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## Levels of the cancer biomarker CA 19-9 are associated with thrombin generation in plasma from treatment-na?ve pancreatic cancer patients

Mattila, N.

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1 **Levels of the cancer biomarker CA 19-9 are associated with thrombin generation in plasma from**  
2 **treatment-naïve pancreatic cancer patients**

3 **Mattila N<sup>1,2</sup>, Hisada Y<sup>3</sup>, Przybyla B<sup>2</sup>, Posma J<sup>4</sup>, Jouppila A<sup>2,5,6</sup>, Haglund C<sup>1,7</sup>, Seppänen H<sup>1,7</sup>, Mackman**  
4 **N<sup>3</sup>, Lassila R<sup>2,6,8</sup>**

5 <sup>1</sup>Department of Surgery, Helsinki University Hospital, Helsinki, Finland, <sup>2</sup>Department of Hematology,  
6 Coagulation Disorders Unit, Comprehensive Cancer Center, Helsinki University Hospital, Helsinki,  
7 Finland; <sup>3</sup>UNC Blood Research Center, Division of Hematology/Oncology, Department of Medicine,  
8 University of North Carolina at Chapel Hill, Chapel Hill, NC, USA, <sup>4</sup>Laboratory for Clinical Thrombosis  
9 and Hemostasis, Department of Internal Medicine and Department of  
10 Biochemistry, Cardiovascular Research Institute Maastricht, Maastricht University Medical  
11 Center, The Netherlands; <sup>5</sup>Clinical Research Institute HUCH, Helsinki, Finland; Research Programs  
12 Unit in <sup>6</sup>Systems Oncology and <sup>7</sup>Translational Cancer Medicine, University of Helsinki, Helsinki,  
13 Finland, <sup>8</sup>HUSLAB Laboratory Services, Clinical Chemistry, Helsinki, Finland  
14

15 **Running title: CA 19-9 AND THROMBIN GENERATION IN PANCREATIC CANCER**

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**Word count: 5672**

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21 **Highlights**

- 22 • **CA 19-9 and thrombin generation are associated in pancreatic cancer patients**  
23 • **CA 19-9 in patient samples did not associate with coagulation biomarkers**  
24 • **Some commercial CA 19-9 sources are contaminated with tissue factor**

1 **ABSTRACT**

2

3 Background

4 Pancreatic ductal adenocarcinoma (PDAC) is associated with a hypercoagulable state and high  
5 mortality. Increases in the plasma levels of tumor marker carbohydrate antigen (CA) 19-9 are used  
6 in diagnosis and follow-up but have also been reported to precede venous thromboembolism  
7 (VTE).

8

9 Aims

10 We examined the association between CA 19-9 and thrombin generation (TG) in plasma from  
11 PDAC patients, as well as their association with coagulation biomarkers prior to pancreatic  
12 surgery. In addition, we determined the effect of commercial sources of CA 19-9 on TG.

13

14 Methods

15 We collected plasma from 58 treatment-naïve PDAC patients without any signs of VTE. We  
16 measured levels of CA 19-9, FVIII, fibrinogen, D-dimer, antithrombin and extracellular vesicle (EV)  
17 tissue factor (TF) activity and TG using a Calibrated Automated Thrombogram (CAT). The effect of  
18 different commercial sources of CA 19-9 on TG in Standard Human Plasma (SHP) was also studied.

19

20 Results

21 Patient plasma samples were divided into 4 preoperative groups based on the level of CA 19-9:  
22 none<2, low=3-200, high=201-1000, and very high>1000 U/mL. CA 19-9 levels were associated with  
23 several of the TG parameters, including endogenous thrombin potential, peak, and time to peak. CA

1 19-9 did not associate with any of the coagulation biomarkers. Spiking of SHP with CA 19-9 increased  
2 TG but this was decreased by an anti-TF antibody.

3

4 Conclusions

5 CA 19-9 was associated with TG in patients prior to any pancreatic cancer treatments or signs of  
6 VTE. Some commercial sources of CA 19-9 enhanced TG in SHP seemingly due to contaminating  
7 TF.

8 Word count: 249

9

10 **Key words: CA 19-9 antigen, extracellular vesicles, pancreatic neoplasms, thrombin, tissue factor**

11

12 **List of abbreviations:**

13 CA 19-9 = carbohydrate antigen 19-9  
14 CAT = Calibrated Automated Thrombogram  
15 CV = coefficient of variance  
16 ETP = endogenous thrombin potential  
17 EV = extracellular vesicles  
18 FVIII = Factor VIII  
19 IQR = interquartile range  
20 MP = microparticle  
21 PBS = phosphate buffered saline  
22 PDAC = pancreatic ductal adenocarcinoma  
23 PL = phospholipids  
24 PLA2 = phospholipase A2  
25 PPP = platelet-poor plasma  
26 PRP = platelet-rich plasma  
27 SHP = standard human plasma  
28 Rho = Spearman's correlation coefficient  
29 RT = room temperature  
30 TBS = tris buffered saline  
31 TF = tissue factor  
32 TFPI = tissue factor pathway inhibitor  
33 TTP = time to peak  
34 TG = thrombin generation  
35 VTE = venous thromboembolism

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## 1 INTRODUCTION

2  
3

4 Cancer patients have an increased risk of both arterial and venous thromboembolism (VTE) <sup>1-6</sup>.

5 Cancer patients with thrombosis have increased mortality<sup>7</sup>. Pancreatic cancer, and especially

6 pancreatic ductal adenocarcinoma (PDAC), is one of the most thrombogenic cancer types<sup>8</sup>. PDAC

7 often presents with increased coagulation activity in the form of elevated levels of tissue factor

8 (TF)-bearing extracellular vesicles (EV)<sup>9-14</sup>, factor VIII (FVIII)<sup>15, 16</sup>, fibrinogen<sup>15, 17</sup>, and D-dimer<sup>9</sup>, even

9 without visible thrombosis. Therefore, it is important to understand the mechanisms underlying

10 the thrombogenicity, and to identify the patients with the highest thrombotic potential.

11

12 The most commonly used tumor-marker for PDAC is carbohydrate antigen (CA) 19-9, the sialylated

13 Lewis a antigen<sup>18</sup>. CA 19-9 is secreted from the epithelial cells of the gastrointestinal tract, such as

14 the pancreatic duct epithelium, and its expression is increased in malignancies. CA 19-9 serves as a

15 diagnostic and follow-up marker for PDAC<sup>19</sup>. In our previous study, we found that combining CA

16 19-9 with FVIII, fibrinogen, alkaline phosphatase and conjugated bilirubin in a pre-diagnostic panel

17 improved the diagnosis of PDAC in treatment-naïve patients<sup>15</sup>.

18

19 In plasma and serum, CA 19-9 is present in mucins, especially MUC1, which are high-molecular

20 weight glycoproteins <sup>20, 21</sup>. Mucins form gel-like structures, which capture molecules and can

21 attach to cell surfaces<sup>22</sup>. Mucins have been proposed to contribute to the hypercoagulable state of

22 adenocarcinomas via multiple mechanisms, including via activation of platelets and neutrophils <sup>23-</sup>

23 <sup>25</sup>. This raises the question if CA 19-9 could also directly promote coagulation.

24

1 Thrombin is the key protease in the coagulation cascade and measuring its generation may give  
2 insight into the pathways involved in the hypercoagulable state in various diseases<sup>26-28</sup>. Increased  
3 TG suggests increased coagulation activity<sup>29, 30</sup>. Malignant cells, especially pancreatic cancer cells,  
4 and their TF-bearing EVs enhance TG<sup>31,32</sup>. At present, it is not known if CA 19-9 enhances TG. To  
5 this end we chose to study plasma from treatment-naïve PDAC patients to avoid the confounding  
6 effects of chemo- and radiation therapy and surgery on coagulation.

7  
8 The primary goal of the study was to determine if CA 19-9 was a biomarker for increased  
9 coagulation activity without any signs of thrombosis in PDAC patients. In addition, we determined  
10 the effect of commercial CA 19-9 on TG in standard human plasma (SHP). We also analyzed the  
11 correlations between CA 19-9 and TG with biomarkers of coagulation.

12

13

1 **PATIENTS AND METHODS**

2 **Ethical approval**

3 This study was approved by the Surgical Ethics Committee of the Helsinki University Hospital (Dnro  
4 HUS 226/E6/06, extension TMK02 §66 17.4.2013), and was performed according to the Helsinki  
5 Declaration. Each patient gave written consent prior to sample collecting.

