

# Journal Pre-proof

Comparison of operating microscope and exoscope in a highly challenging experimental setting.

Ahmad Hafez, MD, PhD, Roel H.L. Haeren, MD, PhD, Johannes Dillmann, MD, Aki Laakso, MD, PhD, Mika Niemelä, MD, PhD, Martin Lehecka, MD, PhD



PII: S1878-8750(20)32660-7

DOI: <https://doi.org/10.1016/j.wneu.2020.12.093>

Reference: WNEU 16544

To appear in: *World Neurosurgery*

Received Date: 18 October 2020

Revised Date: 16 December 2020

Accepted Date: 17 December 2020

Please cite this article as: Hafez A, Haeren RHL, Dillmann J, Laakso A, Niemelä M, Lehecka M, Comparison of operating microscope and exoscope in a highly challenging experimental setting., *World Neurosurgery* (2021), doi: <https://doi.org/10.1016/j.wneu.2020.12.093>.

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2020 Elsevier Inc. All rights reserved.

**Ahmad Hafez:** study design, performing experiments, data collection, data analysis, drafting manuscript. Approved the final manuscript.

**Roel Haeren:** study design, data analysis, drafting manuscript. Approved the final manuscript.

**Johannes Dillmann:** study design and critically review of the manuscript. Approved the final manuscript.

**Aki Laakso:** study design and critically review of the manuscript. Approved the final manuscript.

**Mika Niemelä:** study design and critically review of the manuscript. Approved the final manuscript.

**Martin Lehecka:** study design, data analysis and critically review of the manuscript. Approved the final manuscript.

Journal Pre-proof

## **Comparison of operating microscope and exoscope in a highly challenging experimental setting.**

Ahmad Hafez<sup>1</sup>, MD, PhD, Roel H.L. Haeren<sup>1,2</sup>, MD, PhD, Johannes Dillmann<sup>3</sup>, MD, Aki Laakso<sup>1</sup>, MD, PhD, Mika Niemelä<sup>1</sup>, MD, PhD, Martin Lehecka<sup>1</sup>, MD, PhD

### **Affiliations**

1. Department of Neurosurgery, Helsinki University Central Hospital, Helsinki, Finland
2. Department of Neurosurgery, Maastricht University Medical Center, Maastricht, the Netherlands
3. Department of Neurosurgery, Diakonieklinikum Jung-Stilling, Siegen, Germany.

### **\*Correspondence:**

Ahmad Hafez, MD, PhD

University of Helsinki and Helsinki University Hospital

Department of Neurosurgery

Topeliuksenkatu 5

P.O. Box 266, Fin-00029-HUS,

Helsinki, Finland.

Email: [ext-ahmad.hafez@hus.fi](mailto:ext-ahmad.hafez@hus.fi)/[ahmadhafez1965@gmail.com](mailto:ahmadhafez1965@gmail.com)

Tel: 00358-405885513

**Conflict of Interest:** The authors have no conflict of interest related to the topic of this manuscript

**Disclosure of funding:** The study received no funding.

### **Acknowledgements:**

The authors would like to thank Aesculap Academy / B. Braun Medical OyMs. Helsinki, Finland; Mia Pentinmikko, Jarno Jaakkola, Outi Voipio-Airaksinen, for making exoscope system available to complete this study and for providing us with technical information.

The authors have no personal, financial, or institutional interest in any of the drugs, materials, or devices described in this article

First author (A H) and second author (R H) were awarded a scholarship for their Fellowship Program at the department of Neurosurgery in Helsinki from C. Ehrnrooth Foundation. The sponsors had no role in the design or conduct of the research.

#### Statement of Authorship

All authors made substantial contributions to the work and participated in drafting and revision of the manuscript and approve the final version to be published and agree to be accountable for all of the work.

#### Notifications of Conflicts of Interest

The authors report no conflict of interest relative to the article subject

#### Ethical adherence

The research was done under conventional educational setting without patient involvement and so the approval of an institutional review board was not required for the study.

#### Financial Disclosure

The study received no funding

I, (Ahmad Hafez), certify that this manuscript is a unique submission and is not being considered for publication, in part or in full, with any other source in any medium."

Part of the attached video was presented during Fundamental Microsurgery Techniques-Hand-on course, February 11-13, 2020, Kuopio, Finland.

The authors have no personal, financial, or institutional interest in any of the drugs, materials, or devices described in this article.

**Key words:** Extremely demanding situation, 3D exoscope, operating microscope,

1 **Comparison of operating microscope and exoscope in a highly challenging experimental setting.**

2 Ahmad Hafez<sup>1</sup>, MD, PhD, Roel H.L. Haeren<sup>1,2</sup>, MD, PhD, Johannes Dillmann<sup>3</sup>, MD, Aki Laakso<sup>1</sup>, MD, PhD,  
3 Mika Niemelä<sup>1</sup>, MD, PhD, Martin Lehecka<sup>1</sup>, MD, PhD

4 **Affiliations**

- 5 1. Department of Neurosurgery, Helsinki University Central Hospital, Helsinki, Finland  
6 2. Department of Neurosurgery, Maastricht University Medical Center, Maastricht, the Netherlands  
7 3. Department of Neurosurgery, Diakonieklinikum Jung-Stilling, Siegen, Germany.

8 **\*Correspondence:**

9 Ahmad Hafez, MD, PhD

10 University of Helsinki and Helsinki University Hospital

11 Department of Neurosurgery

12 Topeliuksenkatu 5

13 P.O. Box 266, Fin-00029-HUS,

14 Helsinki, Finland.

15 Email: [ext-ahmad.hafez@hus.fi](mailto:ext-ahmad.hafez@hus.fi)/[ahmadhafez1965@gmail.com](mailto:ahmadhafez1965@gmail.com)

16 Tel: 00358-405885513

17 **Conflict of Interest:** The authors have no conflict of interest related to the topic of this manuscript

18 **Disclosure of funding:** The study received no funding. The authors have no personal, financial, or institutional  
19 interest in any of the drugs, materials, or devices described in this article.

