



**Play Behavior in Two Captive Bottlenose Dolphin Calves  
(Tursiops truncatus): Ethogram, Ontogeny, and Individual  
Differences**

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# ARTICLE

## Play Behavior in Two Captive Bottlenose Dolphin Calves (*Tursiops truncatus*): Ethogram, Ontogeny, and Individual Differences

### ABSTRACT

This research focused on different aspects of play behavior including ethogram, ontogeny, and individual differences, in one male and one female captive bottlenose dolphin calves (*Tursiops truncatus*) from November 2003 to June 2004. We described the first peer-reviewed ethogram in bottlenose dolphin calves play behavior. These behaviors were grouped into three hierarchical levels: two categories -solitary and social play (intraspecific and interspecific play)-, three sub-categories -locomotor, object, and bubble play, and 35 play behaviors. This research was conducted in two phases: descriptive and quantitative. During the descriptive phase -from three to five months old-, we described 29 play behaviors. During the quantitative phase -from six to 10 months old - we described six new play behaviors. All social and solitary locomotor behaviors appeared when animals were three months old. Conversely, more complex behaviors concerning with play with objects, bubbles, and in the presence of humans were observed for six months old. There were not statistically significant intra-individual and inter-individual differences in the play behavioral diversity, in the time invested in play and in the Shannon's evenness index. However, we observed statistically significant inter-individual but not intra-individual differences for the Shannon's diversity index. The resulting ethogram offers a practical tool as a field guide or reference for quantitative research, for teaching of bottlenose dolphin behavior, and for facilitating the progress toward development of a complete ethogram in wild or captive bottlenose dolphin

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2  
3 25 and other cetaceans, due to play might be applied as a welfare indicator and a tool to improve  
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5 welfare.  
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10 28 **KEYWORDS**

11  
12 29 animal welfare; bottlenose dolphin; ethogram; individual differences; ontogeny; play  
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3 49 Many terrestrial mammals limit play to a short period of time of their life, whereas aquatic  
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5 50 mammals appear to exhibit play during their whole life (Gewalt, 1989). Play is one of the  
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7 51 most complicated forms of animal behavior and it is difficult to define (Kuczaj & Eskelinen,  
8  
9 52 2014). It is often hard to tell if an interaction may be playful, or agonistic. For instance, play  
10  
11 53 can escalate or transform into an agonistic interaction. On the other hand, sexual interactions  
12  
13 54 can also be playful. In addition, play in dolphins often takes the form of play fighting and  
14  
15 55 therefore includes many behaviors that are also observed during agonistic interactions (Hill,  
16  
17 56 Dietrich, & Cappiello, 2017).

21 57 In recent years, the term “play” has been more accurately defined and the literature  
22  
23 58 explaining wild and captive cetacean play has increased (Paulos, Trone, & Kuczaj, 2010).  
24  
25 59 Martin and Caro (1985) defined and classified play as all locomotor activity performed  
26  
27 60 postnatally that appears to an observer to have no obvious immediate benefits for the player,  
28  
29 61 and in which motor patterns resembling to those used in serious functional contexts may be  
30  
31 62 displayed in modified forms. The motor acts constituting play possess some or all of the  
32  
33 63 following structural features: exaggeration of movements, repetition of motor acts and  
34  
35 64 fragmentation or disordering of sequences of motor acts. Social play refers to play with  
36  
37 65 conspecifics; object play refers to play with inanimate objects; locomotor play refers to  
38  
39 66 apparently spontaneous movements carried out by the individual in its environment and  
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41 67 predatory play refers to play with living or dead prey. In other studies, the definition of play  
42  
43 68 included situations in which dolphin activity is not directed towards satisfying hunger,  
44  
45 69 migration or any other utilitarian need (Bel’kovich, Ivanova, Kazarovitsky, Novikova, &  
46  
47 70 Kharitonov, 1991), motor and cognitive training, socialization, and pleasant activity (Bekoff  
48  
49 71 & Byers, 1981), statements such as play is “fun”, play is the antithesis of “work” or “serious”  
50  
51 72 behavior, play is a generator of novelty, play sequences are “performed repeatedly (they may  
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53 73 also be incomplete or exaggerated as compared to non-playful behavior in adults)”, play is an  
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3 74 indicator of well-being (Burghardt, 2005) and, finally, the idea that play is accompanied by a  
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5 75 particular positive mood state in which the individual is more prone to behave in a  
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8 76 spontaneous and flexible way (Bateson, 2014).  
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10 77 The term ethogram refers to a catalogue of mutually exclusive and objective behaviors  
11  
12 78 or actions exhibited by an animal, which avoids subjectivity and functional inference  
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14 79 concerning their potential purpose. It is a key tool that helps to quantify species-specific  
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16  
17 80 behaviors by describing the discrete, basic motor patterns that form the behavioral repertoire  
18  
19 81 of a given species (Martin & Bateson, 2007). The majority of cetacean ethograms focus on  
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21 82 *Tursiops sp.* (e.g., Mann & Smuts, 1999; von Streit, Ganslosser, & von Fersen, 2011),  
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23  
24 83 including the one that is probably the most comprehensive marine mammal ethogram,  
25  
26 84 developed by Müller, Boutière, Weaver, and Cadelon (1998), built upon previous  
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28 85 observations and describing over 100 behaviors of bottlenose dolphins observed along the  
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31 86 San Diego coastline.  
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33 87 Play behavior ethograms, containing different classifications and play behaviors, have  
34  
35 88 been described for wild and captive bottlenose dolphins. Definitions of motor play include  
36  
37 89 jumps, complex turns, “chasing”, “frontal attacks” and “free-style” (DeLong, 1999). Object  
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39  
40 90 play involves natural objects, such as jellyfish and food fish, as well as man-made objects,  
41  
42 91 such as hoops, sprinklers, and balls (Bel’kovich et al., 1991; Denkinger & von Fersen, 1995).  
43  
44 92 Dolphins can also play with their own toys by manipulating water thus producing bubbles  
45  
46 93 (Tizzi, Castellano, & Pace, 2000; von Streit et al., 2011). Indeed, dolphins produce a variety  
47  
48 94 of bubble formations with which they interact by biting them, swiping at them with flippers or  
49  
50 95 flukes, swimming through them, catching and linking multiple rings as well as maneuvering  
51  
52 96 around bubbles/rings without destroying them (McCowan, Marino, Vance, Walke, & Reiss,  
53  
54 97 2000). Social play refers to intraspecific and interspecific play, which can include humans  
55  
56 98 (Kuczaj, Makecha, Trone, Paulos, & Ramos, 2006; Paulos et al., 2010).  
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3 99 Concerning play ontogeny, although bottlenose dolphins of all ages are known to  
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5 100 participate in play, young dolphins usually engage in play more often than adults (Cappiello,  
6  
7 101 Hill, & Bolton, 2018; Greene, Melillo-Sweeting, & Dudzinski, 2011; Kuczaj et al., 2006;  
8  
9 102 Mann & Smuts, 1999). This age-related difference suggests that play is an important source of  
10  
11 103 training for acquiring adult competences such as a hierarchical position, mate acquisition,  
12  
13 104 hunting and predator avoidance (Bekoff & Byers, 1981; Fagen, 1981). According to different  
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15 105 authors, the study of the ontogeny of play behavior in captive bottlenose dolphins suggests  
16  
17 106 that social play appears two weeks after the birth (Tizzi et al., 2000) but it can start as early as  
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19 107 the first week of life (von Streit, Ganslosser, & von Fersen, 2013); object play becomes  
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21 108 visible only after two months of age (Tizzi et al., 2000), beginning at the 9th-13th week (von  
22  
23 109 Streit et al., 2013); bubble play starts after one month of life (Tizzi et al., 2000) and locomotor  
24  
25 110 play occurs from the 5th-7th week (von Streit et al., 2013). Although calves engage in both  
26  
27 111 social and solitary play throughout their first year, play becomes increasingly social as they  
28  
29 112 age. Calves also become more likely to initiate social play interactions as they mature. A  
30  
31 113 calf's first social play partner is typically its mother, but other calves quickly replace her as  
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33 114 the most common play partner (Mackey, Mackecha, & Kuczaj, 2014). Finally, the self-  
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35 115 government and precision of fluke-made bubble formations appear during the 9th-10th month  
36  
37 116 (Pace, 2000).

38  
39 117 Sex differences in play behaviors have consistently been found, with males usually  
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41 118 exhibiting rough-and-tumble forms of play and play fighting more often than females (Fagen,  
42  
43 119 1981; Pellis & Pellis, 2013). This male bias in social play seems to be mediated by hormone  
44  
45 120 levels, specifically testosterone or other androgens. Locomotor play and object play are  
46  
47 121 subjected to some sex differences, but these differences are not as consistent as those found  
48  
49 122 for social play (Burghardt, 2005). In two groups of dolphins, sex differences were found  
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51 123 concerning the frequency of object play, with captive male Atlantic bottlenose dolphins  
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3 124 exhibiting more object play than their female conspecifics but wild female Atlantic spotted  
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5 125 dolphins (*Stenella frontalis*) exhibiting more object play than their male conspecifics (Greene  
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7 126 et al., 2011). It is unclear if these sex differences were due to sampling protocols or habitat  
8  
9 127 and species differences between each population. On the other hand, some studies on inter-  
10  
11 128 individual differences in captive bottlenose dolphins did not find statistically significant  
12  
13 129 differences between males and females in variables related to play behavior such as the time  
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15 130 spent in play behavior (DeLong, 1999), the mean frequency of fluke-made bubble rings (Pace,  
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17 131 2000), the frequency of object play and social play (von Streit et al., 2013) and the proportion  
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19 132 of enrichment participation (Eskelinen, Winship, & Borger-Turner, 2015).  
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24 133 In this paper, we present an ethogram of play behaviors in two captive dolphin calves  
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26 134 from the Barcelona Zoo, observed from November 2003 to June 2004. Our aim was to  
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28 135 develop an illustrated catalog of play behaviors observed in captivity, succinctly annotated  
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30 136 with verbal description and reference to further published descriptions. Our emphasis was on  
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32 137 simplicity and consolidation of information that would be useful as a practical field, rescue, or  
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34 138 captive centers guide. This guide would also be useful for researchers, animal keepers,  
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36 139 veterinarians, students, and others interested in play behavior or concerned with cetacean  
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38 140 welfare. Play has long been identified as a potential welfare indicator because it often  
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40 141 disappears when animals are under fitness challenge and because it is thought to be  
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42 142 accompanied by a pleasurable emotional experience (Held & Spinka, 2011). We also focused  
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44 143 on the ontogeny of bottlenose dolphin play behavior from third to 10<sup>th</sup> month old.  
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47 144 Furthermore, we were interested in determining if there are intra and inter-individual  
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49 145 differences in the diversity of play behaviors, the time invested in play as well as the  
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51 146 Shannon's diversity index and its Shannon's evenness index from sixth to 10<sup>th</sup> month old.  
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## 59 148 **Materials and methods**

### 149 *Animals and housing*

150 This study focused on two bottlenose dolphin (*T. truncatus*) calves born at the Barcelona Zoo.  
151 During the observations, these two calves shared the exhibit with their respective mothers and  
152 an additional adult female (Table 1).

153 The exhibit was a cylindrical pool (6m depth, 22 m diameter) with a 9.119 m<sup>3</sup> capacity  
154 of seawater. The pool had five underwater observation windows through which the visitors  
155 and the researchers could observe these dolphins. The pool was filled with sea water pumped  
156 in from the sea and it was maintained at 13°C, pH8 and a salinity of 26-28 g. liter<sup>-1</sup> (for more  
157 details, see Soriano, Tarascó, Vinyoles & Maté, 2015).

