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***Choosability of bipartite graphs with maximum  
degree  $\Delta$***

Stéphane Bessy — Frédéric Havet — Jérôme Palaysi

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THÈME 1



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## Choosability of bipartite graphs with maximum degree $\Delta$

Stéphane Bessy , Frédéric Havet , Jérôme Palaysi

Thème 1 — Réseaux et systèmes  
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**Abstract:** Let  $G = (V(G), E(G))$  be a graph. A *list assignment* is an assignment of a set  $L(v)$  of integers to every vertex  $v$  of  $G$ . An  $L$ -colouring is an application  $C$  from  $V(G)$  into the set of integers such that  $C(v) \in L(v)$  for all  $v \in V(G)$  and  $C(u) \neq C(v)$  if  $u$  and  $v$  are joined by an edge. A  $(k, k')$ -*list assignment* of a bipartite graph  $G$  with bipartition  $(A, B)$  is a list assignment  $L$  such that  $|L(v)| = k$  if  $v \in A$  and  $|L(v)| = k'$  if  $v \in B$ . A bipartite graph is  $(k, k')$ -*choosable* if it admits an  $L$ -colouring for every  $(k, k')$ -list assignment  $L$ . In this paper, we study the  $(k, k')$ -choosability of graphs. Alon and Tarsi [2] proved in an algebraic and non-constructive way, that every bipartite graph with maximum degree  $\Delta$  is  $(\lceil \Delta/2 \rceil + 1, \lfloor \Delta/2 \rfloor + 1)$ -choosable. In this paper, we give an alternative and constructive proof to this result. We conjecture that this result is sharp (i.e. there is a bipartite graph with maximum degree  $\Delta$  that is not  $(\lceil \Delta/2 \rceil, \lfloor \Delta/2 \rfloor + 1)$ -choosable) and prove it for  $\Delta \leq 5$ . Moreover, for a fixed  $\Delta \in \{4, 5\}$ , we show that given a bipartite graph with maximum degree  $\Delta$  and a  $(\lceil \Delta/2 \rceil, \lfloor \Delta/2 \rfloor + 1)$ -list assignment  $L$ , it is NP-complete to decide if  $G$  is  $L$ -colourable. At last, we give upper bounds for the minimum size  $n_3(\Delta)$  of a non  $(3, 3)$ -choosable bipartite graph with maximum degree  $\Delta$ :  $n_3(5) \leq 846$  and  $n_3(6) \leq 128$ .

**Key-words:** list colouring, choosability, bipartite graph, NP-complete

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## Choisissabilité des graphes bipartis de degré maximum $\Delta$

**Résumé :** Soit  $G = (V(G), E(G))$  un graphe. Une *affectation de listes* est l'affectation à chaque sommet  $v$  de  $G$  d'un ensemble d'entiers  $L(v)$ . Une *L-coloration* est une application  $C$  de  $V(G)$  dans l'ensemble des entiers telle que  $C(v) \in L(v)$  pour tout  $v \in V(G)$  et  $C(u) \neq C(v)$  si  $u$  et  $v$  sont reliés par une arête. Une  $(k, k')$ -*affectation de listes* d'un graphe biparti  $G$  de bipartition  $(A, B)$  est une affectation de listes  $l$  telle que  $|L(v)| = k$  si  $v \in A$  et  $|L(v)| = k'$  si  $v \in B$ . Un graphe biparti est  $(k, k')$ -*choisissable* s'il admet une *L-coloration* pour toute  $(k, k')$ -affectation de listes  $L$ . Dans ce rapport, nous étudions la  $(k, k')$ -choisissabilité des graphes. Alon et Tarsi ont prouvé, de manière algébrique et non constructive, que tout graphe biparti de degré maximum  $\Delta$  est  $(\lceil \Delta/2 \rceil + 1, \lfloor \Delta/2 \rfloor + 1)$ -choisissable. Nous donnons une preuve constructive de ce résultat. Nous conjecturons que ce résultat est le meilleur possible (i.e. il y a des graphes bipartis de degré maximum  $\Delta$  qui ne sont pas  $(\lceil \Delta/2 \rceil, \lfloor \Delta/2 \rfloor + 1)$ -choisissables). Nous montrons ceci pour  $\Delta \leq 5$ . De plus, pour  $\Delta \in \{4, 5\}$  fixé, nous montrons qu'étant donné un graphe biparti de degré maximum  $\Delta$  et une  $(\lceil \Delta/2 \rceil, \lfloor \Delta/2 \rfloor + 1)$ -affectation de listes  $L$ , il est NP-complet de décider si  $G$  est *L-colorable*. Enfin, nous donnons des bornes supérieures du nombre minimum de sommets  $n_3(\Delta)$  d'un graphe biparti de degré maximum  $\Delta$  non  $(3, 3)$ -choisissable:  $n_3(5) \leq 846$  et  $n_3(6) \leq 128$ .