20 **Acknowledgements:**

21 The authors would like to thank Aesculap Academy / B. Braun Medical OyMs. Helsinki, Finland; Mia  
22 Pentinmikko, Jarno Jaakkola, Outi Voipio-Airaksinen, for making exoscope system available to complete this  
23 study.

24  
25 First author (A H) and second author (R H) were awarded a scholarship for their Fellowship Program at the  
26 department of Neurosurgery in Helsinki from C. Ehrnrooth Foundation. The sponsors had no role in the design  
27 or conduct of the research.

28 Part of the attached video was presented during Fundamental Microsurgery Techniques-Hand-on course,  
29 February 11-13, 2020, Kuopio, Finland.

30 **Statement of Authorship**

31 All authors made substantial contributions to the work and participated in drafting and revision of the manuscript  
32 and approve the final version to be published and agree to accountable for all of the work.

33 **Ethical approval**

34 This article does not contain any studies with human participants or animals performed by any of the authors.

35

36 **Abstract**

37 **Background:** The use of a digital 3D exoscope system in neurosurgery is increasing as an alternative to the  
38 operative microscope. The objective of this study was to compare the use of a digital 3D exoscope system to that  
39 of a standard operating microscope as a neurosurgical visualization tool in a highly challenging, experimental  
40 setting.

41 **Methods:** End-to-side bypass procedures, each at a depth of 9 centimeters, were performed in a simulation  
42 setting. The quality of the task as well as the depth effect, visualization, magnification, illumination, and  
43 ergonomics were evaluated.

44 **Results:** No major differences were noted between the microscope and the exoscope in terms of the quality of  
45 the work. Working with the exoscope was more time-consuming than working with the microscope. Changing  
46 the depth and focus was faster using the operative microscope. The exoscope enabled higher magnification and it  
47 offered better ergonomic features.

48 **Conclusion:** In a highly challenging, experimental setting, comparable procedural quality was found for both  
49 visualization modalities. Each had its own advantages and disadvantages. Over time and with technological  
50 advances, the digital 3D exoscope may become the main operative visualization system in microneurosurgery.

51

52 **Keywords:** 3D exoscope. Operating microscope, Neurosurgery. Demanding situation. Bypass.

53

54

55

56

57

58

59

60

61

62

63

64

65

66

## 67 **Introduction**

68 Since its introduction, the operative microscope has become an essential visualization tool in modern  
69 neurosurgery<sup>1-3</sup>. Despite improvements in illumination and optics, the development of software and clinical  
70 tools, and the integration of neuronavigation, the operating microscope has several limitations<sup>3</sup>. These are  
71 mainly related to ergonomics, as the surgeon is often forced into uncomfortable positions due to the ocular-  
72 dependent visualization.

73 In recent years, three-dimensional (3D) digital exoscope systems have been developed and reported to form an  
74 adequate alternative to the operating microscope<sup>2-8</sup>. The use of exoscopes may offer several advantages over  
75 conventional microscopes, such as increased focal depth, the ability to use them in unconstrained working  
76 positions, and similar visualizations for all active participants of the surgical procedure<sup>2,4</sup>.

77 We recently described that the results of superficial experimental bypass anastomosis quality were comparable  
78 when using the operating microscope or exoscope<sup>5</sup>. In an attempt to more thoroughly assess the capabilities of  
79 both visualization modalities, we designed a much more challenging experimental situation; this involved an  
80 experimental bypass at a depth of 9 centimeters (cm) that went beyond regular neurosurgical procedures. That  
81 specific depth of field and the type of task (demanding multiple quick movements) were suitable for testing both  
82 systems in a challenging situation. The aim was to reduce personal bias and assess the strengths and limitations  
83 of both visualization tools in a highly demanding, experimental task.

84 In this illustrative report, we describe our experience with a modern operating microscope and a digital exoscope  
85 when performing an experimental deep bypass. We have included a narrated video to show the experimental  
86 setup and to exemplify the important benefits and disadvantages of both modalities.

87

## 88 **Materials and methods**

### 89 **Experimental setup**

90 The experimental deep bypass procedures were carried out using an operating microscope (Carl Zeiss Meditec  
91 AG, OPMI Pentero 900, Jena, Germany) and a 3D digital exoscope (Aesculap Inc., Aeos Digital Microscope,  
92 Center Valley, Pennsylvania, USA).

93 We used a self-made Styrofoam model of a human head from for this experiment. The model was adapted from  
94 our earlier described model to simulate the increased depth for performing the anastomosis<sup>5</sup>. The adapted model  
95 contained a 2 cm working field at a depth of 9 cm, with an opening of 3 cm on the surface (see video 1). A foot  
96 pedal was used to adjust magnification and focus during the procedure. The experimental setup is shown in  
97 Figure 1A, B, and C.

98

### 99 **Materials**

100 Anastomosis suturing was performed using a 12-0 polyester suture needle (Ningbo Medical Needle Co. Ltd.,  
101 Ningbo, China). The rationale for choosing this kind of needle was related to having the smallest as well as the

102 least expensive one available. The main surgical instruments that were used were two SuperBypass (SB-1607)  
103 forceps (Takayama Instruments Inc., Muranaka, Tokyo, Japan), microscissors (Mutoh America Ltd., Natick,  
104 MA, USA), a Yasargil Aneurysm Clip System (Aesculap, Inc., Center Valley, Pennsylvania, USA), and 2  
105 temporary clips (see video 1). The same instruments were used for all 10 procedures. Chicken wing vessels with  
106 a median diameter of 1 millimeter (mm) were prepared for experimental, end-to-side anastomoses (Figure 2A,  
107 B). No live animals were used in the experiments.

