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# AN OPTIMAL ALGORITHM FOR SHORTEST <br> PATHS ON WEIGHTED INTERVAL AND CIRCULAR-ARC GRAPHS, WITH APPLICATIONS 

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# An Optimal Algorithm for Shortest Paths on Weighted Interval and Circular-Arc Graphs, with Applications 

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#### Abstract

We give the first linear-time algorithm for computing single-source shortest paths in a weighted interval or circular-arc graph, when we are given the model of that graph, i.e., the actual weighted intervals or circular-arcs and the sorted list of the interval endpoints. Our algorithm solves this problem optimally in $O(n)$ time, where $n$ is the number or intervals or circular-arcs in a graph. An immediate consequence of our result is an $O(q n+n \log n)$ time algorithm for the minimum-weight circle-cover problem, where $q$ is the minimum number of arcs crossing any point on the circle; the $n \log n$ term in this time complexity is from a preprocessing sorting step when the sorted list of endpoints is not given as part of the input. The previous best time bounds were $O(n \log n)$ for this shorlest paths problem, and $O(q n \log n)$ for the minimum-weight circle-cover problem. Thus we improve the bounds of both problems. More importantly, the techniques we give hold the promise of achieving similar $\log n$-factor improvements in other problems on such graphs.


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## 1 Introduction

Given a weighted set $S$ of $\pi$ intervals on a line, a path from interval $I \in S$ to interval $J \in S$ is a sequence $\sigma=\left(J_{1}, J_{2}, \ldots, J_{k}\right)$ of intervals in $S$ such that $J_{1}=I, J_{k}=J$, and $J_{i}$ and $J_{i+1}$ overlap for every $i \in\{1, \ldots, k-1\}$. The length of $\sigma$ is the sum of the weights of its intervals, and $\sigma$ is a shortest path from $I$ to $J$ if it has the smallest length among all possible $I$-to- $J$ paths in $S$. The single-source shortest paths problem is that of computing a shortest path from a given "source" interval to all the other intervals. Our algorithm solves this shortest paths problem on interval and circular-arc graphs optimally in $O(n)$ time, when we are given the model of such a graph, i.e., the actual weighted intervals or circular-arcs and the sorted list of the interval endpoints. A node of an interval (resp., circular-arc) graph corresponds to an interval (resp., circular-arc) and an edge is between two nodes in the graph iff the two intervals (resp., circular-arcs) corresponding to these nodes intersect each other. Note that an interval or circular-arc graph with $n$ nodes can have $O\left(n^{2}\right)$ edges. Our algorithm achieves the optimal $O(n)$ time bound by exploiting several geometric properties of this problem and by making use of the special UNION-FIND structure of [5].

One of the main applications of this shortest paths problem is to the minimum-weight circle-cover problem $[9,3,2,8$, whose definition we briefly review: Given a set of weighted circular-arcs on a circle, choose a minimum-weight subset of the circular-arcs whose union covers the circle. It is known [3] that the minimum-weight circle-cover problem can be solved by solving $q$ instances of the previously mentioned single-source shortest paths problem, where $q$ is the minimum number of arcs crossing any point on the circle (in [3], a minimumweight circle-cover is found in $O\left(q n^{2}\right)$ time). It is the circle-cover problem that has the main practical applications, and the study of this shortest-paths problem has mainly been for the purpose of solving the circle-cover problem. However, interval graphs and circulararc graphs do arise in VLSI design, scheduling, biology, traffic control, and other application areas $[4,6,7]$, so that our shortest paths result may be useful in other optimization problems. More importantly, our approach holds the promise of shaving a $\log n$ factor from the time complexity of other problems on such graphs.

We henceforth assume that the intervals are given sorted by their left endpoints, and also sorted by their right endpoints. This is not a limiting assumption in the case of the main application of the shortest paths problem, which is the minimum-weight circle-cover problem. In the latter problem, an $O(n \log n)$ preprocessing sorting step is cheap compared
to the best previous bound for solving that problem, which was $O(q n \log n)$ [8] (by using $q$ times the subroutine for solving the shortest paths problem, at a cost of $O(n \log n)$ time each). Using our shortest paths algorithm, the minimum-weight circle-cover problem is solved in $O(q n+n \log n)$ time, where the $n \log n$ term is from the preprocessing sorting step when the sorted list of endpoints is not given as part of the input. Therefore, in order to establish the bound we claim for the minimum-weight circle-cover problem, it suffices to give a linear-time algorithm for the shortest paths problem on interval graphs. The linear-time solution to the shortest paths problem on circular-arc graphs makes use of the solution to the shortest paths problem on interval graphs. Therefore, we mainly focus on the problem of solving, in linear time, the shortest paths problem on interval graphs.

We also henceforth assume, without loss of generality, that we are computing the shortest paths from the source interval to only those intervals whose right endpoints are to the right of the right endpoint of the source; the same algorithm that solves this case can, of course, be used to solve the case for the shortest paths to intervals whose left endpoints are to the left of the left endpoint of the source. Clearly we need not worry about paths to intervals whose right endpoints are covered by the source since the problem is trivial for those intervals the length of the shortest path is simply the sum of the weight of the source plus the weight of the destination.

