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Bacterial and Fungal Profiles as Markers of Infliximab Drug Response in Inflammatory Bowel Disease

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1 **Abstract**

2 **Background:** Inflammatory bowel diseases (IBDs), Crohn's disease (CD) and ulcerative colitis
3 (UC), are globally increasing chronic gastro-intestinal inflammatory disorders associating with
4 altered gut microbiota. Infliximab (IFX), a TNF-alpha blocker, is used to treat IBD patients
5 successfully though one third of the patients do not respond to therapy. No reliable biomarkers are
6 available for prediction of IFX response. **Aims:** Our aim was to investigate the faecal bacterial and
7 fungal communities during IFX therapy and find predictors for IFX treatment response in IBD
8 patients. **Methods:** 72 IBD patients (25 CD and 47 UC) started IFX therapy and were followed for
9 one year or until IFX treatment was discontinued. Amplicon sequencing approach targeting the
10 bacterial 16S rRNA gene and fungal ITS 1 region separately was used to determine the microbiota
11 profiles in faecal samples collected before IFX therapy, two, six, twelve weeks and one year after
12 initiation of therapy. The response to IFX was evaluated by colonoscopy and clinically at twelve
13 weeks after initiation. **Results:** Both the faecal bacterial and fungal profiles differed significantly
14 between response groups before start of IFX treatment. Non-responders had lower abundances of
15 short chain fatty acid producers, particularly of the class Clostridia and higher abundances of pro-
16 inflammatory bacteria and fungi, such as the genus *Candida*, compared to responders. This was
17 further indicated by bacterial taxa predicting the response in both CD and UC patients (area under
18 curve > 0.8). **Conclusions:** Faecal bacterial and fungal microbiota composition could provide a
19 predictive tool to estimate IFX response in IBD patients.

20 **Keywords:** Microbiota, IBD, infliximab

21

1 **1. Introduction**

2 Inflammatory bowel diseases (IBDs) are chronic inflammatory conditions of the intestine, of
3 which the most common subtypes are Crohn's disease (CD) and ulcerative colitis (UC) [1]. These
4 are differentiated by an inflammation that is limited to the colonic mucosa in UC and an
5 inflammation that is transmural and can manifest anywhere in the gastrointestinal tract in CD [2].
6 No defined pathogenesis has been established for IBD, but the disease derives from several
7 environmental factors and particularly an imbalanced gut microbiota in a host, who is genetically
8 prone to IBD [3, 4, 5].

9 An emerging number of studies over the past 20 years have shown that gut microbiota with altered
10 composition plays the pivotal role in the pathogenesis of IBD as reviewed by Nishida *et al.* [6].
11 The reduction of bacteria with anti-inflammatory capacities, such as short chain fatty acid (SCFA)-
12 producing bacteria belonging to the Clostridia class and increases in bacteria with inflammatory
13 capacities are detected in faecal samples of patients with IBD when compared to healthy subjects.
14 IBD patients also show a decreased diversity of gut microbiota and a lower abundance of
15 Firmicutes compared to healthy subjects [6]. However, most of the previous studies on intestinal
16 microbiota have concentrated on the bacterial community (bacteriome), and research into gut
17 fungal communities (mycobiota) in IBD has started only recently. This has enabled more
18 comprehensive research in the role of intestinal bacteria and fungi in the pathobiology of IBD. The
19 fungi present in the human gut are known to elicit immunomodulatory effects especially via
20 polysaccharides in their cell wall and contribute to disease progression as reviewed by Galloway-
21 Peña and Kontoyiannis [7].

22 There is no cure for IBD, but inflammation can be treated with different medications, such as
23 steroids, thiopurines or biologicals [8]. Faecal microbiota transplant has been used as a treatment
24 in IBD patients successfully by restoring microbial diversity [9]. Of the IBD patients receiving

1 conventional treatment with thiopurines, approximately 10–15% require further treatment to
2 achieve and maintain remission [10]. Tumour necrosis factor alpha (TNF- α) is a cytokine that is
3 part of the proinflammatory cascade, which is activated in an autoimmune reaction and is
4 associated with both CD and UC [11]. Infliximab (IFX) is a chimeric monoclonal antibody that
5 blocks the activity of TNF- α by binding to it and is used to induce and maintain remission in
6 numerous autoimmune diseases, including IBD [12]. However, up to 40% of the CD patients and
7 approximately 50% of the UC patients treated with IFX do not respond to the medication.
8 Additionally, up to 40% of primary responders lose their response over time [13]. Early
9 identification of the patients who do not respond to IFX therapy allows for prompt modification
10 of the medical treatment which might reduce potential harmful side effects [14] and the cost of
11 therapy [15]. Previous studies have investigated the gut bacteriome for the prediction of response
12 against TNF- α blocker in IBD and suggested that the gut microbiota may provide potential
13 biomarkers for monitoring and predicting IBD treatment outcomes although a number of these
14 studies have been constrained by limited power [16, 17, 18, 19, 20]. To our knowledge, similar
15 studies have not been performed on the gut mycobiota in adult IBD patients.

16 The potential of fungal and bacterial profiles individually and in combination as markers for
17 predicting IFX response during treatment has not been fully explored. In the present study we
18 investigated first, the influence of IFX therapy on both gut bacterial and fungal communities in a
19 prospective IBD patient cohort consisting of both CD and UC patients and second, characterized
20 potential markers of gut fungal and bacterial genera for predicting IFX drug response in IBD.

21

1 **2. Materials and methods**

2 2.1. Study design and subjects

3 The cohort consisted of adult patients with a diagnosis of CD or UC, for whom IFX therapy was
4 initiated at the Department of Gastroenterology at Helsinki University Hospital between February
5 2017 and 2019. The reason for starting IFX treatment was active inflammation without response
6 or with intolerance to prior conventional or biological IBD medications. IFX treatment response
7 was assessed after induction at week twelve after treatment initiation. Stool and serum samples
8 were collected before initiation of IFX therapy (two days to a few hours before the first infusion
9 of IFX) and at two, six and twelve weeks, and at one year. 16S and ITS sequencing were performed
10 at different timepoints, therefore a different number of samples is included in the analyses.

11 2.2. Evaluation of response against IFX

12 Clinicians performed endoscopy at week twelve after IFX initiation to assess endoscopic activity.
13 Treatment outcome was evaluated using endoscopic and clinical indices. For UC and IBD
14 unclassified (IBDU) patients, the Mayo Score (MS), combining clinical and endoscopic
15 assessment, was applied. Remission (R), or responder to IFX, was defined as an MS of ≤ 2 points
16 combined with an endoscopic sub score of 0 or 1 points. Partial remission (PR), or partial responder
17 to IFX, was defined as an MS of 3 or 4 point with and endoscopic sub score of 1 or 2. Patients
18 with an MS of ≥ 5 points and an endoscopic sub score of ≥ 2 were defined as non-responders (NR)
19 [10, 21].

20 For CD patients The Simple Endoscopic Score for Crohn's Disease (SES-CD) was used to
21 determine endoscopic activity at week twelve [22]. Endoscopic remission was defined as a SES-
22 CD score of 0-2 points and endoscopically mildly active disease as a SES-CD score of 3-6 points

1 and was regarded as a PR. SES-CD 7–15 suggested moderately active and SES-CD ≥ 16 severely
2 active disease and were regarded as NR to IFX treatment [23, 24].

3 Some recruited patients did not undergo endoscopy at twelve weeks after initiation of IFX
4 treatment. Disease activity of these patients was evaluated with clinical scores and faecal
5 calprotectin (fCal) measured at week twelve after IFX initiation. For fCal measurement a
6 quantitative enzyme immunoassay (PhiCal Test, Calpro AS, Oslo, Norway) was applied and fCal
7 values under 100 $\mu\text{g/g}$ were considered as normal [25]. In UC patients the Partial Mayo Score
8 (PMS) and in CD patients the modified Harvey-Bradshaw -index (mHBI, no abdominal palpation)
9 was applied. In UC patients a PMS of ≤ 2 combined with a normal fCal value was defined as R.
10 PR was determined as a decrease of PMS ≥ 3 points from baseline [26]. R of CD patients was
11 defined as an mHBI ≤ 4 points and a normal fCal, and PR as an mHBI reduction of ≥ 3 points from
12 baseline [27, 28]. If treatment was discontinued due to surgery other than colectomy, or other
13 reason, treatment outcome at week twelve was not assessed and the patient's data not included in
14 the bacteriome and mycobiota analysis.

15 2.3. ASCA IgG/IgA ELISA assay

16 The methods are described in Supplementary Methods 1.

17 2.4. Faecal DNA extraction

18 A total of 297 faecal samples were collected from 72 IBD patients and transported to the research
19 facilities within approximately 8 hours. The samples were stored at $-80\text{ }^{\circ}\text{C}$ until the DNA was
20 extracted from the samples using the repeated bead beating (RBB) method optimized for faecal
21 DNA extraction as previously described [29].

22 2.5. Library preparation

1 **The bacterial composition** was analysed by Illumina MiSeq sequencing of the hypervariable V3-
2 V4 region of the 16S rRNA gene with primers 341FWD 5'-CCTACGGGNGGCWGCAG-3' and
3 785REV 5'-GACTACHVGGGTATCTAATCC-3' in two separate runs for a total of 297 samples
4 from 72 IBD patients. The library was prepared as previously described [30]. **For the fungal**
5 **composition analysis**, DNA was amplified in a separate reaction with the PCR primer pair ITS1F
6 (FWD, CTTGGTCATTTAGAGGAAGTAA) and ITS2 (REV,
7 GCTGCGTTCTTCATCGATGC), which target the conserved ITS1 region of fungal DNA [31].
8 The libraries were prepared in a three-step PCR, described in Supplementary Methods 2, for 285
9 samples of 71 IBD patients. Illumina MiSeq paired-end sequencing was performed for both 16S
10 and ITS in Functional Genomics Unit, University of Helsinki, Helsinki, Finland, in separate runs.
11 The 16S and ITS rDNA amplicon sequences are deposited in the European Nucleotide Archive
12 (ENA). Other data that support the findings of this study are available from the corresponding
13 authors upon reasonable request.

14 2.6. Analysis of sequencing data

15 **For bacterial composition**, the 16S rRNA amplicon sequencing reads were analysed using the R
16 package *mare* [32]. The median number reads obtained per sample was 34499 (range 185 - 87774)
17 for the first MiSeq run and 55753 (range 4325 - 124080) for the second MiSeq run. Data from both
18 separate runs were combined and analysed together. The processing was done using the
19 *ProcessReads* function in the *mare* package using the default parameters. Only forward reads
20 truncated to 150 bases were used and reads below the abundance of 0.000015% were discarded.
21 After pre-processing the median number of reads obtained per sample was 29361 (range 105 -
22 65089) in combined data. Taxonomic annotation was conducted using *USEARCH* [33] by
23 mapping the reads to the *SILVA* 16S rRNA reference database version 115 [34] including only
24 gut-associated taxa. Diversity was measured as the inverse Simpson diversity index and richness

1 as the number of operational taxonomic units (OTUs; reads clustered at 97% similarity). Samples
2 with <2000 reads (N = 5) were not included in the analyses. There was an association between the
3 read count and microbiota richness ($r = 0.377$, Pearson, $p < 0.0001$) and hence all statistical
4 analyses were performed using the number of reads per sample as the offset. **For fungal**
5 **composition**, the MiSeq sequencing data was pre-processed using DADA2 version 1.12.1 [35].
6 Both forward and reverse reads were included in the analysis. The total number of ITS reads per
7 sample before pre-processing was at an average of 54 700 reads. The processing was done
8 according to the DADA2 pipeline for ITS processing with a few exceptions: due to low quality of
9 reverse reads, Ns were not removed from the reads prior to removing the primers by using Cutadapt
10 version 2.10 [36]. Additionally, parameters in the filterAndTrim function were set to maxEE = 4,
11 maxN = 0 and minLen = 100. After pre-processing ITS reads were received for 284 out of the 285
12 samples from the study. The amplicon sequence variants (ASVs) were annotated with BLAST
13 [37], using the nt database, with "Fungi" as the organism specific filter. Out of these samples, 195
14 samples (68%) were successfully annotated with the read count being at an average of 1900 reads
15 for successfully annotated taxa. Finally, the R package mare [32] was used for analysis and
16 visualization. There was an association between the read count and mycobiota richness ($r = 0.188$,
17 Pearson, $p = 0.008$). Species level annotations, included in brackets, were the most likely hits
18 based on BLAST [37] or SILVA [34] annotations.

19 2.7. Statistical analysis

20 Statistical analysis for the relative abundance data of bacteria and fungi on all taxonomical levels
21 was performed in R with package mare [23] with tools from packages vegan [38], MASS [39] and
22 nlme [40]. P-values for taxon-specific differences were corrected for false discovery rate (FDR;
23 Benjamini–Hochberg [41]). Associations between the overall microbiota composition and
24 background variables were studied using principal coordinates analysis (PCoA), with R package

1 vegan [38], and multivariate permutational analysis of variance (PERMANOVA). The data-based
2 selection of confounders was based on PERMANOVA and factors that were statistically
3 significantly associated with the variation in the bacterial and fungal taxa were used as confounders
4 in respective analyses. For both bacterial and fungal data, generalised linear models with negative
5 binomial distribution (glm.nb) from the MASS package [39] and Generalized Least Squares (gls)
6 from the nlme package [40] were used for analysing differences in response groups and IBD
7 subtypes. In all 16S analyses age, sex, smoking and use of medication were used as confounders
8 and 0.1 was used as min prevalence and 0.01 as min abundance. In all ITS analyses age, sex,
9 smoking, IBD subtype and use of the drugs mesalazine, mercaptopurine and azathioprine were
10 used as confounders and 500 was used as read count cut-off. In ITS analysis 0.1 was used as min
11 prevalence and 0.01 as min abundance. When assessing the effect of medication on the bacteriome,
12 the use of medicines was categorized into 10 categories based on the medication usage in patients
13 (Supplementary Methods 3). A set of negative control samples containing only PCR reagents were
14 included and sequenced together with the samples as described in the Supplementary Methods 4.

15 Groupwise comparisons of univariate data (e.g. richness, diversity and read counts) were
16 conducted with GraphPad Prism 7.0 (GraphPad Software, Inc., San Diego, CA). Parametric tests
17 (Anova, parametric t-test) were used for normally distributed values and non-parametric tests
18 (Mann-Whitney U-test) for values not normally distributed or low in numbers.

19 PathModel function in mare [23] was used to identify the bacterial genera that differed
20 significantly between response groups and find the ideal glm model [39] to fit the data. Age, sex,
21 smoking, IBD subtype and use of the drugs mesalazine, mercaptopurine and azathioprine were
22 used as confounding variables in the PathModel function. For CD patients the predictive power
23 was further investigated by constructing an initial model using the bacterial taxa identified as
24 significantly different between response groups in this article and reducing it stepwise using

1 Akaike Information Criterion (AIC) [42]. The performance of the final models was tested and
2 visualized with receiver operating characteristic (ROC) analysis using the pROC package [43].
3 The bacterial genera used within this model are listed in Supplementary Methods 5.
4 Finally, the correlation between bacterial and fungal genera was studied by calculating Spearman
5 correlations and p values. Phyloseq objects were created from both the 16S and the ITS data
6 separately [44]. Filtering was done by first summarizing the unique genera based on OTUs and
7 including only the taxa with an abundance of over 100 reads.

