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2022-04-01

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Abstract

We report 491 new near-infrared spectroscopic measurements of 420 near-Earth objects (NEOs) collected on the NASA InfraRed Telescope Facility as part of the MIT-Hawaii NEO Spectroscopic Survey. These measurements were combined with previously published data from Binzel et al. and bias-corrected to derive the intrinsic compositional distribution of the overall NEO population, as well as of subpopulations coming from various escape routes (ERs) in the asteroid belt and beyond. The resulting distributions reflect well the overall compositional gradient of the asteroid belt, with decreasing fractions of silicate-rich (S- and Q-type) bodies and increasing fractions of carbonaceous (B-, C-, D- and P-type) bodies as a function of increasing ER distance from the Sun. The close compositional match between NEOs and their predicted source populations validates dynamical models used to identify ERs and argues against any strong composition change with size in the asteroid belt between ∼5 km and ∼100 m. A notable exception comes from the overabundance of D-type NEOs from the 5:2J and, to a lesser extend, the 3:1J and ν_6 ERs, hinting at the presence of a large population of small D-type asteroids in the main belt. Alternatively, this excess may indicate preferential spectral evolution from D-type surfaces to C and P types as a consequence of space weathering, or point to the fact that D-type objects fragment more often than other spectral types in the NEO space. No further evidence for the existence of collisional families in the main belt, below the detection limit of current main-belt surveys, was found in this work.

Unified Astronomy Thesaurus concepts: Spectroscopy (1558); Asteroid surfaces (2209); Near-Earth objects (1092); Main belt asteroids (2036)

Supporting material: figure set, machine-readable table

1. Introduction

Owing to its close proximity to Earth, the population of near-Earth objects (NEOs) represents both a threat to civilization by its potential for catastrophic impacts and attractive targets for space exploration and resource utilization. Forming the bridge between meteorites found on Earth and the parent populations of planetesimals from which these objects originated, NEOs are also highly valuable from a purely scientific point of view. Matching them to meteorites and escape regions (or escape routes, ERs) in the asteroid belt and beyond will ultimately provide a better understanding of the original chemical and thermal gradient of the young solar system.

Linking NEOs to their ERs first requires the use of dynamical numerical codes simulating the evolution of asteroid orbits from

the main belt onto near-Earth orbits. The mechanism by which a small asteroid enters the NEO space starts with its migration in the asteroid belt due to the Yarkovsky effect (Bottke et al. 2006; Vokrouhlicky et al. 2015; Granvik et al. 2017), according to which uneven diurnal heating and cooling of a small ($D < 40$ km) body's surface produces a drift of its orbit. When the object reaches a major secular or mean motion resonance (MMR) with Jupiter or Saturn, its orbital eccentricity quickly increases until the object is scattered out from the belt (Wetherill 1979; Wisdom 1983), possibly onto an Earth-crossing orbit, where it will remain for typical timescales of a few million years (Bottke et al. 2002; Morbidelli et al. 2002; Granvik et al. 2018).

From a dynamical perspective, the secular ν_6 resonance delimiting the inner edge of the main belt and the 3:1 MMR with Jupiter, separating the inner from the middle belt, were found to be the most effective pathways to NEO space (Bottke et al. 2000; Morbidelli et al. 2002). This was confirmed by observations of spectral similarities between NEOs and main-belt asteroids (MBAs) populating the inner belt (e.g., Vernazza et al. 2008;

Carry et al. 2016). However, other ERs, including outer main-belt resonances and the Jupiter-family comets (JFCs), also provide nonnegligible contributions to the total NEO population (Fernández et al. 2001; Bottke et al. 2002; Morbidelli et al. 2002; Fernández et al. 2005; DeMeo & Binzel 2008).

Surface characterization is another key component for advancing knowledge about the origins of NEOs and, therefore, meteorites. Large-scale surveys conducted in visible and infrared wavelengths provide today a great view on the compositional distribution of NEOs (Ivezić et al. 2001; Binzel et al. 2004; Mainzer et al. 2011; DeMeo & Carry 2013, 2014; Carry et al. 2016; Perna et al. 2018; Binzel et al. 2019; Devogèle et al. 2019; Sergeyev & Carry 2021) and inform us about the physical processes acting on NEO surfaces (Chapman 1996; Sasaki et al. 2001; Binzel et al. 2004; Chapman 2004; Moroz et al. 2004; Brunetto & Strazzulla 2005; Nesvorný et al. 2005; Strazzulla et al. 2005; Brunetto et al. 2006; Lazzarin et al. 2006; Marchi et al. 2006; Noble et al. 2007; Vernazza et al. 2009; Binzel et al. 2010; Nesvorný et al. 2010; Nakamura et al. 2011; Noguchi et al. 2011; Brunetto et al. 2014; Delbo et al. 2014; DeMeo et al. 2014; Polishook et al. 2014; Binzel et al. 2015; Lantz et al. 2017; Graves et al. 2018, 2019). In that context, the MIT-Hawaii Near-Earth Object Spectroscopic Survey (MITHNEOS) has been acquiring near-infrared (NIR) spectroscopic observations of NEOs on the NASA Infrared Telescope Facility (IRTF) for nearly two decades. Recent release of several hundred spectra acquired up until 2014 December highlighted, among other results, the spectral diversity of NEOs, their lack of compositional change over two orders of magnitude in size (10–100 m), and their preferred ERs as a function of compositional classes (Binzel et al. 2019). Several problems, however, currently persist in our comprehension of the NEO population, including the current underrepresentation of carbonaceous (C-type) NEOs compared to the inner belt (Binzel et al. 2015, 2019).

In this paper, we focus on the spectral characterization of NEOs using measurements from MITHNEOS. This work extends the work performed by Binzel et al. (2019) by bias-correcting the sample and including 491 additional spectra of 420 individual NEOs that have been collected in the frame of this program after 2014 but not yet published. Armed with this new data set, we compare the compositional distribution of NEOs to their predicted source populations in the asteroid belt to (1) assess the validity of numerical codes used to investigate the origins and evolution of NEOs; (2) investigate compositional change with size in the asteroid belt, below the current characterization limit of main-belt surveys; and (3) search for compositional differences that may provide new insight into the mechanisms responsible for the delivery of extraterrestrial material to Earth.

Our paper is organized as follows: In Section 2, we present our observing strategy and the taxonomic classification of our new spectra. In Section 3, we present the method used to debias our data set. In Section 4, we present the debiased NEO population and compare it to the main asteroid belt. Finally, we summarize our work in Section 5.

2. Data Acquisition and Classification

2.1. Observations

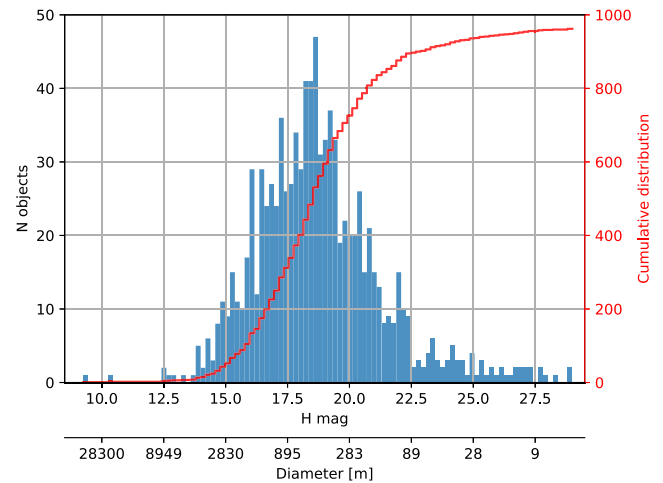We present 491 new NIR spectra of 420 NEOs mainly between 100 m and 3 km in diameter (Figure 1). These observations were

Figure 1. Histogram of absolute (H) magnitude and diameter of the MITHNEOS data set of 976 NEOs ($n_{bin} = 100$). H magnitude values were converted to diameters using the measured average albedo $p_v = 0.22$ of the data set. Note the small-size (large-H) end tail of the distribution: this reflects the effort made to observe as many small NEOs as possible in the frame of the survey.

collected for the most part between 2015 January and 2021 February through the MITHNEOS program, with the exception of 10 spectra acquired earlier (between 2003 and 2013), but inadvertently never published before. Combined with our previously published spectra (Binzel et al. 2019), this leads to a data set of NIR spectral measurements for a total of 976 NEOs (many with multiple measurements available) that we analyze in this work.

Spectroscopic observations were conducted with the 3 m IRTF located on Maunakea, Hawaii. We used the SpeX NIR spectrograph (Rayner et al. 2003) combined with a $0''\!.8 \times 15''$ slit in the low-resolution prism mode to measure the spectra over the 0.7–2.5 μm wavelength range. Series of spectral images with 120 s exposure time were recorded in an AB beam pattern to allow efficient removal of the sky background by subtracting pairs of AB images. Asteroid observations were alternated with measurements of calibration stars known to be very close spectral analogs to the Sun: Hyades 64 and Landolt's (1983) stars 93-101, 98-978, 102-1081, 105-56, 107-684, 107-998, 110-361, 112-1333, 113-276, and 115-271. We typically observe three different stars each night to be able to identify possible outlier measurements and to mitigate spectral variability across the observations by computing a mean stellar spectrum from the three measurements. An in-depth analysis of these calibration stars is provided in Marsset et al. (2020).

Data reduction and spectral extraction followed the procedure outlined in Binzel et al. (2019). We summarize it briefly here. Reduction of the spectral images was performed with the Image Reduction and Analysis Facility and Interactive Data Language, using the Autospex software tool to automatically write sets of command files (Rivkin et al. 2005). Reduction steps for the science targets and their corresponding calibration stars included trimming the images, creating a bad pixel map, flat-fielding the images, sky subtracting between AB image pairs, tracing the spectra in both the wavelength and spatial dimensions, co-adding the spectral images, extracting the spectra, performing wavelength calibration, and correcting for air-mass differences between the asteroids and the corresponding solar analogs. Finally, the resulting asteroid spectra were divided by the mean stellar spectra to remove the solar gradient.

All reduced spectra collected through MITHNEOS and information about their observing conditions are made rapidly available to the community by being posted online at http:// smass.mit.edu/minus.html. Thumbnail figures of the new spectral data set are presented in Appendix A (the complete figure set is available only in the online journal). The corresponding list of spectra, including results of our spectral analysis, information about the NEOs's physical and orbital properties, observing conditions, and ER probabilities (Section 3.3), is provided in Table 7 in Appendix D.

