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Improved algorithms for solving bivariate systems via Rational Univariate Representations

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Abstract

Given two coprime polynomials P and Q in $\mathbb{Z}[x, y]$ of degree bounded by d and bitsize bounded by τ , we address the problem of solving the system $\{P, Q\}$. We are interested in certified numerical approximations or, more precisely, isolating boxes of the solutions. We are also interested in computing, as intermediate symbolic objects, rational parameterizations of the solutions, and in particular Rational Univariate Representations (RURs), which can easily turn many queries on the system into queries on univariate polynomials. Such representations require the computation of a separating form for the system, that is a linear combination of the variables that takes different values when evaluated at the distinct solutions of the system.

We present new algorithms for computing linear separating forms, RUR decompositions 10 and isolating boxes of the solutions. We show that these three algorithms have worst-case bit 11 complexity $\hat{O}_B(d^6 + d^5\tau)$, where \hat{O} refers to the complexity where polylogarithmic factors are 12 omitted and O_B refers to the bit complexity. We also present probabilistic Las-Vegas variants 13 of our two first algorithms, which have expected bit complecity $\widetilde{O}_B(d^5 + d^4\tau)$. A key ingredient 14 of our proofs of complexity is an amortized analysis of the triangular decomposition algorithm 15 via subresultants, which is of independent interest. 16

1 Introduction 17

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There are numerous alternatives for solving algebraic systems. Typically, isolating boxes of the 18 solutions can be computed either directly from the input system using numerical methods (such 19 as subdivision or homotopy) or indirectly by first computing intermediate symbolic representations 20 such as triangular sets, Gröbner bases, or rational parameterizations. However, only little work 21 analyzes the bit complexity of isolating the solutions without any restriction, in particular for 22 non-generic or non-radical systems. We address in this paper the problem of solving systems of 23 bivariate polynomials with integer coefficients and we focus on the bit complexity of these methods 24 in the RAM model. We focus in particular on the worst-case bit complexity in a deterministic 25 setting and on the expected bit complexity in a probabilistic Las-Vegas setting. Recall that, in 26 Las-Vegas algorithms, the sequence and number of operations are probabilistic but the output 27 is deterministic, unlike Monte-Carlo algorithms in which the output is only correct with some 28

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probability. We consider throughout the paper input polynomials of total degree at most d with 29 integer coefficients of bitsize at most τ . 30

A classical approach for solving a system of polynomials with a finite number of solutions is to 31 compute a rational parameterization of its solutions. A rational parameterization is a representation 32 of the (complex) solutions by a set of univariate polynomials and associated rational one-to-one 33 mappings that send the roots of the univariate polynomials to the solutions of the system. With 34 such a representation, many queries on the system can be transformed into queries on univariate 35 polynomials, which ease the computations. For instance, isolating the solutions of the system can 36 be done by isolating the roots of the univariate polynomials of the rational parameterization and by 37 computing the image of the resulting intervals through the associated mappings. Similarly, quering 38 whether a polynomial P vanishes at the solutions of the system can be done by substituting in P the 39 variables by their images in each of the one-to-one mappings and by testing whether this resulting 40 univariate polynomial vanishes at the roots of the associated univariate polynomial in the rational 41 parameterization. 42

The core of the algorithms that compute such rational parameterizations (see for example 43 [ABRW96, BSS03, DET09, GLS01, GVEK96, Rou99] and references therein) is the computation of 44 a so-called *linear separating form* for the solutions, that is, a linear combination of the coordinates 45 that takes different values when evaluated at different solutions of the system. Then, a shear of 46 the coordinate system using such a linear form ensures that the system is in generic position, in 47 the sense that no two solutions are vertically aligned. Since a linear form chosen randomly in 48 a sufficiently large finite set is separating with probability close to one, probabilist Monte-Carlo 49 algorithms can avoid this computation by considering a random linear form. However, when it 50 comes to deterministically computing a linear separating form, or even to check that an arbitrary 51 (e.g. random) linear form is separating, this, surprisingly, was until very recently the bottleneck in 52 the computation of rational parameterizations, even for bivariate systems, as discussed below. 53

For arbitrary multivariate systems, Rouillier [Rou99] gives an algorithm for deterministically 54 computing a separating form, which computes the number of solutions of a system with the rank of 55 the Hermite's quadratic form of a quotient algebra. The complexity of this computation dominates 56 the one that follows for computing the rational representation. Considering the special case of 57 systems of two bivariate polynomials of total degree bounded by d with integer coefficients of 58 bitsize bounded by τ , another approach, based on a triangular decomposition, has been presented 59 by Gonzalez-Vega and El Kahoui [GVEK96] for computing a separating linear form together with 60 a rational parameterization of the solutions. The best-known bit complexity of this approach, 61 analyzed by Diochnos et al. [DET09, Lemma 16 & Theorem 19]¹, shows a bit complexity in $O_B(d^{10}+$ 62 $d^9\tau$) for computing a separating form and a bit complexity in $\tilde{O}_B(d^7 + d^6\tau)$ for computing the 63 corresponding rational parameterization. The computation of a separating linear form was still 64 the bottleneck in the computation of the rational parameterization. An algorithm using modular 65 arithmetic was then introduced by Bouzidi et al. [BLPR15] reducing the complexity to $\hat{O}_{R}(d^{8}+d^{7}\tau)$. 66 This algorithm was later simplified and improved by transforming the problem into the computation 67 of a separating form for the critical points of a curve, which improved the bit complexity to $O_B(d^7 +$ 68 $d^6\tau$) in the worst case and to $O_B(d^5+d^4\tau)$ in a probabilistic Las Vegas setting [BLP+14]. Bouzidi 69 et al. [BLPR15] also showed that, given such a separating linear form, an alternative rational 70 parameterization called Rational Univariate Representation (RUR) [Rou99] can be computed using 71 $\widetilde{O}_{B}(d^{7}+d^{6}\tau)$ bit operations. For the first time, the worst-case bit complexities of computing linear 72

¹The overall bit complexity stated in [DET09, Theorem 19] is $\widetilde{O}_B(d^{12} + d^{10}\tau^2)$ because it includes the isolation of the solutions of the system. Note, however, that the complexity of the isolation phase, and thus of the whole algorithm, decreases to $\tilde{O}_B(d^{10} + d^9\tau)$ using Pan [Pan02] results on the complexity of isolating the real roots of a univariate polynomial.

⁷³ separating forms and rational parameterizations (even RURs) of bivariate systems were both in ⁷⁴ the same class of complexity $\tilde{O}_B(d^7 + d^6\tau)$ and, also for the first time, the expected bit complexity ⁷⁵ for computing linear separating forms, in a Las-Vegas setting, was in a smaller class of complexity, ⁷⁶ $\tilde{O}_B(d^5 + d^4\tau)$.

Very recently, Kobel and Sagraloff [KS15b] presented an algorithm of worst-case bit complexity 77 $O_B(d^6 + d^5\tau)$ for computing isolating boxes of the solutions of bivariate systems. Their approach 78 is based on resultant computations, projecting the solutions on the x and y-axes, thus defining 79 a grid of candidate solutions. Then, approximate evaluations combined with adaptive evaluation 80 bounds enable to identify the solutions from the grid. This method does not need the knowledge of 81 a separating form, but once the solutions are isolated with enough precision, such a separating form 82 can be computed in $\tilde{O}_B(d^6 + d^5\tau)$ bit operations [KS15b]. This approach for computing separating 83 linear forms has the best known worst-case complexity. However, it would be surprising that 84 computing a separating form with such complexity would require to first isolate the solutions of the 85 system, since separating forms are precisely instrumental for solving systems in parameterization-86 based approaches. The present work indeed demonstrates that separating linear forms and rational 87 parameterizations (including RURs) can be directly computed with this $\widetilde{O}_B(d^6 + d^5\tau)$ state-of-the-88 art worst-case bit complexity, and that the solutions of the system can be isolated from the RUR 89 in the same worst-case complexity. 90

Main results. Let P and Q be two coprime polynomials in $\mathbb{Z}[x, y]$ of degree bounded by d and 91 bitsize bounded by τ . We present three algorithms, one for each of the main steps of solving a 92 bivariate system $\{P, Q\}$ via RURs, that is, computing (i) a linear separating form, (ii) a RUR 93 decomposition of the system, and (iii) isolating boxes of the solutions. Each of these algorithms 94 has worst-case bit complexity $\widetilde{O}_B(d^6 + d^5\tau)$ and we also present Las-Vegas variants of expected bit 95 complexity $\widetilde{O}_{R}(d^{5}+d^{4}\tau)$ of our two first algorithms (see Theorems 28, 29, 45, 46, 61). We do not 96 present a Las-Vegas variant of our last algorithm for computing isolating boxes but it should be 97 noticed that the complexity of that subdivision-based algorithm actually depends on the distances 98 between the solutions and thus its worst-case complexity is not always reached; moreover, we do 99 not know whether our $O_B(d^6 + d^5\tau)$ worst-case upper bound is tight for step (iii). 100

Our algorithm for computing a separating linear form is based on the one presented in [BLP⁺¹⁴]. 101 while improving its worst-case bit complexity by a factor d. Furthermore, its Las-Vegas variant 102 is simpler than the one presented in [BLP+14] and it has the same expected bit complexity. As 103 mentioned above the worst-case complexity of this new algorithm also matches the recent one 104 by Kobel and Sagraloff [KS15b]. Our algorithm for computing a RUR decomposition of $\{P, Q\}$ 105 improves by a factor d the state-of-the-art worst-case bit complexity [BLPR15]. Furthermore, our 106 Las-Vegas variant is, up to our knowledge, the first Las-Vegas algorithm whose expected complexity 107 is asymptotically better than the worst-case complexity and, as a result, our Las-Vegas algorithm 108 improves the state-of-the-art expected bit complexity by a factor d^2 . For the isolation problem 109 from the RURs, we improve the state-of-the-art complexity by a factor d^2 [BLPR15, Proposition 110 35], while matching the resultant-based complexity presented by Kobel and Sagraloff [KS15b]. 111

Last but not least, we present an amortized analysis of the classical triangular decomposition via subresultants of bivariate systems [GVEK96], proving that the decomposition can be computed in $\widetilde{O}_B(d^6 + d^5\tau)$ bit operations in the worst case (Proposition 16), which improves by a factor dthe state-of-the-art analysis [DET09, Proof of Theorem 19]. This result, while instrumental for the worst-case complexity analyses of our algorithms, is also of independent interest.

¹¹⁷ We first present a detailed overview of our contributions in Section 2. Notation and prelim-¹¹⁸ inaries are then introduced in Section 3. We present in Section 4 our amortized analysis of the ¹¹⁹ triangular decomposition and a related luckiness certificate which is a key feature of the following multi-modular algorithms. Sections 5, 6 and 7 present respectively our algorithms for computing separating forms, RUR decompositions and isolating boxes of the solutions.

122 2 Overview

We present in this section a detailed overview of our contributions and strategies. Recall that Pand Q denote two coprime polynomials in $\mathbb{Z}[x, y]$ of total degree at most d and maximum bitsize τ .

¹²⁵ 2.1 Triangular decomposition and luckiness certificate

We first recall in Section 4.1 the classical subresultant-based algorithm for computing the triangular decomposition of a zero-dimensional bivariate system $\{P, Q\}$. This decomposition appears, for instance, for solving bivariate systems [LMMRS11] or for the computation of the topology of curves [GVEK96]. This triangular decomposition algorithm will be used in our algorithm for computing a RUR decomposition of $\{P, Q\}$.

We then present in Section 4.2 a straightforward variation on this algorithm, which only computes the degree of this triangular decomposition (see Definition 11). This variation decreases the expected bit complexity of the algorithm and it is critical for our Las-Vegas algorithm for computing a separating linear form.

We then present in Section 4.3 another variation on the triangular decomposition algorithm, which computes a luckiness certificate for this triangular decomposition. A luckiness certificate of $\{P,Q\}$ is an integer such that if a prime μ does not divide it, then μ is lucky for the triangular decomposition of $\{P,Q\}$ that is, the degree of the decomposition is preserved by the reduction modulo μ and the decomposition commutes with the reduction modulo μ (see Definition 13). Our deterministic algorithms for the separating form and the RUR computations will both use this luckiness certificate.

In Section 4.4, we prove that the worst-case bit complexities of these three algorithms are in $\widetilde{O}_B(d^6 + d^5\tau)$ and that the expected bit complexity of the one for computing the degree of the triangular decomposition is in $\widetilde{O}_B(d^5 + d^4\tau)$ (Proposition 16). The worst-case complexity is obtained by considering amortized bounds on the degrees and bitsizes of factors of the resultant and it improves by a factor *d* the state-of-the-art complexity for computing the triangular decomposition [DET09, Proof of Theorem 19]. Besides of being of independent interest, these improvements are critical for the complexity analysis of the following algorithms.

¹⁴⁹ 2.2 Separating linear form

In Section 5, we present a new algorithm for computing separating linear forms for a bivariate system $\{P, Q\}$. We actually present two algorithms, a deterministic one of worst-case bit complexity $\widetilde{O}_B(d^6 + d^5\tau)$ and a probabilistic Las-Vegas variant of expected bit complexity $\widetilde{O}_B(d^5 + d^4\tau)$ (Theorems 28 and 29).

Our approach is based on the algorithms presented in [BLPR15] and [BLP⁺14] while improving 154 the worst-case bit complexity by one order of magnitude. We briefly recall the essence of these 155 algorithms. The first step of the algorithm presented in [BLPR15] is to compute the number 156 of distinct solutions and a so-called lucky prime for the system. Such a lucky prime is, roughly 157 speaking, a prime such that the system has the same number of distinct solutions as its image 158 modulo μ (see Definition 18). In a second step, all polynomials and computations are considered 159 modulo μ . The algorithm then considers iteratively a candidate separating form x + ay with an 160 integer a incrementing from 0. The algorithm computes the number of distinct solutions after 161

projection along the direction of the line x + ay = 0 and stops when a value a is found such that the number of distinct projected solutions equals that of the system. The worst-case bit complexity of this algorithm is in $\widetilde{O}_B(d^8 + d^7\tau)$.

