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Elevated CO₂ causes different growth stimulation, water- and nitrogen-use efficiencies, and leaf ultrastructure responses in two conifer species under intra- and interspecific competition

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3 Elevated CO₂ causes different growth stimulation, water and nitrogen use efficiencies
4 and leaf ultrastructure responses in two conifer species
5 under intra- and interspecific competition

6

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20 **Head title:** Elevated CO₂ affects plant-plant competition

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22

23 **Abstract** The continuously increasing atmospheric carbon dioxide concentration
24 ([CO₂]) has substantial effects on plant growth, and on the composition and structure
25 of forests. However, how plants respond to elevated [CO₂] (e[CO₂]) under intra- and
26 interspecific competition has been largely overlooked. In this study, we employed *Abies*
27 *faxoniana* and *Picea purpurea* seedlings to explore the effects of e[CO₂] (700 ppm) and
28 plant-plant competition on plant growth, physiological and morphological traits, and
29 leaf ultrastructure. We found that e[CO₂] stimulated plant growth, photosynthesis and
30 nonstructural carbohydrates (NSC), affected morphological traits and leaf ultrastructure,
31 and enhanced water and nitrogen use efficiencies in *A. faxoniana* and *P. purpurea*.
32 Under interspecific competition and e[CO₂], *P. purpurea* showed a higher biomass
33 accumulation, photosynthetic capacity and rate of ectomycorrhizal infection, and
34 higher water and nitrogen use efficiencies compared with *A. faxoniana*. However, under
35 intraspecific competition and e[CO₂], the two conifers showed no differences in
36 biomass accumulation, photosynthetic capacity, and water and nitrogen use efficiencies.
37 In addition, under interspecific competition and e[CO₂], *A. faxoniana* exhibited higher
38 NSC levels in leaves as well as more frequent and greater starch granules, which may
39 indicate carbohydrate limitation. Consequently, we concluded that under interspecific
40 competition, *P. purpurea* possesses a positive growth and adjustment strategy (e.g. a
41 higher photosynthetic capacity and rate of ectomycorrhizal infection, and higher water
42 and nitrogen use efficiencies), while *A. faxoniana* likely suffers from carbohydrate
43 limitation to cope with rising [CO₂]. Our study highlights that plant-plant competition
44 should be taken into consideration when assessing the impact of rising [CO₂] on the

45 plant growth and physiological performance.

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47 **Keywords:** elevated CO₂, plant-plant competition, water use efficiency, nitrogen use

48 efficiency, conifer species

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65 **Introduction**

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67 The atmospheric CO₂ concentration ([CO₂]) has increased from ~280 ppm during the
68 19th century industrial revolution to current ~400 ppm (IPCC 2013), and it is predicted
69 to exceed 1,000 ppm by the year 2100, if the current CO₂ emission trend continues
70 (IPCC 2014). Due to the importance of CO₂ to plant photosynthesis, elevated [CO₂]
71 (e[CO₂]) would have profound effects on the physiology and growth of individual
72 plants, and on the structure and function of terrestrial ecosystems. Most earlier studies
73 have demonstrated that e[CO₂] stimulates plants' photosynthesis, growth and biomass
74 accumulation, e.g., investigations on *Telopea speciosissima* seedlings (Huang et al.
75 2015) and *Eucalyptus camaldulensis* cuttings (Blackman et al. 2016, Aspinwall et al.
76 2018) under controlled glasshouse conditions, and on mature eucalypt trees in the
77 EucFACE experiment (Gimeno et al. 2016). On the other hand, Inauen et al. (2012)
78 conducted free air CO₂ enrichment (FACE) for three seasons in the Swiss Alps and
79 found that e[CO₂] caused no growth stimulation and did not enhance the total biomass
80 in high-elevation pioneer species, but decreased the aboveground biomass, which was
81 associated with a higher root biomass allocation. In addition, van der Sleen et al. (2015)
82 analyzed the stable carbon isotope composition and growth rings of 1,100 trees in
83 tropical forests and found that no growth stimulation but increasing water use
84 efficiencies have occurred in tropical trees during the past 150 years of rising [CO₂].

85

86 Typically, e[CO₂] changes biomass allocation and leads to a greater allocation to roots,
87 which helps plants to cope with different environments (Inauen et al. 2012, Way et al.
88 2015, Apgaua et al. 2019). Concerning leaf gas exchange, e[CO₂] generally increases

89 photosynthesis, while it decreases stomatal conductance (g_s) and transpiration, as
90 observed in *Eucalyptus* species (Gimeno et al. 2016, Aspinwall et al. 2018), *Populus*
91 *cathayana* (Chen et al. 2021), and *Pinus halepensis* (Gattmann et al. 2021). In terms of
92 leaf morphology, the enhanced leaf thickness and leaf mass per area (decreased specific
93 leaf area, SLA) have been observed under $e[CO_2]$ (Leakey et al. 2009, Duan et al. 2019,
94 Wang et al. 2020). Furthermore, previous studies have demonstrated that $e[CO_2]$ also
95 affects plant root traits (Pritchard et al. 2008, Mueller et al. 2018, Wang et al. 2020).
96 For instance, a meta-analysis of 110 case studies found that $e[CO_2]$ increases both fine
97 and coarse root biomass as well as root length and diameter (Nie et al. 2013).

98

99 The storage and remobilization of nonstructural carbohydrates (NSC) play key roles in
100 plant survival and growth, and NSC buffer stress and disturbance in resource supplies,
101 and act as indicators of the balance between source (photosynthesis) and sink (growth,
102 respiration, defense etc.) (Niinemets 2010, Sala et al. 2012, Palacio et al. 2014, Wiley
103 et al. 2017, 2019). Typically, $e[CO_2]$ increases the accumulation of NSC, which may
104 result in unbalanced C sinks and sources, and in the downregulation of photosynthesis
105 (Ainsworth and Rogers 2007). For example, Zhao et al. (2012) found that *Populus*
106 *cathayana* females show carbohydrate limitation in mature leaves under $e[CO_2]$. This
107 may be explained by the declined activity of the primary carboxylating enzyme Rubisco,
108 associated with the decreased leaf N concentration (Rogers and Ainsworth 2006). In
109 addition, the accumulation of starch grains in leaf tissues can result in deformed
110 chloroplasts (Nakano et al. 2000) and altered leaf ultrastructure (Zhao et al. 2012), which

111 decrease the conductance of CO₂ diffusion from the intercellular space to Rubisco and
112 induce the suppression of photosynthesis.

113

114 Competition is a biotic factor that may induce changes in the photosynthetic capacity,
115 nonstructural carbohydrates (Chen et al. 2015, Li et al. 2016, Song et al. 2017), water
116 use efficiency (Chen et al. 2014, Yu et al. 2017) and nitrogen absorption (Miller et al.
117 2007, Duan et al. 2014), which, in turn, optimize plants' performance to cope with
118 varied environments. In natural ecosystems, plant-plant competition usually interacts
119 with abiotic factors (e.g. resource availability and temperature), which can induce
120 unique responses (Niinemets 2010, Guo et al. 2016, Yu et al. 2019). For example, e[CO₂]
121 enhances carbohydrate contents of *Fagus sylvatica* under intraspecific competition, but
122 the stimulation is suppressed when *F. sylvatica* saplings grow mixed with *Picea abies*.
123 On the other hand, the stimulation of carbohydrates by e[CO₂] in *P. abies* saplings is
124 similar under intra- and interspecific competition (Liu et al. 2004). These data revealed
125 that different species have different responses to e[CO₂] (Norby et al. 1999), which may
126 result in changes in plants' competitive relations, thus influencing the species
127 composition and structure, and ecosystem productivity (Anderson-Teixeira et al. 2013).

128

129 *Abies faxoniana* and *Picea purpurea* are evergreen coniferous species naturally
130 distributed in the northern part of the Sichuan province, China (Wang 2004, Taylor et
131 al. 2006). These two conifers are dominant tree species of subalpine coniferous forests,
132 and possess different life history traits. *P. purpurea* is characterized as having high

133 longevity (> 500 years) and survivorship, while *A. faxoniana* has shorter longevity
134 (usually less than 350 years) and higher shade tolerance (Wang 2004, Taylor et al. 2006).
135 In several studies, they have been employed to investigate the effects of climate change,
136 primarily rising [CO₂] and temperature (Ran et al. 2013, Li et al. 2015, Yu et al. 2019).
137 An earlier study by Li et al. (2015) on *A. faxoniana* seedlings grown under e[CO₂] (700
138 μmol mol⁻¹) found that e[CO₂] increases the net photosynthetic rate, nonstructural
139 carbohydrate content and photosynthetic N use efficiency. However, the present
140 knowledge of the responses of *A. faxoniana* and *P. purpurea* to e[CO₂], and of the
141 interactions of plant-plant competition and e[CO₂] are still limited. In addition, previous
142 studies have observed that plants from high elevation sites show a greater sensitivity to
143 rising [CO₂] (Körner and Diemer 1987, Körner 2003, Inauen et al. 2012).

