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Exponential Stabilization of Delay Neutral Systems under Sampled-Data Control

Alexandre Seuret, Emilia Fridman and Jean-Pierre Richard

Abstract—This paper considers the exponential stabilization of delay systems of the neutral type via sampled-data control. The control input of the neutral system can present a delay, constant or variable. The sampling period is not necessarily constant. It is only assumed that the time between to successive sampling instants is bounded. Since the sampling effect (sampling and zero-holder) is equivalent to a variable delay, the resulting system is modelled as a continuous-time one, where the control input has a 'non-small' time-varying delay belonging to some interval $[h - \mu, h + \mu]$. For instance, $h - \mu$ may represent the minimum input delay, and 2μ the additional delay generated by the combination of the sampling effect with the input delay variation. This results in a system with 'nonsmall' time-varying delays (i.e. delays with a known and nonzero minimum value), the exponential stabilization of which is possible under LMI conditions. Two examples are provided. The first one deals with the sampled-data control of a neutral system. The second one considers the stabilization of a flexible rod with continuous, delayed control

Index Terms— Time-varying delay, neutral system, sampleddata control, stabilization, LMI, flexible rod.

I. INTRODUCTION

Recent papers [8], [27] considered the modelling of continuous-time systems with sampled-data control in the form of continuous-time systems with delayed control input and which model was combined with Lyapunov-based methods. The digital control law produced by a sampler with zero-holder can be represented as follows:

$$u(t) = u_d(t_k) = u_d(t - (t - t_k)) = u_d(t - \eta(t)),$$

$$t_k \le t < t_{k+1}, \ \eta(t) = t - t_k.$$
(1)

Here, u_d is the discrete-time control signal and the timevarying delay $\eta(t) = t - t_k$ is piecewise-linear with derivative $\dot{\eta}(t) = 1$ for $t \neq t_k$. Moreover, $\eta(t) \leq t_{k+1} - t_k \leq \mu_1$, where μ_1 is the maximum sampling interval. This case of 'small' time-varying delay $\tau(t) \in [0, \mu]$ has been analyzed in the above papers by using Lyapunov-Krasovskii method via the descriptor model transformation [6] and by the Lyapunov-Razumikhin technique, correspondingly.

If there is an additional constant delay $h_2 > 0$ in the control input, the delayed digital control law can be modelled in the form:

$$u(t-h_2) = u_d(t_k - h_2) = u_d(t - h_2 - (t - t_k)),$$

$$u(t-h_2) = u_d(t - \tau(t)),$$

$$t_k \le t < t_{k+1}, \quad \tau(t) = h_2 + t - t_k.$$
(2)

Thus, the delay is 'non-small', i.e. $\tau(t) \in [h_2 - \mu_2, h_2 + \mu_2]$ with $h_2 > 0$ and $h_2 - \mu_2 \ge 0$. Only a few papers [7], [15], [23] have been published on this topic. The *asymptotic* stability of linear *retarded-type* systems with *one* time-varying 'non-small' delay has been analyzed by [15]. Sufficient stability conditions, there, have been derived via a modification of 'complete' Lyapunov-Krassovskii functionals, which corresponds to necessary and sufficient stability conditions. In [7], a new construction of Lyapunov-Krassovskii functionals, which generalizes the descriptor one [6], was introduced. Stability and H_{∞} control of neutral systems with multiple 'non-small' delays have been studied in [23], using the same idea.

Concerning the sampled-data stabilization problem, two main approaches have been used before the paper [8] (see e.g. [4], [22], [20], [24]). The first one is based on the lifting technique [1], [26] in which the problem is transformed into an equivalent finite-dimensional discrete problem. This approach seems to be unapplicable to the case of state-delay. The second approach is based on the representation in the form of an hybrid discrete/continuous model. Application of this approach to linear systems leads to necessary and sufficient conditions for stability and L_2 -gain analysis in the form of differential equations (or inequalities) with jumps (see e.g. [3], [22]). The latter approach has been applied to H_{∞} control of retarded type systems with constant state delay [9], where partial differential Riccati equations with jumps have been derived. The method is not applicable to neutral systems with input delay. Recently, it has been applied to the sampled-data stabilization of linear state-delay systems in the case of uniform (periodic) sampling [14]. To overcome difficulties of solving differential inequalities with jumps, a piecewise-linear in time Lyapunov functional has been suggested. As a result, LMIs have been derived which do not depend on the sampling interval and thus are very conservative.