8 2.8. Ethics statement

9 The study was approved by the Ethics Committee of the Helsinki University Central Hospital
10 (147/13/03/01/16) and was registered in European Union Drug Regulating Authorities Clinical
11 Trials Database (EUDRA-CT-Number: 2016-001278-13). The patients signed an informed
12 consent form to participate in the present study before the start of IFX therapy.

13

14

1 **3. Results**

2 3.1. Patient characteristics

3 Altogether, 75 patients (26 CD and 49 UC) were recruited for the study, but three patients withdrew
4 consent before data collection was initiated and were excluded. Data of 72 patients were included
5 in baseline characteristics, presented in Table 1. For data analysis, IBDU patients (n=2) were
6 combined with the UC group.

7 Two patients terminated treatment before week twelve due to colectomy. These patients were
8 regarded as NRs and their data were included in the bacteriome and mycobiota analysis. One
9 patient underwent planned upper gastrointestinal tract surgery, and one patient developed an
10 allergic reaction at the second infusion due to immunization and IFX was discontinued. Since the
11 response for these patients was not determined, both patients' data were excluded from analyses
12 predicting IFX response. Data of 68 patients were available for evaluation of treatment outcome
13 at week twelve. Endoscopy was performed in 59 patients (17 CD patients, 42 UC/IBDU). In nine
14 patients (6 CD, 3 UC) treatment response was evaluated by clinical scores and fCal, if available
15 (see Figure 1).

16 3.1.2. Response to IFX therapy

17 IFX response was evaluated in 70 patients after twelve weeks of IFX treatment. Out of the 70
18 patients, 44 (62.9%) were Rs at week twelve. Twelve patients (17.1%) were PRs to IFX-treatment
19 and 14 patients (20.0%) were considered NRs as presented in Figure 1. The individual responses
20 of each patient to IFX treatment at week twelve are shown in Supplementary Table 1 (ST1). During
21 the follow-up time of one year 15 patients discontinued IFX due to loss of response or other reasons
22 like pregnancy.

23

1 3.2. Composition, diversity and richness of gut microbiota in IBD patients

2 3.2.1. Overview of faecal bacterial composition

3 Faecal DNA sequencing was used to determine the gut bacterial community composition among
4 patients in the IFX treatment cohort. Firmicutes (68.0%), Bacteroides (15.5%), Actinobacteria
5 (9.9%) and Proteobacteria (5.8%) were the main phyla making up 99.1% of the bacteriome. We
6 detected 105 bacterial genera in IBD patients. The most abundant bacterial genera were an
7 unknown genus of *Lachnospiracea*, *Faecalibacterium*, *Bacteroides*, *Bifidobacterium*,
8 *Subdoligranulum*, *Blautia*, *Roseburia* and *Enterobacter*.

9 3.2.2. Overview of faecal fungal composition

10 DNA sequencing was also used to determine the composition of the gut fungal communities
11 among patients in the IFX treatment cohort. The samples that did not annotate successfully to any
12 gut or environment-specific taxa had lower read counts before pre-processing compared to the
13 ones that did annotate successfully. Additionally, there was an association between the samples
14 that did not annotate successfully and bacteriome samples with lower read counts ($p = 0.01$). The
15 gut mycobiota in the IBD patients was composed of phyla Basidiomycota and Ascomycota, of
16 which Ascomycota was more abundant (73.0% of the mycobiota, Basidiomycota 18.4% and the
17 rest were uncultured Eukaryota). In the samples, 48 different genera were observed, of which
18 *Candida* was the most abundant (25.6% of the mycobiota), followed by *Clavispora* (10.3%) and
19 uncultured *Galactomyces* (7.7%). The prevalence of *Candida* was 40%, *Clavispora* 20% and
20 uncultured *Galactomyces* 12%. The prevalence of all genera is presented in ST2. For reliable
21 statistical analyses, only the genera with a prevalence above 10% were included. The fungal faecal
22 composition is presented at genus level for each sample at different timepoints in Supplementary
23 Figures S1-5.

1 3.2.3. Diversity and Richness

2 3.2.3.1. Diversity and richness in gut bacteriome

3 The bacterial diversity ranged from 1.0 to 28.2 (median 9.0) and the richness varied between 33
4 and 192 (median 106) among all samples. There was a trend with the diversity being higher in UC
5 compared to CD at baseline and two, twelve and 52 weeks of treatment although no statistical
6 significance was reached. Bacteriome richness did not differ at any timepoint between CD and
7 UC. The richness was lower in samples among patient who underwent surgery ($p = 0.0004$)
8 compared to patients who completed the study. When the patients were divided into groups
9 stratified by IFX response, the diversity was higher in Rs compared to NRs at six weeks ($p = 0.01$,
10 Mann-Whitney, Supplementary Figure S6). In addition, a similar trend was seen at baseline ($p =$
11 0.06) and at other timepoints as well, although not significant. Richness did not differ significantly
12 between response groups during the study at any timepoint (Supplementary Figure S7).

13 3.2.3.2. Diversity and richness in gut mycobiota

14 The fungal diversity ranged from 1.0 to 4.4 (median 1.0) and the richness varied between 1 and 10
15 (median 2.0) among all samples. No significant differences were observed in fungal diversity ($p =$
16 0.2 , MW) or richness ($p = 0.2$, MW) between IBD subtypes at baseline. A trend with diversity
17 being lower in NRs compared to Rs was observed at all timepoints except for the sixth week
18 timepoint, although not significant (Supplementary Figure S8). The same trend between response
19 groups was also present in richness (Supplementary Figure S9) although not statistically
20 significant.

21

22 3.3. IBD subtypes and gut microbiota composition

1 Principal coordinates analysis (PCoA) based on Bray-Curtis dissimilarity of all samples revealed
2 that the gut bacterial communities clustered according to the diagnosis (3% of variation explained
3 by diagnoses, $p = 0.001$, Supplementary Figure S10). Therefore, IBD subtypes were included as a
4 confounding variable in later analyses. The composition of the main bacterial genera in all IBD
5 patients, in CD and in UC is shown in Figure 2 (A-C, respectively). Before initiation of IFX therapy
6 it was observed that the abundance was significantly higher in CD compared to UC for the class
7 *Bacilli* and the order Lactobacillales by eight-fold (FDR-corrected p (p FDR) = 0.005 , glm.nb),
8 the family *Streptococcaceae* by five-fold (p FDR = 0.03 , glm.nb) and the genus *Desulfovibrio* by
9 thirteen-fold ($p = 0.005$, glm.nb), and lower for the class Clostridia and the order Clostridiales by
10 one-fold ($p < 0.0001$, glm.nb) and the family *Ruminococcaceae* by one-fold ($p < 0.0001$, glm.nb).
11 The gut fungal composition in the IBD subtypes was analysed before the initiation of IFX therapy
12 and it was observed that the abundance of Ascomycota was significantly higher by one-fold (p
13 FDR < 0.0001 , glm.nb) in CD compared to UC. Since significant differences were observed
14 between CD and UC, it was considered in further analysis.

15

16 3.4. Influence of IFX treatment on bacterial composition

17 We investigated the influence of the response to IFX therapy on composition of gut bacteria in
18 IBD subtypes. Principal coordinates analysis (PCoA) based on Bray-Curtis dissimilarity of all
19 samples revealed that the gut bacterial communities clustered according to the IFX response in all
20 patients (2% of variation explained by IFX response, $p = 0.001$), in CD (7%, $p = 0.001$) and in UC
21 (2%, $p = 0.02$, Supplementary Figure S11) suggesting that the microbiota composition varies more
22 between response groups in CD than in UC. Our main focus was on comparing the differences
23 between NRs and Rs.

1 Gut bacterial composition showed differences at family, order and genus levels at different time
2 points during the study between the IFX response groups in CD, UC and all IBD patients
3 combined. Differences at family level in all IBD patients are shown in Figure 3 as well as
4 differences at family level in CD and UC (in Supplementary Figure S12). CD patients had more
5 consistent and numerous differences in taxa between the response groups. The relative abundances
6 of several genera such as *Odoribacter*, *Alistipes*, *Butyricimonas* and *Anaerofilum* were lower in
7 NRs, while *Parasutterella*, *Haemophilus* and *Veillonella* were higher in NRs compared to Rs at
8 several timepoints in all IBD patients. There were fewer changes in several taxa between
9 timepoints during the study in Rs compared to PRs and NRs suggesting more stable bacterial
10 composition in Rs. Similarly, in CD the most consistent findings at genus level were lower relative
11 abundances of *Alistipes* and *Butyricimonas* and higher relative abundances of *Veillonella* in NRs
12 compared to Rs. In addition, the CD patients had lower relative abundances of *Bifidobacterium*,
13 *Barnesiella*, *Enterobacter* and *Phascolarctobacteria* as well as higher relative abundances of
14 *Streptococcus* in NRs compared to Rs. In UC, clear and uniform differences between response
15 groups were not as numerous as in CD but were seen at genus level in lower relative abundances
16 of *Alistipes*, *Anaerococcus* and *Odoribacter* and higher abundances of uncultured *Prevotella* and
17 *Sutterella*.

18 We compared the changes in bacterial composition between response groups over time. No
19 significant (FDR-corrected) differences in changes of taxa were seen between NRs and Rs in all
20 IBD patients or in UC. In CD, Enterobacteriales were increased in Rs and decreased in NRs and
21 this change was significantly different ($p \text{ FDR} < 0.0001$) between the baseline and two weeks
22 timepoint. No other changes after FDR-correction were seen but based on raw p-values some taxa
23 were changed significantly during the study in both CD and UC. PR had more differences
24 compared to NR than R in general among all patients.

1

2 3.5. Prediction of IFX response using microbial profiles at baseline

3 We explored whether the bacterial and fungal gut microbiota differed between response groups at
4 baseline. We observed clear differences between IFX response groups among all patients and in
5 CD and UC (Figure 4). In our analysis, we wanted to focus on comparing NRs to Rs.

6 NRs had less Clostridia (by one-fold, $p \text{ FDR} < 0.0001$), of which *Ruminococcaceae* (by one-fold,
7 $p \text{ FDR} < 0.0001$) and an unknown genus of *Ruminococcaceae* (by two-fold, $p \text{ FDR} < 0.0001$) were
8 significantly decreased at family and genus level, respectively (ST3). Differences were seen at
9 family level in *Carnobacteriaceae*, *Peptostreptococcaceae*, *Ruminococcaceae* and
10 *Enterobacteriaceae* between NRs and Rs. In addition, *Odoribacter* and unknown *Ruminococcaceae*
11 were significantly increased in Rs compared to NRs and *Granulicatella*, *Enterobacter* and an
12 unknown genus of *Peptostreptococcae* were increased in NRs compared to Rs. In CD patients
13 Bacteroidetes were elevated in Rs (by nine-fold, $p \text{ FDR} = 0.03$), while Firmicutes were elevated
14 in NRs (by two-fold, $p \text{ FDR} = 0.02$) (ST4). In UC patients, Bacteroidetes was elevated in NRs (by
15 one-fold, $p \text{ FDR} < 0.0001$) at baseline (ST5).

16 In CD patients, the orders Bifidobacteriales Micrococcales, Lactobacillales, Burkholderiales and
17 Pseudomonales were significantly more abundant in NRs, while Bacteroidales and
18 Desulfovibrionales were significantly elevated in Rs. Thirteen genera and eight families differed
19 significantly (by FDR-corrected p-values) between response groups (Figure 4A-D). In UC
20 patients, the order Bacteroidales, families *Enterococcae*, *Clostridiaceae*, *Peptostreptococcaceae*
21 and *Ruminococcaceae* and seven genera differed significantly (by FDR-corrected p-values)
22 between groups NR and R (Figure 4A-D).

1 The genus *Candida* (*C. albicans*) was significantly more abundant in NRs compared to Rs by two-
2 fold ($p \text{ FDR} < 0.0001$, ST6) before the initiation of IFX therapy and remained more abundant in
3 NRs among all IBD patients at week two, six and 52. *Candida* (*C. albicans*) was more abundant
4 also in both CD and UC patients in the group of NRs at baseline. Similarly, Ascomycota was
5 elevated in both CD and UC at baseline by one-fold ($p \text{ FDR} < 0.0001$, ST7, ST8). The ratio
6 between relative abundances of Ascomycota and Basidiomycota was calculated at all timepoints,
7 but there were no significant differences between the response groups.

8 The response to IFX therapy was predictable with high AUC values (Figure 5A-C) before start of
9 treatment. When ROC analyses were done using PathModel function to select predictive genera
10 the AUC value was 0.797 for all IBD patients (Figure 5A), 0.842 in CD patients and 0.791 in UC
11 patients (Supplementary Figure S13). The AUC value rose to 0.933 in CD patients (Figure 5B)
12 and to 0.818 in UC patients (Figure 5C) when using the genera we found to differ between the
13 response groups (see Supplementary Methods 5). In UC *Candida* was included in the ROC
14 analysis in addition to the bacterial genera.

15 3.6. Correlation between bacteria and fungi

16 We performed Spearman correlation analyses between fungal and bacterial genera at baseline to
17 investigate the cross-kingdom relationships in the microbiota in relation to IFX therapy response.
18 Multiple significant correlations were observed between fungal and bacterial genera and the
19 correlations differed between response groups (ST9-11).

20 In Rs at baseline, *Clavispora* correlated negatively with four bacterial genera (ST9). Uncultured
21 *Galactomyces* correlated negatively with *Bilophila* ($r = -0.48$, $p = 0.01$, ST9) and positively with
22 *Citrobacter* ($r = 0.42$, $p = 0.03$, ST9). Uncultured *Saccharomyces* correlated negatively with three
23 bacterial genera (ST9). In PRs at baseline *Candida* correlated negatively with *Bacteroides* ($r = -$

1 0.76, $p = 0.02$, ST10), *Clostridium* ($r = -0.78$, $p = 0.01$, ST10), *Coprococcus* ($r = -0.73$, $p = 0.02$,
2 ST10), *Dorea* ($r = -0.87$, $p = 0.002$, ST10), *Pseudomonas* ($r = -0.70$, $p = 0.04$, ST10) and *Roseburia*
3 ($r = -0.83$, $p = 0.006$, ST10). Additionally, *Gelatoporia* correlated positively ($r = 1.0$, $p < 0.001$)
4 with *Christensenella* in PRs at baseline. In NRs, *Candida* correlated positively with *Klebsiella* (r
5 $= 0.83$, $p = 0.02$, ST11) and *Lactococcus* ($r = 0.94$, $p = 0.002$, ST11). Additionally, uncultured
6 *Saccharomyces* correlated with eleven bacterial genera (see ST11).

