



Improved Rate Capability and Thermal Stability of LiNi<sub>0.5</sub>Co<sub>0.2</sub>Mn<sub>0.3</sub>O<sub>2</sub>Cathode Materials via Nanoscale SiP<sub>2</sub>O<sub>7</sub>Coating

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#### Abstract

In order to overcome the inherent problems of LiNiO<sub>2</sub>, many method, such as coating and doping have been investigated. However, none of previous studies have not been reported to solve both rate capability at higher rates and thermal stability of the Ni-based cathode materials simultaneously. Here, we report the  $Li_8P_yO_2$ -coated  $LiNi_{0.5}Co_{0.2}Mn_{0.3}O_2$  cathode materials doped with P and Si ions which possesses both higher rates and thermal stability. It was prepared by direction reaction of LiOH and SiP<sub>2</sub>O<sub>7</sub>-coated Ni<sub>0.5</sub>Co<sub>0.2</sub>Mn<sub>0.3</sub>(OH)<sub>2</sub> precursors. The coated cathodes exhibited quite impressive results; rate capability was improved by almost 100% at a 7C rate compared to pristine  $LiNi_{0.5}Co_{0.2}Mn_{0.3}O_2$ . Furthermore, the amount of heat generation at 4.5V charge cut-off as a result of the evolution of oxygen was reduced by 79%, compared to pristine  $LiNi_{0.5}Co_{0.2}Mn_{0.3}O_2$  sample. Overall, this coating method is also applicable to other bulk cathodes, such as  $LiMn_2O_4$  and  $LiCoO_2$  which need to improve electrochemical properties both at room and elevated temperatures.

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#### I. INTRODUCTION

LiNiO<sub>2</sub> materials have been in the spotlight as an alternative to LiCoO<sub>2</sub>. Therefore, many methods, such as coating and doping, have been investigated to enhance the inherent problems of LiNiO<sub>2</sub>. [1-14] Naturally unstable Ni<sup>3+</sup> ions are apt to reduce to Ni<sup>2+</sup> on the cathode surface in the form of NiO, and further structural instability from the higher oxidation state of Ni<sup>4+</sup> leads to substantial oxygen generation from the lattice at elevated temperatures. Particularly, the thermal instability problem leads to a faster thermal runway than LiCoO<sub>2</sub>[15-18] and have demonstrated larger amounts of oxygen generation from the lattice with increasing charge cut-off voltage. Recently, larger amounts of Ni were substituted by electrochemical inactive Mn ions (e.g., LiNi<sub>0.6</sub>Co<sub>x</sub>Mn<sub>0.4-x</sub>O<sub>2</sub>) to render such inherent problems [19-22], but increasing the Mn content increased the charge transfer resistance, resulting in decreased electrochemical performance (rate capability). On the contrary, increasing Mn content preserves initial structural integrity during the high-temperature heating as well as electrochemical cycling[23]. However, none of previous studies have not been reported to solve both rate capability at higher rates and thermal stability of the Ni-based cathode materials simultaneously.

In this regard, coating on the cathode material may lead to solve both rate capability and thermal instability upon delithiated states. However, previous coating method on Ni-based cathode materials led to improve either thermal stability or cycling performance, for example via dry  $TiO_2[10]$  or  $SiO_2[12]$  coatings. Alternatively, we can consider AlPO<sub>4</sub> coating [24], but this coating method due to usage of acidic coating solution led to partial dissolution of Li from the cathode material, in turn, increasing gas evolution at elevated temperatures.

In this study, we report that direction reaction of LiOH and SiP<sub>2</sub>O<sub>7</sub>-coated Ni<sub>0.5</sub>Co<sub>0.2</sub>Mn<sub>0.3</sub>(OH)<sub>2</sub> particles leads to the simultaneous formation of an amorphous  $Li_{\delta}P_{y}O_{z}$ -like coating layer and a solid solution LiNi<sub>0.5</sub>Co<sub>0.2</sub>Mn<sub>0.3</sub>O<sub>2</sub>with doped Si and P ions. This new cathode material exhibits gnificantly improved rate capability and suppressed oxygen evolution the lattice at 4.5V above 200°C, compared to the pristine sample.

#### **II. REVIEW OF RELATIVE LITERATURE**

#### 2.1 Introduction to Li-ion batteries

Primary Li batteries became a commercial reality during the 1970s. Their categorization and characterization are well described in numerous books and hundreds of publications.[25-28] attempts to develop rechargeable Li batteries with Li-metal anodes have accompanied the R&D of Li batteries from their early stages. However, a few nearly commercial products of secondary Li (metal) batteries appeared during the early 1990s. These included Li-TiS2,[29] Li-MoS2[30] and Li-Li<sub>x</sub>MnO<sub>2</sub> systems[31] The last system, developed by Tadiran Inc. (Israel) in the mid 1990s, was a commercial AA battery that was addressed to power cellular phones. Li-MoS<sub>2</sub> and Li-TiS<sub>2</sub> systems did not take off due to safety problems. The Li-LixMnO2 system, which was considered to be safe (due to the included internal safety mechanisms),[32] failed due to the prolonged time required for charging Li metal-based batteries in order to obtain prolonged cycle life (several hours per cycle).[33] From the early stages of R&D of Li-ion batteries, it was clear that transition metal oxides and sulfides can serve as excellent reversible cathode materials for rechargeable Li batteries.[25, 26] The Li-ion battery technology evolution, which enabled the commercialization of the rechargeable, high energy density batteries that are conquering the market, emerged due to the introduction of graphite as the anode material instead of Li metal, and the use of lithiated transition metal oxide cathode materials: LiMO<sub>2</sub> as the source of lithium in the cell.[34] Graphite-LiMO<sub>2</sub> became the leading Li-ion battery system that at present powers most of the portable electronic devices: cellular phone, laptops, digital cameras, etc. Fig. 1 illustrates the basic Li-ion battery system that leads the current battery market, and serves as the starting point for the following review.



Figuer 1. A schematic presentation of the most commonly used Li-ion battery based on graphite anodes and  $LiMO_2$  cathodes.

The main cell reactions are reversible Li-ion intercalation-de-intercalation cycles between two layered compounds. In order to ensure the anodic stability of both the cathode material and the electrolyte solutions, the cathode reactions are:

 $Li_{1-x}MO_2 + xLi^+ + xe^- \leftrightarrow LiMO_2$ 

The upper potential of the delithiation of  $LiCoO_2$  is limited to 4.2 V (vs. Li/Li+), which means that only half of the theoretical capacity of the cathode, around 140 mAh g\_1 is extracted in a reaction that is mainly first-order phase transition between  $LiCoO_2$  and  $Li_{0.5}CoO_2$ .[35] As mentioned above, the source of lithium in these Li-ion batteries is the cathode material, which ensures a very prolonged shelf life and excellent safety features, compared to Li metal-based batteries. The first process in the cell is always charging, namely, oxidation and delithiation of  $LiCoO_2$  in parallel to the reduction and lithiation of graphite. Graphite intercalates reversibly with lithium to form LiC6 as the final product according to the following reaction:

 $Li^+ + C_6 + e^- \leftrightarrow LiC_6$ 

The intercalation of Li into graphite occurs in stages such as  $LiC_{24}$ ,  $LiC_{27}$  and  $LiCl_2$  via first-order phase transition reactions between the various stages.[36] A first polarization of graphite electrodes in any polar aprotic Li salt solution consumes irreversible charge, for the reduction of solution species. These processes, as will be discussed later, may form passivating films that prevent any further irreversible process and provide metastable conditions for the  $Li_xC_6$  stages that are formed during the course of Li insertion into graphite, all of which are highly reducing agents,[37] Hence, each Li-ion battery has to contain the Li source, namely, the cathode material ( $Li_xMO_2$ ) in excess, in order to provide the Li ions and the charge that is needed to form the passivating surface films on graphite. A critical engineering task in the development of practical Li-ion batteries is to reduce to a minimum the above reversible charge consumption and hence, the extra content of the cathode material.