The main additional ideas introduced in $[BLP^+14]$ are that it is sufficient to compute a sepa-165 rating form for the system $\{H, \frac{\partial H}{\partial y}\}$ of critical points of a curve H associated to the input system 166 $\{P,Q\}$ (see Section 5.2) and that the number of critical points can easily be computed as the differ-167 ence between the degrees of the triangular decompositions of the systems $\{H, (\frac{\partial H}{\partial y})^2\}$ and $\{H, \frac{\partial H}{\partial y}\}$ 168 (see Definition 11). This improves the worst-case bit complexity of the algorithm to $\widetilde{O}_B(d^7 + d^6\tau)$. 169 In Section 5.3, we show how these algorithms can be again improved by one order of magnitude 170 in the worst-case. The main ideas of these improvements are as follows. First, in Section 5.3.1, we 171 show how our improvment on the complexity analysis of triangular decompositions presented in 172 Section 4.4 improves the complexity of the computation of the number of solutions of $\{H, \frac{\partial H}{\partial y}\}$. In Section 5.3.2, we present a new algorithm for computing a lucky prime for the system 173 174 $\{H, \frac{\partial H}{\partial y}\}$ using the luckiness certificates for triangular decompositions presented in Section 4.3. 175 More precisely, we compute a lucky prime for $\{H, \frac{\partial H}{\partial y}\}$ by computing a prime μ that, essentially, 176 does not divide the product of the luckiness certificates of the two systems $\{H, \frac{\partial H}{\partial y}\}$ and $\{H, (\frac{\partial H}{\partial y})^2\}$. 177 By definition of the luckiness certificates, the degrees of the triangular decompositions of these two 178 systems are the same over \mathbb{Z} and \mathbb{Z}_{μ} . The difference of these degrees, which is the number of 179 solution of $\{H, \frac{\partial H}{\partial y}\}$, is thus also the same over \mathbb{Z} and \mathbb{Z}_{μ} , which essentially yields that μ is lucky 180 for $\{H, \frac{\partial H}{\partial y}\}$. 181 The last ingredient of our algorithm is to show, in Section 5.3.3, how, given the number of 182

solutions and a lucky prime for the system $\{H, \frac{\partial H}{\partial y}\}$, the bit complexity of the algorithm presented 183 in [BLPR15] for computing a separating linear form for $\{H, \frac{\partial H}{\partial y}\}$ can be improved from $\widetilde{O}_B(d^8 + d^7\tau)$ 184 to $\widetilde{O}_B(d^6 + d^5\tau)$ by using multipoint evaluation and changing the organization of the computations. 185 In Section 5.4, we wrap up these results, which prove that we can compute a separating linear 186 form for the input system $\{P, Q\}$ in $O_B(d^6 + d^5\tau)$ bit operations in the worst case (Theorem 28). 187 Finally, we show in Section 5.5 that our determinitic algorithm can be modified in a straight-188 forward manner into a probabilistic Las-Vegas algorithm of expected bit complexity $O_B(d^5 + d^4\tau)$ 189 (Theorem 29). This is done by choosing randomly a linear form x + ay and a prime μ for the system $\{H, \frac{\partial H}{\partial y}\}$, until the number of distinct solutions of $\{H, \frac{\partial H}{\partial y}\}$ is equal to the number of distinct 190 191 solutions of that system modulo μ and after projection along the direction of the line x + ay = 0. 192 This new algorithm is similar to one presented in $[BLP^{+}14]$ and it has the same expected 193 bit complexity, while its worst-case counterpart is improved by a factor d. Furthermore, this new 194 algorithm is simpler because, in particular, (i) we choose random values for a and μ together instead 195 of first computing a lucky prime and only then a separating form and (ii) we avoid the explicit 196 computation of the constant in the asymptotic upper bound on the number of unlucky prime. 197

¹⁹⁸ 2.3 Multimodular RUR decomposition

We present in Section 6 a new algorithm for computing a rational parameterization of the solutions of a bivariate system $\{P, Q\}$. As in Section 5, we actually present two algorithms, a determinitic one of worst-case bit complexity $\tilde{O}_B(d^6 + d^5\tau)$ and a probabilistic Las-Vegas variant of expected bit complexity $\tilde{O}_B(d^5 + d^4\tau)$ (Theorems 45 and 46). We consider here that a separating form x + ayhas been computed for $\{P, Q\}$ as shown in Section 5.

Recall that the two algorithms with best known bit complexity for computing rational parameterizations of the solutions are those by Gonzalez-Vega and El Kahoui [GVEK96] and by Bouzidi et al. [BLPR15], both of complexity $\tilde{O}_B(d^7 + d^6\tau)$. The former algorithm first shears the input

polynomials according the separating form (to ensure that no two solutions are vertically aligned) 207 and then computes a parameterization of the solutions of every system of the triangular decompo-208 sition of the sheared system; the multiplicities of the solutions of $\{P, Q\}$ are thus not preserved. 209 The latter algorithm computes a single RUR of $\{P, Q\}$, which preserves the multiplicities of the 210 solutions (see Proposition 35). In this latter approach, the input bivariate polynomials are formally 211 sheared using an additional variable that parameterizes a generic linear form, and the resultant of 212 these (trivariate) polynomials is computed. The polynomials of the RUR are then expressed (and 213 computed) as combinations of this resultant and its partial derivatives, specialized at the value a214 associated with the given linear separating form. 215

Here, we combine in essence these two approaches and compute a RUR for every system of 216 the triangular decomposition of the sheared input system. However, in order to obtain the claimed 217 complexities, we do not compute a RUR of every triangular system using the approach of [BLPR15]. 218 Instead, Algorithm 6 works as follows. First, we compute the triangular decomposition of the 219 sheared input system as in [GVEK96]. We then show that the radical ideals of the triangular 220 systems can easily be obtained (Lemma 39). Using the simple structure of these radical ideals, we 221 derive formulas for their RURs (Lemma 40). For complexity issues, we do not use these formulas to 222 directly compute RURs over \mathbb{Q} but we use instead a multi-modular approach. For that purpose, we 223 use known bounds on the size of the RUR coefficients and the luckiness certificate of the triangular 224 decomposition introduced in Section 4.3 to select the primes. 225

Finally, we show in Section 6.3 how our determinitic algorithm can be transformed into a 226 probabilistic Las-Vegas one of expected bit complexity $\widetilde{O}_B(d^5 + d^4\tau)$. In order to obtain this 227 complexity, we cannot compute the triangular decomposition as described above. Instead, we show 228 in Section 6.3.1 that we can only compute the coefficients of these triangular systems that are 229 needed for obtaining their radicals, within the targeted bit complexity. We also choose randomly 230 the primes in the multi-modular computation described above. This can be done in a Las-Vegas 231 setting because we show that we can choose good primes with sufficiently high probability and that 232 we can check whether the primes are good within the targeted complexity. 233

234 2.4 Computing isolating boxes from a RUR decomposition

Section 7 introduces Algorithm 9 computing isolating boxes for the complex solutions from a RUR. By definition, the RUR of an ideal I defines a mapping between the roots of a univariate polynomial and the solutions of I. A RUR is hence naturally designed to compute isolating boxes using univariate isolation and interval evaluation.

An algorithm with bit complexity $\tilde{O}_B(d^8 + d^7\tau)$ was presented in [BLPR15, §5.1 and Proposition 35] for the isolation of the real solutions of a system $\{P, Q\}$ of two bivariate polynomials of degree bounded by d and bitsize bounded by τ . Section 7.2 presents a modified algorithm that isolates all complex solutions. Using several amortized bounds for the roots of polynomials (Section 7.1), we show that Algorithm 9 applied to a RUR decomposition of a system $\{P, Q\}$, isolates all complex solutions in $\tilde{O}_B(d^6 + d^5\tau)$ (Theorem 61).

²⁴⁵ **3** Notation and preliminaries

We introduce notation and recall some classical material about subresultants, gcds, lucky primes for gcd computations, and multiplicities. Experienced readers can skip this classical material at first and later return to it for reference.

The bitsize of an integer p is the number of bits needed to represent it, that is $\lfloor \log p \rfloor + 1$ (log refers to the logarithm in base 2). The bitsize of a rational is the maximum bitsize of

its numerator and its denominator. The bitsize of a polynomial with integer coefficients is the maximum bitsize of its coefficients. As mentioned earlier, O_B refers to the bit complexity and \widetilde{O}_B and \widetilde{O}_B refer to complexities where polylogarithmic factors are omitted, i.e., $f(n) \in \widetilde{O}(g(n))$ if $f(n) \in O(g(n) \log^k(n))$ for some $k \in \mathbb{N}$.

In this paper, we consider algorithms both in the worst-case and the probabilistic Las-Vegas setting. Recall that in Las-Vegas algorithms the sequence and number of operations are probabilistic but the output is deterministic. The expected complexities of these algorithms refer to average number of bit operations that are performed for distributions of random variables considered in the process of the algorithms; these expected complexities hold without any assumption on the distribution of the input.

In the following, μ is a prime number and we denote by \mathbb{Z}_{μ} the quotient $\mathbb{Z}/\mu\mathbb{Z}$. We denote by 261 $\phi_{\mu}: \mathbb{Z} \to \mathbb{Z}_{\mu}$ the reduction modulo μ , and extend this definition to the reduction of polynomials 262 with integer coefficients. We denote by \mathbb{D} a unique factorization domain, typically $\mathbb{Z}[x, y]$, $\mathbb{Z}[x]$, 263 $\mathbb{Z}_{\mu}[x], \mathbb{Z} \text{ or } \mathbb{Z}_{\mu}$. We also denote by \mathbb{F} a field, typically \mathbb{Q}, \mathbb{C} , or \mathbb{Z}_{μ} and by $\mathbb{F}_{\mathbb{D}}$ the fraction field of \mathbb{D} . 264 For any polynomial P in $\mathbb{D}[x]$, let $Lc_x(P)$ denote its leading coefficient with respect to the 265 variable x and $d_x(P)$ its degree with respect to x. The degree of a polynomial refers to its total 266 degree, unless specified otherwise. For any curve defined by H(x, y) in $\mathbb{D}[x, y]$, we call the critical 267 points of H with respect to x or more shortly the critical point of H, the points that are solutions of 268 the system $\{H, \frac{\partial H}{\partial y}\}$. In this paper, the solutions of a system of polynomials are always considered in the algebraic closure of $\mathbb{F}_{\mathbb{D}}$. 269 270

Subresultant and gcd. We first recall the concept of *polynomial determinant* of a matrix which is used in the definition of subresultants. Let M be an $m \times n$ matrix with $m \leq n$ and M_i be the square submatrix of M consisting of the first m-1 columns and the *i*-th column of M, for $i = m, \ldots, n$. The *polynomial determinant* of M is the polynomial defined as $\det(M_m)y^{n-m} + \det(M_{m+1})y^{n-(m+1)} + \cdots + \det(M_n)$.

Let $P = \sum_{i=0}^{p} a_i y^i$ and $Q = \sum_{i=0}^{q} b_i y^i$ be two polynomials in $\mathbb{D}[y]$ and assume, without loss of generality, that $a_p b_q \neq 0$ and $p \ge q$.

The Sylvester matrix of P and Q, $\operatorname{Syl}_{y}(P,Q)$ is the (p+q)-square matrix whose rows are $y^{q-1}P, \ldots, P, y^{p-1}Q, \ldots, Q$ considered as vectors in the basis $y^{p+q-1}, \ldots, y, 1$.

$$Syl_{y}(P,Q) = \begin{pmatrix} a_{p} & a_{p-1} & \cdots & a_{0} & & \\ & a_{p} & a_{p-1} & \cdots & a_{0} & & \\ & \ddots & & \ddots & & \\ & & a_{p} & a_{p-1} & \cdots & a_{0} & & \\ & & b_{q} & b_{q-1} & \cdots & b_{0} & & \\ & & b_{q} & b_{q-1} & \cdots & b_{0} & & \\ & & \ddots & & \ddots & & \\ & & & \ddots & & \ddots & & \\ & & & b_{q} & b_{q-1} & \cdots & b_{0} \end{pmatrix} \right\}$$
q rows

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For $i = 0, ..., \min(q, p-1)$, let $\operatorname{Syl}_{y,i}(P, Q)$ be the $(p+q-2i) \times (p+q-i)$ matrix obtained from Syl_y(P,Q) by deleting the *i* last rows of the coefficients of P, the *i* last rows of the coefficients of Q, and the *i* last columns.

Definition 1. ([EK03, §3]). For $i = 0, ..., \min(q, p - 1)$, the *i*-th polynomial subresultant of P and Q, denoted by $\operatorname{Sres}_{y,i}(P,Q) = \operatorname{sres}_{y,i}(P,Q)y^i + \operatorname{sres}_{y,i,i-1}(P,Q)y^{i-1} + \cdots + \operatorname{sres}_{y,i,0}(P,Q)$ is the polynomial determinant of $\operatorname{Syl}_{y,i}(P,Q)$.

For practical consideration, when q = p, we define the q-th polynomial subresultant of P 287 and Q as Q^2 . The polynomial $\operatorname{Sres}_i(P,Q)$ has degree at most i in y and it can be written as 288 $\operatorname{sres}_{y,i}(P,Q)y^i + \operatorname{sres}_{y,i,i-1}(P,Q)y^{i-1} + \cdots + \operatorname{sres}_{y,i,0}(P,Q)$, where the coefficient of its monomial 289 of degree i in y, $\operatorname{sres}_i(P,Q)$, is called the i-th principal subresultant coefficient. Unless specified 290 otherwise, the subresultants are always considered with respect to the variable y and then, for 291 simplicity, we do not explicitly refer to the variable in the notation. Note that $Sres_0(P,Q) =$ 292 $\operatorname{sres}_0(P,Q)$ is the resultant of P and Q with respect to y, which we also denote by $\operatorname{Res}_u(P,Q)$. 293 Again, when the resultant is considered with respect to y, we omit the reference to the variable 294 and denote it by $\operatorname{Res}(P,Q)$. 295

The matricial definition of subresultants implies the so-called *specialization property* of subresultants, that is $\phi(\operatorname{Sres}_i(P,Q)) = \operatorname{Sres}_i(\phi(P),\phi(Q))$ for any morphism ϕ between \mathbb{D} and another unique factorization domain \mathbb{D}' such that none of the leading coefficients of P and Q vanishes through ϕ . More generally, the equality holds up to a non-zero multiplicative constant in \mathbb{D}' when only one of the leading coefficients vanishes [EK03, Lemmas 2.3, 3.1].

We state in Lemma 2 a fundamental property of subresultants which is instrumental in the triangular decomposition algorithm. For clarity, we state this property for bivariate polynomials $P = \sum_{i=0}^{p} a_i y^i$ and $Q = \sum_{i=0}^{q} b_i y^i$ in $\mathbb{D}[x, y]$, with $p \ge q$. This property is a direct consequence of the specialization property of subresultants and of the gap structure theorem; see for instance [EK03, Lemmas 2.3, 3.1 and Cor. 5.1].

Before stating Lemma 2, we recall that a greatest common divisor (gcd) of P and Q is a 306 polynomial in $\mathbb{D}[x, y]$ that divides P and Q such that any common divisor of P and Q also divides 307 the gcd in $\mathbb{D}[x, y]$. The greatest common divisor is unique only up to the multiplication by an 308 invertible element of \mathbb{D} . When \mathbb{D} is equal to \mathbb{Z} , the gcd of P and Q is unique up to its sign and we 309 refer to any of them as the gcd for simplicity. On the other hand, when \mathbb{D} is a field, we refer to the 310 monic gcd (with respect to a given ordering of the variables) as to the gcd. Furthermore, in the 311 sequel, we sometimes compare gcds defined in $\mathbb{Z}_{\mu}[x, y]$ and the reduction modulo μ of gcds defined 312 in $\mathbb{Z}[x, y]$; for simplicity, we often say they are equal if they are equal up to the multiplication by 313 a non-zero constant in \mathbb{Z}_{μ} . Note finally that if P and Q are coprime in $\mathbb{Z}[x, y]$, then they define a 314 zero-dimensional system. 315

Lemma 2. For any α such that $a_p(\alpha)$ and $b_q(\alpha)$ do not both vanish,³ the first subresultant polynomial $\operatorname{Sres}_k(P,Q)(\alpha,y)$ (for k increasing) that does not identically vanish is of degree k, and it is the gcd of $P(\alpha,y)$ and $Q(\alpha,y)$ (up to the multiplication by a non-zero constant in the fraction field of $\mathbb{D}(\alpha)$).

We recall complexity results, using fast algorithms, on subresultants and gcd computations.

Lemma 3 ([BPR06, Prop. 8.46] [Rei97, §8] [vzGG13, §11.2]). Let P and Q be in $\mathbb{Z}[x_1, \ldots, x_n][y]$ (n fixed) with coefficients of bitsize at most τ such that their degrees in y are bounded by d_y and their degrees in the other variables are bounded by d.

• The coefficients of $\operatorname{Sres}_i(P,Q)$ have bitsize in $O(d_u \tau)$.

• The degree in x_i of $\operatorname{Sres}_i(P,Q)$ is at most $2d(d_y-i)$.