Concerning the exponential stabilization problem, some applications such as observer design, networked control, tele-operated systems or chained systems often need exponential convergence, since it is the best way of ensuring some speed performance. Some authors have investigated the exponential stability of delayed systems [18], [19].

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However, these results are limited to constant delays. In many cases, such as the communication lines used in networked control, the delays cannot be reduced to constant ones. Recently, Seuret *et al* developed exponential stability results for retarded systems with time-varying delays [21].

The present work focuses on exponential stability and stabilization of neutral systems with bounded, time-varying delays. The approach, which involves a Lyapunov-Krasovskii functional and a descriptor model, was developed in [10], [11], [12] for asymptotic stabilization. We combine it with a polytopic approach [25] that allows one to reduce the time-varying delay to a convex sum of its bounds [21].

In the present paper, we generalize the approach of [8] to the sampled-data stabilization of systems with state and input delay. Moreover we consider, more widely, *neutral-type* linear systems described by: $\dot{x}(t) - F\dot{x}(t - g(t)) = A_0x(t) + A_1x(t - \tau_1(t)) + Bu(t - h_2))$, which will be presented in the next section. For systems with $g(t) = h_1$ constant, we complete this result with the exponential stabilization. The solutions are derived by solving the problem for a continuous-time system with uncertain but bounded timevarying 'non small' delay in the control input.

The obtained conditions are robust with respect to different samplings with the only requirement that the maximum sampling interval μ_1 is not greater than some computed μ . Moreover, the feasibility of the LMI is guaranteed for small μ if the corresponding continuous-time controller stabilizes the system.

Notation: Throughout the paper, the superscript *T* stands for matrix transposition, \mathscr{R}^n denotes the *n*-dimensional Euclidean space with the norm |x| of vector x, $\mathscr{R}^{n \times m}$ is the set of $n \times m$ real matrices with the Euclidean norm $\|\cdot\|$. The notation P > 0 for $P \in \mathscr{R}^{n \times n}$ means that *P* is symmetric and positive definite. A star * in a matrix represents a symmetrical entry.

II. PROBLEM FORMULATION

Consider the system:

$$\dot{x}(t) - F\dot{x}(t - g(t)) = A_0 x(t) + A_1 x(t - \tau_1(t)) + Bu(t - h_2), x(t) = \phi(t), for $t \in [-\bar{h}, 0],$
(3)$$

where $x(t) \in \mathscr{R}^n$ is the system state, $u(t) \in \mathscr{R}^m$ is the control input, A_i and B are constant matrices, ϕ is a continuously differentiable initial function and \overline{h} is an upper-bound on the time-delays τ_1 and g. For simplicity only, we consider one delay τ_1 and one delay g. However, the results of this paper can be easily extended to the case of multiple delays $\tau_1, ..., \tau_m, g_1, ..., g_k$.

The input delay h_2 is constant but this also can be easily generalized to $h_2(t)$ time-varying, since h_2 will be considered in combination with an additional varying delay coming from the variable sampling.

We assume that g(t) is a differentiable function satisfying $\dot{g}(t) \le d_0 < 1$ for all $t \ge 0$, where d_0 is a known upperbound. Moreover, we assume that ||F|| < 1. The latter

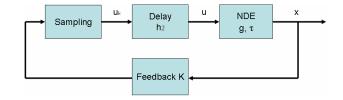


Fig. 1. Problem representation

guarantees that the difference equation x(t) - Fx(t - g(t)) = 0 is asymptotically stable [2],[16]. Our asymptotic stability results will be independent on *g* and dependent on *d*₀. Our exponential stability results will be considered in the case $\dot{g}(t) = 0$.