2.2. Taxonomic Assignment

Taxonomic classification of our new data set was performed as follows. For any spectra for which a thermal tail is detected, a correction to remove the thermal component was applied before classification as described in Section 2.3. Spectra were then run through the Bus−DeMeo principal component analysis (PCA) online classification tool (DeMeo et al. 2009) developed by Stephen M. Slivan and publicly available at http://smass.mit.edu/busdemeoclass.html. We refer the readers to Tholen (1984), Tholen & Barucci (1989), and Bus (1999) for explanations of the PCA method applied to asteroid spectroscopy.

Spectra that have both visible and NIR data available are typically assigned a unique class through this method. Spectra with only NIR data, which includes the majority of our data, are typically assigned multiple possible classes through the tool, and the data either are degenerate (cannot be assigned a unique class because insufficient wavelength coverage exists) or require visual inspection to identify features that indicate the class. Spectra in the S complex (S, Sq, Sr, Sv, and Q types) that are not assigned a unique class with the online tool were then classified according to their 1 μm band spectral properties using the DeMeo et al. (2014) decision tree (see their Figure 3).

The classes of the C and X complexes (B, C, Cb, Cg, Cgh, Ch, X, Xc, Xe, Xk, and Xn types) are generally distinguished with features at visible wavelength ranges, so spectra with only NIR data are assigned "C,X" in most cases. Three exceptions are Xn and Xk types that display a 0.9 μm feature and C types that have a clear broad feature centered near ∼1.3. Additional slope criteria were also used to help distinguish classes. "C,X" spectra with negative spectral slopes were assigned a B type, and those with an NIR slope greater than or equal to 0.20 and 0.38 μm^{-1} were assigned an X type and D type, respectively.

A final visual inspection was performed for all spectra to ensure that classifications were sensible. As part of the visual inspection process, we append the notation ":" to the spectral class to indicate uncertainty when a spectrum does not clearly, unambiguously fall within that class. Objects with uncertain taxonomic assignment due to a low signal-to-noise ratio (S/N) are marked with the "::" notation. Some spectra were assigned the value "U" and are considered Unusual and Unclassified, as they do not acceptably fall within any defined class. These objects include 143992, 302830, 453707, and 2012 TM$_{139}$. Spectra marked with "::" or classified as "U" were not used in our analysis. Final classifications are provided in Table 7 in Appendix D.

This new classified data set was then combined with previously classified spectra from MITHNEOS (Binzel et al. 2019). In the case where an asteroid was observed multiple times and had distinct taxonomic types assigned to its spectra, the most frequently assigned taxonomic type was selected for that asteroid (for instance, if an asteroid was observed three times and two of its spectra were classified as Sq, whereas the third one was classified as Sw, then the final classification was Sq). If an asteroid had an equal number of two different taxonomic types assigned to its spectra, then the spectrum with the highest S/N was used for final classification.

2.3. Thermal Correction

At Earth's distance from the Sun, an atmosphere-less body with a strongly absorbing surface (low albedo) can reach an equilibrium temperature of ∼300 K, i.e., high enough to emit detectable amounts of thermal flux at NIR wavelengths (e.g., Lebofsky & Spencer 1989; Abell 2003; Rivkin et al. 2005; Reddy et al. 2009, 2012; Binzel et al. 2019). We report here the detection of 17 new objects with an excess NIR thermal flux in our data set. In order to remove thermal excess prior to taxonomic assignment, we applied the near-Earth asteroid thermal model (NEATM) developed by Harris (1998) using a model by Volquardsen et al. (2007) and implemented by Moskovitz et al. (2017) as described in Binzel et al. (2019). We adopt the same fixed parameter values of those works: emissivity of 0.9, slope parameter $G = 0.15$, and thermal infrared beaming parameter η according to the equation in Masiero et al. (2011) based on phase angle at the time of observation. Appendix B provides the η value used in the model and resulting albedo.

3. Compositional Distribution Debiasing

3.1. Method

Our aim is to derive the bias-corrected compositional distribution of the overall NEO population, as well as of populations coming from individual ERs in the asteroid belt and beyond. A main bias affecting surveys of small bodies in the solar system is the one that favors the discovery and characterization of bright objects. When observed at visible and NIR wavelengths, for similar sizes, high-albedo asteroids reflect more light from the Sun and therefore can be more easily discovered and characterized than the low-albedo ones. This leads to an observational preference for classes of high-albedo bodies such as the A, Q, S, E, M, and V types compared to classes of dark objects such as the C, P, and D types.

In order to quantify this effect, let us consider a population of NEOs with albedos between p_v and $p_v + dp_v$, sizes between D and $D + dD$, and heliocentric distances between r and $r + dr$. At a given location on the sky, the number of objects is given by

$$n(r, D, p_v) = K f(D) g(r) h(p_v) dp_v \, dr \, dD, \quad (1)$$

where $f(D)$ is the size distribution of the NEO population, $g(r)$ its radial distribution, $h(p_v)$ its albedo distribution, and K a normalization constant to obtain the desired number density unit (e.g., number of objects per square degree).

Ignoring phase effects, the apparent magnitude of an object relates to its diameter D and albedo p_v in the following way:

$$m = C - 5 \log_{10}(D) - 2.5 \log_{10}(p_v) + 5 \log(r\Delta), \quad (2)$$

where Δ is the geocentric range of the object and C is a constant that defines the absolute magnitude system.

Writing the size D in terms of absolute magnitude m and its derivative with respect to m using Equation (2), and assuming that the size distribution of the population can be approximated by a power law of the form $f(D) = AD^{-\alpha}$, where A is a

normalization constant and α is the power-law slope, Equation (1) can be rewritten in terms of p_v and m as

$$n(r, D, p_v) = \frac{-\ln(10)KA}{5} h(p_v) p_v^{\frac{\alpha-1}{2}}$$
$$\times g(r) r^{1-\alpha} \Delta^{1-\alpha} 10^{\frac{(1-\alpha)(C-m)}{5}} dp_v\, dm\, dr. \quad (3)$$

For a homogeneous population of NEOs named "x" all sharing the same albedo (meaning that $h_x(p_v) = \delta(p_{v,x} - p_v)$, where δ is the Dirac delta function) and with distances between $r_0 \leqslant r \leqslant r_1$, the number of objects with magnitudes between m_0 and m_1 is

$$N_x = \frac{-\ln(10)K_x A}{5} p_{v,x}^{\frac{\alpha-1}{2}} \int_{r_0}^{r_1} \int_{m_0}^{m_1}$$
$$\times g(r) r^{1-\alpha} \Delta^{1-\alpha} 10^{\frac{(1-\alpha)(C-m)}{5}} dm\, dr. \quad (4)$$

Therefore, the number ratio $R_{\rm obs}$ of observed objects from two distinct subpopulations ("x" and "y") with similar size distributions and radial distributions but distinct albedos and overall number densities would be

$$R_{\rm obs}(<m) = \frac{N_{\rm obs,x}}{N_{\rm obs,y}}(<m) = \frac{K_x}{K_y}(<m) \times \left(\frac{p_{v,x}}{p_{v,y}}\right)^{2.5\beta}, \quad (5)$$

where we substituted $\beta = \frac{\alpha-1}{5}$, and where $\frac{K_x}{K_y}(<m) = R_{\rm true}(<m)$ represents the true *intrinsic* magnitude-limited ratio of objects from the two subpopulations of NEOs.

The albedo bias affecting the detected population of objects can therefore be accounted for by using an estimate of the average albedo of the taxonomic classes and knowledge about the power-law slope β of the absolute H magnitude–frequency distribution (HFD) of the population. This method was previously used, e.g., by Stuart & Binzel (2004) for the NEO population and by Marsset et al. (2019) and Schwamb et al. (2019) in the case of trans-Neptunian objects.

Two main improvements are made here compared to the work of Stuart & Binzel (2004). First, we take advantage of the increased number of spectroscopic (this work) and albedo (e.g., Mainzer et al. 2011) measurements to better constrain the average albedo of each taxonomic class of NEOs. Second, we make use of the numerical model of Granvik et al. (2018) to probabilistically link each object in our data set to specific ERs in the asteroid belt, thereby allowing a compositional investigation of individual ERs.

We stress that Equation (5) was derived under a number of simplifying assumptions and ignoring physical effects, such as differential phase darkening (e.g., Luu & Jewitt 1989; Sanchez et al. 2012; Perna et al. 2018; Mahlke et al. 2021), that could further bias our sample. Additional biases inherent to targeted small body surveys are discussed by Devogèle et al. (2019, see their Section 5). Despite ignoring these effects, we show in Section 4.2 that our debiasing technique allows us to account for most of the composition difference between NEOs and their predicted ERs in the asteroid belt, confirming that albedo variation is the dominant surface property affecting NEO discovery and characterization surveys.

3.2. Albedos

The first set of observables needed to debias the compositional distribution of NEOs by use of Equation (5) is the set of albedos of the different classes of objects in our data set. Albedo measurements for these objects were retrieved from the

Table 1
Median Albedo of Taxonomic Classes for NEOs and MBAs

Taxon	NEOs (MITHNEOS)		MBAs (DeMeo & Carry 2013)	
	p_v	$N_{\rm obj}$[a]	p_v	$N_{\rm obj}$[a]
A	⋯	2	0.26 ± 0.05	33
B	$0.09^{+0.05}_{-0.04}$	16	$0.06^{+0.02}_{-0.01}$	866
C	$0.04^{+0.04}_{-0.01}$	13	$0.06^{+0.03}_{-0.02}$	5018
D	$0.04^{+0.03}_{-0.02}$	9	$0.08^{+0.03}_{-0.02}$	428
Q	$0.25^{+0.07}_{-0.04}$	70	$0.23^{+0.06}_{-0.05}$	46
S	$0.24^{+0.04}_{-0.05}$	256	0.25 ± 0.05	6697
K	⋯	4	0.16 ± 0.05	902
L	0.12 ± 0.04	17	$0.17^{+0.04}_{-0.05}$	692
V	$0.34^{+0.08}_{-0.07}$	16	0.35 ± 0.06	718
E	$0.55^{+0.07}_{-0.12}$	23	$0.51^{+0.11}_{-0.18}$	43
M	0.15 ± 0.03	29	0.14 ± 0.03	770
P	0.03 ± 0.01	26	0.05 ± 0.01	752

Note.
[a] Number of objects used to compute the median albedo value of the taxonomic class.

following catalogs and papers: Delbo et al. (2003), IRAS (Ryan & Woodward 2010), ExploreNEOs (Trilling et al. 2010), AKARI (Usui et al. 2011), NEOWISE (Mainzer et al. 2011; Nugent et al. 2016; Masiero et al. 2017, 2018), and NEOSurvey (Trilling et al. 2016). For each object, an average value was calculated whenever multiple measurements were available, weighting by the inverse of the squared uncertainty on the measurements.