### 159 *Captive management*

160 The daily diet of adult dolphins consisted in 10-12 kg of frozen fish and vitamins distributed  
161 among five meals. The proportion of frozen fish was 30% mackerel, 50% sardine and 10 %  
162 capelin combined with sprat. Adult dolphins were fed at 11:00 a.m., 1:30 p.m., 2:00 p.m.,  
163 4:00 p.m. and 8:00 p.m. Calves were reared by their mothers. Every day at 1:00 p.m., the  
164 three adult female dolphins were trained to perform a show for the zoo visitors.

### 166 *Data collection*

167 This study focused on all aspects of the early development of play in bottlenose dolphin  
168 calves. The main aim of the descriptive phase (DP) was the description of play behaviors and  
169 its ontogeny in an observed or unobserved methodology. This phase was conducted between  
170 the third and the fifth month of life of the calves, which occurred from November 2003 to  
171 January 2004. Unfortunately, we did not observe the calves' play behavior during the first and  
172 the second months of life because they were in a breeding pool without underwater viewing.  
173 This circumstance did not allow us to observe play behavior details to describe it accurately.



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3 174 Multifocal, all occurrences, sampling was conducted during 64 sessions lasting for 30-min,  
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5 175 per subject and month (Altmann, 1974). The variables recorded during this phase were (a) the  
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8 176 subject studied (male and female calf), (b) the daily period: morning (9 a.m. to 1 p.m.), midday  
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10 177 (1 p.m. to 3 p.m.) and afternoon (3 p.m. to 5 p.m.), which were balanced to obtain the daily  
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12 178 play pattern, and c) the description of play behaviors including their definitions, illustrations  
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14  
15 179 and classifications.

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17 180 The aim of the quantitative phase (QP) was to complete the ethogram with the  
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19 181 description of new play behaviors which were not described during the DP and to quantify the  
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21 182 play behaviors in order to determine the ontogeny and intra and inter-individual differences.  
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24 183 This phase was comprised between the sixth and the 10<sup>th</sup> month, from February to June 2004.  
25  
26 184 Focal, all occurrences, sampling was conducted during 64 sessions lasting for 30-min per  
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28 185 subject in February, 72 sessions in March, 76 sessions in April, and 80 sessions in both May  
29  
30 186 and June in relation to seasonal variation of Barcelona Zoo opening hours. The variables  
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32  
33 187 recorded during this phase were (a) the subject studied (male and female calf), (b) the daily  
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35 188 period: morning (9 a.m. to 1 p.m.), midday (1 p.m. to 3 p.m.) and afternoon (3 p.m. to 5 p.m.)  
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37 189 in February; 4 p.m. to 6 p.m. in March; 4 p.m. to 6:30 p.m. in April; ; 4 p.m. to 7 p.m. in May  
38  
39 190 and June), which were balanced to obtain the daily play pattern, c) the description of new play  
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41 191 behaviors including their definitions, illustrations and classifications, and d) the duration (sec)  
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44 192 of play behaviors, defined as the amount of time the calves engaged in play behaviors. Inter-  
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46 193 observer reliability tests between two independent observers were calculated with the  
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48 194 concordance index once a week and it ranged from 0.99 to 1.0 (Martin & Bateson, 2007).

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### 196 ***Ethogram description***

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56 197 During the original observations, the play behaviors of this ethogram were observed in playful  
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58 198 contexts although some of them could also be observed in other contexts. We took into  
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3 199 account all five criteria developed by P.K. Smith (1997) in order to distinguish play behaviors  
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5 200 from other kinds of behaviors: (1) a resource, such as an object, leaf or stone was not gained  
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7 201 or protected; (2) there were no combat-induced injuries; (3) there were frequent role reversals  
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9 202 between a pair, with partners alternating between the attacker and the defender roles; (4) even  
10  
11 203 if chasing ensued contact, further affiliation was likely; and (5) presence of play signals as  
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13 204 exaggerated, reordered, incomplete, brief, varied in sequence, and inhibited forms of the  
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15 205 typical behavior. Sexual aggression was never observed among these calves.

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19 206 The hierarchical classification of this ethogram in three levels: category, subcategory,  
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21 207 and behaviors followed those used in the unpublished thesis in mangabeys (*Cercocebus atys*  
22  
23 208 *lunulatus*) (Maté, 1999). This play behavior ethogram was defined to be mutually exclusive,  
24  
25 209 concise, and complete. The definition, illustration, and classification of all play behavioral  
26  
27 210 behaviors used a structural criterion by referring to the posture and movements of the calves  
28  
29 211 (Lehner, 1998). Body part composition used during play consisted on rostrum, head,  
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31 212 blowhole, pectoral fin, side, ventral part, dorsal part, peduncle, and fluke (Delfour, Faulkner,  
32  
33 213 & Carter, 2017; Müller et al., 1998). To describe this ethogram, we used three sources of  
34  
35 214 information: review of existing cetacean behavior literature (English language) in wild and  
36  
37 215 captive conditions, our original observations during the DP and QP phases and collaborative  
38  
39 216 anonymous reviewers.

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44 217 The ethogram presented here was amended and compiled into table format followed  
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46 218 those used in published ethograms for equids. It includes: an English name for each play  
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48 219 behavior (generally the most commonly used English term in the modern literature, and not  
49  
50 220 necessarily the first name used in the literature), a line drawing depiction of the play behavior,  
51  
52 221 a text description, examples of other terminology used, and the cetacean species in which we  
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54 222 had found descriptions of similar behavior among cetaceans. Finally, for some categories or  
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56 223 sub-categories, we included comments clarifying certain aspects of the behavior. Behaviors  
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224 were listed in chronological order of appearance starting by solitary and continuing by social  
225 behaviors (McDonnell & Haviland, 1995; McDonnell & Poulin, 2002).

226

### 227 ***Statistical analysis***

228 The two following indexes were calculated for each calf separately by using the duration of  
229 play behaviors recorded during the QP.

230 In order to analyze the heterogeneity of play behavior we calculated the *Shannon's*  
231 *diversity index* (H) (Shannon & Weaver, 1949). The formula is

232

$$233 H = \sum p_i \log_2(1/p_i),$$

234

235 Where  $p_i$  is the proportion of time spent on the  $i_{th}$  play behavior. The value of the  
236 Shannon's diversity index partly depends on the number of behaviors in the sample and partly  
237 on the equality of the distribution of time among behaviors. Larger H values indicate higher  
238 behavioral diversity.

239 For the analysis of the degree of homogeneity of play we calculated the *Shannon's*  
240 *evenness index* (E) which analyzes how equal is the time invested in the different play  
241 behaviors by the calves. The formula is

242

$$243 E = H_{max} - H / H_{max}$$

244 Where H is the Shannon's diversity index,  $H_{max}$  is the maximum heterogeneity  
245 calculated through  $H_{max} = \ln(N)$  (N is the number of play behaviors where all of them have  
246 different duration). The evenness index is constrained between 0 and 1. The values approach

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3 247 1 indicate higher behavioral evenness where all play behavioral categories have the same  
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5 248 duration (Begon, Townsend, & Harper, 2006; Maté, 1999).

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8 249 All QP data analyses were performed with *SPSS* 25.0 for Windows (Statistical  
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10 250 Package for Social Sciences, manufactured by *SPSS* Inc., Chicago, IL 60606, USA). A  $p =$   
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12 251 0.05 rejection criterion was applied to all tests. The Lilliefors test verified the normal  
13  
14 252 distribution of the results for each variable. The repeated measures ANOVA determined  
15  
16 253 whether there were intra- and inter-individual significant differences per month for the  
17  
18 254 following variables: (1) the percentage of the diversity of play (calculated by dividing the  
19  
20 255 number of different play behaviors observed by the total number of play behaviors described  
21  
22 256 in the ethogram); (2) the percentage of the time invested in play behavior (calculated by  
23  
24 257 dividing the time invested in play by the rest of the time invested in non-play behavior); (3)  
25  
26 258 the Shannon's diversity index (H); and (4) the Shannon's evenness index (E) (Lehner, 1998).  
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## 32 33 260 **Results**

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36 261 This is the first peer-reviewed play ethogram in captive bottlenose dolphin calves which  
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38 262 illustrates, defines, and classifies play into three hierarchical levels: categories, sub-  
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40 263 categories, and behaviors. The defined play behaviors were recorded under broader categories  
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42 264 and sub-categories of play behavior which allowed functional inference.

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44  
45 265 Figure 1 and Table 2 represent our resulting play ethogram in bottlenose dolphin  
46  
47 266 calves. The ethogram was catalogued in 35 behaviors which were illustrated, defined, and  
48  
49 267 classified. We distinguished the play between two categories: solitary and social play. Solitary  
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51 268 play category with 17 behaviors was divided into three subcategories: locomotor play (six  
52  
53 269 behaviors), object play (seven behaviors), and bubble play (four behaviors). Social play  
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55 270 category with 18 behaviors was subdivided into two types and four subcategories: 1)  
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57 271 intraspecific play –including locomotor play (comprising eight behaviors), play with object  
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3 272 (two behaviors), and play with bubbles (four behaviors) and 2) interspecific play – in the  
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5 273 presence of humans- (four behaviors). Twenty-nine state play behaviors and six complex  
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7 274 sequences of behaviors (*solitary and social follow of bubbles, push of bubbles, and burst of*  
8  
9 275 *bubbles*) were catalogued. These sequenced behaviors always started with the behavior *make*  
10  
11 276 *of bubbles*.

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15 277 Most of the behaviors catalogued had been found in the English literature reviewed.  
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17 278 The references to literature were chosen as readily available sources of further information.  
18  
19 279 We did not attempt to provide an exhaustive inventory of all citations nor did we attempt to  
20  
21 280 find earliest description of the behavior.

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23  
24 281 In terms related to ontogeny, thirty-two out of the 35 play behaviors were described  
25  
26 282 during the DP and the remaining seven during the QP. The behaviors observed in both phases  
27  
28 283 for both calves and in each month were: *swim, solitary jump, self-rub, exploration, push and*  
29  
30 284 *pull, solitary throw and catch, solitary follow of bubbles, parallel swim, pursuit, and*  
31  
32 285 *locomotion at the window*. All solitary and social locomotor sub-categories, as well as social  
33  
34 286 bubble play sub-category, were described during the DP. *Social make of bubbles and social*  
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36 287 *push of bubbles* were only observed during the DP but not during the QP for both calves.  
37  
38 288 However, there were six behaviors observed in both subjects during the QP not previously  
39  
40 289 seen: *throw, immersion, solitary make of bubbles, chase, bubbles at the window, and object at*  
41  
42 290 *the window. Solitary sexual play was only observed for the male in both phases. During the*  
43  
44 291 *QP, tongue play, rub, and propulsion* were only recorded for the male and *social throw and*  
45  
46 292 *catch* were only recorded for the female. *Bubbles at the window* and *object at the window*  
47  
48 293 were the two latest play behaviors which appeared at the eighth and ninth month for the  
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50 294 female and the male, respectively (see Fig. 2 and Table 3).