325

² It can be observed that, when p > q, the q-th subresultant is equal to $b_q^{p-q-1}Q$, however it is not defined when p = q. In this case, El Kahoui suggests to extend the definition to $b_q^{-1}Q$ assuming that the domain \mathbb{D} is integral. However, b_q^{-1} does not necessarily belong to \mathbb{D} , which is not practical. Note that it is important to define the q-th subresultant to be a multiple of Q so that Lemma 2 holds when $P(\alpha, y)$ and $Q(\alpha, y)$ have same degree and are multiple of one another.

³Note that this property is often stated with a stronger assumption, that is, that *none* of the leading coefficients $a_p(\alpha)$ and $b_q(\alpha)$ vanishes.

• For any $i \in \{0, \ldots, \min(d_y(P), d_y(Q))\}$, the *i*-th Bézout's relation *i.e.* the polynomials Sres_i(P,Q), U_i and V_i can be computed in $\widetilde{O}(d^n d_y^{n+1})$ arithmetic operations and $\widetilde{O}_B(d^n d_y^{n+2} \tau)$ bit operations. These complexities also hold for the computation of the sequence of principal subresultant coefficients sres_i(P,Q).⁴

In the univariate case, we need a refinement of the previous lemma in the case of two polynomials with different degrees and bitsizes. In addition, we often consider the gcd of two univariate polynomials P and Q and the gcd-free part of P with respect to Q, that is $\frac{P}{\text{gcd}(P,Q)}$. Note that when Q = P', the latter is the squarefree part of P. Since the gcd and gcd-free part can be computed via subresultants, we summarize all these complexity results in the following lemma. Since we do not know a proper reference for these results in the case of different degrees and bitsizes, we provide a proof.

Lemma 4 ([LR01] [vzGG13, §11.2]). Let P and Q be two polynomials in $\mathbb{Z}[y]$ of degrees p and 338 $q \leq p$ and of bitsizes τ_P and τ_Q , respectively.

• The coefficients of $\operatorname{Sres}_i(P,Q)$ have bitsize in $O(p\tau_Q + q\tau_P)$.

• Any subresultant $\operatorname{Sres}_i(P,Q)$ as well as the sequence of principal subresultant coefficients stars $\widetilde{O}_B(p(p\tau_Q + q\tau_P))$ bit operations.

• In $\mathbb{Z}[y]$, the gcd of P and Q has bitsize $O(\min(p + \tau_P, q + \tau_Q))$ and it can be computed in $\widetilde{O}(p)$ arithmetic operations, and $\widetilde{O}_B(p(p\tau_Q + q\tau_P))$ bit operations. The gcd-free part of P with respect to Q has bitsize $O(p + \tau_P)$ and it can be computed in the same complexities.

Proof. Using the well-known half-gcd approach, the algorithm in [LR01] computes any polynomial in the Sylvester-Habicht and cofactors sequence in a softly-linear number of arithmetic operations, and it exploits Hadamard's inequality on the Sylvester matrix to bound the size of the coefficients. The Sylvester-Habicht sequence is a signed variant of the subresultant sequence thus the same complexity bounds apply for both. The same approach is also used in [vzGG13, §11] to compute the sequence of principal subresultant coefficients.

When the two input polynomials have different degrees and bitsizes, Hadamard's inequality 352 reads as $O(p\tau_Q + q\tau_P)$ instead of simply $O(d\tau)$ when both polynomials have degree bounded by d 353 and bitsize bounded by τ . Using the Chinese Remainder Algorithm, the algorithms in [LR01] and 354 in [vzGG13, §11] hence compute any subresultant polynomial as well as the sequence of principal 355 subresultant coefficients in $O_B(p(p\tau_Q + q\tau_P))$ bit operations instead of simply $O(d^2\tau)$. One subre-356 sultant and a cofactor are, up to integer factors, the gcd and gcd-free part of P and Q ([BPR06. 357 Prop. 10.14]). These polynomials in $\mathbb{Z}[y]$ are thus computed in $O_B(p(p\tau_Q + q\tau_P))$ and have bitsize 358 in $O(p\tau_Q + q\tau_P)$. On the other hand, Mignotte's lemma (see e.g. [BPR06, Corollary 10.12]) gives 359 the stated better bounds for the bitsize of the gcd and the gcd-free part. Thus, dividing the com-360 puted polynomials by the gcd of their coefficients, which can be done with $O_B(p(p\tau_Q + q\tau_P))$ bit 361 operations, yields the primitive parts of the gcd and gcd-free part in $\mathbb{Z}[y]$ (when input polynomials 362 are not primitive, the gcd is obtained by multiplying this primitive gcd by the gcd of the contents 363 of the input polynomials). 364

We also state the following complexity on the computation of the gcd and gcd-free parts of bivariate polynomials, whose proof is a minor refinement of one in [MSW15].

⁴The complexity of computing the sequence of principal subresultant coefficients is stated in [vzGG13, §. 11.2] only for univariate polynomials, however, one can use the binary segmentation technique described in [Rei97, \S 8] to generalize the latter to multivariate polynomials.

Lemma 5. Given P and Q in $\mathbb{Z}[x, y]$ of maximum degree d and maximum bitsize τ , their gcd and the gcd-free parts can be computed in $\widetilde{O}_B(d^5 + d^4\tau)$ bit operations in the worst case.

Proof. [MSW15, Lemma 13] proves that G, the gcd of P and Q, can be computed in $\widetilde{O}_B(d^6 + d^5\tau)$ bit complexity. More precisely, they prove a complexity in $\widetilde{O}_B(d^5 + d^4\tau)$ plus that of computing the whole subresultant sequence of two bivariate polynomials of total degree O(d) and bitsize $O(d + \tau)$ (that is of P and Q sheared so that their leading coefficients in y is in \mathbb{Z}). However, only the first non-zero subresultant is needed and the bit complexity of this computation is in $\widetilde{O}_B(d^5 + d^4\tau)$ by Lemma 3.

We now consider P and G, the gcd of P and Q, as polynomials in y with coefficients in $\mathbb{Z}[x]$. The gcd-free part of P with respect to Q is the quotient of the Euclidean division between P and G. This division can be run in $\mathbb{Z}[x][y]$. Indeed, since the leading coefficient of G divides that of P,

it also divides the leading coefficient of each intermediate remainder $r_i = P - q_i G$ where q_i refers

to the *i*-th truncation (with respect to y) of the quotient of P by G. Moreover, since G divides P

and by Mignotte's lemma (see e.g. [BPR06, Corollary 10.12]), the polynomials q_i have coefficients

of degree in O(d) in x and bitsize in $O(d + \tau)$, and so as for the intermediate remainders r_i . The Euclidean division can thus be done using $O(d^2)$ additions and multiplications and O(d) exact

divisions between polynomials in $\mathbb{Z}[x]$ of degree in O(d) and bitsize in $O(d + \tau)$, which yields a bit complexity in $\widetilde{O}_B(d^4 + d^3\tau)$.

Note that, alternatively, the gcd-free parts of P and Q could be obtained almost directly as *i*-th subresultant cofactors of P and Q (see [BPR06, Proposition 10.14 & Corollary 8.32 & §1.2]). \Box

Lucky primes for gcd computations. We use in this paper three notions of lucky primes. We recall here the definition of lucky primes for gcds and we later introduce the definition of lucky primes for algebraic systems (Definition 18) and for triangular decompositions (Definition 13). Let A and B be polynomials in $\mathbb{Z}[x]$.

Definition 6 ([Yap00, §4.4]). A prime number μ is lucky for the gcd of A and B if

 $\bullet \ \phi_{\mu}(\operatorname{Lc}(A) \cdot \operatorname{Lc}(B)) \neq 0, \text{ and}$

• gcd(A, B) has the same degree as $gcd(A_{\mu}, B_{\mu})$.

Lemma 7 ([Yap00, Lemmas 4.11 and 4.12]). A prime number is lucky for the gcd of A and B if and only if it divides the leading coefficient of neither A, nor B, nor $\operatorname{Sres}_d(A, B)$ where d is the degree of $\operatorname{gcd}(A, B)$. When μ is lucky for the gcd of A and B, then $\phi_{\mu}(\operatorname{gcd}(A, B)) = \operatorname{gcd}(A_{\mu}, B_{\mu})$ (up to a non-null factor in \mathbb{Z}_{μ}).

Multiplicities. We define the two notions of multiplicities that we use for the solutions of a system and show an inequality that they satisfy, which is used for the amortized complexity analysis of the triangular decomposition (Proposition 15).

Definition 8. Let I be an ideal of $\mathbb{D}[x, y]$ and denote by \mathbb{F} the algebraic closure of \mathbb{D} . To each zero (α, β) of I corresponds a local ring $(\mathbb{F}[x, y]/I)_{(\alpha, \beta)}$ obtained by localizing the ring $\mathbb{F}[x, y]/I$ at the maximal ideal $\langle x - \alpha, y - \beta \rangle$. When this local ring is finite dimensional as \mathbb{F} -vector space, this dimension is called the **multiplicity of** (α, β) as a zero of I and is noted $\text{mult}((\alpha, \beta), I)[CLO05, \S4.2].$

We call the fiber of a point $\mathbf{p} = (\alpha, \beta)$ the vertical line of equation $x = \alpha$. The multiplicity of \mathbf{p} in its fiber with respect to a system of polynomials $\{P,Q\}$ in $\mathbb{F}[x,y]$, noted mult_{fiber}($(\alpha, \beta), \{P,Q\}$), is the multiplicity of β in the univariate polynomial gcd($P(\alpha, y), Q(\alpha, y)$).⁵ (This multiplicity is zero if P or Q does not vanish at \mathbf{p} .)

⁵The gcd is naturally considered over $\mathbb{F}(\alpha)[y]$, the ring of polynomials in y with coefficients in the field extension of \mathbb{F} by α .

Lemma 9. The multiplicity of any solution in its fiber with respect to the system $\{P, Q\}$ is smaller than or equal to its multiplicity in $\{P, Q\}$.

⁴¹² Proof. Let (α, β) be a solution of the system $\{P, Q\}$. We have the inclusion of ideals

$$\begin{array}{lll} \langle P(x,y), Q(x,y) \rangle &\subseteq & \langle P(x,y), Q(x,y), x - \alpha, \gcd(P(\alpha,y), Q(\alpha,y)) \rangle \\ &\subseteq & \langle P(\alpha,y), Q(\alpha,y), x - \alpha, \gcd(P(\alpha,y), Q(\alpha,y)) \rangle \\ &\subseteq & \langle x - \alpha, \gcd(P(\alpha,y), Q(\alpha,y)) \rangle. \end{array}$$

Indeed, the first and last inclusions are trivial and the second one follows from the fact that $P(x,y) \in \langle P(\alpha,y), x-\alpha \rangle$ since P(x,y) can be written as $P(\alpha,y) + \sum_{i \ge 1} \frac{\partial^i P(\alpha,y)}{\partial x^i} (x-\alpha)^i$. This ideal inclusion implies that the multiplicity of (α,β) in $\langle P,Q \rangle$ is larger than or equal to its multiplicity in $\langle x-\alpha, \gcd(P(\alpha,y),Q(\alpha,y)) \rangle$, which is equal to the multiplicity of β in $\gcd(P(\alpha,y),Q(\alpha,y))$ since $x-\alpha$ is squarefree. The latter is by definition the multiplicity in its fiber of the solution (α,β) with respect to the system $\{P,Q\}$.

419 4 Triangular decomposition and luckiness certificate

This section presents an improved complexity analysis of the classical triangular decomposition via subresultants and two variants of this algorithm that we will need in the following sections. The improvement comes from new amortized bounds on the degree and bitsize of factors of the resultant, which we prove in Proposition 15. Besides of being of independent interest, this improvement is critical for the complexity analysis of our two variants of this algorithm.

In Section 4.1, we recall Algorithm 1, the classical algorithm for computing the triangular decomposition of a zero-dimensional bivariate system $\{P, Q\}$. In Section 4.2, we present Algorithm 1', a variant that only computes the "degree" of the triangular decomposition. In Section 4.3, we present Algorithm 2, another variant that computes a luckiness certificate (see Definition 13) for the triangular decomposition. Finally, in Section 4.4, we present an amortized complexity analysis of these algorithms.

431 4.1 Triangular decomposition via subresultants

The idea is based on Lemma 2 which states that, after specialization at $x = \alpha$, the first (with 432 respect to increasing i) non-zero subresultant $\operatorname{Sres}_i(P,Q)(\alpha,y)$ is of degree i and is equal to the 433 gcd of $P(\alpha, y)$ and $Q(\alpha, y)$. This induces a decomposition into triangular subsystems $\{A_i(x), A_i(x), A_i$ 434 $\operatorname{Sres}_i(P,Q)(x,y)$ where a solution α of $A_i(x) = 0$ is such that the system $\{P(\alpha,y), Q(\alpha,y)\}$ admits 435 exactly i roots (counted with multiplicity), which are exactly those of $\operatorname{Sres}_i(P,Q)(\alpha,y)$. Further-436 more, these triangular subsystems are regular chains, i.e., the leading coefficient of the bivariate 437 polynomial (seen in y) is coprime with the univariate polynomial. We recall in Algorithm 1 how 438 this decomposition is computed. Note that this algorithm performs $O(d^4)$ arithmetic operations. 439 Indeed, the computation of the subresultant sequence has complexity $O(d^4)$ and there are at most 440

Algorithm 1 Triangular decomposition [GVEK96, LMMRS11]

Input: P, Q in $\mathbb{D}[x, y]$ coprime such that $\mathrm{Lc}_y(P)$ and $\mathrm{Lc}_y(Q)$ are coprime

Output: Triangular decomposition $\{(A_i(x), B_i(x, y))\}_{i \in \mathcal{I}}$ such that the set of solutions of $\{P, Q\}$ is the disjoint union of the sets of solutions of $\{(A_i(x), B_i(x, y))\}_{i \in \mathcal{I}}$

- 1: If needed, exchange P and Q so that $d_y(Q) \leq d_y(P)$
- 2: Compute the subresultant sequence of P and Q with respect to y: $B_i = \operatorname{Sres}_i(P, Q)$
- 3: $G_0 = \text{squarefree part}(\text{Res}(P,Q)) \text{ and } \mathcal{T} = \emptyset$
- 4: for i = 1 to $d_y(Q)$ do
- 5: $G_i = \gcd(G_{i-1}, \operatorname{sres}_i(P, Q))$
- $6: \quad A_i = G_{i-1}/G_i$
- 7: if $d_x(A_i) > 0$, add (A_i, B_i) to \mathcal{T}
- 8: return $\mathcal{T} = \{(A_i(x), B_i(x, y))\}_{i \in \mathcal{I}}$

Algorithm 1' Degree of the triangular decomposition

Input: P, Q in $\mathbb{D}[x, y]$ coprime such that $\mathrm{Lc}_y(P)$ and $\mathrm{Lc}_y(Q)$ are coprime

- **Output:** The degree of the triangular decomposition of $\{P, Q\}$
- 1: If needed, exchange P and Q so that $d_y(Q) \leq d_y(P)$
- 2: Compute the sequence of principal subresultant coefficients of P and Q with respect to y: $\operatorname{sres}_i(P,Q)$
- 3: $G_0 = \text{squarefree part}(\text{Res}(P,Q))$
- 4: for i = 1 to $d_y(Q)$ do
- 5: $G_i = \operatorname{gcd}(G_{i-1}, \operatorname{sres}_i(P, Q))$
- 6: return $\sum_{i \in \mathcal{I}} (d_x(G_{i-1}) d_x(G_i)) i$

⁴⁴¹ d gcd computations each of complexity $\tilde{O}(d^2)$ (see e.g. [BLPR15, Lemma 15] for details). The next ⁴⁴² lemma summarizes the main properties of this triangular decomposition.