We consider the shortest paths problem on interval (resp., circular-arc) graphs in which the weights of the intervals (resp., circular-arcs) are nonnegative. The minimum-weight circle-cover problem [3], however, does allow circular-arcs to have negative weights. Bertossi [3] has already given a reduction of any minimum-weight circle-cover problem with both negative and nonnegative weights to one with only nonnegative weights (to which the algorithm for computing shortest paths in interval graphs with nonnegative weights is applicable). Therefore it suffices to solve the shortest paths problem on interval graphs for the case of nonnegative weights. Bertossi's reduction introduces zero-weight intervals, so it is important to be able to handle problems with zero-weight intervals.

We only show how to compute the lengths of shortest paths. Our algorithm can be easily modified to handle the computation for actual shortest paths and shortest path trees, in $O(n)$ time and $O(n)$ space.

In the next section, we introduce some terminology needed in the rest of the paper. Sections 3 and 4 consider the special case of the shortest paths problem on interval graphs with only positive weights. In particular, Section 3 presents a preliminary suboptimal
algorithm which illustrates our main idea and observations, and Section 4 shows how to implement various computation steps of the preliminary algorithm so that it runs optimally in linear time. Section 5 gives a linear-lime reduction that reduces the nonnegative weight case to the positive weight case, and it shows how to use the solution to the shortest paths problem on interval graphs to obtain the solution to that on circular-arc graphs.

## 2 Terminology

In this section, we introduce some additional terminology.
We say that an interval $I$ contains another interval $J$ iff $I \cap J=J$. We say that $I$ overlaps with $J$ iff their intersection is not empty, and that $I$ properly overlaps with $J$ iff they overlap but neither one contains the other.

An interval $I$ is typically defined by its two endpoints, i.e., $I=[a, b]$ where $a \leq b$ and $a$ (resp., $b$ ) is called the left (resp., right) endpoint of $I$. A point $x$ is to the left (resp., right) of interval $I=[a, b]$ ifI $x<a$ (resp., $b<x$ ).

We assume that the input set $S$ consists of intervals $I_{1}, \ldots, I_{n}$, where $I_{i}=\left[a_{i}, b_{\mathrm{i}}\right]$, $b_{1} \leq b_{2} \leq \cdots \leq b_{n}$, and that the weight of each interval $I_{i}$ is $w_{i} \geq 0$. To avoid unnecessarily cluttering the exposition, we assume that the intervals have distinct endpoints, that is, $i \neq j$ implics $a_{i} \neq a_{j}, b_{i} \neq b_{j}, a_{i} \neq b_{j}$, and $b_{i} \neq a_{j}$ (the algorithm for nondistinct endpoints is a trivial modification of the one we give).

Definition 1 We use $S_{i}$ to denote the subsel of $S$ that consists of intervals $I_{1}, I_{2}, \ldots, I_{i}$. We assume, without loss of generality, that the union of all the $I_{i}$ 's in $S$ covers the portion of the line from $a_{1}$ to $b_{n}$. We also assume, without loss of generality, that the source interval is $I_{1}$.

Observe that for a set $S^{\boldsymbol{*}}$ of intervals, the union of all the intervals in $S^{=}$may form more than one connected component. If for two intervals $I^{\prime}$ and $I^{\prime \prime}$ in $S^{*}, I^{\prime}$ and $I^{\prime \prime}$ respectively belong to two different connected components of the union of the intervals in $S^{*}$, then there is no path between $I^{\prime}$ and $I^{\prime \prime}$ that uses only the intervals in $S^{-}$.

## 3 A Preliminary Algorithm

This section gives a preliminary, $O(n \log \log n)$ time (hence suboptimal) algorithm for the special case of the shortest paths problem on intervals with positive weights. This should be


Figure 1: For $i=1,2, \ldots, 10, w_{i}=15,12,13,17,17,19,21,13,15,18$, respectively.
viewed as a "warm-up" for the next section, which will give an efficient implementation of some of the steps of this preliminary algorithm, resulting in the claimed linear-time bound. In Section 5, we point out how the algorithm for positive-weight intervals can also be used to solve problems with nonnegative-weight intervals.

We begin by introducing definitions that lead to the concept of an inactive interval in a subset $S_{i}$, then proving lemmas about it that are the foundation of the preliminary algorithm.

Definition $2 A n$ extension of $S_{i}$ is a set $S^{\prime}$ that consisls of $S_{i}$ and one or more intervals (not necessarily in $S$ ) whose right endpoints are larger than $b_{i}$. (There are, of course, infinitely many choices for such an $S^{\prime}$.)

Definition 3 An intcrval $I_{k}$ in $S_{i}(k \leq i)$ is inactive in $S_{i}$ iff for every extension $S^{\prime}$ of $S_{i}$, the following holds: Every $J \in S^{\prime}-S_{i}$ for which there is an $I_{1}$-to-J path in $S^{\prime}$ has no shorlest $I_{1}$-to-J path in $S^{\prime}$ that uses $I_{k}$. An interval of $S_{i}$ which is not inactive in $S_{i}$ is said to be active in $S_{i}$.