#### 2. 1. 1 Layered oxide cathodes

Several oxides with a general formula LiMO<sub>2</sub>(M=V, Cr, Co, and Ni) crystallize in a layered structure in which the Li<sup>+</sup> and M<sup>3+</sup> ions occupy the alternate (111) planes of the rock salt structure to give a layer sequence of O-Li-O-M-O along the c axis as shown in Figure 1 for LiCoO<sub>2</sub>. The structure has an oxygen stacking sequence of ABCABC along the c axis and the Li<sup>+</sup> and M<sup>3+</sup> ions occupy the octahedral interstitial sites of the cubic close-packed oxygen array. This structure is designated as the O3 layer structure since the Li<sup>+</sup> ions occupy the octahedral sites (O referring to octahedral) and there are three MO<sub>2</sub> sheets per unit cell. The structure with a strongly (covalently) bonded MO<sub>2</sub> layers allows a reversible extraction/insertion of lithium ions from/into the lithium planes. The interconnected lithium-ion sites through the edge-shared LiO<sub>6</sub> octahedral arrangement between the

 $MO_2$  layers provide fast two-dimensional lithium ion diffusion leading to high  $\sigma_{Li}$ , On the ather hand, the edge-shared  $MO_6$  octahedral arrangement with a direct M-M interaction can provide good electronic conductivity  $\sigma_c$  depending on the electronic configuration of the  $M^{3+}$  ion. As a result, the LiMO<sub>2</sub> oxides crystallizing in the O3 structure have become attractive candidates as cathodes.



Figure 2. crystal structure of LiMO<sub>2</sub> having the O3 layered structure

#### **2.1.1.1** LiNiO<sub>2</sub>

Lithium nickel oxide is same structural with lithium cobalt oxide structure but has not been pursued in the pure state as Li-ion battery cathode material for a variety of reasons, Although nickel is readily available compared to cobalt. First, it is difficult that stoichiometric LiNiO<sub>2</sub> exists. Most of the reports suggest excess nickel as in  $Li_{1-y}Ni_{1+y}O_2$ ; thus, nickel is as often as found in the lithium layer, which is fixed with the NiO<sub>2</sub> layers, there are controlled by reducing the lithium diffusion coefficient and the power capability of the electrode. Second, compounds appear to be unstable together low lithium contents because of the high effective equilibrium oxygen partial pressure, so such cells are inherently unstable and dangerous in contact with electrolyte. A secondary lithium can be inserted either chemically or electrochemically in  $Li_{1.8}Ni_{1+y}O_2$ , which is a mixture as expected of "LiNiO<sub>2</sub>"and "Li<sub>2</sub>NiO<sub>2</sub>".[38] The former assists in ordering the structure, that is keeping the nickel in the nickel layer, and the latter, being redox inactive, prevents the complete removal of all the lithium, thus additionally stabilizing the structure and preventing any phase changes that might occur at very low or zero lithium content.

#### 2.1.1.2 LiNi<sub>1-y</sub>Co<sub>y</sub>O<sub>2</sub>

Many different candidates can be substituted into the layered structure, and they impact the layeredness structure, its stability on lithium removal, and the capacity retention for cycling. In a series of papers the Delmas group [39-42] determined by the physical properties and structural details of the  $LiNi_{1-\nu}Co_{\nu}O_{2}$  and indicated that there is an increased ordering as the cobalt concentration increases; they found that the c/3a ratio increases monotonically from 1.643 to 1.652 as y increases from 0 to 0.4 and that there is no nickel content on the lithium sites for  $y \ge 0.3$ . Thus, cobalt suppresses the migration of nickel to the lithium site in the mixed Li nickel/cobalt compounds and one would expect and finds the same behavior in the Li nickel/manganese/cobalt oxides. Cobalt is also reported to facilitate the oxidation of iron atoms in the structure.[43] Other ions, such as iron, do not have the same positive effect as cobalt; thus, in the compound LiNi<sub>1-v</sub>Fe<sub>v</sub>O2 the capacity is reduced with increasing iron content and the iron has no positive effects on the layeredness of the structure.[44] Thus, for y ) 0.10, 0.20, and 0.30 the amount of 3d metal in the lithium layer is 6.1%, 8.4%, and 7.4%, respectively, for samples formed at 750 °C. Although a LiFeO2 compound would be ideal for a lowcost battery, it has been reported [45] that the lithium cannot be deintercalated within the normal potential ranges used in lithium batteries; this is explained174 by the lack of compression of the FeO<sub>6</sub> octahedra which makes the reduction of one electron from  $Fe^{3+}$  very difficult. An issue with all these layered oxides is their electronic conductivity, which is not uniformly high across the lithium composition range, or nickel substitution. Thus, cobalt substitution in LiNiO<sub>2</sub>, as in LiNi<sub>0.8</sub>Co<sub>0.2</sub>O<sub>2</sub>, reduces the conductivity.[42] In addition, as lithium is removed from the phase Li<sub>x</sub>Ni<sub>0.1</sub>Co<sub>0.9</sub>O<sub>2</sub>[40] or from LixCoO<sub>2</sub> the conductivity was found to increase dramatically by some 6 orders of magnitude to around 1 S/cm from x ) 1 to 0.6. These dramatic changes demand that a conductive diluent be added to the cathode-active material, which reduces both the energy storage and the power capabilities. Studies have shown that the cobalt-substituted nickel oxides are more stable and thus are less likely to lose oxygen than the pure nickel oxide. The addition of a little of a redox-inactive element such as magnesium reduces the capacity fading on cycling, [46] as in LiNi<sub>1-v</sub>Mg<sub>v</sub>O<sub>2</sub>;[47] this inert element prevents the complete removal of all the lithium and thus minimizes possibly structural collapse and reaching such a high level of oxygen partial pressure- NiO<sub>2</sub> itself is thermodynamically unstable at 25 °C, as the equilibrium oxygen partial pressure exceeds 1 atm. Substituted nickel oxides, such as LiNi1-y-zCoyAlzO2, are prime candidates for the cathode of advanced lithium batteries for use in large-scale systems as required for hybrid electric vehicles. On charging these mixed oxides the nickel is oxidized first to Ni4+ then the cobalt to Co<sup>4+</sup>.[42] SAFT has constructed cells with these substituted nickel oxides that have been cycled 1000 times at 80% depth of discharge with an energy density of 120-130 Wh/kg.[48]