**Mots-clés :** coloration sur liste, choisissabilité, graphe biparti, NP-complet

The list colouring problem is a variation and generalization of the well-known problem of colouring the vertices of a graph with as few colours as possible so that adjacent vertices get distinct colours. The additional requirement in this concept is that every vertex  $v$  has to be coloured with a colour from a set  $L(v)$  of allowed colours which is assigned to every vertex of the graph.

List colouring is well motivated by various practical or theoretical problems. It provides a natural interpretation for scheduling problems [3, 4], extendability of partial Latin squares [1] and frequency assignment problems [11, 16]. Other interesting problems which lead to list colourings may be found in [15].

Let  $G$  be a graph with vertex set  $V(G)$  and edge set  $E(G)$ . The *degree*  $d(v)$  of a vertex  $v$  is the number of edges incident to it. The *maximum degree* of  $G$  is  $\Delta(G) := \max\{d(v) | v \in V(G)\}$ . A *list assignment*  $L$  is an assignment of a set  $L(v)$ , called the *list of  $v$* , of integers to every vertex  $v \in V(G)$ . If all lists  $L(v)$  have the same number  $k$  of elements then  $L$  is called a  *$k$ -list assignment* and  $k$  the *length* of  $L$ .

Let  $L$  be a list assignment. An  *$L$ -colouring* is a application  $C$  from  $V(G)$  into the integers set such that  $C(v) \in L(v)$  for all  $v$  in  $V(G)$  and  $C(u) \neq C(v)$  if  $u$  and  $v$  are joined by an edge. A graph is  *$L$ -colourable* if it admits an  $L$ -colouring. Notice that these definitions lead to the problem of  $k$ -colourability of  $G$  if all lists are identical with  $L(v) = \{1, 2, \dots, k\}$ .

A graph is  *$k$ -choosable* if it is  $L$ -colourable for all  $k$ -list assignment  $L$ . Of course, it is interesting to ask about the shortest length  $k$  of list assignment such that the graph is always list colourable. The *choice number*  $ch(G)$  of  $G$  is the smallest integer such that  $G$  is  $k$ -choosable. Obviously, the choice number is at least as big as the *chromatic number*  $\chi(G)$ , that is the smallest integer such that  $G$  is  $k$ -colourable. Furthermore, the ratio  $ch(G)/\chi(G)$  may be arbitrarily large. In particular, Erdős, Rubin and Taylor [6] established that for arbitrary  $k$  there are *bipartite* (i. e. 2-colourable) graphs that are not  $k$ -choosable. Moreover, Gravier [8] proved that every non- $k$ -choosable graphs may be constructed from non- $k$ -choosable bipartite graphs using three operations. This theorem is an analogue of Hajós's Theorem on colourings [10] stating that every non- $k$ -colourable graphs can be obtained from the complete graph  $K_{k+1}$  by three operations (almost identical to those of Gravier). Hence, bipartite graphs play a special role in investigations of list colourings and choosability, more or less the same as complete graphs do in colourability. And since they have a simple structure in relation to ordinary vertex colourings, it seems to be reasonable to consider first the choosability of bipartite graphs. Rubin[6] characterized all 2-choosable graphs. Mahadev, Roberts and Santhanakrishnan [13] started to characterize complete bipartite 3-choosable graphs and Shende and Tesman [17] and O'Donnell [14] completed this characterization. In particular,  $K_{7,7}$  is not 3-choosable.

In this paper, we investigate the choosability of bipartite graphs with maximum degree  $\Delta$  and the complexity of the corresponding problem. More precisely, we study the  $(k, k')$ -choosability of bipartite graphs. A bipartite graph with bipartition  $(A, B)$  is  $(k, k')$ -choosable if it is  $L$ -colourable for every  $(k, k')$ -list assignment  $L$ , i. e. such that  $|L(v)| = k$  if  $v \in A$  and  $|L(v)| = k'$  if  $v \in B$ .

Alon and Tarsi [2] proved the following :

**Theorem 1 (Alon et Tarsi [2])** *Every bipartite graph with maximum degree  $\Delta$  is  $(\lceil \Delta/2 \rceil + 1, \lfloor \Delta/2 \rfloor + 1)$ -choosable.*

However, the proof given by Alon and Tarsi is not constructive. In the first section, we give a constructive proof of this result. We conjecture that the lower bound  $(\lceil \Delta/2 \rceil + 1, \lfloor \Delta/2 \rfloor + 1)$  of Theorem 1 is sharp.

**Conjecture 1** *For any  $\Delta$ , there is a bipartite graph with maximum degree  $\Delta$  that is not  $(\lceil \Delta/2 \rceil, \lfloor \Delta/2 \rfloor + 1)$ -choosable.*

We also consider the complexity of the corresponding list colouring problem:

**$\Delta$  Bipartite graph List Colouring Problem ( $\Delta$ -BLCP) :**

*Instance:* A bipartite graph  $G$  with maximum degree  $\Delta$  and a  $(\lceil \Delta/2 \rceil, \lfloor \Delta/2 \rfloor + 1)$ -list assignment.