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54 295 There were no intra-individual significant differences in the percentage of play  
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56 296 behavioral diversity (ANOVA repeated measures,  $F = 1.96$ ,  $df = 4$ ,  $P = 0.39$ ), the percentage  
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297 of time invested in play ( $F = 1.15$ ,  $df = 4$ ,  $P = 0.48$ ), the Shannon's diversity index ( $F = 5.83$ ,  
298  $df = 4$ ,  $P = 0.25$ ), and the Shannon's evenness index ( $F = 5.85$ ,  $df = 4$ ,  $P = 0.25$ ) from sixth to  
299 10<sup>th</sup> month old (Table 4).

300 There were inter-individual significant differences in the Shannon's diversity index ( $F$   
301  $= 2296.62$ ,  $df = 1$ ,  $P = 0.01$ ) but not in the percentage of play behavioral diversity ( $F =$   
302  $178.38$ ,  $df = 1$ ,  $P = 0.05$ ), the percentage of time invested in play ( $F = 62.87$ ,  $df = 1$ ,  $P = 0.08$ )  
303 and the Shannon's evenness index ( $F = 33.91$ ,  $df = 1$ ,  $P = 0.11$ ) from sixth to 10<sup>th</sup> month old  
304 (Table 4).

305

## 306 Discussion

### 307 Ethogram

308 This peer-reviewed play ethogram was the first in cataloguing play behavior in three  
309 hierarchical levels (categories, sub-categories, and behaviors) and illustrating in such a  
310 detailed manner all the play behaviors in bottlenose dolphin calves. Included in our final list  
311 of bottlenose dolphin calves play behaviors were what appeared in our original observations,  
312 in reports from the literature and the anonymous reviews to be the most common play  
313 behaviors of bottlenose dolphin calves. Some of our decisions concerning inclusion or  
314 exclusion from the ethogram may be questionable. Certainly, there could easily have been  
315 more play behaviors, further delineating specific elements within type of object, bubble, or  
316 humans. For example, objects such as leaves, buoy, stones could have been presented as  
317 several separate play behaviors, i.e. leaves circle, ball transport, buoy immersion. This  
318 distinction could be useful in future studies related to solitary or social object play or  
319 environmental enrichment evaluation (Delfour et al., 2017; Eskelinen et al., 2015). Another  
320 example would be in different bubble types. Bubble types such as ring, circle and column

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3 321 could in other works be considered distinct play behaviors, especially in future studies related  
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5 322 to the occurrence and function of bubble production (Moreno and Macgregor, 2004). Human  
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7 323 types have been also considered distinct play behaviors, i.e. contact at the window in presence  
8  
9 324 of researches, object at the window in presence of zoo visitors. This differentiation could be  
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11 325 useful in future studies about visitors' effect on the cetacean welfare (Sherwen & Hemsworth,  
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13 326 2019).

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17 327 Similarly, decisions were not always clear as to whether particular behaviors  
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19 328 associated with play should be considered as elements of play. A related difficulty was  
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21 329 deciding whether or not certain behaviors had a play form or were always serious behavior in  
22  
23 330 young bottlenose dolphins. For example, some authors have considered ram and propulsion as  
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25 331 play behavior (Denkinger & von Fersen, 1995). It is difficult to know whether or not these  
26  
27 332 behaviors serve a serious social locomotor purpose as in adults or represents play forms of the  
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29 333 behaviors. In this case, dolphin calves seem to mimic ram or propulsion behavior of adults in  
30  
31 334 a playful manner. Solitary and social sexual play was often difficult to distinguish from sexual  
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33 335 serious behavior but in this case, we consider it as play due to the sexual immaturity of the  
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35 336 calves.

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40 337 Previous literature which described ethograms in bottlenose dolphins focused on social  
41  
42 338 play and play with objects (including bubbles), but did not mention the types of play  
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44 339 involving social partners (intraspecific or interspecific) and objects (solitary or social) as we  
45  
46 340 did in this study (Denkinger & von Fersen, 1995; Tizzi et al., 2000). The first level of the  
47  
48 341 ethogram classification included solitary and social play. Solitary play is important and  
49  
50 342 necessary because it helps dolphins to learn about their environment and to improve object-  
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52 343 oriented skills before they develop the capacity of engaging in social play (Cappiello et al.,  
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54 344 2018). Moreover, solitary play allows individuals to gain higher control over their  
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56 345 movements, by allowing them to practice the orientation of their bodies in relation to different  
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3 346 objects and surfaces. These skills can then be translated into a social context under which  
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5 347 individuals need to properly orient themselves in relation to their conspecifics during play  
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8 348 (Pellis & Pellis, 2007). Solitary play behaviors in captive bottlenose dolphin calves have not  
9  
10 349 been described before as much detail as in this study. Social play was also very important to  
11  
12 350 bottlenose dolphin calves' development, including learning how to interact appropriately with  
13  
14 351 the members of the group (e.g., hierarchical position, inter-individual recognition,  
15  
16 352 cooperation), preparing themselves for adult activities (e.g., hunting, predator avoidance, and  
17  
18 353 mate acquisition), and developing cultural competence (particularly through interaction with  
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20  
21 354 peers) (Mackey et al., 2014). In our ethogram, solitary locomotor play included less behaviors  
22  
23 355 than solitary locomotor play as opposed to solitary object play which included more  
24  
25 356 behaviors than their social versions. In the case of bubble play in both social and solitary sub-  
26  
27 357 categories, the number of play behaviors were the same.

30 358 After determining if play was solitary or social, our ethogram allowed to catalogue  
31  
32 359 different types of social play by answering the question: *with whom the dolphin is playing?*  
33  
34 360 This level tried to distinguish between subjects of the same species –intraspecific- and  
35  
36 361 different species –interspecific-human. This classification has not yet been observed in the  
37  
38 362 literature related to bottlenose dolphin play. In captive bottlenose dolphins, intraspecific  
39  
40 363 social play can occur as early as the first week of life. These interactions primarily occur  
41  
42 364 between offspring and their mothers over the first two months (Kuczaj et al., 2006; Mackey et  
43  
44 365 al., 2014). By learning a variety of behaviors through the observation of their mothers'  
45  
46 366 activities, as well as by interacting with other calves, calves begin to show preferences for  
47  
48 367 same-age peers rather than their mothers during play as they mature (Kuczaj & Eskelinen,  
49  
50 368 2014). Interspecific social play focused on calves' play behaviors in presence of humans  
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52 369 independently if humans interacted or not with dolphins because it is known that e.g. zoo  
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3 370 visitors or staff have a neutral, positive, or negative effect on dolphin behavior (Trone,  
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5 371 Kuczaj, & Solangi, 2005).

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8 372 Once we established the distinction between solitary and social play categories on the  
9  
10 373 one hand, and interspecific and intraspecific on the other, has been done, our ethogram  
11  
12 374 allowed to catalogue different types of solitary and social play by answering to the question:  
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14 375 *with what the dolphin is playing?* This level included locomotor play, object play and bubble  
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16 376 play subcategories. This classification was not yet observed in any publication about  
17  
18 377 bottlenose dolphin play. Locomotor play has been observed in species that show no other  
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20 378 form of play, and locomotor-rotational play (body movements while the animal is otherwise  
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22 379 stationary) is often the first play behavior exhibited by young animals (Burghardt, 2005). An  
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24 380 example of this, found in the current study, was tongue play by calves which was observed  
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26 381 more during the DP than the QP. Dolphins play with, or manipulate, animate or inanimate,  
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28 382 organic, or inorganic objects of their environment, such as seaweed, non-prey animals and  
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30 383 man-made objects. Although most dolphin individuals play with objects they can find,  
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32 384 capture, or are provided with, dolphins in managed care can create their own objects with  
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34 385 which to play, that is, bubbles (Hill et al., 2017). The capacity of making bubbles is almost  
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36 386 exclusive to aquatic animals and it requires creativity, communication, and cultural  
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38 387 transmission (e.g., Fertl & Wilson, 1997; Marten, Shariff, Psarakos, & White, 1996; Pace,  
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40 388 2000). Thus, we considered an important issue to differentiate between (1) non-self-produced  
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42 389 objects (a) natural: leaves or stones and (b) man-made ones: balls, buoy, or plastic hoops and  
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44 390 (2) the self-made object “bubbles”. This differentiation allows us to test for the preference of  
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46 391 these calves for natural or man-made objects (Greene et al., 2011). This last classification  
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48 392 could be very useful in environmental enrichment studies, especially in studies related to  
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50 393 object manipulation and its welfare implications in an effort to increase behavioral  
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394 opportunities to benefit the inhabitants of captive centers (e.g., Capiello et al., 2018; Delfour  
395 & Beyer, 2011; Delfour [et al., 2017](#); Neto, Silveira, & dos Santos, 2016).

396 The use of a play ethogram, such as the one described in this study, could provide a  
397 valuable tool for the emerging field of conversation biology, which uses proximate and  
398 ultimate aspects of play behavior to aid in conservation decision-making and in reducing the  
399 loss of biodiversity (Buchholz, 2007).

400

### 401 ***Ontogeny***

402 Hill et al. (2017) reviewed cetacean play under different developmental theories and  
403 perspectives. Most models of play development converge at the onset of play, anticipating  
404 that an animal's earliest play will take the simplest form: locomotor solitary play. Object and  
405 bubble play in dolphins developed as they matured whereas locomotor play was already  
406 present from birth as is the case for the results found in this study (Tizzi et al., 2000, Kuczaj et  
407 al., 2006). In our study, all social and solitary locomotor behaviors had already been described  
408 when both calves were three months old. During early development, one of the most  
409 important kinds of play behavior is locomotor play because it helps developing the  
410 sensorimotor skills (e.g., pursuit, swim, jump or rub) which are necessary to survive along  
411 this period (Mann & Smuts, 1999).

412 Conversely, some behaviors described in this study concerning play with objects –  
413 *chase, throw, and immersion-*, [in the presence of](#) humans –*bubbles at the window and object*  
414 *at the window-* and bubbles –[solitary make](#) of bubbles- were only observed during the QP.  
415 These behaviors are more complex than locomotor play and may require higher cognitive  
416 processes (e.g., self-monitoring, spatial positioning, [and](#) blowing bubbles) which are acquired  
417 as individuals mature (Kuczaj & Makecha, 2008; Spinka, Newberry, & Bekoff, 2001). Kuczaj  
418 et al. (2006) observed that spontaneous calf object play became more complex with increasing

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3 419 age and Tizzi et al. (2000) found that object and bubble play developed in each animal  
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5 420 individually as they mature, whereas social play seems to be present from birth coinciding  
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8 421 with the results of our study.

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10 422 In our case, only the male calf was observed engaging in socio-sexual bouts, in line  
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12 423 with previous results showing that male bottlenose dolphins are the most involved in these  
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15 424 kinds of behaviors (Connor, 2000).

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### 20 426 *Intra and inter-individual differences*

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23 427 During the QP, there were not statistically significant intra-individual differences between  
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25 428 calves concerning the diversity of play, the time invested in play, the Shannon's diversity  
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27 429 index, and the Shannon's evenness index.

