

1 Advances in Agronomy

2 **Overview and Application of the Mitscherlich Equation and its Extensions**  
3 **to Estimate the Soil Nitrogen Pool Fraction Associated with Crop Yield and**  
4 **Nitrous Oxide Emission**

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30 **Abstract**

31 Natural levels of soil nutrients are spatio-temporally variable and insufficient for agricultural purposes. Artificial  
32 fertilisers are applied to achieve greater crop growth rates and yield. Mitscherlich's equation and Boule's  
33 fertilizer units are described and illustrated in relation to crop yield then applied to estimate the nitrogen (N)-  
34 pool fraction in the soil that contributes to a component of greenhouse gas (GHG) emission, specifically the  
35 nitrous oxide (N<sub>2</sub>O) flux. Mitscherlich (1909) proposed a diminishing returns model to extract information

36 about soil N status for production responses. Mitscherlich's equation was generalised by Baule (1918) and  
37 modified by Bray (1945) to account for soil nutrient contributions for multiple fertilisers. These models are  
38 examined in this chapter. Their application results in the extraction of further information on soil nutrient  
39 variability and N<sub>2</sub>O emission across various geo-positions (specific global locations). It is concluded that  
40 Mitscherlich's equation and Boule's fertilizer units are useful tools to investigate soil-fertiliser interaction and  
41 compare soil fertility and GHG emission.

42 **Keywords:** Baule units, Dickson formula, Mitscherlich equation, Nitrogen cycle, Nitrous oxide emission, Soil  
43 nutrients

44

## 45 1. INTRODUCTION

46 Our climate's nitrogen (N) cycle supports all biogeochemistry processes and products and is  
47 fundamental for sustaining plant and animal life on earth. The cycles and activities of our planet with the sun  
48 control the interplay amongst earth-related phenomena, which include photosynthesis, biological N cycling  
49 (incorporating nitrification, ammonification, denitrification, N mineralisation), wind, clouds, thunder, rainfall  
50 and lightning (Vagstad et al., 1997; Tie et al., 2002). These are all contributory factors in determining the extent  
51 of reactive nitrogen ( $N_r$ ) as part of the soil nutrient pool. Fowler et al. (2013) determine a figure of 413 Tg N yr<sup>-1</sup>  
52 of  $N_r$  due to the global N fixation of terrestrial and marine ecosystems. A substantial proportion of this figure,  
53 240 Tg N yr<sup>-1</sup>, can be attributed to terrestrial anthropogenic activities via soil or vegetation. For details and  
54 thorough reviews of the N-cycle and impact and interactions of various factors (positive and negative) see, e.g.  
55 Thomas (1992), Galloway et al. (2004), Gruber and Galloway (2008), Ollivier et al. (2011), Fowler et al. (2013),  
56 van Groenigen et al. (2015).

57 A continuing supply of  $N_r$  is needed for nature's food web. Liebig's law of the minimum is a principle  
58 originally developed in agricultural science by Sprengel (1828) and later popularized by von Liebig (1855). It  
59 states that 'growth is dictated not by total resources available but by the scarcest resource (limiting factor)'. This  
60 principle has also been applied to biological populations and ecosystem models for factors such as sunlight or  
61 mineral nutrients (Gorban et al., 2011). To work out the necessary proportion of nutrients for soil nutrition,  
62 Liebscher conducted many experiments with the main nutrients [N, phosphorus (P) and potassium (K)] at the  
63 end of 19<sup>th</sup> century. Based on the results of these experiments he formulated his law of the optimum (Liebscher,  
64 1895). This principle states that 'a production factor that is in minimum supply contributes more to production  
65 the closer other production factors are to their optimum' (also see de Wit, 1992).

66 Along with historical studies of soil-plant interaction and fertiliser use, Mitscherlich (1909) developed  
67 his model, often referred to as the law of diminishing returns, to quantify crop response to fertiliser. Spillman  
68 (1923) also worked on this idea independently, hence the response model sometimes being referred to in  
69 literature as the Mitscherlich-Spillman equation. Baule (1918) generalized the Mitscherlich equation for two or  
70 more nutrients; this is known as Baule-Mitscherlich limiting factor equation (Verduin, 1988). To study nutrient  
71 interactions, Baule (c. 1920 while working with Mitscherlich in Germany) also developed the idea of half-way  
72 points, which are generally called Baule units. Bray (1945) used the Mitscherlich model to study soil nutrient  
73 status via soil tests. He extended Mitscherlich's equation to study soil nutrient roles (both from soil and  
74 fertiliser) in the production of various crops. He showed how the extended model can be used to predict fertiliser

75 requirement. Following the development of these mathematical models much research was undertaken in  
76 relation to the soil and fertiliser nutrient uptake by various crops. For example, Inkson (1964) found no toxic  
77 effects of P if applied at more than its optimum level, whilst toxic effects of N and K were observed at higher  
78 rates of application. These toxic effects of over-fertilization can result in a non-asymptotic response, which is  
79 not consistent with the Mitscherlich-Bray model. A specially constructed quadratic function (Inkson, 1964)  
80 proved to be a popular model for the analysis of such cases. Nelder's inverse polynomials were also used as they  
81 facilitate improved curve fitting at data end-points (Nelder, 1966).

82         Baseline soil nutrients are insufficient for agriculture and that necessitates supplementation by the use  
83 of fertilisers (e.g. N, P, K or their mix) to optimise crop yield. The  $N_r$  needed for crop production is much  
84 greater than that available in the soil nutrient pool (as indicated by observed yield from the control plots in crop  
85 growth studies). Bray (1945) developed protocols for balanced fertiliser use through tests for soil fertility.  
86 Following this research, Bray and his associates proposed a nutrient mobility concept after modifying that  
87 developed by Mitscherlich, Baule and Spillman. Bray's concept states that 'As the mobility of a nutrient in the  
88 soil decreases, the amount of that nutrient needed in the soil to produce a maximum yield increases from a  
89 variable net value, determined principally by the magnitude of the yield and the optimum percentage  
90 composition of the crop, to an amount whose value tends to be a constant'. The magnitude of this constant is  
91 independent of the amount of crop yield, provided that the kind of plant, planting pattern and rate, and fertility  
92 pattern remain constant and that similar soil and seasonal conditions prevail (Gowariker et al., 2009).  
93 Combination of N fertiliser (plus P and K as well) and suitable crop varieties, has revolutionised food  
94 production. However, the downsides of this practice are the polluting consequences. Losses of nutrients to  
95 watercourses via surface runoff and leaching, and emissions to air, e.g. nitrous oxide ( $N_2O$ ) emission, are major  
96 concerns. Like crop growth,  $N_2O$  emission is a function of nutrients in soil and the applied N.

97         The primary purpose of this paper is to revisit fertiliser response models of Mitscherlich, Baule and  
98 others, using UK datasets covering a period of over 40 years, and apply them to quantify  $N_2O$ -N emission as  
99 related to relevant N-forms in the soil and the applied N resource.

100

## 101 **2. OVERVIEW AND DATA**

### 102 **2.1 Crop response to nutrient application**

#### 103 *2.1.1 Mitscherlich and Baule models*

104 Response curve methodology in relation to soil fertility and growth factor application (e.g. N fertiliser) was  
 105 developed from the end of 19<sup>th</sup> century. Von Liebig (1855), for example, used a single node linear curve  
 106 (maximum  $A$ ) with a plateau ending at nutrient input  $x_A$ :

$$107 \quad y = y_0 + mx, x \leq x_A; y = A, x > x_A \quad (1)$$

108 where  $y$  is yield,  $m$  is slope (rate of yield increase with respect to nutrient application,  $x$ , in a given soil and  
 109 environment) and  $y_0$  is the  $y$ -axis intercept corresponding to  $x = 0$ , i.e. the ‘control’ yield.