The uncertain delay $\tau_1(t)$ is supposed to have the following form $\tau_1(t) = h_1 + \eta_1(t)$, where $h_1 > 0$ is a constant value and η_1 is a time-varying perturbation. We will consider that $\eta_1(t)$ is a piecewise-continuous function, satisfying:

$$-\mu_1 \le \eta_1(t) \le \mu_1, \quad \forall t \ge 0.$$
(4)

We consider a piecewise-constant control law of the form $u(t-h_2) = u_d(t_k - h_2)$, $t_k \le t < t_{k+1}$, where u_d is a discretetime control signal and $0 = t_0 < t_1 < ... < t_k < ...$ are the sampling instants. Our objective is to find a state-feedback stabilizing controller in the form:

$$u(t - h_2) = Kx(t_k - h_2), \quad t_k \le t < t_{k+1}.$$
 (5)

The piecewise-constant control law is equivalent to a continuous-time control with a time-varying piecewisecontinuous (continuous from the right) delay $\tau_2(t) = h_2 + t - t_k$ as given in (2), where $h = h_2$. Thus, we look for a state-feedback controller of the form $u(t) = Kx(t - \tau_2(t))$. Substituting the latter controller into (3), we obtain the following closed-loop system:

$$\dot{x}(t) - F\dot{x}(t - g(t)) = A_0 x(t) + A_1 x(t - \tau_1(t)) + BK x(t - \tau_2(t))$$
(6)
$$\tau_2(t) = h_2 + t - t_k, \qquad t_k \le t < t_{k+1}.$$

We assume that A1: $-\mu_2 \le t_{k+1} - t_k \le \mu_2$, $\forall k \ge 0$. From A1 and since $\tau_2(t) = h_2 + t_{k+1} - t_k$, it follows that $h_2 - \mu_2 \le \tau_2(t) \le h_2 + \mu_2$. We will further consider (6) as the 'system with uncertain and bounded delay'.

III. ASYMPTOTIC STABILITY OF THE CLOSED-LOOP SYSTEM

Lemma 1 (Stability, [7] (Case 1)): Given a gain matrix K, the system (6) is stable for all the samplings satisfying A1, if there exist $n \times n$ matrices $0 < P_1, P_2, P_3, S_i, U, Y_{k1}, Y_{k2}, Z_{k1}, Z_{k2}, Z_{k3}, R_k$ and $R_{ka}, k=1, 2$

that satisfy:

where Y_k, Z_k and $\overline{\Psi}_1$ are given by:

$$\begin{split} \bar{\Psi}_{1} &= \quad \bar{\Psi}_{n} + \begin{bmatrix} 0 & 0 \\ 0 & \sum_{k=1}^{2} 2\mu_{k}R_{ka} \end{bmatrix}, \\ \bar{\Psi}_{n} &= \quad P^{T} \begin{bmatrix} 0 & I \\ A_{0} & -I \end{bmatrix} + \begin{bmatrix} 0 & I \\ A_{0} & -I \end{bmatrix}^{T} P + \sum_{k=1}^{2} (h_{k} + \mu_{k})Z_{k} \\ &+ \sum_{k=1}^{2} \begin{bmatrix} Y_{k} \\ 0 \end{bmatrix} + \sum_{k=1}^{2} \begin{bmatrix} Y_{k} \\ 0 \end{bmatrix}^{T}, \\ &+ \begin{bmatrix} \sum_{k=1}^{2} S_{k} & 0 \\ 0 & \sum_{k=1}^{2} (h_{k} + \mu_{k})R_{k} + U \end{bmatrix} \\ Y_{k} &= \quad \begin{bmatrix} Y_{k1} & Y_{k2} \end{bmatrix}, \quad Z_{k} = \begin{bmatrix} Z_{k1} & Z_{k2} \\ * & Z_{k3} \end{bmatrix} \end{split}$$

IV. EXPONENTIAL STABILITY OF THE CLOSED-LOOP SYSTEM

We consider in this section the neutral type system (3) in the (particular but frequent) case $g(t) = h_1$. As usual [19], [21], being given some rate $\alpha > 0$, the closed-loop system (3) is said to be α -stable, or 'exponentially stable with the rate α ', if there exists a scalar $K \ge 1$ such that its solution $x(t;t_0,\phi)$ satisfies:

$$|x(t,t_0,\phi)| \le K |\phi| e^{-\alpha(t-t_0)}.$$
(10)