Our classified spectra (Section 2.2) were then grouped into a broad range of taxonomic classes, A, B, C, D, Q, S, K, L, V, and X types, and a median albedo was calculated for each class. The X complex was further subdivided into E, M, and P types (Tholen 1984) based on albedo values, whenever available. Specifically, an object was classified as P type if $p_v \leqslant 7.5\%$, M type if $7.5\% < p_v \leqslant 30\%$, and E type if $p_v > 30\%$. X-complex objects without any albedo measurement available were attributed a P type or an M type randomly, weighting the probabilities of the spectral type assignment by the P-to-M ratio measured in the asteroid belt (DeMeo & Carry 2013). Median albedos of the taxonomic classes are reported in Table 1. Asymmetric uncertainties were calculated by 1σ clipping the distribution of albedo values in each class and then measuring the one-sided rms of the remaining distribution in both directions. Due to the low number of albedo measurements, no reliable uncertainties could be measured for the A and K classes.

Because the number of NEOs with measured albedos was low for some taxonomic classes in our data set, we further used the WISE catalog of MBAs (Masiero et al. 2011) to derive albedo values for the subsample of taxonomically classified MBAs from the photometric Sloan Digital Sky Survey (SDSS; DeMeo & Carry 2013). This data set provides one to two orders of magnitude more classified objects than our NEO data set, with the exception of the class of spectrally "fresh" Q-type asteroids more commonly found among NEOs (e.g., Binzel et al. 2010). Again, the derived median albedo of each class and its associated uncertainties are reported in Table 1. The values are globally consistent with the ones measured for the NEO sample. We note, however, that aside from the B types,

carbonaceous bodies (C, P, and D types) have lower albedos in the NEO population than in the asteroid belt. This could be due to a change in the optical properties of these bodies as they get closer to the Sun, to NEO observations being performed at higher phase angles on average (Perna et al. 2018), or simply to a sample size effect. While we acknowledge that asteroid surfaces can be spectrally altered as they evolve in the NEO space, our analysis uses the taxonomic albedo values derived from the main-belt data set, which we consider more reliable because they are based on a much larger number of measurements.

3.3. Escape Regions and H Magnitude Distributions

The second set of parameters needed to debias our sample are the power-law indices β of the NEO H magnitude distribution, which are both ER dependent and magnitude dependent. We retrieved these parameters for the seven ERs explored by Granvik et al. (2018), namely (by order of increasing distance to the Sun):

1. the Hungarias[12] (Hun)—a group of high-inclination ($i \sim 20°$) asteroids isolated at the innermost edge of the main belt ($a < 2.0$ au) by the 4:1 MMR of Jupiter;
2. the ν_6 complex, which delimits the inner edge of the main belt;
3. the Phocaeas (Pho)—another group of high-inclination ($i \sim 25°$) bodies isolated inside of the 3:1 MMR ($a < 2.5$ au);
4. the 3:1, 5:2, and 2:1 complexes of MMRs near 2.5, 2.8, and 3.3 au, respectively;
5. the JFCs.

Note that in the Granvik model, the ν_6, 3:1, 5:2, and 2:1 complexes each regroup several resonances. For instance, the ν_6 complex includes the ν_6 secular resonance, as well as the 4:1 and 7:2 MMRs with Jupiter.

β parameters were retrieved by fitting the HFDs of each ER by a single power-law function over two absolute magnitude ranges, $H < 19$ and $19 \leqslant H < 23$, and measuring the slope of the fitting functions. These magnitude ranges were chosen because of (1) the range of magnitudes of the MITHNEOS objects ($\sim$90% fall within $15 < H < 23$) and (2) the slope break of the NEO H magnitude distribution near $H = 19$ (Granvik et al. 2018).

Each NEO in our data set was then linked to a probability distribution function of ERs (and therefore of H magnitude distributions) by use of the model of Granvik et al. (2018). Specifically, given the orbital elements (a, e, i) and H magnitude of the object as input, we computed its probability distribution function, comprising seven discrete values for each of the seven regions. ER probabilities are provided for each new object in our data set as part of Table 7 in Appendix D. The fractional abundances of a given taxonomic group of NEOs in the seven ERs were then obtained by simply dividing its summed ER probabilities by the summed probabilities of the complete set of NEOs.

One object in our data set, (3552) Don Quixote, has a semimajor axis of $a = 4.26$ au falling slightly beyond the range of distances in the model of Granvik et al. (2018), which has an outer boundary at 4.2 au. ER probabilities for this object were

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[12] To be distinguished from the Hungaria *family*, a collisional family of E-type bodies included in the larger Hungaria *group*.

Table 2
Slope of the H Magnitude Distributions of NEOs Coming from Each Source Region

Source Region	Power-law Index β	
	$H < 19$	$19 < H < 23$
ν_6	0.469	0.281
3:1J	0.332	0.416
Hun	0.074	0.382
Pho	0.239	0.054
5:2J	0.399	0.138
2:1J	0.552	0.050
JFC	0.125	0.348

derived by changing its semimajor axis from 4.26 to 4.19 au, assuming that the probabilities do not vary significantly between neighboring cells of the model.

Our sample also includes three active comets. Two of these objects, 162P/Siding Spring (2004 TU12) and 169P/NEAT (2002 EX12), have orbital properties fully consistent with those of JFCs, while the third one, P/2006 HR30 (Siding Spring), belongs to the family of Halley-type comets. Due to its uniqueness in our data set, P/2006 HR30 was not considered in the rest of our analysis.

For each ER, the compositional distribution was derived separately for $H < 19$ and $H > 19$, and each magnitude range was debiased independently by use of Equation (5), albedo values from Table 1, and β slope values from Table 2. The debiased fractional abundances from the two magnitude ranges were then summed, weighted by the number of observed objects in each range, and renormalized to obtain the final bias-corrected compositional distribution of the ER. The resulting debiased population is presented and compared to the observed population in Section 4.1.

3.4. Main-belt Comparison Data Set

In an attempt to validate NEO migration models, the main question we aim to address is the following: does the compositional distribution of NEOs match their predicted dynamical source populations in the asteroid belt? The answer will also help us investigate composition change with size in the asteroid belt.

In order to obtain representative samples of the source populations feeding each ER in the main belt, we used the spectrally classified SDSS data set of DeMeo & Carry (2013). The first step consisted of reexamining the size completeness limit of this data set as a function of heliocentric distance and spectral class. To do so, it is a common practice to fit the size–frequency distribution (SFD) of the data set by a single power law and then by measuring the size at which the SFD deviates from the power law. The issue with this method stems from the fact that distinct composition classes with distinct albedos necessarily have distinct size completeness limits. The completeness limit of a compositionally mixed population will necessarily lead to an underestimation of the number of dark bodies in the population and an overestimation of the number of bright objects.

This effect is illustrated in Figure 2, where we compared the SFD of the taxonomically classified data set of DeMeo & Carry (2013) to the SFDs of its dark (B-, C-, P-, and D-type asteroids) and bright (A, S, Q, K, L, V, E, and M types) subcomponents. It is clear from this figure that the dark subpopulation deviates
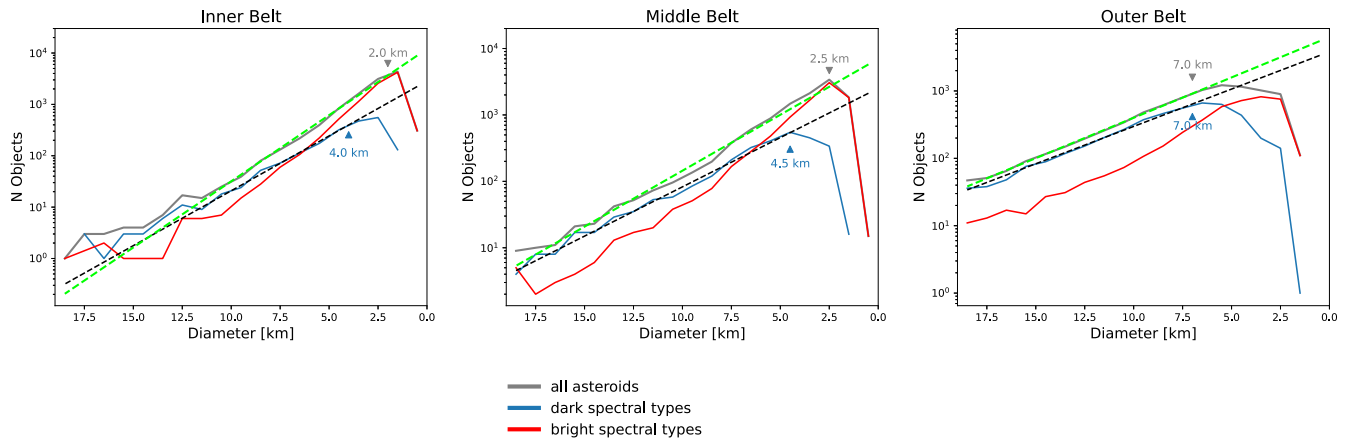
Figure 2. Number of MBAs selected by DeMeo & Carry (2013) from the SDSS moving object catalog, plotted as a function of asteroid diameter (bin size of 0.5 km) and region of the belt (left: inner belt; middle: middle belt; right: outer belt). The completeness limit of three regions is determined by fitting a power-law function to the size distribution and measuring the size at which the distribution diverges from the power law. The fitting power-law functions to the full population and to the subset of dark spectral classes are represented by the dashed green and black lines, respectively. The fitting function to the subset of bright classes is not shown for better readability. Deriving size completeness limits using the full, compositionally mixed population or using the bright subset leads to an artificially biased distribution against dark objects (Section 3.4). Therefore, we only use the dark subset and derive size completeness limits of 4.0 km for the inner belt, 4.5 km for the middle belt, and 7.0 km for the outer belt.

from the fitted single power-law function at a slightly larger diameter than the overall population, and an even larger diameter compared to the bright subpopulation. For instance, in the inner belt, the dark classes deviate at a diameter of 4 km, whereas the overall population deviates near a diameter of 2 km. While this difference might seem small, it triggers important implications for the resulting composition of the size-limited sample owing to the steep SFD of the asteroid belt. This steep distribution implies that most objects in a size-limited sample are close to the lower-size boundary and therefore to the potentially incorrect completeness limit.