108 The local ethics board approved the study; no live animals were used.

109

110 Anastomosis procedure

111 We performed an end-to-side anastomosis using 20 interrupted sutures evenly distributed along the vessel orifice  
112 at a depth of 9 cm (Figure 3A, B). A more detailed description of the anastomosis technique was published  
113 earlier<sup>9</sup>. A total of 10 bypass procedures (5 with the microscope and 5 with the exoscope) were performed and  
114 recorded in an alternating fashion. All bypasses were carried out by one experienced vascular neurosurgeon  
115 (A.H.) who has performed more than 2,500 experimental bypasses using a microscope and more than 300 using  
116 an exoscope. Since this vascular neurosurgeon has adequate experience with both modalities, we included only  
117 one for all procedures, thereby aiming to reduce the learning curve effect that may have otherwise influenced our  
118 results.

119

120 Field of view and depth of field

121 When performing an anastomosis technique, movements are exerted within a certain range in the horizontal  
122 plane which is limited by the field of view, and in the vertical plane which is limited by the depth of field.  
123 Whereas the former was assessed subjectively during the procedure, we included an additional experiment for  
124 the latter. The depth of field, i.e. the range between the nearest and the farthest objects that are in acceptably  
125 sharp focus, is relevant to perform the anastomosis (Figure 4). Because the anastomosis in this study is  
126 performed at a depth of 9 cm, the depth of field is pushed to its limitations and is rather shallow. We estimated  
127 that a proximal depth of field of around 1 cm is needed for the anastomosis movements. To assess whether the  
128 depth of field was in this range, we marked a target on millimetric paper which was placed at a depth of 9 cm,  
129 followed by placement at a depth of 8 cm. With maximum magnification (Exoscope: 10x optical magnification,  
130 Operative microscope: 16.6x magnification), and similar focal distance (200mm), we assessed the quality of  
131 image. Thereafter, we reduced the magnification until acceptable sharp focus was re-established.

132 Outcome parameters

133 The quality of the anastomoses was evaluated using the practical TSIO scale for anastomosis quality assessment  
134 (see Table 1)<sup>9</sup> This scale includes measurements of the duration of the procedure (T), stitch distribution (S),  
135 thread hidden inside the vascular wall (I), and orifice width (O). In addition, the surgeon subjectively evaluated  
136 important features for the bypass procedures regarding (1) visualization of the procedure and (2) ergonomics.

137



138 Statistical analysis

139 Statistical analysis was performed using IBM SPSS Version 25 (IBM Corp., Armonk, New York, USA). An  
140 ANOVA test was used to predict the correlation between each of the systems and the corresponding amount of  
141 time required to complete the procedure. A *P* value of < 0.050 was considered to be statistically significant.

142

## 143 **Results**

144 Experimental deep bypass procedures

145 Both the operative microscope and the exoscope enabled us to perform the highly challenging, experimental  
146 deep bypasses. We found that TSIO scores for the anastomoses were similar using the operative microscope and  
147 the 3D digital exoscope (see Table 2). No major differences were noted for stitch distribution, intima-intima  
148 attachment, or orifice width. Regarding the duration of the procedure, the TSIO scale differentiates between  
149 shorter or longer than 20 minutes. Here, all procedures took more than 20 minutes, irrespective of the  
150 visualization technique used. However, time spent on the bypass procedures using the exoscope (mean: 47.6  
151 minutes, range: 44 to 55 minutes) was significantly ( $P=0.004$ ) prolonged when compared to using the  
152 microscope (mean: 38.4 minutes, range: 35 to 44 minutes).

153

154 Visualization and optics

155 The operative microscope contains a halogen-based light source, whereas the working field is illuminated by  
156 LEDs in the exoscope. The latter results in a reduction of heat generation. We found that the LED-based  
157 illumination source of the exoscope also resulted in a better general illumination and increased color contrast  
158 (see video 1).

159 The exoscope comes with a high dynamic range (HDR) camera and monitor that provides a 3D-view of the  
160 working field, thereby offering a stereopsis view of the working field as with the microscope. The focal distance  
161 of the operating microscope and the exoscope is 200 mm and 200 to 450 mm, respectively. The larger field of  
162 view and the increased depth of view of the exoscope made the view of the working field superior to that  
163 provided by the microscope.

164 The magnification and focus functionalities of both modalities, important visualization and optical features, are  
165 summarized in Table 3.

166

167 Field of view and depth of field

168 In all experiments, the anastomosis was centered within the field of view, and we noticed no limitations for  
169 performing the bypass within this field of view when using both visualization systems. Regarding the depth of  
170 field, we visualized the marked target on millimetric paper at optimal focus at a depth of 9 cm using similar  
171 settings for both visualization tools (Figure 5A and 5D). Then the marked target was placed at a depth of 8cm,

172 which resulted in reduced and blurred image quality for both, the exoscope and operative microscope.  
173 Subjectively, the image quality of the exoscope was affected more than the image quality of the operative  
174 microscope.

175 For the exoscope, adjustment of magnification to 3.9x was required to return to the acceptable sharp focus  
176 (Figure 5C). Interim adjustment of focus using the auto-focus function did not change the required magnification  
177 adjustment. For the operative microscope, adjustment of magnification to 8.5x was sufficient to return to  
178 acceptable sharp focus (Figure 5F).

179

180 Ergonomics

181 The personal perception of the performing neurosurgeon was that the exoscope offered ergonomic benefits when  
182 compared to the microscope for experimental deep bypass. It allowed for a more relaxed posture with a  
183 horizontal gaze, and consequently a horizontal instead of flexed head and neck position.

184

185 Additional findings

186 A major advantage of the exoscope is the shared 3D-view for all participants in the procedure.

187

188 **Discussion**

189 In this study, we challenged the operating microscope and exoscope performing an extremely demanding task,  
190 i.e. a bypass procedure at a depth of 9 cm. Both visualization modalities were capable of enabling this procedure.  
191 Similar bypass quality was noted when using either visualization modality. However, the duration of the bypass  
192 procedure was prolonged when using the exoscope. One explanation for the extra time required to use the  
193 exoscope is that it needs additional adjustments of magnification and refocusing due a more shallow depth of  
194 field, as we have shown in this study. This matter could further be affected by a slower speed of refocusing and  
195 adjusting the zoom of certain exoscopic systems, but not necessarily all exoscopic systems. We noticed this in  
196 actual operative procedures, as well as, in experimental bypass surgery.