Substituting the new variable $z(t) = e^{\alpha t}x(t)$ in (6), we find:

$$\dot{z}(t) = (A_0 + \alpha I)z(t) + e^{\alpha \tau_1} A_1 z(t - \tau_1(t)) + e^{\alpha \tau_2} BK z(t - \tau_2(t)) + F e^{\alpha t} \dot{x}(t - h_1),$$
(11)

the last term of which can be expressed with the variable z:

$$e^{\alpha t} \dot{x}(t-h_1) = e^{\alpha h_1} \dot{z}(t-h_1) - \alpha e^{\alpha h_1} z(t-h_1), \qquad (12)$$

which finally leads to the transformed neutral system:

$$\dot{z}(t) = (A_0 + \alpha I)x(t) + e^{\alpha \tau_1(t)} A_1 x(t - \tau_1(t)) - \alpha e^{\alpha h_1} F z(t - h_1) + F e^{\alpha h_1} \dot{z}(t - h_1) + e^{\alpha \tau_2(t)} B K x(t - \tau_2(t)).$$
(13)

Our purpose is to find conditions for the solution z = 0 of this transformed system (13) to be stable. Then, these conditions will assure the exponential, α -stability (10) of the original system (6). Note that a necessary condition of exponential stability is that the spectral radius of $e^{\alpha h_1}F$ is less than one.

However, system (13) is a linear time-varying one because of the gains $e^{\alpha \tau_1(t)}$ and $e^{\alpha \tau_2(t)}$. This does not allow for applying directly Lemma 1. This difficulty can be overcome by applying a polytopic approach [21] [13]. Indeed, according to A1 and (2), the time-varying terms $e^{\alpha \tau_1(t)}$ and $e^{\alpha \tau_2(t)}$ are bounded as follows:

$$\alpha^{(h_i-\mu_i)} \leq e^{\alpha\tau_i(t)} \leq e^{\alpha(h_i+\mu_i)}, \quad \forall t \geq 0, \quad \forall i=1,2.$$

This means there exists unknown scalar and positive functions $\lambda_{ij} : \mathscr{R} \to \mathscr{R}$, $(i, j) \in \{1, 2\}^2$, satisfying the following convexity conditions:

$$\forall t \ge 0, \quad \forall (i,j) \in \{1,2\}^2 \quad \lambda_{ij}(t) \ge 0, \quad \sum_{i,j=1}^2 \lambda_{ij}(t) = 1$$
(14)

and such that equation (13) is written as:

$$\begin{aligned} \dot{z}(t) &= \sum_{i,j=1}^{2} \lambda_{ij}(t) \{ (A_0 + \alpha I) z(t) + \beta_{1i} A_1 z(t - \tau_1(t)) \\ &- \alpha e^{\alpha h_1} F z(t - h_1) + F e^{\alpha h_1} \dot{z}(t - h_1) \\ &+ \beta_{2j} B K z(t - \tau_2(t)) \}, \end{aligned}$$

where

e

$$\begin{aligned} \beta_{11} &= e^{\alpha(h_1 - \mu_1)}, \quad \beta_{12} &= e^{\alpha(h_1 + \mu_1)}, \\ \beta_{21} &= e^{\alpha(h_2 - \mu_2)}, \quad \beta_{22} &= e^{\alpha(h_2 + \mu_2)}, \end{aligned}$$
(16)

Now, applying the results of [7] (Case 1) yields the following result.

Theorem 1 (Exponential stability): Given a gain matrix K, the system (6) is α -stable for all the samplings satisfying A1, if there exist $n \times n$ matrices $0 < P_1, P_2, P_3, S_k, U$, $Y_{k1}, Y_{k2}, Z_{k1}, Z_{k2}, Z_{k3}, R_k$ and $R_{ka}, k = 1, 2$ that satisfy (8) and

