2004; Niinemets 2010). While it is  
328 attractive to hypothesise UV-B-mediated responses as part of a general environmental response to  
329 variable light levels, this is neither proven, nor takes in to consideration the enormous variability in  
330 plant UV-B morphogenesis.

331

### 332 **PLANT MORPHOLOGY AND FLAVONOID ACCUMULATION; A TWO WAY INTERACTION?**

333 Although UV-induced morphological changes may not have a direct functional role in UV-protection,  
334 morphological changes are associated with regulation of protective flavonoid pigments. Analysis of  
335 UV-induced morphogenesis in barley revealed a strong inverse relationship between leaf flavonol  
336 content and specific leaf area, i.e. leaves with high flavonoid content were relatively thick (Klem *et*  
337 *al.* 2012). Also in silver birch saplings the concentration of flavonoid glycosides correlates negatively  
338 with plant height in some studies (Mutikainen *et al.* 2002), but not in others (Lavola *et al.* 2000;  
339 Robson & Aphalo 2012). A key question is whether UV-B mediated increases in flavonoid content



340 and decreases in leaf area or stem length are co-occurring phenomena, or rather are mechanistically  
341 related. Flavonoids play a key role in plant UV-B protection, having both anti-oxidant and UV-  
342 screening properties (Agati & Tattini 2010), and therefore contribute to the prevention of UV-B  
343 stress, and stress-mediated morphogenesis. Flavonoids also regulate multiple developmental  
344 processes. Flavonoid aglycones impact on auxin homeostasis by impeding polar transport through  
345 efflux carriers (Peer & Murphy 2007), and/or by altering auxin catabolism (Zenk & Müller 1963;  
346 Mathesius 2001). The effectiveness of flavonoid-regulated auxin transport has been demonstrated  
347 using *Arabidopsis* *tt4* and *ugt78d2* flavonoid mutants, which exhibit alterations in both auxin  
348 distribution and plant morphology (Peer *et al.* 2004; Besseau *et al.* 2007; Ringli *et al.* 2008; Yin *et al.*  
349 2013). Similarly, *Arabidopsis* plants grown on agar plates containing the flavonoid precursor  
350 naringenin possess altered root elongation, similar to plants grown on synthetic auxin transport  
351 inhibitors (Brown *et al.* 2001). A link was made between UV-hypersensitivity and auxin transport  
352 and/or distribution in the mutant, *ROOT UVB SENSITIVE2 (RUS2)* (Ge *et al.* 2010). Although  
353 understanding of the physiological interactions in UV-B exposed plants is still limited, these data  
354 appear to imply a role for flavonoids and/or auxin homeostasis in “fine-tuning” UV-induced  
355 morphological responses.

356 Classically, the synthesis of energetically-costly phenolic compounds was expected to incur a cost to  
357 growth, however in practice this relationship has rarely been evident (Lavola *et al.* 2000; Kotilainen  
358 *et al.* 2009; Robson & Aphalo 2012). Nevertheless, Hofmann *et al.* (2000) reported a trade-off  
359 between plant dry matter production and quercetin glycoside accumulation in white clover  
360 (*Trifolium repens*). Conversely, higher quercetin glycoside accumulation under UV-B was correlated  
361 with tolerance against UV-B-induced growth reduction (Hofmann *et al.* 2003; Hofmann & Campbell  
362 2011). Thus, the role of flavonoids in UV-induced morphogenesis is multifaceted, and consequences  
363 for growth may depend upon the strength of UV and extent of flavonoid induction. Elucidating this  
364 role will require the development of novel tools. Morales *et al.* (2010) reported a linear dose

365 response of some flavonoids to solar UV-A compared with a quadratic dose response of the same  
366 compounds to UV-B. Using differential doses of UV in experiments in this way may help elucidate the  
367 role of flavonoids in UV-mediated morphogenesis. Indeed, although co-induction of flavonoid  
368 accumulation and morphological changes has been extensively detailed, it remains unknown  
369 whether these phenomena are mechanistically related.