*Question:* Is  $G$   $L$ -colourable?

For  $\Delta \leq 3$ , Conjecture 1 holds and  $\Delta$ -BLCP is polynomial since the 2-List Colouring problem is easy to solve as observed in both early papers [18] and [6]. In Section 2 and 3, we prove that Conjecture 1 holds and that  $\Delta$ -BLCP is NP-complete when  $\Delta$  is 4 and 5, respectively.

In particular, we exhibit a non 3-choosable bipartite graph  $G_5$  with maximum degree 5 which has a thousand of vertices. A natural question is to ask for the minimum number of vertices  $n_3(\Delta)$  of a non-3-choosable bipartite graphs with maximum degree at most  $\Delta$ . Our example give us  $n_3(5) \leq 846$ . The complete bipartite  $K_{7,7}$  is not 3-choosable. And there is no non-3-choosable bipartite graph with less than 14 vertices (see [12]). Hence,  $n_3(\Delta) = 14$  if  $\Delta \geq 7$ . In the last section, we show that  $n_3(6) \leq 128$ .

## 1 Constructive proof of Theorem 1.

The aim of this section is to give a constructive proof of the following theorem due to Alon and Tarsi.

Let  $D$  be a digraph with vertex set  $V(D)$  and arc set  $A(D)$ . The *outneighbourhood* of a vertex  $v$  is the set  $N^+(v) := \{x \mid (v, x) \in A(D)\}$ . Its cardinality, called the *outdegree* of  $v$  is denoted by  $d^+(v)$ . If  $y \in N^+(v)$ , we say that  $v$  *dominates*  $y$ . A *stable set*  $S$  of  $D$  is a set of vertices such that there is no arc  $(u, v)$  with  $u \in S$  and  $v \in S$ . A *kernel* of a digraph  $D$  is a stable set  $S$  such that every vertex is either in  $S$  or dominated by a vertex of  $S$ .

**Lemma 1 (Bondy, Boppana, Siegel [7])** *Let  $D$  be a digraph. Let  $L$  be a list assignment such that  $d^+(v) + 1 = |L(v)|$  for each vertex  $v$ . If every induced subdigraph of  $D$  has a kernel then  $D$  is  $L$ -colourable.*

Moreover the proof of this lemma is constructive and give the following algorithm to find an  $L$ -colouring of  $D$ .

Let  $\bigcup_{v \in V(D)} L(v) = \{c_1, c_2, \dots, c_p\}$ .

For  $i = 1$  to  $n$  do

    Find a kernel  $K_i$  of the graph induced on  $G$  by the vertices whose list contains  $c_i$ .

    Assign the colour  $c_i$  to every vertex of  $K_i$ .

    Set  $G = G - K_i$ .

**Lemma 2** *Every bipartite digraph has a kernel.*

**Proof.** Let  $D$  be a bipartite digraph. One can find one of its kernel  $K$  with following algorithm :

Step 0: Initialize  $K$  to the empty set.

Step 1: If there is a vertex  $x$  with no inneighbour then,

    add  $x$  to  $K$ , set  $V(D) := V(D) \setminus (N^+(x) \cup \{x\})$  and go to Step 1.

Step 2: Add one of the vertex classes of the bipartition of  $D$  to  $K$ .

Let us prove that the set  $K$  is a kernel. Let  $x$  and  $y$  be two vertices of  $K$ . Suppose for contradiction that  $(x, y)$  is an arc of  $D$ . Then  $x$  and  $y$  have not been put in  $K$  by Step 2. If  $x$  has been put in  $K$  before  $y$  then  $y \notin N^+(x)$ , which contradicts that  $(x, y)$  is an arc. And  $y$  has been put in  $K$  before  $x$ , then  $x$  is not an inneighbour of  $y$  which is again a contradiction. Hence  $K$  is a stable. Now let  $v$  be a vertex of  $V(D) \setminus K$ . If it has been deleted from  $V(D)$  at Step 1 and it belongs to  $N^+(x)$  for some  $x \in K$ . If not, it was in  $D$  at Step 2 thus it has an inneighbour  $y$  that is in the other vertex class of the bipartition. And  $y \in K$  since  $x \notin K$ . So, every vertex of  $V(D) \setminus K$  is dominated by a vertex of  $K$ . Hence  $K$  is a kernel of  $D$ . ■

**Proof.** (of Theorem 1) By König's Theorem, the edges of  $G$  may be partitionned in  $\Delta$  matchings  $M_1, M_2, \dots, M_\Delta$ . Let  $D$  be the orientation of  $G$  such that every edge is oriented from  $A$  to  $B$  if and only if it is in  $\bigcup_{i=1}^{\lceil \Delta/2 \rceil} M_i$ . Then every vertex of  $A$  has outdegree at most  $\lceil \Delta/2 \rceil$  and every vertex of  $B$  has outdegree at most  $\lfloor \Delta/2 \rfloor$ . Then Lemmas 1 and 2 yield the result. ■

## 2 Bipartite graphs with maximum degree 4

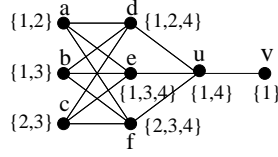
Let  $H$  and  $L_H[1]$  be the graph and its list assignment depicted Figure 1. For any integer  $i$ , let  $L_H[i]$  be the list assignment obtained from  $L_H[1]$  by applying a permutation  $\sigma$  on the integers which satisfies  $\sigma(1) = i$ .