6  
7 **Patient samples**

8 Citrated (3.2%) plasma samples, prepared from whole blood by a single centrifugation at 2,500 g for  
9 15 minutes, were collected from patients admitted to the Helsinki University Hospital for an upper-  
10 gastrointestinal tumor surgery during 2013-2017. Serum was prepared from coagulated blood by  
11 centrifugation at 2,000 g for 10 minutes and was used for analysis of CA 19-9. Patient samples were  
12 obtained 1-3 days prior to cancer surgery. The samples were stored at -80°C until TG analysis. We  
13 included patients having plasma available for reliable TG analysis with a histopathologically confirmed  
14 PDAC (n=58), without another active cancer in the previous five years, without established coagulation  
15 disorders, without preoperative neoadjuvant or anticoagulant treatments, and without overt  
16 thrombotic events to avoid any confounding effects on TG. All patients were considered to have a  
17 radiographically resectable disease. If local advancement or distant metastasis was found from frozen  
18 sections during a staging laparoscopy, the tumor was deemed non-resectable and the operation was  
19 discontinued. Of the 58 patients, 13 had a non-resectable disease, either due to distant metastasis  
20 (n=12) or local advancement (n=1). Patients were divided into groups based on their CA 19-9 levels:  
21 none<2 (n=4), low=3-200 (n=32), high=201-1000 (n=13), and very high>1000 U/mL (n=9). Patient  
22 characteristics are presented in Table 1.

23

24

**Table 1. Patient characteristics of the different CA 19-9 groups**

	None (<2 U/ml)	Low (2-200 U/ml)	High (201-1000 U/ml)	Very high (>1000 U/ml)
CA 19-9 (U/mL), median (IQR)	<2	53 (20-119)	457 (327-807)	7669 (2993-21687)
Number of patients	4	32	13	9
Female, n (%)	1 (25)	17 (53)	8 (62)	5 (56)
Age over 65, n (%)	2 (50)	23 (72)	8 (62)	7 (78)
Operable, n (%)	3 (75)	25 (81)	12 (92)	5 (56)
Stage				
IA	0	3	1	0
IB	0	4	1	0
IIA	0	0	0	0
IIB	0	12	6	2
III	3	7	4	3
IV	1	6	1	4

1 IQR=interquartile range

2

### 3 **Measurement of biomarkers in serum and plasma**

4 Preoperative CA 19-9 levels were measured in serum using the ARCHITECHT CA 19-9<sub>XR</sub> assay

5 (Abbott Laboratories, Abbot Park, IL, USA) as a part of the routine preoperative laboratory testing.

6 Plasma fibrinogen, antithrombin, D-dimer, and FVIII levels were either analyzed preoperatively as

7 routine testing from citrated (3.2%) plasma, or afterwards from the preoperatively collected



1 plasma stored at -80°C. Fibrinogen was determined using a Multifibren® U assay (Siemens  
2 Healthcare Diagnostics, Marburg, Germany). Antithrombin was measured using a chromogenic  
3 assay (Berichrom® Antithrombin III, Siemens), and D-dimer with an immunoturbidimetric assay  
4 (Tina-quant D-Dimer®, Roche Diagnostics, Mannheim, Germany). FVIII levels were assessed with a  
5 one-stage clotting assay (Pathromtin SL and Coagulation Factor VIII Deficient Plasma, Siemens).

6

### 7 **Extracellular vesicle tissue factor activity**

8 EV TF activity was analyzed as previously described<sup>33</sup>. Four patients were not analyzed for EV TF as we  
9 did not have enough plasma. EV TF activity was calculated by reference to a standard curve generated  
10 using re-lipidated recombinant human TF in the range of 0–20 pg/mL (Innovin, Siemens, Munich,  
11 Germany). The TF-dependent FXa generation (pg/mL) was determined by subtracting the amount of  
12 FXa generated in the presence of an anti- TF antibody (HTF-1, BD biosciences, San Jose, CA, USA) from  
13 the amount of FXa generated in the presence of the control antibody (Sigma Aldrich, St. Louis, MO,  
14 USA), and converted to TF concentration (pg/mL) by reference to the standard curve. Positive controls  
15 were generated by treating whole blood from healthy volunteers with *E. coli* lipopolysaccharide  
16 (Serotype 0111:B4, Sigma Aldrich) (10 µg/mL) for 5 h at 37 °C with gentle rocking with subsequent  
17 isolation of plasma.

18

### 19 **Measurement of thrombin generation using a Calibrated Automated Thrombogram**

20 TG was measured using the Calibrated Automated Thrombogram<sup>34</sup> assay (CAT, Fluoroskan Ascent,  
21 ThermoFisher, Helsinki, Finland) with the Thromboscan software (Thrombinoscope, Maastricht, The  
22 Netherlands). TG was initiated with either 1) Platelet-poor plasma (PPP)-Reagent LOW, containing 1  
23 pM TF and 4 µM phospholipids (PL), 2) PPP-Reagent containing 5pM TF and 4 µM PL, 3) Platelet-rich  
24 plasma (PRP)-Reagent containing 1 pM TF and a minimal amount of PL, 4) Microparticle (MP)-Reagent

1 containing only PL, 5) CA 19-9 at various concentrations or 6) phosphate-buffered saline (PBS) as the  
2 activator in patient plasma samples or SHP. First, 20  $\mu$ L of either activator or Thrombin Calibrator  
3 (Thrombinoscope BV, Maastricht, The Netherlands) was pipetted into 96-well microtiter round bottom  
4 plates (Mekalasi, Nurmijärvi, Finland). After that, 80  $\mu$ l of plasma was added to the plates. The plates  
5 were then incubated for 10 minutes at 37°C. Finally, FluCa solution was added to detect TG. Various  
6 CAT variables, including lag time, endogenous thrombin potential (ETP), peak thrombin and time to  
7 peak (TTP) were analyzed.

8

### 9 **Effect of CA 19-9 *ex vivo* on thrombin generation in patient plasma measured by Calibrated**

#### 10 **Automated Thrombogram**

11 Patient plasma was thawed in a 37°C water bath for 15 minutes and then kept at room temperature  
12 (RT) until pipetting it into the microtiter plates for TG analysis. PPP-Reagent LOW was used as the  
13 activator for TG. The concentration-dependent effect of CA 19-9 on TG was compared in the different  
14 patient groups with each other and SHP as control plasma.

15

#### 16 **Effect of CA 19-9 *in vitro* on thrombin generation in plasma measured by CAT**

17 Six commercial CA 19-9 preparations from different sources were obtained from MyBioSource  
18 (San Diego, CA, USA) (Supplement table 1). The concentrations used in the experiments were  
19 based on the manufacturer's reported concentration of CA 19-9.

20

21 We assessed the effect of CA 19-9 preparations on TG under different conditions. CA 19-9 was diluted  
22 in PBS (pH 7.4) to obtain three concentrations of 20, 200 and 900 U/mL. First, the concentration-  
23 dependent effect of CA 19-9 on TG was analyzed in the CAT assay with the PPP-Reagent LOW as the  
24 activator. Next, CA 19-9 at 900 IU/mL alone was studied as the sole activator of TG, without added TF

1 or PL, and compared with the TG induced by the commercial activators: 1) PPP-Reagent LOW, 2) PPP-  
2 Reagent containing 5pM TF and 4  $\mu$ M PL, 3) Platelet-rich plasma (PRP)-Reagent containing 1 pM TF and  
3 no PL and 4) Microparticle (MP)-Reagent containing only PL. We then assessed the concentration-  
4 dependent TG effects of CA 19-9 alone in SHP at 200, 900 and 9000 U/mL without added TF or PL.

5  
6

7 To determine if the effect of CA 19-9 on TG in SHP was TF-dependent, we incubated CA 19-9 for  
8 one hour at RT with a monoclonal antibody for human TF (anti-TF, REF 4509, Biomedica  
9 Diagnostics, Conneticut, USA), or SHP with an antibody against the C-terminus of tissue factor  
10 pathway inhibitor (anti-TFPI, Sanquin, Amsterdam, the Netherlands) for one hour prior to CAT  
11 analysis. The influence of PLs in the CA 19-9 reagents on TG was analyzed by incubating CA 19-9  
12 with phospholipase A2 (PLA2) from honeybee venom (P9279, Sigma-Aldrich, Germany) for one  
13 hour prior to CAT analysis. PBS was used as a control to assess the impact of dilution and  
14 incubation time. To dissect the roles of extrinsic and intrinsic pathways on TG, we also tested the  
15 effect of CA 19-9 on TG in plasmas deficient in coagulation factor (F) VII, FVIII, FIX, FXI and FXII  
16 (Siemens Healthcare, Germany).