370

### 371 **UV-B INDUCED MORPHOGENESIS AND PLANT-PLANT INTERACTIONS**

372 UV-induced morphological effects, measured at cell, organ or organismal level cannot easily be  
373 scaled up to the vegetation level. Under natural conditions, the greater complexity of biotic  
374 interactions as well as interactions with multiple environmental factors mean that UV-B effects on  
375 morphology can be masked, or unexpectedly be amplified, through for example altered competitive  
376 relationships. Plant-plant interactions include the competition for resources such as light, nutrients  
377 and water. Competitive success is directly influenced by the morphology of interacting species.  
378 There is strong evidence that light quality, and especially the red: far-red (R:FR) light ratio, through  
379 its effects on morphology, plays a pivotal role in influencing interactions among neighbouring plants  
380 (Ballaré & Casal 2000; Rajcan & Swanton 2001). Thus far, only a few studies have analysed the  
381 impact of UV-B radiation on the interactions between competing plants (e.g. Beyschlag *et al.* 1988;  
382 Barnes *et al.* 1996; Furness *et al.* 2005b). However, these studies show that the influence of UV-B  
383 radiation on competitive interactions can be substantial, and this derives from the effect of UV-B  
384 radiation on shoot morphology and light interception (Barnes *et al.* 1990). For instance, in *Sphagnum*  
385 peatlands, solar UV-B caused a decrease of growth of the *Sphagnum magellanicum* carpet, but not  
386 of the co-occurring Ericaceae-species *Empetrum rubrum*, which is well defended from UV by its very  
387 high needle flavonoid content (Searles *et al.* 2002). However, *Empetrum* stems displayed increased  
388 lateral branching under near-ambient solar UV-B radiation, and as a consequence emergent stems

389 tended to be enveloped by the growing *Sphagnum* carpet (Robson *et al.* 2003). The differences in  
390 the morphological response of individual species to UV-B radiation can affect competitive abilities,  
391 and hence shift competitive balances (Barnes *et al.* 1995). For example, UV-B induced shifts in  
392 competitive interactions were found in a greenhouse study with broccoli (*Brassica oleracea*) and  
393 *Chenopodium album* (Furness *et al.* 2005a). Broccoli gained in competitiveness relative to *C. album*  
394 in response to above-ambient UV-B exposure conditions. Intraspecific competition was less  
395 influenced by UV-B radiation than interspecific competition, emphasizing the importance of  
396 differential UV-responses between species. Fox & Caldwell (1978) examined the effects of an  
397 increase in UV-B radiation on the competitive interactions of several pairs of species. Statistically  
398 significant shifts in the competitive balance were found in *Amaranthus* – *Medicago* and *Poa* – *Geum*  
399 interactions. In both cases, UV-B caused a shift in favour of the crop (*Medicago* and *Poa*). These data  
400 suggest that UV-dependent changes in competitive balance may well be common, perhaps reflecting  
401 the variation in morphological responses of plant species, ecotypes and cultivars to UV-B radiation.  
402 Generally, monocots appear to be more responsive than dicots (Barnes *et al.* 1990). Yet, genotypic  
403 variation in morphological responsiveness to UV-B was also apparent between closely related  
404 cultivars of crop species (Yuan *et al.* 2000; Klem *et al.* 2012) and between *Arabidopsis* ecotypes  
405 (Biswas & Jansen 2012).

406 Changes in canopy structure caused by UV-B-induced morphogenesis have been computed to be  
407 sufficient to alter light interception and canopy photosynthesis for competing species (Ryel *et al.*  
408 1990). Effects of UV-B on shoot elongation can potentially amplify competition for light and  
409 therefore be an important mechanism through which changes in the solar UV-B spectrum alter the  
410 composition and character of terrestrial vegetation. There are two specific factors that can amplify  
411 the importance of even small changes in stem elongation and the relative distribution of foliage  
412 within the upper parts of canopies. Firstly, light extinction is exponential within plant canopies (Yang  
413 *et al.* 1993). Secondly, the photosynthetic capacity of foliage in the upper leaves is usually much  
414 greater than that of leaves located lower in the canopy (Urban *et al.* 2012). The importance of light

415 capture and UV-B-induced morphogenesis is demonstrated by work of Barnes *et al.* (1988) who  
416 showed that UV-B induced shifts in the competitive balance between wheat (*Triticum aestivum*) and  
417 wild oat (*Avena fatua*) were associated with changes in the relative positioning of leaf area within  
418 mixed canopies. Both leaf insertion heights and leaf blade lengths were reduced to a greater extent  
419 in UV-B-exposed wild oat than in UV-B-exposed wheat, thus potentially decreasing wild oat  
420 photosynthetic light capture. However, alternative mechanisms for UV-B-induced changes in plant-  
421 plant competitive balance have also been proposed. UV-B exposure can alter root:shoot ratios and  
422 therefore root competition, which can be more critical than above-ground competition (Zaller *et al.*  
423 2002; 2004). Additionally, there is some evidence that UV-B may affect the production and release  
424 of flavonoid-based allelochemicals (Einhellig 1995; Li *et al.* 2009; Furness *et al.* 2008), and thus  
425 indirectly alter the morphology of target species. Finally, it has been reported that UV-B can also  
426 alter the flower morphology, with reports both of smaller flowers with shorter petals and stamens  
427 and of increased flower diameters, size and number of inflorescences (Helsper *et al.* 2003; Kravets *et*  
428 *al.* 2008). To summarise, there is clear evidence that UV-B-mediated changes in plant morphology  
429 can affect plant-plant competition. However, at the moment the relative importance of such  
430 competitive changes, within the context of a complex environment, remains to be established.