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30 430 During the QP, there were inter-individual differences between calves concerning the  
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32 431 Shannon's diversity index but not in the time invested in play, the diversity of play, and the  
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34 432 Shannon's evenness index. The female Shannon's diversity index was statistically  
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36 433 significantly higher than in the male while the time invested in play, diversity of play, and  
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38 434 Shannon's evenness index in both calves did not show differences from sixth to 10<sup>th</sup> month  
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40 435 old. More studies about sexual differences are necessary because in this case there was only  
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42 436 two subjects, so it is unclear if these findings were robust and hard to interpret in a broader  
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44 437 sense. There were sexual differences in captive spotted dolphins, where males exhibited more  
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46 438 object play than females (Greene et al., 2011) as well as in beluga males (*Delphinapterus*  
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48 439 *leucas*) that preferred to engage in motor play while females preferred to engage in object  
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50 440 play (Hill & Ramirez, 2014). Coinciding with the most of the results of our study, there were  
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52 441 not inter-individual differences in some studies by Pace (2000), who revealed the absence of  
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54 442 differences in fluke-made bubble rings between two calves, von Streit et al. (2013) who did  
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56 443 not find significant differences in the amount of object play between two calves, and

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3 444 Eskelinen et al., (2015) who did not observe differences in environmental enrichment  
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5 445 participation between male and female calves. This absence of statistically significant inter-  
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7 446 individual differences may be explained by the different forms of transmission mechanisms  
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9 447 (trial and error, stimulus enhancement, exposure, contagion, imitation, demonstration,  
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11 448 scaffolding, and teaching) at work for these dolphins. Play behaviors in this study could have  
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13 449 been transmitted between mothers and calves (vertical transmission), between calves  
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15 450 (horizontal transmission), and between older and younger dolphins (oblique transmission)  
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17 451 (Hill et al., 2017). Among dolphins, comparable imitative tendencies are commonly seen in  
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19 452 their games, or in their attraction towards an object being manipulated by another dolphin  
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21 453 (Kuczaj & Yeater, 2006). In this study, *chase* and *solitary make of bubbles* appeared first for  
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23 454 the female and later for the male. It is possible that the female calf or some other member of  
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25 455 the group invented or mimed a novel play pattern never described before and the male  
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27 456 imitated that play pattern during his display.

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33 457 Finally, this study on the context of play was achieved in a single facility with two  
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35 458 dolphins and limited by the small sample size but seems to reflect the similarity of play  
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37 459 behaviors of the young dolphins. Other studies should be conducted with the same play  
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39 460 ethogram in other facilities to compare the obtained results. Another limit of this study is a  
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41 461 lack of data quantification from the birth to five months old. Moreover, no observations were  
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43 462 conducted during the sessions nor at night. Further studies would benefit to focus on play but,  
44  
45 463 for the welfare issue, a more inclusive studies would be needed to use this play ethogram to  
46  
47 464 build a potential welfare indicator (Held & Spinka, 2011).

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10 471

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681 **FIGURE LEGEND**

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683 **Fig. 1.** Classification of play behavior in two bottlenose dolphin calves

684 **Fig. 2.** Occurrence of play behavior categories from 6<sup>th</sup> to 10<sup>th</sup> months old. (a) Female and (b)

685 Male

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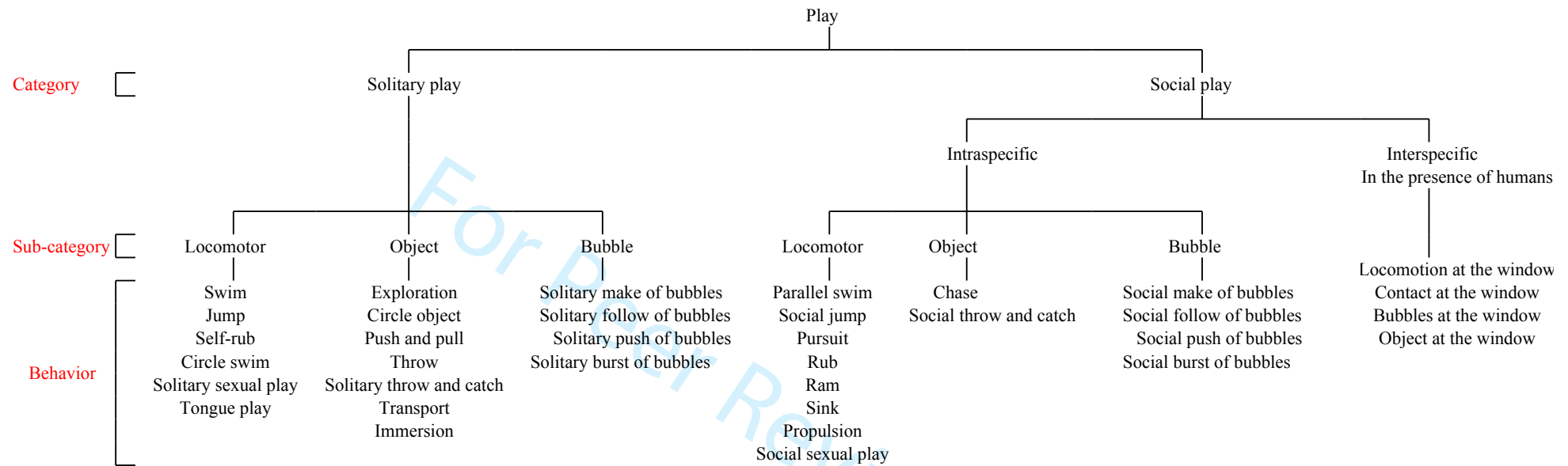
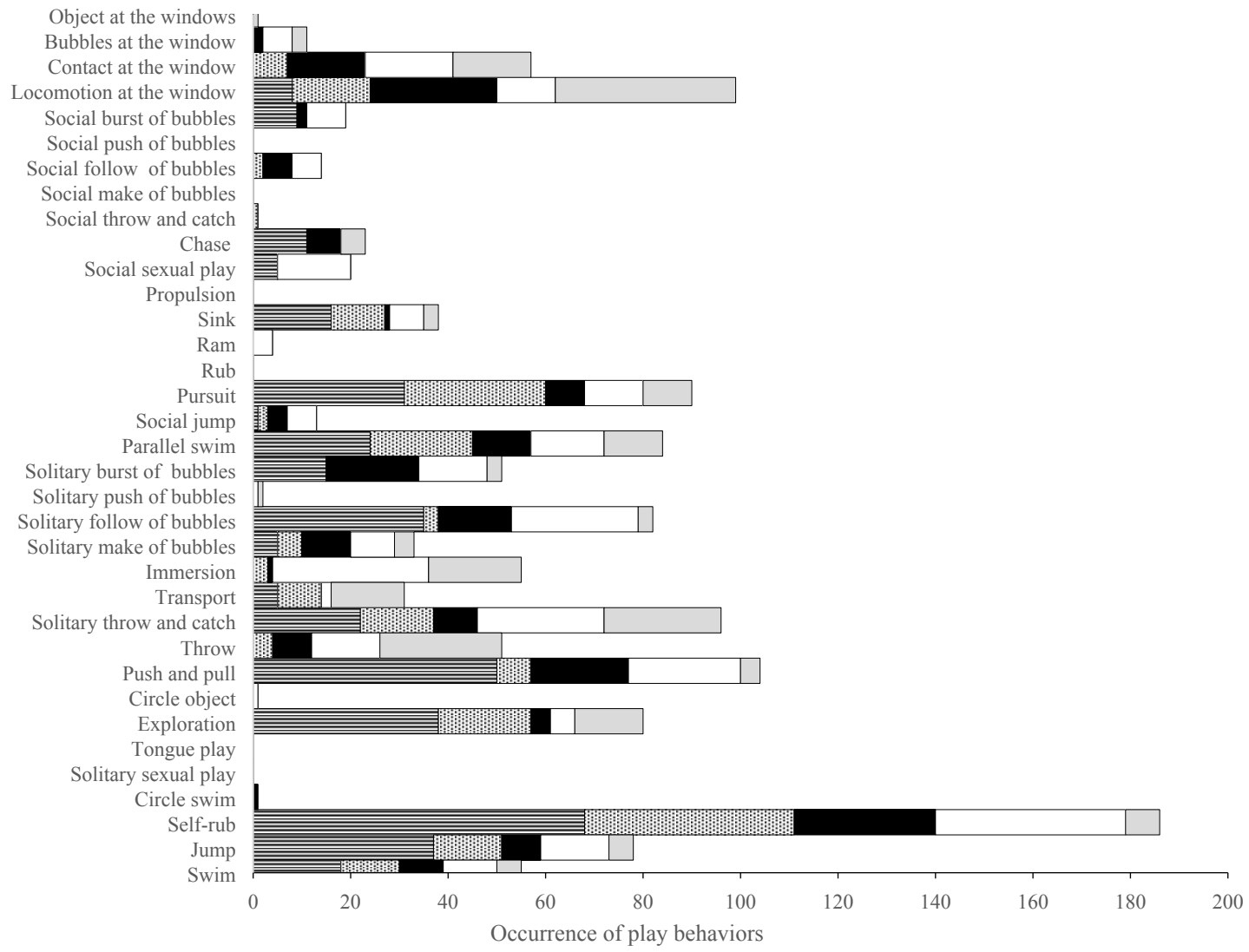


Fig. 1. Classification of play behavior for two bottlenose dolphin calves

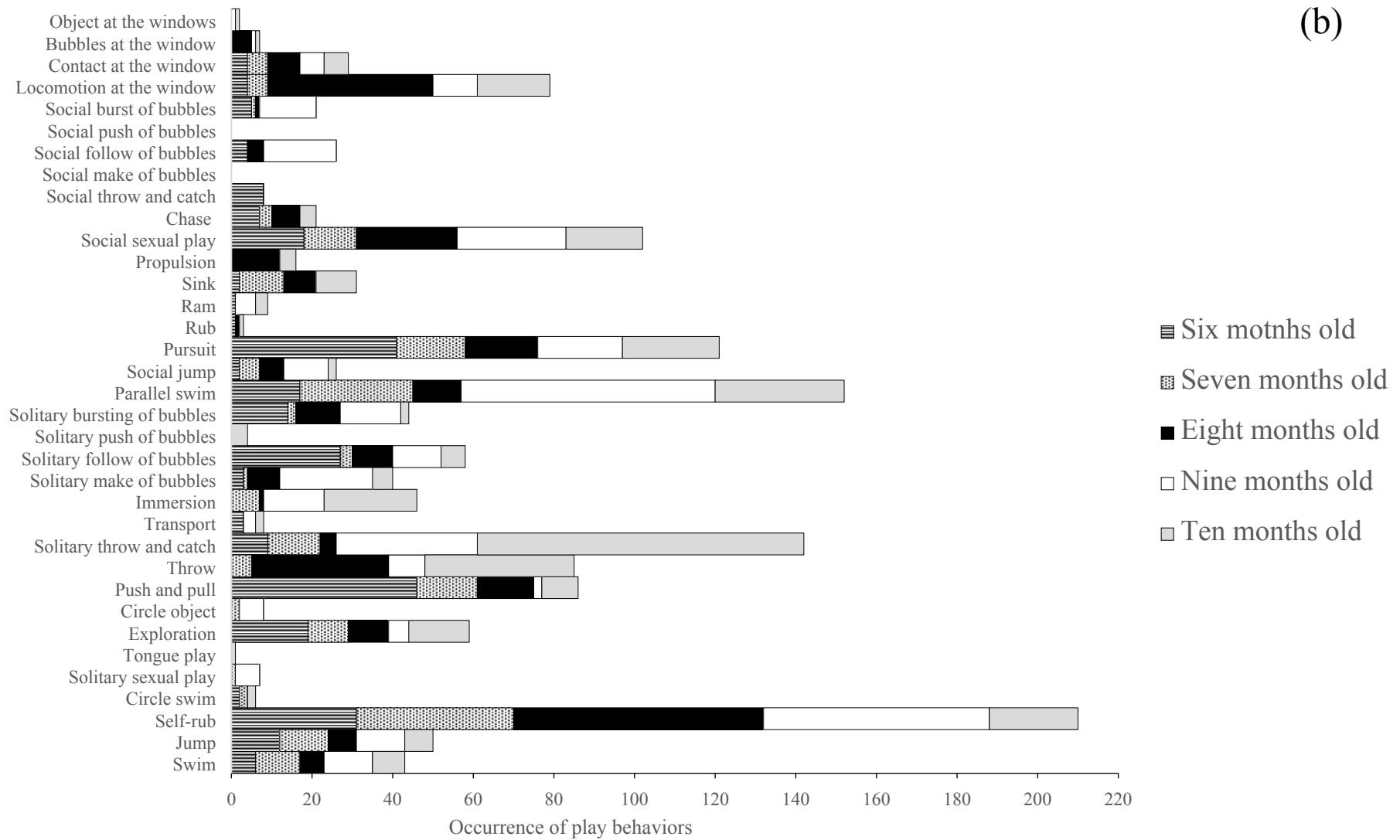
• (a)



- Six months old
- Seven months old
- Eight months old
- Nine months old
- Ten months old



(b)



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**Fig. 2.** Occurrence of play behavior categories from 6<sup>th</sup> to 10<sup>th</sup> months old. (a) Female and (b) Male.

