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## Design of an urban driverless ground vehicle

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Abstract—This paper presents the design and implementation of a driverless car for populated urban environments. We propose a system that explicitly map the static obstacles, detects and track the moving obstacle, consider the unobserved areas, provide a motion plan with safety guarantees and executes it. All of it was implemented and integrated into a single computer maneuvering on real time an electric vehicle into an unvisited area with moving obstacles. The overview of the algorithms and some experimental results are presented.

#### I. INTRODUCTION

The development and deployment of driverless cars is expected to enhance the traffic circulation in the cities, reduce the number of accidents, and overall enhance the quality of life. This research topic has been particularly active in the last years passing from infrastructure dependent systems to more autonomous solutions [1], [2], [3], [4].

When the vehicles evolve in an unconstrained, populated environment safety becomes of paramount importance. We argue that most of the existing solutions does not correctly manage this issue, without providing guarantees of harmless motion given the hypotheses on the environment.

This paper is an update of the work previously presented in [5]. Our approach is characterized by explicitly taking account of the uncertainty, safety and computation constraints.

#### II. NEEDS

In order to displace itself the vehicle execute on-line a decision process on how to accelerate, break and steer. At the strategic level the robot decide and update the best the route (sequence of streets segments) to reach the desired destination given its current position in the city, the known roads network and the predicted traffic conditions. At the tactic level trajectory planning and control modules allow the vehicle to follow the defined route while avoiding creating harm. This decision process is fed by information provided by the perception system.

*Route planning* requires to be able to update the plan at a frequency comparable to the time it takes to pass from one intersection to the next one ( $\sim 5 \ [seconds]$ ).

*Trajectory planning* needs to provide, at anytime, a safe motion plan (a sequence of reference states in time) for the vehicle. According to [6] we consider a robot "safe" if, given the hypotheses on the environment, it is possible to provide guarantees on its harmless behavior. In order to provide such guarantees the planning method needs to take into account [7]:

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- 1) The motion constraints of the robot
- 2) The motion of the surrounding environment
- 3) An infinite time horizon

As time evolves the *control module* is in charge of steering and controlling the speed of the vehicle in order to execute the previously defined plan. The high frequency feedback loop needs to provide a hard bound on the trajectory tracking error that the trajectory planning module will assume.

The *perception module* provides the information required by each decision module. It needs to explicitly account the uncertain and incomplete nature of the world model in order to avoid taking decisions without considering the risks. This module provides:

- 1) The position of the vehicle in the city
- 2) The current state of the vehicle (including its local position) with respect to the planned state
- The function h(x,t) ∈ [0,1]; an estimation of the harm h provoked if the vehicle is set in the state x at a time t. This function encodes the geometry of the vehicle, a description of the surrounding environment, a prediction of it and the application specific criterion to measure harm.

On the following sections we will describe how to implement each of this modules and how to integrate them on a full scale vehicle.

#### **III. PERCEPTION**

Predicting the harm of a future vehicle state imply that we are, at least, capable of:

- Mapping the surrounding static obstacles,
- Mapping the traversable ground,
- Detecting, tracking and predicting moving obstacles,
- Classifying the obstacles in order to assess the collision harm.

As the vehicle incrementally builds the surroundings maps, it is localizing itself on such maps. The relative displacement with respect to past positions is constantly known allowing to estimate the current state of the vehicle with respect to the planned state, as required for control purposes.

The position of the vehicle in the city can be straightforwardly obtained through satellital positioning systems. This commercial technology has proven to be good enough for our application. The fusion of the GPS data with the displacement estimation from other sensors allows the required robustness to GPS signal losses [8].

The process of Simultaneous Localization Mapping and Moving Objects Tracking is called SLAMMOT.

#### A. SLAMMOT

As the main sensor to detect obstacles we use a laser scanner. We suppose that the surrounding of the vehicle is locally planar (strong assumption). The laser scanner provides angle and distance measures to obstacles in a radius of 40 [m] with a frequency of 20 [Hz]. Its measures provide information about the occupied space and the free space.

A laser scan is a set of l measured points  $x_i$ . For each new scan each measure is associated to a weight  $w_i$  reflecting the a priori probability that the point  $x_i$  is measuring a static object. Using this weights factors, the set of measures is matched with the current map. Based on the coherence between free, occupied and unobserved space, measures of moving objects are detected [9]. Since moving objects measures are separated from static objects measures, the detection of moving objects (grouping of measures) and they tracking becomes a simpler task. The different objects are classified based on their geometric properties and their motion (small round elements are pedestrians, large rectangles are cars, etc...).

In order to keep a lightweight representation that allows fast scan processing and a correct management of the uncertainty, the world model is decomposed in three interrelated elements: a grid of gaussians of the occupied space [10], a first order interpolated grid of the free space, and a set of tracked moving objects (see figure 1). The tracked objects, the current maps and the uncertainty in the displacement between two laser scans are used to estimate the initial weights  $w_i$ .