**Proposition 1** *For any  $i$ ,  $H$  is not  $L_H[i]$ -colourable.*

**Proof.** It suffices to prove it for  $i = 1$ . Suppose that there is a  $L_H[1]$ -colouring  $C$ . Then  $C(u) = 4$  thus  $d, e$  and  $f$  are not coloured 4. Since the complete bipartite  $K_{3,3}$  is not list colourable with lists  $\{1, 2\}, \{1, 3\}, \{2, 3\}$  on each vertex class, we get a contradiction. ■

**Corollary 1** *There is a bipartite graph with maximum degree 4 that is not  $(3, 2)$ -choosable.*



Figure 1: The graph  $H$  and its list assignment  $L_H[1]$ 

**Proof.** Let  $G$  be the graph consisting of three copies  $H_i$ ,  $1 \leq i \leq 3$  of  $H$  whose vertices  $v$  are identified. Let  $L$  be the list assignment such that  $L(v) = \{1, 2, 3\}$  and that  $L$  coincides with  $L_H[i]$  on  $V(H_i) \setminus v$  for  $1 \leq i \leq 3$ . Then  $G$  is not  $L$ -colourable. Indeed if  $v$  is coloured  $i \in \{1, 2, 3\}$  then Proposition 1 give a contradiction in  $H_i$ . ■

To prove the NP-completeness of 4-BLCP, we need to prove the NP-completeness of the following problem.

**Auxiliary Bipartite List Colouring Problem (ABLCP)**

*Instance:* A bipartite graph  $G$  with bipartition  $(A, B)$  such that each vertex has degree 2 or 3 and a list assignment  $L$  such that  $|L(v)| = 2$  if  $v \in A$  and  $|L(v)| = d(v)$  if  $v \in B$ .

*Question:* Is  $G$   $L$ -colourable?

**Theorem 2 (Gravier [9])** *ABLCP is NP-complete.*

**Proof.** Given a Boolean formula  $\Phi$  in conjunctive normal form, with a set  $C$  of clauses over the set  $X$  of variables. Let us define the graph  $G_\Phi$  as follows: Its vertex set is  $C \cup \{(c, x) \mid x \in c \in C\} \cup \{(c, \bar{x}) \mid x \in c \in C\}$ . For all  $x \in c \in C$ , the vertex  $(c, x)$  is joined to the vertex  $c$  and  $(c, \bar{x})$ . For each  $x \in X$ , the subgraph induced by the vertices  $(c, x)$  and  $(c, \bar{x})$  for  $x \in c$  is a cycle.

The symbols  $x$  and  $\bar{x}$  will be taken for the colours, and the list assignment  $L$  is defined as follows:

$$L(c) := \{\bar{x} \mid x \in c\} \cup \{x \mid \neg x \in c\} \quad \forall c \in C$$

and

$$L((c, x)) = L((c, \bar{x})) := \{x, \bar{x}\} \quad \forall x \in c \in C .$$

With  $A = \{(c, x) \mid x \in c \in C\}$  and  $B = C \cup \{(c, \bar{x}) \mid x \in c \in C\}$ ,  $(A, B)$  is a bipartition of  $G_\Phi$  such that  $|L(v)| = 2$  if  $v \in A$  and  $|L(v)| = d(v)$  if  $v \in B$ .

In an  $L$ -colouring, for each  $x \in X$ , the vertices of  $\{(c, x) \mid x \in c \in C\}$  must get the same colour because the subgraph induced by the vertices  $(c, x)$  and  $(c, \bar{x})$  for  $x \in c$  is a cycle. It can be seen that there is a one-to-one correspondance between the satisfying truth assignments of  $\Phi$  and the  $L$ -colourings. Then the NP-completeness of 3-SAT yield the result. ■

**Theorem 3** *4-BLCP is NP-complete.*

**Proof.**

Let  $(G, L)$  be an instance of the ABLCP. An equivalent instance  $(G', L')$  may be obtained for the 4-BLCP: For each vertex  $x \in A$  whose list  $L(x)$  has cardinality 2, set  $L'(x) = L(x) \cup \{e\}$  for some  $e \notin L(v)$ , identify  $x$  to the vertex  $v$  of a copy  $H_x$  of  $H$  and set  $L'(u) = L_H[e](u)$  for  $u \in V(H_x) \setminus x$ . It is easy to check that  $G'$  has degree at most four. ■

### 3 Non 3-choosable bipartite graph with maximum degree 5

In this section, we construct a non-3-choosable bipartite graph with maximum degree 5. For that, we need four intermediary graphs.