110 Following on from the work of Liebig and his peers, Mitscherlich (1909, 1928) proposed a mathematical  
 111 function for crop growth in response to the added growth factor.

112 (i) Mitscherlich’s law of physiological relationships: Yield can be increased by each single factor  
 113 even when it is not present in the minimum as long as it is not present in the optimum.

114 (ii) Mitscherlich’s growth law: Increase in yield of a crop as a result of increasing a single growth  
 115 factor is proportional to the decrement from the maximum yield obtainable by decreasing the  
 116 particular growth factor.

117 His response function related crop growth to nutrients. When plants were supplied with all nutrients except one  
 118 limiting factor, growth was found to be proportional to the amount of this limiting or efficiency factor,  $c$ , when  
 119 added to the soil. His function was:

$$120 \quad \frac{dy}{dx} = (A - y)c \quad (2)$$

121 where  $A$  is the asymptotic value (maximum) of  $y$ . After integration this equation on the log-scale becomes:

$$122 \quad \ln(A - y) = \ln(A) - cx \quad (3)$$

123 This can be written in  $y$ -axis intercept and asymptote form:

$$124 \quad y = y_0 + (A - y_0)(1 - e^{-cx}); \quad y = y_0 \quad \text{when } x = 0 \quad (4)$$

125 However, it is often rewritten in the form proposed by Baule (1918):

$$126 \quad y = A(1 - e^{-c(x+d)}); \quad d = \ln[A / (A - y_0)] / c \quad (5)$$

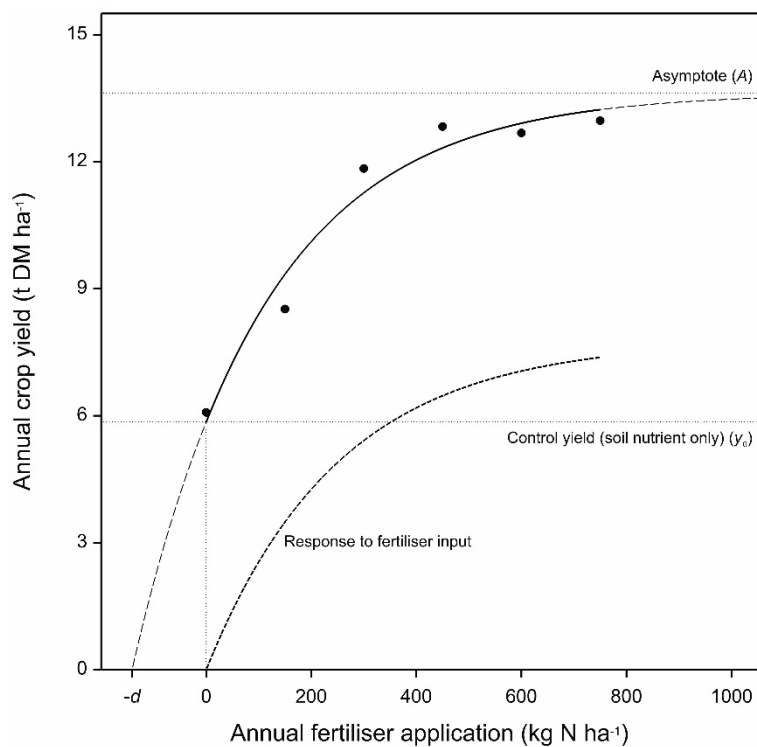
127 The parameter  $d$  is the soil nutrient applied (for further details see Schneeberger, 2009a, 2010). Once the  
 128 estimate of  $d$  is known, the right-angled triangle of nutrient and yield formed by the 3 points with coordinates  $\{x$   
 129  $= -d, y = 0\}$ ;  $\{x = 0, y = 0\}$ ;  $\{x = 0, y = y_0\}$  may be used to calculate the linear slope of production response from  
 130 soil nutrient  $d$ .

131 To study the response to added nutrient alone, Schneeberger (2009a) partitioned the Mitscherlich  
 132 (Equation 4) into two parts ( $y_{01}$  and  $y_{02}$ ):

$$133 \quad y = A(1 - e^{-cx}) + y_0 e^{-cx} = y_{01} + y_{02} \quad (6)$$

134 Here  $y$  is the yield,  $A$  is the asymptote (ideal maximum yield), in the absence of any toxic effects if nutrient is  
 135 added at more than the optimum level (Inkson, 1964), and  $c$  the efficiency of utilising added nutrient. If adding  
 136 to a low N-status soil, the yield growth profile may vary. The Mitscherlich-Baule model is summarized in  
 137 Figure 1.

138



139

140 **Figure 1** Features of the Mitscherlich-Baule response model. (a) fitted curve (solid line) to Combo site data  
 141 (dots), (b) control plot yield from soil nutrient only (horizontal dotted line), (c) soil nutrient level  $d$  (at the  
 142 intersection of dashed line and the negative side of  $x$ -axis, i.e. at zero crop yield), (d) response to fertiliser input  
 143 (increasing close dash curve starting from the origin (net of control yield)).

144

### 145 2.1.2 Bray modification

146 Starting with the Mitscherlich (Equation 3), Bray (1945) studied soil fertility using soil tests and protocols he  
 147 had developed. He replaced fertiliser  $x$  with the amount available in the soil ( $b_1$ ) as indicated by the soil test, i.e.

$$148 \quad \ln(A - y) = \ln(A) - c_1 b_1 \quad (7)$$

149 where  $c_1$  is the efficiency of soil nutrient uptake. Using experimental estimates of  $A$ ,  $y$  and  $b_1$  he was able to  
 150 obtain estimates of  $c_1$  for various soils and crops. In order to link his equation to applied nutrient, the  
 151 Mitscherlich-Bray equation was developed:

$$152 \quad \ln(A - y) = \ln(A) - c_1 b_1 - cx \text{ or } y = A (1 - e^{-c_1 b_1 - cx}) \quad (8)$$

153 Using these equations, Bray (1945) developed balanced fertiliser protocols for various soils, crop and  
 154 environment combinations.

155 Balba and Bray (1956, 1957) further extended this equation to accommodate more than one soil  
 156 nutrient, viz.

$$157 \quad y = A (1 - e^{-c_1 b_1 - c_2 b_2 - cx}) \quad (9)$$

158 They also proposed the formula  $\frac{cx}{c_1 b_1 + cx}$  to calculate contribution of fertiliser to nutrient content of the plant.

159 Among many innovations they expanded this formula to calculation the proportion of nutrient in plant supplied  
 160 from

$$161 \quad \text{(i) The fertiliser} \quad \frac{cx}{c_1 b_1 + c_2 b_2 + cx} \quad (10)$$

$$162 \quad \text{(ii) The absorbed form} \quad \frac{c_1 b_1}{c_1 b_1 + c_2 b_2 + cx} \quad (11)$$

$$163 \quad \text{(iii) The easily acid soluble form} \quad \frac{c_2 b_2}{c_1 b_1 + c_2 b_2 + cx} \quad (12)$$

164 More details can be found in Balba and Bray (1957).

165

### 166 *2.1.3 Non-asymptotic response due to toxic effects of over-fertilization*

167 Inkson (1964) found toxic effects of N and K when applied in excess of their optimum levels. In such cases, the  
 168 Mitscherlich equation will not be applicable. Inkson (1964) proposed a specially constructed quadratic function:

$$169 \quad y = a_0 + a_1 (x + B) + a_2 (x + B)^2 \quad (13)$$

170 where  $y$  is yield,  $x$  is the added nutrient and  $B$  is nutrient in the soil.