17  
18

## 19 **Statistical analysis**

20 CAT analysis was performed in 1-4 repeated triplicates depending on the amount of plasma  
21 available, and the mean of all repeated measurements was used for statistical analysis. The results  
22 of the triplicate measurements were reviewed, and clear outlier curves were discarded. After  
23 review of the curves, the intra-triplicate coefficient of variance (CV) was below 20% for all patient  
24 plasma measurements. The inter-assay CV of the repeated patient plasma assessments was

1 maximally 20%. Statistical analysis and graphical work were performed using GraphPad Prism 8  
2 and SPSS Statistics 25. The laboratory values of each group are presented as median values with  
3 interquartile ranges (IQR), with the exception of the CA 19-9 none group as only two patients had  
4 the variables of coagulation activity available, and thus the results are presented as ranges for this  
5 group. Kruskal-Wallis test with post-hoc Dunn's analysis was used to compare the TG and  
6 laboratory data between the patient groups and SHP and the dose-dependent effect of CA 19-9 on  
7 TG. The effect of coagulation factor deficient plasmas was analyzed both with Kruskal-Wallis test  
8 using post-hoc Dunne analysis, and each plasma separately with the reference plasma by using the  
9 Mann-Whitney U test. The influence of anti-TF and anti-TFPI antibody and PLA2 were compared  
10 with control plasma using the Mann-Whitney U test. Correlations between the biomarkers and  
11 thrombin generation values were studied using with Spearman's correlation coefficient ( $\rho$ ).  
12 Patients were not followed postoperatively in this study.

13

14

15

16

1 **RESULTS**

2 **CA 19-9 and thrombin generation in treatment-naïve PDAC patients**

3 We determined if there was an association between CA 19-9 and TG variables by analyzing them in all  
4 patient plasma samples. We found that CA 19-9 levels positively correlated with both peak TG and ETP  
5 (Table 2, rho=0.315, p=0.016 and rho=0.301, p=0.022, respectively).

6

**Table 2. Correlations between CA 19-9 and thrombin generation**

		Lag time	ETP	Peak TG	TTP
<b>CA 19-9</b>	Rho	-0.203	.301*	.315*	-0.257
	p-value	0.126	0.022	0.016	0.052
	N	58	58	58	58

\*. Correlation is significant at the 0.05 level (2-tailed).

ETP=endogenous thrombin potential

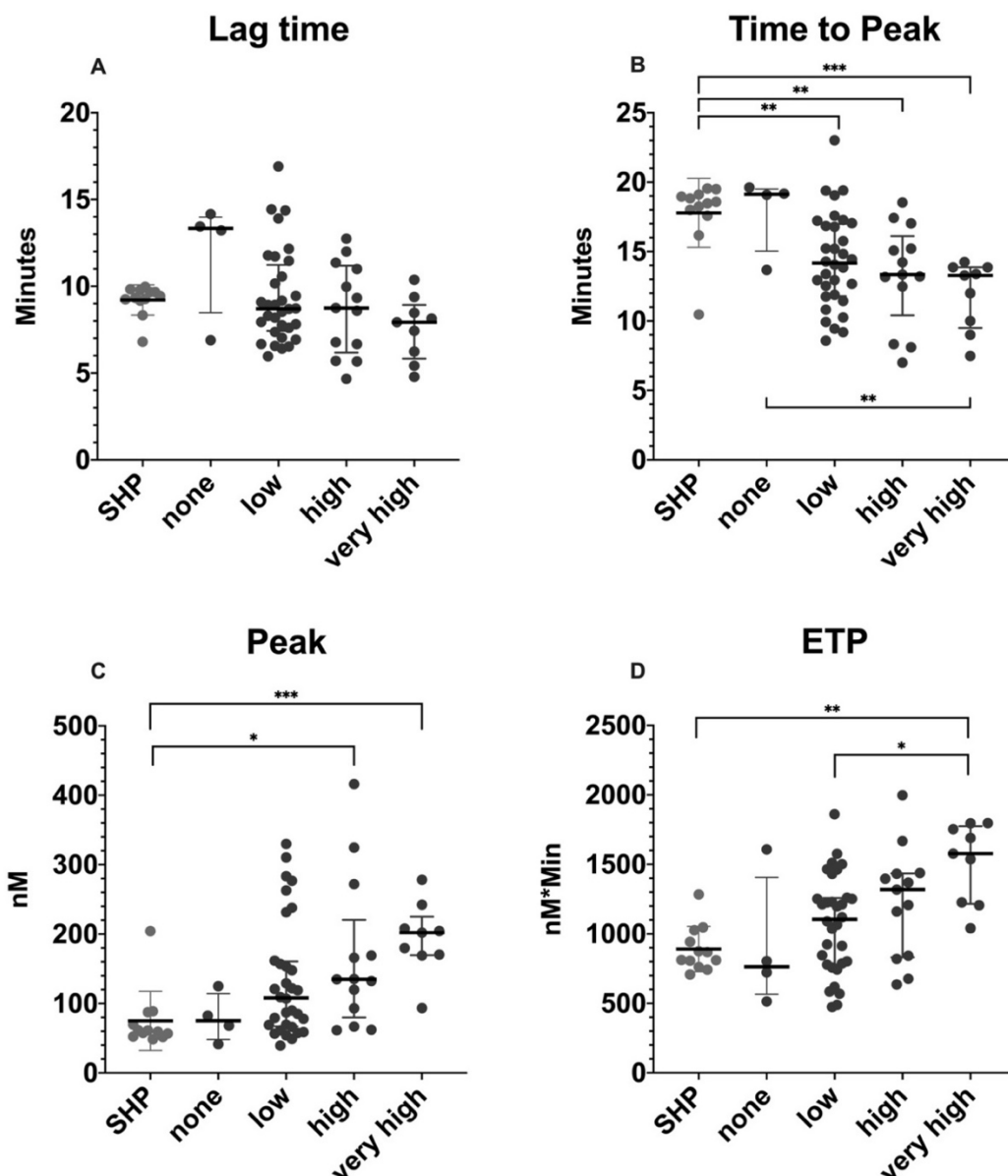
TG=thrombin generation

TTP=time to peak

7

8 To analyze the effect of CA 19-9 on TG in more detail, patients were divided into 4 groups based on  
9 their different CA 19-9 concentrations: none<2 (n=4), low=3-200 (n=32), high=201-1000 (n=13), and  
10 very high>1000 U/mL (n=9) and all groups were compared with each other and with the control plasma,  
11 SHP.

12



1  
2 **Figure 1. Thrombin generation variables in PDAC patient plasma.** A) Lag time, B) Time to peak, C) Peak, D) ETP. The reagent PPP  
3 low (1 pM TF and 4 μM PL) was used as the activator. CA 19-9 groups represent patients with the ranges: none<2 U/mL, low=3-200  
4 U/mL, high=201-1000 U/mL, very high>1000 U/mL. No patients had values 201-299, 974-1738 or above 66357. Each dot represents  
5 the mean of each repeated measurement of a single patient. Data are shown as median ± interquartile range. The statistical  
6 difference was tested with Kruskal-Wallis test. ETP=endogenous thrombin potential, PDAC=pancreatic ductal adenocarcinoma,  
7 PL=phospholipids, PPP=platelet-poor plasma, SHP=standard human plasma, TF=tissue factor. \*p<0.05, \*\*p<0.01, \*\*\*p<0.001  
8  
9 All the TG variables, excluding lag time (Fig 1A), were associated with the elevated CA 19-9 levels (Fig  
10 1B-D). Compared with SHP, TTP was shorter in all the CA 19-9 groups, except the group without CA 19-  
11 9 (Fig. 1B). Peak TG showed the greatest association and was over 2-fold higher in patients with very

1 high and high CA 19-9 levels than the controls (3.4-fold and 2.2-fold, respectively, Fig. 1C). Finally, ETP  
 2 was 1.9-fold higher in the patients with very high CA 19-9 compared with SHP (Fig. 1D). When the  
 3 different CA 19-9 groups were compared with each other, patients with the very high CA 19-9 had a  
 4 1.4-fold shorter TTP than those without measurable CA 19-9 (Fig. 1B) and 1.4-fold higher ETP levels  
 5 than those with low CA 19-9 (Fig. 1D).