Let  $M$  and  $L_M[4, 5]$  be the graph and its list assignment depicted Figure 2. Note that  $M$  is bipartite and that the vertices  $a$  and  $b$  lie in different parts of the bipartition. For any two integers  $i \neq j$ , let  $L_M[i, j]$  be the list assignment obtained from  $L_M[4, 5]$  by applying a permutation  $\sigma$  on the integers which satisfies  $\sigma(4) = i$  and  $\sigma(5) = j$ .

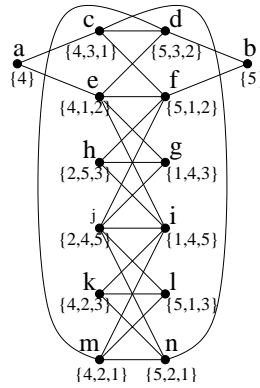


Figure 2: The graph  $M$  and its list assignment  $L_M[4, 5]$ .

**Proposition 2** For any  $i \neq j$ ,  $M$  is not  $L_M[i, j]$ -colourable.

**Proof.** Without loss of generality, it suffices to prove it for  $L_M[4, 5]$ . Suppose that  $M$  admits a  $L_M[4, 5]$ -colouring  $C$ : colour 4 is forbidden on  $c$  and  $e$ , and 5 is forbidden on  $d$  and  $f$ . If  $C(f) = 1$ , we force  $C(c) = 3$  and  $C(e) = 2$ , and then  $d$  may not be coloured. So, we have  $C(f) = 2$  and, consequently,  $C(e) = 1$ . The same reasoning applied on vertices  $g, h, i$  and  $j$  shows that  $C(i) = 4$  and  $C(j) = 5$ , and on the vertices  $k, l, m$  and  $n$ , it gives that  $C(m) = 2$  and  $C(n) = 1$ . Hence colours 4 and 1 are forbidden on  $c$  and colours 5 and 2 are forbidden on  $d$ , and we must have  $C(c) = C(d) = 3$ , which is impossible. ■

Let  $N$  and  $L_N[4, 5]$  be the graph and its list-assignment depicted Figure 3. The vertices  $a_1$  and  $b_1$ , and  $a_2$  and  $b_2$  are identified with the vertices  $a$  and  $b$  of the two copies of  $M$ ,  $M_1$  and  $M_2$  respectively. And  $L_N[4, 5]$  coincides with  $L_M[5, 1]$  (resp.  $L_M[5, 2]$ ) on  $V(M_1) \setminus \{a_1, b_1\}$  (resp.  $V(M_2) \setminus \{a_2, b_2\}$ ). Note that the graphs  $N$  is bipartite and that  $s$  and  $w$  lie in the same part of the bipartition. For any two integers  $i \neq j$ , let  $L_N[i, j]$  be the list assignment obtained from  $L_N[4, 5]$  by applying a permutation  $\sigma$  on the colours  $\{1, \dots, 5\}$  which satisfies  $\sigma(4) = i$  and  $\sigma(5) = j$ .

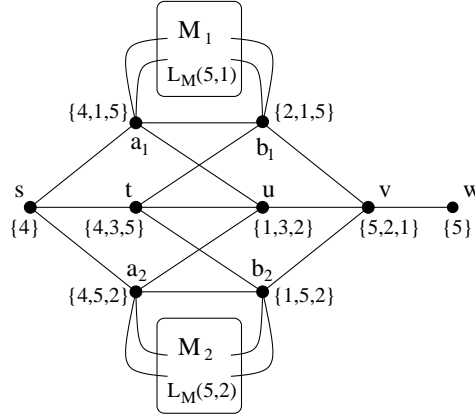


Figure 3: The graph  $N$  and its list assignment  $L_N[4, 5]$ .

**Proposition 3** *For any  $i \neq j$ ,  $N$  is not  $L_N[i, j]$ -colourable.*

**Proof.** Suppose that  $N$  admits a  $L_N[4, 5]$ -colouring  $C$ . Colour 4 is forbidden on vertices  $a_1$ ,  $a_2$  and  $t$  and colour 5 is forbidden on vertex  $v$ . If  $C(v) = 1$ , then  $C(b_2) \neq 1$  and  $C(u) \neq 1$ . Moreover,  $C(b_2)$  can not be 5, otherwise  $C(t) = 3$  and  $C(a_2) = 2$  and  $u$  can not be coloured. Then  $C(b_2) = 2$  and  $C(a_2) = 5$  but this contradicts Proposition 2. Consequently,  $v$  may not be coloured 1. Analogously, by symmetry,  $v$  can not be coloured 2. So,  $N$  is not  $L_N[4, 5]$ -colourable. ■