To mitigate this bias, we adopted a conservative approach consisting of deriving the size completeness limit from the dark subpopulation alone (DeMeo & Carry 2013 had a similar approach that consisted of deriving absolute magnitude cuts for each taxonomic class individually). By doing so, we derive size completeness limits of 4 km for the inner belt (defined as inward of the 3:1J MR, i.e., semimajor axis $a < 2.5$ au), 4.5 km for the middle belt (between the 3:1J and 5:2J MMR, i.e., $2.5 < a < 2.8$ au), and 7.0 km for the outer belt (beyond the 5:2J, i.e., $a > 2.8$ au; Figure 2). These values constitute the lower-size boundary of our MBA comparison sample. The upper boundary was chosen to be 3 km larger, in order to obtain a large number of objects in our comparison sample while still being in a range of sizes that is not too different from our NEO data set.

Next, we built a representative sample of each source population feeding the ERs. This was achieved by measuring the ranges of initial semimajor axis and inclination values of the test particles ending up in the ERs in the dynamical simulation of Granvik et al. (2018, see their Figure 3, second panel) and selecting MBAs falling within these ranges of values. Selection criteria for each source population are summarized in Table 3. Unfortunately, the resulting low number of Hungaria MBAs (22 objects) does not allow any statistically significant comparative analysis between Hungaria NEOs and these objects. Increasing the upper size boundary of the Hungaria comparison sample only adds a few objects to the sample.

Next, we evaluated the statistical robustness of the resulting taxonomic ratios in our comparison data sets. To do so, the lower and upper size limits of the data sets were varied

Table 3
Selection Criteria for the Main-belt Comparison Samples

Source Region	Diameter (km)	Semimajor Axis (au)	Inclination (deg)	No. of Objects[a]
Hun	4.0–7.0	1.7–2.1	>12.5	22
ν_6	4.0–7.0	2.1–2.5	<17.5	1407
Pho	4.0–7.0	2.1–2.5	>17.5	72
3:1J	4.0–7.0	2.2–2.7	⋯	3412
5:2J	4.5–7.5	2.6–3.1	⋯	3225
2:1J	7.0–10.0	3.0–3.4	⋯	1596

Note.
[a] Number of objects in the comparison samples, obtained by applying the size and orbital selection criteria.

independently over a 1 km range, with incremental steps of 0.1 km, in order to measure the variation of the taxonomic ratios as a function of varying size boundaries. For instance, in the case of the inner-belt ERs (the ν_6, 3:1J, and Phocaea), the lower size limit was varied from 4 to 5 km while keeping the upper limit fixed to 7 km, and then the upper limit was varied from 7 to 6 km while keeping the lower limit fixed to 4 km. This resulted in a total of 22 data sets for each ER from which the taxonomic ratio uncertainty linked to size boundary selection, defined as the 1σ variation of the taxonomic ratios, was measured. Final error bars on the taxonomic ratios of the comparison data sets are the quadratic sum of the Poisson uncertainties (i.e., $\sqrt{N}$, where N is the number of objects in the data set) and the size boundary uncertainties.

3.5. Additional Class Merging

The MITHNEOS data set and the SDSS-derived MBA comparison sample are composed of spectroscopic and photometric data collected over two distinct wavelengths ranges: the NIR range (0.7–2.5 μm) for MITHNEOS, and the visible range (0.3–1.0 μm) for SDSS. Several taxonomic classes of asteroids can only be distinguished in one of these two wavelength ranges. This is the case, in particular, for the C and X complexes that are often indistinguishable in the NIR (see Section 2.2). Similarly,

Q- and S-type asteroids can hardly be distinguished at low spectral resolution in visible wavelengths.

In order to allow a direct comparison of our NEO data set with the asteroid belt, we therefore merged objects belonging to the C and X complexes (more specifically, objects from the C complex and the low-albedo objects from the X complex, i.e., the P types) into a single class, hereafter simply named "C/P." Similarly, Q-type and S-complex asteroids were merged into a single class "S/Q."

4. Results

4.1. The Observed and Debiased Distributions

The observed and debiased compositional distributions of the overall NEO population and individual ERs are shown in Figure 3. In agreement with previous works (e.g., Tholen 1984; Zellner et al. 1985; Tedesco & Gradie 1987; Veeder et al. 1989; Binzel et al. 2004; Carry et al. 2016; Perna et al. 2018; Binzel et al. 2019; Devogèle et al. 2019), the overall observed population is dominated by silicate-rich (S- and Q-type) bodies, accounting for ∼66% of objects in our data set. The two most proficient ERs, the ν_6 and 3:1J complexes, exhibit very similar distributions to one another (Pearson correlation coefficient of 99.8%). This is unsurprising considering that both ERs are dominantly fed by the inner-belt population of asteroids. As we move toward ERs located at larger heliocentric distances, the observed fraction of silicate-rich objects gradually decreases and the fraction of carbonaceous objects (B, C, P, and D types) increases, with the 2:1J and JFCs exhibiting the highest fractions (55%–62%) of carbonaceous objects. The relatively high fraction of silicate-rich objects among JFCs is here likely an artifact due to the low number of comets in our sample, as well as the correlated ER probabilities of the 3:1J, 5:2J, and JFCs.

As expected, bias correction leads to greater fractional abundances of dark objects and smaller fractional abundances of bright objects in the NEO population. The abundance of silicate-rich objects decreases from 66% to 42% globally, while the abundance of carbonaceous objects increases from 23% to 48%. It is evident from Figure 3 that the effects of debiasing are both albedo dependent and ER dependent. The greater the difference in albedo between a specific taxonomic class of objects and the average population, the greater the change in fractional abundance. Similarly, the steeper the HFD of a given ER, the greater the change in dark-to-bright ratio when debiased. For instance, Hungaria NEOs are the least affected population owing to their flat HFD below $H = 19$ ($\beta = 0.074$), where most NEOs with high Hungaria ER probability are found in our data set.

Like the observed population, the debiased NEO population reflects a composition gradient in the main belt, with a decreasing fraction of silicate-rich bodies and an increasing fraction of carbonaceous bodies as a function of increasing heliocentric distance of the ER. In addition, NEOs from the various ERs exhibit a wide diversity of taxons supporting the idea of a well-mixed population of small ($D < 2$ km) asteroids in the main belt. This mixed distribution agrees well with the distribution of the smallest asteroids observed in the main belt (see Section 4.2) and contrasts with the more radially stratified distribution of large ($D > 20$ km) asteroids (DeMeo & Carry 2013). This difference between small and large asteroids is commonly attributed to the increased efficiency of the Yarkovsky drift, as well as dynamical

pushes due to planetary encounters and resonant orbits toward smaller body sizes.

4.2. Comparison with the Asteroid Belt

4.2.1. Global Compositional Match

Figure 4 compares the observed and debiased compositional distributions of NEOs derived in this work with their corresponding source populations in the asteroid belt, as defined in Table 3. For readability purposes, only the main (most populated) taxonomic classes of asteroids (B, C/P, D, S/Q, and V types) are shown. A second version of the figure showing every class is provided in Appendix C. Due to the low number of Hungaria MBAs in the relevant range of sizes (Section 3.4), this population of objects is not shown in the figure.

The observed fractional abundances of each taxonomic complex were assigned Poisson error bars ($\sigma_N = \sqrt{N}$). The error bars of the debiased fractional abundances were derived by propagating the Poisson uncertainty on the observed fractional abundances, the uncertainty on the albedo, and the uncertainty on β as computed by Granvik et al. (2018) for $17 < H < 22$ (see their Table 4). Taxonomic abundances for the debiased NEOs and the comparison MBA source populations are provided in Table 4.

Globally, the debiased taxonomic abundances of NEOs closely match the taxonomic distribution of their predicted source populations in the asteroid belt. In Table 5, we provide the Pearson r coefficient and corresponding p-value for a correlation between the NEO and MBA populations. For each ER, we also provide the maximum difference between the measured taxonomic ratios of the NEO and MBA populations. In every case, the bias correction greatly improves the correlation between the NEOs and their source populations and significantly decreases the compositional gap between these populations.

The global compositional match between NEOs and their predicted source populations in the asteroid belt provides a direct validation of the NEO migration model of Granvik et al. (2018) used in this work. This compositional match also argues against any drastic composition size trend in the asteroid belt, in the range of diameters studied here (i.e., from ∼10–4 km for the MBA data set down to typical NEO sizes, ∼3 km–100 m). Deviations from this compositional agreement are discussed in Section 4.2.2.

4.2.2. Local Compositional Differences

Deviations from the global compositional match between NEOs and their predicted ERs might provide insights into the mechanisms responsible for delivering main-belt material to the NEO space. For instance, the excess of a given taxonomic type of NEOs compared to their source population can indicate the presence of a collisional family of small asteroids near the ER, below the size detection limit of current surveys of the main belt.