197 We experienced a learning curve with the exoscope. The number of cases and the differences in the procedures,  
198 so far, do not allow us to draw out a conclusive statement in this regard. Increased illumination, visualization,  
199 and color contrast were noted using the exoscope. In addition, the exoscope was found to offer better ergonomic  
200 positioning for the surgeon and an undisturbed range of motion during the procedure, while simultaneously  
201 offering an identical 3D view to the other participants.

202

203 Bypass quality differences using the operative microscope and the exoscope

204 The quality of the experimental deep bypasses were similar with regard to using either the microscope or the  
205 exoscope, when rated according to the TSIO scale<sup>9</sup> It should be noted that in this scale, a cut-off of 20 minutes

206 for the duration of the procedure is included. The time spent on the bypass procedures using the exoscope was  
207 substantially prolonged when compared to that of the microscope. This could perhaps be explained by the  
208 discrepancy in our experience with both modalities. Similar findings were recently reported by Kwan et al., who  
209 compared a 3D, high-definition exoscope to an operative microscope in several spinal surgeries<sup>7</sup>. They found  
210 that almost all spinal procedures were prolonged when using the exoscope and they related this to the increased  
211 number of focus and zoom adjustments required during surgery. Repositioning and refocusing was also found to  
212 be less favorable using the exoscope compared to the microscope, according to the authors of other recent  
213 studies<sup>6,10-12</sup>. This is also in line with our recently published results on superficial bypass procedures<sup>5</sup>.

214

#### 215 Visualization and optics

216 Regarding visualization, Ricciardi et al. reviewed the literature comparing exoscopes and microscopes and found  
217 that image quality, optical power, and magnification of the exoscope was rated at least equivalent to the  
218 microscope<sup>3</sup>. When a 4K or ultra-high definition screen is connected, the exoscope could reach an even higher  
219 image quality than the microscope<sup>3,10</sup>. In our study, the exoscope was connected to a high-definition screen and  
220 we indeed found that general illumination and color contrast were better with the exoscope in comparison to the  
221 microscope. An additional benefit of exoscope illumination is the decreased production of heat by the LEDs,  
222 which might reduce thermal injury to the exposed tissues during surgery. The optical quality of exoscopes has  
223 continuously been improved upon and the introduction of exoscopes connected to 3D, high-definition  
224 visualization screens has enabled stereopsis using the exoscope, making it an even more competitive  
225 visualization tool.

226

#### 227 Magnification, zoom, and focus

228 For this deep-site, experimental bypass, the magnification showed a better result using the exoscope; it had a  
229 greater magnification potential (digital magnification; 15.8x magnification, distance 200 mm, optical zoom 10x),  
230 and the visual quality was also better (video 1). The robotic augmentation of movements can maintain a focal  
231 point and reduce the use of hands by relying on a foot switch or mouth switch.

232

#### 233 Field of view and depth of field

234 Whereas the field of view was comparable and sufficient, we found that the depth of field was shallower for the  
235 exoscope than the operative microscope for this deep experimental bypass setup. At this deep site, depth of field  
236 of either visualization system is restricted. However, depth of field is relevant, as the anastomosis techniques  
237 requires movements within the vertical plane. A deeper depth of field reduces the number of adjustments of  
238 zoom and focus, and enables a more smooth pursuit of the anastomosis. Consequently, the more shallow depth  
239 of field of the exoscope might have contributed to the prolonged time spent on the anastomosis procedures when  
240 using the exoscope. In case of microscope, the mouth piece became very important for very small adjustment to  
241 the view (to make the view focus and sharp or move few millimeter around).

242

243

## 244 Ergonomics

245 A major disadvantage of operating microscopes is that they require a direct interface to acquire visualization of  
246 the working field. As a consequence, surgeons and especially assisting surgeons are often forced into  
247 uncomfortable positions during surgery. The exoscope is connected to a screen on which the working field is  
248 digitally displayed. When the screen is placed in the ideal 'in sight' line of the surgeon, an unconstrained and  
249 comfortable position of the surgeon during the procedure is ensured <sup>2</sup> In addition, an exoscope enables more  
250 freedom of movement for the surgeon and the device itself does not disturb the view. It allows for interaction  
251 among assisting surgeons or nurses, as is the case with operating microscopes <sup>2,4,7</sup>

252 We found similar ergonomic benefits using the exoscope for experimental deep bypass procedures. For this  
253 specific procedure, the exoscope position was fixed in a robotic arm and neither continuous movements nor  
254 repositioning were required. Nevertheless, the horizontal gaze and head position using the exoscope (see Figure  
255 1) was more relaxed in comparison to the more flexed position required when using the operating microscope. In  
256 procedures requiring continuous repositioning of the surgeon, the exoscope's ergonomic benefits might be even  
257 more pronounced. However, one must rethink the setup of the exoscope and its screen within the operating room  
258 to enable ideal illumination and 'in sight' visualization on the screen.

259

## 260 Educational considerations

261 We found that the exoscope offers several educational benefits. All active participants of the procedure enjoyed a  
262 similar visual quality, view of the working field, and stereopsis when using the 3D exoscope system. During  
263 surgery, this may result in better visualization of anatomical details and microdissection techniques for residents  
264 and operating room staff <sup>3</sup>]. Moving between surgeons is also easier because of a similar view of the surgical  
265 field and the lack of necessity to adjust eye pieces and objective distance <sup>2</sup>. Clearly, these educational benefits  
266 might improve the training of residents. However, providing new microscopic systems with digital hybrid 4K  
267 visualization offers similar educational benefits and picture quality.

268

269

## 270 Limitations

271 In this study, only one highly demanding procedure, i.e. interrupted anastomosis technique, was performed to  
272 assess the benefits and limitations of both visualization tools. Since we aimed for the most challenging  
273 procedure, we have included the anastomosis techniques which is most demanding for the visualization tools. In  
274 comparison to a continuous anastomosis techniques, the interrupted anastomosis techniques requires more hand  
275 movements, a larger number of focus and zoom readjustments, and variation in depth of field. The procedures

276 could be performed by more than one surgeon. A single neurosurgeon was involved in this study in order to  
277 decrease the chances of confounding the results.