#### **2.1.1.3** LiNi<sub>1-x-y</sub>Co<sub>x</sub>Mn<sub>y</sub>O<sub>2</sub>

Extensive research efforts have focused on other layered materials with reduced cobalt content, such as LiNi<sub>x</sub>Co<sub>1-x</sub>O2,[42] LiNi<sub>x</sub>Co<sub>1-2x</sub>Mn<sub>x</sub>O<sub>2</sub>,[49-51] and LiNi<sub>1-x-v</sub>Co<sub>x</sub>Mn<sub>v</sub>O<sub>2</sub>.[52, 4, 53-55] Among them, Ni-rich material LiNi<sub>1-x-y</sub>Co<sub>x</sub>Mn<sub>y</sub>O<sub>2</sub> (1 - x - y  $_{-}$  0.5) has received much attention motivated by the promising performance of  $\text{LiNi}_{1/3}\text{Co}_{1/3}\text{Mn}_{1/3}\text{O}_2$ .[4, 54, 55]  $\text{LiNi}_{1-x-y}\text{Co}_x\text{Mn}_y\text{O}_2$  (Ni 0.5)-type cathode materials possess higher capacities than  $LiNi_{1/3}Co_{1/3}Mn_{1/3}O_2$  due to their relatively higher content of Ni, which is the active redox species in the host structure. [4, 54, 55]  $\text{LiNi}_{1-x-v}\text{Co}_x\text{Mn}_v\text{O}_2$ (Ni  $\sim$  0.5)-type layered cathode materials with various compositions of cobalt and manganese have different electrochemical behaviors as well as structural stabilities. The optimum compositions of metal ions for high capacity with acceptable stability is not yet clearly understood because an increase in the amount of Ni usually results in poor cycling performance as well as structural instability.[4, 56] Many studies have been carried out where the Ni content in Ni-rich materials has been varied, as well as the contents of other metals (e.g., Co and Mn).[4, 55, 57] However, thermal instability and gradual structural deformation over long-term cycling is still an obstacle for commercialization in Li-ion battery applications.[58, 59] Thermal instability as well as structural instability is usually caused by exothermic reactions of LiNi<sub>1-x-v</sub>Co<sub>x</sub>Mn<sub>v</sub>O<sub>2</sub> (x + y ~ 0.5) electrodes in the presence of electrolyte, accompanied by oxygen release from the host structure at an elevated temperature (55°C).[58-60] To stabilize the structural integrity of Ni-rich layered materials without sacrificing deliverable capacity, combinational substitutions with various cations have been studied.[61-64] The intrinsic properties of LiNi<sub>1-x-v</sub>Co<sub>x</sub>Mn<sub>v</sub>O<sub>2</sub>-type materials strongly depends on the amounts of each metal ion (Ni, Co, Mn) mainly due to interactions between metal ions and the modification of M-O bonding.[65] In an effort to increase the energy density of electrode material while maintaining structural and electrochemical stability, it is necessary to find the optimal composition of the metal ions. By understanding the exact roles of Ni, Co, and Mn on the electrochemical properties, structural stability, and thermal stability of LiNi<sub>1-x-v</sub>Co<sub>x</sub>Mn<sub>v</sub>O<sub>2</sub>, an improved design for high-performance Li-ion batteries is achievable.

#### 2.1.2 Coating effect

Recent increase in the mobile electronics market has led to the rapid expansion in the demand for Li batteries. Since the discovery of the usefulness of layered LiCoO<sub>2</sub> in Li-ion cells, a number of electrode materials have been investigated on the cathode part because the cathode among the Li-ion cell assembly is the most important part, determining the cell capacity and safety. Many cathode materials with higher capacity and voltage well over LiCoO<sub>2</sub> have been intensively investigated. In spite of advanced electrochemical properties of enormous cathode derivatives, however, representative LiCoO<sub>2</sub> is currently still the most widely used material in the actual application. The main obstacles of bulk derivatives include dissolution of electrochemically active metal ion during the charge, thermal stability, and safety for overcharge. The slow diffusion rate of Li ion should be also enhanced for faster charge. These disadvantages of bulk-scale material can be anticipated to be overcome through nanoscale engineering and nanochemistry such as surface-coating and control of particle sizes and morphologies. Because the electrochemical reactions start at the electrodeelectrolyte interface, control of the interfaces via coating can decrease the interface side reactions with the electrolyte and improve the Li-ion diffusivity. In addition, coating can lead to the decreased exothermic reaction of the delithiated cathode with electrolyte above 200°C. Although, a cathode material with a larger powder size has larger thermal stability upon charging, the rate capability and cycle-life performance deteriorate at a higher current rate. Considering these facts, recent research of cathode materials has concentrated on a surface modification by nanoscale coating and synthesis of well-designed nanoparticles with zero-, one- and two-dimensional morphologies. It can be anticipated that the formation of a nanoscale coating layer with thermal stability can provide higher electrodeelectrolyte contact areas as well as more facile intercalation for Li ions. A series of metal oxide (Al2O3, ZrO2, TiO2, etc.) coating has been reported to be effective in overcoming these electrochemical shortcomings.[66] At the same time, one of the fastest growing research fields in cathode materials is nanochemical synthesis of cathodes with various morphologies. Under the circumstance of the saturation of bulk chemical composition change, dimension control can look forward to deriving much better electrochemical properties compared to those of corresponding bulk materials. In this regard, surface structural characterization should be followed since the nanostructured material itself has an intrinsic high surface-to-volume ratio. The Li-ion kinetics on the surface are quite different to that of the bulk. For example, a structural incompatibility between bulk and surface structures can be observed in the bulk LiCo1/3Ni1/3 Mn1/3O2 cathode through bulk-sensitive transition metal K-edge XAFS and surface-sensitive L-edge XAS.[67] During delithiation, electronic structural variations for each metal ion in the surface region are different to those of the bulk in the charge compensation process for the Li-ion behavior. Therefore, surface-sensitive spectroscopic

characterization is essential for nanostructured cathode materials. Nevertheless, the spectral technique has been rarely applied to nanostructured cathode materials. In future, this spectroscopic approach could lead to a breakthrough in the advancement of nanostructured cathodes, through the revelation of the relationship between electrochemical and surface-focused structural properties during Li-ion behavior.