171 Another applicable option is using inverse polynomials (also known as rational functions) as proposed  
 172 by Nelder (1963):

$$173 \quad \frac{1}{y} = \frac{b_0}{x + B} + b_1 + b_2 (x + B) \quad (14)$$

174 Here  $b_0$  is associated with the rising part of a curve and  $b_2$  with the declining part. A biphasic Mitscherlich  
 175 function can also be used (Schneeberger, 2009b). If the declining part of the response curve is short and nearly  
 176 linear then ‘Mitscherlich + linear’ may be a simpler option (Powell et al., 2020; Dhanoa et al., 2021).

177

#### 178 *2.1.4 Incomplete study due to resource limitation and/or environmental vagaries*

179 It may happen that the maximum yield cannot be estimated experimentally due to unforeseen and uncontrollable  
 180 factors. In such a situation Dickson (1942) described a method of prediction of the maximum yield. In order to  
 181 do this, experimental yield ( $y_1, y_2$  and  $y_3$ ) corresponding to three equal interval nutrient applications ( $x_1, x_2$  and  
 182  $x_3$ ) is needed with the condition that  $x_2 - x_1 = x_3 - x_2$ . Substituting these in the Mitscherlich equation (Equation  
 183 3) and solving the resulting three simultaneous equations, we can estimate maximum yield  $A$  as:

$$184 \quad A = \frac{y_2^2 - y_1 y_3}{2y_2 - y_1 - y_3} \quad (15)$$

185 Dickson (1942) also estimated the efficiency of applied nutrient (activity constant  $c$  in Equation 3), viz.

$$186 \quad c = \frac{\ln(A - y_1) - \ln(A - y_2)}{x_2 - x_1} \quad (16)$$

187 Given estimates of  $A$  and  $c$ , an estimate of  $b_1$  can now be obtained from the Mitscherlich-Bray equation  
 188 (Equation 8) as:

$$189 \quad b_1 = \frac{\ln(A) - \ln(A - y_0)}{c} \quad (17)$$

190

#### 191 *2.1.5 Possible mitigation of over-fertilization effects*

192 Over-fertilization effects kick in when fertiliser N in excess of the optimum is applied. Crop responses below  
 193 the optimum N application are largely unaffected. This should allow us to predict the asymptote (see above),  
 194 assuming there are no toxic effects. Replacing crop response data beyond the optimum (empirically  
 195 corresponding to the maximum yield) with the predicted asymptote we obtain a response profile that will be  
 196 consistent with the Mitscherlich response model. This allows the apparent over-fertilisation effects to be  
 197 quantified.

198

#### 199 *2.1.6 Baule’s fertiliser units*



200 In order to study nutrient application, Baule developed the idea of units such that the first Baule unit moves a  
 201 crop response to 50% of the asymptotic value and the second Baule unit moves the response to a point half-way  
 202 from the 50% to the asymptote, i.e. 75% (= 50%+25%), and so on. The formula for these proportions ( $\rho_n$ ) being:

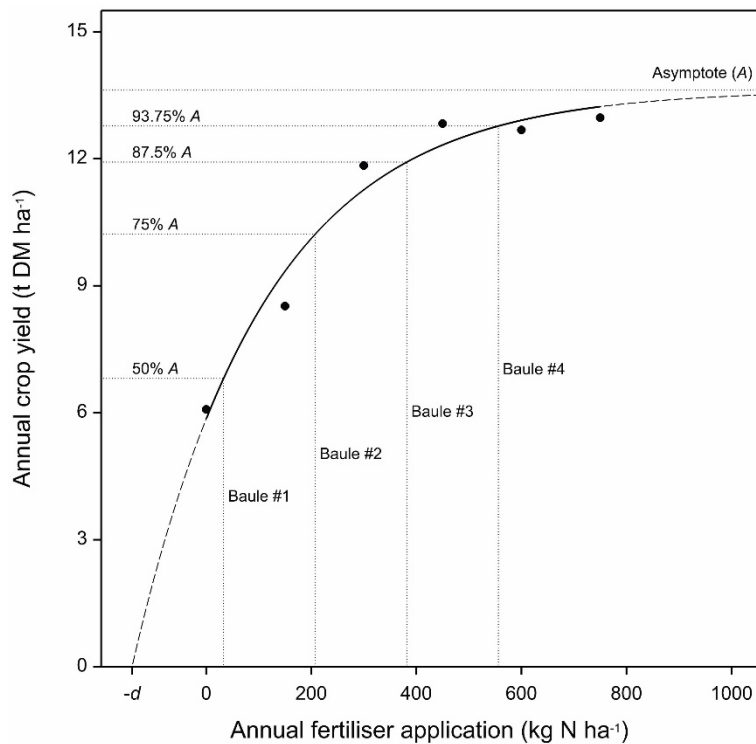
$$203 \quad \rho_n = 1 - \left(\frac{1}{2}\right)^n \quad (18)$$

204 where  $n$  (= 1, 2, 3, ...) is the number of Baule units. After the 1<sup>st</sup> Baule unit, 2<sup>nd</sup>, 3<sup>rd</sup>, 4<sup>th</sup> units will take us to 75%  
 205 (= 50% + 25%), 87.5% (= 50% + 25% + 12.5%) and 93.75% (= 50% + 25% + 12.5% + 6.25%), respectively, of  
 206 the asymptote. Nutrient input corresponding to these response points (which will vary with soil fertility, crop  
 207 and the environment) can be used to compare and contrast different environments at various geo-positions. In  
 208 the case of two limiting nutrients (e.g. N and P), if soil has 2 Baule units of N and 3 Baule units of P then yield  
 209 achieved will only be 66% (= 75% × 87.5%) of the maximum yield possible. Note that Baule units ( $X_n$ , kg  
 210 applied nutrient ha<sup>-1</sup>) are net of absolute soil nutrient; substituting  $\rho_n \times A$  for  $y$  in Equation (5) gives the  $n^{\text{th}}$  Baule  
 211 unit:

$$212 \quad X_n = c^{-1} n \ln 2 - d \quad (19)$$

213 where  $d$  (control soil nutrient) plus  $X_n$  (nutrient applied) make up absolute total nutrient. The estimate of  $d$  is on  
 214 the negative part of the added nutrient axis (Figure 2).

215



216

217 **Figure 2** Baule's fertiliser units in relation to the fitted Mitscherlich-Baule response equation such that the 1<sup>st</sup>  
 218 Baule unit increases the response to 50% of the asymptote and the second Baule unit moves it to a point half-  
 219 way between the 50% and the asymptotic value, i.e. 75%, and so on. The figure also shows the intersection of  
 220 the dashed part of the fitted curve at the negative side of the x-axis which gives soil nutrient level.

221

222 *2.1.7 Baule's sufficiency ratio*

223 Percentage sufficiency is defined by Baule (1918) as the ratio of control yield to maximum attainable yield at a  
 224 chosen site:

225 
$$\text{Percentage sufficiency} = 100 \times (y_0 / A) \tag{20}$$

226 where  $y_0$  is the control yield and  $A$  the asymptotic yield. The complement of this is:

227 
$$v = 100 \times (1 - y_0 / A) \tag{21}$$

228 which is the percentage deficiency and it is equal to  $100 \times e^{-a/b}$  as stated in Equation (8).

229

230 *2.1.8 Baule-Mitscherlich limiting factor equation*

231 While working with Mitscherlich, Baule generalised Mitscherlich's equation to study a system with 2, 3 or more  
 232 limiting-factors (Inkson, 1964; Verduin, 1953):

233 
$$E = E_{\max} (1 - b_1 r_1^{x_1}) (1 - b_2 r_2^{x_2}) (1 - b_3 r_3^{x_3}) \dots \tag{22}$$

234 where  $E$  is the rate of a process,  $E_{\max}$  is the rate if factors ( $x_1, x_2, x_3 \dots$ ) are present in abundance, and the  $b$  and  
 235 the  $r$  are constants introduced to facilitate fitting the equation.