6

7 **Coagulation biomarkers and CA 19-9**

8 Median FVIII and fibrinogen levels were higher than the normal reference limits in all CA 19-9 groups  
 9 (Table 3).

**Table 3. Levels of coagulation biomarkers in PDAC patients with different levels of CA 19-9**

	None		Low		High		Very high		Normal values
	n	Range	n	Median (IQR)	n	Median (IQR)	n	Median (IQR)	
<b>Antithrombin (%)</b>	2	116-122	32	102 (93-112)	13	102 (93-126)	7	101 (84-108)	85-125
<b>FVIII (IU/L)</b>	2	132-227	32	168 (130-231)	13	198 (177-262)	7	167 (152-225)	60-160
<b>Fibrinogen (g/L)</b>	2	4.4-5.0	32	4.3 (3.5-5.2)	13	4.5 (3.3-5.2)	7	4.3 (3.6-4.6)	2.0-4.0
<b>D-dimer (mg/L)</b>	2	<0.2-0.4	32	0.4 (0.3-0.7)	13	0.4 (0.2-0.8)	7	0.4 (0.3-0.6)	<0.5
<b>EV TF activity (pg/mL)</b>	2	0.2-0.3	31	0.4 (0.3-0.6)	13	0.4 (0.2-0.6)	7	0.5 (0.4-0.9)	0.05-0.26

EV=extracellular vesicle, TF=tissue factor, IQR=interquartile range

10

11 Likewise, EV TF activity was above the reported reference values of healthy controls<sup>35</sup> in all groups  
 12 except for the none group (Table 3). D-dimer levels, on the other hand, were within the normal  
 13 reference values in all CA 19-9 groups. Median antithrombin levels were also within the range of  
 14 the reference values (Table 3). Levels of CA 19-9, FVIII, fibrinogen, antithrombin, D-dimer and EV TF  
 15 or the TG variables between patients with resectable or non-resectable disease did not differ (data  
 16 not shown).

17

1 To study the possible contributing or confounding effects of coagulation activity by any other  
2 coagulation biomarkers in the different CA 19-9 groups, we compared the levels of the various  
3 biomarkers in the four CA 19-9 groups. However, no correlations between CA 19-9 and the  
4 coagulation biomarkers were found (Table 4).

5



**Table 4. Correlations of thrombin generation and CA 19-9 with coagulation biomarkers**

		EV TF activity	Antithrombin	FVIII	Fibrinogen	D-dimer
<b>CA 19-9</b>	Rho	0.105	-0.101	0.106	-0.125	0.033
	P-value	0.456	0.466	0.444	0.368	0.815
	N	53	54	54	54	54
<b>Lag time</b>	Rho	<b>-.288*</b>	<b>.342*</b>	<b>.288*</b>	<b>.389**</b>	-0.054
	P-value	<b>0.037</b>	<b>0.011</b>	<b>0.035</b>	<b>0.004</b>	0.699
	N	53	54	54	54	54
<b>ETP</b>	Rho	0.095	<b>-.409**</b>	-0.102	-0.045	0.165
	P-value	0.499	<b>0.002</b>	0.464	0.749	0.234
	N	53	54	54	54	54
<b>Peak TG</b>	Rho	0.110	<b>-.501**</b>	-0.075	-0.185	0.171
	P-value	0.434	<b>0.000</b>	0.591	0.180	0.217
	N	53	54	54	54	54
<b>TTP</b>	Rho	-0.197	<b>.399**</b>	0.252	<b>.435**</b>	-0.047
	P-value	0.156	<b>0.003</b>	0.066	<b>0.001</b>	0.736
	N	53	54	54	54	54

\*. Correlation is significant at the 0.05 level (2-tailed).

\*\*.. Correlation is significant at the 0.01 level (2-tailed).

ETP=endogenous thrombin potential, TG=thrombin generation, TTP=time to peak, EV=extracellular vesicle, TF=tissue factor

1

## 2 **Correlation among plasma levels of coagulation biomarkers and thrombin generation variables**

3 To determine if other coagulation biomarkers contributed to TG activity, we analyzed the

4 correlations between the TG variables and antithrombin, FVIII, fibrinogen, D-dimer and EV TF levels.

5 As expected, antithrombin showed a clear correlation with TG variables. Lag time and TTP correlated

6 positively with antithrombin, while ETP and peak TG showed negative correlation (Table 4, p<0.05).

7 In contrast, as expected, EV TF showed a negative correlation with the lag time (Table 4).

8

## 9 **Effect of exogenous CA 19-9 on thrombin generation**

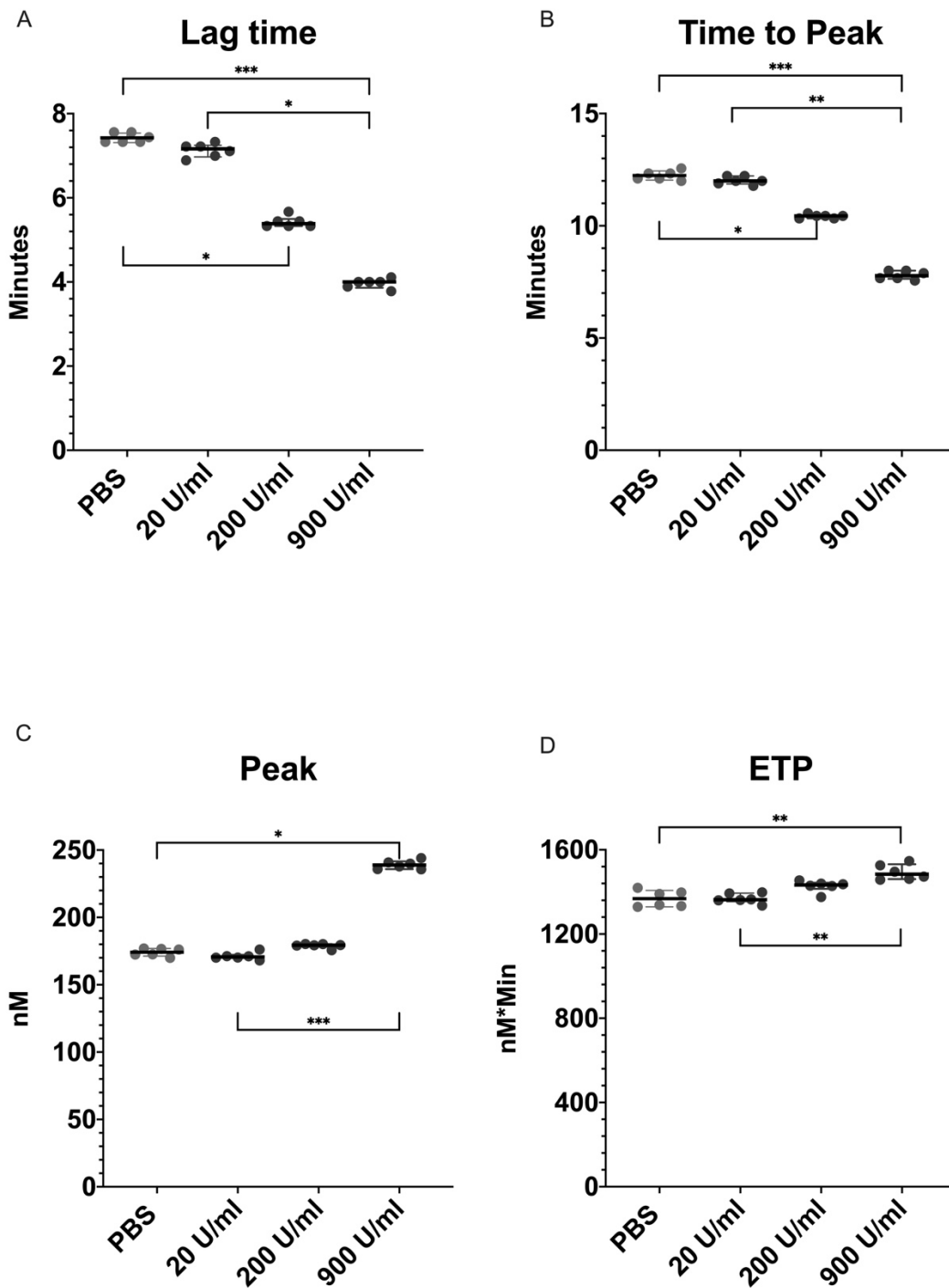
1 To assess if CA 19-9 enhances TG, we analyzed six commercial CA 19-9 preparations on TG using  
2 SHP. After evaluating the effect of adding each preparation in the CAT assay, two of the six  
3 preparations, both from colon adenocarcinoma cell lines, showed increased TG when spiked into  
4 SHP compared with SHP alone (Supplement Fig. 1).