7

8 3.7. ASCA IgG/IgA ELISA assay results

9 IgG and IgA values were more elevated in CD patients compared to UC ($p < 0.0001$). No
10 correlation was observed between ASCA values and response to IFX therapy. Neither IgG nor IgA
11 values correlated with the % relative abundance of *Saccharomyces* or *Candida* at baseline.

12

1 **4. Discussion**

2 We have investigated the faecal bacterial and fungal compositions in a prospective IBD cohort
3 treated with the TNF- α blocker IFX and followed for one year. The gut bacterial compositions in
4 IBD patients have previously been investigated, but only a few studies have explored the fungal
5 composition thus far. We identified significant differences in the gut bacteriome and mycobiota in
6 patients depending on their response to IFX treatment already before the initiation of therapy, also
7 indicated by high predictive power. During the therapy, bacterial composition was found to be
8 more stable in responders compared to partial or non-responders. Additionally, responders and
9 non-responders showed differences in correlations between bacterial and fungal genera before start
10 of IFX treatment. These findings support the suggestion that bacterial and fungal microbiota
11 profiles could provide predictive markers for response to IFX therapy.

12 The bacteriome differed between CD and UC patients and bacterial diversity was higher in UC
13 compared to CD, as previously found [45, 46]. The composition at phylum level also agreed with
14 previous studies with Firmicutes, Bacteroides, Actinobacteria and Proteobacteria being the four
15 main phyla representing 99.1% of all phyla [47, 48]. The detected fungi of the gut mycobiota in
16 IBD patients belonged to the phyla Ascomycota and Basidiomycota, as has previously been
17 observed [49, 50]. The most common genera in the human gut mycobiota are *Saccharomyces* and
18 *Candida* [49, 52, 53], while *Candida* was the most abundant in this study. The prevalence of genera
19 was consistently low in our study, and therefore only genera with a prevalence above 10% were
20 included. Others have reported similar issues [53] and this should be considered when interpreting
21 the results. It has been reported that *Candida* is more abundant in IBD patients compared to healthy
22 controls [54, 55] while *Saccharomyces* species are decreased in IBD patients [46]. The mycobiota
23 is less stable than the bacteriome and varies over time [56].

1 Our results demonstrate significant differences in bacterial taxa between IFX treatment response
2 groups before initiation of IFX treatment. Several studies have previously explored the use of
3 microbial markers in predicting (TNF- α) treatment relapse in patients with CD or UC, both in
4 paediatric patients [17, 55, 57, 58] and in adults [16, 59, 60]. As reviewed by Ananthakrishnan
5 [61], the patient number has been limited in some studies, which might hamper significant
6 findings. The evaluation of response, microbiota analysis and whether the study is performed with
7 control subjects vary and introduce difficulties when comparing results [61].

8 Zhou *et al.* demonstrated an increase in relative abundance of Clostridiales in responders compared
9 to those who relapsed in a study with 16 CD patients and that Clostridiales could predict treatment
10 effectiveness in combination with calprotectin [16]. Similarly, Clostridiales was more abundant in
11 Rs compared to NRs in our study. The Clostridiales order and its families *Lachnospiraceae* and
12 *Ruminococcaceae*, several of which are butyrate producing organisms, represent the most
13 dominant group of bacteria in the healthy human gut. Butyrate and butyrate-producing bacteria
14 have been shown to be elevated in IBD patients in response to TNF- α treatment, and even
15 presented as possible markers for response [59]. We observed a lower abundance of *Odoribacter*
16 and unknown *Ruminococcaceae* in NRs compared to Rs before start of IFX therapy. These genera
17 are SCFA producers [62, 63] and have been shown to produce butyrate [62, 64] and have also
18 previously been reported to be decreased in NRs to IFX [17, 19, 65]. Wang *et al.* reported that
19 *Lachnospira*, *Ruminococcus*, *Sutterella*, *Roseburia* and *Bilophila* are decreased in paediatric CD
20 non-responders to IFX [17]. Additionally, *Granulicatella* [19], *Bifidobacterium* [58], *Bacteroides*
21 [16, 65], *Enterobacter* [58], *Sutterella* [19, 66], *Clostridium* [19], unknown *Lachnospiraceae* [19,
22 60], *Alistipes* [17], *Enterococcus* [17] and *Faecalibacterium* [17, 18, 19] have been reported to
23 associate with IFX response, as in our study. Further, the genus *Rothia*, which was increased in
24 CD NRs, has previously been shown to be associated with disease progression [67] and *Dialister*,

1 which was increased in UC Rs, has been shown to predict reduction in dysbiosis [55]. *Clostridium*
2 has been observed to be elevated in responders to IFX [19], but in our study it was more abundant
3 in non-responders compared to responders.

4 The possibility to predict IFX therapy response from the gut microbiota was further analysed by
5 ROC curves, which indicated the performance of the models created from a list of predictive
6 genera. The ROC analyses were performed using bacterial genera that significantly explained the
7 difference between response groups. Out of these *Bifidobacterium*, *Sutterella*, *Enterococcus*,
8 *Enterobacter*, *Alistipes*, *Clostridium* and *Faecalibacterium* have previously been found to
9 associate with IFX response [16, 17, 19, 58, 60, 66]. Additionally, *Candida* was included in UC
10 ROC, indicating its predictive value. These analyses demonstrated high predictive power for IFX
11 response.

12 Only few studies have investigated the possible markers of response to IFX therapy in the gut
13 mycobiota and, to our knowledge, none have studied the response in gut microbiota of adult CD
14 and UC patients. We found that *Candida* (*C. albicans*) was more abundant in NRs at baseline in
15 both CD and UC patients, in line with its possible dysbiosis-driving properties. Although *Candida*
16 colonizes the healthy gut, it has been observed that the host regulates its growth [68, 69]. In a
17 recent study done in type 1 diabetes patients, *Candida* and *Saccharomyces* were observed as
18 elevated in patients in whom the disease progressed [53]. In addition, it has been reported that IBD
19 patients are characterized by elevated *C. albicans* levels and reduced *Saccharomyces cerevisiae*
20 levels, further highlighting the dysbiotic properties of *C. albicans* [49]. In a reduction of SCFA
21 producers caused by antibiotics, there was an increased abundance of *C. albicans* [70] which is
22 consistent with our results that NRs have lower abundance of SCFA (namely butyrate) producers
23 and increased abundance of *C. albicans* compared to Rs. Interestingly, some fungal species,
24 primarily *Saccharomyces boulardii*, is used for probiotic purposes in treating diarrhoea [71, 72,

1 73]. *Saccharomyces* has been observed to be decreased during IBD flare, indicating that it protects
2 against disease [49]. These contradicting observations reveal the difficulty in determining whether
3 a fungal organism has a probiotic or dysbiotic property and highlight their species-specific
4 properties and effects on the host. We also observed a clear trend with lower diversity in NRs
5 compared to Rs in both the gut bacteriome and mycobiota, although not significant at all
6 timepoints. Consistent with earlier findings, a lower diversity signals a more inflamed gut [74].

7 IBD has successfully been treated by faecal microbiota transplantation [75, 76]. Both anti-fungal
8 treatments and fungal probiotics have been studied in treating active IBD with successful results
9 highlighting the potential in such treatments, as reviewed by Lam *et al.* [77]. There is also
10 supportive evidence that diet affects the gut mycobiota, with carbohydrate-rich diets causing
11 elevation in fungal species [52, 78] and protein-rich diets the contrary [52]. These findings support
12 the suggestion that the microbiota can affect treatment outcome.

13 The bacterial and fungal communities in the gut microbiota interact [49, 69, 79]. Following
14 antibiotic treatment in mice, there was a significant increase in the growth of fungi [80] suggesting
15 that the decrease of bacteria causes an increase in fungal growth. We investigated this in our study
16 by calculating Spearman correlations between the kingdoms. Interestingly, we observed
17 differences in correlations between fungal and bacterial genera in Rs, PRs and NRs. In Rs, there
18 was no significant correlation between *Candida* and bacterial genera. We observed correlations
19 between bacterial genera and *Clavispora*, uncultured *Galactomyces* and uncultured
20 *Saccharomyces*, although the low r values indicated only weak to moderate correlation. In NRs,
21 *Candida* correlated positively with *Lactococcus*, a bacterial genus that was elevated in responders
22 to IFX [61] and is considered to have probiotic properties [81], and *Klebsiella*, a genus previously
23 associated with non-response to IFX [17, 55, 61]. In PRs, *Candida* correlated negatively with
24 *Pseudomonas*, which is considered a driver of dysbiosis [82, 83], and *Clostridium* was elevated in

1 CD and UC NRs. All the other bacterial genera that correlated negatively with *Candida* in PRs at
2 baseline, namely *Bacteroides*, *Coprococcus*, *Dorea* and *Roseburia*, have previously been
3 associated with low abundance in non-responders to IFX therapy [17, 18, 55]. The correlations
4 reveal the possibility that not only bacterial and fungal organisms alone, but also their interplay
5 can be part of predicting IFX response.

6 Other potential predictive biomarkers for IFX response, besides the microbiota, have also been
7 investigated. Recently some genetic and protein-based biomarkers, e.g., TREM1, ZNF133 and
8 Oncostatin M have been identified to associate with IFX response in IBD patients [84, 85, 86].
9 Biomarkers predicting treatment response are urgently required to select optimal medical treatment
10 for IBD patients as about one third are NRs to TNF- α blockers [87]. Interestingly, our prediction
11 of response calculated by ROC curve analyses was stronger than that of some other biomarkers,
12 particularly for CD patients [84, 85, 88], although our results need to be validated in a larger cohort.

13 The anti-*Saccharomyces cerevisiae* antibody (ASCA) is an antibody against oligomannosides in
14 the cell wall of bakers' yeast [89] *S. cerevisiae*, which is present in the human gut mycobiota. It is
15 used as a biomarker for CD and has been observed to correlate with both *S. cerevisiae* and species
16 of the genus *Candida* [79, 90]. Here we studied ASCA values as a possible marker for response.
17 ASCA values measured from baseline serum samples did not correlate with response groups. The
18 proportion of primary NRs to IFX induction in our study was similar to the 10-20% of NRs
19 reported in earlier studies, and the overall response rate of 80% is comparable to the results of
20 other studies [10, 91, 92]. The higher remission rate of 63% in our study can be explained with the
21 combination of endoscopically and clinically assessed treatment groups as endoscopic remission
22 rates after TNF- α blocker induction are reported to be around 30% in CD and 45% in UC patients
23 [93].

1 The strengths of our study are the longitudinal collection of faecal samples and high endoscopy
2 rate of 87% at week twelve that provide an objective assessment of disease activity and thus a
3 reliable classification of response groups. In previously published studies investigating gut
4 microbiota as a predictor for IFX response, disease activity was mainly evaluated clinically or with
5 surrogate parameters like CRP or fCal [18, 20]. We acknowledge that the lack of baseline
6 endoscopic data and incomplete endoscopy at week twelve are limitations, as endoscopic disease
7 activity and clinical symptoms often poorly correlate [94, 95]. The limited number of patients,
8 especially CD patients, and the lack of mucosal-wash sampling at the time of endoscopy were
9 additional limitations of the study, which might give rise to an issue with validation. For the ROC
10 curve analysis, our strength is that around half of the predictive genera used were previously found
11 to predict IFX therapy, while the limitation is that not all the genera were previously published to
12 predict IFX response. Additionally, a validation cohort would be required for investigating whether
13 the results can be used for prediction of IFX response in IBD patients.

14 In conclusion, we found significant differences between response groups to IFX therapy in IBD
15 patients. Non-responders to IFX therapy had lower abundance of butyrate-producing bacteria,
16 particularly Clostridiales, and a higher abundance of *Candida*, also indicated by high predictive
17 power. These results further strengthen previously published results that the gut microbiota could
18 provide promising biomarkers for IFX therapy response prediction in the future.

19

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12 TS has received speaker or consultant fees from Abbvie, Ferring, Janssen-Cilag, Pfizer, Takeda,
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16 **Author Contributions**

17 RVH, EN: designing the study, DNA extraction, library preparation, data analysis and
18 interpretation and drafting the manuscript. AE: designing the study, patient recruitment, evaluating
19 IFX response and drafting the manuscript. SS, SV, KK, AS: data analysis and revising the article.
20 KK, AS: assistance in study design and interpretation of results. PS, TS: study design, revising the
21 article and reviewing the manuscript. All authors approved the final version of the manuscript. We
22 did not use any writing assistance.

23 **Supplementary Data**

1 Supplementary are available at ECCO-JCC online.

2

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1 **Figure Legends**

2 **Figure 1.** Patients flowchart. R equals remission (responder), NR non-responders and PR partial remission (partial
3 responders) to infliximab therapy.

4 **Figure 2.** Gut bacterial composition at genus level during the study at different time points in all IBD patients, in
5 CD and in UC (including 2 IBDU subjects). The plots present the most abundant taxa (mean abundance = 0.01,
6 prevalence = 0.1) showing the relative abundance of the taxa in the response groups. The taxa are colour-coded and
7 shown on the right side of the panel. Numbers of faecal samples available at each timepoint (weeks) for analysis are
8 presented below each bar (n). IBD equals inflammatory bowel disease, CD Crohn's disease, UC ulcerative colitis and
9 IBDU unclassified inflammatory bowel disease.

10 **Figure 3.** Relative abundances of bacteria that showed significant differences in generalized linear models from the
11 MASS package at family level before and during IFX treatment in all IBD patients stratified by IFX treatment response
12 groups. The numbering at x-axis indicates time points as follows: 1 = baseline, 2 = week 2, 3 = week 6, 4 = week 12
13 and 5 = week 52 from initiation of IFX therapy. The groups non-responder and partial-responder at each time point
14 are compared to group responders. The group means and standard errors of relative abundance are shown. Significant
15 differences are indicated with asterisks (* $p < 0.05$, ** $p < 0.001$, *** $p < 0.0001$). IFX equals infliximab and IBD
16 inflammatory bowel disease.

17 **Figure 4.** Gut bacterial (A) composition of main taxa and differences at bacterial genus level in all IBD patients
18 (B), CD (Crohn's disease, C) and UC (ulcerative colitis, D) at baseline stratified by response to infliximab treatment
19 after twelve weeks of treatment. A) The plot presents the most abundant bacterial genera (mean abundance = 0.01),
20 showing the relative abundance of the taxa in the response groups. The taxa are color-coded and shown on the right
21 side of the panel. B-D) Effect of IFX response on taxa at baseline in CD and UC. The fold changes represent the
22 difference in the relative abundance of the taxon between non-responders and partial responders compared to
23 responders. The asterisks indicate the significance of difference (based on generalized linear model or generalized
24 least squares, see supplementary tables ST3-5): * $p < 0.05$, ** $p < 0.005$, *** $p < 0.0001$. IBD equals inflammatory
25 bowel disease and R equals remission (responder), NR non-responders and PR partial remission (partial-responders)
26 to infliximab therapy.

1 **Figure 5.** Receiver operating characteristic (ROC) curve of the relation between treatment response (to distinguish
 2 NR from R) and bacterial genera as predictive markers for the response to infliximab (IFX) therapy in all IBD patients
 3 (A), and CD (B) and UC (C) patients separately. The genera used for ROC analyses were those found to differ
 4 significantly in response groups by using PathModel function for all IBD and the genera that we found to differ
 5 significantly in this study for CD and UC patients (see Supplementary Methods 5). The area under curve (AUC) is
 6 indicated. IBD equals inflammatory bowel disease, CD Crohn's disease and UC ulcerative colitis.