#### 2.1.2.1 Nanoscale Coatings on Bulk Cathodes

One of the critical test items of the cathode material is the capability of storing charged states above 60°C. Highly oxidized transition metal ions in the charged  $Li_xMO_2$  (M<sup>1</sup>/<sub>4</sub>Co, Ni, and Mn) are apt to decompose electrolytes in the particle surface, resulting in substantial amounts of gas generation. In addition, it can be expected that metal-ion dissolution at elevated temperatures induces a simultaneous Li-ion dissolution from the cathode surface, accompanied by a structural degradation. Among the cathode materials, spinel LiMn<sub>2</sub>O<sub>4</sub> has the most destabilized structure at elevated temperatures.[68-72] Self-discharge occurs during storage above 55°C and drastically affects cathode performance due to electrolyte decomposition at high voltages catalyzed by the electrode surface. Also, capacity fade upon cycling largely depends on Mn dissolution through the following reaction, Mn<sub>3b</sub>,Mn<sub>4b</sub>,Mn<sub>2b</sub> (with Mn<sub>2b</sub> going into the solution), which occurs in presence of trace acids, especially HF.[69, 70] A solution to this problem is to trap HF by means of a coating layer as HF scavenger as soon as it has been formed. The formation of such an inorganic passivation layer provides a twofold benefit in that it reduces disproportion-dissolution reactions and also minimizes electrolyte decomposition on the surface of the spinel. The first study on the cathode coating reported that Al<sub>2</sub>O<sub>3</sub>, B<sub>2</sub>O<sub>3</sub>, and SiO<sub>2</sub> coating on spinel LiMn<sub>2</sub>O<sub>4</sub> can suppress Mn dissolution from LiMn<sub>2</sub>O<sub>4</sub> at elevated temperatures.[73] However, the irreversible capacity and capacity retention of the coated sample are inferior to the bare sample despite the lower Mn dissolution rate at 55°C storage. Such deteriorated behavior of the

coated cathode may be associated with the formation of a thick coating layer that impedes an easy Liion diffusion. Although the electrochemically inactive coating layer prevents the transition metal ion from dissolving to electrolyte, it can act as a resistance against Li-ion diffusion into the bulk. To overcome these problems, electrochemically active LiCoO<sub>2</sub>, which allows Li-ion diffusion and has a strong resistance to HF, has been coated on the LiMn<sub>2</sub>O<sub>4</sub> and shows improved capacity retention and lower irreversible capacity during cycling at 55°C.[74] LiMn<sub>2</sub>O<sub>4</sub> has been also coated on LiCoO<sub>2</sub> to improve the thermal stability of the delithated Li<sub>x</sub>CoO<sub>2</sub>.[75] On the other hand, MgO, SnO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>, ZnO, ZrO<sub>2</sub>, and TiO<sub>2</sub> coatings on layered LiCoO<sub>2</sub> and LiNi<sub>1-x</sub>M<sub>x</sub>O<sub>2</sub> via the sol–gel method have been intensively investigated.[75-78, 66, 3, 79-82, 2, 83-86] Among these coating materials, ZrO<sub>2</sub> coating exhibits the best capacity retention at >4.5 V cycling and high temperature storage at 90°C.[87] This finding can be supported with the ZrO<sub>2</sub> coating on spinel LiMn<sub>2</sub>O<sub>4</sub>, which shows the lowest capacity fading at 55°C cycling among the various coating materials.[85] The improvement is due to the fact that ZrO<sub>2</sub> behaves as an effective HF scavenger. However, one of the drawbacks of the sol-gel-driven coating is that it is difficult to control the coating thickness. Simultaneously, the coating-layer materials should have a dual contribution to both tolerance for Li-ion diffusion and hindrance of dissolution of transition metal ion during the lithiation. In this regard, metal phosphate (MPO<sub>4</sub>) coating is feasible to control the coating thickness where the uniformity and thickness of coating layer depends on the nature of M ion (Al, Fe, Ce, and SrH).[87, 88] Figure 3 shows that the FePO<sub>4</sub>-coated  $LiCoO_2$  shows a completely covered coating layer with a thickness of 25–50 nm, which is thicker than the AlPO<sub>4</sub>-coated LiCoO<sub>2</sub>. This is due to the larger FePO<sub>4</sub> particles than AlPO<sub>4</sub>, even though the same coating concentration of ~ 1wt%has been used. In the case of CePO<sub>4</sub> and SrHPO<sub>4</sub>, only a portion of the LiCoO<sub>2</sub> can be covered with the particles. However, it is interesting to note that the original whisker-type particle morphology of the CePO<sub>4</sub> is completely changed into an aggregation of 30-40nm-sized particles.[88] The discharge capacities of the completely encapsulated FePO<sub>4</sub>- and AlPO<sub>4</sub>coated LiCoO<sub>2</sub> show the highest discharge capacity, 230 mAh/g, and the LiCoO<sub>2</sub> cathodes with partially covered with SrHPO4 and CePO4 show~210 mAh/g. For example, the amount of Co dissolution after the first cycling increased in the order of bare (1053 ppm)>SrH  $\sim$  Ce (230 and 210 ppm) >Fe ~ Al (50 and 40 ppm, respectively). However, bare sample showed the smallest capacity of 190 mAh/g. This clearly indicates that the degree of coverage greatly affects the discharge capacity, and a complete covering was reported to be reduced the Co dissolution or any side reactions between the particle surface and electrolytes at higher cut-off voltages. In contrast to the conventional coating method using solvents, dry-coating is reported in the present study for the first time. The advantage of this method is simplifying a coating procedure and cost-saving in the coating processing. At first, TiO<sub>2</sub> nanoparticles with sizes below 100nm are mixed with LiCoO<sub>2</sub> particles with an average particle size of 20 mm in a rotating jar at 200 rpm. The mixed particles are then fired at 1000°C for 5 h in air.

Figure 4 shows scanning electron microscopy (SEM) images of the uncoated and 0.5 wt%  $TiO_2$  nanoparticle coated  $LiCoO_2$  cathode particles, which show quite similar surface morphologies to each other. In spite of using dry coating, Ti atoms appear to distribute uniformly throughout the particles. The line mapping of the Ti atoms across the cross-sectioned coated particle confirms that Ti atoms diffuse into the bulk particle. This result indicates that the thermal annealing of the  $TiO_2$  nanoparticles on the bulk  $LiCoO_2$  leads to the formation of  $LiCo_{1-x}Ti_xO_2$  solid solution with higher Ti-atom concentration near the surfaces. These results indicate that dry coating can provide electrochemical results comparable to wet coatings reported previously. [11]



**Figure 3**. a–) TEM images of the MPO<sub>4</sub> nanoparticles-coated  $LiCoO_2$  bulk particle (10mm). e) Discharge capacities versus cycle number of MPO<sub>4</sub>-coated  $LiCoO_2$  in coin-type half cells. Adapted with permission from [89]. Copyright 2005 The Electrochemical Society.



**Figure 4**. a, b) SEM images of the uncoated and  $TiO_2$ -coated  $LiCoO_2$  bulk particle (20mm; red dots are mapping of Ti element). c) Line mapping of Co and Ti elements along the line from surface to the inner part of the coated  $LiCoO_2$  particles. d) Voltage profiles of the uncoated and coated  $LiCoO_2$  cathodes at different C rates (0.2-1 and 0.2–10 denote first and tenth cycles at a rate of 0.2 C).