144

145 In this study, we explored how e[CO₂] influences the physiological and functional
146 characteristics of *A. faxoniana* and *P. purpurea* exposed to intra- and interspecific
147 competition. The biomass accumulation and allocation, root morphological traits,
148 photosynthetic capacity, leaf ultrastructure, water and nitrogen use efficiencies, and
149 non-structural carbohydrate contents of these two conifers were determined from e[CO₂]
150 and intra- and interspecific competition experiments. We hypothesized that (1) e[CO₂]
151 causes changes in growth, water and nitrogen use efficiencies, and morphological and
152 leaf ultrastructure traits, and those are affected by plant-plant competition; (2) e[CO₂]
153 affects the competition relationship and life history strategies of *A. faxoniana* and *P.*
154 *purpurea*.

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172 **Materials and methods**

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174 *Plant material and experimental design*

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176 The study was conducted in an experimental area (2600 m above sea level) of the

177 Wanglang National Nature Reserve, Pingwu County, western Sichuan Province,
178 Southwest China (32°49'-33°02'N, 103°55'-104°10'E, altitude range 2,300-4,980 m).
179 The long-term climatic conditions and plant composition in the Reserve are described
180 in our previous study (Yu et al. 2019). *A. faxoniana* and *P. purpurea* seedlings with a
181 uniform size and height (~ 30 cm) were used for the study. At the end of September
182 2014, two conifer seedlings (10 cm apart from each other) were planted in each plastic
183 pot (diameter 36 cm, height 40 cm) with homogenized soil (pH 6.03, C 58.19 g kg⁻¹, N
184 1.38 g kg⁻¹, P 0.60 g kg⁻¹) from their natural habitats. The study included fifteen
185 replicates per treatment. The experimental design was completely randomized with
186 three factors (species, competition and [CO₂]). There were two competition patterns,
187 i.e. pure plantations (P) of *A. faxoniana* + *A. faxoniana* and *P. purpurea* + *P. purpurea*
188 (intraspecific competition), and mixed plantations (M) of *A. faxoniana* + *P. purpurea*
189 (interspecific competition). PC and MC were pure plantation and mixed plantation
190 under e[CO₂]. CO₂ concentrations were elevated to 700 ppm (e[CO₂]) in growth
191 chambers, as described in our previous study (Yu et al. 2019). Experimental treatments
192 lasted from early May 2015 until the end of August 2016. All seedlings were hand-
193 watered daily or every other day to ensure no limiting soil moisture.

194

195 *Growth characteristic measurements*

196

197 Five pots of each treatment were randomly chosen and harvested on 30 August 2016.

198 All harvested seedlings were divided into leaves, stems and roots, then dried at 70 °C

199 for 72 h to measure their biomass. Leaf mass fraction (LMF; g g^{-1}), stem mass fraction
200 (SMF; g g^{-1}) and root mass fraction (RMF; g g^{-1}) were analyzed as the organ
201 biomass/total plant biomass. The root/shoot ratio (R/S ratio) was analyzed as root
202 biomass/the sum of leaf and stem biomass. The specific leaf area (SLA, $\text{cm}^2 \text{g}^{-1}$) was
203 calculated as leaf area/leaf biomass. The WinRHIZO root-scanning software (Regent
204 Instruments Inc., Ottawa, ON Canada) was used for root trait measurements. Specific
205 root length (SRL) was analyzed as root length/root mass and specific root tip density
206 (SRD) was analyzed as root tip/root length (Dong et al. 2016, Guo et al. 2016). In
207 addition, the rate of ectomycorrhizal infection (ECM) was indicated as the total number
208 of mycorrhizal tips per seedling (Yin et al. 2013).

209

210 *Leaf gas exchange measurements and transmission electron microscopy*

211

212 Healthy current-year leaves from five randomly chosen individuals in each treatment
213 were selected for light-saturated net photosynthetic rate (P_n) and stomatal conductance
214 (g_s) measurements by the LI-COR 6400 portable photosynthesis system (LI-COR Inc.,
215 Lincoln, NE, USA) with a conifer type chamber (PLC-conifer, PP Systems), conducted
216 between 08:00 a.m. and 11:30 a.m. in the middle of August 2016. The measurements
217 were conducted in following conditions: leaf temperature, 25 °C; air vapor pressure
218 deficit, 1.5 ± 0.5 kPa; relative humidity, 50%; light intensity (PPFD), $1500 \mu\text{mol m}^{-2} \text{s}^{-1}$;
219 and CO_2 concentration, $400 \pm 5 \mu\text{mol mol}^{-1}$. Intrinsic water use efficiency (iWUE)
220 was calculated as the ratio of leaf photosynthesis to stomatal conductance. In addition,

221 healthy and intact leaves were sampled for transmission electron microscope analyses
222 by H-600IV TEM (Hitachi, Tokyo, Japan), conducted according to the methodology of
223 Zhao et al. (2012). Briefly, small leaf sections (1-2 mm in length) were fixed with 3%
224 glutaraldehyde (v/v) in 0.1 M phosphate buffer (pH 7.2) for 6-8 h under 4 °C, post-
225 fixed in 1% osmium tetroxide for 1 h and immersed in 0.1 M phosphate buffer (pH 7.2)
226 for 1-2 h. Then, the leaflets were dehydrated in ethanol and embedded in epon-araldite.

227

228 *Determination of leaf N concentration and carbon isotopic composition*

229

230 Fine power of leaf samples was used for leaf N measurements by the semi-micro
231 Kjeldahl method (Mitchell 1998). In addition, the mass-based photosynthetic N use
232 efficiency (PNUE) was calculated as the mass-based photosynthetic rate (P_n)/leaf N
233 concentration. Leaf carbon isotopic composition was expressed as $\delta^{13}\text{C}$ values (relative
234 to Pee Dee Belemnite), measured by a DELTA V Advantage Isotope Ratio Mass
235 Spectrometer (Thermo Fisher Scientific, Inc., Waltham, MA, USA) and given as: $\delta^{13}\text{C}$
236 $= (R_{\text{sample}}/R_{\text{standard}} - 1) \times 1000$, where R_{sample} is the $^{13}\text{C}/^{12}\text{C}$ ratio of the sample and R_{standard}
237 that of the standard.

238

239 *Non-structural carbohydrate measurements*

240

241 Five randomly chosen plant samples (leaf, stem and root) from each treatment were
242 selected and grounded into fine powder for soluble sugar and starch determination

243 according to the anthrone-sulfuric acid method (Yemm and Willis 1954). About 50 mg
244 fine powder was put into 10-ml centrifuge tubes, extracted in 4 ml 80% (v/v) ethanol at
245 80 °C for 30 min, and then centrifuged at 5000 g for 10 min, after which the supernatant
246 was transferred to a 10-ml centrifuge tube. Then, 2 ml 80% (v/v) ethanol was added to
247 the sediment, and centrifuged at 5000 g for 10 min. This procedure was repeated again,
248 the supernatants were combined, and 80% (v/v) ethanol was added to bring the final
249 volume to 10 ml. Soluble sugar and starch were detected colorimetrically at 625 nm
250 and 620 nm, respectively.

251

252 *Statistical analyses*

253

254 Before analyses, data were checked for normality and homogeneity of variances and
255 log-transformed to correct for deviations from these assumptions when needed. Tukey's
256 tests of one-way ANOVAs were used to compare individual traits among treatments at
257 a significance level of $P < 0.05$. Three-way ANOVAs were used to test the effects of
258 species, competition, [CO₂] and their interactions. All analyses were carried out with
259 the Statistical Package for the Social Sciences (SPSS, Chicago, IL, USA) version 18.0.
260 A principal component analysis (PCA) was used to test coordination among studied
261 traits, using the R software and RStudio (R version 4.0.3 for Windows, packages readxl,
262 ggplot2, ggpubr and vegan were used).