7

8 **Tables**

9 **Table 1.** Basic clinical characteristic of IBD patients.

Characteristics	n (%) or Median (IQR)
No. of patients	72
Female	30 (41.7)
Crohn's disease (CD)	25 (34.7)
Ulcerative colitis (UC)	47 (65.3)
Montreal classification, UC	47
E1/E2/E3	1 (2.1) / 11 (23.4) / 35 (74.5)
Montreal classification, CD	25
A1/A2/A3	3 (12) / 18 (72) / 4 (16)
L1/L2/L3	3 (12) / 8 (32) / 14 (56)
B1/B2/B3	14 (56) / 5 (20) / 6 (24)
Perianal disease	12 (48)
Age at diagnosis, years	25 (19-25)
Age at IFX initiation, years	31 (24-45)
Disease duration, years	2 (0-7)
Smoking	11 (15.3)
Extraintestinal manifestations	
Arthritis/sacroiliitis	8 (11)
Iritis/uveitis	1 (1.4)
Primary sclerosing cholangitis	2 (2.8)
Prior surgery	9 (12.5)
Concomitant medication at IFX initiation	
Metronidazole	6 (8.3)
Ciprofloxacin	7 (9.7)
Steroid	35 (48.6)
Thiopurine	50 (69.5)
Methotrexate	5 (6.9)
5-Aminosalicylic acid	39 (54.2)

10

Supplementary Figures

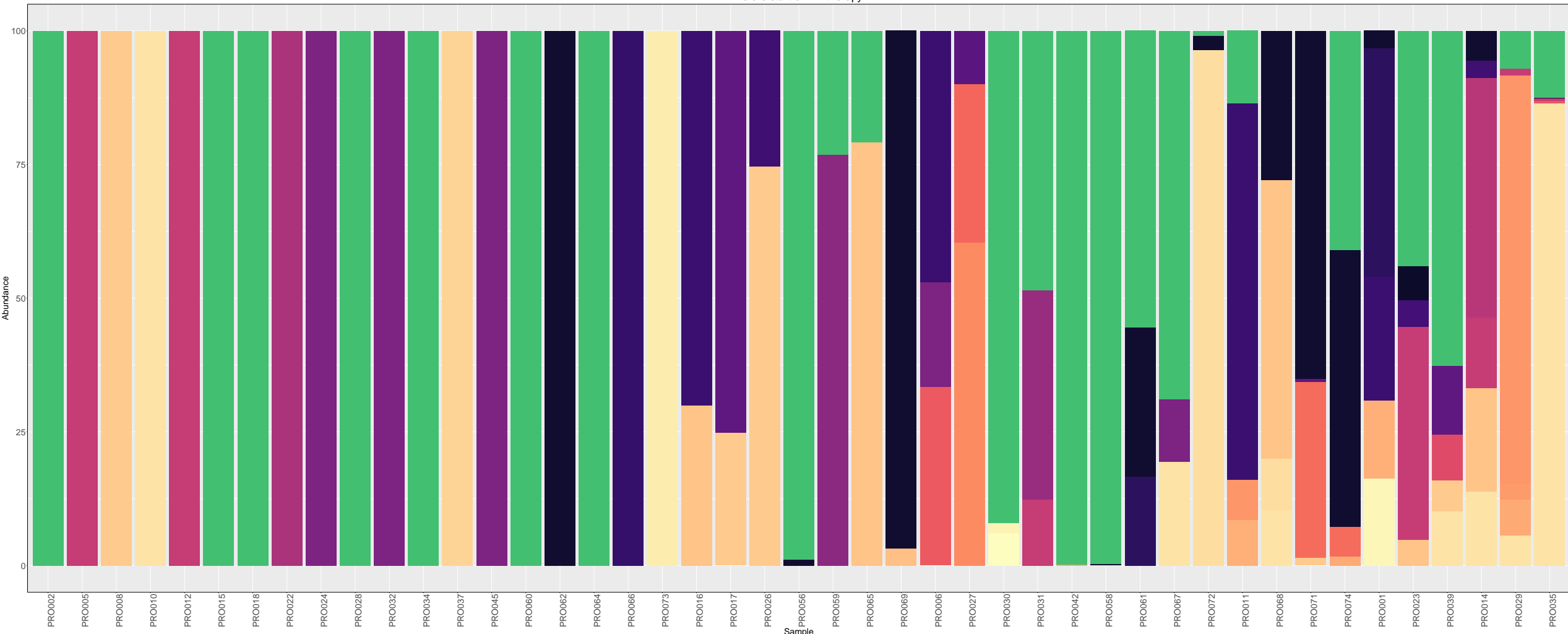
Supplementary Figure S1-S13

Bacterial and fungal profiles as markers of infliximab drug response in inflammatory bowel disease

Rebecka Ventin-Holmberg, Anja Eberl, Schahzad Saqib, Katri Korpela, Seppo Virtanen, Taina Sipponen,

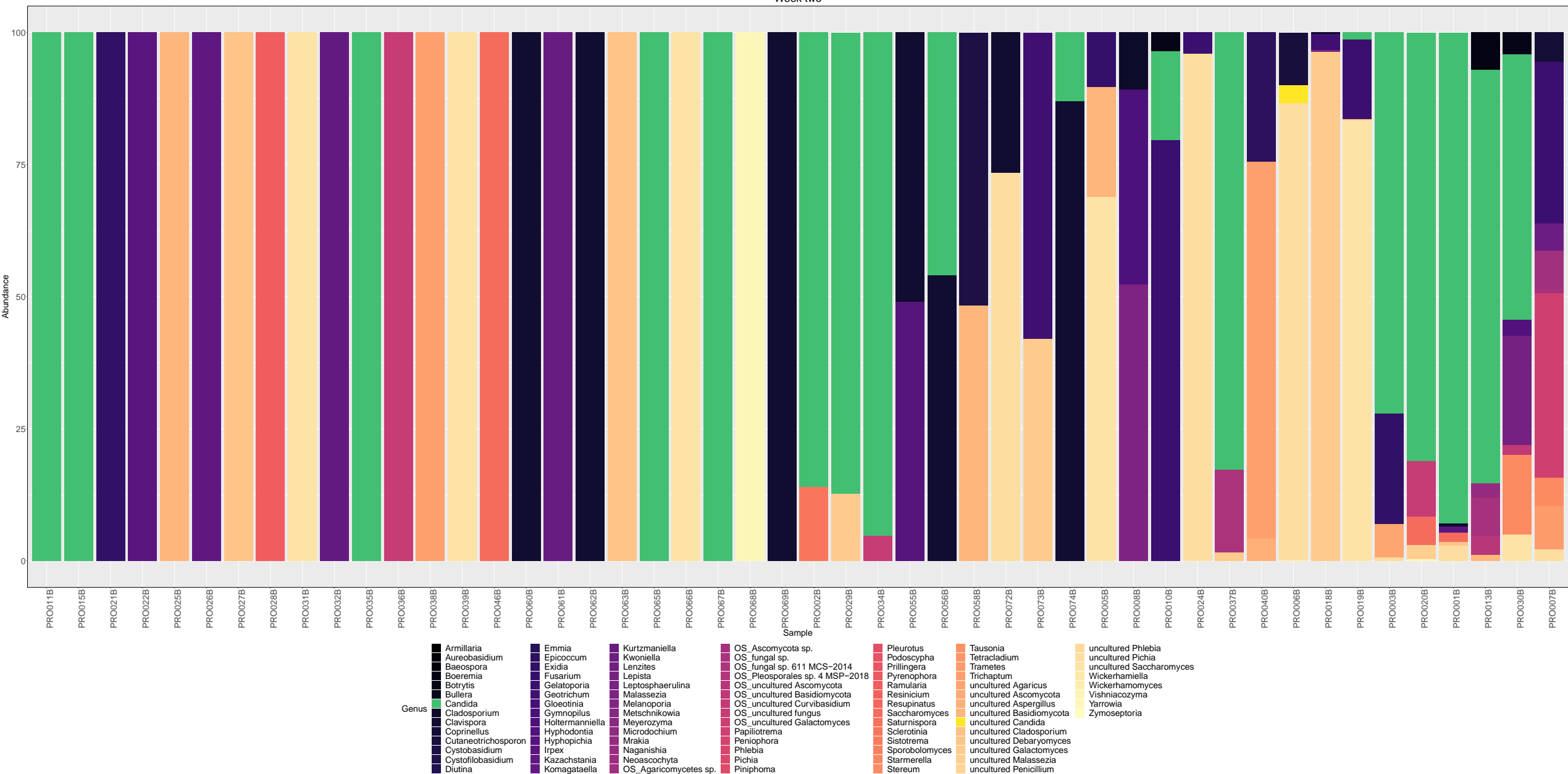
Anne Salonen, Päivi Saavalainen and Eija Nissilä

Before start of IFX therapy

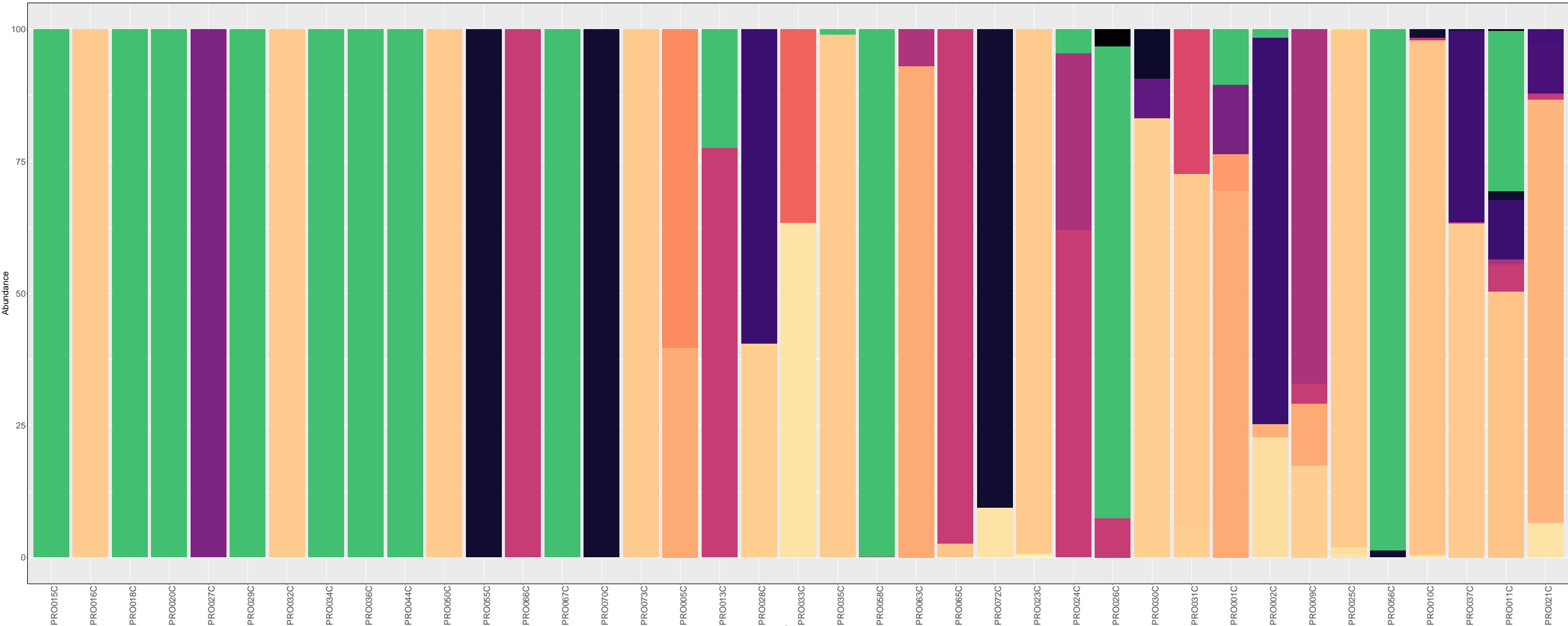


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|-----------------------|-------------------|-------------------------|----------------------------------|------------------|----------------------------|----------------------------|
| ■ Armillaria | ■ Emmia | ■ Kurtzmaniella | ■ OS_Ascmycota sp. | ■ Pleurotus | ■ Tausonia | ■ uncultured Phlebia |
| ■ Aureobasidium | ■ Epicoccum | ■ Kwoniella | ■ OS_fungal sp. | ■ Podoscypha | ■ Tetracladium | ■ uncultured Pichia |
| ■ Baeospora | ■ Exidia | ■ Lenzites | ■ OS_fungal sp. 611 MCS-2014 | ■ Prillingera | ■ Trametes | ■ uncultured Saccharomyces |
| ■ Boeremia | ■ Fusarium | ■ Lepista | ■ OS_Pleosporales sp. 4 MSP-2018 | ■ Pyrenophora | ■ Trichaptum | ■ Wickerhamiella |
| ■ Botrytis | ■ Gelatoporia | ■ Leptosphaerulina | ■ OS_uncultured Ascomycota | ■ Ramularia | ■ uncultured Agaricus | ■ uncultured Cladosporium |
| ■ Bullera | ■ Geotrichum | ■ Malassezia | ■ OS_uncultured Basidiomycota | ■ Resinicium | ■ uncultured Ascomycota | ■ uncultured Debaromyces |
| ■ Candida | ■ Gloeotinia | ■ Melanosporia | ■ OS_uncultured Curvibasidium | ■ Resunicium | ■ uncultured Aspergillus | ■ uncultured Galactomyces |
| ■ Cladosporium | ■ Gymnopilus | ■ Metschnikowia | ■ OS_uncultured fungus | ■ Saccharomyces | ■ uncultured Basidiomycota | ■ uncultured Malassezia |
| ■ Clavispora | ■ Höllermanniella | ■ Meyerozyma | ■ OS_uncultured Galactomyces | ■ Saturnispora | ■ uncultured Candida | ■ uncultured Penicillium |
| ■ Coprinellus | ■ Hyphodontia | ■ Microdochium | ■ Papiliotrema | ■ Sistotrema | ■ Sclerotinia | |
| ■ Cutaneotrichosporon | ■ Hyphopichia | ■ Mrakia | ■ Peniophora | ■ Sporobolomyces | ■ uncultured Cladosporium | |
| ■ Cystobasidium | ■ Irpex | ■ Naganishia | ■ Phlebia | ■ Starmerella | ■ uncultured Debaromyces | |
| ■ Cystofilobasidium | ■ Kazachstania | ■ Neoascochyta | ■ Pichia | ■ Stereum | ■ uncultured Galactomyces | |
| ■ Diutina | ■ Komagataella | ■ OS_Agaricomycetes sp. | ■ Piniphoma | | ■ uncultured Malassezia | |