Intuitively, $I_{k}$ is inactive in $S_{i}$ if the other intervals in $S_{i}$ are such that, as far as any interval $J$ with right endpoint larger than $b_{i}$ is concerned, $I_{k}$ is "useless" for computing a shortest $I_{1}$-to- $J$ path (in parlicular, this is true for $J \in\left\{I_{i+1}, \ldots, I_{n}\right\}$ ). In Figure $1, I_{2}$ is inactive in $S_{4}, I_{3}$ is active in $S_{4}, I_{5}$ is inactive in $S_{5}, I_{9}$ is inactive in $S_{10}$, and $I_{10}$ is active in $S_{10}$.

Observe that an interval $I_{k}$ that is active in $S_{i}, k \leq i$, may be inactive for an $S_{j}$ with $j>i$, but is certainly active for any $S_{j}$ with $k \leq j \leq i$. On the other hand, an interyal $I_{k}$ which is inactive for $S_{i}, k \leq i$, is also inactive for every $S_{j}$ with $j>i$.

Note that $I_{i}$ is active in $S_{i}$ iff there is an $I_{1}$-to $-I_{i}$ path in $S_{i}$ (i.e., if $\cup_{1 \leq k \leq i} I_{k}$ covers the portion of the line from $a_{1}$ to $b_{i}$ ).

Lemma 1 The union of all the active intervals in $S_{\mathrm{i}}$ covers a contiguous portion of the line from $a_{1}$ to some $b_{j}$, where $b_{j}$ is the rightmost endpoint of any active interval in $S_{i}$.

Proof. An immediate consequence of the fact that if $I_{k}, k \leq i$, is active in $S_{i}$, then there is an $I_{1}$-to- $I_{k}$ path in $S_{i}$. This is because if there is an $I_{1}$-to- $I_{k}$ path in $S_{i}$, then there is a shortest $I_{1}$-to- $I_{k}$ path in $S_{i}$, implying that every constituent interval of such a shortest $I_{1}$-to- $I_{k}$ path is active in $S_{i}$.

Definition 4 Lel label ${ }_{j}(i), j \geq i$, denote the length of a shortest $I_{1}-$ to- $I_{i}$ path in $S$ that does not use any $I_{k}$ for which $k>j$. By convention, if $j<i$, then label $_{j}(i)=+\infty$.

Observe that for all $i, \operatorname{label}_{1}(i) \leq \operatorname{label}_{2}(i) \leq \cdots \leq \operatorname{labc} l_{n}(i)$. For an $I_{k} \in S_{i}$, if there is no $I_{1}$-to- $I_{k}$ path in $S_{i}$, then obviously $\operatorname{label}_{i}(j)=+\infty$, for cvery $j=k, k+1, \ldots, i$. In Figure 1, $\operatorname{label}_{9}(7)=+\infty$, but $\operatorname{label}_{10}(7)=71$.

Our algorithm is based on the following lemmas.
Lemma 2 If $i>k$ and $\operatorname{label}_{i}(i)<\operatorname{label}_{i}(k)$, then $I_{k}$ is inactive in $S_{i}$.
Proof. Since $\operatorname{label}_{i}(i)<\operatorname{label}_{i}(k)$, label $_{i}(i)$ is not $+\infty$. Hence there is an $I_{1}$-to- $I_{i}$ path in $S_{i}$, and there is an $I_{1}$-to- $I_{k}$ path in $S_{i}$. Because $\operatorname{label}_{i}(i)<\operatorname{labe}_{i}(k)$, it follows that there is a shortest $I_{1}$-to- $I_{\mathrm{i}}$ path in $S_{\mathrm{i}}$ that does not use $I_{k}$ : The union of the intervals on that $I_{1}$-to- $I_{i}$ path contains $I_{k}$ (because $i>k$ ), and hence $I_{k}$ is "useless" for any $J \in S^{\prime}-S_{\mathrm{i}}$ where $S^{\prime}$ is an extension of $S_{i}$.

The following are immediate consequences of Lemma 2.
Corollary 1 Let $I_{j_{1}}, I_{j_{2}}, \ldots, I_{j_{k}}$ be the active intervals in $S_{i}, j_{1}<j_{2}<\cdots<j_{k}$. Then $\operatorname{labc} l_{i}\left(j_{1}\right) \leq \operatorname{label}_{i}\left(j_{2}\right) \leq \cdots \leq \operatorname{label}_{i}\left(j_{k}\right)$.

Figure 2 illustrates Corollary 1. Note that the right endpoints of the active intervals $I_{j_{1}}, I_{j_{2}}, \ldots, I_{j_{k}}$ in $S_{\mathrm{i}}$ are in the same sorted order as that of their labels $\operatorname{label} l_{i}\left(j_{1}\right)$, $\operatorname{label}_{\mathrm{i}}\left(j_{2}\right)$, $\ldots, l a b e l_{i}\left(j_{k}\right)$. Their left endpoints, however, are not necessarily in such a sorted order (in Figure 2, the left endpoints of the intervals are omitted, indicated by marks "...").