5

#### 6 **Dose-dependent effect of the CA 19-9 preparation on thrombin generation**

7 We explored the CA 19-9 preparation MBS537105 from a colon adenocarcinoma cell line in more  
8 detail as it expressed the highest TG potential. To determine if the CA 19-9 preparation enhanced  
9 TG in a standardized setting, we first added increasing concentrations of the CA 19-9 preparation  
10 to SHP with 1 pM TF as the activator (PPP-Reagent LOW). The CA 19-9 preparation dose-  
11 dependently increased TG when added to SHP (Fig. 2). A high concentration of CA 19-9 (900 U/mL)  
12 decreased lag time, as well as increased peak thrombin, and TTP and ETP compared with SHP  
13 ( $p < 0.05$ ).

14



1

2 **Figure 2. In vitro dose-dependent impact of CA 19-9 on thrombin generation when spiked to SHP in the presence of TF.** A) Lag  
 3 time, B) Time to peak, C) Peak, D) ETP. CA 19-9 (source, at 20-900 U/mL concentration) was added to SHP and PPP-Reagent LOW  
 4 with 1 pM TF and 4 μM PL was used as the activator. The dots represent triplicate measurements in the same setting, the line  
 5 represents median and whiskers the interquartile range. ETP=endogenous thrombin potential, PL=phospholipids, PPP=platelet-poor  
 6 plasma, SHP=standard human plasma, TF=tissue factor. \*p<0.05, \*\*p<0.01, \*\*\* p<0.001

7

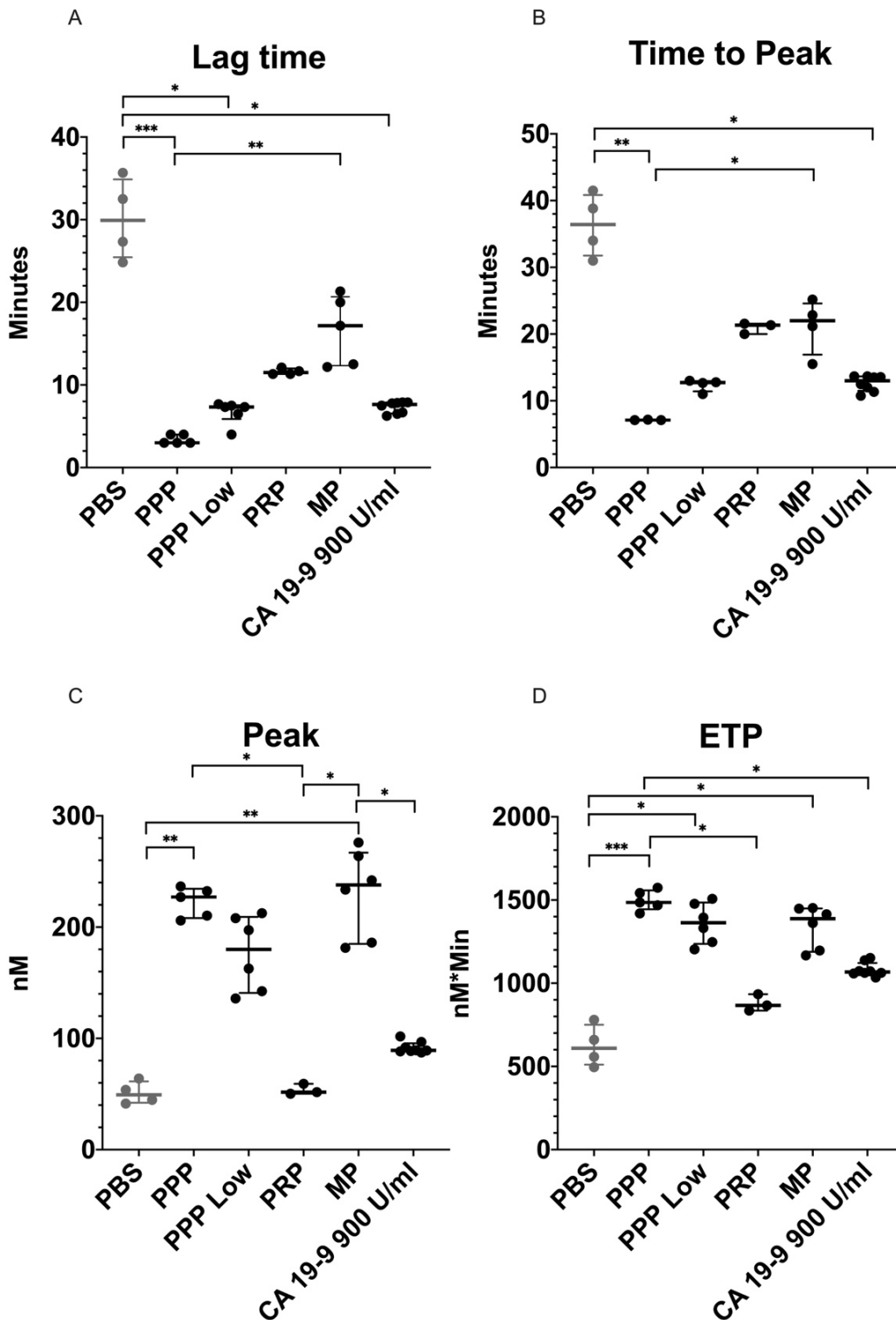
8

9

1 **CA 19-9 preparation alone as an activator of thrombin generation *in vitro***

2 Since the CA 19-9 preparation had a clear effect on TG *in vitro* in the presence of PL and TF, we  
3 next determined if the CA 19-9 preparation alone would initiate thrombin generation in plasma.  
4 First, we performed CAT analysis using the different commercial activators available (PPP-Reagent,  
5 PPP-Reagent LOW, PRP-Reagent and MP-Reagent) and compared the results with CA 19-9 at 900  
6 U/ml without added TF or PL and PBS as a control. PRP-Reagent was chosen to assess the role of  
7 PL as we only used plasma (PPP) as the source of TG. MP-Reagent on the other hand, triggers TG  
8 without exogenous TF.