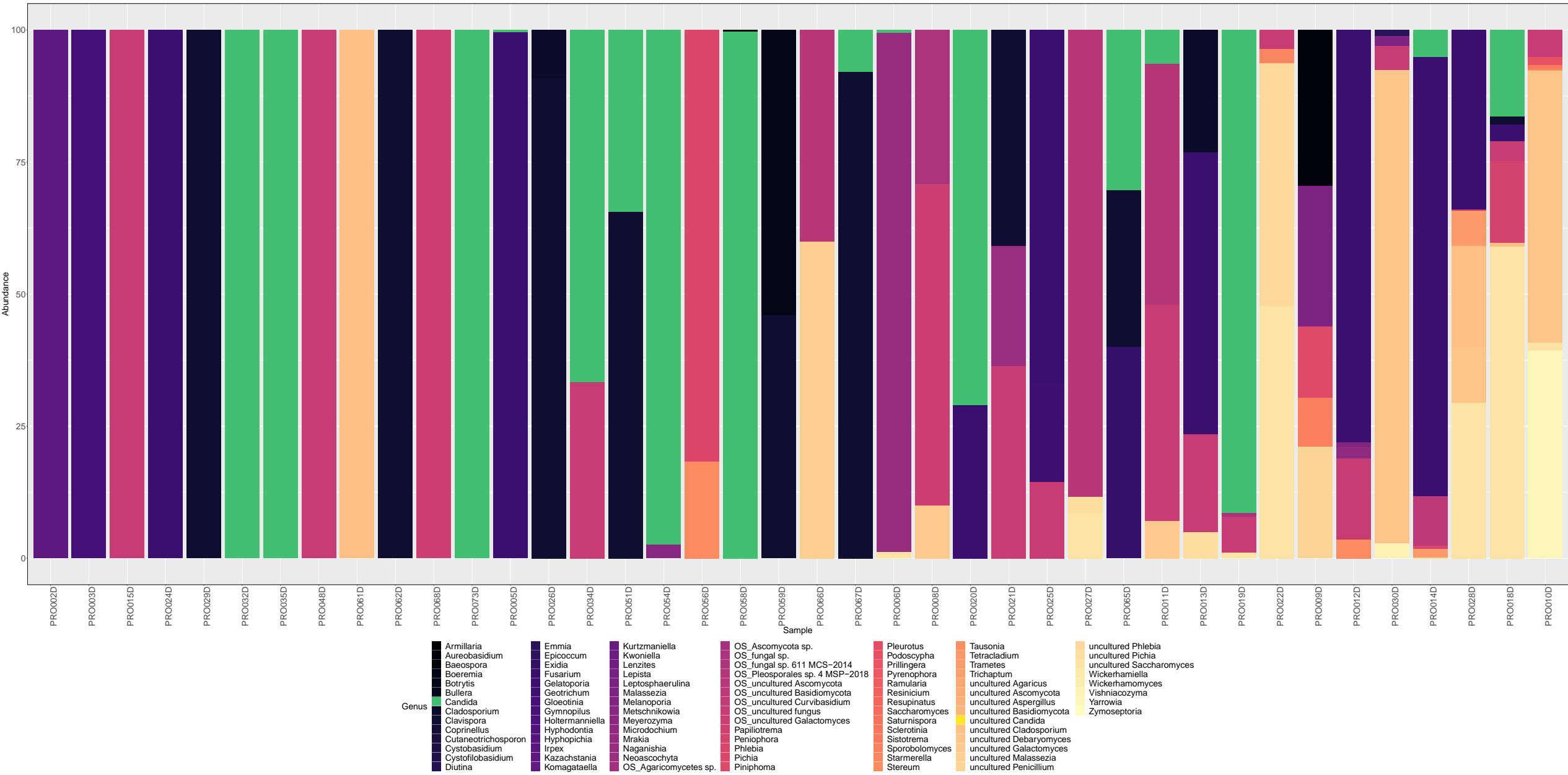
Supplementary Figure S1



Supplementary Figure S2

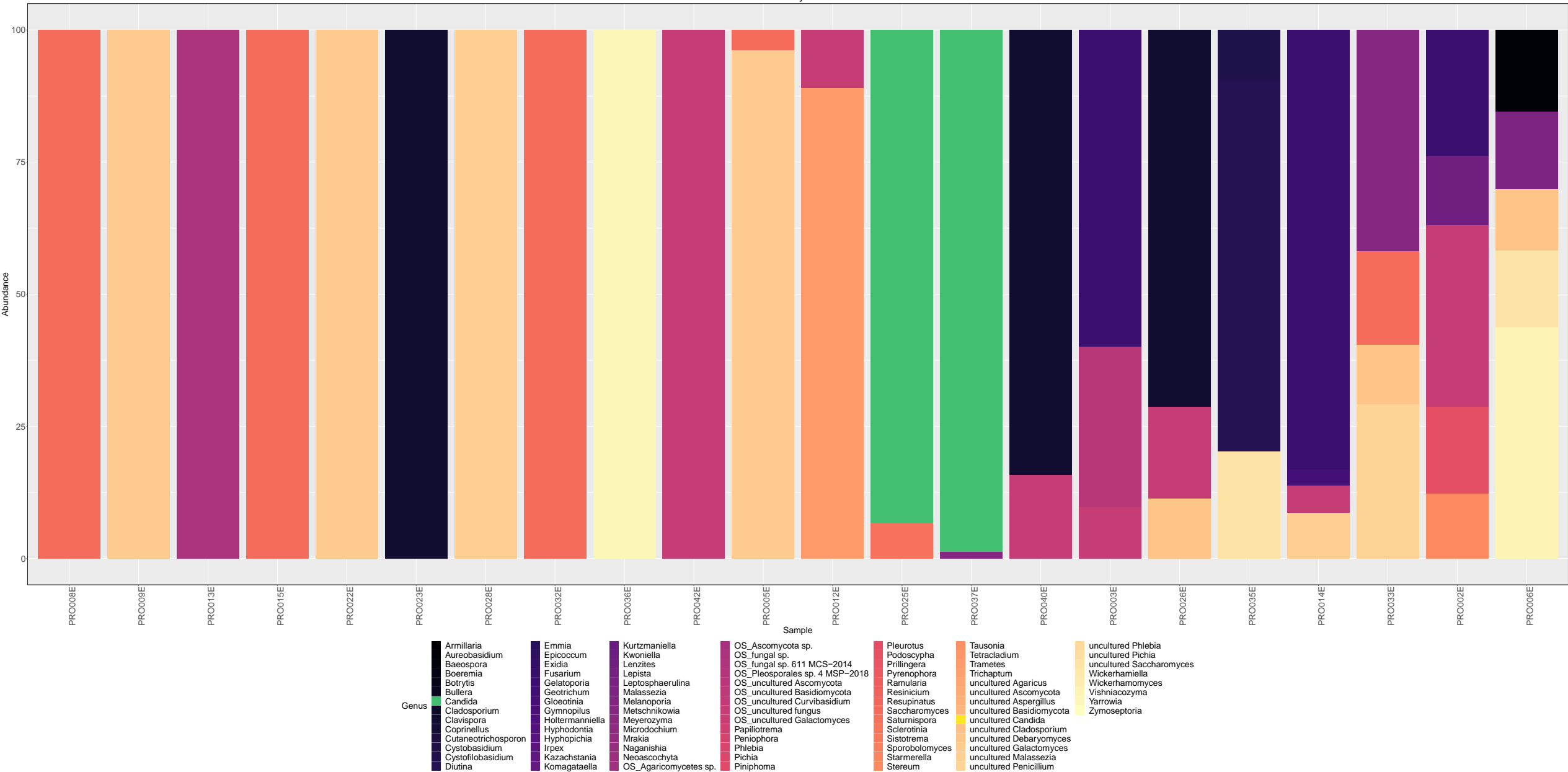


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| ■ Armillaria | ■ Emmia | ■ Kurtzmaniella | ■ OS_Ascomycota sp. | ■ Pleurotus | ■ Tausonia |
| ■ Aureobasidium | ■ Epicoccum | ■ Kwoniella | ■ OS_fungal sp. | ■ Podoscypha | ■ Tetracladium |
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| ■ Boeremia | ■ Fusarium | ■ Lepista | ■ OS_Pleosporales sp. 4 MSP-2018 | ■ Pyrenophora | ■ Trichaptum |
| ■ Botrytis | ■ Gelatoporia | ■ Leptosphaerulina | ■ OS_uncultured Ascomycota | ■ Ramularia | ■ uncultured Agaricus |
| ■ Bullera | ■ Geotrichum | ■ Malassezia | ■ OS_uncultured Basidiomycota | ■ Resinicium | ■ uncultured Ascomycota |
| ■ Candida | ■ Gloeotinia | ■ Melanoporia | ■ OS_uncultured Curvibasidium | ■ Respinatus | ■ uncultured Aspergillus |
| ■ Cladosporium | ■ Gymnopilus | ■ Metschnikowia | ■ OS_uncultured fungus | ■ Saccharomyces | ■ uncultured Basidiomycota |
| ■ Clavispora | ■ Höllermanniella | ■ Meyerozyma | ■ OS_uncultured Galactomyces | ■ Saturnispora | ■ uncultured Candida |
| ■ Coprinellus | ■ Hyphodontia | ■ Microdochium | ■ Papiliotrema | ■ Sclerotinia | ■ uncultured Cladosporium |
| ■ Cutaneotrichosporon | ■ Hyphopichia | ■ Mrakia | ■ Peniophora | ■ Sistotrema | ■ uncultured Debaryomyces |
| ■ Cystobasidium | ■ Irpex | ■ Naganishia | ■ Phlebia | ■ Sporobolomyces | ■ uncultured Galactomyces |
| ■ Cystoflobasidium | ■ Kazachstania | ■ Neosochytra | ■ Pichia | ■ Starmerella | ■ uncultured Malassezia |
| ■ Diutina | ■ Komagataella | ■ OS_Agaricomycetes sp. | ■ Piniphoma | ■ Stereum | ■ uncultured Penicillium |