Corollary 2 If $I_{i}$ contains $J_{k}$ (hence $i>k$ ) and label ${ }_{i}(k)>$ label $_{i}(i)$, then $I_{k}$ is inactive in $S_{i}$.


Figure 2: Illustrating Corollary 1: $\operatorname{label}_{i}\left(j_{1}\right) \leq \operatorname{label}_{\mathrm{i}}\left(j_{2}\right) \leq \cdots \leq \operatorname{label}_{i}\left(j_{k}\right)$.

Lemma 3 If $i>k$ and $\operatorname{label}_{i}(i)<\operatorname{label}_{i-1}(k)$, then $I_{k}$ is inactive in $S_{i}$.

Proof. That $\operatorname{label}_{i}(i)<\operatorname{labcl}_{i-1}(k)$ implies that label $_{i}(i)$ is not $+\infty$. Hence there is an $I_{1}$-to- $I_{i}$ pall in $S_{i}$, and there is an $I_{1}$-to- $I_{k}$ path in $S_{i}$. There are two cases to consider. (i) The shortest $I_{1}$-to- $I_{k}$ path in $S_{i}$ does not need to use $I_{i}$. Then $\operatorname{label}_{i-1}(k)=$ label $l_{i}(k)$, and hence $\operatorname{label}_{i}(i)<\operatorname{label}_{\mathrm{i}}(k)$. By Lemma $2, I_{k}$ is inactive in $S_{i}$. (ii) The shortest $I_{1}$-to- $I_{k}$ path in $S_{i}$ does use $I_{i}$. Then $\operatorname{label} l_{i}(k) \geq \operatorname{label} I_{i}(i)+w_{k}>\operatorname{label} I_{i}(i)$ (since $w_{k}>0$ ). Again by Lemma $2, I_{k}$ is inactive in $S_{i}$.

Lemma 4 If interval $I_{k}, k>1$, does not contain any $b_{j}(j<k)$ such that $I_{j}$ is active in $S_{k-1}$, then $I_{k}$ is inactive in $S_{i}$ for every $i \geq k$.

Proof. It suffices to prove that $I_{k}$ is inactive in $S_{k}$. Suppose that $I_{k}$ is active in $S_{k}$. Then by Lemma 1, the union of all the active intervals in $S_{k}$ covers the contiguous portion of the line from $a_{1}$ to $b_{k}$ (note that $b_{k}$ is the rightmost endpoint of any interval in $S_{k}$ ). This implies that $I_{k}$ contains the right endpoint of at least one active interval in $S_{k}$ other than $I_{k}$. But all the intervals in $S_{k-1}\left(=S_{k}-\left\{I_{k}\right\}\right)$ that $I_{k}$ intersects are inactive in $S_{k-1}$, and hence they remain inactive in $S_{k}$, contradicting to that $I_{k}$ intersects some active intervals in $S_{k}$ other than $I_{k}$.

We first give an overview of the algorithm. The algorithm scans the intervals in the order $I_{\mathrm{i}}, I_{2}, \ldots, I_{n}$ (i.e., the scan is based on the increasing order of the sorted right endpoints of the intervals in $S$ ). When the scan reaches $I_{i}$, the following must hold before the scan can proceed to $I_{i+1}$ :
(1) All the active intervals in $S_{i}$ are stored in a tree $T$.
(2) All the inactive intervals in $S_{i}$ have been marked as such (possibly at an earlier stage, when the scan was at some $I_{i^{\prime}}$ with $i^{\prime}<i$ ).
(3) If $I_{k}(k \leq i)$ is active in $S_{i}$, then the correct label $_{i}(k)$ is known.

If we can maintain the above invariants, then clearly when the scan terminates at $I_{n}$, we already know the desired label $I_{n}(i)$ 's for all $I_{i}$ 's which are active in $S_{n}$. A postprocessing step will then compute, in linear time, the correct label $l_{n}(i)$ 's of the inactive $I_{i}$ 's in $S_{n}$ (more on this later).

The details of the preliminary algorithm follow next. In this algorithm, the right endpoints of the active intervals are maintained in the leaves of the tree structure $T$, one endpoint per leaf, in sorted order.