Let  $P$  and  $L_P[4, 5]$  be the graph and its list assignment depicted Figure 4. The vertices  $a_3$  and  $b_3$  are identified with the vertices  $a$  and  $b$  of a copy of  $M$ ,  $M_3$ . And  $L_P[4, 5]$  coincides with  $L_M[2, 1]$  (resp.  $L_N[3, 1]$ ) on  $V(M_3) \setminus \{a_3, b_3\}$  (resp.  $V(N) \setminus \{s, w\}$ ). The graph  $P$  is bipartite and  $s$  and  $w$  lie in different part of the bipartition. For  $i \neq j$ , let  $L_P[i, j]$  be the list assignment obtained from  $L_P[4, 5]$  by applying a permutation  $\sigma$  on the integers which satisfies  $\sigma(4) = i$  and  $\sigma(5) = j$ .

**Proposition 4** *For any  $i \neq j$ ,  $P$  is not  $L_P[i, j]$ -colourable.*

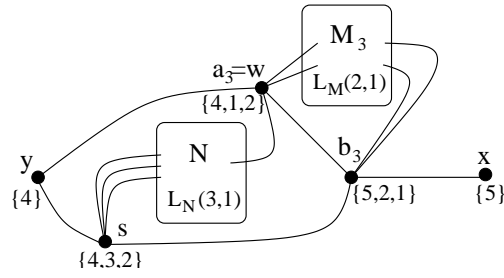


Figure 4: The graph  $P$  and its list assignment  $L_P[4, 5]$ .

**Proof.** Let  $C$  be an  $L_P[4, 5]$ -colouring of  $P$ . Then  $C(s) \neq 4$ ,  $C(a_3) \neq 4$  and  $C(b_3) \neq 5$ . Furthermore  $C(b_3) = 2$ . Otherwise,  $C(b_3) = 1$  so  $C(a_3) = 2$  which contradicts Proposition 2. Hence  $C(w) = 1$  and  $C(s) = 3$ . This contradicts Proposition 2. So  $P$  is not  $L_P[4, 5]$ -colourable. ■

Let  $Q$  be the graph obtained from two copies  $P_1$  and  $P_2$  by identifying the vertices corresponding to  $x$  and  $y$  and adding an edge between them. See Figure 5. And let  $L_Q[4]$  be the list assignment coinciding with  $L_P[1, 4]$  (resp.  $L_P[2, 4]$ ) on  $V(P_1) \setminus y$  (resp.  $V(P_2) \setminus y$ ) and  $L_Q[4](y) = \{1, 2, 4\}$ .

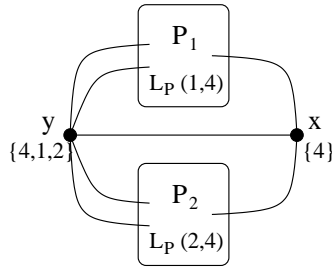


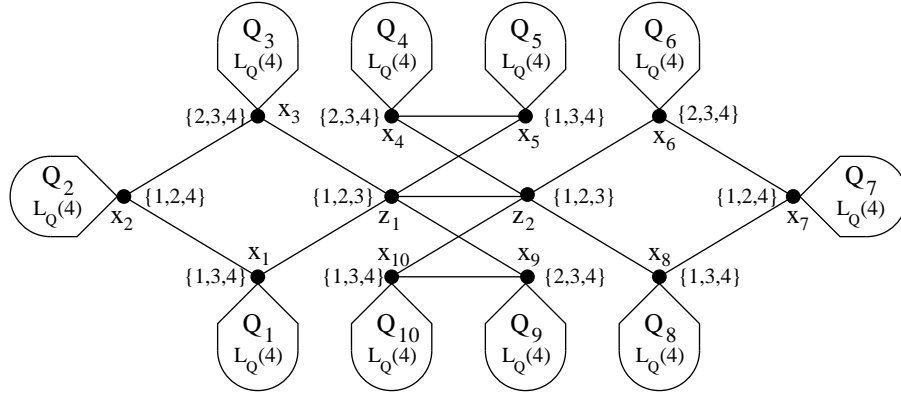
Figure 5: The graph  $Q$  and its list assignment  $L_Q[4]$ .

**Proposition 5**  $Q$  is not  $L_Q[4]$ -colourable.

**Proof.** Clearly,  $Q$  is not  $L_Q[4]$ -colourable. Indeed  $y$  may not be coloured because of the edge  $\{y, x\}$  and by Proposition 4 it can not be coloured 1 or 2 because of  $P_1$  and  $P_2$ . ■

Let  $G_5$  and  $L_5$  be the graph depicted Figure 6: Each  $Q_i$ ,  $1 \leq i \leq 10$ , is a copy of  $Q$  and  $L$  coincides with  $L_Q(4)$  on each  $Q_i \setminus x_i$ . Obviously,  $G_5$  is bipartite and has maximum degree 5.