For Peer Review Only

**Table 1.** Demographic information about the bottlenose dolphins.

Name	Sex	Age	Date of birth	Place of birth	Relationship
Neo	Male	Calf	24 August 2003	Born at Barcelona Zoo	Nereida's son
Leia	Female	Calf	25 August 2003	Born at Barcelona Zoo	Anak's daughter
Nereida	Female	Adult	Approx. 1980	Born in the wild	Neo's mother
Anak	Female	Adult	Approx. 1986	Born in the wild	Leia's mother
Nika	Female	Senior	Approx. 1964	Born in the wild	Unknown

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**Table 2.** Play ethogram for both dolphin calves.

1. Solitary play: no other individuals are actively interacting with the focal individual (Cappiello et al., 2018, p. 456).

1.1. Solitary locomotor play: consist on spontaneous movements carried out by the individual in its environment. It is also named motor play in bottlenose dolphins (Kuczaj et al., 2006).

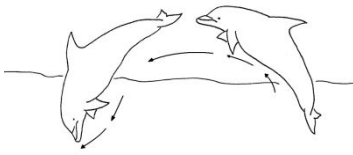
**Swim**

A dolphin zigzags with disordered sequences of motor acts around the pool.

Other names: serious travel (Baker, O'Brien, McHugh, & Berrow, 2017, p. 599; Miles and Herzing, 2003, p. 366), corkscrew swim (Müller et al., 1998, p. 98), and serious swim around, steady swim and speed swim (von Streit et al., 2011, p. 196).

Comments: in the literature, this play behavior has not been catalogued as play.  
Species: bottlenose dolphin (Baker et al., 2017, p. 599; Müller et al., 1998, p. 98; von Streit et al., 2011, p. 196) and Atlantic spotted dolphin (Miles and Herzing, 2003, p. 366).

## Jump



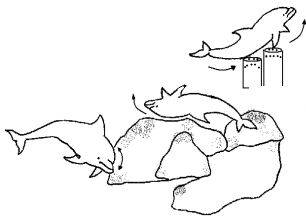
A dolphin breach and land on the back, the belly or on the side.

Other names: aerial behaviors: bow, lateral bow, breaching, back breaching, leap, inverted leap, and lateral leap (Müller et al., 1998, p. 92 and 94) and locomotor play (von Streit et al., 2011, p. 196).

Comments: normally dolphins jump separately, one by one, but on several occasions, a group of dolphins jumped simultaneously (see social jump) (Bel'kovich et al., 1991, p. 201).

Species: bottlenose dolphin (Bel'kovich et al., 1991, p. 201; Denkinger and von Fersen, 1995, p. 201; Müller et al., 1998, p. 92 and 94; von Streit et al., 2011, p. 196), dusky dolphin (*Lagenorhynchus obscurus*) (Würsig & Würsig, 1989, p. 879), and tucuxi (*Sotalia fluviatilis*) (Spinelli, Nascimento, & Yamamoto, 2002, p. 168).

## Self-rub

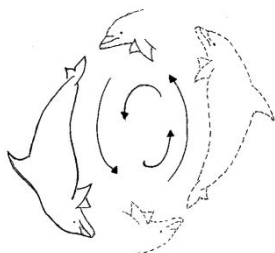


A dolphin with circular and up-and-down repeated movement presses against furniture with one of its body parts.

Other names: tactile play (Bel'kovich et al., 1991, p. 71), rubbing (Denkinger and von Fersen, 1995, p. 201), and rub (von Streit et al., 2011, p. 196).

Comments: Tizzi and cols. (2000, p. 156) described object rub when dolphin rubs head, side, or other areas of the body on objects, but it has not been observed in our ethogram.

Species: bottlenose dolphin (Denkinger and von Fersen, 1995, p. 201; Tizzi et al., 2000, p. 156; von Streit et al., 2011, p. 196) and Commerson's dolphin (*Cephalorhynchus commersonii*) (Sakai, Morisaka, Iwasaki, Yoshida, Wakabayashi, Seko, et al., 2013, p. 307).

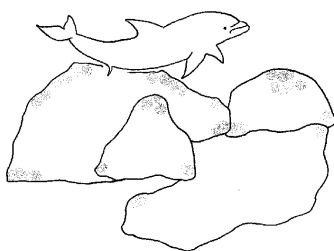
**Circle swim**

A dolphin swims in a repetitive circle.

Other names: erratic swim (Serres & Delfour, 2017, p.103) and steady swim (von Streit et al., 2011, p. 196).

Comments: steady swim behavior was classified as non-play solitary behavior (von Streit et al., 2011, p. 196).

Species: bottlenose dolphin (Serres & Delfour, 2017, p.103; von Streit et al., 2011, p. 196).

**Solitary sexual play**

A dolphin has tactile interactions involving genital contact with objects. It includes genital slit/genitals which was defined as pink genital area or erect penis (Baker et al., 2017, p. 601).

Other names: tactile play (Bel'kovich et al., 1991, p.70) and rubs genital on tank objects (Defran & Pryor, 1980, p. 337).

Comments: rubs genital on tank objects was catalogued as serious sexual behavior (Defran & Pryor, 1980, p. 337).

Species: bottlenose dolphin (Bel'kovich et al., 1991, p.70) and Amazon river dolphin (*Inia geoffrensis*), killer whale (*Orcinus orca*), and spinner dolphin (*Stenella longirostris*) (Defran & Pryor, 1980, p. 337).

**Tongue play**

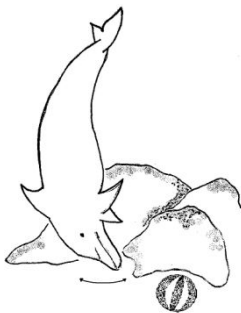
A dolphin repeatedly moves his tongue from one side to the other side of its mouth.

Species: bottlenose dolphin calves of this study (no specific reference found to this behavior in other species).

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1.2. Solitary object play: the individual directs play behavior at an object for a minimum 1 sec (Cappiello et al., 2018, p. 456). It includes natural objects such as leaves or stones and man-made objects such as balls, buoys, or plastic hoops. There are publications which also differentiated between object play (solitary) and object play together (social) in bottlenose dolphins and Atlantic spotted dolphin (Greene et al., 2011; Kuczaj et al., 2006; Mackey et al., 2014).

### Exploration



A dolphin swims around furniture and then it stops near to it moving its head while it is observing object below furniture.

Other names: tactile play (Bel'kovich et al., 1991, p.70), approaches new objects (Defran & Pryor, 1980, p. 337), object investigation (Morris & Lockyer, 1988, p. 53), and exploratory behavior (von Streit et al., 2011, p. 196).

Comments: exploratory behavior was catalogued as a serious solitary behavior instead of play (von Streit et al., 2011, p. 196).

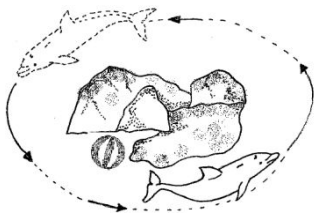
Species: bottlenose dolphin (Bel'kovich et al., 1991, p.70; Morris & Lockyer, 1988, p. 53; von Streit et al., 2011, p.196), Pacific white-sided dolphin (*Lagenorhynchus obliquidens*), killer whale (*Orcinus orca*), false killer whale (*Pseudorca crassidens*), and rough-toothed dolphin (*Steno bredanensis*) (Defran & Pryor, 1980, p. 337), and Indo-Pacific bottlenose dolphin (*Tursiops aduncus*) (Tayler & Saayman, 1972, p. 26).

**Circle object**

A dolphin swims around an object in circles.

*Other names:* circle (Tizzi et al., 2000).

*Species:* bottlenose dolphin (Tizzi et al., 2000).

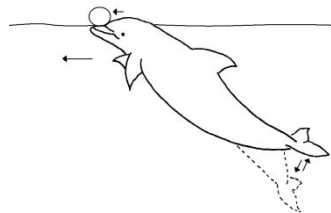
**Push and pull**

A dolphin uses one of its body parts to push or pull an object.

*Other names:* pushing (Denkinger & von Fersen, 1995 p. 201) and push (Tizzi et al., 2000, p. 156).

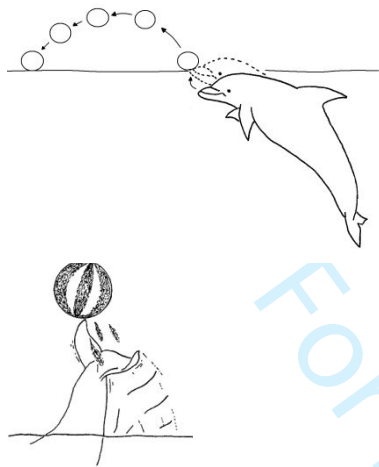
*Comments:* the both last behaviors include pulling in its definitions (Denkinger & von Fersen, 1995, p. 201; Tizzi et al., 2000, p. 156).

*Species:* bottlenose dolphin (Denkinger & von Fersen, 1995, p. 201; Tizzi et al., 2000, p. 156; Mackey et al., 2014, p. 35; von Streit et al., 2011, p. 196).





## Throw



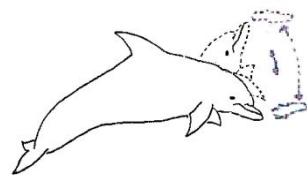
A dolphin hits an object above the surface of the water with one of its body parts.

*Other names:* [throwing \(Delfour et al., 2017, p. 6\)](#), [object toss \(Müller et al., 1998, p. 93\)](#), and [throw out an object \(von Streit et al., 2006, p. 196\)](#).

*Comments:* [we have not been differentiated between toss and throw behaviors. However, manipulation of jellyfish and food fish were defined as dolphins tossing jellyfish or food fish in all directions with the rostrums and tails \(Bel'kovich et al., 1991, p. 71\).](#)

*Species:* [bottlenose dolphin \(Bel'kovich et al., 1991, p. 71; Bloom, 1991, p. 104; Delfour et al., 2017, p. 6; Mackey et al., 2014, p. 35; Müller et al., 1998, p. 93; Tizzi et al., 2000, p. 156\)](#), [Amazon river dolphin \(Sylvestre, 1985, p. 63\)](#), and [Amazon river dolphin and baiji \(\*Lipotes vexillifer\*\) \(Martin, da Silva, & Rothery, 2008, p. 243; Renjun, 1994, p. 40\)](#).