In this section, we list and discuss possible origins for the compositional differences found in our data set. We refer to an "excess" (or "lack") of taxonomic class when the fractional abundance of that class in the NEO population exceeds (is less than) its abundance in the source population. We deliberately ignore the rare K and L classes, which are often indistinguishable from other spectral classes in visible wavelengths (DeMeo & Carry 2013).
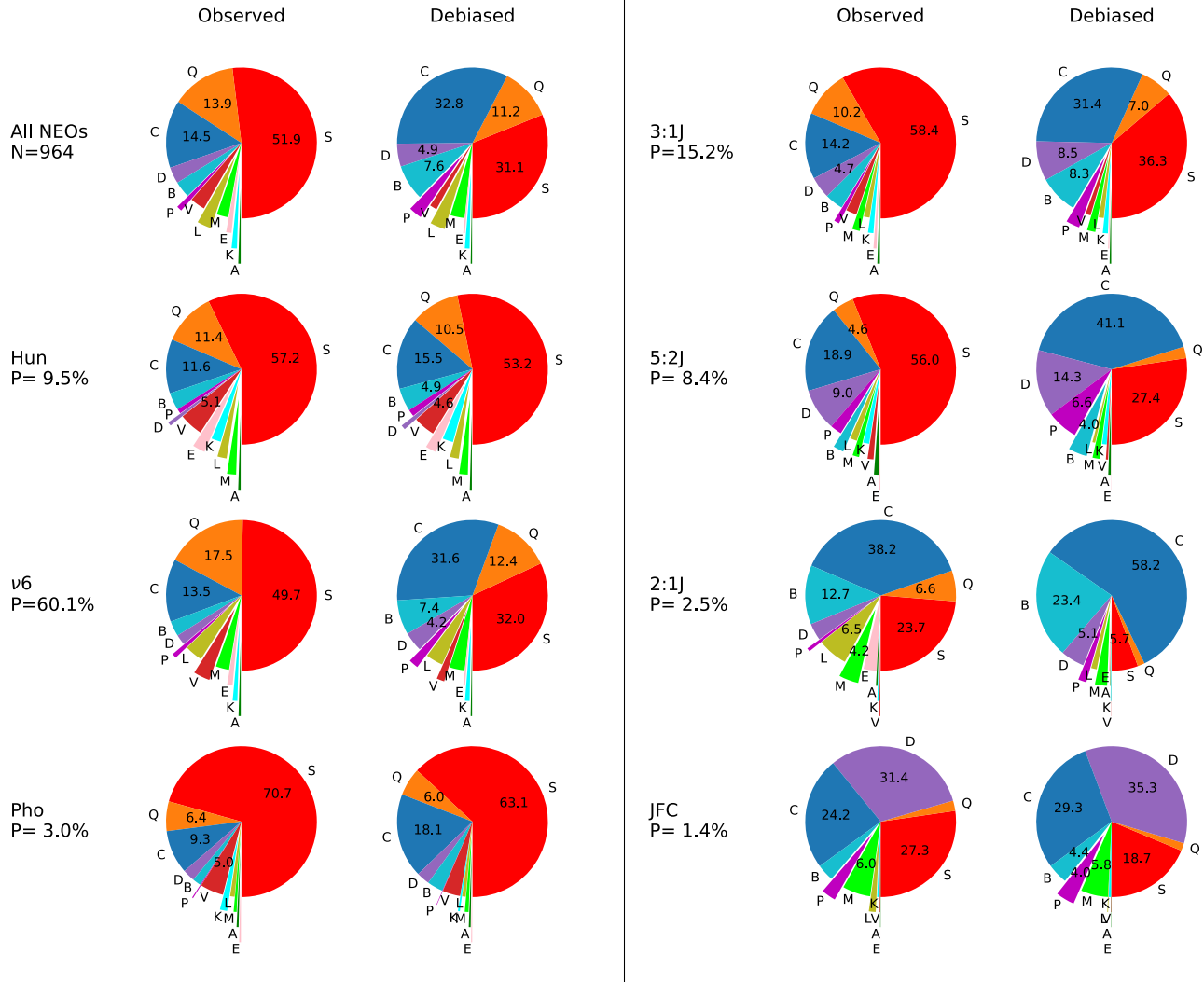
Figure 3. Observed vs. debiased compositional distribution of the MITHNEOS survey for the overall NEO population (top left) and individual escape/source regions. Regions are ordered by increasing distance from the Sun. Each percentage indicates the average probability for an NEO in our data set of originating from the source region. A total of 11 objects in our data set were not included in this plot owing to uncertain spectral classification (Section 2.2). This figure shows the compositional mixing of the NEO population and, therefore, of the asteroid belt at small object sizes, as well as the global trend of increasing dark-to-bright object ratio as a function of ER distance from the Sun.

4.2.2.1. Silicate-rich Surface Evolution

In agreement with previous works (e.g., Binzel et al. 2010), we find that the NEO population comprises a larger abundance of Q-type bodies among silicate-rich bodies compared to the asteroid belt (Figure 4). For instance, ν_6 NEOs have $Q/(Q+S) = 26\%$ in our data set, whereas ν_6 MBAs have $Q/(Q+S) = 0.7\%$. Q-type asteroids are commonly interpreted to have fresh ordinary-chondrite-like surface compositions that have been recently refreshed and not altered by space weathering processes, whereas S types would be ordinary chondrite asteroids whose surfaces have experienced increasing amounts of weathering as a result of being exposed to the space environment for longer times. Several mechanisms—including planetary encounters, Yarkovsky–O'Keefe–Radzievskii–Paddack (YORP) spin-up, and thermal cracking—have been proposed to be responsible for refreshing asteroid surfaces, preferentially in the NEO space (Marchi et al. 2006; Binzel et al. 2010; Nesvorný et al. 2010; Delbo et al. 2014; DeMeo et al. 2014; Polishook et al. 2014; Graves et al. 2018, 2019). Q-to-S

ratios derived from SDSS, however, should be interpreted with caution because Q- and S-type spectra are hardly distinguishable at low spectral resolution in visible wavelengths.

4.2.2.2. Lack of V-type NEOs from the Inner Belt

Most V-type asteroids and basaltic meteorites found on Earth originated from the Vesta family, although alternative sources for these objects have also been proposed (Moskovitz et al. 2008; Solontoi et al. 2012; Leith et al. 2017; Migliorini et al. 2021). V-type asteroids only account for $1.7\% \pm 2.5\%$ of ν_6 NEOs in our data set, while representing $8.4\% \pm 0.6\%$ of the inner-belt asteroids. This discrepancy cannot be explained as misidentification of V-type bodies in SDSS: using optical and NIR spectroscopy, Moskovitz et al. (2008) confirmed the spectral type of 10 out of 11 V-type asteroids (91%) identified as V-type candidates based on photometric properties in SDSS. Similarly, Migliorini et al. (2021) confirmed the basaltic nature of 16 out of 23 V-type asteroids (70%) selected from SDSS. One can therefore reasonable assume that around 80% of
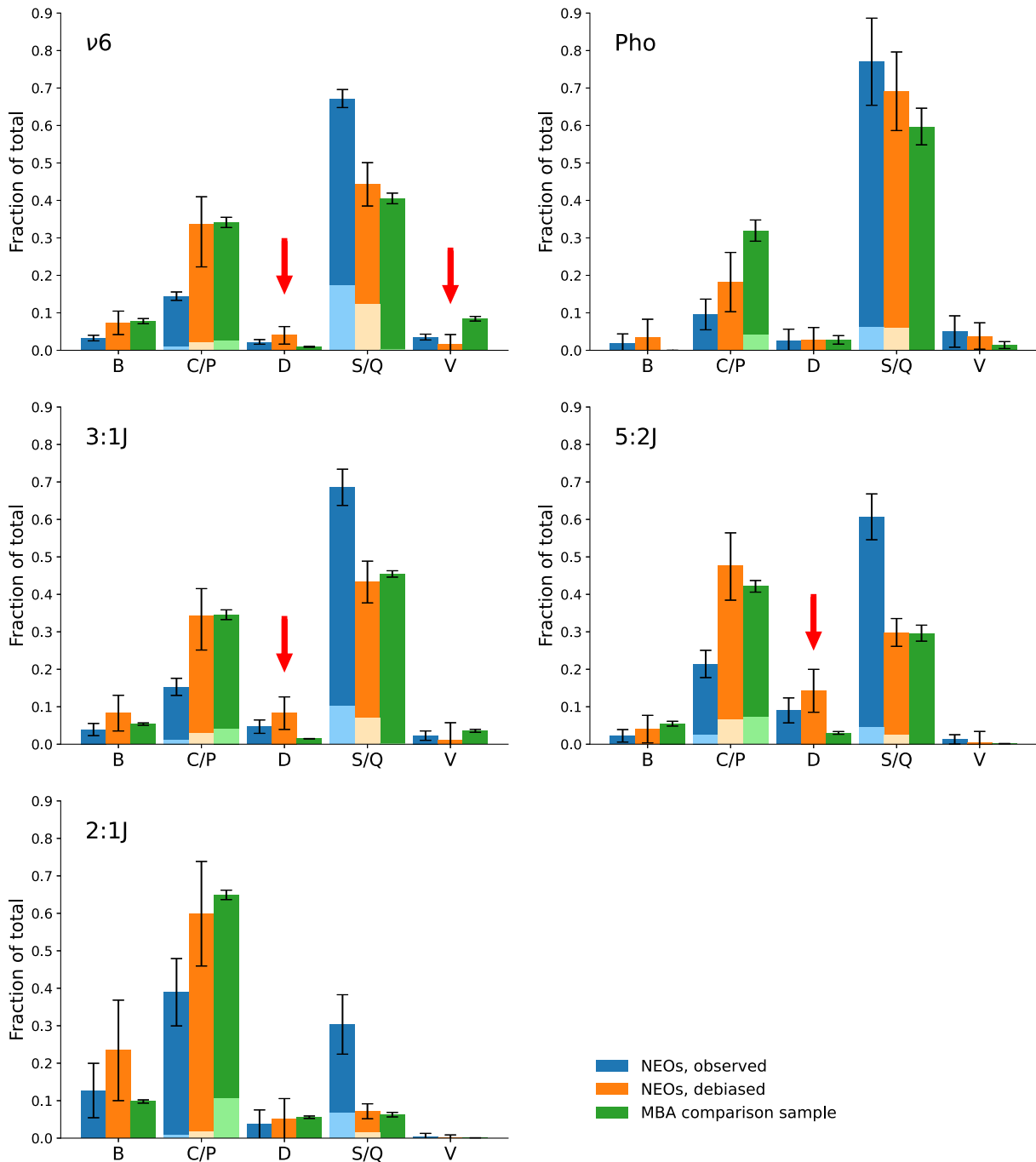
Figure 4. Observed (blue) and debiased (orange) fractional abundances of spectral classes of NEOs, compared to their predicted source populations in the asteroid belt (green). Escape regions are ordered by increasing distance from the Sun, and their name is indicated in the upper left corner of the panels. See Section 3.4 for a description of the selection criteria of the main-belt data sets. Carbonaceous C- and P-type objects were merged into a single class "C/P," and silicate-rich S- and Q-type objects into "S/Q" (see Section 3.5). For these two broad classes, the plotted bars are divided into two shades of color, with the darker shade corresponding to C and S types and the lighter shade to P and Q types. The least populated taxonomic classes (A, K, L, E, and M types) are not displayed here for better readability. A second version of the figure including every taxonomic class is provided in Appendix C. This figure highlights the compositional match between NEOs and their predicted source regions after bias correction. The red arrows underline local composition differences discussed in Section 4.2.2.

asteroids identified as V type by DeMeo & Carry (2013) are real V types, still implying that V types are ~4 times less abundant among NEOs compared to the inner belt.