236 Verduin (1988) evaluated this equation for freshwater lakes sampled in the USEPA National  
 237 Eutrophication Survey (1972–76). He applied it to determine chlorophyll concentration using four limiting  
 238 factors N, P, carbon and light. The equations listed above have been applied widely, e.g. Harmsen (2000)  
 239 modified the Mitscherlich equation for rain-fed crop production in semi-arid areas.

240

241 *2.1.9 Type II linear regression*

242 As the model parameters (fitted or predicted) carry errors, an ordinary least squares regression model is not  
 243 wholly appropriate, and a Type II model is needed to avoid slope attenuation (Dhanoa et al., 2010). For this  
 244 purpose, two special cases of the general maximum likelihood solution, i.e. major axis (MA) and reduced major  
 245 axis (RMA), are sufficient. Quoting Dhanoa et al. (2010), the general maximum likelihood (ML) estimates the  
 246 slope as:

$$\hat{\beta}_{\text{ML}} = \frac{\hat{\sigma}_y^2 - \lambda_{\text{ML}} \hat{\sigma}_x^2 + \sqrt{(\hat{\sigma}_y^2 - \lambda_{\text{ML}} \hat{\sigma}_x^2)^2 + 4\lambda_{\text{ML}} \hat{\sigma}_{xy}^2}}{2\hat{\sigma}_{xy}} \quad (23)$$

Here  $\lambda_{\text{ML}} = \hat{\sigma}_\varepsilon^2 / \hat{\sigma}_\delta^2$  where  $\hat{\sigma}_\varepsilon^2$  is the estimator of the error variance of a single  $y$ -value whilst  $\hat{\sigma}_\delta^2$  is the estimator of the error variance of a single  $x$ -value with the assumption that both  $\hat{\sigma}_\varepsilon^2$  and  $\hat{\sigma}_\delta^2$  are constant over the range of the data. The variances of  $x$  and  $y$  sample values are denoted by  $\hat{\sigma}_x^2$  and  $\hat{\sigma}_y^2$  respectively and  $\hat{\sigma}_{xy}$  is the sample covariance. An alternative form of Equation (23) is the Deming formula (Cornbleet and Gochman, 1979; Deming, 2003):

$$\hat{\beta}_{\text{Deming}; y.x} = U + \sqrt{U^2 + (1/\lambda_{\text{Deming}})} \quad (24)$$

where:

$$U = \left[ \hat{\sigma}_y^2 - \left( \frac{1}{\lambda_{\text{Deming}}} \right) \hat{\sigma}_x^2 \right] / \left[ 2r_{yx} \hat{\sigma}_x \hat{\sigma}_y \right] \quad (25)$$

and  $\hat{\sigma}_{yx} = r_{yx} \hat{\sigma}_x \hat{\sigma}_y$  with correlation  $r_{yx}$ . Here  $\lambda_{\text{Deming}}$  is the reciprocal of  $\lambda_{\text{ML}}$ , i.e.  $\lambda_{\text{Deming}} = \hat{\sigma}_\delta^2 / \hat{\sigma}_\varepsilon^2$ .

If we can justifiably assume that  $\hat{\sigma}_\varepsilon^2$  and  $\hat{\sigma}_\delta^2$  are equal (i.e.  $\lambda_{\text{ML}} = 1$ ) then the MA regression model may be used.

For MA, the solution reduces to:

$$\hat{\beta}_{\text{ML}} = \frac{\hat{\sigma}_y^2 - \hat{\sigma}_x^2 + \sqrt{(\hat{\sigma}_y^2 - \lambda_{\text{ML}} \hat{\sigma}_x^2)^2 + 4\lambda_{\text{ML}} \hat{\sigma}_{xy}^2}}{2\hat{\sigma}_{xy}} \quad (26)$$

Similarly the RMA (or geometric mean functional relationship) regression model may be appropriate when  $\hat{\sigma}_\varepsilon^2$

and  $\hat{\sigma}_\delta^2$  are assumed to be proportional to  $\hat{\sigma}_y^2$  and  $\hat{\sigma}_x^2$ , respectively, giving  $\lambda_{\text{ML}} = \hat{\sigma}_y^2 / \hat{\sigma}_x^2$  and Equation (23)

reduces to  $\pm \hat{\sigma}_y / \hat{\sigma}_x$ .

263

## 2.2 Experimental data

### 2.2.1 First experimental data collection

The National Grassland Manuring (GM) series of trials were conducted by ADAS (a major UK agricultural consultancy) and the former Grassland Research Institute, at Hurley, UK. Multi-site experiments were carried out between 1971 and 1984 (Final report, MAFF project code BD 1438). The objectives were (a) to assess the response of grassland to fertiliser N, and (b) to examine contribution of white clover and its interaction with fertiliser N and slurry/excreta. For the purposes of this study, we analyzed the grassland response to N-fertiliser

271 data from the GM20 series of experiments conducted at 21 sites (see Table 1 for locations) over the period  
272 1970-1974 (Morrison et al., 1980).

273

### 274 2.2.2 Second experimental data collection

275 Data used in this study come from 2 different sites where crop yield and greenhouse gases (GHG) were  
276 measured, viz. Hereford, UK (Williams et al., 2017) and Bedford, UK (Cardenas et al., 2017). We used these  
277 data to investigate N<sub>2</sub>O emission response to added N fertilization.

278

### 279 2.2.3 Third experimental data collection

280 The third data collection comprises 20 experiments under 4 projects conducted over 7 years at 14 UK sites  
281 (Chadwick et al., 2016). We used these data to address the question: do different types of the same nutrient  
282 applied affect N<sub>2</sub>O emission?

283

## 284 3. APPLICATION AND DISCUSSION

### 285 3.1 Using the first experimental data collection

286 In this section, we explore application of the Mitscherlich-Baule response model. This has different functional  
287 forms, e.g.

$$288 \quad y = A(1 - e^{-c(x+d)}) = A(1 - e^{-cx}e^{-cd}) = A + BR^x \quad (27)$$

289 where  $B = -e^{-cd}$ ,  $R = e^{-c}$ ,  $c = -\ln R$ .

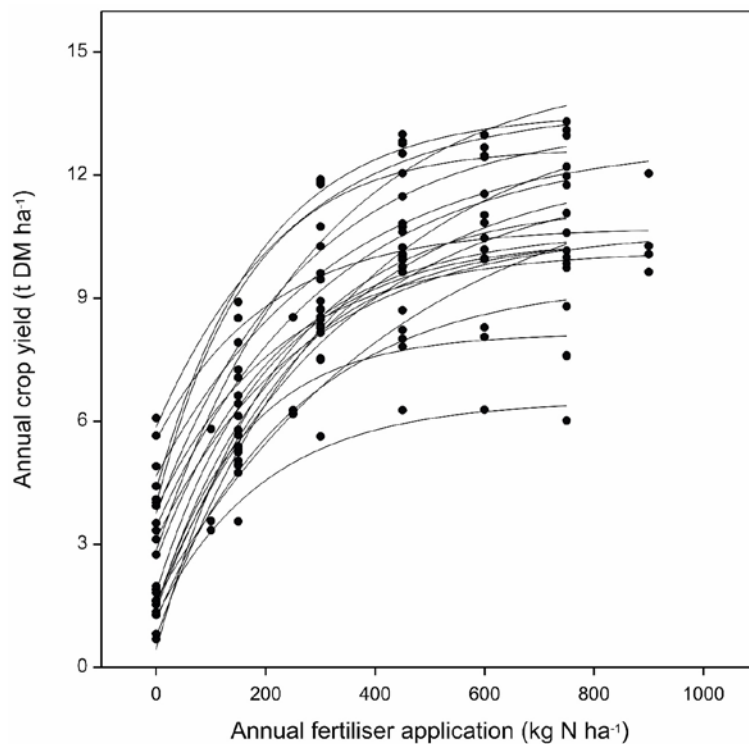
290 Statistical package Genstat (VSN International, 2015) uses the form  $y = A + BR^x$  as the initial estimate of  
291 parameter  $R$  must lie in the interval  $[0.0 \rightarrow 1.0]$  for an asymptotic response. From the fit of the full Mitscherlich-  
292 Baule model one can derive the following important information that will characterise a specific site or geo-  
293 position regarding:

- 294 (i) Efficiency of utilising the added nutrient (N in this example) and other parameters
- 295 (ii) Yield from the nutrient pool in the soil alone (Equation 3)
- 296 (iii) Maximum derivable yield  $A$  at the particular geo-position (including the control yield)
- 297 (iv) Baule's nutrient units at the selected sites (for 50%  $A$ , 75%  $A$  ...) (Equation 18)
- 298 (v) Baule's sufficiency ratio (Equation 20) regarding control yield and asymptote yield
- 299 (vi) Most of these curves have well defined asymptotes, so our data sets are suitable for testing the Dickson  
300 formula (Equation 15) for asymptote prediction

301 (vii) Dickson (1942).also proposed the formula to predict the Mitscherlich efficiency ( $c$ ) of applied nutrient  
302 utilisation, and the efficiency of the same nutrient from the soil (Bray's  $b_1$ ,  $\text{kg ha}^{-1}$ ).

303 All these site characteristics are specific to the soil potential at that site, both from the relevant nutrient  
304 available from the soil, and also that which can be activated in response to the same nutrient when added to the  
305 soil. These characterising features can be used to compare, contrast and classify the chosen sites. The  
306 differences can be displayed (Figure 3) for all 21 sites; and show the  $y$ -axis yield response, when no fertiliser is  
307 added ( $x = 0.0$ ). The Figure 3 data profiles were quantified using Equation (27).

308



309

310 **Figure 3** The GM 20 series data as collected from the 21 selected sites. When no nutrients were added the  
311 control yield ( $y$ -axis intercept) varies considerably. These control yield differences contribute to the major  
312 differences among the fitted asymptotes.

313

314 The parameter estimates  $R$ ,  $B$ ,  $A$  for each of the 21 sites are listed in Table 1. The individual curves  
315 may differ in terms of the values of both the linear and non-linear parameters. For this purpose, parallel curve  
316 analysis, i.e. nonlinear regression ANOVA (Heitjan, 1989), was carried out by separating variance accounted for  
317 by the model parameters, and subjecting these components to a variance ratio test ( $F$  test). Linear parameters ( $y$ -  
318 intercept and asymptote) were shown to differ ( $p < 0.05$ ) among the 21 sites but the shape parameter,  $R$ ,  
319 (efficiency of use of added nutrient) was similar ( $p > 0.05$ ) across all sites. Further output and other meaningful  
320 quantities are listed in Table 2, viz. adjusted  $R^2$ , control (unfertilised) yield, added nutrient asymptote (i.e. net

321 asymptote), Baule's sufficiency ratio, relevant nutrient from the soil (i.e. absolute numerical value of the  $x$ -axis  
 322 intercept), efficiency of added nutrient utilisation and magnitude of the Baule unit at each site. The dendrogram  
 323 showing a hierarchical clustering analysis for site similarity (Earle and Hurley, 2015) is shown in Figure 4.

324

325 **Table 1** Parameter values\* obtained by fitting the Mitscherlich-Baule response model (Equation 27) to annual  
 326 perennial ryegrass yield (t DM ha<sup>-1</sup>) versus annual fertiliser application (kg N ha<sup>-1</sup>) at each of 21 sites from the  
 327 GM20 series of trials.

Site	$R$	$B$	$A$	S.E. $R$	S.E. $B$	S.E. $A$
Cambo	0.9960	-7.77	13.62	0.00135	0.987	0.883
Harewood	0.9948	-9.19	13.52	0.00074	0.492	0.362
Drayton (1)	0.9968	-10.87	12.30	0.00037	0.487	0.488
Morley	0.9940	-8.69	12.66	0.00142	0.776	0.517
Gleadthorpe	0.9955	-10.86	11.29	0.00137	1.226	0.998
Cambridge	0.9950	-5.26	6.50	0.00136	0.540	0.411
Bridgets	0.9951	-8.58	10.42	0.00109	0.712	0.547
Oxford	0.9966	-11.80	14.63	0.00093	1.246	1.219
Rowsham	0.9963	-9.54	13.29	0.00088	0.848	0.791
Hurley (1)	0.9969	-10.42	11.99	0.00082	1.075	1.089
Wye	0.9955	-8.02	10.65	0.00082	0.550	0.454
Pluckley	0.9971	-12.91	13.64	0.00025	0.453	0.468
Cannington	0.9955	-9.03	10.50	0.00083	0.620	0.507
High Mowthorpe	0.9938	-6.67	8.14	0.00091	0.442	0.232
Hurley (2)	0.9962	-8.26	9.43	0.00056	0.450	0.435
Jealotts Hill	0.9968	-9.27	12.70	0.00037	0.410	0.422
Drayton (2)	0.9978	-10.76	12.43	0.00062	1.435	1.541
North Wyke	0.9951	-5.17	10.71	0.00186	0.800	0.614
Pant-y-dwr	0.9956	-6.30	10.16	0.00161	0.953	0.770
Ponterwyd	0.9964	-7.54	10.67	0.00116	1.006	0.891
Selborne	0.9969	-8.18	12.84	0.00106	1.177	1.119

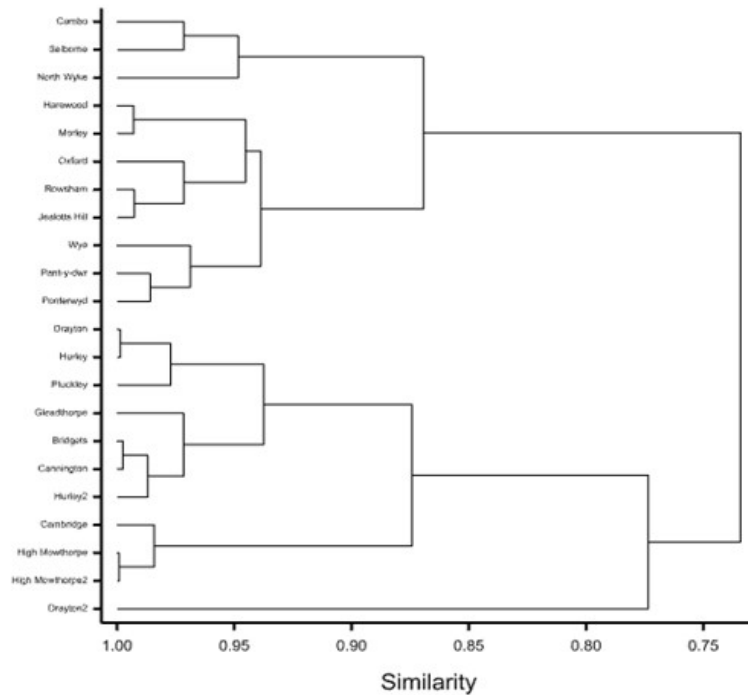
328 \*S.E. denotes standard error.

329

330 **Table 2** Derived parameter and other values associated with nutrient uptake and yield obtained by fitting the  
 331 Mitscherlich-Baule response model (Equation 27) to annual perennial ryegrass yield (t DM ha<sup>-1</sup>) versus annual  
 332 fertiliser application (kg N ha<sup>-1</sup>) at each of 21 sites from the GM20 series of trials.