where Y_i, Z_i are given by (9) and

$$\begin{split} \Psi_{2} &= \quad \bar{\Psi}_{ne} + \begin{bmatrix} 0 & 0 \\ 0 & \sum_{k=1}^{2} 2\mu_{k}R_{ka} \end{bmatrix}, \\ \bar{\Psi}_{ne} &= \quad P^{T} \begin{bmatrix} 0 & I \\ A_{0} + \alpha I & -I \end{bmatrix} + \begin{bmatrix} 0 & I \\ A_{0} + \alpha I & -I \end{bmatrix}^{T} P \\ &+ \sum_{k=1}^{2} \left(\begin{bmatrix} Y_{k} \\ 0 \end{bmatrix} + \begin{bmatrix} Y_{k} \\ 0 \end{bmatrix}^{T} \right) + \sum_{k=1}^{2} (h_{k} + \mu_{k})Z_{k} \\ &+ \begin{bmatrix} \sum_{k=1}^{2} S_{k} & 0 \\ 0 & \sum_{k=1}^{2} (h_{k} + \mu_{k})R_{k} + U \end{bmatrix}, \end{split}$$
(18)

V. EXPONENTIAL STABILIZATION OF NEUTRAL SYSTEMS

Theorem 2 (Exponential stabilization): The control law (5) exponentially stabilizes system (3) if, for some positive numbers α and ε , there exists a positive definite matrix \bar{P}_1 , matrices of size $n \times n \ \bar{P}, \ \bar{U}, \ \bar{Z}_{k1}, \ \bar{Z}_{k2}, \ \bar{Z}_{k3}, \ \bar{Y}_{k1}, \ \bar{Y}_{k2}$ from

definition (9) and a $n \times m$ matrix W, such that the following LMI conditions hold for i, j = 1, 2:

â

where

$$\begin{array}{rcl} \bar{\Psi}_{311} = & (A_0 + \alpha I) \bar{P} + \bar{P}^T (A_0 + \alpha I)^T \\ & + \sum_{k=1}^2 (\bar{S}_k + (h_k + \mu_k) \bar{Z}_{k1} + \\ \bar{\Psi}_{312} = & \bar{P}_1 - \bar{P} + \epsilon \bar{P}^T (A_0 + \alpha I)^T \\ & + \sum_{k=1}^2 (((h_k + \mu_k) \bar{Z}_{k2} + \bar{Y}_{k2}) \\ \bar{\Psi}_{322} = & -\epsilon (\bar{P} + \bar{P}^T) \end{array}$$

The corresponding α -stabilizing state-feedback gain is given by:

$$K = W\bar{P}^{-1}.$$
 (21)

(20)

Proof: Following [23], we apply Theorem 1 with: $P_3 = \varepsilon P_2$, where $\varepsilon \in \mathscr{R}$ is a tuning scalar parameter. Note that P_2 is nonsingular since the only matrix which can be negative definite in the second block on the diagonal of (19) is $-\varepsilon(P_2+P_2^T)$. Defining:

$$\bar{P} = P_2^{-1} \tag{22}$$

For all the matrical variables $V \in [P_1 \ Y_{ij} \ S_i \ U \ R_i \ R_{ia}$ Z_{ik} for all i = 1, 2, j = 1, 2, k = 1, 2, 3 the new variable \bar{V} is defined by $\bar{P}^T V \bar{P}$. and $W = K \bar{P}$, multiplying (19) by $diag\{\bar{P}, \bar{P}, \bar{P}, \bar{P}, \bar{P}, \bar{P}, \bar{P}\}$, and its transpose, from the right and the left, respectively, and multiplying (8) by $diag\{\bar{P},\bar{P},\bar{P}\}\$ and its transpose, from the right and the left, achieves the proof.

Remark 1: In the case $\alpha = 0$, Theorem 1 assures that the state-feedback gain K asymptotically stabilizes system (3).

VI. EXAMPLE 1

Consider the following example, taken from [17]. We address the problem of finding an exponentially stabilizing control for system (3) with the values:

$$A_{0} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \quad A_{1} = \begin{bmatrix} -1 & 0 \\ -1 & -0.9 \end{bmatrix}, \quad (23)$$
$$F = \begin{bmatrix} 0.1 & 0 \\ 0 & 0.1 \end{bmatrix}, \quad B = \begin{bmatrix} 0 \\ 1 \end{bmatrix}.$$

Solving the LMIs of Theorem 2 for $h_1 = 0.5$, $\mu_1 = 0.2$, $h_2 = 0.6$, and $\varepsilon = 4.2$ leads to the state-feedback gain K =[0.7670, -0.2241] which asymptotically stabilizes ($\alpha = 0$) the system up to $\mu_2 = 0.21$.