1. Initialize $T$ to contain $I_{1}$.
2. For $i=2,3, \ldots, n$, do the following. Perform a search in $T$ for $a_{i}$. This gives the smallest $b_{j}$ in $T$ that is $>a_{i}$. If no such $b_{j}$ exists, then (by Lemma 4) mark $I_{i}$ as being inactive and proceed to $i+1$. So suppose such a $b_{j}$ exists. Set $\operatorname{label}_{i}(i)=$ label $l_{i-1}(j)+w_{i}$, and note that this implies that $I_{j}$ remains active in $S_{i}$ and has the same label as in $S_{i-1}$, i.e., label $_{i}(j)=$ label $_{i-1}(j)$. Next, insert $I_{i}$ in $T$ (of course $b_{i}$ is then in the rightmost lear of $T$ ). Then repeatedly check the leaf for $I_{k}$ which is immediately to the left or the leaf for $I_{i}$ in $T$, to see whether $I_{k}$ is inactive in $S_{i}$ (by Lemma 3, i.e., check whether $\operatorname{label}_{i-1}(k)<\operatorname{label}_{i}(i)$ ), and, if $I_{k}$ is inactive, then mark it as such, delete it from $T$, and repeat with the leaf made adjacent to $I_{i}$ by the deletion of $I_{k}$. Note that more than one leaf of $T$ may be deleted in this fashion, but that the deletion process stops short of deleting $I_{j}$ itself, because it is $I_{j}$ that gave $I_{i}$ its current label (i.c., label $_{i}(i)=$ label $_{i-1}(j)+w_{i} \geq$ label $\left._{i-1}(j)\right)$. Of course any $I_{\ell}$ whose leaf in $T$ is not deleted is in fact active in $S_{i}$ and already has the correct value of $\operatorname{labcl}_{\mathrm{i}}(\ell): \mathrm{It}$ is simply the same as label $_{i-1}(\ell)$ and we need not explicitly update it (the fact that this updating is implicit is important, as we cannot afford to go through all the leaves of $T$ at the iteration for each $i$ ).

When Step 2 terminates (at $i=n$ ), we have the values of the $\operatorname{label}_{n}(\ell)$ 's for all the active $I_{C}$ in $S_{n}$. The next step obtains the values of the label $l_{n}(\ell)$ 's for the other intervals (those that are inactive in $S_{n}$ ).
3. For every inactive $I_{i}$ in $S_{n}$, find the smallest right endpoint $b_{j}>a_{i}$ such that $I_{j}$ is active in $S_{n}$, and set $\operatorname{label}_{n}(i)=\operatorname{label}_{n}(j)+w_{i}$. Note that by Lemma 1, such an $I_{j}$ exists and it intersects $I_{i}$. This step can be easily implemented by a right-to-left scan of the sorted list of all the endpoints.

The correctness of this algorithm easily follows from the definitions, lemmas, and corollaries preceding it. Note that although a particular iteration in Step 2 may result in many deletions from $T$, overall there are less than $n$ such deletions. The time complexity of this algorithm is $O(n \log n)$ if we implement $T$ as a $2-3$ tree [1], but $O(n \log \log n)$ if we use the data structure of Van Emde Boas \{11] (the latter would require normalizing all the $2 n$ sorted endpoints so that they are integers between 1 and $2 n$ ). The next section gives an $O(n)$ time implementation of the above algorithm. Note that the main bottleneck is Step 2, since the scan needed for Step 3 obviously takes linear time.

## 4 A Linear Time Implementation

As observed earlier, the main bottlencek is Step 2 of the preliminary algorithm given in the previous section. We shall implement essentially the same algorithm, but without using the trec $T$. Instead, we use a UNION-FIND structure [5] where the elements of the sets are integers in $\{1, \ldots, \pi\}$, with integer $i$ corresponding to interval $I_{i}$. Initially, each element $i$ is in a singleton set also named $i$, that is, initially set $i$ is $\{i\}$. (We often call a set whose name is integer $i$ as set $i$, with the understanding that set $i$ may contain other elements than i.) During the execution of Step 2, we maintain the following invariants (assume we are at index $i$ in Step 2):
(1) To each currently active interval $I_{j}$ corresponds a set named $j$. If $I_{i_{1}}, I_{i_{2}}, \ldots, I_{i_{k}}$ are the active intervals in $S_{i}, i_{1}<i_{2}<\cdots<i_{k}$, then for every $i_{j} \in\left\{i_{1}, i_{2}, \ldots, i_{k-1}\right\}$, the indices of the inactive intervals $\left\{I_{\ell} \mid i_{j}<\ell<i_{j+1}\right\}$ are all in the set whose name is $i_{j+1}$. Set $i_{j+1}$ consists of the indices of the above-mentioned inactive intervals, and also of the index $i_{j+1}$ of the active interval $I_{i,+1}$. Note that since $I_{1}$ is always active, $i_{1}=1$ in the above discussion, and the set whose name is 1 is a singleton (recall that a preprocessing step has eliminated intervals whose right endpoints are contained in interval $I_{1}$ ). The next invariant is about intervals that are inactive and do not overlap with any active interval.
(2) Let Loose $\left(S_{i}\right)$ denote the subset of the inactive intervals in $S_{i}$ that do not overlap with any active interval in $S_{i}$. In Figure 1, the active intervals in $S_{9}$ are $I_{1}, I_{3}, I_{4}$, and Loose $\left(S_{9}\right)$ consists of intervals $I_{5}, I_{6}, \ldots, I_{9}$. Observe that, based on Lemma 1, every interval in $\operatorname{Loose}\left(S_{i}\right)$ is to the right of the union of the active intervals in $S_{i}$; furthermore, $\operatorname{Loose}\left(S_{i}\right)$ is nonempty iff $I_{i} \in \operatorname{Loose}\left(S_{i}\right)$. If $\operatorname{Loose}\left(S_{i}\right)$ is not empty, then let $C C_{1}, C C_{2}, \ldots, C C_{t}$ be the connected components of $\operatorname{Loose}\left(S_{i}\right)$ : There is a set named $j_{l}$ for every such $C C_{l}$, where $I_{j_{l}}$ is the rightmost interval in $C C_{l}$ ( $I_{j_{l}}$ is the interval in $C C_{l}$ having the largest right endpoint); we say that such an inactive $I_{j t}$ is special inactive. The (say) $\mu$ elements in set $j_{l}$ correspond to the $\mu$ intervals in $C C_{l}$; more specifically, they are the contiguous subset of indices $\left\{j_{l}-\mu+1, j_{l}-\mu+\right.$ $\left.2, \ldots, j_{l}-1, j_{l}\right\}$. Note that $j_{l}-\mu$ is the set named $j_{l-1}$ if $1<l \leq t$, and that $j_{t}=i$.