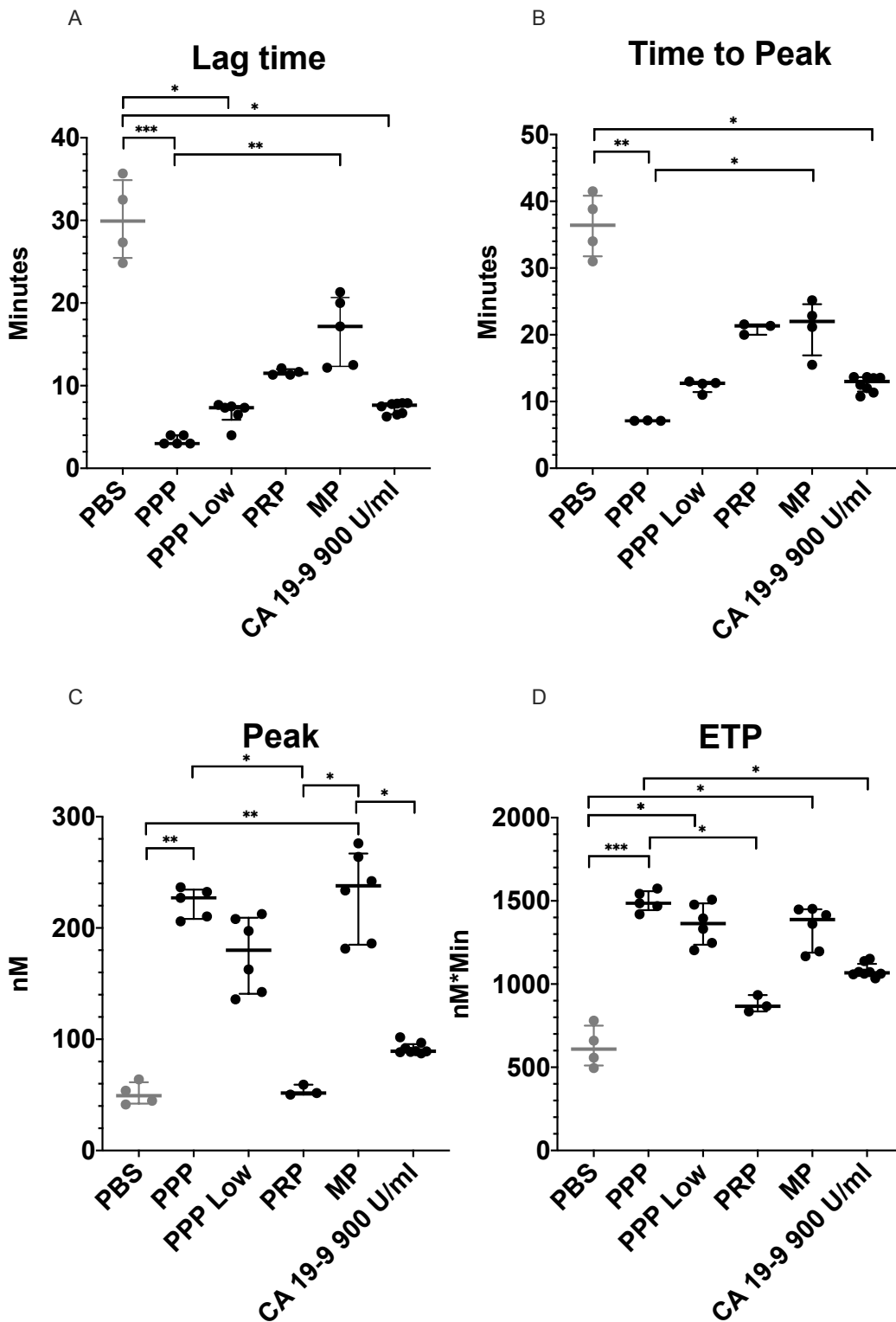
9



1

2 **Figure 3. Thrombin generation comparing CA 19-9 as an activator with different commercial activators.** A) Lag time, B) Time to  
 3 peak, C) Peak, D) ETP. CA 19-9 antigen MBS537105 from MyBioSource was used. The activator reagents introduced in the x-axis  
 4 were: PBS (n=6), PPP-Reagent (high concentration, 5 pM, of TF and 4 μM PL, n=5), PPP-Reagent LOW (low concentration, 1 pM, of  
 5 TF and 4 μM PL, n=6), PRP-Reagent (TF without PL, n=3), MP-Reagent (without any TF) and the CA 19-9 at 900 U/ml alone. The dots  
 6 represent the means of triplicate measurements, the line represents median and whiskers the interquartile range. Statistical  
 7 differences were analyzed using Kruskal-Wallis test with post-hoc Dunn analysis. ETP=endogenous thrombin potential,  
 8 MP=microparticle, PL=phospholipids, PPP=platelet-poor plasma, PRP=platelet rich plasma, SHP=standard human plasma, TF=tissue  
 9 factor. \*p<0.05, \*\*p<0.01, \*\*\* p<0.001

1 CA 19-9 preparation alone shortened lag time and TTP compared with PBS alone ( $p < 0.05$ ), but the  
2 CA 19-9 preparation and PBS did not differ in their ETP and peak TG values (Fig. 3A-D).  
3  
4 To further analyze the effect of CA 19-9 alone on TG and determine if the effect was  
5 concentration-dependent we compared TG in the presence of the CA 19-9 preparation without  
6 added TF at three concentrations (200, 900 and 9000 U/mL) or PBS alone (Fig. 4A-D). The CA 19-9  
7 preparation alone acted as an activator of TG in the CAT assay, and the effect was concentration-  
8 dependent (Fig. 4A-D). The lag time and TTP were shortest and peak the highest at the highest  
9 concentration of the CA 19-9 preparation tested (9000 U/mL) compared with CA 19-9 at 200 U/ml  
10 or PBS. There was no concentration-dependent relationship for the ETP.



1

2 **Figure 4. In vitro dose-dependent effect of CA 19-9 as an activator of thrombin generation without added TF in SHP.** A) Lag time,  
 3 B) Time to peak, C) Peak, D) ETP. CA 19-9 antigen MBS537105 from MyBioSource was used. SHP was used as the plasma. CA 19-9 at  
 4 different concentrations was used alone as the activator. The dose-dependent relationship of CA 19-9 on TG was analyzed. PBS  
 5 without added TF was used as a control. The dots represent the means of triplicate measurements, the line represents median and  
 6 whiskers the interquartile range. Statistical differences were analyzed using Kruskal-Wallis test with post-hoc Dunn analysis.  
 7 ETP=endogenous thrombin potential, PBS=phosphate buffered saline, SHP=standard human plasma, TF=tissue factor. \* $p < 0.05$ ,  
 8 \*\* $p < 0.01$ .

9

1

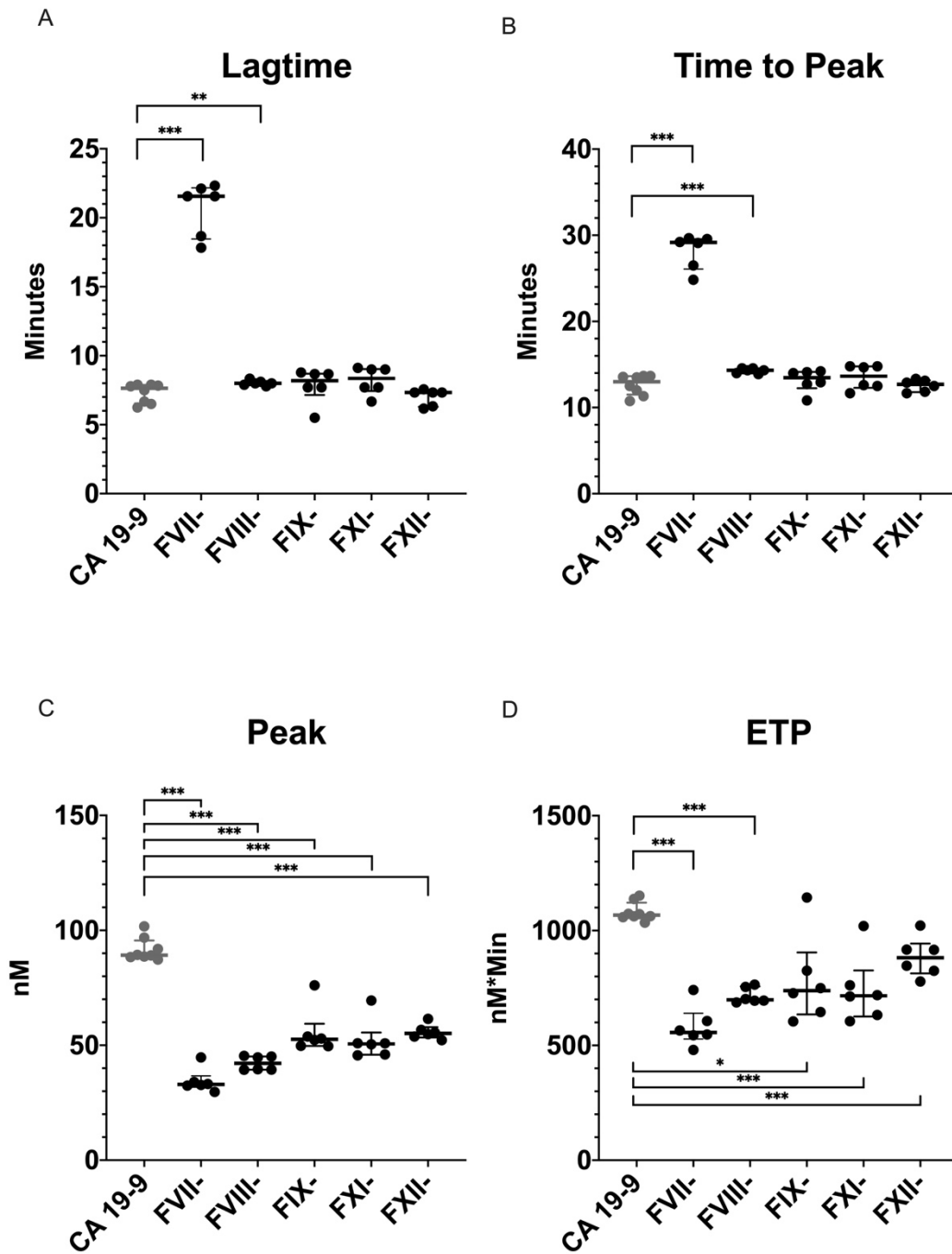
2 **Effect of the CA 19-9 preparation as an activator of thrombin generation in coagulation factor-**  
3 **deficient plasmas**

4 To better characterize the TG triggered by the CA 19-9 preparation, we studied five different  
5 coagulation factor (FVII, FVIII, FIX, FXI and FXII) deficient plasmas. To study the intrinsic activation  
6 route, we activated FIX, FXI and FXII deficient plasmas (Fig. 5 A-D) with the CA 19-9 preparation.