Site	Adj. $R^2$	$y_0$ (t DM ha <sup>-1</sup> )	Net A (t DM ha <sup>-1</sup> )	Baule's sufficiency (%) <sup>§</sup>	Soil N ( $d$ ) (kg N ha <sup>-1</sup> )	$c$ (kg <sup>-1</sup> )	Baule unit (kg N ha <sup>-1</sup> )
Cambo	0.942	5.85	7.77	43.0	141.2	0.00398	174.3
Harewood	0.987	4.32	9.20	32.0	73.8	0.00522	132.7
Drayton (1)	0.994	1.43	10.87	11.6	38.4	0.00321	215.6
Morley	0.963	3.97	8.69	31.4	62.6	0.00601	115.4
Gleadthorpe	0.948	0.44	10.85	3.9	8.7	0.00456	152.1
Cambridge	0.954	1.24	5.26	19.0	42.4	0.00499	139.0
Bridgets	0.970	1.84	8.58	17.6	39.4	0.00493	140.6
Oxford	0.967	2.83	11.80	19.4	63.5	0.00339	204.7
Rowsham	0.973	3.75	9.54	28.2	89.5	0.00371	186.9
Hurley (1)	0.973	1.58	10.41	13.1	45.2	0.00311	222.6
Wye	0.981	2.63	8.02	24.7	63.4	0.00447	155.0
Pluckley	0.997	0.73	12.91	5.4	18.9	0.00290	238.6
Cannington	0.980	1.47	9.03	14.0	33.3	0.00453	153.0
High Mowthorpe	0.958	1.47	6.67	18.1	32.2	0.00619	112.0
Hurley (2)	0.992	1.17	8.26	12.4	34.4	0.00383	180.8
Jealotts Hill	0.996	3.43	9.27	27.0	97.4	0.00323	214.8
Drayton (2)	0.985	1.66	10.76	13.4	66.1	0.00217	318.9
North Wyke	0.919	5.54	5.17	51.8	147.2	0.00495	140.0
Pant-y-dwr	0.924	3.86	6.30	38.0	108.1	0.00443	156.6
Ponterwyd	0.946	3.13	7.54	29.3	95.6	0.00363	191.0
Selborne	0.945	4.66	8.18	36.3	145.8	0.00309	224.2

333 <sup>§</sup>Baule sufficiency ratio for each site is given by  $y_0$  divided by gross A (shown in Table 1).  
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335  
 336 **Figure 4** Dendrogram showing a hierarchical clustering analysis for site similarity (after Morrison et al., 1980).  
 337 Similarity is a dimensionless quantity ranging between unity and zero.  
 338

339 In order to apply the Dickson formula for asymptote prediction (Equation 15), three equal interval  
 340 nutrient inputs ( $x_1, x_2, x_3$ ) and their corresponding yields ( $y_1, y_2, y_3$ ) were required. Here we demonstrate  
 341 applications of N input at 150, 300 and 450 kg ha<sup>-1</sup> and at 300, 450 and 600 kg ha<sup>-1</sup>. To cover the earlier part of  
 342 the response curve, applications  $y_1 = 150, y_2 = 300, y_3 = 450$  were used and the estimated asymptote values at  
 343 each site calculated as  $pA_1$ . Likewise, to cover the later part of the curve, applications  $y_1 = 300, y_2 = 450, y_3 =$   
 344 600 were chosen and the estimated asymptotes at each site calculated as  $pA_2$ . These results together with the  
 345 fitted asymptote  $A$  are shown in the Table 3. To check the reproducibility of these estimates ( $pA_1, pA_2$ ), Lin's  
 346 concordance correlation coefficient (CCC) was used relative to the estimate from the response model (Lin,  
 347 1989; Dhanoa et al., 1999). Results for the 14 sites where both  $pA_1$  and  $pA_2$  were estimated give CCC = 0.9618  
 348 (slope with respect to the reference value,  $C_b = 0.9950$ ) and CCC = 0.9344 ( $C_b = 0.9679$ ), respectively; results  
 349 which suggest using applications covering the earlier (steeper) part of the response curve are preferable to those  
 350 covering the later (flatter) part when using the Dickson formula. Dickson asymptote prediction is illustrated in  
 351 Figure 5 where the fitted lines were obtained using four types of regression analysis ( $y$  on  $x, x$  on  $y, MA$  and  
 352 RMA). Best prediction was obtained using Type II linear regression (MA and RMA). Figure 5 clearly shows  
 353 that inclusion of 3 of the 4 additional sites (i.e. North Wyke, Pant-y-dwr, Selborne) majorly distorts prediction  
 354 of  $pA_1$ .

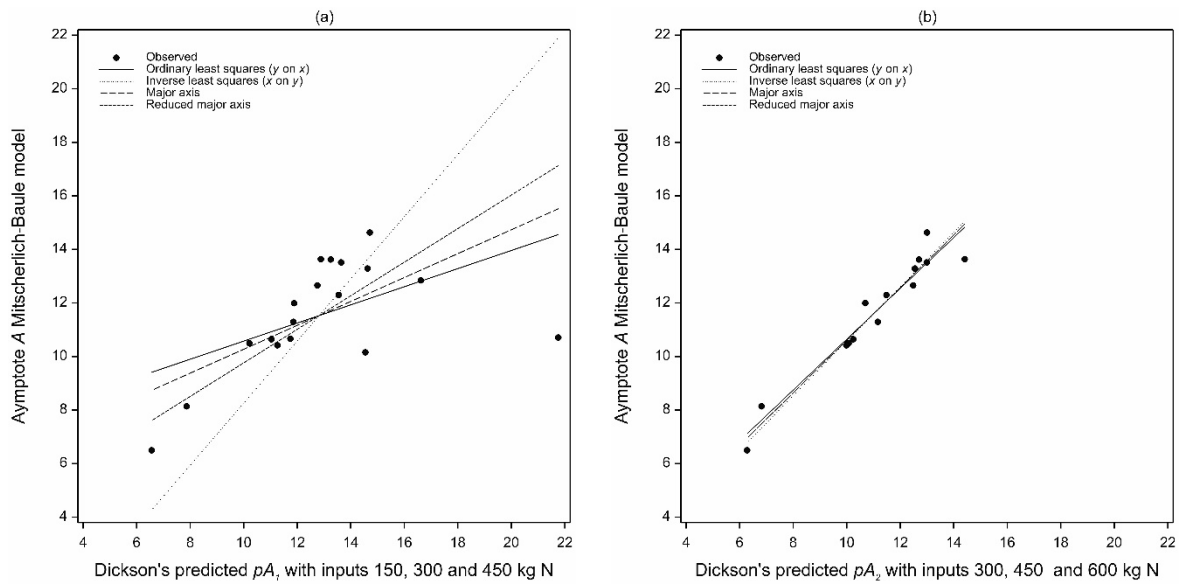


355 **Table 3** Predicted asymptotes\* from the Dickson formula (Equation 15),  $pA_1$  using N applications 150, 300 and  
 356 450 kg ha<sup>-1</sup>,  $pA_2$  using N applications 300, 450 and 600 kg ha<sup>-1</sup> and the fitted asymptote A, estimated from the  
 357 model  $y = A + BR^x$ .