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281 **Results**

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283 *Growth characteristics*

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285 Compared with control treatments, leaf, stem, root and total biomass of both conifers

286 significantly increased by e[CO₂] under both competition patterns (Figure 1 a-d). Under

287 e[CO₂] and intraspecific competition, the two conifers showed no differences in organ
288 (leaf, stem and root) and total biomass (Figure 1 a-d). However, *P. purpurea* showed
289 higher organ and total biomass compared to *A. faxoniana* under e[CO₂] and
290 interspecific competition, suggesting a significant species × competition × [CO₂]
291 interaction (Table 1). [CO₂] significantly affected leaf, stem and root biomass, and the
292 R/S ratio (Table 1). The stem mass fraction (SMF) showed a decreasing tendency,
293 whereas the root mass fraction (RMF) and R/S ratio significantly increased in both
294 conifers under e[CO₂] (Figure 2). The e[CO₂] condition decreased SRL but increased
295 AD in both conifers under both competition patterns (Figure 3a,b), showing a
296 significant [CO₂] effect on these two parameters (Table 1). SRD showed no differences
297 among different treatments (Figure 3c), but species, competition and [CO₂] had
298 significant effects on ECM (Table 1). The e[CO₂] condition increased ECM in both
299 conifers, and *P. purpurea* had higher ECM than *A. faxoniana* under interspecific
300 competition and e[CO₂] (Figure 3d).

301

302 *Gas exchange and leaf ultrastructure*

303

304 The e[CO₂] condition significantly decreased g_s , the leaf N content and SLA but
305 increased P_n in both conifers under both competition patterns (Figure 4a-d). Under
306 intraspecific competition and e[CO₂], the two conifers showed no differences in P_n .
307 However, when exposed to interspecific competition and e[CO₂], P_n of *P. purpurea*
308 was significantly higher than that of *A. faxoniana*. In addition, species, competition and

309 [CO₂] had significant effects on these four parameters, and species × [CO₂] interaction
310 significantly affected P_n and the leaf N concentration (Table 1). In addition,
311 ultrastructural alterations were detected in leaves of both conifers. Under control
312 treatments, the mesophyll cells of both conifers had smooth and continuous cell
313 membranes and cell walls, and less starch accumulation and fewer granules (Figure 5a-
314 d). However, under e[CO₂], both conifers exhibited more frequent and greater starch
315 granules in chloroplasts (Figure 5e-h). Furthermore, under interspecific competition
316 and e[CO₂], *A. faxoniana* showed profound modifications with greater starch granules
317 and swollen chloroplasts, which implied serious damage on cellular ultrastructure
318 (Figure 5g).

319

320 *Resource use efficiency*

321

322 The e[CO₂] condition significantly increased PNUE, iWUE and $\delta^{13}\text{C}$ in both conifers
323 under both competition patterns (Figure 6a-c), suggesting that e[CO₂] increases water
324 and nitrogen use efficiencies (Table 1). Under intraspecific competition and e[CO₂], the
325 two conifers showed no differences in PNUE, iWUE and $\delta^{13}\text{C}$. However, *P. purpurea*
326 showed significantly higher PNUE and iWUE than *A. faxoniana* under interspecific
327 competition and e[CO₂]. Furthermore, species × [CO₂] and competition × [CO₂]
328 interactions were significant for PNUE and iWUE. Overall, under e[CO₂], these two
329 parameters increased more in *P. purpurea* exposed to interspecific competition (Table
330 1).

331

332 *Nonstructural carbohydrates*

333

334 In general, e[CO₂] increased soluble sugar, starch and NSC concentrations in both
335 conifers under both competition patterns (Figure 7). Under interspecific competition
336 and e[CO₂], *A. faxoniana* exhibited significantly higher soluble sugar, starch and NSC
337 concentrations in leaves, while *P. purpurea* showed significantly higher soluble sugar,
338 starch and NSC contents in stems and roots. In addition, species × competition × [CO₂]
339 interactions were significant for soluble sugar, starch and NSC concentrations in all
340 organs, except for leaf soluble sugar and stem starch concentrations (Table 2).

341

342 *PCA*

343

344 The PCA model of two components explained 69.38% of the total variance of studied
345 traits in both conifers in response to plant-plant competition and e[CO₂] (Figure 8).
346 Control and e[CO₂] treatments were separated along the first PCA axis. Under e[CO₂],
347 *A. faxoniana* and *P. purpurea* exposed to interspecific competition were well separated
348 from each other (Figure 8). PC1 was strongly influenced by leaf, stem, root and total
349 biomass, stem soluble sugar, ECM, *P_n*, PNUE, iWUE, RMF and R/S ratio, which were
350 negatively correlated with SMF, *g_s* and SRL. PC2 was strongly influenced by leaf
351 soluble sugar, LMF, leaf, stem and root starch, SRD, and leaf and root NSC.
352 Furthermore, PNUE, iWUE and ECM showed positive correlations with leaf, stem, root

353 and total biomass, and P_n .

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369 **Discussion**

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371 *e[CO₂] improved growth and performance but plant-plant competition effects were*

372 *found*

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374 Our data revealed that competition and e[CO₂] significantly affect biomass

375 accumulation and allocation (e.g. leaf, stem, root and total biomass, SMF, RMF and
376 R/S ratio; Figures 1,2), as well as morphological characteristics (SRL, AD and SLA;
377 Figures 3,4) of *A. faxoniana* and *P. purpurea*. These results were in line with previous
378 studies (Huang et al. 2015, Duan et al. 2019, Dusenge et al. 2019, Wang et al. 2020). In
379 addition, under a[CO₂] conditions (control treatments), the total biomass of either
380 conifer showed no differences between pure and mixed plantations (P versus M)
381 (Figure 1d). Under e[CO₂], *P. purpurea* showed higher organ (leaf, stem, root) and total
382 biomass in a mixed plantation than in a pure plantation, but *A. faxoniana* showed no
383 differences between the two plantation types (PC versus MC). These results implied
384 that the growth of *P. purpurea* had an advantage compared with *A. faxoniana* under
385 e[CO₂], which was further supported by the significant interactive effects of species ×
386 competition × [CO₂] on organ and total biomass (Figure 1). Thus, under interspecific
387 competition, the organ and total biomass of *P. purpurea* increased more under e[CO₂].
388 Furthermore, e[CO₂] significantly increased RMF and R/S ratio of both conifers, thus
389 indicating that they show plasticity in their biomass allocation to cope with changing
390 environments, which confirms previous studies (Wang et al. 2012, Apgaua et al. 2019,
391 Fan et al. 2020). Plant roots are the most important organ for water and nutrient uptake,
392 and plasticity allows plants to invest relatively more into roots to improve the capture
393 of soil nutrients, and the transportation of water and carbohydrates (Portsmouth and
394 Niinemets 2007).

395

396 Earlier studies have reported that the root diameter increases with rising [CO₂] (Nie et

397 al. 2013, Wang et al. 2020), and our data confirmed these results, as $e[\text{CO}_2]$
398 significantly increased AD of both conifers under both competition patterns (Figure 3b).
399 In contrast to AD, $e[\text{CO}_2]$ significantly decreased SRL of both conifers (Figure 3a),
400 which implied a lower cost-benefit ratio as well as a superior carbon utilization strategy
401 (Anderson et al. 2010, Pokorný et al. 2013). Furthermore, the increased root diameter
402 under $e[\text{CO}_2]$ is generally associated with an enhanced absorption surface area
403 (Eissenstat 1992). Such increased absorption capacity could offset the negative effect
404 resulting from decreased SRL under $e[\text{CO}_2]$ to some extent. In addition to root
405 morphological traits, rising $[\text{CO}_2]$ usually enhances the level of mycorrhizal infection
406 (Norby et al. 1987, Treseder 2004, Pandey et al. 2015). For instance, a free air CO_2
407 enrichment (FACE) conducted in a temperate forest revealed that $e[\text{CO}_2]$ enhanced root
408 colonization by 14% in ECM (Garcia et al. 2008). In accordance with earlier studies,
409 our results showed that $e[\text{CO}_2]$ increased the level of ECM in both conifers, and *P.*
410 *purpurea* had higher ECM than *A. faxoniana* under interspecific competition and $e[\text{CO}_2]$
411 (Figure 3d). It is well known that mycorrhiza can promote nutrient absorption and plant
412 growth, and they play important roles in helping plants to cope with stressful
413 environments (van der Heijden et al. 1998, Nadeem et al. 2014, Fernandez et al. 2017).
414 Our results indicated that *P. purpurea* with higher ECM may have a greater capacity of
415 nutrient absorption compared with *A. faxoniana* under $e[\text{CO}_2]$.
416
417 In this study, $e[\text{CO}_2]$ significantly increased P_n but decreased g_s in both conifers under
418 both competition patterns (Figure 4a,b), which is in good agreement with earlier studies