#### **III. EXPERIMENT**

In order to prepare SiP<sub>2</sub>O<sub>7</sub>, 5g of SiO<sub>2</sub> (particle size was <50nm) and 7.5g of H<sub>3</sub>PO<sub>4</sub> were mixed in 50ml of distilled water and stirred at 400rpm for 3h and dried at 100°C for 24h. The dried SiP<sub>2</sub>O<sub>7</sub> powders were ball-milled at 400 rpm for 3h to get an average particle size of ~ 100nm. 100 nm-sized SiP<sub>2</sub>O<sub>7</sub> nanoparticles were physically mixed with 10  $\mu$ m-sized Ni<sub>0.5</sub>Co<sub>0.2</sub>Mn<sub>0.3</sub>(OH)<sub>2</sub> precursors in a mechanical mixer with a rotating speed of 150 rpm for 20 h. Amount of SiP<sub>2</sub>O<sub>7</sub> used was 1wt% of the precursors. The detailed coprecipitation method of Ni<sub>0.5</sub>Co<sub>0.2</sub>Mn<sub>0.3</sub>(OH)<sub>2</sub> is described in Ref. 3. The dried SiP<sub>2</sub>O<sub>7</sub>-coated Ni<sub>0.5</sub>Co<sub>0.2</sub>Mn<sub>0.3</sub>(OH)<sub>2</sub> powders was mixed with LiOH·H<sub>2</sub>O and were fired at 800°C for 16h in air at a molar ratio of 1:1.02.

A coin-type half cell (2016-size) contained a test electrode, a lithium-metal counter-andreference electrode, a 15  $\mu$ m-thick micro-porous polyethylene separator, and an electrolyte solution of 1.01 M LiPF<sub>6</sub> in ethylene carbonate (EC)/dimethyl carbonate (DMC) (1:1 vol. %) (LG Chem., Korea). Normally the amount of active materials in the cathode composite was 20 mg. The cathodes for the battery test cells were made from the cathode material, Super P carbon black, and polyvinylidene fluoride (PVdF) binder (Solef) in a weight ratio of 90:5:5. The electrodes were prepared by coating a cathode-slurry onto an Al foil followed by drying at 130°C for 20 min, finally followed by a rollpressing. For cycling tests, 3 identical cells were used, and the standard deviation of the cells was  $\pm$  3 mAh/g.

Powder X-ray diffraction (XRD) (D/Max2000, Rigagu) measurements using Cu K $\alpha$  radiation were used to identify the phases. The surface of the uncoated and coated samples were observed using scanning electron microscopy (SEM) (JSM 6400, JEOL) and transmission electron microscopy (TEM) (JEOL 2010F). TEM samples were prepared by the evaporation of the dispersed particles in acetone or hexane on carbon-coated copper grids.

X-ray photoelectron spectroscopy (XPS) analyses were performed with a Thermo Scientific K-Alpha spectrometer using a monochromatic Al K $\alpha$  radiation of energy beam (1486.6eV). Spectra were recorded in the constant pass energy mode at 50.0eV, using a 30µm diameter analysis area. For depth profile, etch time for 0 to 285 sec was used. Before etching, the surface of the electrode was covered with the composite of binder and carbon black, Ni<sup>2+</sup> and Ni<sup>3+</sup> peaks could not be obtained. However, etching into > 5nm depth led to observe well resolved Ni<sup>2+</sup> and Ni<sup>3+</sup> peaks. Change of the oxidation states of the Si in SiO<sub>2</sub> was estimated from peak position, and reference SiO<sub>2</sub> thin film was used to estimate the etched depth.

Nano Secondary Ion Mass Spectrometry (Nano-SIMS) analyses were carried out in the CAMECA Nano SIMS 50 instrument. The data in this study were obtained in multi-collection mode by sputtering the sample with a Cs+ primary ion beam, focused into a ~50nm diameter spot and

rastered across an area of 15  $\mu$ m x 15  $\mu$ m. The samples were polished until the mid-sections of the cathode material. A pre-sputtering of the surface was performed before measurement in order to eliminate the surface contamination. Images were obtained by collecting simultaneously the four different ions of <sup>7</sup>Li<sup>16</sup>O<sup>-</sup>, <sup>28</sup>Si<sup>-</sup>, <sup>31</sup>P<sup>-</sup>.

Electrochemical impedance spectroscopy (EIS) data were collected before and after 40 cycles at 60°C with ac amplitude of 10 mV in the frequency range of 0.5 MHz to 10 mHz by an Ivium impedance analyzer. EIS measurements of the cell before and after cycling were done after cell assemblage and after discharging to 3V, respectively. All the fittings were carried out with Solatron software "Zview" with "fit circle" function after discharged to 3V.

Differential scanning calorimetry (DSC) samples of the cathodes were prepared by charging the coin cells to 4.5 V at a 0.1 C rate, followed by holding them at that potential for 2 h. The soaked electrodes with the electrolytes was extracted in the dry-room (moisture content was below 100 ppm) and transferred to aluminum pan. The aluminum pan was sealed with no additional electrolyte or solvent added. X-ray diffraction patterns of the samples were recorded in situ upon increasing temperature by using a high temperature furnace on High Power X-Ray Diffractometer (Rigaku, D/MAZX 2500V/PC) with Cu K $\alpha$  radiation. The cells were charged to 4.5V and then dissemble electrodes were prepared in the dry-room. The dissembled electrodes were washed with dimethyl carbonate (DMC) solvent for several times and dried in the dry-room and then attached to the sample holder of the furnace. Each pattern was recorded at every 25°C from 150 to 325°C with a step of 4 °min<sup>-1</sup> in the 15 – 70 °(20) range. The temperature was maintained while the patterns were recorded. The electrode was heat at a rate of 5°C min<sup>-1</sup>.

#### **IV. RESULTS AND DISCUSSIONS**

**Figure 5a** shows schematic views of the preparation procedure for the SiP<sub>2</sub>O<sub>7</sub> coating of the cathode. Ni<sub>0.5</sub>Co<sub>0.2</sub>Mn<sub>0.3</sub>(OH)<sub>2</sub> precursor was first mixed with SiP<sub>2</sub>O<sub>7</sub> nanoparticles prepared from SiO<sub>2</sub> nanoparticles and H<sub>3</sub>PO<sub>4</sub> in an alcohol solution using a mixer at 200 rpm. It was then dried at 120°C. Finally, a stoichiometric mixture of LiOH·H<sub>2</sub>O and SiP<sub>2</sub>O<sub>7</sub>-coated Ni<sub>0.5</sub>Co<sub>0.2</sub>Mn<sub>0.3</sub>O<sub>2</sub> particles was fired at 800°C for 16h. **Figure 5b** shows SEM images of Ni<sub>0.5</sub>Co<sub>0.2</sub>Mn<sub>0.3</sub>(OH)<sub>2</sub> and annealed LiNi<sub>0.5</sub>Co<sub>0.2</sub>Mn<sub>0.3</sub>O<sub>2</sub> particles after SiP<sub>2</sub>O<sub>7</sub> coating. After annealing at 800°C, the cathode shows increased primary particles size, compared to Ni<sub>0.5</sub>Co<sub>0.2</sub>Mn<sub>0.3</sub>(OH)<sub>2</sub>.



**Figure 5**. (a) Schematic views of the preparation procedure for the  $SiP_2O_7$  coating on the cathode and (b) SEM images of  $Ni_{0.5}Co_{0.2}Mn_{0.3}(OH)_2$  and annealed  $Ni_{0.5}Co_{0.2}Mn_{0.3}O_2$  particles after  $SiP_2O_7$  coating at 800°C for 16h.