Supplementary Figure S4

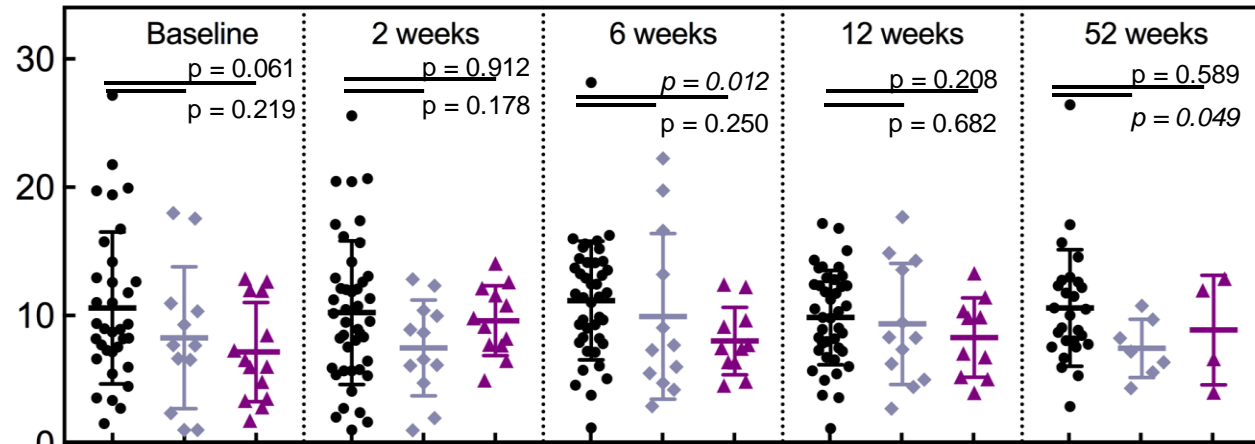
One year



Supplementary Figure S5

A

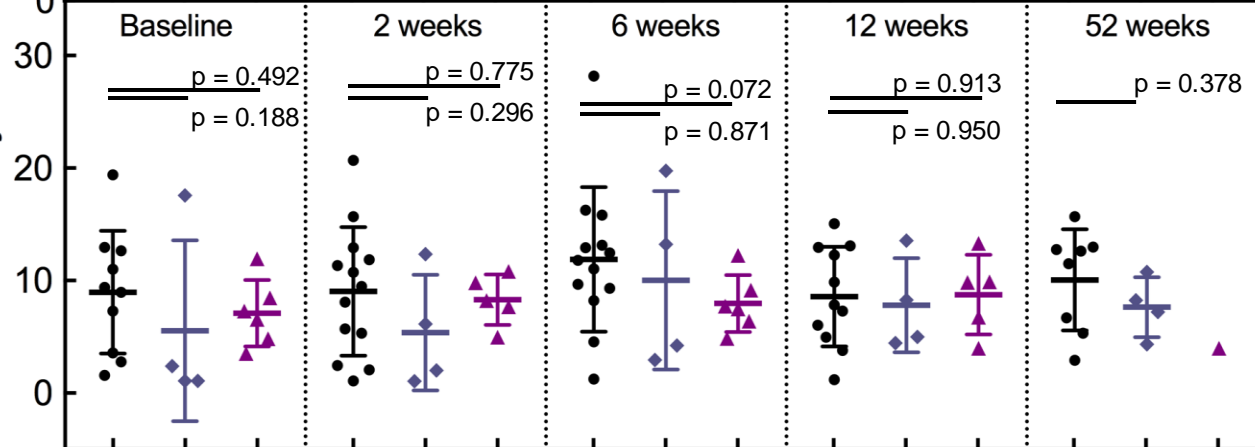
All



B

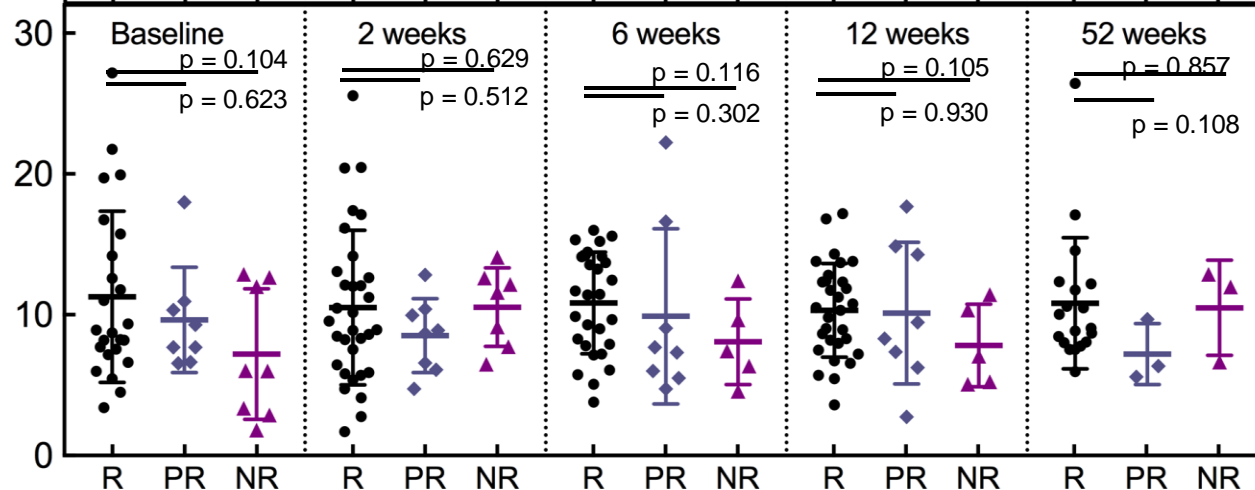
CD

Diversity

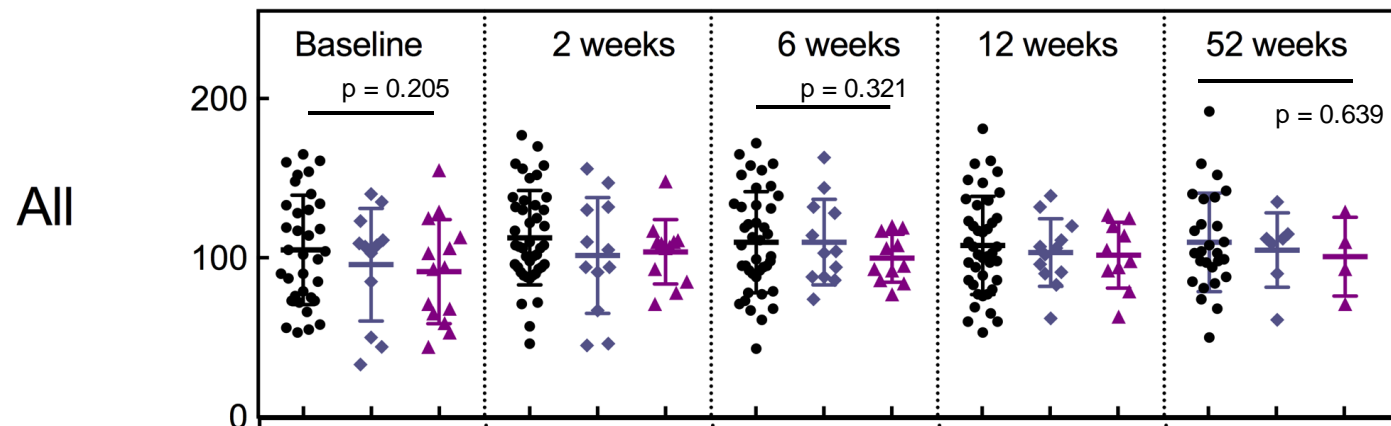


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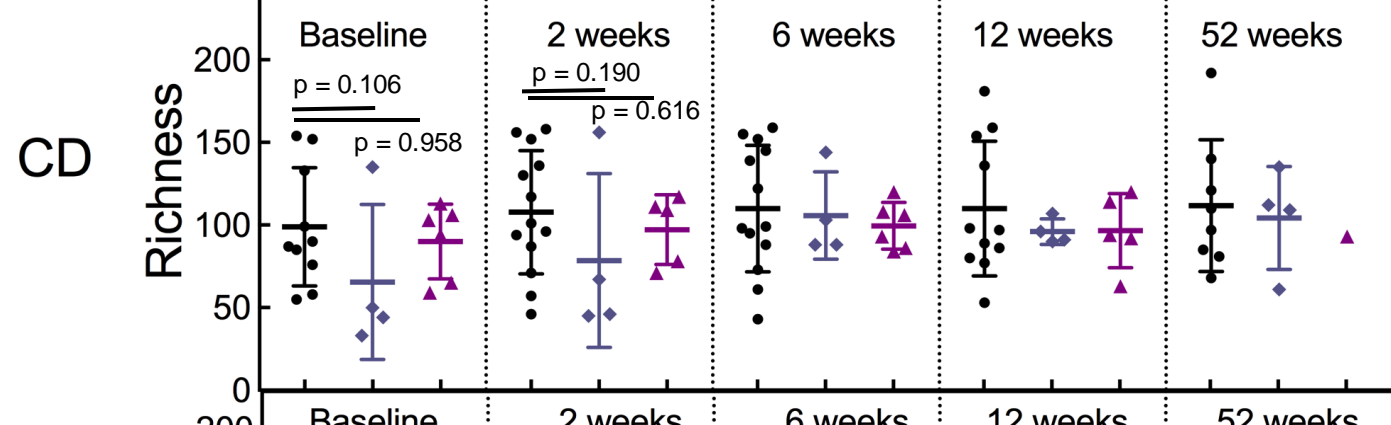
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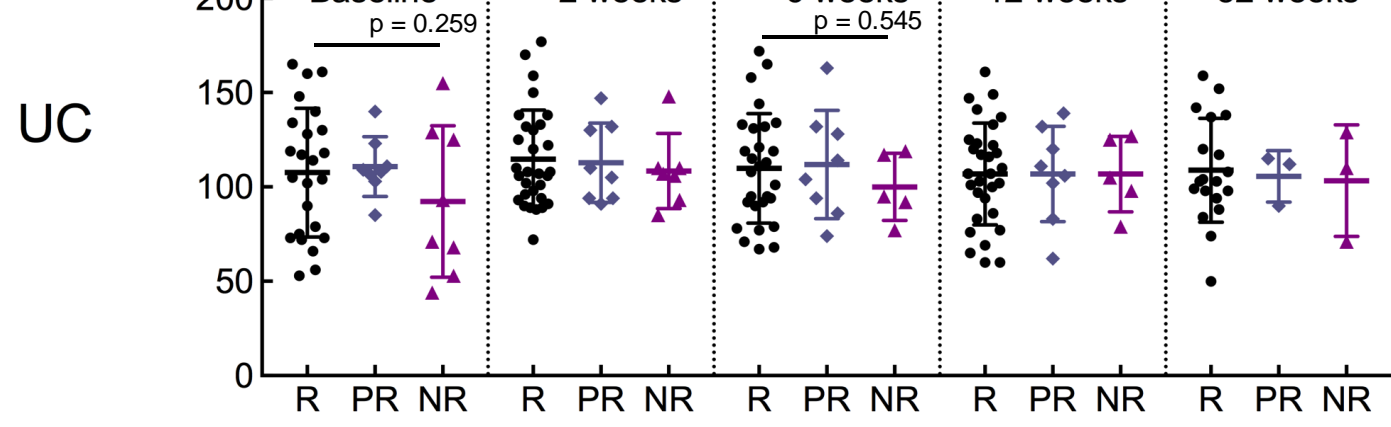
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B



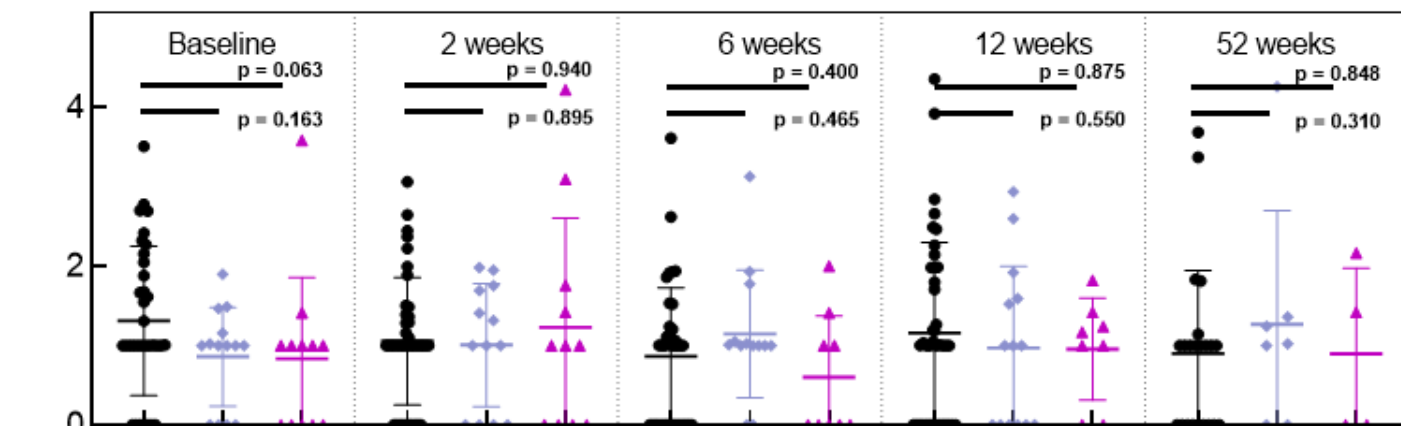
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Response group

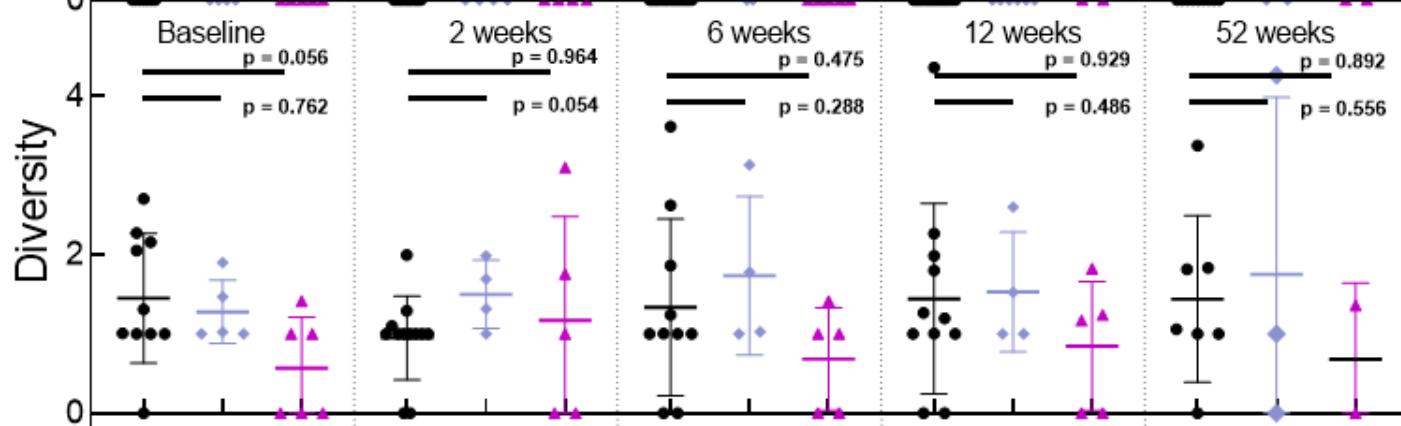
A

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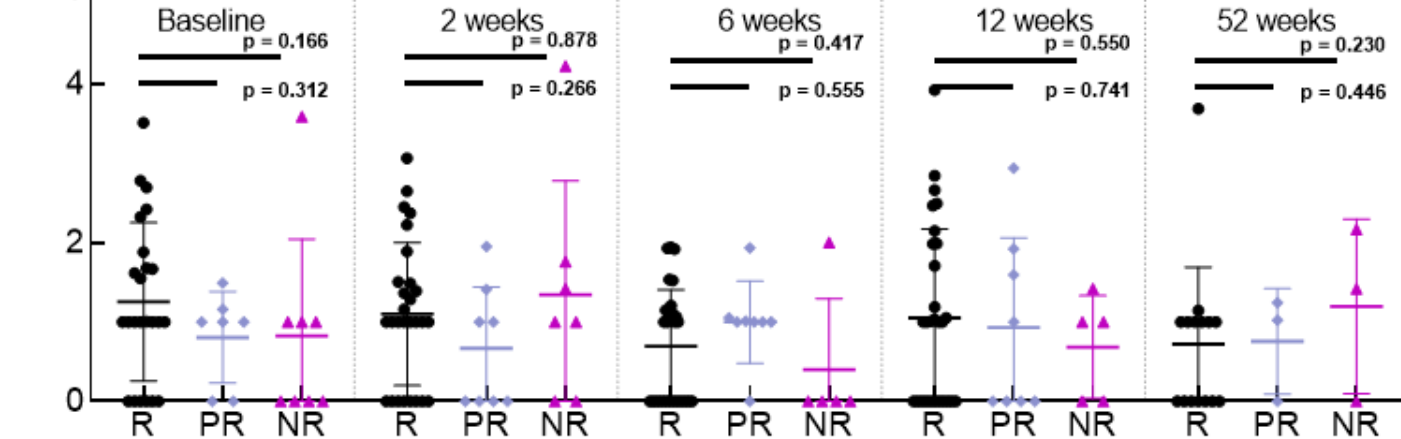
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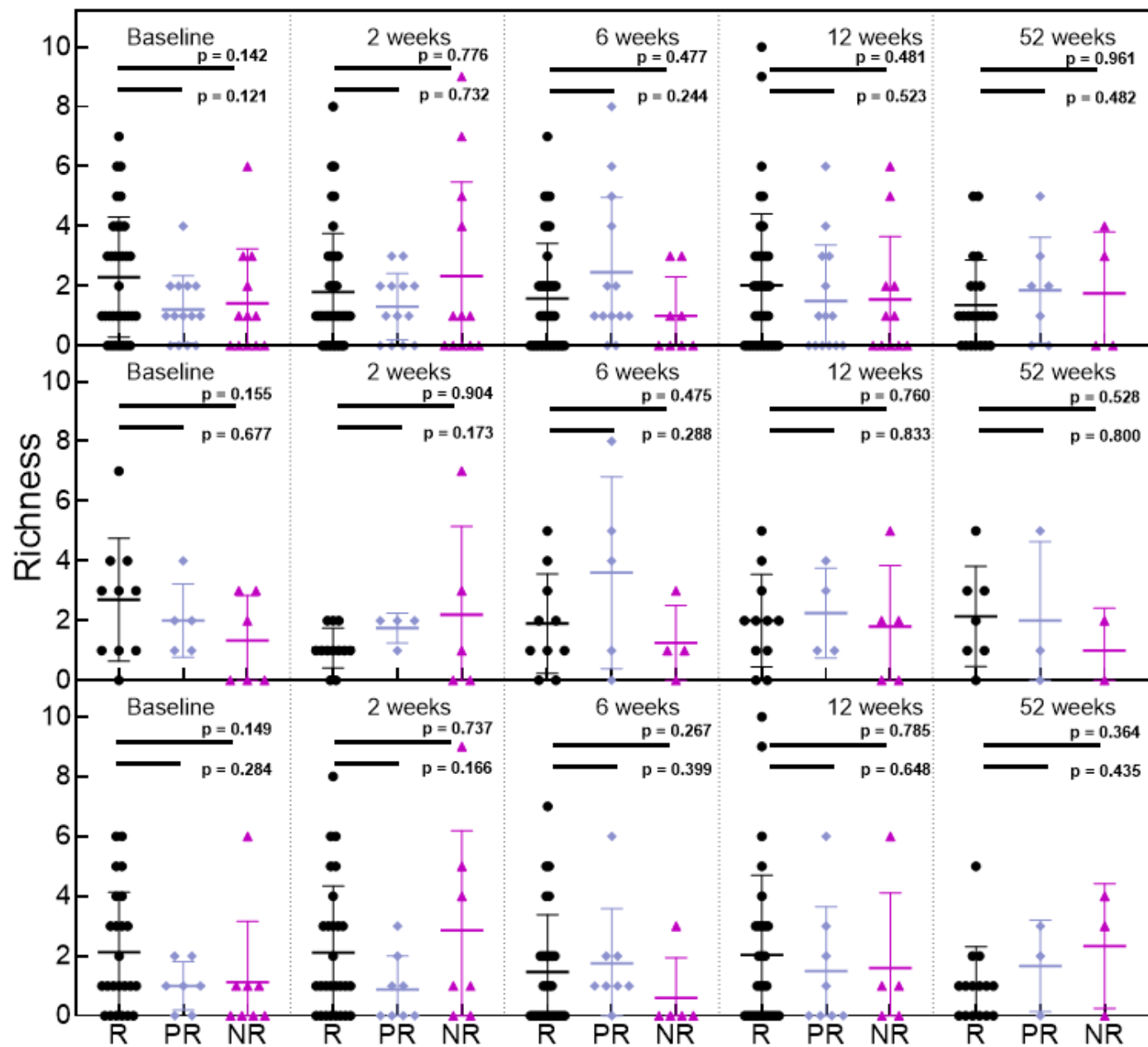
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A