419 (Franks et al. 2013, Duan et al. 2019, Dusenge et al. 2019). Rising [CO₂] generally
420 results in enhanced carboxylation, and suppression of oxygenase reactions and
421 photorespiration, thus increasing plants' photosynthesis and growth, particularly in C3
422 plants (Jordan and Ogren 1981, Feng et al. 2014, Pandey et al. 2015). In addition, the
423 significant interaction of species × [CO₂] in P_n indicated that P_n of *P. purpurea*
424 increased more compared with *A. faxoniana* under e[CO₂] (Table 1). In terms of leaf
425 morphology, SLA as an important trait of plants' carbon economy and construction
426 consumption, generally decreased under e[CO₂] (Figure 4d), which was in line with
427 earlier studies (Li et al. 2013, Wang et al. 2020). Furthermore, Wright et al. (2004) have
428 reported that plants with smaller SLA may produce leaves with higher construction
429 costs under e[CO₂], which may imply longer leaf lifespan. In addition, according to the
430 PCA analysis, there were positive associations among organ and total biomass, P_n ,
431 PNUE, IWUE and ECM (Figure 8). Moreover, under e[CO₂], *A. faxoniana* and *P.*
432 *purpurea* exposed to interspecific competition were well separated from each other.
433 These results collectively demonstrated that there were species-specific responses in
434 growth and performance to e[CO₂], and those were affected by plant-plant competition.

435

436 *e[CO₂] increased resource use efficiency but interspecific variation was detected*

437

438 Photosynthetic nitrogen use efficiency (PNUE), defined as the ratio of net
439 photosynthesis per unit nitrogen in a leaf, is closely related to the ecological and
440 economic characteristics of leaves (Niinemets 1999, Takashima et al. 2004, Hidaka and

441 Kitayama 2009). It has been suggested that e[CO₂] increases PNUE in tree species
442 (Leakey et al. 2009, Zhao et al. 2012, Xu et al. 2013). Our results were consistent with
443 previous studies showing that e[CO₂] increases P_n and decreases leaf N concentrations,
444 thus resulting in enhanced PUNE in both conifers (Figures 4a,b; 6a). The decreased leaf
445 N concentration under e[CO₂] may be due to the “dilution” effect mediated by
446 accumulated carbohydrates (Tissue et al. 1999, Tausz-Posch et al. 2014). Under
447 interspecific competition and e[CO₂], *P. purpurea* had significantly higher PNUE than
448 *A. faxoniana* (Figure 6a). This was further supported by the significant interactive
449 effects of species × competition, species × [CO₂] and competition × [CO₂] on PNUE,
450 which collectively indicated that PNUE of *P. purpurea* increased more compared with
451 *A. faxoniana* under e[CO₂] and interspecific competition (Table 1).

452

453 Plants’ water use strategies play key roles in survival, growth, reproduction and
454 successful establishment, and climate change (e.g. rising [CO₂]) strongly affects plants’
455 water use traits (Sandquist et al. 2003, Soh et al. 2019, Driscoll et al. 2020). For example,
456 previous studies have reported that e[CO₂] results in the closure of stomata (decreased
457 g_s) and increased water use efficiency (WUE) (Zhao et al. 2012, Silva et al. 2013, Van
458 der Sleen et al. 2015, Gimeno et al. 2016, Soh et al. 2019). Our results were consistent
459 with above statements that e[CO₂] increases P_n , along with the closure of stomata
460 (decreased g_s), thus increasing the intrinsic water use efficiency (iWUE) (Figures 4a,b;
461 6b). Furthermore, the long-term water use efficiency, as revealed by the stable carbon
462 isotope composition ($\delta^{13}C$, discussed by Farquhar et al. 1989 and Li 1999), also

463 increased under $e[\text{CO}_2]$ (Figure 6c). The reason may be that rising $[\text{CO}_2]$ induced the
464 closure of stomata (decreased g_s) and enhanced the fixation of ^{13}C , consequently
465 resulting in increased $\delta^{13}\text{C}$. In addition, the significant interactive effects of species \times
466 $[\text{CO}_2]$ and competition $\times [\text{CO}_2]$ on iWUE collectively indicated that iWUE of *P.*
467 *purpurea* increased more compared with *A. faxoniana* under $e[\text{CO}_2]$ and interspecific
468 competition (Table 1). Driscoll et al. (2020) have demonstrated that enhanced iWUE in
469 response to $e[\text{CO}_2]$ potentially alleviates drought stress, as plants could maintain similar
470 carbon assimilation rates with a decreased water demand. In the present study, under
471 $e[\text{CO}_2]$, increased P_n and decreased g_s as well as the decreased transpiration rate (data
472 not shown) resulted in an enhanced water use efficiency, implying that rising $[\text{CO}_2]$
473 may improve both conifers' tolerance under increased aridity (review by Sekhar et al.
474 2020).

475

476 *Carbon source-sink relationships altered by $e[\text{CO}_2]$ and plant-plant competition*

477

478 A review written by Ainsworth and Rogers (2007) has demonstrated that different
479 plants have different capacities to deal with excess carbohydrates. For example, poplar
480 has a large sink capacity when grown under $e[\text{CO}_2]$, and it could transport most
481 photosynthates (>90%) during the day (Stitt and Quick 1989, Davey et al. 2006). On
482 the other hand, $e[\text{CO}_2]$ increases the accumulations of NSC, resulting in carbohydrate
483 limitation associated with declined photosynthesis, which may be induced by the
484 decreased activity of the primary carboxylating enzyme Rubisco (Ainsworth and

485 Rogers 2007). In addition, the N concentration of leaves often decreases under
486 carbohydrate limitation, because N is closely related to Rubisco and transferred to other
487 parts of the plant (Herms and Mattson 1992). Under interspecific competition and
488 $e[\text{CO}_2]$, *A. faxoniana* exhibited significantly higher soluble sugar, starch and NSC
489 concentrations in leaves associated with lower photosynthesis compared with *P.*
490 *purpurea*, which implied that *A. faxoniana* may suffer from carbohydrate limitation that
491 leads to decreased potential for C acquisition. In addition, this result was supported by
492 the more frequent and greater starch granules of *A. faxoniana* observed under
493 interspecific competition and $e[\text{CO}_2]$ (Figure 5g). Furthermore, under interspecific
494 competition and $e[\text{CO}_2]$, the greater starch granules and swollen chloroplasts indicated
495 that leaf ultrastructure was seriously damaged in *A. faxoniana*, which may cause
496 inhibited photosynthesis (Zhao et al. 2012). On the other hand, *P. purpurea*
497 accumulated less carbohydrates in leaves but more in stems and roots under
498 interspecific competition and $e[\text{CO}_2]$. Thus, plant-plant competition potentially altered
499 carbon source-sink relationships in *A. faxoniana* and *P. purpurea* under rising $[\text{CO}_2]$
500 connected with climate change.

501

502 **Conclusions**

503

504 Our study showed that $e[\text{CO}_2]$ stimulates plant growth and performance, affects
505 morphological traits and leaf ultrastructure, and increases water and nitrogen use
506 efficiencies in *A. faxoniana* and *P. purpurea*. However, we also found interspecific

507 variation in both conifers' responses to plant-plant competition and e[CO₂]. *P.*
508 *purpurea*, in general, possesses a positive growth and adjustment strategy (e.g. a higher
509 photosynthetic capacity and rate of ectomycorrhizal infection, higher water and
510 nitrogen use efficiencies), while *A. faxoniana* likely suffers carbohydrate limitation
511 under interspecific competition and e[CO₂]. Our results demonstrated that different
512 species possess different growth and adjustment strategies to cope with rising [CO₂],
513 which potentially changes competitive relations, thus influencing species composition
514 and ecosystem productivity in subalpine coniferous forests.

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527 analysis, Helena Korpelainen had a significant contribution to the interpretation of data
528 and manuscript preparation, and Chunyang Li (the corresponding author) had the

529 overall responsibility for experimental design and project management.

530

531 **Conflict of interest** The authors declare that they have no conflict of interest.