## Solitary throw and catch

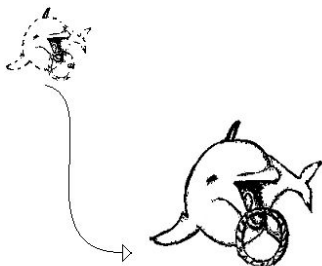


A dolphin holds an object with [one of its body parts](#) throws it to catch it back.

*Other names:* [throwing and catching \(Denkinger & von Fersen, 1995, p. 201\)](#).

*Species:* [bottlenose dolphin \(Denkinger & von Fersen, 1995, p. 201; McBride & Hebb, 1948, p. 116\)](#), [spinner dolphin \(Johnson & Norris, 1994, p.272\)](#), and [Indo-Pacific bottlenose dolphin \(Tayler & Saayman, 1972, p. 28\)](#).

## Transport



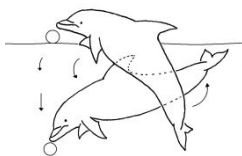
A dolphin holds an object with one of its body parts to carry it around the pool.

Other names: carry (Delfour et al., 2017, p. 6; Gewalt, 1989, p. 74; Mackey et al., 2014, p. 35), transporting (Denkinger & von Fersen, 1995, p. 201), carry object (Miles & Herzing, 2003, p. 370) and mouth (Tizzi et al., 2000, p. 156).

Comments: in the wild, bottlenose dolphins carry seagrass or weed in his mouth (Mann & Smuts, 1999, p. 551).

Species: bottlenose dolphin (Allen, Bejder, Krützen, 2010, p. 449; Delfour et al., 2017, p. 6; Denkinger & von Fersen, 1995, p. 201; Mackey et al., 2014, p. 35; Mann & Smuts, 1999, p. 551; McBride & Hebb, 1948, p. 116; Tizzi et al., 2000, p. 156; von Streit et al., 2011, p. 196), beluga whale (Gewalt, 1989, p. 74), spinner dolphin (Johnson & Norris, 1994, p.272), Amazon river dolphin (Kuczaj & Yeater, 2007, p. 416; Sylvestre, 1985, p. 63), Atlantic spotted dolphin (Miles & Herzing, 2003, p. 370), Indo-pacific humpback dolphin (*Sousa chinensis*) (Parra, 2007, p. 147), Amazon river dolphin and baiji (*Lipotes vexillifer*) (Renjun, 1994, p. 40), and Delphinidae (*Tursiops sp.*) (Smolker, Richards, Connor, Mann, & Berggren, 1997, p. 455).

## Immersion



A dolphin presses with one of its body parts a floating object under the water.

Other names: pressing (Denkinger & von Fersen, 1995, p. 201).

Species: bottlenose dolphin (Denkinger & von Fersen, 1995, p. 201) and bowhead whale (*Balaena mysticetus*) (Würsig, Dorsey, Richardson, & Wells, 1989, p. 33).

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3 1.3. Solitary bubble play: the individual directs play behavior at a bubble or  
4 bubbles for a minimum of 1 sec (Cappiello et al., 2018, p. 456). Bubbles  
5 showed two shapes: ring or spherical.  
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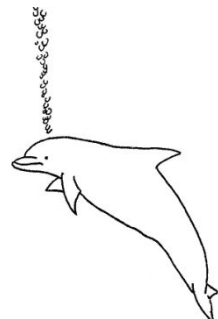
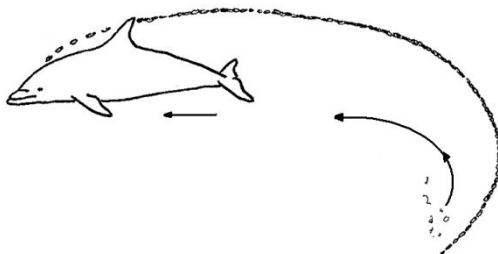
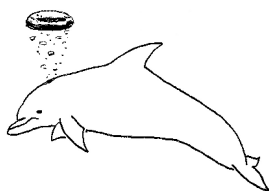
### Solitary make of bubbles

A dolphin makes a large singular bubble, a clouded circle of bubbles or a streamed of a column of bubbles and afterwards there is not any interaction with them (Moreno & Macgregor, 2019).

Other names: self-made air bubble rings (Gewalt, 1989, p. 73), whistle trail (Miles & Herzing, 2003, p. 370), and one large bubble (Müller et al., 1998, p. 95).

Comments: whistle trail consist on dolphin emits a trail of bubbles from its blowhole, usually performed while emitting a signature whistle or excitement vocalization. In our study, we have not included vocalizations because we could not hear them throughout the underwater windows.

Species: bottlenose dolphin (Kuczaj II & Walker, 2006, p. 594; Marten et al., 1996, p. 93; McCowan et al., 2000, p. 100; Miles & Herzing, 2003, p. 370; Müller et al., 1998, p. 98), Amazon river dolphin (Gewalt, 1989, p. 73), and Atlantic spotted dolphin (Miles and Herzing, 2003, p. 366).

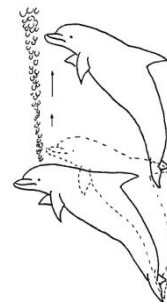
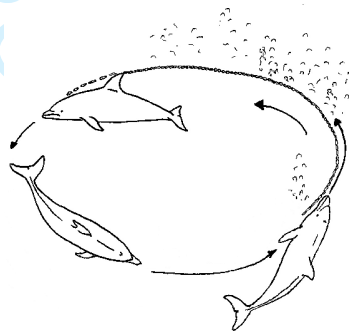
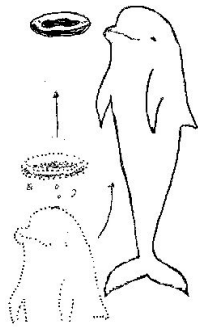


**Solitary follow of bubbles**

A dolphin chases a large singular bubble, a clouded circle of bubbles or a stream of a column of bubbles closely without touching them.

*Other names:* bubble interest/follow (Pace, 2000, p. 59) and interest (Tizzi et al., 2000, p. 156).

*Species:* bottlenose dolphin (Marten et al., 1996, p. 93; McCowan et al., 2000, p. 100; Pace, 2000, p. 59; Serres and Delfour, 2017, p. 103; Tizzi et al., 2000, p. 156) and beluga whale (Delfour & Aulagnier, 1997).



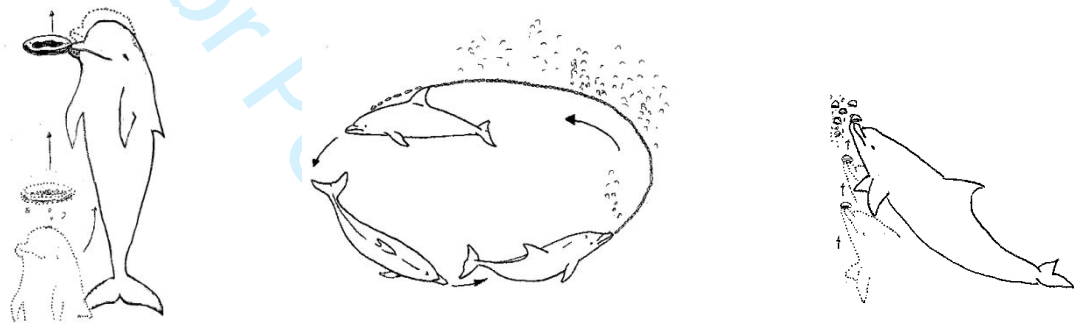
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**Solitary push of bubbles**

A dolphin follows a large singular bubble, a clouded circle of bubbles or a stream of a column of bubbles closely while raising them up with its rostrum.

Other names: ring push (Pace, 2000, p. 59) and push (Tizzi et al., 2000, p. 156).

Species: bottlenose dolphin (McCowan et al., 2000, p. 100; Pace, 2000, p. 59; Serres and Delfour, 2017, p. 103; Tizzi et al., 2000, p. 156).



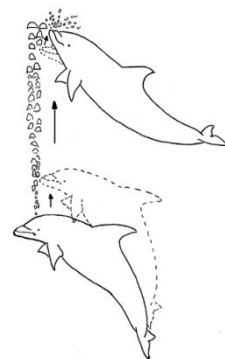
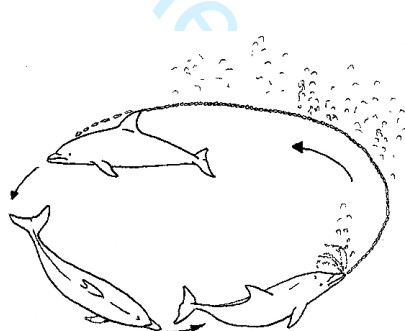
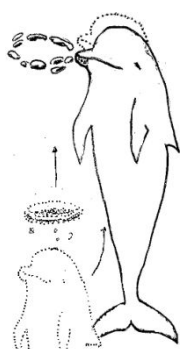
**Solitary burst of bubbles**

A dolphin follows a large singular bubble, a clouded circle of bubbles or a stream of a column of bubbles closely while breaking them off with bites.

Other names: ring bite (Pace, 2000, p. 59) and bite (Tizzi et al., 2000, p. 156).

Comments: belugas burst bubble kicking it with their flukes (Delfour & Aulagnier, 1997, p. 184) and Amazon river dolphins burst bubbles swimming through them (Gewalt, 1989, p. 75).

Species: bottlenose dolphin (Mackey et al., 2014, p. 35; McCowan et al., 2000, p. 100; Pace, 2000, p. 59; Serres and Delfour, 2017, p. 103; Tizzi et al., 2000, p. 156), beluga whale (Delfour & Aulagnier, 1997, p. 184), and Amazon river dolphin (Gewalt, 1989, p. 75).

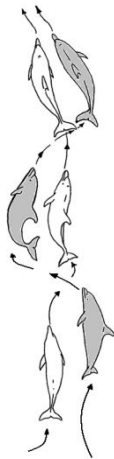


## 2. Social Play

### 2.1 Intraspecific

2.1.1 Social locomotor play: the individual is interacting with a conspecific(s) within one body lengths distance of each other for a minimum period of 1 sec (Cappiello et al., 2018, p. 456). It was also named motor play in bottlenose dolphins (Kuczaj et al., 2006).

#### Parallel swim

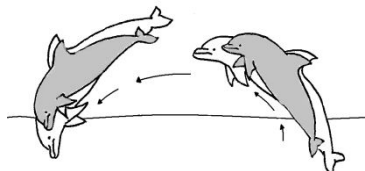


Two dolphins swim in the same direction and speed parallelly. The dolphins can change their direction with a zigzag movement.

*Other names:* cross swimming and speedy swimming (Denkinger & von Fersen, 1995, p. 201), locomotor play (von Streit et al., 2011, p. 196), synchronous swimming and synchronous veer (Serres & Delfour, 2017, p. 103), and swim (fast) (Tizzi et al., 2000, p. 156).

*Comments:* in the reviewed literature, it has been classified as social play. *Species:* bottlenose dolphin (Denkinger & von Fersen, 1995, p. 201; Serres & Delfour, 2017, p. 103; von Streit et al., 2011, p. 196; Tizzi et al., 2000, p.156) and spinner dolphin (Johnson & Norris, 1994, p. 274).

## Social jump



Two dolphins breach and land synchronously on the back, on the belly, or on the side.