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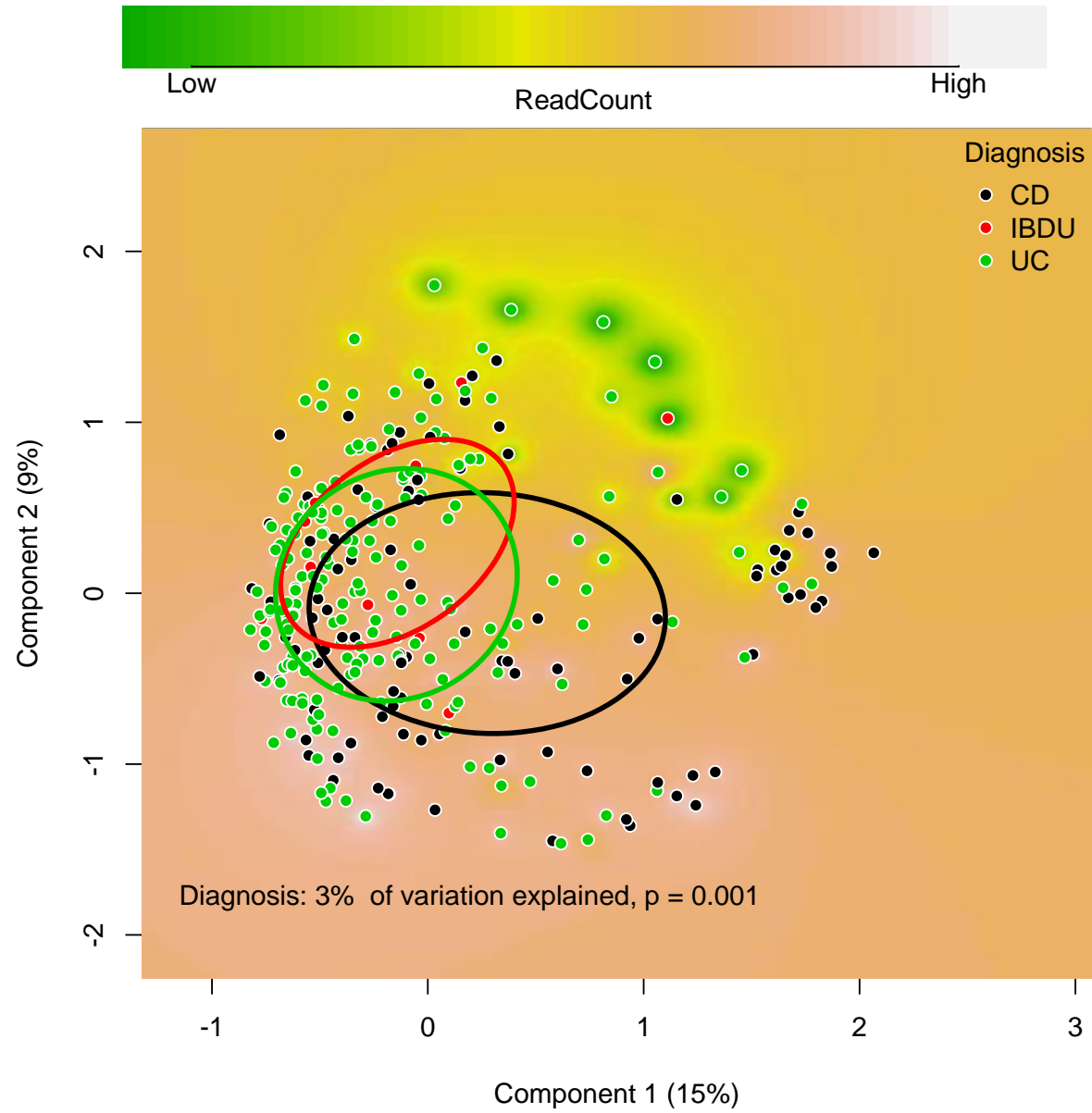
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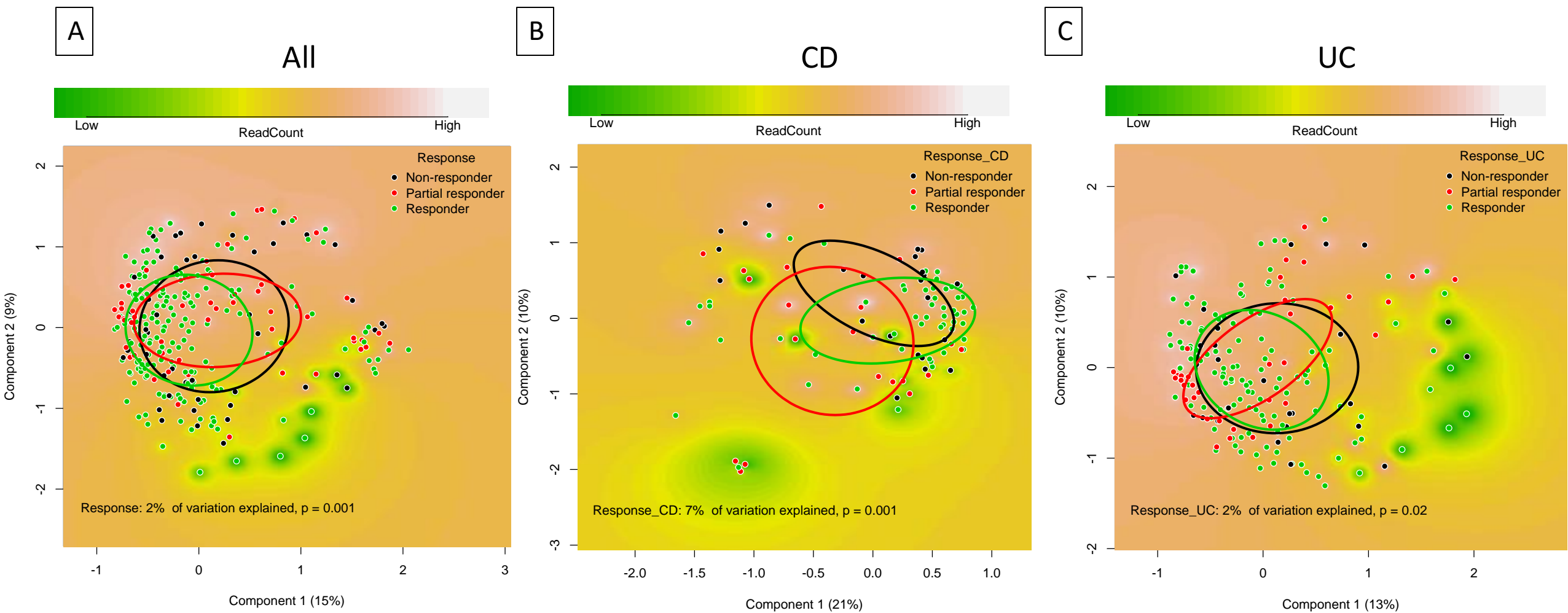
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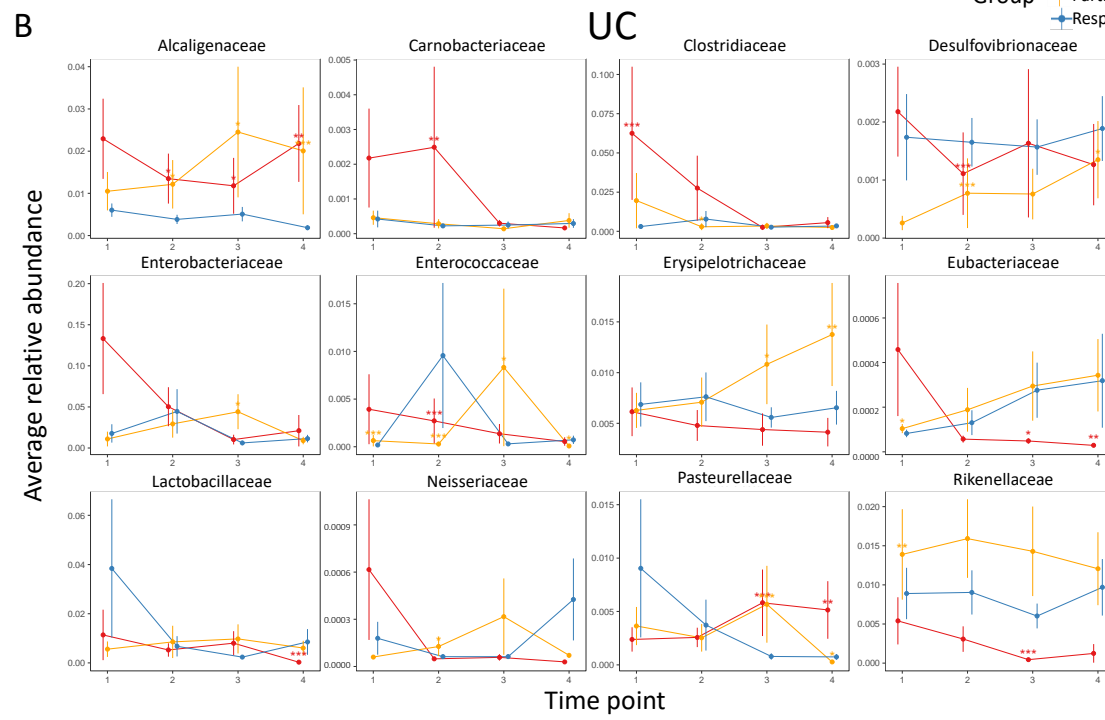
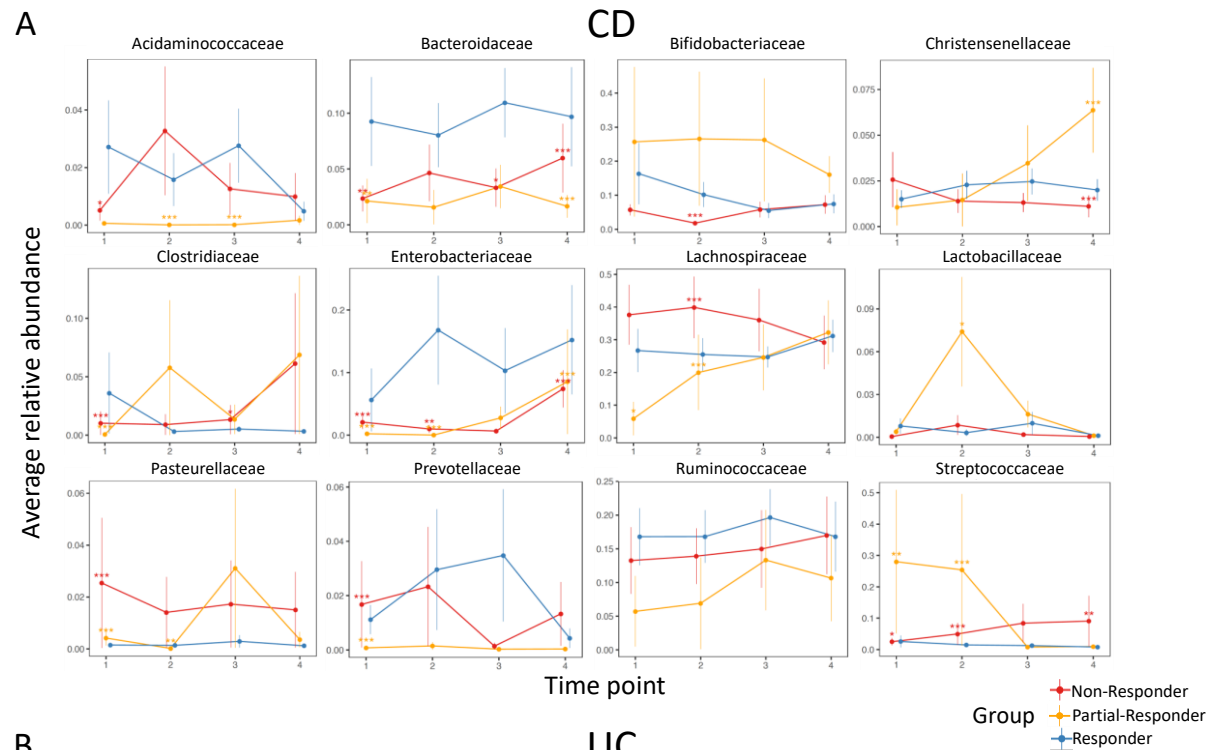
All samples