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Table 1. Main and interactive effects of species, competition and [CO₂] on growth and photosynthetic parameters of *A. faxoniana* and *P. purpurea* under different competition patterns and [CO₂] levels.

Parameters	Main effects			Interactions			
	<i>Species(S)</i>	<i>Competition(C)</i>	[CO ₂]	<i>S×C</i>	<i>S×[CO₂]</i>	<i>C×[CO₂]</i>	<i>S×C×[CO₂]</i>
Leaf biomass	0.000	0.000	0.000	0.003	0.504	0.102	0.004
Stem biomass	0.000	0.000	0.000	0.000	0.301	0.000	0.000
Root biomass	0.000	0.000	0.000	0.000	0.739	0.000	0.000
Total biomass	0.000	0.000	0.000	0.000	0.915	0.000	0.000
LMF	0.218	0.302	0.009	0.905	0.285	0.068	0.715
SMF	0.045	0.957	0.000	0.984	0.036	0.049	0.171
RMF	0.353	0.071	0.000	0.873	0.182	0.848	0.165
R/S ratio	0.382	0.072	0.000	0.926	0.189	0.921	0.162
SRL	0.887	0.410	0.000	0.659	0.958	0.821	0.135
AD	0.868	0.010	0.000	0.788	0.863	0.015	0.485
SRD	0.736	0.381	0.780	0.591	0.990	0.395	0.701
ECM	0.000	0.039	0.000	0.846	0.560	0.179	0.087
<i>P_n</i>	0.000	0.299	0.000	0.068	0.027	0.001	0.314
<i>g_s</i>	0.290	0.554	0.000	0.409	0.873	0.873	0.478
Leaf N content	0.000	0.006	0.000	0.520	0.028	0.460	0.968
SLA	0.103	0.090	0.007	0.751	0.963	0.729	0.039
PNUE	0.812	0.058	0.000	0.003	0.000	0.000	0.374
iWUE	0.001	0.029	0.000	0.260	0.034	0.009	0.141
δ ¹³ C	0.003	0.190	0.000	0.104	0.987	0.000	0.560

LMF, leaf mass fraction; SMF, stem mass fraction; RMF, root mass fraction; R/S ratio, root/shoot ratio; SRL, specific root length; AD, average root diameter; SRD, specific root tip density; ECM, the rate of ectomycorrhizal infection; *P_n*, net photosynthetic rate; *g_s*, stomatal conductance; SLA, specific leaf area; PNUE, photosynthetic N use efficiency. Significant values ($P < 0.05$) are shown in bold.

Table 2. Main and interactive effects of species, competition and [CO₂] on carbohydrate parameters of *A. faxoniana* and *P. purpurea* under different competition patterns and [CO₂] levels.

Parameters	Main effects			Interactions			
	<i>Species(S)</i>	<i>Competition(C)</i>	[CO ₂]	<i>S×C</i>	<i>S×[CO₂]</i>	<i>C×[CO₂]</i>	<i>S×C×[CO₂]</i>
Leaf soluble sugar	0.000	0.378	0.000	0.000	0.000	0.000	0.503
Stem soluble sugar	0.000	0.000	0.000	0.058	0.000	0.000	0.002
Root soluble sugar	0.000	0.000	0.000	0.000	0.000	0.034	0.000
Leaf starch	0.000	0.000	0.003	0.000	0.000	0.000	0.000
Stem starch	0.000	0.000	0.000	0.750	0.014	0.068	0.134
Root starch	0.000	0.000	0.000	0.000	0.000	0.301	0.000
Leaf NSC	0.121	0.000	0.000	0.000	0.000	0.019	0.000
Stem NSC	0.000	0.000	0.000	0.522	0.000	0.660	0.002
Root NSC	0.000	0.000	0.000	0.000	0.000	0.333	0.000

Significant values ($P < 0.05$) are shown in bold.

1 **Figure legends**

2

3 **Figure 1.** (a) Leaf biomass, (b) stem biomass, (c) root biomass and (d) total biomass of
4 *A. faxoniana* and *P. purpurea* under different competition patterns and [CO₂] levels.
5 Each value is the mean ± SE (*n* = 5). Different letters indicate significant differences
6 among treatments according to Tukey's tests (*P* < 0.05). P, intraspecific competition;
7 M, interspecific competition; PC, intraspecific competition under e[CO₂]; MC,
8 interspecific competition under e[CO₂].

9

10 **Figure 2.** Biomass allocation of *A. faxoniana* and *P. purpurea* under different
11 competition patterns and [CO₂] levels. (a) Leaf biomass fraction (LMF), (b) stem
12 biomass fraction (SMF), (c) root biomass fraction (RMF) and (d) root to shoot ratio
13 (R/S ratio). Each value is the mean ± SE (*n* = 5). Different letters indicate significant
14 differences among treatments according to Tukey's tests (*P* < 0.05). P, intraspecific
15 competition; M, interspecific competition; PC, intraspecific competition under e[CO₂];
16 MC, interspecific competition under e[CO₂].

17

18 **Figure 3.** Root traits of *A. faxoniana* and *P. purpurea* under different competition
19 patterns and [CO₂] levels. (a) Specific root length (SRL), (b) average root diameter
20 (AD), (c) specific root tip density (SRD) and (d) the rate of ectomycorrhizal infection
21 (ECM). Each value is the mean ± SE (*n* = 5). Different letters indicate significant
22 differences among treatments according to Tukey's tests (*P* < 0.05). P, intraspecific

23 competition; M, interspecific competition; PC, intraspecific competition under e[CO₂];
24 MC, interspecific competition under e[CO₂].

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26 **Figure 4.** (a) Net photosynthetic rate (P_n), (b) stomatal conductance (g_s), (c) leaf N
27 concentration and (d) specific leaf area (SLA) of *A. faxoniana* and *P. purpurea* under
28 different competition patterns and [CO₂] levels. Each value is the mean \pm SE ($n = 5$).
29 Different letters indicate significant differences among treatments according to Tukey's
30 tests ($P < 0.05$). P, intraspecific competition; M, interspecific competition; PC,
31 intraspecific competition under e[CO₂]; MC, interspecific competition under e[CO₂].

32

33 **Figure 5.** Mesophyll cell observations of *A. faxoniana* and *P. purpurea* under different
34 competition patterns and [CO₂] levels by transmission electron microscopy (TEM). (a-
35 d) control treatments: (a) A/AA, (b) P/PP, (c) A/AP, (d) P/AP. (e-h) e[CO₂] treatments:
36 (e) A/AA, (f) P/PP, (g) A/AP, (h) P/AP. The scale bar indicates 2 μ m (a). C, chloroplast;
37 CW, cell wall; S, starch granule. A/AA, *A. faxoniana* individuals from intraspecific
38 competition; A/AP, *A. faxoniana* individuals from interspecific competition; P/AP, *P.*
39 *purpurea* individuals from interspecific competition; P/PP, *P. purpurea* individuals
40 from intraspecific competition.

41

42 **Figure 6.** (a) Photosynthetic nitrogen use efficiency (PNUE), (b) Intrinsic water use
43 efficiency (iWUE) and (c) carbon isotope composition ($\delta^{13}C$) of *A. faxoniana* and *P.*
44 *purpurea* under different competition patterns and [CO₂] levels. Each value is the mean

45 \pm SE ($n = 5$). Different letters indicate significant differences among treatments
46 according to Tukey's tests ($P < 0.05$). P, intraspecific competition; M, interspecific
47 competition; PC, intraspecific competition under e[CO₂]; MC, interspecific
48 competition under e[CO₂].

49

50 **Figure 7.** Non-structural carbohydrate concentrations in different organs of *A.*
51 *faxoniana* and *P. purpurea* under different competition patterns and [CO₂] levels. (a)
52 Soluble sugar concentration, (b) starch concentration and (c) NSC concentration. Each
53 value is the mean \pm SE ($n = 5$). Different letters indicate significant differences among
54 treatments according to Tukey's tests ($P < 0.05$). The bars with and without oblique
55 lines denote *P. purpurea* and *A. faxoniana*, respectively. The white, grey and dark grey
56 portions denote leaf, stem and root, respectively. P, intraspecific competition; M,
57 interspecific competition; PC, intraspecific competition under e[CO₂]; MC,
58 interspecific competition under e[CO₂].