Lemma 10 ([GVEK96, LMMRS11]). Algorithm 1 computes a triangular decomposition $\{(A_i(x), B_i(x, y))\}_{i \in \mathcal{I}}$ such that

- the set of distinct solutions of $\{P,Q\}$ is the disjoint union of the sets of distinct solutions of the $\{A_i(x), B_i(x, y)\}, i \in \mathcal{I},$
- 447 $\prod_{i \in \mathcal{I}} A_i$ is squarefree,
- for any root α of A_i , $B_i(\alpha, y)$ is of degree *i* and equals $gcd(P(\alpha, y), Q(\alpha, y))$ (up to a constant factor),
- A_i is coprime with $\operatorname{Lc}_y(B_i) = \operatorname{sres}_i(P,Q)$.

451 4.2 Degree of a triangular decomposition

Definition 11. The degree of a triangular decomposition $\{(A_i(x), B_i(x, y))\}_{i \in \mathcal{I}}$ of is the sum of the degrees of these systems, that is

$$\sum_{i \in \mathcal{I}} d_x(A_i(x)) d_y(B_i(x,y))$$

where d_x refers to the degree of the polynomial with respect to x and similarly for y. For simplicity, we refer to the degree of the triangular decomposition of $\{P,Q\}$ as to the degree of the triangular decomposition computed by Algorithm 1 on $\{P,Q\}$. Algorithm 2 Luckiness certificate

Input: P, Q in $\mathbb{Z}[x, y]$ coprime such that $\operatorname{Lc}_y(P)$ and $\operatorname{Lc}_y(Q)$ are coprime

- **Output:** A luckiness certificate of $\{P, Q\}$, that is, an integer Π such that if μ does not divide Π , then μ is lucky for the triangular decomposition of $\{P, Q\}$ according to Definition 13
- 1: If needed, exchange P and Q so that $d_y(Q) \leq d_y(P)$
- 2: Compute the sequence of principal subresultant coefficients of P and Q with respect to y: $\operatorname{sres}_i(P,Q)$
- 3: $G_0 = \text{squarefree part}(\text{Res}(P,Q))$
- 4: $SG_0 = \operatorname{sres}_{x,k}(\operatorname{Res}(P,Q), \frac{\partial \operatorname{Res}(P,Q)}{\partial x})$ the first non-null principal subresultant coefficient (for k increasing)
- 5: for i = 1 to $d_y(Q)$ do
- 6: $SG_i = \operatorname{sres}_{x,k}(G_{i-1}, \operatorname{sres}_i(P, Q))$ the first non-null principal subresultant coefficient (for k increasing)
- 7: $G_i = \operatorname{gcd}(G_{i-1}, \operatorname{sres}_i(P, Q))$
- 8: $\Pi = \operatorname{Lc}_{x}(\operatorname{Lc}_{y}(P)) \cdot \operatorname{Lc}_{x}(\operatorname{Lc}_{y}(Q)) \cdot \operatorname{Res}_{x}(\operatorname{Lc}_{y}(P), \operatorname{Lc}_{y}(Q)) \cdot \operatorname{d}_{x}(\operatorname{Res}(P,Q)) \cdot \prod_{i=0}^{\operatorname{d}_{y}(Q)} SG_{i} \cdot \operatorname{Lc}_{x}(\operatorname{sres}_{i}(P,Q))$ 9: return Π

Algorithm 1', a straightforward variant of Algorithm 1, computes the degree of triangular 457 decomposition of $\{P, Q\}$. The difference with Algorithm 1 is that we do not compute (in Line 2) 458 the whole subresultant sequence but only the sequence of their principal coefficients. In other 459 words, we do not compute the bivariate polynomials $B_i(x, y)$ of the triangular decomposition but 460 only their leading terms (seen as polynomials in y). Furthermore, we do not compute the univariate 461 polynomials $A_i(x)$ of the decomposition but only their degrees. This simplification does not modify 462 the worst-case bit complexity of the algorithm but it decreases its expected bit complexity (see 463 Proposition 16 and its proof). This simplification is thus not needed in the deterministic version 464 of our algorithm for computing a separating linear form but it is needed in our randomized version 465 (see Section 5.5). 466

Lemma 12 (Correctness of Algorithm 1'). Algorithm 1' computes the degree of the triangular decomposition of $\{P, Q\}$.

Proof. Let $\{(A_i(x), B_i(x, y))\}_{i \in \mathcal{I}}$ denote the triangular decomposition of $\{P, Q\}$. By Lemma 10, B_i(x, y) is of degree *i* in *y*. On the other hand, $A_i(x)$ is defined in Algorithm 1 Line 6 as G_{i-1}/G_i thus its degree is $d_x(G_{i-1}) - d_x(G_i)$. It follows that the degree of the triangular decomposition is $\sum_{i \in \mathcal{I}} (d_x(G_{i-1}) - d_x(G_i)) i$.

473 4.3 Lucky primes for a triangular decomposition

⁴⁷⁴ In this section, we define the lucky primes for the triangular decomposition of Algorithm 1 and ⁴⁷⁵ introduce Algorithm 2 that computes a luckiness certificate i.e. an integer that is divisible by all ⁴⁷⁶ the unlucky primes.

⁴⁷⁷ **Definition 13.** A prime μ is lucky for the triangular decomposition of Algorithm 1 applied ⁴⁷⁸ to P and Q if the decomposition commutes with the morphism ϕ_{μ} and its degree is invariant ⁴⁷⁹ through ϕ_{μ} .⁶

⁶More precisely, if $\{(A_i, B_i)\}_{i \in \mathcal{I}} = \text{Algorithm 1}(P, Q)$ and $\{(A_i^{\mu}, B_i^{\mu})\}_{i \in \mathcal{I}^{\mu}} = \text{Algorithm 1}(\phi_{\mu}(P), \phi_{\mu}(Q))$, then $\mathcal{I} = \mathcal{I}^{\mu}, \phi_{\mu}(A_i) = A_i^{\mu}, \phi_{\mu}(B_i) = B_i^{\mu}$ for every $i \in \mathcal{I}$ and the two triangular decompositions have the same degree. Note that (P, Q) and $(\phi_{\mu}(P), \phi_{\mu}(Q))$ are also required to satisfy the hypotheses of Algorithm 1.

Lemma 14 (Correctness of Algorithm 2). The integer Π output by Algorithm 2 is a luckiness 480 certificate of $\{P,Q\}$, that is, if μ does not divide Π , then it is lucky for the triangular decomposition 481 of $\{P, Q\}$. 482

Proof. For convenience, we simply denote by ϕ the morphism ϕ_{μ} that performs a reduction modulo 483 μ . Let P and Q be two coprime polynomials in $\mathbb{Z}[x, y]$ such that $\mathrm{Lc}_y(P)$ and $\mathrm{Lc}_y(Q)$ are coprime. 484 Let G_i, A_i, B_i be the polynomials computed in Algorithm 1 on the input P and Q, and G_i^{μ}, A_i^{μ} , 485 B_i^{μ} be the polynomials computed in Algorithm 1 on the input $\phi(P)$ and $\phi(Q)$. 486

We first prove that $\phi(P)$ and $\phi(Q)$ satisfy the conditions of Algorithm 1, that is that they are 487 coprime and that their leading coefficients are coprime. Observe first that $\phi(\operatorname{Lc}_u(P)) = \operatorname{Lc}_u(\phi(P))$ 488 since μ does not divide Lc_x(Lc_y(P)), and similarly for Q. Furthermore, since μ does not di-489 vide the leading coefficients of $Lc_{y}(P)$ and $Lc_{y}(Q)$, their resultant and ϕ commute. Hence, 490 $\phi(\operatorname{Res}_x(\operatorname{Lc}_y(P),\operatorname{Lc}_y(Q))) = \operatorname{Res}_x(\operatorname{Lc}_y(\phi(P)),\operatorname{Lc}_y(\phi(Q))))$ and, since the left-hand-side term is non-491 zero by assumption, so is the right-hand side, which means that that the leading coefficients of 492 $\phi(P)$ and $\phi(Q)$ are coprime. Furthermore, since μ does not divide sres₀(P,Q) = Res(P,Q), we also 493 have that $\operatorname{Res}(\phi(P), \phi(Q)) \neq 0$. We have proved that $\phi(P)$ and $\phi(Q)$ have a non-zero resultant and 494 that their leading coefficients are coprime, which implies that $\phi(P)$ and $\phi(Q)$ are coprime. Hence, 495 they satisfy the conditions of Algorithm 1. 496

We now prove that $\phi(A_i) = A_i^{\mu}$, $\phi(B_i) = B_i^{\mu}$ and $d_x(A_i) = d_x(A_i^{\mu})$ for all i > 0. Since μ divides 497 neither the leadings of P nor Q, the specialization property of the subresultant polynomials writes 498 as $\phi(B_i) = \phi(\operatorname{Sres}_i(P,Q)) = \operatorname{Sres}_i(\phi(P),\phi(Q)) = B_i^{\mu}$. We now show by induction on $i \ge 0$ that 490 $\phi(G_i) = G_i^{\mu}$ and $d_x(G_i) = d_x(G_i^{\mu})$, which implies that $\phi(A_i) = A_i^{\mu}$ and $d_x(A_i) = d_x(A_i^{\mu})$ for i > 0500 since $A_i = G_{i-1}/G_i$. 501

Case i = 0. μ is lucky for the gcd of $\operatorname{sres}_0(P,Q)$ and $\frac{\partial \operatorname{sres}_0(P,Q)}{\partial x}$ by Lemma 7. Indeed, first, μ does not divide the leading coefficient $\operatorname{Lc}_x(\operatorname{sres}_0(P,Q))$ of $\operatorname{sres}_0(P,Q)$. It follows that μ does not 502 503 divide the leading coefficient of $\frac{\partial \operatorname{sres}_0(P,Q)}{\partial x}$ since μ does not divide $d_x(\operatorname{Res}(P,Q)) = d_x(\operatorname{sres}_0(P,Q))$. Finally, μ does not divide SG_0 . It follows, still by Lemma 7, that ϕ and gcd commute on $\operatorname{sres}_0(P,Q)$ 504 505 and $\frac{\partial \operatorname{sres}_0(P,Q)}{\partial x}$. Hence, $\phi(G_0) = G_0^{\mu}$ by the specialization property of the subresultants since the 506 leading coefficients of P, Q do not vanish modulo μ . 507

We now prove that $d_x(G_0) = d_x(G_0^{\mu})$, which is now equivalent to proving that $d_x(G_0) =$ 508 $d_x(\phi(G_0))$. Since the image through ϕ of any polynomial does not increase its degree, $d_x(\phi(G_0)) \leq$ 509 $d_x(G_0)$. Furthermore, $d_x(\phi(G_0)) \ge d_x(G_0)$, because $G_0 = \frac{\operatorname{Res}(P,Q)}{\gcd(\operatorname{Res}(P,Q), \frac{\partial \operatorname{Res}(P,Q)}{\partial x})}$ and $\operatorname{Res}(P,Q)$ and its image through ϕ have the same degree (since μ does not divide the leading coefficient of 510 511 $\operatorname{Res}(P,Q)).$

512

Case i > 0. We assume that $\phi(G_{i-1}) = G_{i-1}^{\mu}$ and $d_x(G_{i-1}) = d_x(G_{i-1}^{\mu})$. By Lemma 7, μ is lucky 513 for the gcd of G_{i-1} and $\operatorname{sres}_i(P,Q)$. Indeed, μ divides none of the leading coefficients of G_{i-1} and 514 $\operatorname{sres}_i(P,Q)$ (since G_{i-1} is a factor of $\operatorname{sres}_{i-1}(P,Q)$), and μ does not divide SG_i either. This implies, 515 still by Lemma 7, that $\phi(G_i) = \phi(\operatorname{gcd}(G_{i-1},\operatorname{sres}_i(P,Q))) = \operatorname{gcd}(\phi(G_{i-1}),\phi(\operatorname{sres}_i(P,Q)))$. This is 516 also equal to $gcd(G_{i-1}^{\mu}, sres_i(\phi(P), \phi(Q))) = G_i^{\mu}$ by the induction hypothesis and the property of 517 specialization of the subresultants. Hence, $\phi(G_i) = G_i^{\mu}$. Furthermore, since μ is lucky for the gcd 518 of G_{i-1} and $\operatorname{sres}_i(P,Q)$, this gcd, which is G_i by definition, and $\operatorname{gcd}(\phi(G_{i-1}), \phi(\operatorname{sres}_i(P,Q))) = G_i^{\mu}$ 519 have the same degree by Definition 6. This concludes the proof of the induction. 520

We have proved that $\phi(A_i) = A_i^{\mu}$, $\phi(B_i) = B_i^{\mu}$ and $d_x(A_i) = d_x(A_i^{\mu})$ for all i > 0. The latter 521 property directly implies that $\mathcal{I} = \mathcal{I}^{\mu}$. Now, for $i \in \mathcal{I} = \mathcal{I}^{\mu}$, the degrees in y of B_i and B_i^{μ} are 522 equal to i by Lemma 10 (and Definition 1). This implies that the degrees of the decompositions 523 $\{(A_i, B_i)\}_{i \in \mathcal{I}}$ and $\{(A_i^{\mu}, B_i^{\mu})\}_{i \in \mathcal{I}^{\mu}}$ are the same, which concludes the proof. 524 525

526 4.4 Amortized complexity analysis

For the analysis of Algorithms 1, 1' and 2, we first prove amortized bounds on the degree and bitsize of the factors G_i of the resultant in the triangular decomposition.

Proposition 15. For $i = 0, ..., d_y(Q) - 1$, let d_i and τ_i be the degree and bitsize of the polynomial G_i in the triangular decomposition of P and Q computed in Algorithm 1. We have:

•
$$d_i \leqslant \frac{d^2}{i+1}$$
 and $\tau_i = \widetilde{O}(\frac{d^2+d\tau}{i+1})$,

•
$$\sum_{i=0}^{d_y(Q)-1} d_i \leqslant d^2$$
 and $\sum_{i=0}^{d_y(Q)-1} \tau_i = \widetilde{O}(d^2 + d\tau).$

Proof. Let $\{(A_i(x), B_i(x, y))\}_{i \in \mathcal{I}}$ be the sequence of triangular systems output by Algorithm 1 on P and Q. By the properties of the triangular decomposition (Lemma 10), for any root α of A_i ,

$$d_y(B_i(\alpha, y)) = i$$
 and $B_i(\alpha, y) = \gcd(P(\alpha, y), Q(\alpha, y))$

⁵³⁵ up to the multiplication by a constant factor.