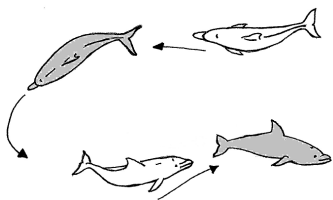
Other names: jump (Bel'kovich et al., 1991, p. 201; Denkinger and von Fersen, 1995, p. 201), locomotor play (von Streit et al., 2013, p. 179; von Streit et al., 2011, p. 196), leap and slap (Tizzi et al., 2000, p. 156), and synchronous jumping and jump against (Serres & Delfour, 2017, p. 103).

Comments: Jump against was also defined as two dolphins jump synchronously and one dolphin hits the other with its body (Serres & Delfour, 2017, p. 103). Complex turns were also included in social jump and it was defined as rotations without separating the body from the water (Bel'kovich et al., 1991, p. 70). Some literature includes jump into a more general category such as locomotor play which also includes dolphin frolics on its side or on its back (von Streit et al., 2013, p. 179; von Streit et al., 2011, p. 196).

Species: bottlenose dolphin (Bel'kovich et al., 1991, p. 201; Denkinger and von Fersen, 1995, p. 201; Serres & Delfour, 2017, p. 103, Tizzi et al., 2000, p. 156; von Streit et al., 2013, p. 179; von Streit et al., 2011, p. 196) and, tucuxi (Spinelli et al., 2002, p. 168).



## Pursuit



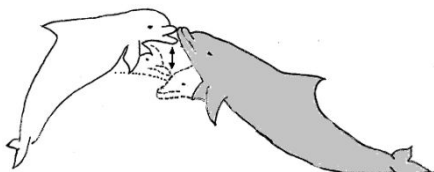
One dolphin swim behind another dolphin. When the pursued dolphin changes direction and speed, the pursuer dolphin also does so. The pursued dolphin can become the pursuer dolphin.

Other names: chasing (Bel'kovich et al., 1991, p. 71; DeLong, 1999, p. 219; Denkinger & von Fersen, 1995, p. 201), chase (Baker et al., 2017, p. 601; Mackey et al., 2014, p. 35), reciprocal chasing (Mann & Smuts, 1999, p. 550), and pursuit behaviors (Serres & Delfour, 2017, p. 103),

Comments: our pursuit behavior includes chasing, charge, follow, U turn, approach-leave-approach, jumping, escape, avoid, and turn around (Serres & Delfour, 2017, p. 103).

Species: bottlenose dolphin (Baker et al., 2017, p. 601; Bel'kovich et al., 1991, p. 71; DeLong, 1999, p. 219; Denkinger & von Fersen, 1995, p. 201; Mackey et al., 2014, p. 35; Mann & Smuts, 1999, p. 550; McBride & Hebb, 1948, p. 116; Serres & Delfour, 2017, p. 103) and Indo-Pacific bottlenose dolphin (Tayler & Saayman, 1972, p. 28).

## Rub



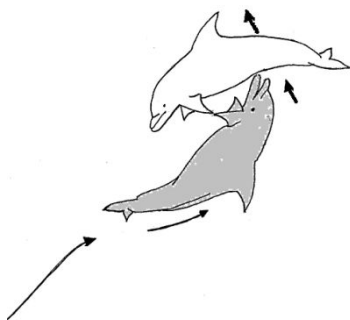
A dolphin uses one of its body parts to touch another dolphin repeatedly.

Other names: pec rub (Baker et al., 2017, p. 601), rubbing and beak to beak (Denkinger & von Fersen, 1995, p. 201), pectoral rubbing and caudal rubbing (Serres & Delfour, 2017, p. 102), and rubbing (Müller et al., 1998, p. 93).

Comments: pec rub detailed as one dolphin rubs along another's pectoral fin (Baker et al., 2017, p. 601). Rubbing bodies in adults was classified as sexual behavior (Bel'kovich et al., 1991, p. 71).

Species: bottlenose dolphin (Baker et al., 2017, p. 601; Denkinger & von Fersen, 1995, p. 201; Müller, et al., 1998, p. 93; Serres & Delfour, 2017, p. 102).

## Ram



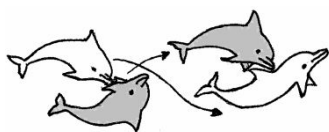
A dolphin charges with one of its body parts against another dolphin and it may involve biting or mouthing.

Other names: strokes another animal (Defran & Pryor, 1980, p. 335), ramming (Denkinger & von Fersen, 1995, p. 201), and rostral nudge (Müller et al., 1998, p. 93).

Comments: sideswipe was a variation of our ram behavior and it was detailed as momentary violent body contact resulting from one dolphin rapidly approaching another and rebounding off of its conspecific's body (Serres & Delfour, 2017, p. 102).

Species: bottlenose dolphin (Denkinger & von Fersen, 1995, p. 201; Müller et al., 1998, p. 93; Serres & Delfour, 2017, p. 102) and short-beaked common dolphin (*Delphinus delphis*), killer whale, false killer whale, spinner dolphin and rough-toothed dolphin (Defran & Pryor, 1980, p. 335).

## Sink



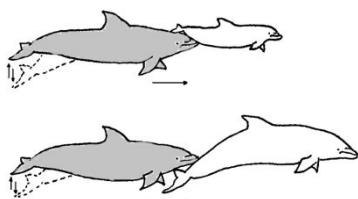
A dolphin uses one part of its body to push another dolphin down and it may involve biting or mouthing.

Other names: pushing an animal (Denkinger & von Fersen, 1995, p. 201) and fall one (Serres & Delfour, 2017, p. 102).

Comments: fall one is a variation of sink and it was defined as one dolphin falls on another animal or its body part at or above the surface (Serres & Delfour, 2017, p. 102).

Species: bottlenose dolphin (Serres & Delfour, 2017, p. 102; von Streit et al., 2011, p. 196).

## Propulsion



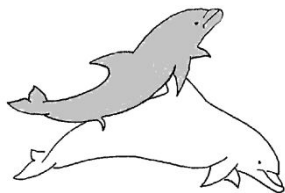
A dolphin pushes with the rostrum or head another dolphin by its fluke thus provoking the displacement of the pushed dolphin, who stays immobile, ahead.

Other names: pushing an animal (Denkinger & von Fersen, 1995, p. 201), pushing (Serres & Delfour, 2017, p. 102) and push (Tizzi et al., 2000, p. 156).

Comments: it is important to distinguish propulsion from goose which was defined as one dolphin contacts another's genital slit with its rostrum but there was not propulsion (Baker et al., 2017, p. 601).

Species: bottlenose dolphin (Baker et al., 2017, p. 601; Denkinger & von Fersen, 1995, p. 201; Serres & Delfour, 2017, p. 102; Tizzi et al., 2000, p. 156).

## **Social sexual play**



A dolphin calf has a tactile interaction involving genital contact with another dolphin. It includes genital slit/genitals which has been defined as pink genital area or erect penis (Baker et al., 2017, p. 601).

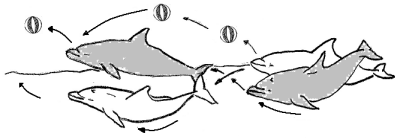
Other names: sexual behavior (Denkinger & von Fersen, 1995, p. 201). and mouth (von Streit et al., 2011, p. 196).

Comments: Serres and Delfour (2017, p. 103) catalogued socio-sexual behaviors and included side mount, mounting, erection, genital inspection, genital to head, melon to genitals and intromission. All of them have been observed in these dolphin calves and catalogued as social sexual play.

Species: bottlenose dolphin (Denkinger & von Fersen, 1995, p. 201; Serres & Delfour, 2017, p. 103).

- 2.1.2. Social object play: two or more individuals direct play behavior at an object for a minimum 1 sec (Cappiello et al., 2018, p. 456). It includes natural objects such as leaves or stones and man-made objects such as balls, buoys, or plastic hoops. There are publications which also differentiated between object play (solitary) and object play together (social) in bottlenose dolphins and Atlantic spotted dolphin (Greene et al., 2011; Kuczaj et al., 2006; Mackey et al., 2014) like in this study.

## Chase



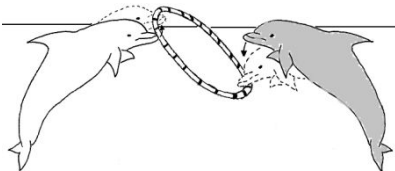
A dolphin carries an object on with one part of its body while another dolphin tries to grab it.

Other names: following, chasing and tailcatching with object (Denkinger and von Fersen, 1995, p. 201).

Comments: the three last behaviors defined by Denkinger and von Fersen (1995, p. 201) were defined as following, chasing, or tailcatching another animal with an object in the beak.

Species: bottlenose dolphin (Denkinger & von Fersen, 1995, p. 201; McBride & Hebb, 1948, p. 116).

## Social throw and catch



A dolphin holds an object with one part of its body and throws it to another dolphin, who throws it back in the same direction. This behaviour is repeated either stationary or while animals are swimming around the pool.

Other names: throwing and catching (Denkinger and von Fersen, 1995, p. 201), sharing an object (Mackey et al., 2014, p. 35), and social object play together (von Streit et al., 2011, p. 196).

Comments: social object play together was defined as two dolphins take object into the mouth alternately (von Streit et al., 2011, p. 196).

Species: bottlenose dolphin (Denkinger & von Fersen, 1995, p. 201; Mackey et al., 2014, p. 35; von Streit et al., 2011, p. 196) and spinner dolphin (Johnson & Norris, 1994, p. 273).

1  
2  
3 2.1.3. Social bubble play: two or more individuals direct play behavior at a  
4 bubble or bubbles for a minimum of 1 sec (Cappiello et al., 2018, p. 456).  
5  
6 Bubbles showed two shapes: ring or spherical.  
7  
8  
9

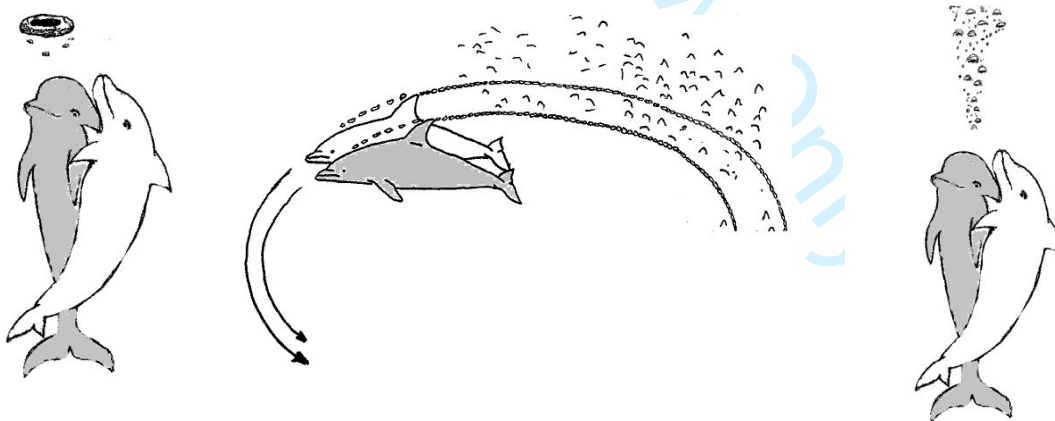
10  
11  
12  
13  
14 **Social make of bubbles**

15 Two nearby dolphins make a large singular bubble, a clouded circle of  
16 bubbles or a stream of a column of bubbles without touching them.

17  
18 Other names: whistle trail (Miles & Herzing, 2003, p. 370).