431

## 432 **IN CONCLUSION**

433 Many published papers refer to UV-B-induced morphological changes (Figure 2). These  
434 morphological changes include, amongst others; thicker leaves; shorter petioles; leaf curling, and  
435 alterations in leaf shape and width; decreases in stem elongation; increased axillary branching or  
436 tillering, and altered root:shoot ratio and structure of the inflorescence. However, the UV-B induced  
437 phenotype is diverse, with many apparently contradictory reports of UV-B effects on plant  
438 architecture. The diversity of effects at the organismal level is echoed by similar diversity at the

439 cellular level, with reports of increased or decreased cell numbers, increased or decreased cell size,  
440 and changes or no changes in cellular differentiation! Variations in experimental conditions,  
441 genotypes, and developmental stage have been shown to play a role in causing such a diversity of  
442 response. However, UV-B-induced phenotypic diversity probably also reflects diversity in underlying  
443 molecular mechanisms. It is likely that UV-induced morphological changes are underpinned by more  
444 than one molecular mechanism; at low UV-B doses through an UVR8 mediated response, perhaps  
445 fine-tuned through interactions with flavonoids and/or phytohormones; and at high UV-B doses  
446 through a more generic (SIMR) stress response possibly involving changes in cell cycle activity (Figure  
447 3). Several studies have demonstrated complex UV-B dose-responses, consistent with multiple  
448 mechanisms and/or regulatory feedback loops. However, despite a substantially improved  
449 understanding of molecular, cellular and organismal UV-B responses, there remains a clear gap in  
450 our knowledge of the interactions between these organisational levels, and how they control plant  
451 architecture. Furthermore, there is insufficient understanding of the UV-B dose-response  
452 underpinning morphogenesis. Future research will especially need to disentangle the complex  
453 interactions that occur at the threshold UV-B dose where regulation and stress-induced damage  
454 overlap and where plant responses from different experiments can seem confusing and seemingly  
455 contradictory. The use of UVR8 and related mutants, as well as generic stress-protected and stress-  
456 sensitive mutants, under different UV-B radiation combinations might facilitate this quest. The  
457 capability to distinguish UVR8 and generic stress-induced morphogenesis will, in turn, result in  
458 meaningful tools to analyse the functional role and/or fitness cost of these processes. Understanding  
459 of the functional importance of commonly-reported UV-B-induced morphological changes will be a  
460 challenge that requires careful consideration of a suite of plant and environmental factors that  
461 combine to produce a particular plant architecture.

462

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468

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770

771 **Figure legends**

772

773 Figure 1: An example of a bell-shaped UV dose response curve redrawn from Brodführer (1955). The  
774 number of Arabidopsis branches (top panel), height in cm (middle) and number of seedpods (lower),  
775 per individual. Data are means  $\pm$  1 SE of 20 individuals per UV treatment, and the fitted line is a loess  
776 smoother.

777

778 Figure 2: The focus of publications on the effect of UV radiation on plant morphology from 1988 to  
779 date. Of 276 articles in Web of Knowledge obtained using the search string, (UV OR ultraviolet) AND  
780 morphology AND plant\*, 110 actually focussed on the effects of UV-B radiation on the morphology  
781 of terrestrial plants. Each experiment from the 110 articles was classified according to the level of  
782 organisation studied (where experiments considered multiple levels of organisation publications  
783 were put into more than one category). The number of review articles among these 110 was also  
784 counted. The number of publications in each category is given in parentheses within each bar. See  
785 *Supporting information* for details of the publications censured.

786

787 Figure 3: Schematic overview indicating that different strengths of UV dose produce a response  
788 mediated by interacting regulatory pathways and stress induced pathways. The relative importance  
789 of these responses is UV-dose dependent and is further modulated through an environmental filter.