By Definition 8, the multiplicity of (α, β) in its fiber with respect to system $\{P, Q\}$, denoted by mult_{fiber}($(\alpha, \beta), \{P, Q\}$), is the multiplicity of β in the univariate polynomial gcd($P(\alpha, y), Q(\alpha, y)$). Thus, for any root α of A_i ,

$$\sum_{\beta \text{ s.t. } B_i(\alpha,\beta)=0} \operatorname{mult_{fiber}}((\alpha,\beta), \{P,Q\}) = i.$$

According to Lemma 9, the multiplicity of a solution in its fiber is smaller than its multiplicity in the system thus, for any root α of A_i ,

$$i \leq \sum_{\beta \text{ s.t. } B_i(\alpha,\beta)=0} \operatorname{mult}((\alpha,\beta), \{P,Q\})$$

This latter sum is the multiplicity of α in the resultant $\operatorname{Res}(P,Q)$ because the set of solutions of $\{P,Q\}$ is the disjoint union of the sets of solutions of the $\{(A_i(x), B_i(x, y))\}_{i \in \mathcal{I}}$ (Lemma 10). Hence the multiplicity in $\operatorname{Res}(P,Q)$ of any root α of A_i is at least i and since A_i is squarefree (Lemma 10), A_i^i divides $\operatorname{Res}(P,Q)$. In addition, the A_i are pairwise coprime thus $\prod_{i=1}^{d_y(Q)} A_i^i$ divides $\operatorname{Res}(P,Q)$. On the other hand, $G_i = \prod_{j>i} A_j$ by construction, thus $\prod_{i=0}^{d_y(Q)-1} G_i = \prod_{i=1}^{d_y(Q)} A_i^i$ divides $\operatorname{Res}(P,Q)$. The bound on the degrees, $\sum_{i=0}^{d_y(Q)-1} d_i \leq d_x(\operatorname{Res}(P,Q)) \leq d^2$, is then a consequence of Bézout's bound on the system $\{P,Q\}$. In addition, A_i^i divides $\operatorname{Res}(P,Q)$ implies that $G_i^{i+1} = \prod_{j>i} A_j^{i+1}$ also divides $\operatorname{Res}(P,Q)$, which yields $d_i \leq \frac{d^2}{i+1}$.

For proving the bounds on the bitsize of G_i , we introduce Mahler's measure. For a univariate polynomial f with integer coefficients, its Mahler measure is M(f) = $|\operatorname{Lc}(f)|\prod_{z_i \text{ s.t. } f(z_i)=0} \max(1, |z_i|)$, where every complex root appears with its multiplicity. Mahler's measure is multiplicative: M(fg) = M(f)M(g) and, since it is at least 1 for any polynomial with integer coefficients, f divides g implies that $M(g) \ge M(f)$. We also prove two inequalities connecting the bitsize τ and degree d of f and its Mahler measure M(f).

(i)
$$\tau \leq 1 + d + \log M(f)$$
. Indeed, [BPR06, Prop. 10.8] states that $||f||_1 \leq 2^d M(f)$, thus $||f||_{\infty} \leq 2^d M(f)$ and $\log ||f||_{\infty} \leq d + \log M(f)$, which yields the result since $\tau = \lfloor \log ||f||_{\infty} \rfloor + 1$.

⁵⁵⁷ (ii)
$$\log M(f) = O(\tau + \log d)$$
. Indeed, [BPR06, Prop. 10.9] states that $M(f) \leq ||f||_2$, thus
⁵⁵⁸ $M(f) \leq \sqrt{d+1} ||f||_{\infty}$ and $\log M(f) \leq \log \sqrt{d+1} + \log ||f||_{\infty}$.

The fact that G_i^{i+1} divides $\operatorname{Res}(P,Q)$ implies that $M(G_i)^{i+1} \leq M(\operatorname{Res}(P,Q))$ and thus that log $M(G_i) \leq \frac{\log M(\operatorname{Res}(P,Q))}{i+1}$. Inequality (i) together with $d_i \leq \frac{d^2}{i+1}$ then yields

$$\tau_i \leqslant 1 + \frac{d^2}{i+1} + \frac{\log M(\operatorname{Res}(P,Q))}{i+1}.$$

Inequality (ii) then yields $\tau_i = \widetilde{O}(\frac{d^2+d\tau}{i+1})$ since the bitsize of $\operatorname{Res}(P,Q)$ is in $\widetilde{O}(d\tau)$. The bound on the sum of the bitsizes is then straightforward using the fact that $\sum_{i=0}^{d_y(Q)-1} \frac{1}{i+1} = O(\log d)$. \Box

Proposition 16. If P, Q in $\mathbb{Z}[x, y]$ have degree at most d and bitsize at most τ , Algorithms 1, 1' and 2 perform $\widetilde{O}_B(d^6 + d^5\tau)$ bit operations in the worst case. Algorithm 1' performs $\widetilde{O}_B(d^5 + d^4\tau)$ bit operations on average. The integer Π output by Algorithm 2 has bitsize $\widetilde{O}(d^4 + d^3\tau)$.

⁵⁶⁶ Proof. By Lemma 3, the sequence of the subresultants $\operatorname{Sres}_i(P,Q)$ can be computed in $\widetilde{O}_B(d^5\tau)$ ⁵⁶⁷ bit operations and the sequence of their principal coefficients $\operatorname{sres}_i(P,Q)$ (including the resultant) ⁵⁶⁸ can be computed in $\widetilde{O}_B(d^4\tau)$ bit operations. Thus, Line 2 has complexity $\widetilde{O}_B(d^5\tau)$ in Algorithm 1 ⁵⁶⁹ and $\widetilde{O}_B(d^4\tau)$ in Algorithms 1' and 2.

⁵⁷⁰ By Lemma 3, each of the principal subresultant coefficients sres_i (including the resultant) has ⁵⁷¹ degree $O(d^2)$ and bitsize $\tilde{O}(d\tau)$. Thus, by Lemma 4, in Line 3 of all three algorithms and in Line 4 ⁵⁷² of Algorithm 2, G_0 and SG_0 can be computed in $\tilde{O}_B((d^2)^2(d\tau)) = \tilde{O}_B(d^5\tau)$ bit operations.

In their loops, the three algorithms perform (in total) the computations of at most $d \gcd$ (or 573 sequences of principal subresultant coefficients) between polynomials G_{i-1} and sres_i. Polynomial 574 sres_i has bitsize $O(d\tau)$ and degree $O(d^2)$, and denoting by τ_i and d_i the bitsize and degree of 575 G_i , Lemma 4 yields a complexity in $O_B(d^2(d^2\tau_{i-1}+d_{i-1}d\tau))$ for the computation of G_i and SG_i . 576 According to Proposition 15, these complexities sum up over all i to $O_B(d^6 + d^5\tau)$. Finally, in 577 Line 6 of Algorithm 1, the division of G_{i-1} by G_i can be done in a bit complexity of the order 578 of the square of their maximum degree times their maximum bitsize [vzGG13, Theorem 9.6 and 579 subsequent discussion], that is in $O_B(d_i^2\tau_i)$ (or actually $O_B(d_i^2+d_i\tau_i)$ according to [vzGG13, Exercise 580 10.21]). By Proposition 15, $d_i \leq d^2$ and $\tau_i = \widetilde{O}(\frac{d^2+d\tau}{i+1})$, thus $\sum_i O_B(d_i^2\tau_i) = \widetilde{O}_B(d^6+d^5\tau)$. Hence 581 the worst-case bit complexity of all three algorithms is in $\widetilde{O}_B(d^6 + d^5\tau)$. 582

⁵⁸³ We now show that the expected bit complexity of Algorithm 1' is in $\widetilde{O}_B(d^5 + d^4\tau)$. As above ⁵⁸⁴ the worst-case bit complexity of Line 2 is in $\widetilde{O}_B(d^4\tau)$. The rest of the algorithm performs O(d) gcd ⁵⁸⁵ computations and one exact division (in Line 3) between polynomials of degree $O(d^2)$ and bitsize ⁵⁸⁶ $\widetilde{O}(d\tau)$. Each of these operations can be done with an expected bit complexity of $\widetilde{O}_B((d^2)^2 + d^2 \cdot d\tau)$ ⁵⁸⁷ (the squared degree plus the degree times the bitsize) [vzGG13, Corollary 11.14 & Exercice 9.14]. ⁵⁸⁸ The expected bit complexity of Algorithm 1' is thus in $\widetilde{O}_B(d^5 + d^4\tau)$.

Concerning the last claim of the lemma, recall that

$$\Pi = \operatorname{Lc}_{x}(\operatorname{Lc}_{y}(P)) \cdot \operatorname{Lc}_{x}(\operatorname{Lc}_{y}(Q)) \cdot \operatorname{Res}_{x}(\operatorname{Lc}_{y}(P), \operatorname{Lc}_{y}(Q)) \cdot \operatorname{d}_{x}(\operatorname{Res}(P,Q)) \cdot \prod_{i=0}^{\operatorname{d}_{y}(Q)} SG_{i} \cdot \operatorname{Lc}_{x}(\operatorname{sres}_{i}(P,Q)).$$

Since P and Q have degree at most d and bitsize at most τ , the first two terms have bitsize at most 589 τ and, by Lemma 4, $\operatorname{Res}_x(\operatorname{Lc}_u(P), \operatorname{Lc}_u(Q))$ has bitsize $O(d\tau)$. Furthermore, as noted above, every 590 $\operatorname{sres}_i(P,Q)$ (including the resultant of P and Q) has degree has degree $O(d^2)$ and bitsize $O(d\tau)$. In 591 particular the bitsize of $d_x(\operatorname{Res}(P,Q))$ is in $O(\log d)$ and that of $\operatorname{Lc}_x(\operatorname{sres}_i(P,Q))$ is in $\widetilde{O}(d\tau)$. In 592 addition, still by Lemma 4, SG_0 has bitsize $\widetilde{O}(d^2 \cdot d\tau)$. On the other hand, by Lemma 4, for $i \ge 1$, 593 SG_i has bitsize $\widetilde{O}(d^2\tau_{i-1}+d_{i-1}d\tau)$ with d_i and τ_i the degree and bitsize of G_i . By Proposition 15, 594 these bitsizes sum up to $\widetilde{O}(d^4 + d^3\tau)$. The bitsize of Π is bounded by the sum of all these bitsizes 595 and is thus in $\widetilde{O}(d^4 + d^3\tau)$. 596

Remark 17. Following the proof for the expected complexity of Algorithm 1', we directly get that Algorithm 1, except for Line 2, performs $\tilde{O}_B(d^5 + d^4\tau)$ bit operations on average. This will be useful for the proof of complexity of Algorithm 6'.

5 Separating linear form

This section presents a new algorithm of worst-case bit complexity $\widetilde{O}_B(d^6 + d^5\tau)$ for computing a separating linear form for a bivariate system of two coprime polynomials P, Q in $\mathbb{Z}[x, y]$ of total degree at most d and maximum bitsize τ (Theorem 28). We also present a randomized version of this algorithm of expected bit complexity $\widetilde{O}_B(d^5 + d^4\tau)$ (Theorem 29).

As mentionned in Section 2, this algorithm is based on those presented in [BLPR15] and [BLP⁺14]. In Section 5.2, we improve a result from [BLP⁺14] showing that computing a separating linear form for a system $\{P, Q\}$ is essentially equivalent (in terms of asymptotic bit complexity) to computing a separating linear form for the critical points of a curve. Section 5.3 then presents our algorithm for computing a separating linear form for the critical points of such a curve. In Section 5.4, we gather our results for deterministically computing separating linear forms of bivariate systems. Finally, in Section 5.5, we present the randomized version of our algorithm.

612 5.1 Notation and definitions

We first introduce some notation and formally define lucky primes for a system. Given the two input polynomials P and Q, we consider the "generic" change of variables x = t - sy, and define the "sheared" polynomials P(t - sy, y), Q(t - sy, y), and their resultant with respect to y,

$$R(t,s) = \operatorname{Res}(P(t-sy,y), Q(t-sy,y)).$$

⁶¹⁶ We introduce the following notation for the leading coefficients of these polynomials;

$$L_P(s) = \operatorname{Lc}_y(P(t - sy, y)), \quad L_Q(s) = \operatorname{Lc}_y(Q(t - sy, y)).$$
(1)

617 Note that these polynomials do not depend on t.

Definition 18 ([BLPR15, Definition 8]). A prime number μ is said to be lucky for a zerodimensional system $\{P,Q\}$ if $\{P,Q\}$ and $\{\phi_{\mu}(P), \phi_{\mu}(Q)\}$ have the same number of distinct solutions (in their respective algebraic closures), $\mu > 2d^4$ and $\phi_{\mu}(L_P(s)) \phi_{\mu}(L_Q(s)) \neq 0$.

Note that we consider μ in $\Omega(d^4)$ in Definition 18 because, in Algorithm 5, we want to ensure that there exists, for the system $\{\phi_{\mu}(P), \phi_{\mu}(Q)\}$ (resp. $\{P, Q\}$), a separating form x + ay with ain \mathbb{Z}_{μ} (resp. $0 \leq a < \mu$ in \mathbb{Z}). The constant 2 in the bound $2d^4$ is an overestimate, which simplifies some proofs in [BLPR15].

Definition 19. Let H be a polynomial in $\mathbb{Z}[x, y]$. A separating form for the curve defined by H is a separating form for the system $\{H, \frac{\partial H}{\partial y}\}$ of critical points of the curve.

Remark that shearing the critical points of a curve (with respect to x) is not the same as taking the critical points of a sheared curve. In particular, given a separating form x + ay for a curve, it is possible that the shearing $(x, y) \mapsto (x' = x + ay, y)$ does not shear the curve in a generic position in the sense of Gonzalez-Vega et al. [GVEK96], that is the critical points (with respect to x') of the sheared curve may be vertically aligned.

⁶³² 5.2 From a system to a curve

We prove here Proposition 22, which states that it is essentially equivalent from an asymptotic bit complexity point of view to compute a separating linear form for a system $\{P, Q\}$ and to compute a separating linear form for the critical points of a curve H. According to Definition 19, we refer to the latter as a separating linear form for H. The proof essentially follows that of [BLP⁺14, Lemma 7] but we improve by a factor d the complexity of computing the curve H.

The critical points of a curve of equation H are the solutions of the system $\{H, \frac{\partial H}{\partial y}\}$, thus computing a separating linear form for a curve amounts, by definition, to computing a separating linear form for a system of two equations. Conversely, a separating linear form for the curve PQis also separating for the system $\{P, Q\}$ since any solution of $\{P, Q\}$ is also solution of PQ and of $\frac{\partial PQ}{\partial y} = P \frac{\partial Q}{\partial y} + \frac{\partial P}{\partial y} Q$.

⁶⁴² $\frac{\partial PQ}{\partial y} = P \frac{\partial Q}{\partial y} + \frac{\partial P}{\partial y} Q.$ ⁶⁴³ However, it may happen that the curve PQ admits no separating linear form even if $\{P, Q\}$ ⁶⁴⁴ admits one. Indeed, $\{P, Q\}$ can be zero-dimensional while PQ is not squarefree (and such that the ⁶⁴⁵ infinitely many critical points cannot be separated by a linear form). Nevertheless, if P and Q are ⁶⁴⁶ coprime and squarefree, then PQ is squarefree and thus it has finitely many singular points. Still ⁶⁴⁷ the curve H = PQ may contain vertical lines, and thus infinitely many critical points, but this ⁶⁴⁸ issue can easily be handled by shearing the coordinate system.

We analyze in the next lemma the complexity of computing a proper shearing of the coordinate system. Then, in the following lemma, we prove that the product of the squarefree parts of the two sheared polynomials does indeed define a curve for which separating linear forms exist and are all separating of the input system. Proposition 22 gather these two results.

Lemma 20. Given P and Q in $\mathbb{Z}[x,y]$ of maximum degree d and maximum bitsize τ , we can compute a shearing of the coordinate system from (x,y) to $(t = x + \alpha y, y)$ with α an integer in [0,2d], such that the sheared polynomials \tilde{P} and \tilde{Q} have coefficients of bitsize $\tilde{O}(d + \tau)$ and have their leading coefficients $\operatorname{Lc}_y(\tilde{P})$ and $\operatorname{Lc}_y(\tilde{Q})$ in \mathbb{Z} . This computation can be done with $\tilde{O}_B(d^4 + d^3\tau)$ bit operations in the worst case.

Proof. We consider a generic shearing of the coordinate system from (x, y) to (t = x + sy, y) in order to find a value $s = \alpha$ so that the sheared curves $\widetilde{P}(t, y) = P(t - \alpha y, y)$ and $\widetilde{Q}(t, y) = Q(t - \alpha y, y)$ have no vertical asymptote, that is $\operatorname{Lc}_y(\widetilde{P})$ and $\operatorname{Lc}_y(\widetilde{Q})$ are in \mathbb{Z} .