In Figure 1, for $i=9, C C_{1}=\left\{I_{5}, I_{6}, I_{7}\right\}, C C_{2}=\left\{I_{8}, I_{9}\right\}$, and the special inactive intervals are $I_{7}$ and $I_{9}$.
(3) An auxiliary stack contains the active intervals $I_{i_{1}}, I_{i_{2}}, \ldots, I_{i_{k}}$ mentioned in item (1) above, with $I_{i_{k}}$ at the top of the stack. We call it the active stack.

In Figure 1, for $i=9$, the active stack contains $I_{1}, I_{3}, I_{4}$ (with $I_{4}$ at the top of the stack).
(4) Another auxiliary stack contains the special inactive intervals $I_{j_{1}}, I_{j_{2}}, \ldots, I_{j_{t}}$ mentioned in item (2) above, with $\Gamma_{j \mathrm{c}}$ at the top of the stack. We call it the special inactive stack. In Figure 1, for $i=9$, the special inactive stack contains $I_{7}, I_{9}$ (with $I_{9}$ at the top of the stack).

A crucial point is how to implement, in Step 2, the search for $b_{j}$ using $a_{i}$ as the key for the scarch. This is closely tied to the way that the above invariants (1)-(4) are maintained. It makes use of some preprocessing information that is described next.

Definition 5 For every $I_{i}$, let Succ $\left(I_{i}\right)$ be the smallest index $\ell$ such that $a_{i}<b_{\ell}$, i.e., $b_{\ell}=\operatorname{Min}\left\{b_{r} \mid I_{r} \in S, a_{i}<b_{r}\right\}$.

In Figure 1, $\operatorname{Succ}\left(I_{5}\right)=5, \operatorname{Succ}\left(I_{9}\right)=8$, and $\operatorname{Succ}\left(I_{10}\right)=4$.
Note that $\ell \leq i$, and that $\ell=i$ occurs when $I_{i}$ does not contain any $b_{r}$ other than $b_{i}$. Also, observe that the definition of the Succ function is static (it does not depend on
which intervals are active). The Succ function can easily be precomputed in linear time by scanning right-to-left the sorted list of all the $2 n$ interval endpoints.

The significance of the Succ function is that, in Step 2, instead of searching for $b_{j}$ using $a_{i}$ as the key for the search, we simply do a $\operatorname{FIND}\left(\operatorname{Succ}\left(I_{i}\right)\right)$ : Let $j$ be the set name returned by this FIND operation. We distinguish 3 cases.

1. If $j=i$, then surely $I_{i}$ does not overlap with any interval in $S_{i-1}$ and it is inactive in $S_{i}$ (by Lemma 4). We simply mark $I_{i}$ as being special inactive, push $I_{i}$ on the special inactive stack, and move the scan of Step 2 to index $i+1$.

In Figure 1, this happens for $i=2, i=5$, and $i=8$.
2. If $j<i$ and $I_{j}$ is active in $S_{i-1}$, we set $\operatorname{labc} l_{i}(i)=\operatorname{label}_{i-1}(j)+w_{i}$. Then do the following updates on the two stacks:
(a) We pop all the special inactive intervals $I_{i_{i}}$ from their stack and, for each such $I_{i_{1}}$, we do UNION $\left(i_{i}, i\right)$, which results in the disappearance of set $i_{l}$ and the merging of its elements with set $i$; set $i$ retains its old name.

In Figure 1, for $i=10$, this results in the disappearance of sets 7 and 9, and the merging of their contents with set 10 .
(b) We repeatedly check whether the top of the active stack, $I_{i_{k}}$, is going to become inactive in $S_{i}$ because of $I_{i}$ (that is, because label $\left.l_{i}(i)<\operatorname{label}_{i-1}\left(i_{k}\right)\right)$. If the outcome of the test is that $I_{i_{k}}$ becomes inactive, then we do $\operatorname{UNION}\left(i_{k}, i\right)$, pop $J_{i_{k}}$ from the active stack, and continue with $I_{i_{k-1}}$, etc. If the outcome of the test is that $I_{i_{k}}$ is active in $S_{i}$, then we keep it on the active stack, push $I_{i}$ on the active stack, and move the scan of Step 2 to index $i+1$.