19  
20 Comments: whistle trail consist on dolphin emits a trail of bubbles from its  
21 blowhole, usually performed while emitting a signature whistle or  
22 excitement vocalization. In our study, we have not included vocalizations  
23 because we could not hear them throughout the underwater windows (Miles  
24 & Herzing, 2003, p. 370).

25  
26  
27  
28 Species: bottlenose dolphin (Miles & Herzing, 2003, p. 370) and Amazon  
29 river dolphin and baiji (*Lipotes vexillifer*) (Renjun, 1994, p. 40):



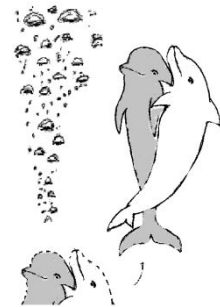
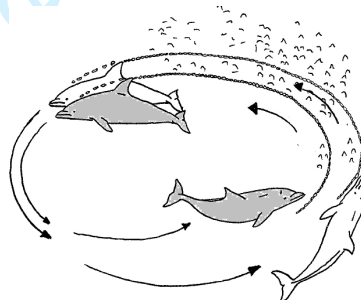
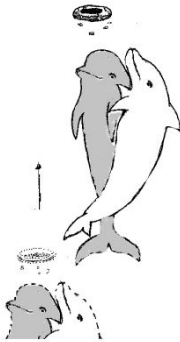
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### **Social follow of bubbles**

Two nearby dolphins follow a large singular bubble, a clouded circle of bubbles or a stream of column of bubbles without touching them.

*Other names:* bubble interest/follow (Pace, 2000, p. 59) and interest (Tizzi et al., 2000, p. 156).

*Species:* bottlenose dolphin (Pace, 2000, p. 59; Serres and Delfour, 2017, p. 103; Tizzi et al., 2000, p. 156).

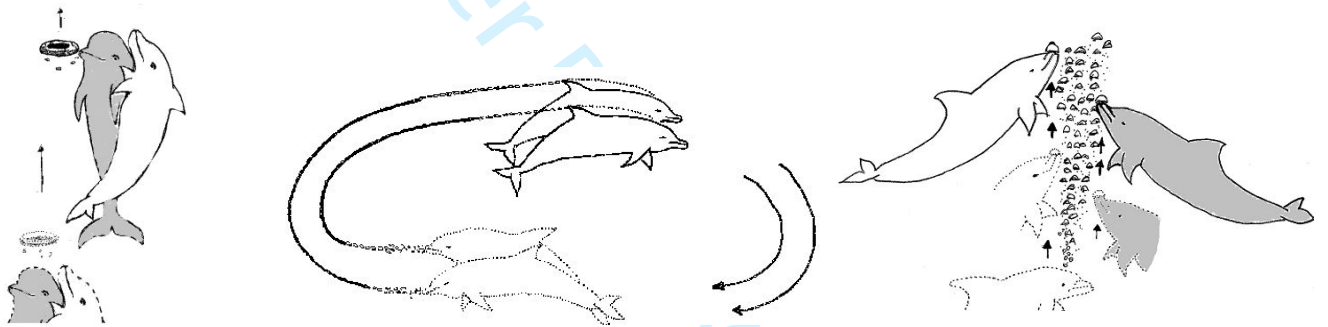


### **Social push of bubbles**

Two nearby dolphins follow a large singular bubble, a clouded circle of bubble or a stream of a column of bubbles while raising them up with their rostrum.

Other names: ring push (Pace, 2000, p. 59) and push (Tizzi et al., 2000, p. 156).

Species: bottlenose dolphin (Pace, 2000, p. 59; Serres and Delfour, 2017, p. 103; Tizzi et al., 2000, p. 156).



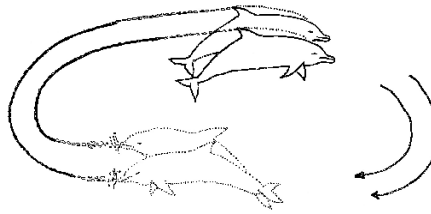
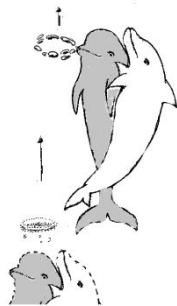


### Social burst of bubbles

Two nearby dolphins follow a large singular bubble, a clouded circle of bubbles or a stream of a column of bubbles while breaking them off when they bite them.

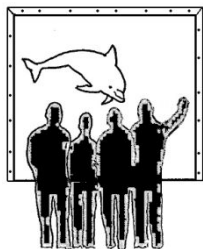
Other names: ring bite (Pace, 2000, p. 59) and bite (Tizzi et al., 2000, p. 156).

Species: bottlenose dolphin (Kuczaj II & Walker, 2006, p. 594; Mackey et al., 2014, p. 35; Pace, 2000, p. 59; Serres and Delfour, 2017, p. 103; Tizzi et al., 2000, p. 156).



## 2.2. Interspecific

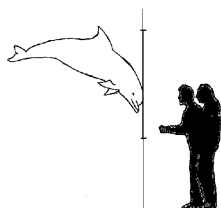
2.2.1 In the presence of humans: was only focused when there were humans at the underwater windows independently if they interacted or not with dolphins. Humans comprised zoo staff (keepers, vets, maintenance, or administrative workers), students, researchers, and visitors (Kuczaj et al., 2006, p. 227).

**Locomotion at the window**

The dolphin imitates the movements of humans such as moving the head up and down or following people across the windows while they move from one place to another.

*Other names: human imitation (Kuczaj & Yeater, 2006, p. 416).*

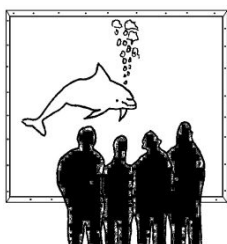
*Species: dolphin (Kuczaj & Yeater, 2006, p. 416).*

**Contact at the window**

A dolphin repeatedly touches the underwater viewing windows with one part of its body.

*Comments: this behavior was also catalogued as a stereotypy and observed in three sub-adult isolated bottlenose dolphins when placed in relatively close confinement. This stereotyped behavior was named head-pressing behavior and it was frequently observed when dolphin presses its forehead against the Perspex side of the tank (Greenwood, 1982, p. 15).*

*Species: bottlenose dolphin calves, this study.*

**Bubble at the window**

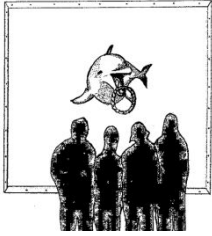
A dolphin makes bubbles in front of the window.

*Species: bottlenose dolphin calves, this study.*

**Object at the window**

A dolphin holds an object with one of its body parts in front of the window.

*Species: bottlenose dolphin (Herzing, Delfour, & Pack, 2012).*



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Table 3. Observed and unobserved play behaviors during the PP and duration (sec) of play behaviors during the QP in both bottlenose dolphin calves.

Play behavioral classification		DP						QP											
		Three months old		Four months old		Five months old		Six months old		Seven months old		Eight months old		Nine months old		Ten months old			
		Female	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female	Male		
Locomotor	Swim	√	√	√	√	√	√	810	394	453	475	935	794	434	326	91	239		
	Jump	√	√	√	√	√	√	813	180	100	112	102	30	165	116	46	87		
	Self-rub	√	√	√	√	√	√	2100	1172	1001	1906	554	1752	801	2444	295	662		
	Circle swim	√	√	√	√	√	√	0	29	0	32	16	0	0	0	0	27		
	Solitary sexual play	x	0	x	√	x	√	0	0	0	84	0	0	0	204	0	0		
	Tongue play	√	√	√	√	√	√	0	0	0	0	0	0	0	0	0	12		
	Solitary play	Exploration	√	√	√	√	√	√	1566	1080	1183	290	296	989	157	239	799	801	
Circle object		√	x	x	√	x	√	0	0	0	225	0	0	11	170	0	0		
Push and pull		√	√	√	√	√	√	3231	2789	258	901	537	489	531	62	102	574		
Objects		Throw	x	x	x	x	x	x	0	0	18	516	449	1354	416	303	1220	1404	
Solitary throw and catch		√	√	√	√	√	√	1852	639	1017	535	695	175	1175	2062	1457	5942		
Transport		√	√	x	√	x	√	312	78	264	0	0	0	18	100	935	20		
Immersion		x	x	x	x	x	x	0	0	131	847	121	78	2198	863	1103	1234		
Bubbles		Solitary make of bubbles	x	x	x	x	x	x	804	62	816	13	172	98	161	478	26	51	
		Solitary follow of bubbles	√	√	√	√	√	√	1127	874	44	67	131	194	318	259	28	48	
		Solitary push of bubbles	√	√	√	√	x	√	0	0	0	0	0	0	35	0	17	15	
	Solitary burst of bubbles	√	√	√	√	√	√	466	610	0	16	340	211	148	156	12	15		
Intraspecific	Parallel swim	√	√	√	√	√	√	721	1611	1197	2457	582	1176	1152	3999	1461	3924		
	Social jump	√	√	√	√	√	√	15	54	3	48	63	10	76	121	0	61		
	Pursuit	√	√	√	√	√	√	1431	1726	1159	486	211	543	449	694	270	970		
	Locomotor	Rub	√	√	√	√	√	x	0	68	0	0	0	19	0	0	21		
	Ram	√	√	√	√	√	√	0	8	0	0	0	0	177	194	0	230		
	Sink	√	√	√	√	√	x	822	126	684	695	26	870	395	0	105	940		
	Propulsion	√	√	√	√	√	√	0	0	0	0	0	318	0	0	0	265		
	Social sexual play	x	√	x	√	√	√	371	216	0	1071	0	1931	1564	1480	0	1227		
	Social play	Objects	Chase	x	x	x	x	x	x	245	245	0	138	212	212	0	0	455	181
		Social throw and catch	√	√	√	√	x	√	0	0	33	0	0	0	0	0	0	0	
Social make of bubbles		√	√	√	√	√	√	0	0	0	0	0	0	0	0	0	0		
Bubbles		Social follow of bubbles	√	√	√	√	√	x	0	95	44	0	51	34	200	270	0	0	
Social push of bubbles		√	√	√	√	√	x	0	0	0	0	0	0	0	0	0	0		
Social burst of bubbles	√	√	√	√	√	x	330	148	0	18	40	6	594	697	0	0			
Interspecific	In the presence of humans	Locomotion at the window	√	√	√	√	√	√	148	98	385	176	678	1209	268	255	1104	325	
	Contact at the window	√	√	x	√	√	√	0	171	372	868	362	475	416	150	216	98		
	Bubbles at the window	x	x	x	x	x	x	0	0	0	0	12	185	77	3	35	3		
	Object at the windows	x	x	x	x	x	x	0	0	0	0	0	0	0	27	100	20		

√ : Observed  
x : Unobserved  
Observed and unobserved pair

**Table 4.** Values of the quantitative variables in both bottlenose dolphin calves during the QP.

	Six months old		Seven months old		Eight months old		Nine months old		Ten months old		Mean	
	Female	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female	Male
Diversity of play behavior (%)	51.43	65.71	54.28	65.71	62.86	65.71	71.43	71.43	60.00	80.00	60.00	69.71
Time invested in play behavior (%)	14.90	10.83	7.07	9.24	4.81	9.6	8.29	10.88	6.85	13.47	8.39	10.64
Shannon's diversity index (H)	2.49	2.44	2.45	2.47	2.67	2.59	2.70	2.47	2.41	2.23	2.54	2.44
Shannon's evenness index (E)	0.14	0.22	0.17	0.21	0.14	0.17	0.16	0.23	0.21	0.33	0.16	0.23