Alternatively, the lack of V-type NEOs may stem from the central location of the Vesta family in the inner belt, away from any strong resonance with the giant planets. A less likely explanation is the old age of the Vesta family (~1 Gy; Marzari et al. 1999; Carruba et al. 2005; Nesvorný et al. 2008; Marchi et al. 2012; Schenk et al. 2012; Nesvorný et al. 2015; Spoto

et al. 2015; Carruba & Nesvorný 2016), considering that Flora, an even older inner-belt family (>1 Gy; Brož et al. 2013; Carruba & Nesvorný 2016) located close to the ν_6 secular resonance, was identified as the main source of S-type NEOs (Vernazza et al. 2008). It should be noted, however, that it takes on average only 80 Myr for a 100 m Vestoid (a Vesta family member) to travel from Vesta to $q = 1.3$ au (Unsalan et al. 2019). Therefore, the argument of the central location of Vesta in the asteroid belt does not appeal as a fully satisfactory

Table 4
Taxonomic Ratios of the Observed and Debiased NEO Populations, Compared to Their Source Regions in the Asteroid Belt

Source Region	Taxon	% NEOs (Observed)	% NEOs (Debiased)	% MBAs	Difference (Observed)	Difference (Debiased)
ν_6	A	0.5 ± 0.3	0.3 ± 1.9	0.2 ± 0.1	0.3 ± 0.3	0.1 ± 1.9
	B	3.3 ± 0.8	$7.4^{+3.1}_{-3.2}$	7.8 ± 0.7	4.5 ± 1.0	$0.4^{+3.1}_{-3.3}$
	C/P	14.5 ± 1.1	$33.7^{+7.3}_{-11.5}$	34.1 ± 1.4	19.7 ± 1.8	$0.4^{+7.4}_{-11.5}$
	D	2.2 ± 0.6	$4.2^{+2.1}_{-2.5}$	0.9 ± 0.1	1.3 ± 0.6	$3.3^{+2.1}_{-2.6}$
	S/Q	67.2 ± 2.4	$44.4^{+5.7}_{-5.9}$	40.5 ± 1.4	26.7 ± 2.8	$3.9^{+5.9}_{-6.1}$
	K	1.0 ± 0.4	1.0 ± 0.8	1.9 ± 0.1	0.9 ± 0.4	0.9 ± 0.8
	L	3.8 ± 0.8	3.7 ± 1.6	2.7 ± 0.2	1.2 ± 0.8	1.0 ± 1.6
	V	3.5 ± 0.8	1.7 ± 2.5	8.4 ± 0.6	4.9 ± 1.0	6.8 ± 2.6
	E	1.2 ± 0.4	0.4 ± 3.6	0.2 ± 0.0	0.9 ± 0.4	0.2 ± 3.6
	M	2.9 ± 0.7	3.3 ± 1.2	3.2 ± 0.0	0.4 ± 0.7	0.0 ± 1.2
Pho	A	0.4 ± 1.2	0.4 ± 1.6	0.0 ± 0.0	0.4 ± 1.2	0.4 ± 1.6
	B	1.8 ± 2.5	3.4 ± 4.9	0.0 ± 0.0	1.8 ± 2.5	3.4 ± 4.9
	C/P	9.6 ± 4.1	18.2 ± 7.9	31.9 ± 2.8	22.4 ± 5.0	13.7 ± 8.4
	D	2.6 ± 3.0	2.8 ± 3.3	2.8 ± 1.1	0.2 ± 3.2	0.0 ± 3.5
	S/Q	77.0 ± 11.6	69.1 ± 10.5	59.7 ± 4.9	17.3 ± 12.6	9.4 ± 11.6
	K	1.5 ± 2.3	0.4 ± 0.8	1.4 ± 0.9	0.2 ± 2.5	1.0 ± 1.2
	L	1.0 ± 1.8	0.8 ± 1.5	0.0 ± 0.0	1.0 ± 1.8	0.8 ± 1.5
	V	5.0 ± 4.2	3.8 ± 3.5	1.4 ± 0.9	3.6 ± 4.3	2.4 ± 3.6
	E	0.4 ± 1.1	0.2 ± 2.1	0.0 ± 0.0	0.4 ± 1.1	0.2 ± 2.1
	M	0.6 ± 1.5	0.8 ± 1.9	2.8 ± 0.2	2.1 ± 1.5	2.0 ± 1.9
3:1J	A	0.5 ± 0.6	0.3 ± 3.4	0.2 ± 0.0	0.3 ± 0.6	0.1 ± 3.4
	B	3.9 ± 1.6	$8.3^{+4.7}_{-4.8}$	5.4 ± 0.3	1.5 ± 1.7	$2.9^{+4.7}_{-4.8}$
	C/P	15.3 ± 2.3	$34.3^{+7.3}_{-9.1}$	34.6 ± 1.3	19.3 ± 2.6	$0.3^{+7.4}_{-9.2}$
	D	4.7 ± 1.8	$8.5^{+4.2}_{-4.5}$	1.5 ± 0.0	3.2 ± 1.8	$7.0^{+4.2}_{-4.5}$
	S/Q	68.6 ± 4.8	43.3 ± 5.6	45.4 ± 0.9	23.1 ± 4.9	2.2 ± 5.6
	K	1.2 ± 0.9	1.1 ± 1.4	2.3 ± 0.1	1.1 ± 0.9	1.2 ± 1.4
	L	1.2 ± 0.9	1.1 ± 1.6	4.1 ± 0.2	2.8 ± 0.9	3.0 ± 1.6
	V	2.3 ± 1.2	1.1 ± 4.6	3.6 ± 0.4	1.3 ± 1.3	2.5 ± 4.7
	E	0.6 ± 0.7	0.2 ± 6.5	0.1 ± 0.0	0.5 ± 0.7	0.1 ± 6.5
	M	1.6 ± 1.1	1.8 ± 1.2	2.8 ± 0.0	1.2 ± 1.1	1.0 ± 1.2
5:2J	A	1.0 ± 1.1	0.5 ± 2.1	0.2 ± 0.1	0.8 ± 1.1	0.4 ± 2.1
	B	2.2 ± 1.7	4.0 ± 3.7	5.5 ± 0.6	3.2 ± 1.8	1.4 ± 3.7
	C/P	21.4 ± 3.6	$47.7^{+8.7}_{-9.2}$	42.1 ± 1.5	20.7 ± 4.0	$5.5^{+8.9}_{-9.4}$
	D	9.0 ± 3.3	$14.3^{+5.7}_{-5.8}$	3.0 ± 0.4	6.0 ± 3.4	$11.3^{+5.7}_{-5.8}$
	S/Q	60.7 ± 6.1	29.8 ± 3.7	29.6 ± 2.1	31.1 ± 6.5	0.2 ± 4.3
	K	1.3 ± 1.3	1.0 ± 1.1	8.8 ± 0.3	7.5 ± 1.3	7.8 ± 1.1
	L	1.5 ± 1.3	0.6 ± 1.3	4.3 ± 0.4	2.8 ± 1.4	3.7 ± 1.4
	V	1.3 ± 1.3	0.5 ± 2.9	0.2 ± 0.0	1.1 ± 1.3	0.3 ± 2.9
	E	0.2 ± 0.5	0.1 ± 4.8	0.1 ± 0.0	0.1 ± 0.5	0.0 ± 4.8
	M	1.4 ± 1.3	1.5 ± 1.4	6.2 ± 0.0	4.8 ± 1.3	4.7 ± 1.4
2:1J	A	0.3 ± 1.1	0.1 ± 0.6	0.0 ± 0.0	0.3 ± 1.1	0.1 ± 0.6
	B	12.7 ± 7.3	23.4 ± 13.4	9.8 ± 0.4	2.9 ± 7.3	13.6 ± 13.4
	C/P	38.9 ± 9.0	$59.9^{+13.9}_{-14.0}$	64.9 ± 1.3	26.0 ± 9.1	5.0 ± 14.0
	D	3.6 ± 3.9	5.1 ± 5.5	5.6 ± 0.3	1.9 ± 3.9	0.5 ± 5.5
	S/Q	30.3 ± 7.9	7.2 ± 2.0	6.3 ± 0.6	24.1 ± 8.0	0.9 ± 2.1
	K	0.3 ± 1.1	0.1 ± 0.6	5.8 ± 0.6	5.5 ± 1.2	5.7 ± 0.9
	L	6.5 ± 5.2	1.2 ± 1.0	2.4 ± 0.2	4.1 ± 5.2	1.2 ± 1.1
	V	0.2 ± 1.0	0.0 ± 0.8	0.1 ± 0.0	0.2 ± 1.0	0.0 ± 0.8
	E	2.8 ± 3.4	0.3 ± 1.5	0.1 ± 0.0	2.7 ± 3.4	0.3 ± 1.5
	M	4.2 ± 4.2	2.6 ± 2.6	5.1 ± 0.0	0.9 ± 4.2	2.5 ± 2.6

answer either. Future modeling work should investigate further the fractional contribution of collisional families to NEOs as a function of family size, age, and location in the asteroid belt.

4.2.2.3. Excess of D-type NEOs from the Inner and Middle Belt

We further note a $\sim2\sigma$ excess of D-type NEOs coming from the 5:2J ER. This excess is also seen in the ν_6 and 3:1J ERs at the $\sim1.5\sigma$ statistical level. While the statistical significance of this excess might seem minor, the fact that it is observed in three distinct ERs provides credibility to its existence. In addition, we remind that the NEO taxonomic ratios reported here result from bias-correcting the sample using average albedo values measured from the MBA data set (i.e., an average albedo of $\sim8\%$ for the D types; Section 3.2). Using NEO values from Table 1 (i.e., 4% for the D types) amplifies the gap between the fractional abundances of D-type NEOs and their source populations: this finding appears to be a robust result.