In Figure 2, if $I_{i}$ is active in $S_{i}, j=j_{1}$, and label $_{i}(i)<$ label $_{i-1}\left(j_{2}\right)$, then the sets $j_{2}, j_{3}, \ldots, j_{k}$ disappear and their contents get merged with set $i$.
3. If $j<i$ and $I_{j}$ is special inactive in $S_{i-1}$, then $I_{i}$ does not overlap with any active interval in $S_{i-1}$ and it is inactive in $S_{i}$ (by Lemma 4). But, $I_{i}$ does overlap with one or more inactive intervals in $S_{i-1}$, including the special inactive interval $I_{j}$; more precisely, $I_{i}$ overlaps with some connected components of $\operatorname{Loose}\left(S_{i-1}\right)$ whose rightmost intervals are contiguously stored in the stack of special inactive intervals. Let these connected components with that $I_{i}$ overlaps be called, in left to right order, $C_{1}, C_{2}, \ldots, C_{h}$. The
rightmost interval of $C_{1}$ is $I_{j}$. Let $I_{r_{2}}, I_{r_{3}}, \ldots, I_{r_{h}}$ be the rightmost intervals of (respectively) $C_{2}, C_{3}, \ldots, C_{h}$ (of course $I_{r_{h}}=I_{i-1}$ ). Observe that the top $h$ intervals in the stack of special inactive intervals are $I_{j}, I_{\mathrm{r}_{2}}, \ldots, I_{r_{h}}$, with $I_{r_{h}}\left(=I_{i-1}\right)$ on top. Because of $I_{i}$, all of these $h$ intervals will become inactive in $S_{i}$ (whereas they were special inactive in $S_{i-1}$ ). Their $h$ sets (corresponding to $C_{1}, C_{2}, \ldots, C_{h}$ ) must be merged into a new, single set having $I_{i}$ as its rightmost interval. $I_{i}$ is special inactive in $S_{i}$. This is achieved by:
(a) Popping $I_{r_{h}}, \ldots, I_{r_{2}}, I_{j}$ from the stack of special inactive intervals,
(b) performing $\operatorname{UNION}\left(r_{h}, i\right), \operatorname{UNION}\left(r_{h-1}, i\right), \ldots, \operatorname{UNION}\left(r_{2}, i\right)$, $\operatorname{UNION}(j, i)$, and
(c) pushing $I_{i}$ on the special inactive stack.

Observe that the total number of the UNION and FIND operations performed by our algorithm is $O(n)$. It is well-known that a sequence of $m$ UNION and FIND operations on $n$ elements can be performed in $O(m \alpha(m+n, n)+n)$ time [10], where $\alpha(m+n, n)$ is the (very slow-growing) functional inverse of Ackermann's function. Therefore, our algorithm runs witlin the same time bound. However, it is possible to achieve $O(n)$ time performance for our algorithm, by the following observations.

In our algorithm, every UNION operation involves two set names that are adjacent in the sorted order of the currently existing set names. That is, if $L$ is the sorted list of the set names (initially $L$ consists of all the integers from 1 to $n$ ), then a UNION operation always involves two adjacent elements of $L$. Thus the underlying UNION-FIND structure we use satisfies the requirements of the static tree set union in [5], in order to result in linear-time performance: It is the linked list $L L=(1,2, \ldots, n)$, where the element in $L L$ that follows element $\ell$ is $\operatorname{next}(\ell)=\ell+1$, for every $\ell=1,2, \ldots, n-1$ (the requirement in [5] is that the structure be a static tree). Note that the next function is static throughout our algorithm. The UNION operation in our algorithm is always of the form unite(next $(\ell), \ell)$, as defined in [5], that is, it concatenates two disjoint but consecutive sublists of $L L$ into one contiguous sublist of $L L$. On this kind of structures, a sequence of $m$ UNION and FIND operations on $n$ elements can be performed in $O(m+n)$ time [5]. Therefore, the time complexity of our algorithm is $O(n)$.

## 5 Further Extensions

This section sketches how the shortest paths algorithm of the previous sections can be used to solve problems where intervals can have zero weight, and how it can be used to solve the version of the problem where we have circular-arcs rather than intervals on a line.

### 5.1 Zero-Weight Intervals

The astute reader will have observed that the definitions and the shortest paths algorithm of the previous sections can be modified to handle zero-weight intervals as well. However, doing so would unnecessarily clutter the exposition. Instead, we show in what follows that the shortest paths problem in which some intervals have zero weight can be reduced in linear time to one in which all the weights are positive. Not only does this simplify the exposition, but the reduction used is of independent interest.