278 Although there are many exoscope systems on the market, we have included only the available exoscope  
279 system in our department at the time of performing this study. A comparison between various exoscope systems  
280 was beyond the scope of this study, yet forms an interesting future study purpose.

281 The number of cases is low. However, in our previously published article, we performed a substantially higher  
282 number of bypasses in an animal model, in which the experimental procedure was performed in more than 200  
283 models. Therefore, the complexity of the setting (9 cm deep, 2 cm wide) is the reason for the low number. Other  
284 procedures in neurosurgery requiring different aspects of both systems might result in new findings. From the  
285 results of this paper, we need to move forward and assess these systems more thoroughly to realize the nuances  
286 between the systems in relation to safety.

287 Another limitation is that the outcome measures have some subjective judgments; measuring bypass outcomes is  
288 more a combination of technical experience and technique than it is reflective of the relative efficacy of the  
289 exoscope versus the microscope. Moreover, reported visualization outcomes are largely subjective and  
290 qualitative and do not substantively change the impression that the choice between visualization techniques is  
291 also highly subjective and user-dependent.

292 An additional limitation is that to date, numerous software programs used for real surgeries are currently  
293 modified for the operative microscope but are not available for the exoscope (e.g. FLOW 800 for cerebral  
294 perfusion). Some exoscopic systems do not have the ability to perform indocyanine green video angiography  
295 (ICG)<sup>13</sup>.

296

## 297 **Conclusion**

298 In this study, we challenged the capabilities of an operative microscope and a 3D, high-dynamic range exoscope  
299 system when used in an extremely demanding situation, i.e. an experimental deep bypass. We found that the  
300 exoscope is an adequate alternative to the operating microscope in this highly challenging, experimental setting.  
301 The quality of the bypass procedures was similar using each of the visualization systems. However, the duration  
302 of the procedure was prolonged when using the exoscope, which might be related to a reduced depth of field  
303 requiring more zoom and focus adjustments. Further preclinical and clinical evaluation with different  
304 pathologies and various challenging situations is warranted to stimulate further evolutions, like those related to  
305 zoom/focus properties, of exoscope systems in neurosurgical procedures. In the future, with more experience and  
306 some technological modifications, the exoscope may become the main visualization system in  
307 microneurosurgery.

308

309

310

311 **Figure Legends**

312 **Fig. 1 A:** Overview of the preparation of the setup when using the three-dimensional exoscope. The figure  
 313 shows the operator is sitting surgeon looking horizontally to the 'in-sight' placed three-dimensional wide-view  
 314 monitor with 4k resolution. On the floor the foot switch, which was also used when using the microscope, can be  
 315 noted.

316 **Fig. 1B:** This image illustrates the operating room setting during experimental deep bypass procedures with  
 317 using the exoscope. The monitor is placed 'in-sight' directly in front the sitting surgeon. In this way, a horizontal  
 318 and relaxed head position can be maintained during the whole procedure. The foot switch is placed on left side  
 319 and the table with instruments is placed on the right side.

320 **Fig. 1C:** This figure presents the experimental bypass surgery setup with an expanded polystyrene skull. A flap  
 321 (3 cm in diameter and 9 cm deep) was made in the anterior and upper area of the ear, where in real life the  
 322 superficial temporal artery to middle cerebral artery bypass is the normal procedure. At the 9 cm. bottom, the  
 323 diameter is 2 cm in all directions.

324 **Fig. 2A:** Overview of the prepared 1mm chicken-wing vessel, and a green sheet with 1-mm scale. The photo was  
 325 taken using highest magnification available for the 3D exoscope at 9 cm depth. The working distance from the  
 326 surface is 225mm.

327 **Fig. 2B:** Overview of the prepared 1mm chicken-wing vessel, and a green sheet with 1-mm scale. The photo  
 328 was taken using highest magnification available for the operating microscope at 9 cm depth. The working  
 329 distance from the surface is 225mm.

330 **Fig. 3A:** This image shows end-to-side stitching at a 9cm depth using highest available magnification of 3D  
 331 exoscope.

332 **Fig. 3B:** Following end-to-side anastomosis at 9cm depth. The vessel is cut close to the orifice to evaluate the  
 333 anastomosis. Image taken using highest available magnification of the microscope.

334 **Fig. 4:** In this figure, the setup for the depth of field analysis is illustrated. The X is the marking point. For the  
 335 experiment, the optimal focus (red arrows) was aimed at this marking. For the analysis, we estimated that the  
 336 depth of the working field in the vertical plane was 1 cm. This depth of the working field is depicted in the image  
 337 by the green transparent column and the black arrow line. For the depth of field analysis, the marking was first  
 338 placed at the bottom (9cm depth) and thereafter raised to the top of the column at 8 cm depth.

339 **Fig. 5A:** This image shows the marking on millimetric paper at 9 cm depth while in optimal focus using the  
 340 operative microscope. Focal distance: 200mm, magnification 16.6x.

341 **Fig. 5B:** This image shows the marking on millimetric paper at 8 cm depth using the operative microscope with  
 342 similar settings as in A: focal distance: 200mm, magnification 16.6x. Clearly, the image is visualized at an  
 343 unacceptable sharp focus.

344 **Fig. 5C:** This image shows the marking on millimetric paper again at 8 cm depth using the operative microscope  
 345 with a focal distance of 200mm. Here, magnification was adjusted until the image is visualized at an acceptable  
 346 sharp focus. To this end, magnification had to be adjusted to 8.5x.

347 **Fig. 5D:** This image shows the marking on millimetric paper at 9 cm depth while in optimal focus using the  
 348 exoscope. Focal distance: 200mm, optical magnification 10x.