**Proposition 6**  $G$  is not  $L_5$ -colourable, so it is not 5-choosable.

Figure 6: The graph  $G_5$  and its list assignment  $L_5$ .

**Proof.** Suppose that  $G_5$  admits a  $L_5$ -colouring  $C$ . Every  $x_i$ ,  $1 \leq i \leq 10$ , is not coloured 4 by Proposition 5. If  $C(z_1) = 3$ , then  $C(x_1) = 3$  and  $C(x_3) = 2$  and we can not colour  $x_2$ . Analogously  $C(z_2) = 3$  leads to a contradiction. If  $C(z_1) = 1$  then  $C(z_2) = 2$ , so  $C(x_4) = C(x_5) = 3$  which is a contradiction. And if  $C(z_1) = 1$  then  $C(z_2) = 2$  thus  $C(x_9) = C(x_{10}) = 3$  which is a contradiction. ■

**Remark 3.1** *In the proof of Proposition 6, the non colourable list assignment uses five different colours. This is the minimum number of colour for a counterexample. Indeed if a list assignment  $L$  uses only four colour then it is always  $L$ -colourable as observed in [5].*

**Theorem 4** *5-BLCP is NP-complete.*

**Proof.** Let  $R$  be the subgraph of  $G_5$  induced by the vertices of  $V(G_5) \setminus (V(Q_1) \setminus \{x_1\})$  and let  $L_R[1]$  be the list assignment defined by  $L_R[4](v) = L_5(v)$  if  $v \in V(R)$ . For any integer  $i$ , let  $L_R[i]$  be the list assignment obtained from  $L_R[4]$  by applying a permutation  $\sigma$  on the integers which satisfies  $\sigma(4) = i$ . From the proof of Proposition 6, we deduce that every  $L_R[4]$ -colouring  $C$  of  $R$  satisfies  $C(x_1) = 4$ . Thus for any integer  $i$ , every  $L_R[i]$ -colouring  $C$  of  $R$  satisfies  $C(x_1) = i$ . Let  $(G, L)$  be an instance of the ABLCP. An equivalent instance  $(G'', L'')$  may be obtained for the 5-BLCP: For each vertex  $x \in V(G)$  whose list  $L(x)$  has cardinality 2, set  $L''(x) = L(x) \cup \{e\}$  for some  $e \notin L(v)$ , join  $x$  to the vertex  $v$  of a copy  $R_x$  of  $R$  and set  $L''(u) = L_R[e](u)$  for  $u \in V(R_x)$ . It is easy to check that  $G''$  has degree at most five. ■

## 4 Upper bounds for $n_3(\Delta)$

The graph  $G_5$  has 942 vertices, so  $n_3(5) \leq 942$ . However, one can get a slightly better bound:

**Proposition 7**  $n_3(5) \leq 846$ .

**Proof.** Let  $G$  be the graph obtained from  $G_5$  by doing the following : For  $4 \leq i \leq 6$ , (resp.  $i = 3$ ) identify the vertices  $h, g, k$  and  $l$  of each subgraph  $M$  of  $Q_i$  with the vertices  $h, g, k$  and  $l$  of the identical  $M$  in  $Q_{14-i}$  (resp.  $Q_1$ ). It is easy to check that  $G$  is bipartite, because identified vertices lied in the same part of the bipartition, and  $G$  has maximal degree 5. Moreover, for two identified vertices  $u$  and  $v$ ,  $L_5(u) = L_5(v)$ . Hence,  $L_5$  is still well-defined and  $G$  is not  $L_5$ -colourable. The graph  $G$  has 846 vertices (24 less per pair of  $Q_i$ ). Hence  $n_3(5) \leq 846$ . ■

Let us now construct a small non 3-choosable bipartite graph with maximum degree 6. As in the previous section, we need some intermediary graphs.

**Proposition 8** *Let  $(\{b, c, d\}, \{b', c', d'\})$  be the bipartition of  $K_{3,3}$ . And let  $L$  be the following list assignment :  $L(b) = \{1, 2\} = L(b')$ ,  $L(d) = L(c') = \{1, 3\}$ ,  $L(c) = \{2, 3, 4\}$  and  $L(d') = \{2, 3\}$ . Then any  $L$ -colouring  $C$  of  $K_{3,3}$  (of the two) satisfies  $C(c) = 4$ ,  $C(b) = C(d) = 1$ ,  $C(b') = 2$  and  $C(c') = 3$ .*

**Proof.** Let  $C$  be an  $L$ -colouring of  $K_{3,3}$ . Since  $K_{3,3}$  is not list colourable with lists  $\{1, 2\}$ ,  $\{1, 3\}$ ,  $\{2, 3\}$  on each vertex class,  $C(c) = 4$ . Moreover  $C(b') = 2$  otherwise  $C(b) = 2$  and  $C(d) = 3$  and  $d'$  can not be coloured. In the same way,  $C(c') = 3$ . It follows that  $C(b) = C(d) = 1$ . ■