The leading coefficient of P(t - sy, y) (seen as a polynomial in y) is a polynomial of degree at 661 most d in $\mathbb{Z}[s]$ (t does not appear in the leading term); furthermore an expanded form of P(t-sy, y)662 can be computed in complexity $\widetilde{O}_B(d^4 + d^3\tau)$ and the coefficients have bitsize $\widetilde{O}(d+\tau)$ (see e.g. 663 [BLPR15, Lemma 7]). Finding an integer value $s = \alpha$ where the leading coefficient does not 664 vanish can thus be done in d evaluations of complexity $O_B(d(d+\tau))$ each [BLPR15, Lemma 6] 665 and such α can be found in [0, d]. Then, computing $P(t - \alpha y, y)$ can be done by evaluating each 666 of the coefficients of P(t - sy, y) at $s = \alpha$, which can again be done with $O(d^2)$ evaluations of 667 complexity $O_B(d(d+\tau))$ each. Thus, we can shear the curve in complexity $O_B(d^4+d^3\tau)$ so that 668 the leading coefficient of the resulting polynomial $P(t,y) = P(t-\alpha y,y)$ (seen as a polynomial in 669 y) is a constant. These computations can trivially be done for P and Q simultaneously and α 670 in [0, 2d]. 671

Lemma 21. Let P and Q be two coprime polynomials in $\mathbb{Z}[x, y]$ of maximum degree d, maximum bitsize τ , and with $\operatorname{Lc}_y(P)$ and $\operatorname{Lc}_y(Q)$ in \mathbb{Z} . The product, H, of the squarefree parts of P and Qis squarefree, it has degree at most 2d, bitsize $\widetilde{O}(d + \tau)$, with $\operatorname{Lc}_y(H)$ in \mathbb{Z} , and it can be computed using $\widetilde{O}_B(d^5 + d^4\tau)$ bit operations in the worst case. System $\{H, \frac{\partial H}{\partial y}\}$ is zero-dimensional and its separating forms are also separating for $\{P, Q\}$.

Algorithm 3	Number (of critical	points of H
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Input: H in $\mathbb{Z}[x, y]$ squarefree such that $\operatorname{Lc}_y(H)$ is in \mathbb{Z} **Output:** The number of critical points of H1: return Algo $\mathbf{1}'(H, (\frac{\partial H}{\partial y})^2)$ - Algo $\mathbf{1}'(H, \frac{\partial H}{\partial y})$

Proof. The squarefree parts of P and Q can be computed in $\widetilde{O}_B(d^5 + d^4\tau)$ bit complexity (by Lemma 5) and they have bitsizes in $\widetilde{O}(d + \tau)$ by Mignotte's lemma (see e.g. [BPR06, Corollary 10.12]). The sum of $O(d^2)$ terms of bitsize $\widetilde{O}(d + \tau)$ increases the bitsize bound by $O(\log d^2)$, thus H has coefficients of bitsizes $\widetilde{O}(d + \tau)$. Thus, the $O(d^4)$ arithmetic operations for computing all these coefficients can be done in $\widetilde{O}_B(d^4(d + \tau))$ bit operations in the worst case.

Every solution of $\{P, Q\}$ is trivially solution of $\{H, \frac{\partial H}{\partial y}\}$, thus any separating linear form for $\{H, \frac{\partial H}{\partial y}\}$ is separating for $\{P, Q\}$. Furthermore, since P and Q are coprime with constant leading terms, H is squarefree with a constant leading term, which implies that H has finitely many critical points, that is $\{H, \frac{\partial H}{\partial y}\}$ is zero-dimensional.

We can summarize the last two lemmas in the following proposition.

Proposition 22. Let P and Q be two coprime polynomials in $\mathbb{Z}[x,y]$ of maximum degree d and maximum bitsize τ . We can compute a shearing of the coordinate system from (x,y) to $(t = x + \alpha y, y)$, with α an integer in [0, 2d], and a squarefree polynomial H in $\mathbb{Z}[t,y]$ of degree at most 2d, bitsize $\widetilde{O}(d + \tau)$, with $\operatorname{Lc}_y(H)$ in \mathbb{Z} , so that any separating linear form for the zero-dimensional system $\{H, \frac{\partial H}{\partial y}\}$ is also separating for $\{P, Q\}$ after being sheared back. The worst-case complexity of this computation is in $\widetilde{O}_B(d^5 + d^4\tau)$.

⁶⁹³ 5.3 Separating linear form of a curve

In this section, we consider an arbitrary curve defined by H in $\mathbb{Z}[x, y]$ of degree d and bitsize τ , squarefree and with a constant leading coefficient in y. In particular, the polynomial H defined in Proposition 22 satisfies these two last conditions, which yield that the curve has a finite number of critical points. We show in the following three subsections that computing (i) the number of the critical points of H, (ii) a lucky prime for the system of critical points $\{H, \frac{\partial H}{\partial y}\}$ (see Definition 18), and finally (iii) a separating form for the curve H (Definition 19) can be done with a bit complexity in $\widetilde{O}_B(d^6 + d^5\tau)$.

701 5.3.1 Number of critical points

Algorithm 3 computes the number of critical points of a curve H as the difference between the degrees of the triangular decompositions of the systems $\{H, (\frac{\partial H}{\partial y})^2\}$ and $\{H, \frac{\partial H}{\partial y}\}$. This algorithm is identical to the one we presented in [BLP⁺14, Algorithm 4], however, our improvement on the complexity analysis of the triangular decomposition (Proposition 16) immediately improves the complexity of this counting algorithm. The correctness and complexity of Algorithm 3 follows directly from that of [BLP⁺14, Algorithm 4] and from Proposition 16. For the reader's convenience, we explicit this proof here.

Proposition 23. If H in $\mathbb{Z}[x, y]$ has degree d and bitsize τ , Algorithm 3 computes the number of distinct critical points of H in $\widetilde{O}_B(d^6 + d^5\tau)$ bit operations in the worst case and $\widetilde{O}_B(d^5 + d^4\tau)$ bit operations on average. ⁷¹² Proof. The complexity analysis of the algorithm directly follows from Proposition 16 noticing that ⁷¹³ $\frac{\partial H}{\partial y}$ and $(\frac{\partial H}{\partial y})^2$ have degrees at most 2d and bitsizes $O(\tau + \log d)$ (since $\frac{\partial H}{\partial y}$ has bitsize $O(\tau + \log d)$ ⁷¹⁴ and that the sum of $O(d^2)$ terms of such bitsizes adds $O(\log d^2)$ to the bitsize bound, and that ⁷¹⁵ $(\frac{\partial H}{\partial y})^2$ can be computed from $\frac{\partial H}{\partial y}$ in complexity $\tilde{O}_B(d^2\tau)$ [vzGG13, Cor. 8.28].

We now prove the correctness of the algorithm. Observe that systems $\{H, \frac{\partial H}{\partial y}\}$ and $\{H, (\frac{\partial H}{\partial y})^2\}$ satisfy the input requirements of Algorithm 1' since H is squarefree and $\operatorname{Lc}_y(H)$ is in \mathbb{Z} .

We first prove that for any critical point (α, β) of H, the multiplicity of β in gcd $(H(\alpha, y), (\frac{\partial H}{\partial y})^2(\alpha, y))$ is greater by one than the multiplicity of β in gcd $(H(\alpha, y), \frac{\partial H}{\partial y}(\alpha, y))$. Since (α, β) is a critical point of H, it is solution of both the systems $\{H, \frac{\partial H}{\partial y}\}$ and $\{H, (\frac{\partial H}{\partial y})^2\}$. This implies that β is a root of both gcd $(H(\alpha, y), \frac{\partial H}{\partial y}(\alpha, y))$ and gcd $(H(\alpha, y), (\frac{\partial H}{\partial y})^2(\alpha, y))$. If mis the multiplicity of β in $H(\alpha, y)$ then β has multiplicity m - 1 in $\frac{\partial H}{\partial y}(\alpha, y)$ and thus, that it has multiplicity 2m - 2 in $(\frac{\partial H}{\partial y})^2$. It follows that β has multiplicity m - 1 in gcd $(H(\alpha, y), \frac{\partial H}{\partial y}(\alpha, y))$ and m in gcd $(H(\alpha, y), (\frac{\partial H}{\partial y})^2(\alpha, y))$ because $m \leq 2m - 2$, that is $m - 1 \ge 1$, since β is solution of $\frac{\partial H}{\partial y}(\alpha, y)$.

We denote the multiplicity of β in gcd $(P(\alpha, y), Q(\alpha, y))$ as mult $(\beta, \text{gcd}(P(\alpha, y), Q(\alpha, y)))$. Summing over all the critical points of H and noticing that the set V_H of distinct solutions of $\{H, \frac{\partial H}{\partial y}\}$ is the same as that of $\{H, (\frac{\partial H}{\partial y})^2\}$, we obtain that the number of critical points is

$$\#V_H = \sum_{(\alpha,\beta)\in V_H} \operatorname{mult}(\beta, \operatorname{gcd}(H(\alpha, y), (\frac{\partial H}{\partial y})^2(\alpha, y))) - \sum_{(\alpha,\beta)\in V_H} \operatorname{mult}(\beta, \operatorname{gcd}(H(\alpha, y), \frac{\partial H}{\partial y}(\alpha, y))).$$

It remains to prove that this difference is equal to the difference of the degrees of the decompositions of $\{H, (\frac{\partial H}{\partial y})^2\}$ and $\{H, \frac{\partial H}{\partial y}\}$. More generally, we prove that the degree of the triangular decomposition of $\{P, Q\}$ is equal to the sum, over all distinct solutions (α, β) of $\{P, Q\}$, of the multiplicities of β in gcd $(P(\alpha, y), Q(\alpha, y))$. Indeed, by Lemma 10, the sets of solutions of the systems of the triangular decomposition of Algorithm 1 are disjoint and polynomials A_i are squarefree. The degree of the triangular decomposition of $\{P, Q\}$ is thus

$$\sum_{i \in \mathcal{I}} d_x(A_i(x)) \ d_y(B_i(x,y)) = \sum_{(\alpha,\beta) \in V} \operatorname{mult}(\beta, B_i(\alpha,y)),$$

where V is the set of solutions of $\{P, Q\}$ and $\operatorname{mult}(\beta, B_i(\alpha, y))$ denotes the multiplicity of β in B_i(α, y). The claim follows since $B_i(\alpha, y) = \operatorname{gcd}(P(\alpha, y), Q(\alpha, y))$ by Lemma 10 and this concludes the proof of correctness of the algorithm.

⁷³⁸ 5.3.2 Lucky prime for the system of critical points

Let $\Pi = \text{Algo } 2(H, \frac{\partial H}{\partial y}) \cdot \text{Algo } 2(H, (\frac{\partial H}{\partial y})^2)$ be the product of the luckiness certificates output by Algo 2 for the triangular decompositions of $\{H, \frac{\partial H}{\partial y}\}$ and $\{H, (\frac{\partial H}{\partial y})^2\}$. Lemma 24 shows that we can easily check using a divisibility test on Π whether a prime number is lucky for the system $\{H, \frac{\partial H}{\partial y}\}$ (see Definition 18). Algorithm 4 finds such a lucky prime by an iterative application of this divisibility test. To keep the complexity in the desired bound, the primes to be tested are grouped and a remainder tree is used for the computation of the reduction of Π modulo all the primes in a group. In the following, $L_H(s)$ and $L_{\frac{\partial H}{\partial y}}(s)$ are defined similarly as in Section 5.1.

Lemma 24. Let μ be a prime such that $\mu > 2d^4$ and $\phi_{\mu}(L_H(s)) \phi_{\mu}(L_{\frac{\partial H}{\partial y}}(s)) \neq 0$. If μ does not divide Π then μ is lucky for the system $\{H, \frac{\partial H}{\partial y}\}$. Algorithm 4 Lucky prime for $\{H, \frac{\partial H}{\partial u}\}$

Input: *H* in $\mathbb{Z}[x, y]$ of degree *d* and bitsize τ , squarefree such that $\operatorname{Lc}_y(H)$ is in \mathbb{Z} and Π = Algo $2(H, \frac{\partial H}{\partial y}) \cdot \operatorname{Algo} 2(H, (\frac{\partial H}{\partial y})^2)$

Output: A lucky prime μ for the system $\{H, \frac{\partial H}{\partial y}\}$

1: Compute $L_H(s)$ and $L_{\frac{\partial H}{\partial n}}(s)$ (defined as in Section 5.1)

2: $m = 2d^4$ 3: while true do 4: Compute the set *B* of the first $d^4 + d^3\tau$ primes > *m* 5: for all μ in *B* do 6: Compute the reduction mod. μ of Π , L_H , $L_{\frac{\partial H}{\partial \Delta}}$

7: **if** $\phi_{\mu}(\Pi) \phi_{\mu}(L_{H}(s)) \phi_{\mu}(L_{\partial H}(s)) \neq 0$ **then**

$$\prod_{\mu} \phi_{\mu}(\Pi) \phi_{\mu}(LH(S)) \phi_{\mu}(L\frac{\partial H}{\partial y}(S)) \neq 0$$

8: return μ

9: m = the largest prime in B

Proof. If μ does not divide Π then it is a lucky prime for the triangular decompositions of $\{H, \frac{\partial H}{\partial y}\}$ and $\{H, (\frac{\partial H}{\partial y})^2\}$ (by Lemma 14). By definition of a lucky prime for a triangular decomposition (Definition 13), the degrees of the decompositions are the same over \mathbb{Z} or \mathbb{Z}_{μ} . Algorithm 3 computes the number of solutions of the system $\{H, \frac{\partial H}{\partial y}\}$ only from these degrees and thus the results are the same over \mathbb{Z} or \mathbb{Z}_{μ} . Together with the assumptions that $\mu > 2d^4$ and $\phi_{\mu}(L_H(s)) \phi_{\mu}(L_{\frac{\partial H}{\partial y}}(s)) \neq 0$, this yields that μ is lucky for the system $\{H, \frac{\partial H}{\partial y}\}$.

Proposition 25. Given H in $\mathbb{Z}[x, y]$ of degree d and bitsize τ , Algorithm 4 computes a lucky prime of bitsize $O(\log d\tau)$ for the system $\{H, \frac{\partial H}{\partial y}\}$ using $\widetilde{O}_B(d^4 + d^3\tau)$ bit operations.

Proof. The correctness of Algorithm 4 follows directly from Lemma 24 since the condition in Line 7 (together with $\mu > 2d^4$) matches exactly the assumptions of Lemma 24.

We now analyze the complexity of this algorithm. It is straightforward that, in Line 1, $L_H(s)$ and $L_{\frac{\partial H}{\partial y}}(s)$ can be computed with $\widetilde{O}_B(d^4 + d^3\tau)$ bit operations and that they have coefficients of bitsizes $\widetilde{O}(d + \tau)$ (see e.g. [BLPR15, Lemma 7]). Furthermore, since Π has bitsize $\widetilde{O}(d^4 + d^3\tau)$ (by Proposition 16), the number of prime divisors of Π , $L_H(s)$, and $L_{\frac{\partial H}{\partial y}}(s)$ is in $\widetilde{O}(d^4 + d^3\tau)$. Hence, the number of iterations of the loop in Line 3 is polylogarithmic in d and τ .