349 **Fig. 5E:** This image shows the marking on millimetric paper at 8 cm depth using the exoscope with similar  
 350 settings as in a focal distance: 200mm, optical magnification: 10x. Clearly, the image is visualized at an  
 351 unacceptable sharp focus, and compared to figure 5B, the image is even more blurred, suggesting a more shallow  
 352 depth of field for the exoscope.

353 **Fig. 5F:** This image shows the marking on millimetric paper at 8 cm depth using the operative microscope with  
 354 a focal distance of 200mm. Here, magnification was adjusted until the image is visualized at an acceptable sharp  
 355 focus. To this end, magnification had to be adjusted to 3.9x. Compared to the operative microscope, increased

356 adjustment of magnification was needed to return to the depth of field, suggesting a more shallow depth of field  
357 for the exoscope.

### 358 **Video Legend**

359 In this edited video, we compare the operative microscope to the high-definition, 3D-exoscope. The aim is to  
360 assess the capabilities of both visualization systems when performing a highly demanding neurosurgical  
361 procedure.

362 To this end, an end-to-side anastomosis, using 1 mm diameter chicken wing vessels was performed at a 9 cm  
363 depth. At the surface, the diameter of the opening was 3cm, whereas at the bottom the working field had a 2cm  
364 diameter. Anastomosis suturing was performed using 12-0 polyester suture needle and performing 20 interrupted  
365 sutures per anastomosis.

366 We assessed several properties of both systems. Firstly, visualization including illumination, magnification, field  
367 of view, and depth of view were acknowledged. Ergonomics, in particular surgeon's position, convenience of  
368 adjustment using the interface, and positioning of additional equipment were also considered. In line with this,  
369 the safety and setup within the operation room, as well as the transitions between surgical stages, were evaluated.  
370 Educational aspects for assisting surgeons or spectators were also taken into account, for example regarding the  
371 shared view of the surgical field.

372 Regarding the light sources, the exoscope with a coaxial LED provided efficient lighting to the deep and narrow  
373 cavity. In this exoscope system, the robotic arm and foot switch and high-definition camera with color variation  
374 helped us recognize the relevant anatomical structures, thereby securing the quality of the procedure. With its  
375 increased focal distance, increased field of view, depth of view, and interfacing with the environment, the  
376 exoscope was found to be superior to operating microscope. The automatic focus point and higher magnification  
377 ability were also evaluated positively by the surgeon. The learning curve was short using the exoscope.  
378 Evaluation of the quality of the bypass was based on our previously published scale.

379 The scale consists of 4 factors: the amount of time consumed; distribution of the stitches; intima-intima  
380 attachment; and size of the orifice. This showed equal quality, although the duration of the procedure was  
381 significantly prolonged using the exoscope.

382

383 **References**

- 384 1. Herlan S, Marquardt JS, Hirt B, Tatagiba M, Ebner FH. 3D Exoscope System in Neurosurgery-  
385 Comparison of a Standard Operating Microscope with a New 3D Exoscope in the Cadaver Lab.  
386 *Operative Neurosurgery*. 2019;17(5):518-524. doi:10.1093/ons/opz081
- 387 2. Langer DJ, White TG, Schulder M, Boockvar JA, Labib M, Lawton MT. Advances in  
388 Intraoperative Optics: A Brief Review of Current Exoscope Platforms. *Operative Neurosurgery*.  
389 2019;0(0):1-10. doi:10.1093/ons/opz276
- 390 3. Ricciardi L, Chaichana KL, Cardia A, et al. The Exoscope in Neurosurgery: An Innovative “Point  
391 of View”. A Systematic Review of the Technical, Surgical, and Educational Aspects. *World*  
392 *Neurosurgery*. 2019;124:136-144. doi:10.1016/j.wneu.2018.12.202
- 393 4. Nossek E, Schneider JR, Kwan K, et al. Technical aspects and operative nuances using a high-  
394 definition 3-dimensional exoscope for cerebral bypass surgery. *Operative Neurosurgery*.  
395 2019;17(2):157-163. doi:10.1093/ons/opy342
- 396 5. Hafez A, Elsharkawy A, Schwartz C, et al. Comparison of Conventional Microscopic and  
397 Exoscopic Experimental Bypass Anastomosis: A Technical Analysis. *World Neurosurgery*.  
398 Published online 2020. doi:10.1016/j.wneu.2019.11.154
- 399 6. Beez T, Munoz-Bendix C, Beseoglu K, Steiger H-J, Ahmadi SA. First Clinical Applications of a  
400 High-Definition Three-Dimensional Exoscope in Pediatric Neurosurgery. *Cureus*. Published  
401 online 2018. doi:10.7759/cureus.2108
- 402 7. Kwan K, Schneider JR, Du V, et al. Lessons Learned Using a High-Definition 3-Dimensional  
403 Exoscope for Spinal Surgery. *Operative neurosurgery (Hagerstown, Md)*. 2019;16(5):619-625.  
404 doi:10.1093/ons/opy196
- 405 8. Pafitanis G, Hadjiandreu M, Alamri A, Uff C, Walsh D, Myers S. The exoscope versus operating  
406 microscope in microvascular surgery: A simulation non-inferiority trial. *Archives of Plastic*  
407 *Surgery*. 2020;47(3):242-249. doi:10.5999/aps.2019.01473
- 408 9. Hafez A, Huhtakangas J, Muhammad S, Lawton MT, Tanikawa R, Niemelä M. The Identification  
409 of Factors That Influence the Quality of Bypass Anastomosis and an Evaluation of the  
410 Usefulness of an Experimental Practical Scale in This Regard. *World Neurosurgery*.  
411 2019;121:e119-e128. doi:10.1016/j.wneu.2018.09.031
- 412 10. Shirzadi A, Mukherjee D, Drazin DG, et al. Use of the video telescope operating monitor  
413 (VITOM) as an alternative to the operating microscope in spine surgery. *Spine*. Published  
414 online 2012. doi:10.1097/BRS.0b013e3182709cef
- 415 11. Krishnan KG, Schöller K, Uhl E. Application of a Compact High-Definition Exoscope for  
416 Illumination and Magnification in High-Precision Surgical Procedures. *World Neurosurgery*.  
417 Published online 2017. doi:10.1016/j.wneu.2016.09.037
- 418 12. Mamelak AN, Nobuto T, Berci G. Initial clinical experience with a high-definition exoscope  
419 system for microneurosurgery. *Neurosurgery*. Published online 2010.  
420 doi:10.1227/01.NEU.0000372204.85227.BF
- 421 13. Smithee W, Chakravarthi S, Epping A, et al. Initial Experience with Exoscopic-Based  
422 Intraoperative Indocyanine Green Fluorescence Video Angiography in Cerebrovascular