For more details on this algorithm, the reader can consult [5].

Due to the ever changing nature of the urban environment, and since the vehicle is not expected to pass through a streets immediately after leaving it, the perception module does not need to build and store a detailed map of the city. It only keeps a short term memory of the surroundings as required for the trajectory planning task.

#### B. Ground traversability

The laser scanner employed is a 2D sensor, installed horizontally. In such setup, the sensor does not provide information about the ground (or low obstacles in general). Some other works use 3D measures from 3D scanners or by using a myriad of parallel 2D scanners. 3D laser scanners are too slow for measurements in motion, 3D ladars [11], [12] are still a technology in development and using multiples 2D sensors in parallel was considered costly for our application. Even with a detailed 3D map of the area to traverse, colour information is required to detect grass or ground landmarks indicating undesirable or prohibited areas (laser scanner intensity measures can be used for this task).



(c) detected moving object and (d) SLAMMOT output conservative prediction

Figure 1. Different components of our SLAMMOT algorithm internal representation and final output

In order to determine the ground traversability we complement the 2D information of the laser with a wide angle colour video camera. To keep the solution as generic as possible we use a machine learning approach to process the image frames. Each pixel of the image will be classified as traversable or not. The traversability is defined on the basis of training examples fed previously manually.

The input image is trivially split into a set of small rectangular regions, that are processed individually. Each small region is transformed into a features vector (with 40 elements, as defined in [13]) describing the region texture. In order to lower the on-line classification computation cost, we use an "automatic relevance determination" (ARD) kernel with an "Informative Vector Machine" (IVM) [14] to select the most relevant features given the training set. Then we train a "Relevance Vector Machine" (RVM) [15] with the trimmed features vectors. In our tests sets, the RVM provides similar classification rates (superior to 95%) than the IVM and the classic SVM [16] while using less than half of the relevance/support vectors; the on-line classification speed is more than two times faster than SVM (at the cost of a slower learning rate). Figure 2 illustrates our typical training examples and the output of the classifier on new images.

Once each region is classified, the resulting binary image can be projected to the ground plane using the camera calibration (internal and external parameters). This binary measure should be used to fed an occupancy grid estimating the probability of a region to be or not traversable (since we expect 5% of misclassifications).

Due to computation limitations in our current implementation the largest and lowest region of the binary image is selected as the ground region, the enclosing polygon is



(a) One of the training examples

(b) Classification results examples

Figure 2. A simple machine learning approach is used for road detection



Figure 3. Left: route planner interface, indicating current vehicle position, planned route, and allowing to change the destination. Right: illustrating an user doing a request for the vehicle

projected to the ground and used as additional static obstacles in the world model. With each new image, the polygon delimiting the road is updated. Since the vehicle is large compared to the small errors in the polygon, this gross approximation seems good enough when the road surface has a simply connected geometry (strong assumption). Using a simply connected polygon instead of the dense grid map imply that we trade off computation cost to managing situations such as holes in the road.

#### IV. ROUTE PLANNING

Since the road network of our campus is small enough and its traffic is negligible a simple Dijkstra is good enough for our application. For route planning on large networks without considering traffic predictions methods such as the one available on commercial navigation system should be used. Route planning on city wide networks considering traffic predictions (in a scenario where every agent is informed and rational) seems to be a variant yet to be explored.

The route planner runs on a separate server with an end used interface rendered through Google Earth (see figure 3). The route server is periodically communicating each few seconds with the vehicle's software to update the route and retrieve the current location of the vehicle.

#### V. TRAJECTORY PLANNING

When evolving in an populated (pedestrians and cars), uncertain (noise in the observations) and incomplete (partially observed) world only limited guarantees can be given on the harmless motion of the robot. In [6] we show that it is possible to guarantee that the robot will not harm by action if at anytime it provides a trajectory able to stop without colliding (or entering into a non traversable area). In case of collision, the vehicle is guaranteed to be with null velocity with respect to the ground. It can also be shown that if every mobile respects this criterion, then no accidents would arise.

Providing guarantees of not harming by inaction (while the vehicle is stopped) is still an open problem in the general case.

In order to respect the motion constraints of the vehicle, we use a model of the vehicle capabilities and formulate the planning problem as a search problem in the commands space. When the sequence of commands p is executed at state  $x(t_1)$  the vehicle will follow the trajectory  $\pi(x(t_1), p)$ (given that the vehicle model is correct, and that the controller respect the predicted bound). We search then the plan p that will move the vehicle along the defined route while avoiding reaching any state where  $h(x(t_2), t_2) \neq 0$  and where the final state has null speed.

The search for the best trajectory is executed periodically. In this partial motion planning approach [17] at each iteration the planner will use an updated world model and extend the previous plan. To guide the exploration in the sequence of commands space we use an hybrid between greedy search and RRT method [18], mixing directed search with random search.