59

60 **Figure 8.** The PCA based on ecophysiological characteristics of *Abies faxoniana* and
61 *Picea purpurea* among different competition patterns and [CO₂] levels. The circle,
62 triangle, square and cross indicate A/AA, A/AP, P/AP and P/PP, respectively. The grey
63 and black symbols indicate control and e[CO₂] treatments, respectively. P_n , net
64 photosynthetic rate; g_s , stomatal conductance; Leaf N, leaf N concentration; SLA,
65 specific leaf area; PNUE, photosynthetic nitrogen use efficiency; iWUE, intrinsic water
66 use efficiency; LMF, leaf biomass fraction; SMF, stem biomass fraction; RMF, root

67 biomass fraction; RS, R/S ratio; SRL, specific root length; AD, average root diameter;
68 SRD, specific root tip density; ECM, the rate of ectomycorrhizal infection; SS, soluble
69 sugar; ST, starch. A/AA, *A. faxoniana* individuals from intraspecific competition; A/AP,
70 *A. faxoniana* individuals from interspecific competition; P/AP, *P. purpurea* individuals
71 from interspecific competition; P/PP, *P. purpurea* individuals from intraspecific
72 competition.

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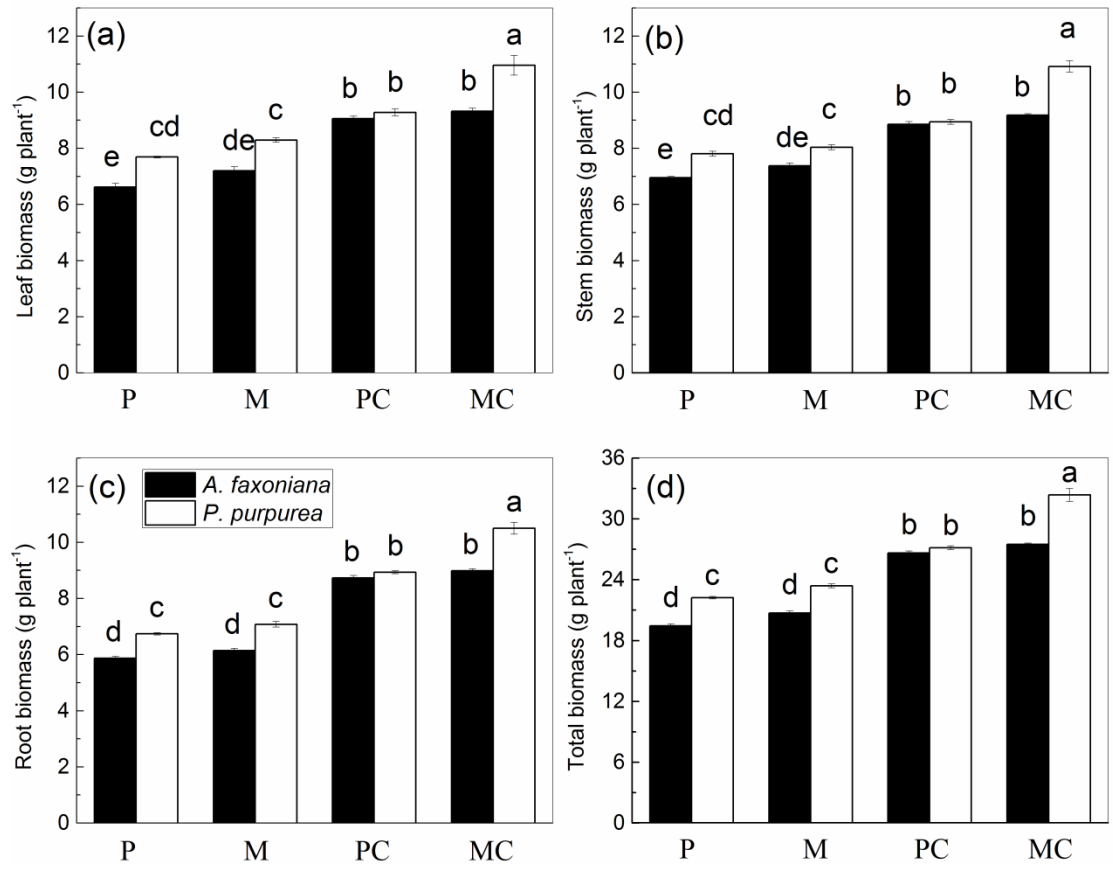
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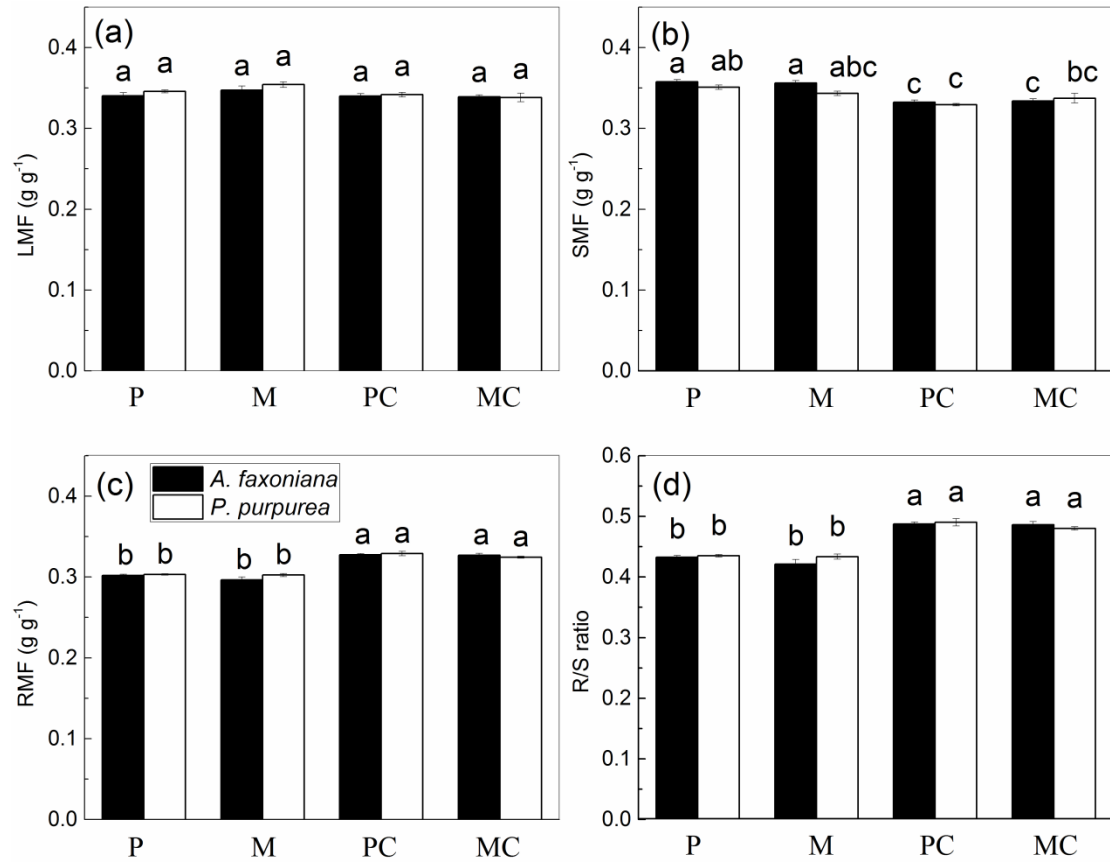
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80 **Figure 1**