423 Surgery: A Preliminary Case Series Showing Feasibility, Safety, and Next-Generation Handheld  
424 Form-Factor. *World Neurosurgery*. 2020;138:e82-e94. doi:10.1016/j.wneu.2020.01.244  
425

Journal Pre-proof

**Table 1**

TSIO*	Points
Closure time for 20 stitches in 1-mm vessel	
<20 minutes	1
>20 minutes	0
Adequate distribution of stitches	
Yes	1
No	0
Thread hidden inside lumen (intima-intima contact)	
Yes	1
No	0
Width of orifice (equal or wider than diameter of vessel)	
Yes	1
No	0

- TSIO. T= Time spend in finishing the work, S= Stitch distribution, I: Intima-intima attached, O: Orifice size.
- This table shows how bypass quality is reported using the TSIO scale.<sup>8</sup>

Table 2

	Operating Microscope (OM)					3D HDR Exoscope (EX)				
	Case 1	Case 2	Case 3	Case 4	Case 5	Case 1	Case 2	Case 3	Case 4	Case 5
<b>Time score</b> <b>p-value:0.004</b> <b>(ANOVA)</b>	0 (44 min)	0 (39 min)	0 (35 min)	0 (38 min)	0 (36 min)	0 (46 min)	0 (48 min)	0 (44 min)	0 (55 min)	0 (45 min)
<b>Stitch distribution</b>	1	1	1	1	1	1	1	1	1	1
<b>Intima-intima</b>	1	1	1	1	0	1	0	1	0	1
<b>Orifice size</b>	1	1	0	1	1	1	1	1	0	0
<b>TSIO Score</b>	3	3	2	3	2	3	3	3	1	2

Table 2

Shows the bypass quality score for 10 cases of deep experimental bypass. The scores are based on the **TSIO** scale and are accordingly specified. Total scores of the five OM cases and EX cases are comparable. The duration of each procedure is specified in more detail to elucidate differences. The duration of all bypass procedures using EX was equal or longer than the procedures using OM.

**Abbreviations:** operating microscope (OM), three-dimensional (3D), high-definition resolution (HDR), exoscope (EX), minutes (min).

<b>System</b>	<b>Zeiss (OPMI Pentero 900)</b>	<b>Aeos Digital Exoscope</b>
- <b>Visualization</b>	Ocular (Kinevo 900 has 3D Screen)	3D Screen
- <b>Light source</b>	Superlux® 330 light source with 2 x 300W Xenon	Coaxial LED
- <b>High Dynamic Range (HDR)</b>	No	Yes*
- <b>Holding Arm</b>	Balanced, (Kinevo 900 has Robotic)	Balanced+ Robotic**
- <b>Optical Zoom Ratio</b>	1:6	1:10
- <b>Focal distance (working distance)</b>	200 – 500 mm	200– 450 mm

**Table 3:** In this table, specifications regarding visualization and optic of the used operating microscope and exoscope are summarized.

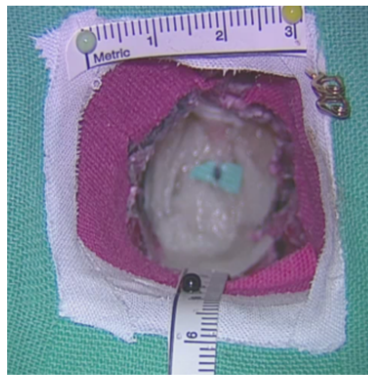
\*Advanced Electro-Optical System

\*\*Increasing reliability and consistency. Robotic augmentation of movements can maintain a focal point and also reduce usage of hands by relying on a footswitch or mouthswitch.