Notice that the function h is not included in the cost function used to select the best plan p because safety can not be trade-off. Our cost function over p includes how much of the planned route is traversed (effectiveness) and how smoothly this is done (comfort).

With the iterative nature of the planning approach, the constraint of plans with final state with null speed does not imply that the vehicle will actually stop. In pratice this safety constraint will affect the speed of the vehicle, which is adapted to allow collision avoidance in any case considered by the conservative prediction of the perception module. Following the preference of the cost function the vehicle will try to avoid obstacles and advance towards the goal instead of stopping. Stopping is only done if no other option exist, no other plan was found or because it is the optimal solution for the given the cost function.

Figure 6 includes an example of the typical output of the trajectory planner. See section VII for the description.

#### VI. CONTROL

In [5] we suggested the use of a naive (proportional) non linear controller. We then define a bound on the maximum tracking error (for the planning stage), and put a on-line watchdog over this error. Any overflow on the tracking error generates an emergency stop.

This naive controller provides convergence to zero error for a constant reference state but does not converge to zero when the reference state change in time (as it is usually the



Figure 4. Comparison of the naive and dynamic feedback controllers on an error free situation. The later performs notoriously better

case). We now use a dynamic feedback controller [19] that provides a theoretical convergence for any feasible trajectory.

In the figure 4 both controllers are compared when following an S shaped trajectory, starting with an initial position error and disregarding noise and model errors. We see that the naive controller does not converge to the constantly changing reference, while the new controller does. The increment on the tracking error (around sample 60) is due to the saturation of the steering angle of the vehicle, which is not modeled by the controllers.

#### VII. INTEGRATION RESULTS

The route planning, trajectory planning, perception and control algorithms where integrated into a single system. The logical relation between the different components are presented in the figure 5.

The route planner runs in a separate server communicating to the vehicle through the network. The other modules are executed by a single multi-threaded application written in C++. The laser scanner runs at 15 [Hz] and defines the world model update frequency (a thus the control loop frequency). The image processing runs on a separate low priority thread, grabbing frames as soon as the previous one was processed. With the perception, planning and control running on a dual core 3 [GHz] processor, the 640x480 pixels images processing runs at 2 [Hz]. Since image processing is used for ground traversability estimation, and planning is done considering unobserved areas, low frequency on images processing does not imply higher risk. As discussed in section V the vehicle will execute plans where the vehicle will stop before reaching the unobserved areas. In the worst case the scenario, if image processing stall, the vehicle will smoothly stop waiting for the next image to be processed.

Trajectory planning is done using an any time interruptible method with safety guarantees [17]. We fixed the plan update



Figure 5. Logic diagram of the system

frequency to 2 [Hz], which seems to be a good trade-off between reactivity and planning horizon.

Figure 6 illustrate the internal representation of the vehicle software while running. In this example route planner has sets the target at the top of the image. Gray indicates non observed areas, black detected static obstacles, green circles are the detected moving objects, and the line going out of such circle they estimated direction. The green rectangle indicate the estimated position of the vehicle, the dark line below it its previous positions, the green line on top of it the positions of its planned trajectory. Notice that the plan can pass over moving obstacles since, given the used prediction model, the moving objects are expected (with high certainty) to have leaved that area before reaching it.

Figure 7 presents a sequence of images of a similar situation. At the beginning the vehicle target is set near the photographer position. The vehicle will automatically map the environment, avoid obstacles, adjust the speed to consider the possible apparition of pedestrians on the unobserved area, and finally manage to avoid any collision while reaching the desired position.

#### VIII. CONCLUSION AND FUTURE WORKS

We have described the design and implementation of a driverless vehicle system. The proposed approach provides strong safety guarantees. The vehicle explicitly consider the unknown to adapt the driving speed and trajectory. To our knowledge this is the first driverless vehicle for urban environment that respects the safety constraints presented in [7] and [6]. Most previous systems either fail to explicitly



(a) starts exploring an unknown environment



(b) avoids known obstacles and adapts speed to posible obstacles





(d) continue moving





Figure 6. Example of safe planning in a perceived environment

model the moving objects [1], do not guarantee that collision free trajectories will be found in the future [4], or do not use conservative predictions of the possible harm (e.g. enforcing straight lines trajectories to all observed cars).

This system provides the strict minimum for driving capabilities; it can easily integrate more sensors, information communicated from other vehicles or entities, or integrate arbitrary driving rules (as cost functions over trajectories).

Future works will consider creating a vision based dense 3D SLAMMOT perception algorithm, to eliminate the reliance on the laser scanner and enhance the traversability estimation. We will also explore further accelerating the exploration in the space of trajectories by using an approach similar to [20] (piecewise parametrization of the trajectory and optimization of the parameters via gradient descent).

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