Sites	$pA_1$	$pA_2$	A
Cambo	13.25	12.70	13.62
Harewood	13.64	12.99	13.52
Drayton (1)	13.55	11.49	12.30
Morley	12.75	12.48	12.66
Gleadthorpe	11.86	11.16	11.29
Cambridge	6.56	6.28	6.50
Bridgets	11.26	9.99	10.42
Oxford	14.71	13.00	14.63
Rowsham	14.63	12.55	13.29
Hurley (1)	11.88	10.69	11.99
Wye	11.03	10.24	10.65
Pluckley	12.88	14.41	13.64
Cannington	10.21	10.07	10.50
High Mowthorpe	7.87	6.82	8.14
Hurley (2)	-	-	9.43
Jealotts Hill	-	-	12.70
Drayton (2)	-	-	12.43
North Wyke	21.76	-	10.71
Pant-y-dwr	14.55	-	10.16
Ponterwyd	11.75	-	10.67
Selborne	16.62	-	12.84

358 \*Missing values (-) not available, i.e. selected fertiliser levels were not applied at those sites.  
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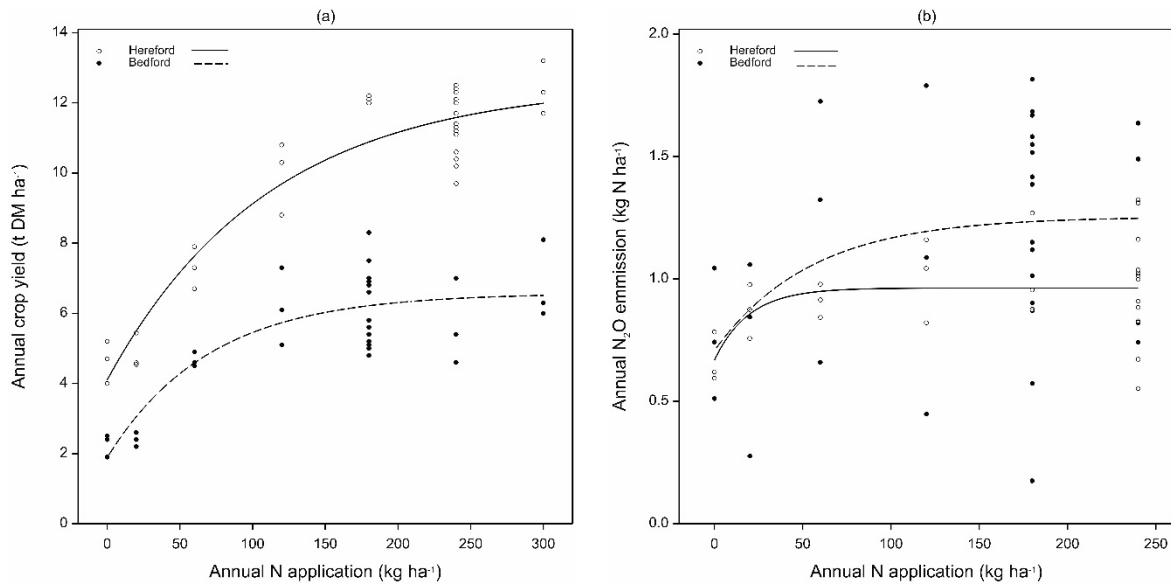


361  
 362 **Figure 5** Dickson asymptote prediction with annual inputs (a) 150, 300 and 450 (using estimates from 18 sites)  
 363 and (b) 300, 450 and 600 kg N ha<sup>-1</sup> (estimates from 14 sites).  
 364

365 **3.2 Using the second experimental data collection**

366 As with control crop yield, control N<sub>2</sub>O emission is also related to the innate nutrient in the soil. Likewise as  
 367 applied to crop yield, the Mitscherlich-Baule model can be used to obtain information on a set of soils in order  
 368 to study their capacity to emit N<sub>2</sub>O. N<sub>2</sub>O data were not collected along with the crop yield data for the 21 sites  
 369 of the first data collection. For the purpose of illustration, we use the second data collection from different UK  
 370 sites (Hereford and Bedford; Williams et. al., 2017 and Cardenas et al., 2017 respectively), where winter wheat  
 371 yield and GHG were measured. We have found that N<sub>2</sub>O emission is not always consistent with the  
 372 Mitscherlich-Baule model, as is the case with crop yield data in situations of over-fertilization, but if for  
 373 example soil moisture content is low the N<sub>2</sub>O flux will most likely plateau at a low level (Cardenas et al., 2017).  
 374 Herein we just focus on the asymptotic shape of N<sub>2</sub>O profiles. Any attributes of a GHG producing source can be  
 375 helpful in designing mitigating protocols, hence the inspiration to conduct this study with the use of the long  
 376 established Mitscherlich-Baule model.

377 The fits obtained with the Mitscherlich-Baule response model are shown in Figure 6 and the associated  
 378 parameter estimates given in Table 4. Though not the case with this example, soil nutrient estimates in relation  
 379 to N<sub>2</sub>O are generally lower when compared to the corresponding estimates from crop yield modelling. However,  
 380 the crop yield incorporates effects related to root functionality and plant growth, and these are related factors  
 381 that are not part of the measured N<sub>2</sub>O flux, which is the product of chemical reactions and other factors in the  
 382 soil ecosystem.



384

385 **Figure 6** Mitscherlich-Baule response model fitted to (a) winter wheat yield and applied N and (b) N<sub>2</sub>O  
 386 emission and applied N (Hereford and Bedford data).

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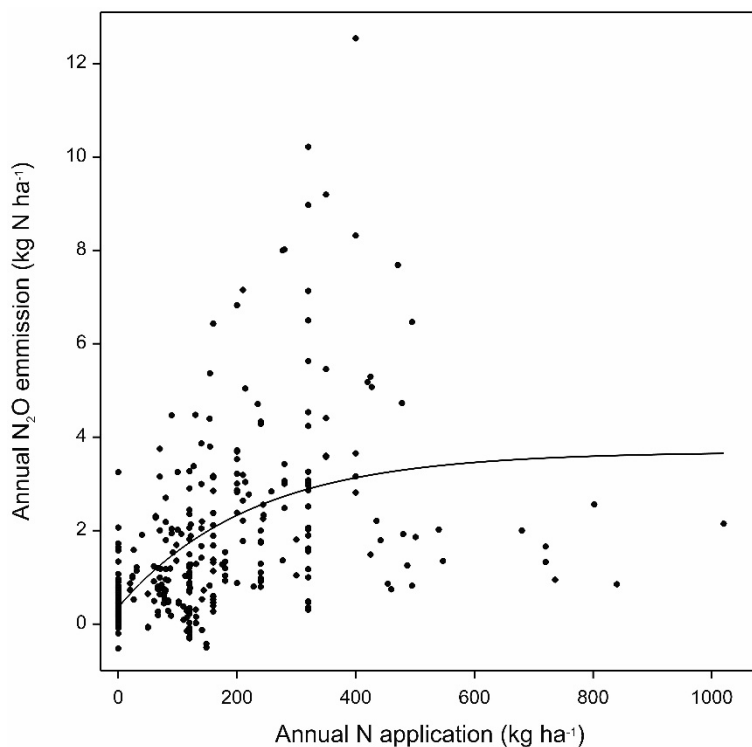
388 **Table 4** Estimates of parameters obtained fitting the model  $y = A + BR^x$  to the Hereford and Bedford, crop  
 389 yield and N<sub>2</sub>O emission data.