Each iteration of this loop consists in testing, for the $d^4 + d^3\tau$ primes in B, the non-vanishing of 763 the reduction of the integer Π and of the two polynomials $L_H(s)$, $L_{\frac{\partial H}{\partial \eta}}(s)$. The product of Π and of 764 all these coefficients has bitsize $\tilde{O}(d^4 + d^3\tau)$ and it can be computed in bit complexity $\tilde{O}_B(d^4 + d^3\tau)$. 765 The reduction of this product modulo all the primes in B can be computed via a remainder tree 766 in a bit complexity that is soft linear in the total bitsize of the input [MB74, Theorem 1], which is 767 in $\widetilde{O}(d^4 + d^3\tau)$ because the sum of the bitsizes of the $d^4 + d^3\tau$ primes in B is also in $\widetilde{O}(d^4 + d^3\tau)$ 768 since each of these primes has bitsize $O(\text{polylog}(d\tau))$ (since there are $O(\text{polylog}(d\tau))$ iterations of 769 the loop in Line 3). Hence, the bit complexity of one iteration of the loop of Line 3 is $O_B(d^4 + d^3\tau)$ 770 and since at most $O(\text{polylog}(d\tau))$ iterations are performed, the overall bit complexity of Algorithm 771 4 is in $\tilde{O}_B(d^4 + d^3\tau)$. 772

Finally, the bitsize of every considered prime is in $O(\log(d^4 + d^3\tau))$. Indeed, the number of loop iterations is in $O(\operatorname{polylog}(d\tau))$, thus the algorithm considers the first $O((d^4 + d^3\tau)\operatorname{polylog}(d\tau))$ Algorithm 5 Separating form of a curve

Input: H in $\mathbb{Z}[x, y]$ of degree d and bitsize τ , squarefree and such that $\mathrm{Lc}_{y}(H)$ is in \mathbb{Z}

- **Output:** A separating linear form x + ay of the curve H, with $a < 2d^4$
- 1: Compute N = Algorithm 3(H), the number of distinct (complex) critical points of H
- 2: Compute $\Pi = \text{Algo } 2(H, \frac{\partial H}{\partial y}) \cdot \text{Algo } 2(H, (\frac{\partial H}{\partial y})^2)$, the product of the luckiness certificates output by Algo 2 for the triangular decompositions of $\{H, \frac{\partial H}{\partial u}\}$ and $\{H, (\frac{\partial H}{\partial u})^2\}$
- 3: Compute μ =Algorithm 4(H, Π), a lucky prime for $\{H, \frac{\partial H}{\partial u}\}$
- 4: Compute H(t sy, y) and $\frac{\partial H}{\partial y}(t sy, y)$ 5: Compute $\Upsilon_{\mu}(s)$ the reduction modulo μ of $L_{H}(s) \cdot L_{\frac{\partial H}{\partial y}}(s)$
- 6: Compute the resultant $R_{\mu}(t,s)$ of the reductions modulo μ of H(t-sy,y) and $\frac{\partial H}{\partial u}(t-sy,y)$
- 7: Compute $R_{\mu}(t, a)$ for all a in $\{0, \ldots, 2d^4\}$ using multipoint evaluation
- 8: a = 0
- 9: repeat

Compute the degree N_a of the squarefree part of $R_{\mu}(t, a)$ 10:

- a = a + 111:
- 12: **until** $\Upsilon_{\mu}(a) \neq 0^7$ and $N_a = N$
- 13: **return** The linear form x + ay

first primes. The largest considered prime is thus in $\widetilde{O}(d^4 + d^3\tau)$ [vzGG13, Theorem 18.10] and its 775 bitsize is thus in $O(\log d\tau)$. 776

Separating linear form of a curve 5.3.3777

In this section, we assume that we have already computed, using Algorithms 3 and 4, the number 778 of distinct (complex) critical points of a curve and a lucky prime μ for the system of critical points. 779 With this information, Algorithm 4 of [BLPR15] computes a separating form with a bit complexity 780 $O_B(d^8 + d^7\tau)$. In this section, we slightly modify this algorithm to improve its complexity to 781 $\tilde{O}_B(d^6+d^5\tau).$ 782

More precisely, Algorithm 4 of [BLPR15] computes a separating linear form for a system $\{P, Q\}$ 783 by considering iteratively linear forms x + ay, where a is an integer incrementing from 0 and by com-784 puting the degree of the squarefree part of the reduction modulo μ of R(t, a) until this degree is equal 785 to the (known) number of distinct solutions of the system and such that $\phi_{\mu}(L_{P}(a)) \phi_{\mu}(L_{Q}(a)) \neq 0$. 786 Doing so, the algorithm computes a separating form for the system modulo μ , which, under the 787 hypothesis of the luckiness of μ , is proven to be also separating for the system $\{P, Q\}$ [BLPR15, 788 Proposition 9]. 789

Specialized to the system of critical points, Algorithm 5 follows the same approach except for 790 the way the reductions modulo μ of the R(t, a) are computed. 791

Proposition 26. Given H in $\mathbb{Z}[x, y]$ of degree d and bitsize τ , Algorithm 5 computes, with a worst-792 case bit complexity $\widetilde{O}_B(d^6 + d^5\tau)$, an integer a in $[0, 2d^4 - 2d]$ such that the linear form x + ay is 793 separating for the the system $\{H, \frac{\partial H}{\partial y}\}$ of critical points of the curve H = 0. 794

Proof. We first prove the correctness of Algorithm 5 which essentially follows from [BLPR15, Al-795 gorithm 4]. The only relevant difference for the correctness is the way to compute $R_{\mu}(t,s)$. In 796 [BLPR15], $R_{\mu}(t,s)$ is computed by computing the resultant R(t,s) of H(t-sy,y) and $\frac{\partial H}{\partial y}(t-sy,y)$, 797

 $^{{}^{7}\}Upsilon_{\mu}(s) \in \mathbb{Z}_{\mu}[s]$ and we consider $\Upsilon_{\mu}(a)$ in \mathbb{Z}_{μ} .

and reducing it modulo μ . Here, we first reduce the polynomials modulo μ before computing the resultant. This yields the same result since μ is known to be lucky for the system $\{H, \frac{\partial H}{\partial y}\}$, thus it does not divide the leading terms $L_H(s)$ and $L_{\frac{\partial H}{\partial y}}(s)$. This proves the correctness of Algorithm 5. Note, furthermore, that the correctness of [BLPR15, Algorithm 4] also implies that the value aoutput by our algorithm is less than $2d^4 - 2d.^8$

We now prove the complexity of our algorithm. First, observe that, as argued in the proof of Proposition 23, $\frac{\partial H}{\partial y}$ and $(\frac{\partial H}{\partial y})^2$ have degrees at most 2*d*, bitsizes $O(\tau + \log d)$, and that they can be computed in complexity $\widetilde{O}_B(d^2\tau)$. Furthermore, in Lines 1–3, the input of Algorithms 2, 3, and 4 satisfy the requirements of these algorithms, since *H* is squarefree with $\operatorname{Lc}_y(H)$ in \mathbb{Z} . The bit complexity of Lines 1–3 is thus in $\widetilde{O}_B(d^6 + d^5\tau)$ by Propositions 16, 23, 25.

It is straightforward that, in Line 4, the sheared polynomials H(t-sy,y) and $\frac{\partial H}{\partial y}(t-sy,y)$ 808 can be computed in bit complexity $\widetilde{O}_B(d^4 + d^3\tau)$ and that their bitsizes are in $\widetilde{O}(d+\tau)$ (see e.g. 800 [BLPR15, Lemma 7]). In Lines 5 and 6, the polynomials to be reduced modulo μ , in one or three 810 variables, have degree at most d and bitsize $O(d + \tau)$. The reduction of each of their $O(d^3)$ 811 coefficients modulo μ can be done in a bit complexity that is softly linear in the maximum bitsizes 812 [vzGG13, Theorem 9.8], that is in a total bit complexity of $\widetilde{O}_B(d^4 + d^3\tau)$. Then, computing in 813 Line 5 the product of $\phi_{\mu}(L_H(s))$ and $\phi_{\mu}(L_{\frac{\partial H}{\partial y}(t-sy,y)}(s))$ amounts to computing $O(d^2)$ arithmetic 814 operations in \mathbb{Z}_{μ} . 815

The resultant in Line 6 can be computed in $O(d^5)$ arithmetic operations in \mathbb{Z}_{μ} (see Lemma 3). 816 In Line 7, $R_{\mu}(t,s)$ is a polynomial of degree $O(d^2)$ in t with coefficients in s of degree $O(d^2)$. 817 The arithmetic complexity, in \mathbb{Z}_{μ} , of the evaluation of one such coefficient at s = a is linear in 818 its degree (using for instance Horner's scheme) but, using multipoint evaluation, the arithmetic 819 complexity of the evaluation of one such coefficient at $O(d^2)$ values is in $O(d^2)$ [vzGG13, Corollary 820 10.8]. It follows that the evaluation of all the $O(d^2)$ coefficients of $R_{\mu}(t,s)$ at d^2 values of a can be 821 done with $\widetilde{O}(d^4)$ arithmetic operations in \mathbb{Z}_{μ} . The overall arithmetic complexity of Line 7 is thus 822 $\widetilde{O}(d^6)$. In Line 10, since $R_{\mu}(t,a)$ has degree $O(d^2)$, its squarefree part can be computed with $\widetilde{O}(d^2)$ 823 arithmetic operations in \mathbb{Z}_{μ} (see Lemma 4) and, in Line 12, each evaluation of $\Upsilon(a)$ can be done in 824 O(d) arithmetic operations since Υ has degree O(d). Furthermore, since the algorithm stops with 825 $a < 2d^4$, the arithmetic complexity of the whole loop is in $O(d^6)$. 826

We have shown that Lines 6 to 12 perform $O(d^6)$ arithmetic operations in \mathbb{Z}_{μ} . Since μ has bitsize $O(\log d\tau)$, the bit complexity of these lines is in $O_B(d^6 \operatorname{polylog}(d\tau))$, which concludes the proof.

Remark 27. From a worst-case complexity point of view, the knowledge of the number N of (distinct) complex critical points of the input curve in Algorithm 5 is not mandatory since one could instead compute the number of solutions N_a of $R_{\mu}(t, a)$ for all integers a smaller than $2d^4$ and output a value of a that maximizes N_a . However, knowing N, the algorithm can stop as soon as a value of a is found such that $N_a = N$, which improves the expected complexity of the algorithm in a Las-Vegas setting, as discussed in Section 5.5.

⁸³⁶ 5.4 Separating linear form of a system

Propositions 22 and 26 directly yield the following theorem where the separating form is obtained by shearing back the separating form output by Algorithm 5.

⁸[BLPR15, Theorem 19] is stated with $a < 2d^4$ but its proof establishes $a \leq {\binom{d^2}{2}} + 2(d^2 + d)$ which is less than $2d^4 - 2d$ for d > 1. This refined bound will be convenient for yielding the simple bound of $2d^4$ when shearing back the separating form in Theorem 28.

Algorithm 5' Separating form of a curve – Las-Vegas version

Input: *H* in $\mathbb{Z}[x, y]$ of degree *d* and bitsize τ , squarefree and such that $\operatorname{Lc}_y(H)$ is in \mathbb{Z} **Output:** A separating linear form x + ay of the curve *H*, with $a < 2d^4$

- 1: Compute N =Algorithm 3(H), the number of distinct (complex) critical points of H
- 2: Compute H(t sy, y), $\frac{\partial H}{\partial y}(t sy, y)$, and $\Upsilon(s) = L_H(s) \cdot L_{\frac{\partial H}{2}}(s)$
- 3: $M = 2d^4$
- 4: repeat
- 5: M = 2M
- 6: Choose uniformly at random an integer a in $[0, 2d^4 2d]$ and a prime μ in $(2d^4, M)$
- 7: Compute $\Upsilon_{\mu}(a) = \phi_{\mu}(\Upsilon)(a)$
- 8: Compute $\phi_{\mu}(H(t-ay,y)), \phi_{\mu}(\frac{\partial H}{\partial y}(t-ay,y))$ and their resultant $R_{\mu,a}(t)$ with respect to y
- 9: Compute the degree⁹ N_a of the squarefree part of $R_{\mu,a}(t)$
- 10: **until** $\Upsilon_{\mu}(a) \neq 0^{\gamma}$ and $N_a = N$
- 11: **return** The linear form x + ay

Theorem 28. Let P, Q in $\mathbb{Z}[x, y]$ be of total degree at most d and maximum bitsize τ . A separating linear form x + by for $\{P, Q\}$ with an integer b in $[0, 2d^4]$ can be computed using $\widetilde{O}_B(d^6 + d^5\tau)$ bit operations in the worst case. Furthermore, b is such that the leading coefficients of P(t - by, y) and Q(t - by, y) in y are in \mathbb{Z} .

Proof. The first statement of the theorem follows directly from Propositions 22 and 26 where 843 the integer b is the sum of the integers α and a defined in these propositions. We prove below 844 the second statement. The integer a computed by Algorithm 5 is such that $\Upsilon(a) \neq 0$ and thus 845 $L_H(a) \neq 0$. Since $L_H(a) \in \mathbb{Z}$ is non-zero, it is the leading coefficient in y of the sheared polynomial 846 H(t-ay,y). H is the product of the squarefree parts of the sheared polynomials \widetilde{P} and \widetilde{Q} where 847 $P(t,y) = P(t - \alpha y, y)$ and similarly for Q (see Lemmas 20 and 21). Hence, the leading coefficient 848 in y of the sheared polynomial $P(t-ay, y) = P(t-ay-\alpha y, y) = P(t-by, y)$ divides $L_H(a)$, which 849 is an integer. Similarly for Q. 850

851 5.5 Las-Vegas algorithm

We show here that the algorithm presented above for computing a separating linear form can easily be transformed into an efficient Las-Vegas algorithm.

Theorem 29. Let P, Q in $\mathbb{Z}[x, y]$ be of total degree at most d and maximum bitsize τ . A separating linear form x + by for $\{P, Q\}$ with an integer b in $[0, 2d^4]$ can be computed with $\widetilde{O}_B(d^5 + d^4\tau)$ bit operations on average. Furthermore, b is such that the leading coefficients of P(t - by, y) and Q(t - by, y) in y are in \mathbb{Z} .

Our Las-Vegas algorithm is obtained from our deterministic version by only modifying Algorithm 5 into a randomized version, Algorithm 5'. The main difference between these two versions is that, in Algorithm 5', we choose randomly a candidate separating linear form x + ay and a candidate lucky prime μ for $\{H, \frac{\partial H}{\partial y}\}$ (Definition 18) until the degree N_a of the squarefree part of

⁹We use the convention that the degree of the zero polynomial is $+\infty$ because we want N_a to be the number of distinct roots of $R_{\mu,a}(t)$. Note that in Algorithm 5, this issue was not relevant because μ was known to be lucky for the zero-dimensional system $\{H, \frac{\partial H}{\partial y}\}$, implying by Definition 18 that the system $\{\phi_{\mu}(H), \phi_{\mu}(\frac{\partial H}{\partial y})\}$ was zero dimensional and thus that $R_{\mu}(t, a) \neq 0$.

 $R_{\mu}(t, a)$ is equal to the known number of solutions N. If a and μ are chosen randomly in sufficiently large sets, the probability that x + ay is separating and that μ is lucky is larger than a positive constant, which implies that the expected number of such choices is a constant.

This modification yields a major simplification: since we do not compute anymore a lucky 865 prime in a deterministic way, we do not need Algorithm 4 (Lucky prime), which again implies that 866 Algorithm 2 (Luckiness certificate) is not needed. Furthermore, note that, in Algorithm 5', we 867 do not need anymore to use multipoint evaluation for evaluating $R_{\mu}(t,s)$ at a since the expected 868 number of choices of a is a constant. Note finally that we choose the candidate lucky prime μ 869 in increasingly larger sets. The reason is that, if we wanted to compute a unique set for which a 870 random prime would be lucky with probability at least some constant, we would need an explicit 871 upper bound (without O notation) on the number of unlucky primes and such a computation is 872 highly unappealing. 873

We now prove the correctness and complexity of Algorithm 5' in the two following lemmas and in Proposition 33, which, together with Proposition 22, yield Theorem 29 similarly as for Theorem 28.