D-type NEOs being more abundant than their larger counterparts in the main belt may be indicative of a global

Table 5
Results of Statistic Tests Used to Assess the Compositional Similarities of the Observed and Debiased Compositional Distributions of NEOs, Compared to Their Source Regions in the Asteroid Belt

Source Region	Observed NEOs versus MBAs				Debiased NEOs versus MBAs			
	Coeff. of Correlation[*]	p-value[a]	Max. Diff.[b]	Taxon	Coeff. of Correlation[*]	p-value[*]	Max. Diff.[b]	Taxon
ν_6	84.4%	2.2e-03	$26.7 \pm 2.8\%$	S/Q	98.4%	2.7e-07	$6.8 \pm 2.6\%$	V
Pho	91.8%	1.8e-04	$22.4 \pm 5.0\%$	C/P	96.4%	7.4e-06	$13.7 \pm 8.4\%$	C/P
3:1J	88.3%	7.0e-04	$23.1 \pm 4.9\%$	S/Q	98.3%	3.8e-07	$7.0^{+4.2}_{-4.5}\%$	D
5:2J	72.7%	1.7e-02	$31.1 \pm 6.5\%$	S/Q	94.7%	3.2e-05	$11.3^{+5.7}_{-5.8}\%$	D
2:1J	79.0%	6.5e-03	$26.0 \pm 9.0\%$	C/P	96.3%	8.0e-06	$13.6 \pm 13.4\%$	B

Notes.
[a] Coefficient of correlation and p-value from Pearson r test.
[b] Maximum difference in fractional abundance between the populations, with corresponding taxonomic class indicated in the following column.

trend of increasing fractions of D-type bodies as size decreases. This would be consistent with the finding of Perna et al. (2018) that D types are fractionally more abundant among small-size ($D < 500$ m) NEOs compared to larger sizes. It should be noted, however, that other spectroscopic surveys of small NEOs did not confirm this trend (Devogèle et al. 2019).

As discussed by Perna et al. (2018), the existence of such a trend would hold important implications for our understanding of the early delivery of water and prebiotic material to the primitive Earth. Indeed, the idea that comets constituted the main suppliers of these elements was recently revised owing to their higher deuterium-to-hydrogen ratios with respect to ocean water (Altwegg et al. 2015; Bockelée-Morvan et al. 2015). As a consequence, it is now commonly admitted that another population of objects must have dominated the early flux of water and organics to Earth. Carbonaceous asteroids and more specifically D-type bodies are prime candidates in that regard, being among the most compositionally pristine bodies in the solar system (Hiroi et al. 2001). As such, increasing fractions of D-type bodies at smaller sizes may imply that these objects contributed more to the early delivery of the prebiotic material to Earth than previously estimated.

Several effects may account for the observed excess of D-type bodies in the NEO population: First, a fraction of C- and P-type carbonaceous bodies may preferentially evolve into a D-type spectrum toward smaller sizes and closer distances to the Sun. This was evidenced by laboratory experiments simulating the effects of space weathering on carbonaceous chondrite meteorites, finding that fresh D-type surfaces could be transformed into C and P types owing to solar wind (Lantz et al. 2018). In addition, Hasegawa et al. (2022) found out that asteroid (596) Scheila, which was resurfaced by an impact in 2010, experienced a significant change of its NIR spectral slope, from T type (that is, intermediate between P and D) to D type, as a consequence of the impact. A genetic link between C-, P-, and D-type asteroids is further supported by the similarities of these bodies in bulk densities, suggesting that they share a common bulk composition (Vernazza et al. 2021). Taken together, these studies suggest that D-type asteroids may represent the fresh, unweathered version of C- and P-type bodies. In that context, the overabundance of D-type NEOs may be explained by the fact that some of these objects come from the population of C- and P-type asteroids in the main belt, but they exhibit younger surfaces on average owing to their shorter collisional lifetimes. As discussed by Vernazza et al. (2021) and Hasegawa et al. (2022), an important implication for a direct link between C-, P-, and D-type asteroids would be

that a much larger fraction of the main belt than previously estimated could be implanted objects from the transplanetary and/or trans-Neptunian region (Levison et al. 2009).

Second, the excess of D-type NEOs may arise from an increasing fraction of D-type bodies at smaller sizes *within* the asteroid belt. This increase could come from the existence of a family of small D-type asteroids, with sizes below the characterization limit of current main-belt surveys, formed by the fragmentation of a larger D-type body. Considering that the excess is most obvious in the case of the 5:2J and 3:1J MMRs, one should expect the population or family to be located in the middle belt between these two resonances.

Third, as proposed by Perna et al. (2018), D-type bodies may fragment more easily in NEO space compared to other spectral types of asteroids owing to their higher fragility, through collisions and/or thermal cracking (Delbo et al. 2014; Granvik et al. 2016). However, D-type bodies are also believed to be highly porous objects (Vernazza et al. 2015), implying that compaction, not fragmentation, may preferentially occur in a collision (Housen et al. 1999, 2018). On the other hand, preferential fragmentation through thermal cracking would be consistent with the dark surfaces of D-type asteroids: the lower the albedo, the more incident light an object absorbs, and the more it is prone to fragment owing to strong thermal cycles. Finally, it could be argued that the excess of D-type NEOs coming from the 3:1J and 5:2J ERs may also be an artifact coming from the probabilistic nature of our dynamical model. Indeed, NEOs coming from outer ERs (the 3:1J, 5:2J, 2:1J, and JFCs) often exhibit correlated ER probabilities owing to their orbital similarities (an extreme example happens when bodies in chaotic resonances get their eccentricities and inclinations pumped up to cometary-like Jupiter Tisserand $T_J < 3$; Farinella et al. 1994; Gladman et al. 1997). The 3:1J and 5:2J NEO samples therefore could be biased by D-type bodies from the JFCs. However, excluding objects with JFC probabilities larger than 50% (5 objects) or even 10% (32 objects) from our data set has virtually no effect on the resulting fractional abundance of D-type NEOs from the 3:1J and 5:2J—a contamination bias appears unlikely in this case.

Whether a population of small D-type asteroids truly exists in the asteroid belt will be easily tested by future large photometric surveys such as the Vera Rubin Observatory's Legacy Survey of Space and Time (LSST), which will provide photometric measurements for several million asteroids down to a few hundred meters in size (Jones et al. 2009).

5. Summary

We presented 491 new NIR spectra of 420 NEOs collected mainly between 2015 January and 2021 February as part of the MITHNEOS survey, which we combined with earlier measurements (Binzel et al. 2019) to investigate the compositional distribution of the NEO population. This population was then divided into individual ERs and bias-corrected to obtain their intrinsic compositional distribution. Our results can be summarized as follows:

1. The bias-corrected NEO distribution reflects well the overall composition gradient and radial mixing of the asteroid belt (DeMeo & Carry 2013, 2014). Fractional abundances of the taxonomic classes correlate with the location of the ERs, with decreasing fractions of silicate-rich (S- and Q-type) bodies and increasing fractions of carbonaceous (B-, C-, D-, and P-type) bodies as a function of the heliocentric distance of the ERs.

2. The close compositional match between NEOs and their source populations in the asteroid belt validates numerical models used to predict NEO ERs in the solar system (Granvik et al. 2018) and argues against any strong composition change with size in the asteroid belt between ~ 5 km and ~ 100 m, with the following two noteworthy exceptions.

3. The paucity of V-type bodies coming from the ν_6 compared to their abundance in the inner belt may stem from the average central location of the Vesta family in the inner belt, away from any strong resonance with the giant planets. However, considering the short migration timescales of NEO-sized Vestoids, future modeling work would be needed to better understand the contribution of collisional family to NEOs as a function of family size, age, and location in the belt.

4. The overabundance of D-type NEOs coming from 5:2J and, to a lesser extent, the 3:1J and ν_6 may hint at the existence of a large population of small D-type bodies (possibly a collisional family) in the asteroid belt (probably the middle region). Alternatively, this excess could be due to the fact that NEOs exhibit, on average, younger surfaces than their larger main-belt counterparts, and that D-type surfaces represent fresher versions of C- and P-type surfaces (Lantz et al. 2018; Hasegawa et al. 2022). A third possibility is that D-type bodies fragment more often in the NEO space compared to other bodies (Granvik et al. 2016; Perna et al. 2018). Future large spectroscopic surveys like LSST will allow testing these different hypotheses.

5. No additional evidence for the existence of collisional families in the asteroid belt, below the detection limit of current surveys, was found in this work.

Appendix A
New Near-infrared Spectral Data of NEOs

We present thumbnail versions of our reported spectral results in Figure 5. The name of the asteroid and observing semester are indicated below each spectrum. Corresponding observing conditions are provided in Table 7 in Appendix D.
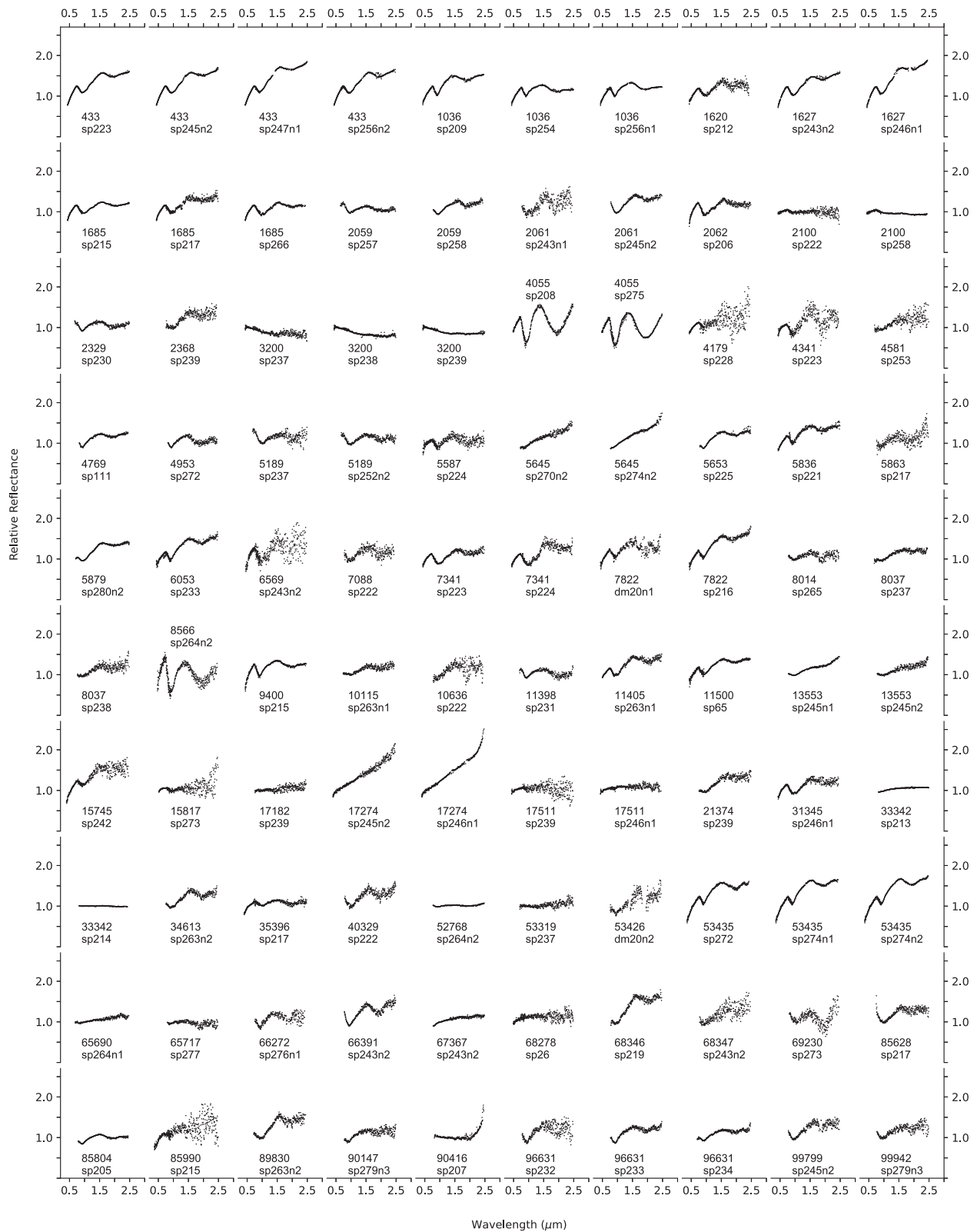
Figure 5. NIR spectral data of NEOs acquired mainly between 2015 January and 2021 February as part of the MITHNEOS program. The number and/or designation of each asteroid and the name of the observing run are provided below the spectra.