Let $P 1$ be the version of the problem that has zero-weight intervals, and let $Z$ be the nonemply subset of $S$ that contains all the zero-weight intervals of $S$. First, observe that in order to solve $P 1$, it suffices to solve the problem $P 2$ obtained from $P 1$ by replacing every connected component $C C$ of $Z$ by a new zero-weight interval that is the union of the zero-weight intervals in $C C$ (because the label of $I \in Z$ in $P 1$ is the same as the label of $J=\mathrm{U}_{I \in C C} I$ in $P 2$ ). Hence it suffices to show how to solve $P 2$. In what follows assume that we have already created, in $O(n)$ time, $P 2$ from $P 1$.

We next show how to obtain, from $P 2$, a problem $P 3$ such that (i) every interval in $P 3$ has a positive weight (and therefore $P 3$ can be solved by the algorithm of the previous sections), and (ii) the solution to $P 3$ can be used to oblain a solution to $P 2$.

Recall that, by the definition of $P 2$, two zero-weight intervals in it cannot overlap. $P 3$ is obtained from P2 by doing the following for cach zero-weight interval $J=\{a, b]$ : "cut out" the portion of the problem in between $a$ and $b$, that is, first erase, for every interval $I$ of $P 2$, the portion of $I$ in between $a$ and $b$, and then "pull" $a$ and $b$ together so they coincide in P3. This means that in P3, $J$ has disappeared, and so has every interval $J^{\prime}$ that was contained in $J$. An interval $J^{\prime \prime}$ in $P 2$ that contained $J$, or that properly overlapped with $J$, gets slirunk by the disappearance of its portion that used to overlap with $J$. For example, if we imagine that the situation in Figure 1 describes problem $P 2$, and that $J$ is (say) interval $I_{4}$ in Figure 1 (so $I_{4}$ has zero weight), then "cutting" $I_{4}$ results in the disappearance of $I_{2}$ and $I_{3}$ and the "bringing together" of $I_{1}$ and $I_{10}$ so that, in the new situation, the right
endpoint of $I_{1}$ coincides with the left endpoint of $I_{10}$.
Implcmentation Note: The above-described cutting-out process of the zero-weight intervals can be implemented in linear time by using a linked list to do the cutting and pasting. In particular, if in $P 2$ an interval $I$ of positive weight contains many zero-weight intervals $J_{1}, \ldots, J_{k}$, the cutting-out of these zero-weight intervals does not affect the representation we use for $I$ (although in a geometric sense $I$ is "shorter" afterwards, as far as the linked list representation is concerned, it is unchanged). This is an important point, since it implies that only the endpoints contained in a $J_{k}$ are affected by the cutting-out of that $J_{k}$, and such an endpoint gets updated only once because it is not contained in any other zero-weight interval of $P 2$ (recall that the zero-weight intervals of $P 2$ are pairwise non-overlapping).

By definition, P3 has no zero-weight intervals. So suppose $P 3$ has been solved by using the algorithm we gave in the earlier sections. The solution to $P 3$ yields a solution to $P 2$ in the following way.

- If an interval $I$ is in $P 3$ (i.c., $I$ has not been cut out when $P 3$ was obtained from $P 2$ ), then its label in $P 2$ is exactly the same as its label in $P 3$.
- Let $J=[a, b]$ be a zero-weight interval which was cut out from $P 2$ when $P 3$ was created. (In P3, a and $b$ coincide, so in what follows when we refer to " $a$ in $P 3$ " we are also referring to $b$ in $P 3$.) For each such $J=[a, b]$, compute in $P 3$ the smallest label of any interval of $P 3$ that contains $a$ : This is the label of $J$ in $P 2$. This computation can be done for all such $J$ 's by one linear-time scan of the endpoints of the active intervals for P3.
- Suppose $I$ is a positive-weight interval of $P 2$ that was cut out when $P 3$ was created, because it was contained in a zero-weight interval $J$ of $P 2$. Then the label of $I$ in $P 2$ is equal to: (weight of $I$ ) + (label of $J$ in $P 2$ ).


### 5.2 Circular-Arcs

The version of the shortest paths problem where we have circular-arcs on a circle $C$ instead of intervals on a straight line can be solved by two applications of the shortest paths algorithm for intervals: Suppose $I_{1}=[a, b]$ is the "source" circular-arc, where $a$ and $b$ are now positions on circle $C$. (We use the convention of writing a circular-arc as a pair of positions on the circle such that, when going from the first position to the second position along the arc, we travel in the clockwise direction.)

It is not hard to see that the following linear-time procedure solves the shortest paths problem on circular-arc graphs.

- Create a problem on a straight line by "opening" circle $C$ at $a$. That is, create an $n$ interval problem by starting at $a$ and traveling clockwise along $C$, putting the intervals encountered during this trip on a straight line, until the trip is back at $a$. Intervals that contain $a$ are not included twice in the strajght-line problem: Only their first appearance on the clockwise trip is uscd, and they are "truncaled" at $a$ (so that on the linc, they appear to begin at $a$, just like the source $I_{1}$ ). Then solve the straight-line problem so created, by using the algorithm for the interval case. The computation of this step gives each circular-arc a label.
- Repeat the above step with $a$ playing the role of $b$, and "counterclockwise" playing the rolc of "clockwise".
- The correct label for a circular-are is the smaller of the two labels, computed above, for the intervals corresponding to that are.


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