Concerning the α -stabilization, for $\alpha = 1.19$, $h_1 =$ $h_2 = 0.16, \ \mu_1 = \mu_2 = 0.09$ and $\varepsilon = 2.3$, the computed state-feedback gain $K = \begin{bmatrix} 1.0215 & -1.0741 \end{bmatrix}$ exponentially stabilizes the system. This result ensures that the system is exponentially stable with a delayed and nonuniform sampled control. The corresponding simulation results are given on Figure 2.

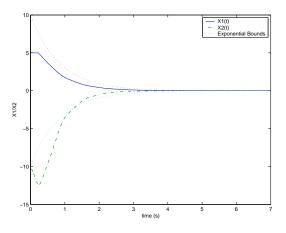


Fig. 2. Simulation of the system for $\alpha = 1.19$, $h_1 = h_2 = 0.16$, $\mu_1 = \mu_2 = 0.16$ 0.09

Note that for larger values $\alpha > 1$, Theorem 2 cannot ensure α -stability.

VII. EXAMPLE 2

The second example, of the neutral type, is not concerned with sampled-data control, but it still uses Theorem 2. Several authors interpreted the wave equation describing the torsional behavior of a flexible rod with a mass as a linear system with delayed terms. A neutral state representation for flexible rod equation is given in [5]:

$$\dot{x}_1(t) = x_2(t), \dot{x}_2(t) = \dot{x}_2(t-2T) - x_2 + x_2(t-2T) + u(t-T),$$
(24)

where T represents the delay and depends on the parameters of the system.

In such a neutral case, the difference operator x(t) – Fx(t-g) must be stable in sense of Shür-Cohn, which corresponds to formal stability [2]. Then, [2] and [5] introduce a stabilizing control of the form :

$$u(t) = -\lambda \dot{x}_2(t-T) + v(t)$$

with $\lambda \in [0, 2[$. In [2] and [5], v(t) was designed on the basis of x(t-T) measurement. Here, one suppose that $\dot{x}_2(t-T)$ is still measured, but that x is measured with some additional time-varying delay μ , i.e. $x(t - T - \mu(t))$. So the following control law is proposed:

$$v(t) = Kx(t - T - \mu(t)),$$
 (25)

where μ is such that $\|\mu(t)\| \le \mu_2$ and *K* is a state feedback gain of appropriate dimension.

Then, flexible rod equations are in the form of (3) with:

$$A_{0} = \begin{bmatrix} 0 & 1 \\ 0 & -1 \end{bmatrix}, \quad A_{1} = \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix},$$

$$F = \begin{bmatrix} 0 & 0 \\ 0 & 1-\lambda \end{bmatrix}, \quad B = \begin{bmatrix} 0 \\ 1 \end{bmatrix}.$$
 (26)

Theorem 2 is adapted to the flexible rod case, with $\lambda = 0.2$, T = 0.1, by taking $\alpha = 1.05$, $\mu_1 = 0$, $\mu_2 = 0.08$ and $\varepsilon = 1.32$. After controlling that $||e^{\alpha h_1}F|| < 1$, the corresponding simulation results are given on Figure 3. They show the expected exponential convergence.

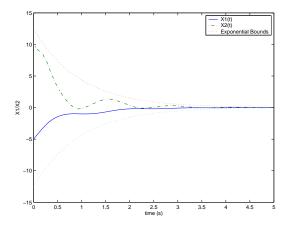


Fig. 3. Simulation of flexible rod with $\alpha = 1.05$, $h_1 = h_2 = 0.1$, $\mu_1 = 0\mu_2 = 0.08$

VIII. CONCLUSION

The obtained results generalize several recent works: [8] and [14] to neutral systems; [7], [8] and [15] to exponential stabilization; [14] to non uniform sampling; [8] to non-small delays; [2] and [5] to delayed measurement..

In order to shorten the presentation, it was only considered one delay τ_1 , one delay g and a constant input delay h_2 . However, the results of this paper can be easily extended to the case of multiple delays $\tau_1, ..., \tau_m, g_1, ..., g_k$ and of a time-varying $h_2(t)$. Another possible extension includes robustness issues.

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