Phase and stoichiometry of ball-milled SiP<sub>2</sub>O<sub>7</sub> was confirmed by inductively coupled plasmaatomic emission spectroscopy (ICP-AES) and XRD pattern (**Figure 6a**). SEM image of the ballmilled SiP<sub>2</sub>O<sub>7</sub> shows the particle size of ~ 100 nm (**Figure 6b**). Since we used stainless steel balls, possible reaction with SiP<sub>2</sub>O<sub>7</sub> is believed to be rarely occurred.





Figures 7 a and b shows the powder XRD patterns and fitted profiles of a  $LiNi_{0.5}Co_{0.2}Mn_{0.3}O_2$  cathode before and after  $SiP_2O_7$  coating (1 wt%) using Rietveld method, indicating the formation of a layered R-3m phase. The  $SiO_2$ -coated  $LiNi_{0.8}Co_{0.2}O_2$  cathode obtained from the decomposition of  $SiH_4$  at 300°C demonstrated the presence of both  $Si^{4+}$  and  $Si^{2+}$  ions based upon XPS analysis [2]. Similarly,  $SiO_2$ -coated  $LiNi_{0.8}Co_{0.15}Al_{0.05}O_2$  cathode reported possible formation of  $Si^{2+}$  in the cathode based upon XPS analysis [24].



**Figure 7**. Powder XRD profiles and the fitted profiles of (a) the pristine  $\text{LiNi}_{0.5}\text{Co}_{0.2}\text{Mn}_{0.3}\text{O}_2$  (b) coated  $\text{LiNi}_{0.5}\text{Co}_{0.2}\text{Mn}_{0.3}\text{O}_2$ , respectively. Reliability factors (R-factor) obtained from the fitting are as follows: the pristine  $\text{LiNi}_{0.5}\text{Co}_{0.2}\text{Mn}_{0.3}\text{O}_2$  :  $R_p = 4.69$ ,  $R_{wp} = 6.70$ ,  $R_{exp} = 3.88$ ; coated  $\text{LiNi}_{0.5}\text{Co}_{0.2}\text{Mn}_{0.3}\text{O}_2$  :  $R_p = 4.95$ ,  $R_{exp} = 3.84$ , and (c) proposed structure of the coated cathode based upon the fitting.

**Table 1** shows refined lattice constants and fractional occupation number of *3a* sites in Si, P elements and shows the possible presence of Si ions in the 3*a* sites. The lattice constants *a* and *c* of the pristine sample were 2.8647(4) and 14.225(2)Å, respectively. However, after the coating procedure their values slightly decrease to 2.8637(3) and 14.219(5)Å, respectively [90]. The ionic radii of Si<sup>4+</sup> and Si<sup>+</sup> are 0.4 and 0.65 Å, respectively, and therefore Si<sup>4+</sup> and Si<sup>2+</sup> ions can be substituted into *3a* Li sites and *3b* transition metal sites, respectively. Similar to this, P<sup>5+</sup> (0.38 Å) ions are also taken into 3*a* sites (**Fig. 7C**). Based upon Rietveld method, most of Si and P ions placed in 3*a* sites. This is why occupancy ratio of 3b sites remains almost similar to that before coating. Therefore, this result along with such a decrease in the lattice constants also indicates the formation of LiNiCo<sub>0.2</sub>Mn<sub>0.3</sub>O<sub>2</sub> solid solution phase doped with Si and P ions as a result of the reaction between Si<sub>2</sub>P<sub>2</sub>O<sub>7</sub> and LiNi<sub>0.5</sub>Co<sub>0.2</sub>Mn<sub>0.3</sub>O<sub>2</sub> at 800°C. A contraction of the lattice parameters has been reported to induce structural stability. For instance, Co and Al substitutions for Ni in LiNiO<sub>2</sub> result in shrinkage of the *a*-axis as the radii of Co<sup>3+</sup> and Al<sup>3+</sup> are smaller than that of Ni<sup>3+</sup>, which is considered to be origin of the stabilization of the layered structure of the LiNi<sub>0.8</sub>Co<sub>0.15</sub>Al<sub>0.05</sub>O<sub>2</sub> cathode [91].

Table 1. Rietveld refinement result of pristine  $LiNi_{0.5}Co_{0.2}Mn_{0.3}O_2$  and coated  $LiNi_{0.5}Co_{0.2}Mn_{0.3}O_2$  samples

Sample	pristine		coated	
Lattice constants	<i>a</i> (Å)	<i>c</i> (Å)	<i>a</i> (Å)	<i>c</i> (Å)
	2.8647(4)	14.225(2)	2.8637(3)	14.219 (5)
Fractional occupancies				
Li on 3a sites	0.99		0.97	
Si and P on <i>3a</i> sites			0.	03
Transition metals on	0.99		0.99	
3 <i>b</i> sites				
Si on 3 <i>b</i> sites			0.0	01

**Figure 8** shows the nano-SIMS (secondary ion mass spectroscopy) spectra of Si and P and Li in a cross-sectioned coated sample. This is the first report of a distribution of high-resolution element mapping including Li in the particle with a lateral resolution of < 50 nm. In the case of Li, it is distributed uniformly throughout the sample, though the distribution was impossible to obtain using EPMA (electron probe micro-analysis).



**Figure 8**. Nano-SIMS of cross-sectioned coated  $\text{LiNi}_{0.5}\text{Co}_{0.2}\text{Mn}_{0.3}\text{O}_2$  cathode particle (a: cross-sectioned image, b: mapping of lithium, c: mapping of P, and d: mapping of Si)

Quite similar to this behavior, S and P elements are distributed rather uniformly, supporting the solid solution phase. The XPS spectra of Ni  $(2p_{3/2})$ , Co $(2p_{3/2})$ , and Mn $(2p_{3/2})$  in the LiNi<sub>0.5</sub>Co<sub>0.2</sub>Mn<sub>0.3</sub>O<sub>2</sub> cathode confirm that the oxidation state of Ni is a mixture of Ni<sup>2+</sup> and Ni<sup>3+</sup> and Co<sup>3+</sup> and Mn<sup>4+</sup> (**Figure** 9), which agrees with an earlier result [21].



Figure 9. XPS of (a) Ni2p, (b) Co2p, and (c) Mn2p in the pristine and coated LiNi<sub>0.5</sub>Co<sub>0.2</sub>Mn<sub>0.3</sub>O<sub>2</sub>.

**Figure 10** shows XPS analyses of Si and P in a coated sample after etching for 10 nm (after removing the binder layer) and SiP<sub>2</sub>O<sub>7</sub> nanoparticles. Here, peaks assigned to Si<sub>2</sub>P<sub>2</sub>O<sub>7</sub> cannot be observed in the coated sample. Instead, peaks assigned to SiO and SiO<sub>2</sub> are present. This result indicates that the oxidation states of Si in the solid solution phase are either 2+ or 4+. The P2p<sub>3/2</sub> spectrum of the coated sample shows a broad peak centered at 135 eV, which agrees with the Li<sub>4</sub>P<sub>2</sub>O<sub>7</sub> result, but the possible formation of a Li<sub>3</sub>PO<sub>4</sub> phase with a peak centered at 133.8 eV cannot be excluded.