(The complete figure set (5 images) is available.)

Appendix B
Thermal Parameters

Here we provide the thermal parameters obtained by NEATM modeling (Harris 1998) and used to fit and remove the thermal tails in the asteroid spectra. These parameters are provided to allow reproducibility of the modeling work by other researchers. However, we emphasize that the values should be interpreted with care owing to the large uncertainties inherent to the modeling method (e.g., Nugent et al. 2016) and to the fact that

only a small fraction of the thermal tails are detected in our data. In addition, different observing epochs imply different observing geometry, which can induce additional size and albedo uncertainty in the case of an elongated object (Table 6). Taken together, these various sources of uncertainties can lead to a 50% size uncertainty and a 100% albedo uncertainty. This is reflected here in the case of NEOs (438429) 2006 WN1 and 2017 YE5, where the albedo values vary almost by a factor of two between the two observing visits to these asteroids.

Table 6
Thermal Parameters.

Number	Designation	η	p_v	Observing Date
52768	1998 OR2	1.232	0.128	28/02/2020
90416	2003 YK118	1.611	0.017	18/02/2015
163348	2002 NN4	0.931	0.150	17/06/2020
275611	1999 XX262	0.928	0.014	06/02/2019
354030	2001 RB18	1.039	0.019	26/09/2019
410778	2009 FG19	1.390	0.008	26/09/2019
438429	2006 WN1	1.314	0.013	20/07/2015
438429	2006 WN1	1.142	0.025	03/09/2018
452389	2002 NW16	1.461	0.017	15/08/2016
471241	2011 BX18	1.308	0.031	15/08/2016
	2011 YS62	1.254	0.015	03/12/2015
	2014 UF206	1.059	0.055	18/01/2015
	2014 LW21	1.031	0.051	12/07/2020
	2015 SV2	1.079	0.035	12/12/2015
	2016 PR8	1.270	0.010	03/01/2017
	2016 XH	0.992	0.038	24/12/2016
	2017 YE5	1.926	0.020	21/06/2018
	2017 YE5	1.661	0.012	23/06/2018
	2019 FU	1.624	0.034	08/04/2019

Note. η = beaming parameter; p_v = visual (V-band) albedo.

Appendix C
Spectral Comparison between NEOs and MBAs for All Taxonomic Classes

Comparison between the spectral class distributions of NEOs and MBAs for all taxonomic classes is presented in Figure 6.
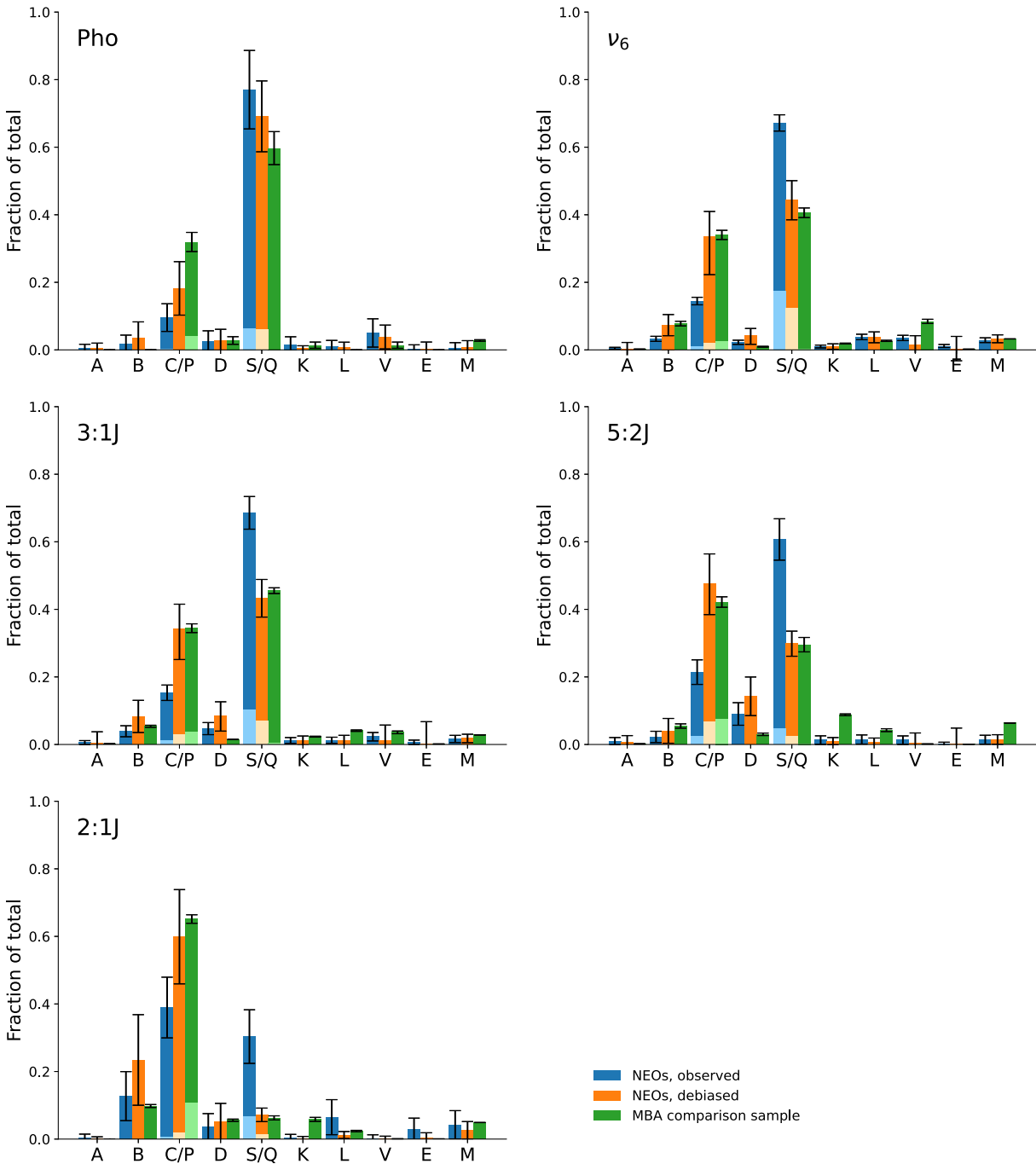

Figure 6. Same as Figure 4, but with the inclusion of the minor (least populated) taxonomic classes.

Appendix D
Complete Tabulation

A description of the complete set of observations, spectral analysis, NEO orbital parameters, and escape region probabilities is provided in Table 7. The complete data set is available in a machine-readable format in the online Journal.

Table 7
Complete Tabulation

Label	Unit	Description
File	⋯	Spectrum filename
Number	⋯	Near-Earth object number
Desig	⋯	Provisional designation
Name	⋯	Name
a	au	Semimajor axis
e	⋯	Eccentricity
i	deg	Inclination
Hmag	mag	H magnitude
TJup	⋯	Jupiter Tisserand
Delta-v	km s^{-1}	Velocity change needed for rendezvous mission
Hazard?	⋯	Potentially hazardous?
Taxon	⋯	Taxonomy
Slope	μm^{-1}	Spectral slope
PC1′	⋯	First principal component
PC2′	⋯	Second principal component
PC3′	⋯	Third principal component
PC4′	⋯	Fourth principal component
PC5′	⋯	Fifth principal component
ObsDate-S	⋯	Start date time, ISO 8601 format
ObsDate-E	⋯	End date time, ISO 8601 format
RAh	h	Hour of R.A. (J2000)
RAm	m	Minute of R.A. (J2000)
RAs	s	Second of R.A. (J2000)
DE-	⋯	Sign of the decl. (J2000)
DEd	deg	Degree of decl. (J2000)
DEm	arcmin	Minute of decl. (J2000)
DEs	arcsec	Second of decl. (J2000)
AM-S	⋯	Start air mass
AM-E	⋯	End air mass
ParAng-S	deg	Start parallactic angle
ParAng-E	deg	End parallactic angle
ExpTime	s	Exp. time
Nimages	⋯	Number of images
TotalExp	s	Total exp. time
GeoDist	au	Geocentric distance
SolDist	au	Solar distance
PhaseAng	deg	Phase angle
Vismag	mag	Vis. magnitude
P[Hun]	⋯	Hungaria escape route probability
P[nu6]	⋯	nu6 escape route probability
P[Pho]	⋯	Phocaea escape route probability
P[3:1J]	⋯	3:1J escape route probability
P[5:2J]	⋯	5:2J escape route probability
P[2:1J]	⋯	2:1J escape route probability
P[JFC]	⋯	JFC escape route probability

Note. Principal components were calculated after removing the spectral slope from the asteroid spectrum.

(This table is available in its entirety in machine-readable form.)

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