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93 **Figure 2**

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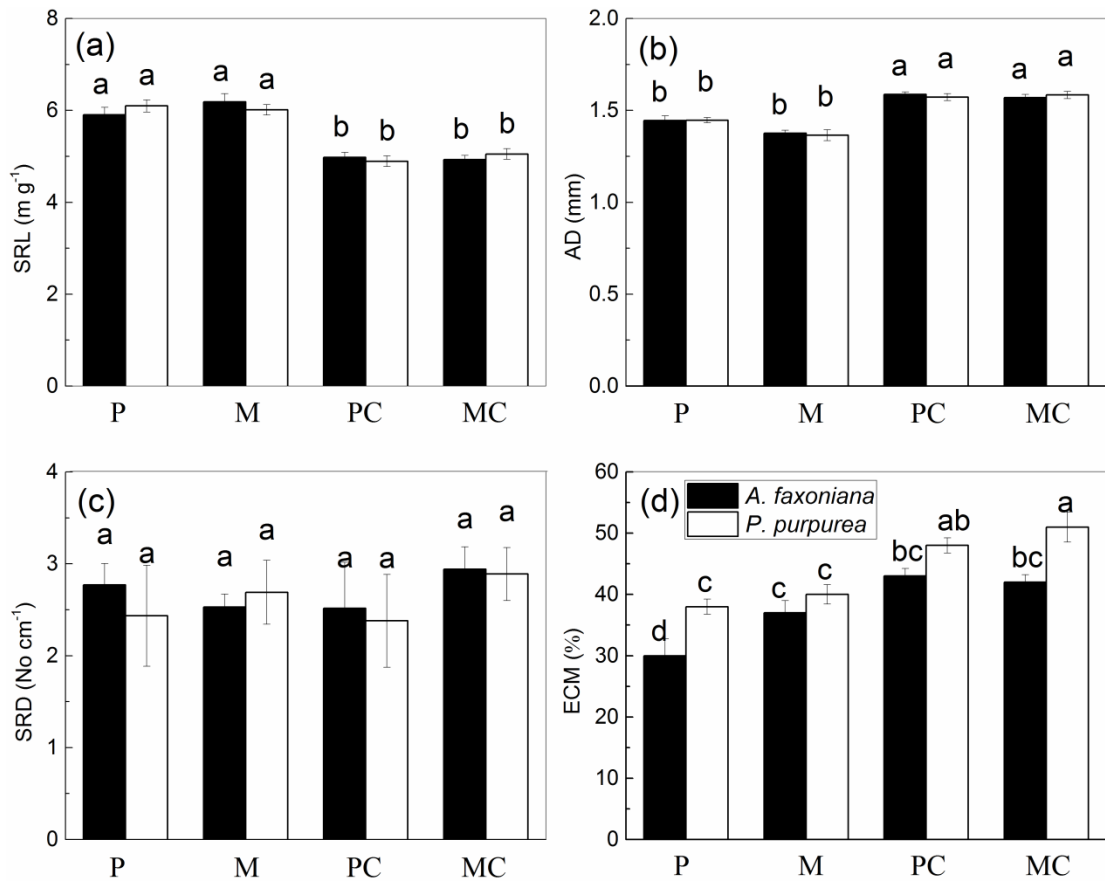
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106 **Figure 3**

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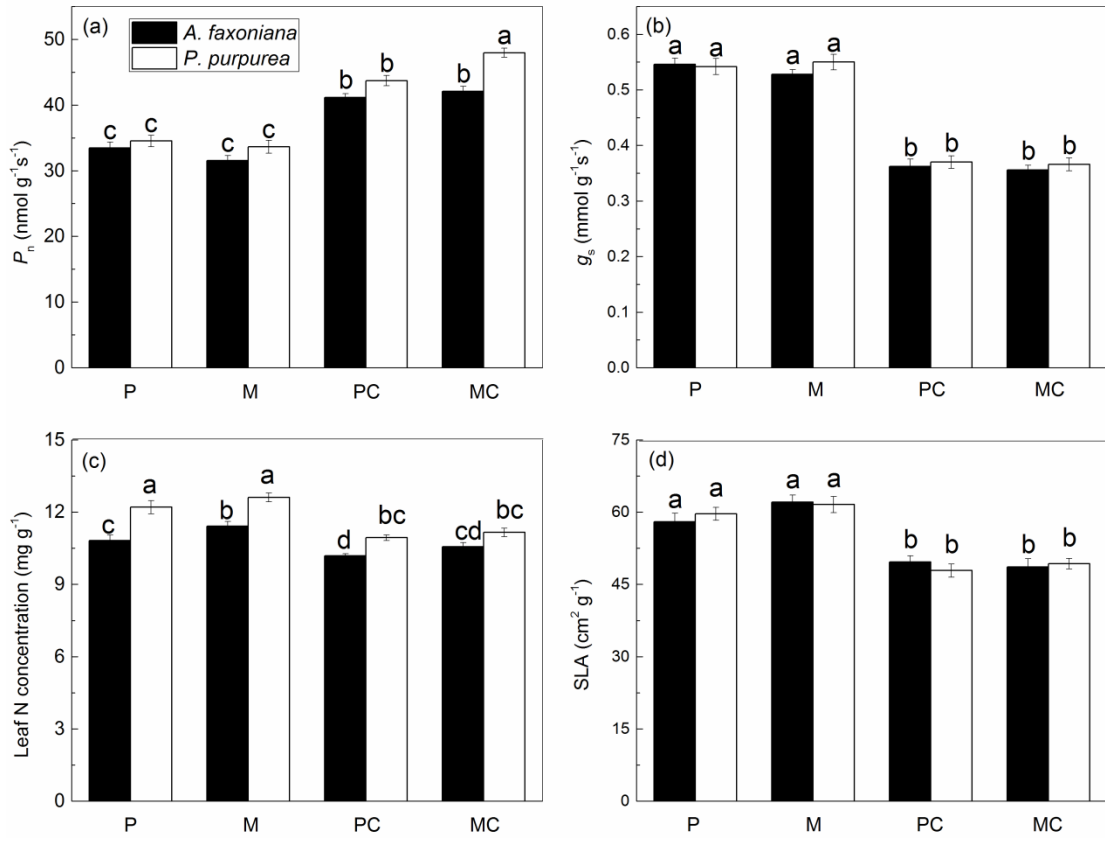
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119 **Figure 4**

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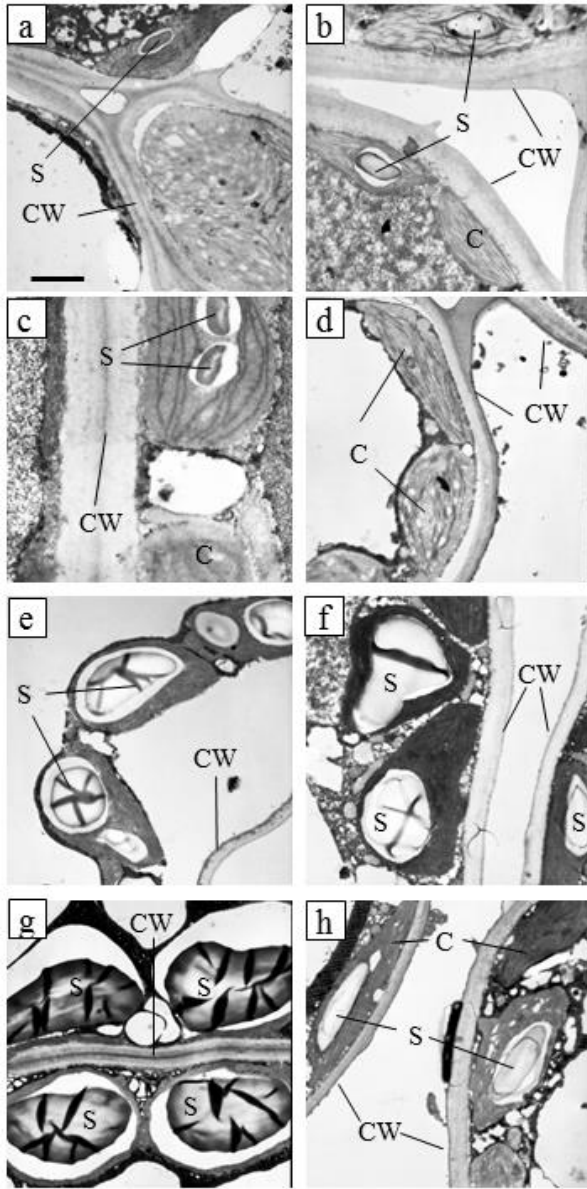
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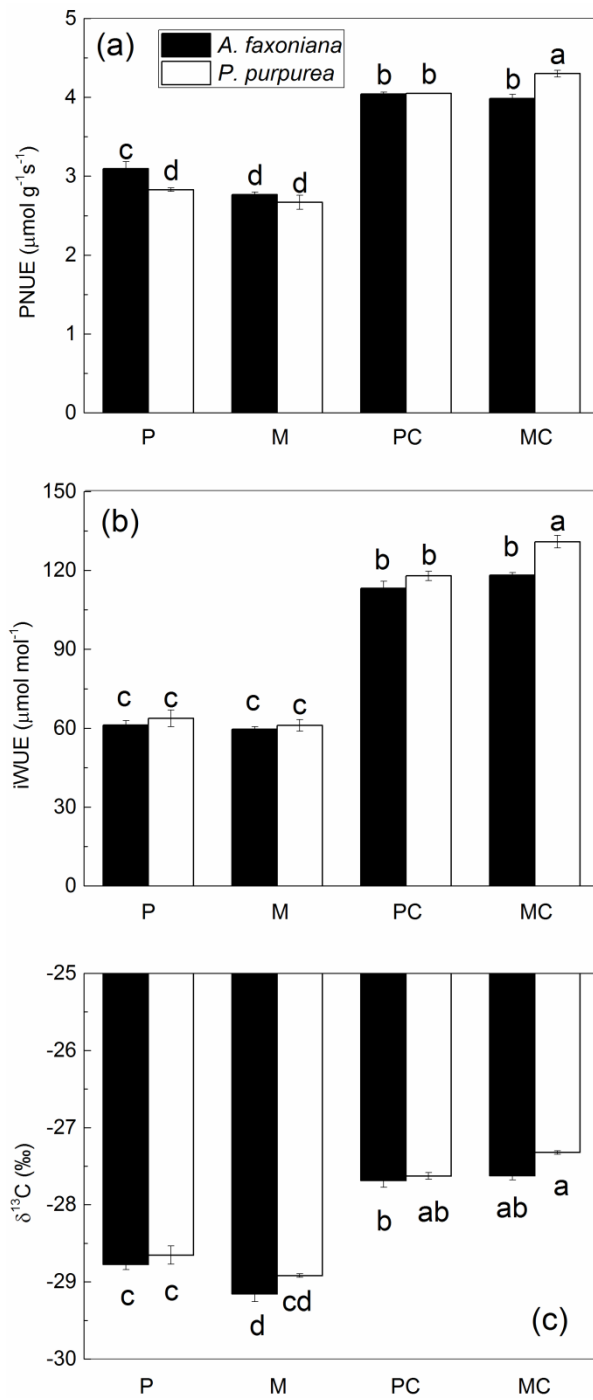
128 **Figure 5**