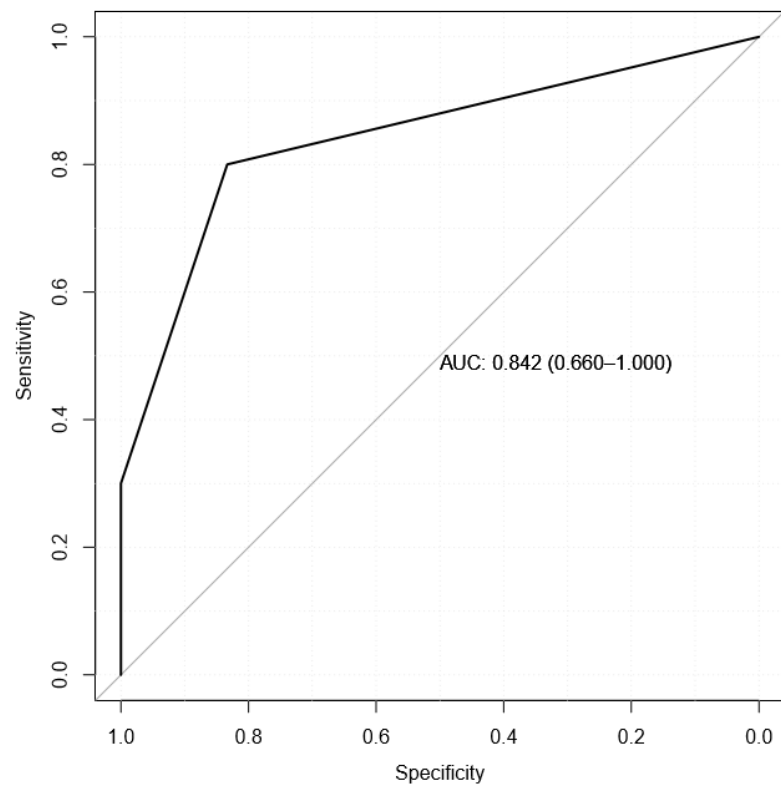
Supplementary Figure S10



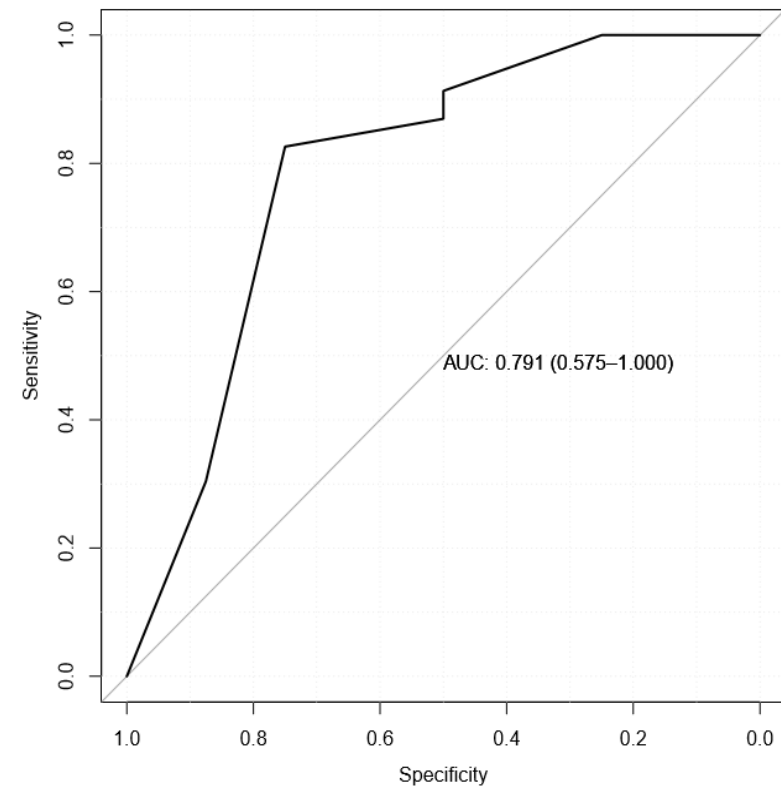
Supplementary Figure S11



CD



UC



Supplementary materials - Bacterial and fungal profiles as markers of infliximab drug response in inflammatory bowel disease

Rebecka Ventin-Holmberg, Anja Eberl, Schahzad Saqib, Katri Korpela, Seppo Virtanen, Taina Sipponen, Anne Salonen, Päivi Saavalainen and Eija Nissilä

1. Supplementary methods:

1. ASCA IgG/IgA ELISA assay

IgG and IgA anti-*Saccharomyces cerevisiae* antibody (ASCA) concentrations were measured from IBD serum samples using a commercialized ELISA assay (ASCA IgG/IgA ELISA, Demeditec Diagnostics GmbH). The serum samples were diluted to 1:100, as recommended by the manufacturer, and were analysed as singlicates for both IgG and IgA ELISA assays. Plates were read at 405 nm by the Hidex Sense Microplate Reader. The OD 405 nm values were analysed by comparison to the standards included in the kit. Values exceeding or equal to 10 U ml⁻¹ were considered as positive outcomes, as recommended by the manufacturer.

2. Mycobiota library preparation

The ITS1 region was first amplified with the ITS1F and ITS2 primers mentioned. In the second PCR, the PCR product from the initial PCR reaction was amplified using ITS1F (FWD, ACACTCTTTCCCTACACGACGCTCTTCCGATCTCTTGGTCATTTAGAGGAAGTAA) and ITS2 (REV, AGACGTGTGCTCTTCCGATCTGCTGCGTTCTTCATCGATGC) primers with Illumina adapters for MiSeq sequencing. The PCRs were completed in a BioRad T100™ Thermal Cycler using the following conditions: denaturation at 98 °C for 60 s, 44 cycles at 98 °C for 10 s, 58 °C for 40 s, and 72 °C for 40 s, followed by a final extension time of 10 min at 72 °C. In the reaction mixture, 2x Phusion High-Fidelity PCR Master Mix with HF Buffer (ThermoFisher Scientific), 2 µl of each primer (5 µM), and 0.6 µl DMSO was used. A final volume of 20 µl for each reaction was reached by adding water. For the initial PCR reaction, 5 ng DNA was used and in the following PCR reaction, 4.4 µl of the PCR product was used as the template. After the second PCR, the product was

purified using AMPure XP beads (Beckman Coulter, Copenhagen, Denmark) according to the 16S metagenomic library prep guide using 0.8x concentration of beads [1]. The purified products were analysed using LabChip® GX Touch™ nucleic acid analyser. The DNA concentrations of the samples were measured with the Quant-It PicoGreen dsDNA Assay Kit and the FLUOstar plate reader was used to measure the concentration. In the third PCR reaction, barcodes for sequencing were added to the samples by index PCR, primers previously published [2]. As previously, the PCR products were purified with AMPure XP beads and analysed with LabChip and the concentration was measured. Finally, the samples were pooled for MiSeq sequencing by adding 10 nM of each sample to the pool.

3. Medication categorization

The categorization used in the 16S analyses are presented in Table 1.

Table 1.

Category number	Medication
1	Steroid
2	Steroid and azathiopurine
3	Steroid and merkaptopurine
7	Steroid and methotrexate
5	Steroid and mesalazine
6	Steroid and mesalazine and merkaptopurine
7	Steroid and mesalazine and azathiopurine
8	Mesalazine
9	Mesalazine and azathiopurine
10	Azathiopurine
11	Merkaptopurine
12	Methotrexate
13	No medication

4. Negative controls

The number of reads in the negative controls was small (median 403) compared to the real samples (median 40720 (combined data of two run)). This suggests that contaminants caused only a few hundred reads per sample, which would not affect the overall observed composition. The most abundant taxa in the negative controls were *Bifidobacterium* and an uncultured *Collinsella* bacterium, which made a very small abundance in the real samples, being 0.3% and 0.24% of total reads on average. In fungal negative controls, no annotations against gut-specific fungi were observed.

5. Taxa used for ROC analyses

In CD and UC patients we used the genera (see Figure 4) that we found to differ significantly between the response groups. In CD seven out of the twelve predictive genera were used in the model, based on AIC selection: *Bifidobacterium*, *Rothia*, *Atopobium*, *Gemella*, *Pseudoflavonifractor*, *Sutterella* and *Pseudomonas*. In UC the following genera were used: *Enterococcus*, *Clostridium*, *Peptostreptococcus*, *Faecalibacterium* and *Candida*.

For the ROC analysis the *Enterobacter* and *Alistipes* were used for all IBD patients. selected by using the PathModel function from mare. The genera that were used for the ROC analyses done based on PathModel function for CD and UC are found in **Supplementary Figure S13**.

References:

1. Illumina. 16S metagenomic sequencing library preparation guide. 2014.
2. Kozich J J, Westcott S L, Baxter N T, *et al.* Development of a dual-index sequencing strategy and curation pipeline for analyzing amplicon sequence data on the MiSeq Illumina sequencing platform. *Appl Environ Microbiol* 2013;79:5112–5120.

2. Supplementary Table legends (ST):

All Supplementary Tables are found in separate excel file “Revised_Supplementary_Tables”.

Content:

ST1_Responses_to_IFX – Supplementary Table 1. Responses to infliximab (IFX) treatment evaluated after 12 weeks in IBD subtypes.

ST2_Prevalence_ITS – Supplementary Table 2. Faecal fungal prevalence in IBD patients.

ST3_ResponseGroups_Baseline – Statistical test on faecal bacterial microbiota – IFX response at baseline in all IBD patients

ST4_ResponseGroups_CD_Baseline – Statistical test on faecal bacterial microbiota – IFX response at baseline in CD patients

ST5_ResponseGroups_UC_Baseline – Statistical test on faecal bacterial microbiota – IFX response at baseline in UC patients (including IBDU patients)

ST6_ResponseGroups_Baseline – Statistical test on faecal fungal microbiota – IFX response at baseline in all IBD patients

ST7_ResponseGroups_CD_Baseline – Statistical test on faecal fungal microbiota – IFX response at baseline in CD patients

ST8_ResponseGroups_UC_Baseline – Statistical test on faecal fungal microbiota – IFX response at baseline in UC patients (including IBDU patients)

ST9_correlation_R – Spearman correlations between faecal bacterial and fungal genera at baseline in responders to IFX therapy

ST10_correlation_PR – Spearman correlations between faecal bacterial and fungal genera at baseline in partial responders to IFX therapy

ST11_correlation_NR – Spearman correlations between faecal bacterial and fungal genera at baseline in non-responders to IFX therapy

3. Supplementary Figure legends:

All Supplementary Tables are found in separate excel file “Revised_Supplementary_Figures”.

Supplementary Figure S1. Faecal fungal microbiota at genus level in IBD patients before start of IFX therapy. The plot shows the mycobiota composition for each patient at baseline. The fungal genera are colour-coded and showed under the plot. *Candida* is highlighted with a green colour. IBD equals inflammatory bowel disease and IFX infliximab.

Supplementary Figure S2. Faecal fungal microbiota at genus level in IBD patients at two weeks after start of IFX therapy. The plot shows the mycobiota composition for each patient at baseline. The fungal genera are colour-coded and showed under the plot. *Candida* is highlighted with a green colour. IBD equals inflammatory bowel disease and IFX infliximab.

Supplementary Figure S3. Faecal fungal microbiota at genus level in IBD patients at six weeks after start of IFX therapy. The plot shows the mycobiota composition for each patient at baseline. The fungal genera are colour-coded and showed under the plot. *Candida* is highlighted with a green colour. IBD equals inflammatory bowel disease and IFX infliximab.

Supplementary Figure S4. Faecal fungal microbiota at genus level in IBD patients at twelve weeks after start of IFX therapy. The plot shows the mycobiota composition for each patient at baseline. The fungal genera are colour-coded and showed under the plot. *Candida* is highlighted with a green colour. IBD equals inflammatory bowel disease and IFX infliximab.

Supplementary Figure S5. Faecal fungal microbiota at genus level in IBD patients at one year after start of IFX therapy. The plot shows the mycobiota composition for each patient at baseline. The fungal genera are colour-coded and showed under the plot. *Candida* is highlighted with a green colour. IBD equals inflammatory bowel disease and IFX infliximab.

Supplementary Figure S6. Bacterial diversity during study in IBD patients stratified in IFX treatment response groups. Diversity is presented at different timepoints during study in all IBD patient, in CD and UC. Infliximab Response was evaluated after 12 weeks from initiation of IFX treatment. Diversity is presented at baseline, 2 weeks, 6 weeks, 12 weeks and one year after initiation of treatment in all IBD patients (A), CD patients (B) and UC patients(C). R equals remission, PR partial remission and NR no remission achieved. One dot represents an individual sample. Differences between response groups were analysed using Mann-Whitney test. IBD equals inflammatory bowel disease, CD Crohn's disease, UC ulcerative colitis and IFX infliximab.

Supplementary Figure S7. Bacterial richness during study in IBD patients stratified in IFX treatment response groups. Richness is presented at different timepoints during study in all IBD patient, in CD and UC. Response was evaluated after 12 weeks from initiation of IFX treatment. Richness is presented at baseline, 2 weeks, 6 weeks, 12 weeks and one year after initiation of treatment in all IBD patients (A), CD patients (B) and UC patients(C). R equals responder, PR partial responder and NR non-responder. Differences between response groups were analysed using Mann-Whitney test. Each dot presents one faecal sample. Mean and standard deviation values of

each group are shown as lines. IBD equals inflammatory bowel disease, CD Crohn's disease, UC ulcerative colitis and IFX infliximab.

Supplementary Figure S8. Fungal diversity during study in IBD patients stratified in IFX treatment response groups. Diversity is presented at different timepoints during study in all IBD patient, in CD and UC. Infliximab Response was evaluated after 12 weeks from initiation of IFX treatment. Diversity is presented at baseline, 2 weeks, 6 weeks, 12 weeks and one year after initiation of treatment in all IBD patients (A), CD patients (B) and UC patients (C). Group R equals remission (responder), PR partial remission (partial responder) and NR no remission achieved (non-responder). IBD equals inflammatory bowel disease, CD Crohn's disease, UC ulcerative colitis and IFX infliximab. One dot represents one faecal sample. Differences between response groups were analysed using Mann-Whitney test.

Supplementary Figure S9. Fungal richness during study in IBD patients stratified in IFX treatment response groups. Richness is presented at different timepoints during study in all IBD patient, in CD and UC. Response was evaluated after 12 weeks from initiation of IFX treatment. Richness is presented at baseline, 2 weeks, 6 weeks, 12 weeks and one year after initiation of treatment in all IBD patients (A), CD patients (B) and UC patients (C). R equals responder, PR partial responder, NR non-responder, IBD equals inflammatory bowel disease, CD Crohn's disease, UC ulcerative colitis and IFX infliximab. Differences between response groups were analysed using Mann-Whitney test. Each dot presents one faecal sample. Mean and standard deviation values of each group are shown as lines.

Supplementary Figure S10. Principal coordinates analysis (PCoA) of faecal bacteria in Crohn's disease (black, n = 103), ulcerative colitis (green, n = 184) and IBDU (red, n = 10) subjects (A). The background colour indicates interpolated values of read count. IBDU equals unclassified inflammatory bowel disease, CD Crohn's disease and UC ulcerative colitis.

Supplementary Figure S11. Principal coordinates analysis (PCoA) of faecal bacteria in all patients (A), in Crohn's disease (B) and in ulcerative colitis (C) stratified in IFX treatment response groups. Each dot stands for one sample, and the ellipses group stands for the three groups responding to IFX treatment at 12 weeks. IFX equals infliximab. The background colour indicates interpolated values of read count.

Supplementary Figure S12. Average relative abundances of 12 most abundant bacteria that showed significant differences in generalized linear models from the MASS package at family level before and during IFX treatment in CD (A) and UC (B) patients stratified by IFX treatment response groups. The numbering at x-axis indicates time points as follows: 1 = baseline, 2 = week 2, 3 = week 6, 4 = week 12 and 5 = week 52 from initiation of IFX therapy. The groups non-responders and partial responders at each time point are compared to group responders. The group means and standard errors of relative abundance are shown. Significant differences are indicated with asterisks (* $p < 0.05$, ** $p < 0.001$, *** $p < 0.0001$). CD equals Crohn's disease, UC ulcerative colitis and IFX infliximab.

Supplementary Figure S13. Receiver operating characteristic (ROC) curve of the relation between treatment response (to distinguish NR from R) and bacterial genera as predictive markers for the response to infliximab (IFX) therapy in CD and UC patients. The genus used for ROC analyses was *Anaerofilum* for CD patients and unknown *Lachnospiraceae* based on PathModel function from mare package in R. The area under curve (AUC) is indicated. R equals responder and NR non-responder.

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