**Proposition 9** *Let  $F$  be the graph and  $L_F$  the list assignment depicted Figure 7. Then  $F$  is not  $L_F$ -colourable.*

**Proof.** Suppose that  $F$  admits a  $L_F$ -colouring  $C$ . Then  $C(v) = 4$ , thus  $C(v')$  is 2 or 5.

Suppose that it is 5. Then  $b', c'$  and  $d'$  may not be coloured 5. Moreover  $b$  and  $d$  may not be coloured 4. Thus by Proposition 8,  $C(c) = 4$ ,  $C(b) = 1$  and  $C(b') = 2$  and  $C(c') = 3$ . Hence  $C(a') = 5 = C(a)$  which is a contradiction.

Analogously, if  $C(v') = 2$ , we get the contradiction  $C(g') = 2 = C(g)$ . ■

Let  $G_6$  and  $L_6$  be the graph and its list-assignment depicted Figure 8. The vertex  $v_i$ ,  $1 \leq i \leq 9$ ,  $b_1$ , is identified with the vertex  $v$  of the copy  $F_i$  of  $F$ . And  $L_6$  coincides with  $L_F$  on  $F_i \setminus v_i$  for  $1 \leq i \leq 9$ .

**Proposition 10**  $G_6$  is not  $L_6$ -colourable. So it is not 3-choosable.

**Proof.**

Let us show that  $G_6$  is not  $L$ -colourable. By Proposition 9, none of the  $v_i$  may be coloured with 4. Suppose now that  $x$  is coloured with 1 then  $v_1$  must be coloured 2 and  $v_3$  coloured 3 then  $v_2$  may not be coloured which is a contradiction. Analogously, if  $x$  is coloured 2 (resp. 3), we obtain a contradiction along the 4-cycle  $(x, v_4, v_5, v_6)$  (resp.  $(x, v_7, v_8, v_9)$ ). ■

The graph  $G_6$  has 145 vertices, so  $n_3(6) \leq 145$ . Once again, one can get a better bound:

**Proposition 11**  $n_3(6) \leq 128$ .

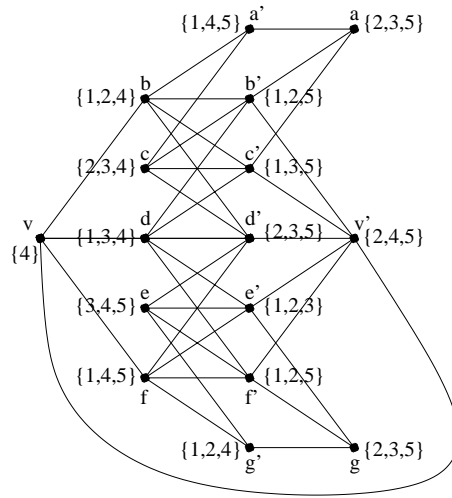


Figure 7: The graph  $F$  and the list assignment  $L_F$

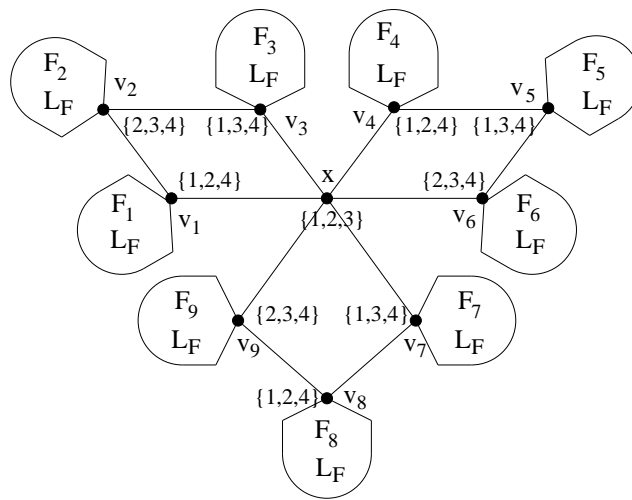


Figure 8: The graph  $G_6$

**Proof.** Let  $G$  be the graph obtained from  $G_6$  by doing the following : For  $i \in \{1, 4, 7\}$  (resp.  $i = 2$ ), identify the vertices  $a'$ ,  $a$ ,  $g'$  and  $g$  of  $F_i$  with the vertices  $a'$ ,  $a$ ,  $g'$  and  $g$  of  $F_{i+2}$  (resp.  $F_5$ ). And identify the vertex  $a$  of  $F_8$  with the vertex  $g$  of  $F_8$ . It is easy to check

that  $G$  is bipartite, because identified vertices lied in the same part of the bipartition, and  $G$  has maximal degree 6. Moreover, for two identified vertices  $u$  and  $v$ ,  $L_6(u) = L_6(v)$ . Hence,  $G$  is not  $L_6$ -colourable and has 128 vertices. Hence  $n_3(6) \leq 128$ . ■

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