7

8 The peak TG and ETP values were lower in all three plasmas compared with SHP, without  
9 differences in the lag time or TTP. In FVIII-deficient plasma peak TG and ETP decreased, and both  
10 the lag time and TTP were prolonged compared to SHP. The most prominent difference, however,  
11 was observed in FVII-deficient plasma in which TG clearly diminished, depicted in all CAT  
12 parameters (Fig. 5 A-D).





1

2 **Figure 5. In vitro triggered thrombin generation with the CA 19-9 preparation alone (900 U/mL) in different coagulation factor**  
 3 **deficient plasmas. A) Lag time, B) Time to peak, C) Peak, D) ETP. CA 19-9 antigen MBS537105 from MyBioSource was used.**  
 4 **Coagulation factor (F) VII, VIII, IX, XI and XII plasmas were used. Dots are the means of triplicate measurements, the lines are**  
 5 **median and error bars are interquartile ranges. Each plasma was compared with SHP using Mann-Whitney U analysis.**  
 6 **ETP=endogenous thrombin potential, SHP=standard human plasma \*p<0.05, \*\*p<0.01, \*\*\* p<0.001**

7

8

1 **Effect of an anti-TF antibody, an anti-TFPI antibody and PLA2 on thrombin generation initiated**  
2 **by the CA 19-9 preparation**

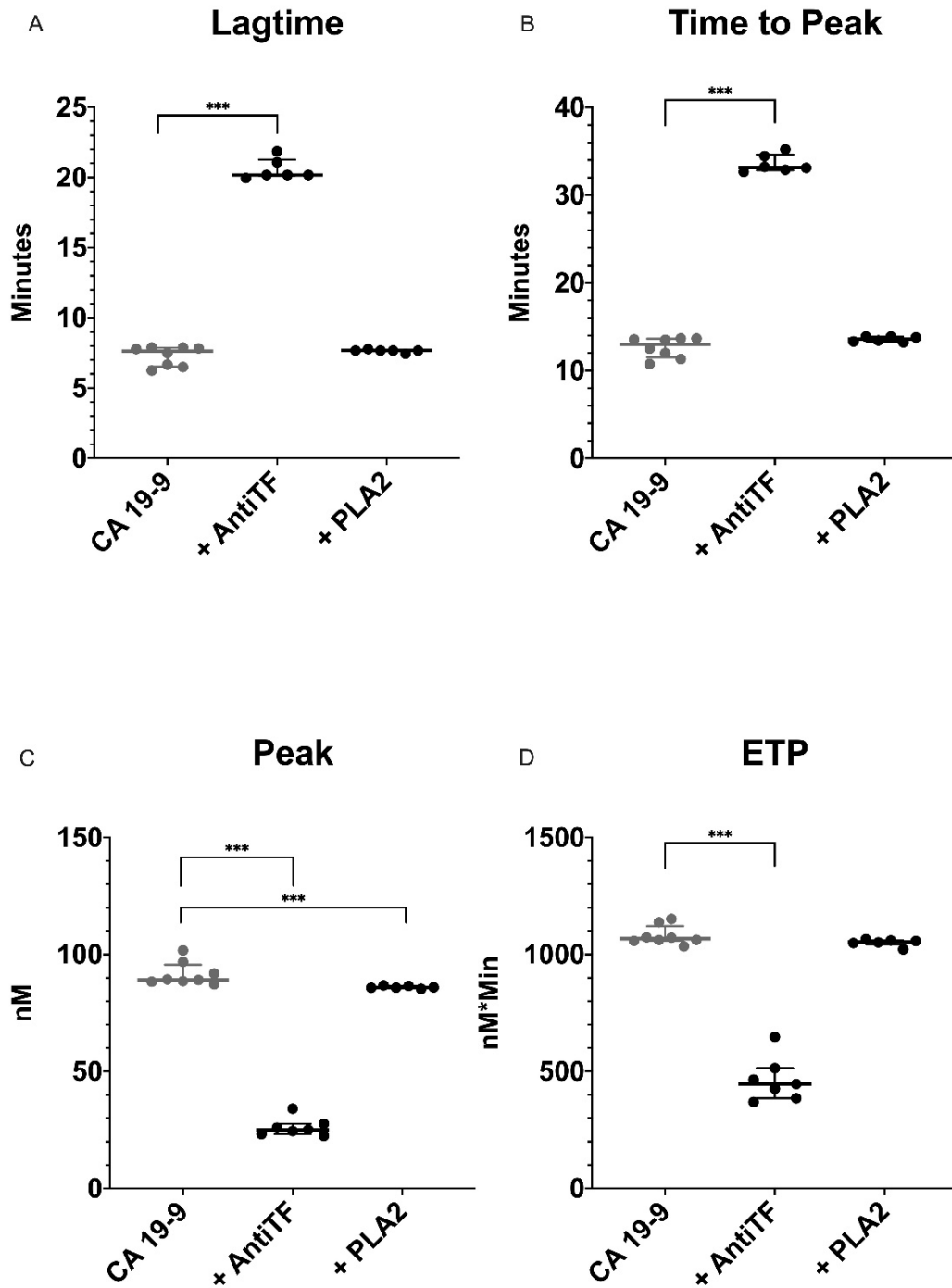
3 In contrast to the data with CA 19-9 in plasma from PDAC patients, the exogenous CA 19-9  
4 preparation shortened the lag time of the TG. Since TF is known to shorten the lag time of the  
5 TG<sup>36</sup>, we determined if the CA 19-9 preparation contained functional TF by treating the CA 19-9  
6 preparation with an anti-TF antibody prior to CAT analysis. The presence of the anti-TF antibody  
7 prolonged the lag time of TG and TTP, as well as decreased the peak TG and ETP triggered by the  
8 CA19-9 preparation (Fig. 6A-D,  $p < 0.001$ ). However, an anti-TFPI antibody did not influence the  
9 thrombin potential of CA 19-9 alone (data not shown).

10

11 To study if the CA 19-9 antigen carried cancer-cell derived phospholipids, which could enhance the  
12 coagulation potential, we treated the CA 19-9 antigen with PLA2 to degrade the phospholipids  
13 prior to the CAT analysis (Fig. 6A-D). Indeed, PLA2 at 0.1 U/mL decreased the peak TG ( $p < 0.001$ )  
14 but did not impact the other CAT variables, when CA 19-9 was used as the sole activator.

15

16



1

2 **Figure 6 Effect of anti-TF antibody (10 ug/mL) and phospholipase A2 (PLA2) (0.1 U/mL) on thrombin generation stimulated by**  
 3 **CA19-9 without added TF.** A) Lag time, B) Time to peak, C) Peak, D) ETP. CA 19-9 antigen MBS537105 from MyBioSource was used.  
 4 CA 19-9 was incubated with either anti-TF or PLA2 at room temperature for one hour and the effect on CA 19-9 induced TG was  
 5 analyzed by comparison with CA 19-9 alone. Each dot represents the mean of a triplicate measurement, the line represents median  
 6 and error bars interquartile ranges. Mann Whitney U test was used for statistical comparison. ETP=endogenous thrombin potential,  
 7 PLA2=phospholipase A2, TF=tissue factor, TG=thrombin generation. \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$

8

9

1 **DISCUSSION**

2 In this study, we analyzed the association between the tumor marker CA 19-9 and TG in  
3 treatment-naïve PDAC 1-3 days prior to surgery. A concentration-dependent effect of CA 19-9 on  
4 TG in plasma was observed in patient samples. Some commercial CA 19-9 preparations also  
5 generated thrombin, but this was due to functional TF contamination of the preparation rather  
6 that CA 19-9 itself.