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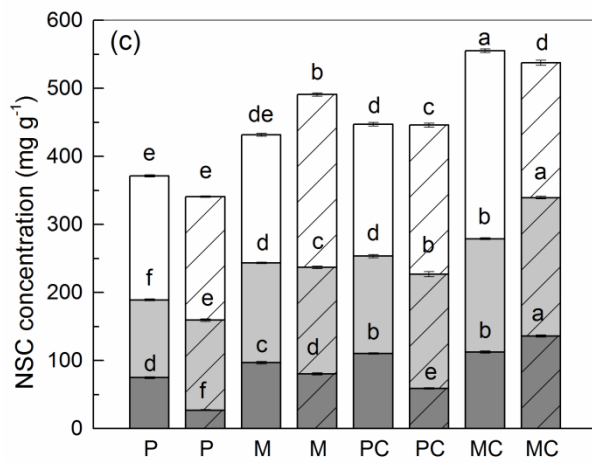
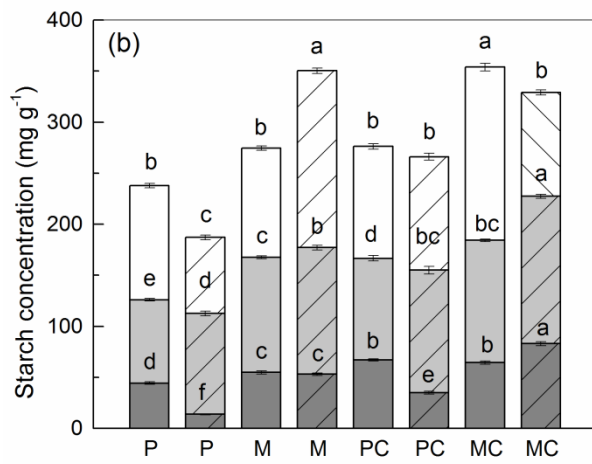
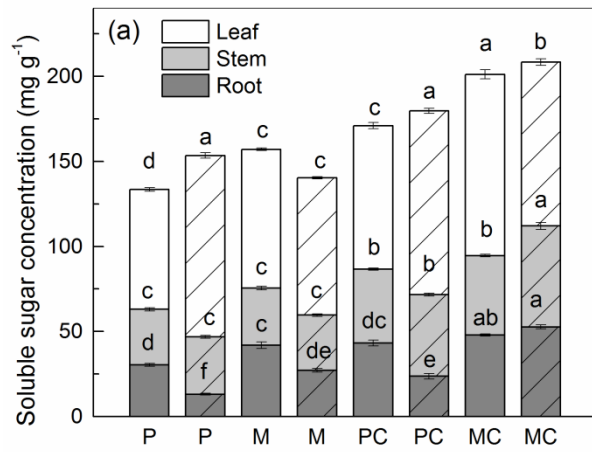
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134 **Figure 6**



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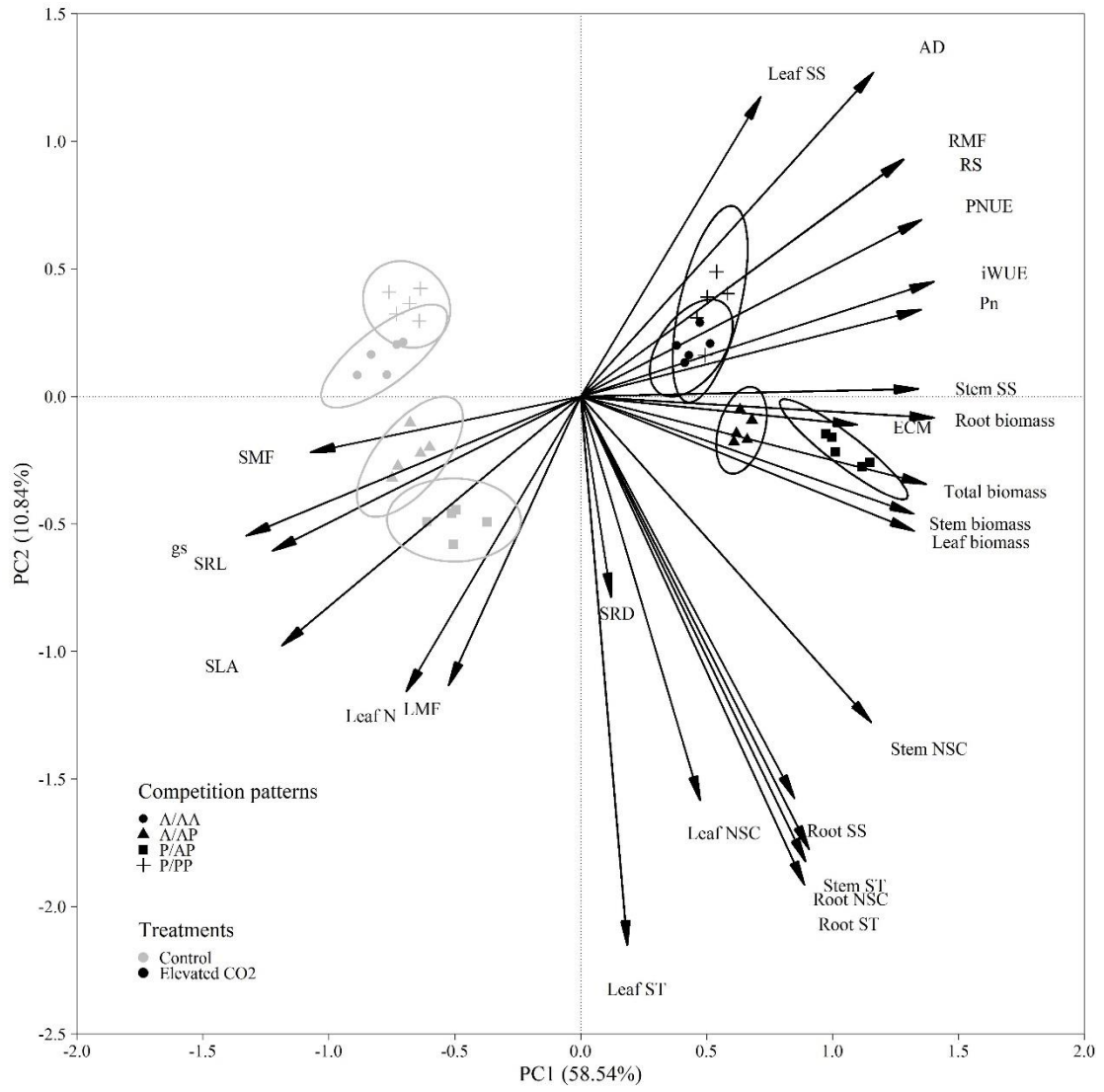
136 **Figure 7**

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142 **Figure 8**