Parameter	Hereford		Bedford	
	Estimate	Standard Error	Estimate	Standard Error
(a) Fitting crop yield versus applied N				
<i>R</i>	0.9910	0.00221	0.9845	0.00682
<i>B</i> (t DM ha <sup>-1</sup> )	-8.45	0.625	- 4.58	0.596
<i>A</i> (t DM ha <sup>-1</sup> )	12.55	0.650	6.44	0.471
Soil N ( <i>d</i> ; kg N ha <sup>-1</sup> )	43.85	-	21.79	-
Baule unit (kg N ha <sup>-1</sup> )	76.85	-	44.45	-
(b) Fitting N <sub>2</sub> O emission versus applied N				
<i>R</i>	0.9552	0.0413	0.9818	0.0270
<i>B</i> (kg N ha <sup>-1</sup> )	- 0.302	0.107	- 0.547	0.254
<i>A</i> (kg N ha <sup>-1</sup> )	0.973	0.0357	1.254	0.162
Soil N ( <i>d</i> ; kg N ha <sup>-1</sup> )	25.49	-	45.22	-
Baule unit (kg N ha <sup>-1</sup> )	15.11	-	37.78	-

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### 3.3 Using the third experimental data collection

In addition to spatial and temporal variability, nutrients input to soil can cause further variability. So it is necessary to look if different sources and types of added nutrients affect soil nutrient status, leading to some impact on N<sub>2</sub>O emission flux to the same extent, or different, across geo-positions. For this purpose, data are required for the same soil with the added nutrients coming from different sources (for example using N fertilization from various sources). The data we use here comes from 20 experiments under 4 projects conducted over a period of 7 years at 14 sites (Chadwick et al., 2016). Added N nutrients were of 22 types across 11 arable and grassland crops. The response variable was N<sub>2</sub>O flux with soil attributes pH, crop yield, soil organic carbon percentage, bulk density and clay percentage. This collection of data from individual sites is not entirely suitable for fitting the Mitscherlich-Baule model. However, site-to-site variability can be illustrated by the overall fit of the model to annual N<sub>2</sub>O flux data (Figure 7). The average control emission of N<sub>2</sub>O flux from this fit works out to be 0.359 kg N ha<sup>-1</sup> per year.

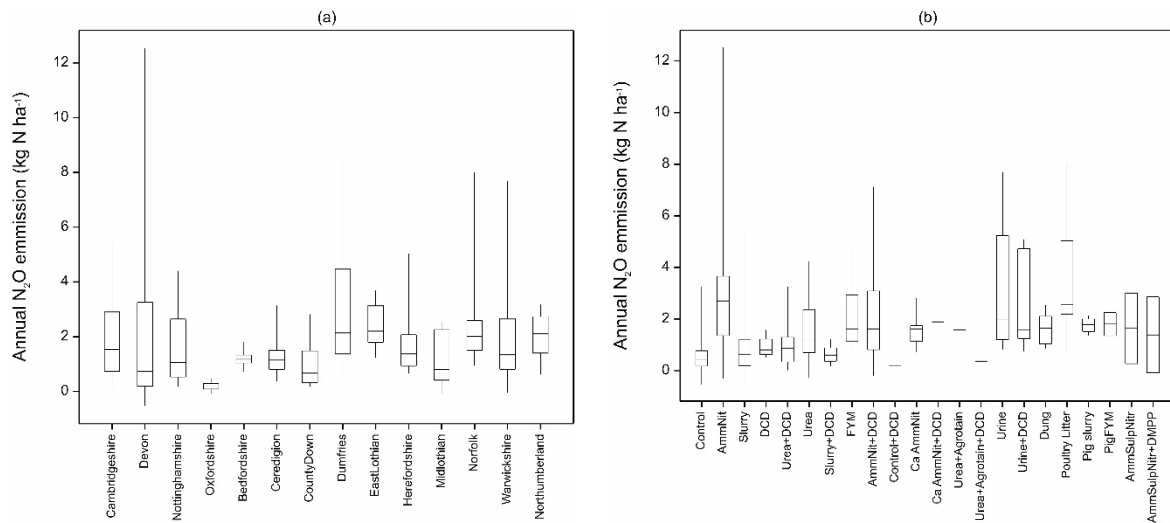


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**Figure 7** The Mitscherlich-Baule model fitted to N<sub>2</sub>O emission and various N types (UK county data).

Boxplots of N<sub>2</sub>O emission by site and by N type are shown in Figure 8. The vertical differences in Figure 8a are due to site totals that were caused by all relevant factors. N<sub>2</sub>O flux was lowest in Oxfordshire and

410 next lowest in County Down, Devon and Midlothian. Data skewness and a large range are apparent at several  
 411 sites. Similar patterns can be seen by inspecting the boxplot by N type (Figure 8b).  
 412



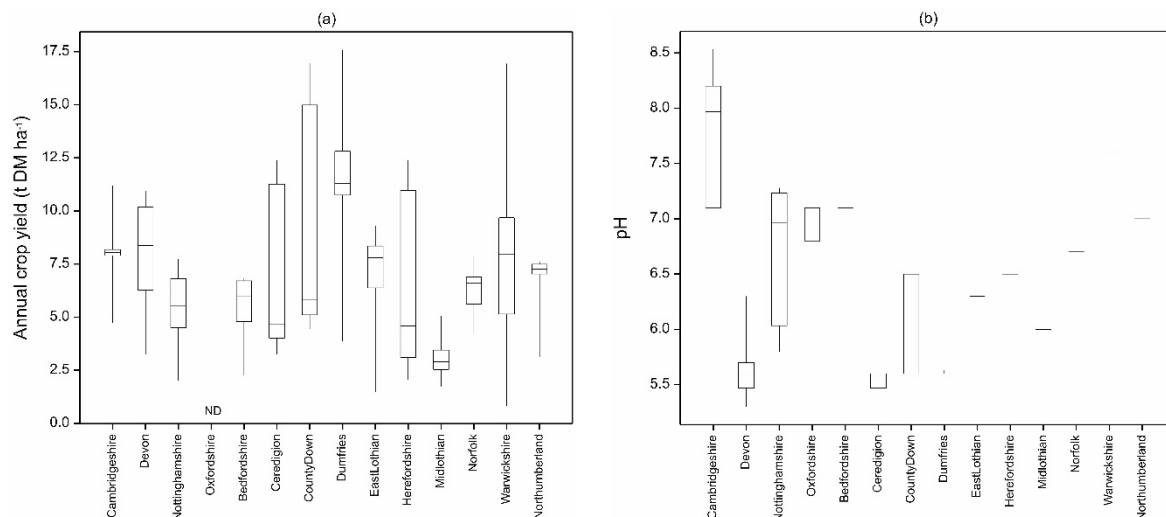
413 **Figure 8** Boxplots of annual  $N_2O$  flux ( $kg\ N\ ha^{-1}$ ) (a) by site and (b) by N type (using UK county data). Boxes  
 414 and horizontal lines indicate interquartile range and median, whiskers indicate range of data.

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Although crop yield data were tested for fitting with the Mitscherlich-Baule model, they only followed  
 417 the model loosely and produced a variable spread of yield, as it is not wholly appropriate to compare crops of  
 418 different grass, clover and cereal types incorporating a wide range of yields. Figure 9 shows the site variation in  
 419 yield and soil pH. Crop yield in Midlothian is the lowest but others are similar or skewed. Soil pH tends to be  
 420 variable across geo-position both naturally and by management practice. The value is highest for  
 421 Cambridgeshire and lowest for Devon, Ceredigion and Dumfries. In such a diverse collection of data with many  
 422 factors and covariates, some missing combinations are not a surprise, though that rules out standard multivariate  
 423 analyses such as principal components analysis and cluster analysis. To look at more than two factors or  
 424 covariates together we suggest using a regression tree modelling approach (Breiman et al., 1984).

425



426 **Figure 9** Boxplots of site variation in (a) annual crop yield (t DM/ha) and (b) annual pH (using UK county  
 427 data). Boxes and horizontal lines indicate interquartile range and median, whiskers indicate range of data. ND =  
 428 not determined  
 429

#### 430 4. CONCLUSIONS

431 Application of the Mitscherlich-Baule model results in valuable site treatment specific information that enables  
 432 geo-positions and their relevant ecosystems to be compared and contrasted. The nutrient estimate in the soil is  
 433 the result of local environment and local soil type and composition that determine the control plot yield and  
 434 potential for further yield in response to added growth factors. Baule units may be used to quantify this input for  
 435 the required percentage of estimated local asymptotic yield. Information on N<sub>2</sub>O emission potential of a given  
 436 soil can help design mitigation strategies.

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