7  
8 CA 19-9 has been shown to rise during disease progression<sup>37-39</sup>, and thus it is used routinely for the  
9 follow-up of PDAC. We chose the cut-off values for the CA 19-9 groups of the patients based on  
10 the previously reported predictive cut-off limits of CA 19-9 of approximately 200 U/mL pre- and  
11 postoperatively on advanced stage and 1000 U/mL on poor prognosis<sup>38</sup>, with the addition of the  
12 group who are proposed to be sialyl Lewis a negative as they do not secrete measurable amounts  
13 of CA 19-9. A clear dose-dependent effect of CA 19-9 on TG was observed *ex vivo* from patient  
14 samples, as patients with high CA 19-9 expressed more TG than those with low CA 19-9. This effect  
15 did not relate to disease burden, as the CA 19-9 levels did not differ between patients with  
16 resectable or non-resectable disease.

17  
18 A dose-dependent response on TG was also seen using a CA 19-9 preparation *in vitro*. However,  
19 the TG enhancing effect of the CA 19-9 preparation *in vitro* was inhibited in the presence of anti-TF  
20 antibody and in FVII-deficient plasma, suggesting that the CA 19-9 antigen contains functional TF.  
21 Novakovic and Gilbert recently found that the procoagulant effect of purified skeletal and cardiac  
22 muscle myosin appeared to be due to contaminating TF<sup>40</sup>. The fact that the CA 19-9 preparation  
23 contains TF confounds the interpretation of the results with these CA 19-9 preparations.

24

1 Tumor cells of solid tumors, especially adenocarcinomas such as PDAC, are known to release TF-  
2 positive EVs into the circulation<sup>41, 42</sup>. EV TF activity is associated with VTE in PDAC, but not in other  
3 forms of cancer, such as brain, colorectal, gastric and ovarian cancer<sup>9, 12, 13, 43, 44</sup>. Here we show  
4 that, in the absence of VTE, EV TF activity negatively correlated with lag time, which is consistent  
5 with the role of TF in the initiation phase of TG. EV TF has been linked with CA 19-9 as Thaler *et al*  
6 showed a strong correlation between EV TF and CA 19-9<sup>45</sup> and another study found a weak but  
7 significant correlation between CA 19-9 and EV TF activity ( $r=0.44$ ,  $P=0.00011$ ) (Dr. Woei-A-Jin  
8 personal communication). We did not observe a correlation between the CA 19-9 levels and EV TF  
9 activity in the patient plasmas. However, the above studies are not comparable with our study  
10 since we included only treatment-naïve patients, whereas the Thaler study included patients who  
11 had undergone chemo- and radiation therapies prior to inclusion.

12

13 Mucins, which may contain CA 19-9, can increase coagulation activity by activating platelets  
14 through selectins, especially P-selectin<sup>23, 46</sup>. Platelets possess heparinase activity, and it has been  
15 shown that increased heparinase activity promotes TF expression<sup>47</sup>. As mucins increase  
16 coagulation activity through platelets, this may provide a link between CA 19-9 and TF. When the  
17 CA 19-9 preparation alone was analyzed in TG, PLs within the CA 19-9 preparation were involved  
18 in enhancing the thrombin peak because PLA2 reduced the peak triggered with the CA 19-9  
19 preparation alone. In the *ex vivo* patient analysis, however, we used PPP, largely eliminating the  
20 role of platelets, and thus the TG potential of CA 19-9 suggests additional plasma-derived  
21 thrombin inducing properties. However, our plasma was centrifuged only once, which may allow  
22 residual platelets or their remnants to be left in the plasma and confound the PL-dependent TG.

23

24

1 The only variables correlating with the peak TG and ETP were CA 19-9 and antithrombin. The fact  
2 that antithrombin levels had a negative correlation with both peak TG and ETP, as well as a  
3 positive correlation with lag time and TTP may be expected but emphasizes the importance of the  
4 natural anticoagulant antithrombin to TG. FVIII is observed to increase in PDAC and especially in  
5 metastasized disease<sup>15, 17, 48</sup>. This was also evident in the patients included in this study, as the  
6 median FVIII levels were above the reference value in all CA 19-9 groups. The levels of FVIII and  
7 fibrinogen correlated with the initiation phase of TG, however, they did not correlate with the CA  
8 19-9 levels. Elevated FVIII levels have been linked to increased coagulation activity in PDAC in  
9 various states of chemotherapy and other cancer treatments, similar to D-dimer, and also to VTE<sup>16,</sup>  
10 <sup>49-53</sup>.

11  
12 Increased levels of CA 19-9 have also been reported to be associated with VTE. Peippo *et al*  
13 reported that the exponential increase of CA 19-9 was associated with developing VTE<sup>54</sup>, and Woei  
14 *et al* reported that patients with VTE have higher CA 19-9 levels than those without<sup>14</sup>. Faille *et al*  
15 also reported an association between higher levels of CA 19-9 and VTE occurrence<sup>55</sup>. We collected  
16 the blood samples prior to any interventions and the absence of symptomatic VTE. The time of  
17 blood collection in relation to the timing of diagnosis in the Faille study was not defined. Frere *et*  
18 *al*, on the other hand, investigated the role of biomarkers on VTE and did not find an association  
19 between CA 19-9 and VTE in PDAC<sup>6</sup>. However, in their study the CA 19-9 levels were measured  
20 when patients were included in the study and not before the VTE diagnosis, possibly allowing the  
21 association to diminish. Although we did not investigate VTE, our data showing an association  
22 between CA 19-9 and TG support the notion that higher levels of CA 19-9 may increase the risk of  
23 VTE in PDAC patients.

24

1 Ay *et al.* showed that increased TG is a risk factor for VTE in cancer patients<sup>56</sup>. Hence, our findings,  
2 along with the previous studies on CA 19-9 and VTE<sup>14, 54, 55</sup> propose that CA 19-9 values gathered  
3 from PDAC patients during their follow-up could be used as a marker for increased thrombotic  
4 activity and thus perhaps thrombotic risk integrated to the established risk scores, such as the  
5 Khorana score<sup>57</sup>. However, as our patients were treatment naïve, we would like to point out that  
6 PDAC itself, without clinical confounders of chemotherapy or recent surgery, is associated with TF-  
7 related coagulation activity. The patients were devoid of signs of VTE prior to the cancer surgery or  
8 3 months afterwards, of which time the first month was covered with thromboprophylactic low-  
9 molecular weight heparin.

10

11 A limitation of our study is the inherent variability of the CAT assay. We observed a CV of around  
12 20% for all measurements. We minimized this variability by performing measurements in duplicate  
13 and triplicate. This variability may be due, in part, to the contribution of unknown amounts of EVs  
14 in the samples, as the centrifugation steps of the patient plasma handling followed the routine  
15 clinical chemistry practice and were not performed according to the guidance of EV studies<sup>58</sup>.  
16 Another limitation was the small patient numbers in each group after dividing the patients into  
17 groups according to their CA 19-9 levels. Also, in patient samples, multiple other confounding  
18 factors, such as medications and comorbidities, may influence TG. To minimize the confounding  
19 effects, these PDAC patients were all treatment-naïve. Indeed, the samples were collected in a  
20 standardized fashion a couple of days before the operation without overt thrombosis or influence  
21 of anticoagulants, previous radiation or chemotherapy, providing strength to our study.

22

23 In conclusion, CA 19-9 levels were concentration-dependently associated with increased TG in  
24 blood samples obtained from treatment-naïve PDAC patients a couple of days prior to surgery.

1 Further studies are warranted to assess if CA 19-9 could be used as a biomarker to identify PDAC  
2 patients at greater risk of cancer progression via TG, and perhaps benefit from targeted  
3 anticoagulant treatments. Interestingly, we found that some commercial CA 19-9 preparations  
4 contained functional TF.

5

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12

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