Figure 10. XPS of (a)  $Si2p_{3/2}$  and (b)  $P2p_{3/2}$  in the coated  $LiNi_{0.5}Co_{0.2}Mn_{0.3}O_2$  and  $SiP_2O_7$  nanoparticles.

This indicates that the SiP<sub>2</sub>O<sub>7</sub> layer reacted with LiOH completely and that some parts of  $Li_{\delta}P_yO_z$  remained on the surface while the remaining parts were formed in the solid solution. TEM image of the coated samples show the amorphous coating layer with a thickness of < 2 nm (**Fig. 11a**), and we believe that this layer is consisted of  $Li_{\delta}P_yO_z$ . Also, note that digitalized Fourier-transformed image of the expanded image of **Fig. 11a** confirms that coating layer is clearly identified as amorphous phase (**Fig. 11b**). Inner parts of the coating layer consist of layered phase, confirmed by (-102), (0-1-2), and (2-21) planes from digitalized Fourier-transformed images. In consequence, after the SiP<sub>2</sub>O<sub>7</sub> coating, a  $LiNi_{0.5}Co_{0.2}Mn_{0.3}O_2$  solid solution doped with Si and P ions was formed with an amorphous  $Li_{\delta}P_yO_z$ –like coating layer.



**Figure 11**. (a and b) TEM images of the coated  $\text{LiNi}_{0.5}\text{Co}_{0.2}\text{Mn}_{0.3}\text{O}_2$ . (b) is high resolution TEM image of dotted area of (a) and digitalized Fourier-transformed images of red dotted areas of (b).

To observe the electrochemical performance of the cathodes, a rate capability assessment up to a rate of 7C under same charge and discharge rates a  $21^{\circ}$ C were carried out, as shown in **Figure 12a**. Pristine and coated samples were cycled between 4.5 and 3V while increasing the C rate from 0.2 to 7C in lithium half cells (2016R type) (1C corresponds to 185 mAg<sup>-1</sup> and the amount of active material in the composite electrode was 20 mg and charge and discharge rates were same). The discharge capacities of the pristine sample were found to be 185, 161, 112 and 45 mAh/g at rates of 0.2, 1, 3 and 5C, but its capacity dropped to zero at a rate of 7C (= 1.26 A/g). However, the coated sample exhibited substantially enhanced values of 174, 153, 125 and 104 mAhg<sup>-1</sup>, respectively, and a further increase in the C rate to 7C resulted in 83 mAhg<sup>-1</sup>. The decreased capacity of the coated sample at a 0.2C rate is due to decreased amounts of electroactive Ni<sup>2+</sup> or Ni<sup>3+</sup> ions as a result of increased Si and P ions in 3b sites. **Fig. 12b** shows the discharge capacity of the pristine sample that shows a slow capacity fade at 5C rate, the coated samples show no capacity fades during cycling both at 5 and 7C rates. In order to make sure of reproducibility, three coin-cells containing same pristine samples were tested.

In terms of the rate capability, it was reported that an amorphous  $Li_4P_2O_7$ -like coating layer on the LiFePO<sub>4</sub> cathode resulted in in significantly improved rate capability at higher rates [92]. R ecent molecular dynamic research findings proposed that the major enhancement of the LiFeP O<sub>4</sub> was due to the overall Li<sup>+</sup> ion mobility increase of nearly two orders of magnitude in the vicinity of the interface between the ionic conducting Li<sub>4</sub>O<sub>2</sub>P<sub>7</sub>-like layer and the LiFePO<sub>4</sub>[93] . This enhancement was proposed to be related to the fast electrostatic energy storage by Li<sup>+</sup> in the interface region (Li<sub>4</sub>P<sub>2</sub>O<sub>7</sub>/LiFePO<sub>4</sub>) and the significant potential for enhanced Li<sup>+</sup> ion conductivity by the mesoscopic multiphase effect [93, 94]. In addition, the domino-cascade model of LiFePO<sub>4</sub> suggests that the strategy for manipulating LiFePO<sub>4</sub> nanoparticles should entail surface modification to decrease the charge transfer resistance between the electrode and electrolyte [95, 96]. In these regards, it can be concluded that remarkablely improved rate capability of the coated cathode is due to the amorphous coating layer and is not related to the bulk phase.



**Figure 12. (a)** Voltage profiles of pristine and coated  $\text{LiNi}_{0.5}\text{Co}_{0.2}\text{Mn}_{0.3}\text{O}_2$  cathodes between 4.5 and 3V while increasing the C rate from 0.2 to 7C in lithium half cells (2016R type) at 21°C and (b) rate capability test of (a) from 0.2 to 7C rates. 1C was set at 185 mAg<sup>-1</sup> and charge and discharge rates are same and three identical coin-cells were used for pristine sample test.

In addition, we estimate the changes of the working voltages (the working voltage corresponds to the voltage of half of the value of the capacity) at a different rate (**Figure 13**). While charging and discharging, the working voltages of the coated samples are lower during the charge and higher during the discharge than the pristine sample. For instance, the working voltage of the coated sample was 3.44 V at a 7C rate, which is much higher than that of the pristine sample (2.7V). This result indicates that a nanoscale thin  $\text{Li}_{\delta}\text{P}_{y}\text{O}_{z}$  coating layer improved the charge transfer reaction characteristics and lithium ion diffusivity at the interface. This result supports the previous result in which the charge transfer resistance was found to be one of the dominant sources of resistance[95]. High level of polarization at high charge-discharge rates is resulted from slow lithium diffusion or low electric conductivity in the active material, thus leading to rapid initial drop of voltages. This phenomenon is well presented by the voltage profiles at higher rates.



**Figure 13**. Plots of working voltage (cell potential at a half value of the discharge or charge capacities) in the pristine and coated  $\text{LiNi}_{0.5}\text{Co}_{0.2}\text{Mn}_{0.3}\text{O}_2$  with increasing C rates from 0.2 to 7C at 21°C.

**Figure 14** shows a plot of the discharge capacity as a function of the cycle number for a pristine and a coated cathode in lithium half cells at 60°C. The discharge capacities of prstine and coated samples exhibit reversible capacities of 183 and 182 mAhg<sup>-1</sup> at a 0.5C rate. However, after 40 cycles, the pristine and coated cathode show reversible capacities of 137 mAhg<sup>-1</sup> and 165 mAhg<sup>-1</sup>, respectively, corresponding to 74% and 91% retention.



Figure 14. Plot of discharge capacuty as a function of cycle number in lithium half cells containing pristine and coated  $LiNi_{0.5}Co_{0.2}Mn_{0.3}O_2$  cathodes between 4.5 and 3V at 60°C. Cells were cycled at a rate of 0.5C.

To observe the changes of the electrode materials after cycling at 60°C, electrochemical impedance and XPS analyses were carried out. **Figure 15** shows Nyquist plots of coin-type half cells containing pristine and coated cathodes before and after the tests as well as the interfacial behavior between them. The electrolyte can be investigated using a possible equivalent circuit as proposed in earlier research (**Figure 15**). The values of  $R_{SEI}$  (surface film resistance) and  $R_{ct}$  (charge transfer resistance) can be estimated from the Nyquist plot. Before cycling, the  $R_{ct}$  value of the pristine cathode was smaller than that of the coated sample, which could be related to the presence of a pure ionic conducting  $Li_xP_yO_{z}$ like coating layer. After cycling, the values of  $R_{SEI}$  and  $R_{ct}$  in the coated sample were found to be much smaller than those in the pristine sample, at almost 1/5 of the value. The increased surface film and charge-transfer resistances are indicative of the formation of a larger fraction of the nonconducting interfacial films as a result of enhanced side reactions with the electrolytes [12, 97, 98].