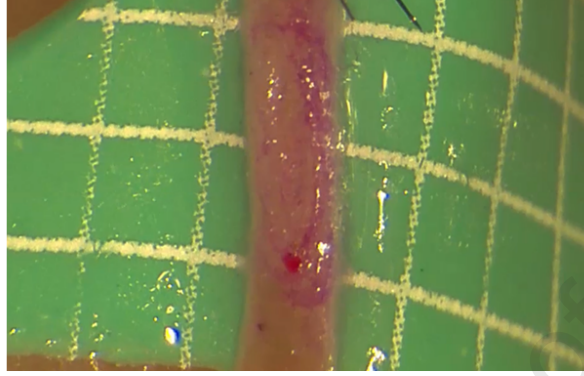




Journal Pre-proof

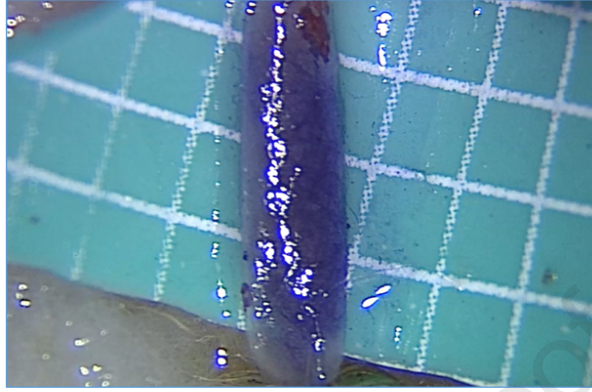


Journal Pre-proof

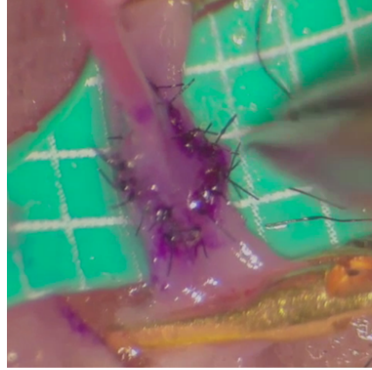


Journal Pre-proof

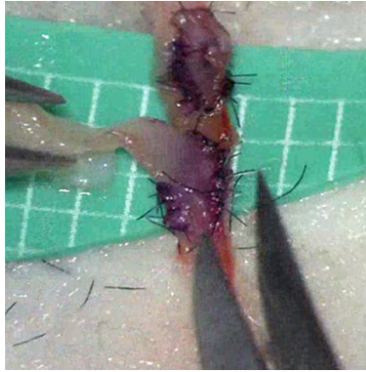




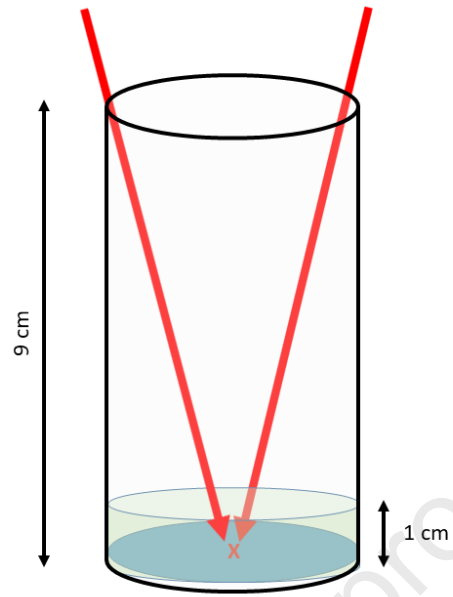
Journal Pre-proof

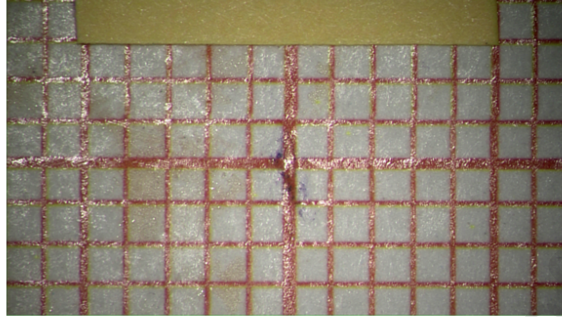


Journal Pre-proof



Journal Pre-proof

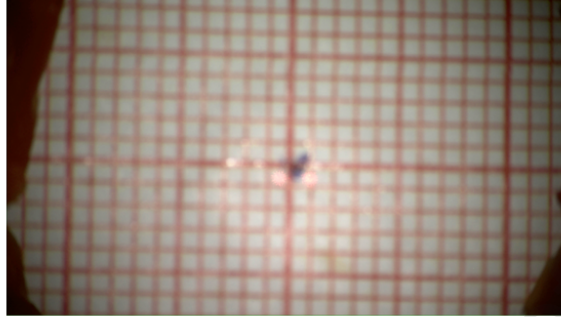




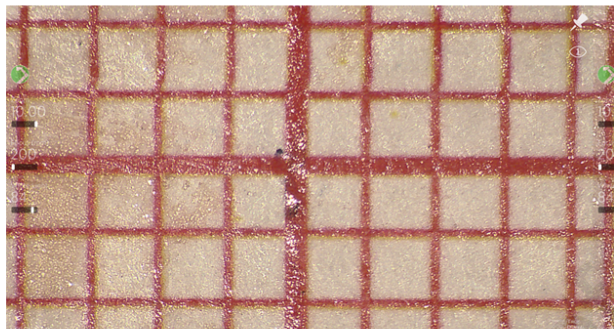
Journal Pre-proof



Journal Pre-proof

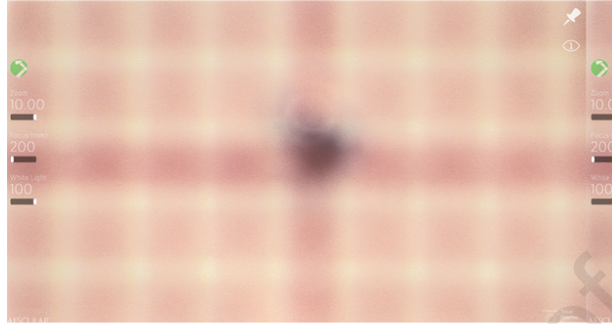


Journal Pre-proof

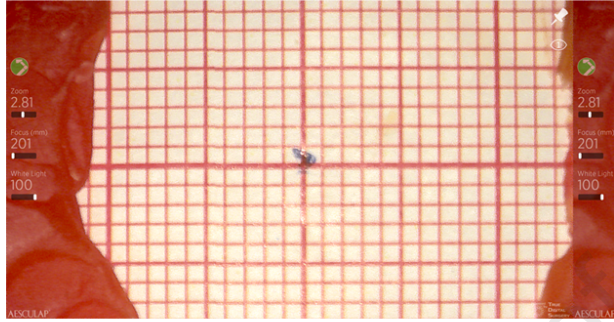


Journal Pre-proof





Journal Pre-proof



Journal Pre-proof

**Highlights:**

- **Over time and with technological advances, the digital 3D exoscope may become the main operative visualization system in microneurosurgery.**
- **We challenged the capabilities of an operative microscope and a 3D, high-dynamic range exoscope system when used in an extremely demanding situation, i.e. an experimental deep bypass.**
- **In a highly challenging, experimental setting, comparable procedural quality was found for both visualization modalities. Each had its own advantages and disadvantages.**

**ABBREVIATIONS**

3D 3-DIMENSIONAL

2D 2-DIMENSIONAL

Journal Pre-proof

**Notifications of Conflicts of Interest**

The authors report no conflict of interest relative to the article subject

Journal Pre-proof