**Figure 15**. Nyquist plot Plots of the pristine and coated  $\text{LiNi}_{0.5}\text{Co}_{0.2}\text{Mn}_{0.3}\text{O}_2$  before and after cycling at 60°C. Tables shows esiniated vlaues of  $R_o$ ,  $R_{\text{SEI}}$ , and  $R_{\text{ct}}$  using the equivalnet curcuit (top).

According to the XPS spectra of the pristine and coated cathode before and after cycling at 60°C at depths of 15, 30, and 60 nm from the surface, the spectra of the coated cathode were quite similar to

those of the pristine sample before cycling. The spectra of the cathodes between 859 and 852 eV could be deconvoluted into  $Ni^{2+}$  and  $Ni^{3+}$  peaks and were converted to their relative peak area (**Figure 16**).



**Figure 16**. XPS of Ni2p<sub>3/2</sub> of pristine and coated  $LiNi_{0.5}Co_{0.2}Mn_{0.3}O_2$  electrodes before and after cycling at 60°C (etching depths were 15, 30, and 60nm).

**Figure 17** shows a plot of the relative amount of  $Ni^{2+}$  and  $Ni^{3+}$  ions. The coated sample showed no increase in the  $Ni^{2+}$  content, while the pristine sample showed a 10% increase. Moreover, the  $Ni^{3+}$  content of the pristine sample also showed a decrease. The formation of  $Ni^{2+}$  species was likely caused by the spontaneous reduction from  $Ni^{3+}$  ions; for instance, NiO formation in conjunction with a loss of oxygen in the lattice is very common in a Ni-rich system[9, 99, 100].



Figure 17. Plots of demension ratio of  $Ni^{2+}$  and  $Ni^{3+}$  peaks of Figure 16.

To evaluate the thermal stability of the cathode materials, a DSC (differential scanning calorimetry) analysis was used. Here, the amount of heat generation and the peak height are the critical parameters to measure [101, 102]. To conduct this analysis, we used an electrode soaked with electrolyte after charging it to 4.5V, although many previous works used electrodes charged to 4.3V. After removing the soaked electrode from the Al foil, it was sealed in a high-pressure pan. **Figure 18** shows DSC scans of pristine and coated samples after charging at 4.5 V ( $Li_{0.1}Ni_{0.5}Co_{0.2}Mn_{0.3}O_2$ ) between 100°C and 350°C at a scan rate of 5°C/min.

The pristine sample shows two peaks with an onset temperature of 225°C. The peak area was indicative of the total amounts of oxygen that evolved from the cathode, and this was estimated at 580 J/g. However, the coated sample showed a single peak with a greatly depressed peak height at an onset temperature of 248°C. In addition, the peak area was significantly decreased to 120 J/g. This result clearly indicates that the coating layer and a solid solution LiNi<sub>0.5</sub>Co<sub>0.2</sub>Mn<sub>0.3</sub>O<sub>2</sub> phase doped with P and Si ions sustainably reduced the initial exothermic reactions with the electrolytes and improved the structural stability.



**Figure 18.** DSC scans of pristine and coated  $Li_{0.1}Ni_{0.5}Co_{0.2}Mn_{0.3}O_2$  samples after charging at 4.5 V between 100°C and 350°C at a scan rate of 5°C/min.

Such structural stability is supported by the in situ XRD measurements of the samples up to  $325^{\circ}$ C (**Figure 15**). XRD patterns of pristine sample show two merged diffraction peaks ((108) and (110)) at  $200^{\circ}$ C, indicating that the layered phase (Li<sub>1-z</sub> NiO<sub>2</sub> (*R-3m*)) decomposed to a cubic spinel phase Li<sub>z</sub>Ni<sub>2</sub>O<sub>4</sub> (*Fd-3m*) [103]. However, the coated cathode materials show the merged peak at  $250^{\circ}$ C.



Figure 19. In situ XRD patterns of (a) pristine and (b) coated  $Li_{0.1}Ni_{0.5}Co_{0.2}Mn_{0.3}O_2$  electrode between 25°C and 325°C under sealed Ar chamber.

Based on the two identified phases (layered and spinel) from the XRD patterns, the values of  $a_{hex}$  and  $c_{hex}$  constants were reduced to see the evolution of the  $c_{hex}/a_{hex}$  ratio as a function of temperature as proposed by Delmas et al. [103] in **Figure 20**. When the ratio is 4.90, which is the theoretical value for a cubic structure. Both pristine and coated cathode do not completely transformed to the spinel phase even at 250°C, but higher  $c_{hex}/a_{hex}$  ratios of the coated cathodes than the pristine ones both at 200 and 250°C indicates preferred formation of the layered phases. Also, note that thermal transition from the layered to spinel occurs at higher temperatures for the coated cathodes. Accordingly, the coated cathode demonstrated greatly improved structural stability compared to the pristine sample, which is consistent with the DSC result. Previously reported SiO<sub>2</sub> or metal phosphate coatings on the cathode mainly focused on the improvement of the cycle life at higher charge cut-off and at 60°C [9, 11, 13]. For instance, SiO<sub>2</sub> coating on LiNi<sub>0.8</sub>Co<sub>0.15</sub>Al<sub>0.05</sub>O<sub>2</sub> demonstrated enhanced cycling stability at 60°C[12]. In this study, this new cathode material exhibited not only significantly improved rate capability but also suppressed oxygen evolution the lattice at 4.5V above 200°C, compared to the pristine sample.



**Figure 20**. Evolution of the  $c_{\text{hex}}/a_{\text{hex}}$  ratio as a function of temperature for pristine and coated cathodes.

### V. CONCLUSIONS

Nanoscale SiP<sub>2</sub>O<sub>7</sub> coating onto Ni<sub>0.5</sub>Co<sub>0.2</sub>Mn<sub>0.3</sub>(OH)<sub>2</sub> precursors followed by annealing with a Li source led to the formation of an amorphous  $Li_{\delta}P_{y}O_{z}$ -like thin coating layer on the LiNi<sub>0.5</sub>Co<sub>0.2</sub>Mn<sub>0.3</sub>O<sub>2</sub> particles with doped with P and Si ions. The amorphous coating layer significantly improved the rate capability, even at a 7C rate cycling, resulting in 83 mAhg<sup>-1</sup>, while the pristine cathode showed a result of 0 mAhg<sup>-1</sup>. Moreover, the evolved amount of oxygen upon an increase in the cathode at 4.5V was reduced by 79% compared to the pristine sample. Overall, this coating method is also applicable to other bulk cathodes, such as LiMn<sub>2</sub>O<sub>4</sub> and LiCoO<sub>2</sub> which need to improve electrochemical properties both at room and elevated temperatures.

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