Lemma 30 (Correctness of Algorithm 5'). Algorithm 5' terminates if and only if the values of the random variables a and μ are such that $\Upsilon_{\mu}(a) \neq 0$, μ is lucky for $\{H, \frac{\partial H}{\partial y}\}$ and x + ay is separating for $\{\phi_{\mu}(H), \phi_{\mu}(\frac{\partial H}{\partial y})\}$, which implies that x + ay is also separating for $\{H, \frac{\partial H}{\partial y}\}$.

Proof. The proof relies on Lemma 10 and Propositions 9 and 12 in [BLPR15] which, together, require the hypotheses that $\Upsilon_{\mu}(a) \neq 0$, $a < \mu$, $2d^4 < \mu$, and $\{\phi_{\mu}(H), \phi_{\mu}(\frac{\partial H}{\partial y})\}$ is zero dimensional. We first prove that these hypotheses are satisfied when either side of the if-and-only-if claim holds in the statement of the lemma.

First, $\Upsilon_{\mu}(a) \neq 0$ follows from Line 10 if Algorithm 5' terminates and it appears in the right hand side of the if-and-only-if claim. Second, $a \leq 2d^4 < \mu$ by definition of a and μ (Line 6). Finally, if Algorithm 5' terminates, $\{\phi_{\mu}(H), \phi_{\mu}(\frac{\partial H}{\partial y})\}$ is zero dimensional because, otherwise, $R_{\mu,a}(t) \equiv 0$, thus $N_a = +\infty$ cannot be equal to N in Line 10 (since $\{H, \frac{\partial H}{\partial y}\}$ is zero dimensional). On the other hand, if μ is lucky for $\{H, \frac{\partial H}{\partial y}\}$ then $\{\phi_{\mu}(H), \phi_{\mu}(\frac{\partial H}{\partial y})\}$ is zero dimensional since it has the same number of solution as $\{H, \frac{\partial H}{\partial y}\}$.

We can now apply Lemma 10 and Proposition 12 in [BLPR15], which state

 $d_t(\text{squarefree part}(R_\mu(t,a))) \leq \#V(I_\mu) \leq \#V(I)$

where $R_{\mu}(t,s)$ refers to the resultant with respect to y of $\phi_{\mu}(H)(t-sy,y)$ and $\phi_{\mu}(\frac{\partial H}{\partial y})(t-sy,y)$, and #V(I) and # $V(I_{\mu})$ are the number of distinct solutions of the systems $\{H, \frac{\partial H}{\partial y}\}$ and $\{\phi_{\mu}(H), \phi_{\mu}(\frac{\partial H}{\partial y})\}$ respectively.

Assume for now that $R_{\mu}(t, a) = R_{\mu,a}(t)$ (as defined in Line 8). This implies that d_t(squarefree part($R_{\mu}(t, a)$)) = N_a (Line 9). Since N = #V(I) by definition (Line 1), the algorithm terminates if and only if a and μ are such that $\Upsilon_{\mu}(a) \neq 0$ and $N_a = N$ (Line 10), which is equivalent to $\Upsilon_{\mu}(a) \neq 0$ and

$$d_t(\text{squarefree part}(R_\mu(t, a))) = \#V(I_\mu) = \#V(I).$$

The first equality holds if and only if x + ay is separating for $\{\phi_{\mu}(H), \phi_{\mu}(\frac{\partial H}{\partial y})\}$ [BLPR15, Lemma 10] and the second equality holds if and only if μ is lucky for the system $\{H, \frac{\partial H}{\partial y}\}$ (Definition 18). This proves the if-and-only-if claim of the lemma (assuming that $R_{\mu}(t, a) = R_{\mu,a}(t)$). Furthermore, when both equalities hold, x + ay is also separating for the system $\{H, \frac{\partial H}{\partial y}\}$ by Proposition 9 in [BLPR15]. It remains to show that $R_{\mu}(t,a) = R_{\mu,a}(t)$, that is that the resultant commutes with the evaluation at s = a in the following way:

$$\operatorname{Res}(\phi_{\mu}(H)(t-sy,y),\phi_{\mu}(\frac{\partial H}{\partial y})(t-sy,y))\big|_{s=a} = \operatorname{Res}(\phi_{\mu}(H(t-ay,y)),\phi_{\mu}(\frac{\partial H}{\partial y}(t-ay,y))).$$

This equality holds if the polynomials in the left-hand side resultant are such that their leading coefficients (in y) $L_{\phi_{\mu}(H)}(s)$ and $L_{\phi_{\mu}(\frac{\partial H}{\partial y})}(s)$ do not vanish at s = a. This follows from the hypothesis that $\Upsilon_{\mu}(a) \neq 0$. Indeed, $\Upsilon_{\mu}(a) \neq 0$ implies $\phi_{\mu}(L_{H}(a)) \neq 0$. Then, $\phi_{\mu}(L_{H}(s)) \not\equiv 0$ implies $\phi_{\mu}(L_{H}(s)) = L_{\phi_{\mu}(H)}(s)$ and thus $L_{\phi_{\mu}(H)}(a) \neq 0$. Similarly for $\frac{\partial H}{\partial y}$, $L_{\phi_{\mu}(\frac{\partial H}{\partial y})}(a) \neq 0$, which concludes the proof.

Lemma 31. The expected number of iterations of the loop in Algorithm 5' is in $O(\log d\tau)$. More precisely, after $O(\log d\tau)$ iterations, the probability that the algorithm terminates is at least 1/8 at every iteration.

Proof. The number of unlucky primes for $\{H, \frac{\partial H}{\partial y}\}$ is in $\widetilde{O}(d^4 + d^3\tau)$ [BLPR15, Proposition 13]. 912 Let $K(d,\tau)$ in $\widetilde{O}(d^4 + d^3\tau)$ be an upper bound on the number of unlucky primes, which we denote 913 for simplicity by K. If the algorithm terminates with a value of M such that $\frac{M/2}{2\ln M/2} \leq 2K$, the 914 number of loop iterations is in $O(\log d\tau)$. Indeed, the number of iterations is less than $\log M$ which 915 is in $O(\log K)$ since $\sqrt{M/2} < \frac{M/2}{\ln M/2} \leq 4K$. It is thus sufficient to prove that, for any iteration such 916 that $\frac{M/2}{2\ln M/2} > 2K$, the probability that $\Upsilon_{\mu}(a) \neq 0$ and $N_a = N$ (Line 10) is at least 1/8. Note that 917 this implies that the expected number of such iterations is at most 8 and thus that the expected 918 number of all iterations in the loop is in $O(\log d\tau)$. 919

We can thus assume that, in Line 6, μ is chosen uniformly at random in a set of primes of cardinality at least 2K. Indeed, μ is chosen in $(2d^4, M) \supseteq (M/2, M)$ and the number of primes in (M/2, M) is at least $\frac{M/2}{2 \ln M/2}$ [vzGG13, Theorem 18.7 (see also Exercise 18.18)].

By Lemma 30, the algorithm terminates if and only if a and μ are such that $\Upsilon_{\mu}(a) \neq 0$, μ is lucky for $\{H, \frac{\partial H}{\partial y}\}$ (Definition 18) and x + ay is separating for $\{\phi_{\mu}(H), \phi_{\mu}(\frac{\partial H}{\partial y})\}$. Let P denote the probability that these three events simultaneously occur. We have

 $P = \Pr(\mu \text{ is lucky for } \{H, \frac{\partial H}{\partial y}\} \text{ and } x + ay \text{ is separating for } \{\phi_{\mu}(H), \phi_{\mu}(\frac{\partial H}{\partial y})\})$ $\cdot \Pr(\Upsilon_{\mu}(a) \neq 0 \mid \mu \text{ is lucky for } \{H, \frac{\partial H}{\partial y}\} \text{ and } x + ay \text{ is separating for } \{\phi_{\mu}(H), \phi_{\mu}(\frac{\partial H}{\partial y})\})$ $= \Pr(\mu \text{ is lucky for } \{H, \frac{\partial H}{\partial y}\})$ $\cdot \Pr(x + ay \text{ is separating for } \{\phi_{\mu}(H), \phi_{\mu}(\frac{\partial H}{\partial y})\} \mid \mu \text{ is lucky for } \{H, \frac{\partial H}{\partial y}\})$

 $\cdot \Pr(\Upsilon_{\mu}(a) \neq 0 \mid \mu \text{ is lucky for } \{H, \frac{\partial H}{\partial y}\} \text{ and } x + ay \text{ is separating for } \{\phi_{\mu}(H), \phi_{\mu}(\frac{\partial H}{\partial y})\}).$

The probability that μ is lucky for $\{H, \frac{\partial H}{\partial y}\}$ is at least 1/2 since, as argued above, μ is chosen uniformly at random in a set of primes of cardinality at least 2K and there are at most K unlucky primes.

The conditional probability that x + ay is separating for $\{\phi_{\mu}(H), \phi_{\mu}(\frac{\partial H}{\partial y})\}$ is also at least 1/2. Indeed, we prove that the conditional probability that x + ay is not separating for that system is at most 1/2. For any choice of a lucky μ , $\{\phi_{\mu}(H), \phi_{\mu}(\frac{\partial H}{\partial y})\}$ is zero dimensional since it has the same number of distinct solutions as $\{H, \frac{\partial H}{\partial y}\}$, number which is at most d^2 solutions by Bézout's bound. Thus, for any choice of a lucky μ , there are at most $\binom{d^2}{2} < d^4 - d$ directions in which two distinct solutions of $\{\phi_{\mu}(H), \phi_{\mu}(\frac{\partial H}{\partial y})\}$ are aligned, that is, at most $d^4 - d$ values of a for which x + ay is not separating for that system. Since a is chosen uniformly at random in a set of cardinality $2d^4 - 2d + 1$, the conditional probability that x + ay is not separating for $\{\phi_{\mu}(H), \phi_{\mu}(\frac{\partial H}{\partial y})\}$ is thus at most $d^4 - d$ times the number of choices of a lucky μ over the number of choices of couples of a and a lucky μ . In other words, it is at most $d^4 - d$ over $2d^4 - 2d + 1$, which is less than 1/2, and thus proves the claim.

Finally, we show that the conditional probability that $\Upsilon_{\mu}(a) \neq 0$ is also at least 1/2. Given 940 that μ is lucky, $\Upsilon_{\mu}(s) \neq 0$, by Definition 18. Thus, for any given lucky μ , $\Upsilon_{\mu}(s)$ has degree at most 941 2d and it vanishes for at most 2d values of a. The conditional probability that $\Upsilon_{\mu}(a) = 0$ is thus at 942 most 2d times the number of choices of a lucky μ over the number of choices of couples of a lucky μ 943 and a value a such that x + ay is separating. This probability is thus equal to 2d over the number 944 of choices of such values a. The number of such choices for a is at least d^4 since a is considered in 945 $[0, 2d^4 - 2d]$ and there are at most $\binom{d^2}{2} < d^4 - 2d$ choices for which x + ay is not separating. Hence the conditional probability that $\Upsilon_{\mu}(a) = 0$ is at most $2d/d^4$, which is less that 1/2 for $d \ge 2$. This 946 947 proves the claim that the conditional probability that $\Upsilon_{\mu}(a) \neq 0$ is at least 1/2 and concludes the 948 proof. 949

The next lemma factorizes a technical part of the expected complexity analysis of Propositions 33 and 50.

Lemma 32. If a while-loop is such that (i) the expected bit complexity of the *i*-th iteration is $\widetilde{O}_B(A\,i^k)$ where A is a polynomial in the input parameter sizes and (ii) the probability that the loop ends at the *i*-th iteration, given that it has not stopped before, is at least a constant c > 0, then the expected bit complexity of the entire loop is $\widetilde{O}_B(A)$.

Proof. Let $x_j > c$ be the probability that the loop stops at the *j*-th iteration given that it has not yet stopped before. The probability that the loop stops at the *i*-th iteration is $x_i \prod_{j=1}^{i-1} (1-x_j) \leq$ $(1-c)^{i-1}$. On the other hand, the total expected bit complexity of all the iterations until the *i*-th is $\widetilde{O}_B(A i^{k+1})$. Hence the total expected bit complexity of the entire loop is

$$\sum_{i=1}^{\infty} \widetilde{O}_B(A \, i^{k+1} (1-c)^{i-1}) = \widetilde{O}_B(A \sum_{i=1}^{\infty} i^{k+1} (1-c)^{i-1}).$$

The series $\sum_{i=0}^{\infty} W(i)\lambda^i$ is convergent for any polynomial W and $0 < \lambda < 1$. Indeed, $|W(i)| < \delta^i$ for any $1 < \delta < \frac{1}{\lambda}$ and i sufficiently large, which implies $|W(i)\lambda^i| < (\delta\lambda)^i$ with $0 < \delta\lambda < 1$. Therefore, the expected bit complexity of the entire loop is in $\widetilde{O}_B(A)$.

Proposition 33. Given H in $\mathbb{Z}[x,y]$ of degree d and bitsize τ , Algorithm 5' computes, with an expected bit complexity $\widetilde{O}_B(d^5 + d^4\tau)$, an integer a in $[0, 2d^4 - 2d]$ such that the linear form x + ayis separating for the critical points of H.

Proof. By Proposition 23, Line 1 has expected complexity $\tilde{O}_B(d^5 + d^4\tau)$. In Line 2, similarly as in Line 4 of Algorithm 5, H(t - sy, y) and $\frac{\partial H}{\partial y}(t - sy, y)$ have coefficients of bitsize $\tilde{O}(d + \tau)$ and they can be computed with $\tilde{O}_B(d^4 + d^3\tau)$ bit operations (see the proof of Proposition 26). Still in Line 2, $\Upsilon(s)$ can be computed with $O(d^2)$ arithmetic operations on integers of bitsize $O(\tau + \log d)$, and thus with $\tilde{O}_B(d^2\tau)$ bit operations. The worst-case bit complexity of Lines 1 and 2 is thus in $\tilde{O}_B(d^5 + d^4\tau)$.

⁹⁷² Consider now one iteration of the loop in Algorithm 5' and let I_M denote the interval $(2d^4, M)$. ⁹⁷³ In Line 6, we can compute a prime μ by choosing uniformly at random an integer in I_M and testing ⁹⁷⁴ whether it is prime until a prime is found. Finding a random integer smaller than M amounts

to computing a sequence of $\log M$ random bits, which we assume can be done in $O_B(\log M)$ bit 975 operations. A random integer smaller than M is larger than $2d^4$ with probability at least 1/2. 976 thus a random integer in I_M can be computed in $O_B(\log M)$ bit operations. The number of primes 977 in $(M/2, M) \subseteq I_M$ is at least $\frac{M/2}{2 \ln M/2}$ [vzGG13, Theorem 18.7 (see also Exercise 18.18)]. The 978 probability that a randomly chosen integer in I_M is prime is thus at least $\frac{1}{4 \ln M/2}$ and a prime is 979 thus found after at most $4 \ln M/2$ trials on average. Testing whether an integer in I_M is prime 980 can be done with a polynomial bit complexity in the bitsize of M, $\tilde{O}_B(\log^{7.5} M)$ [AKS04]. The 981 expected bit complexity of computing a prime in Line 6 is thus in $\widetilde{O}_B(\log^{8.5} M)$. Furthermore, 982 since a random integer a in $[0, 2d^4]$ can be computed in $O_B(\log d)$ bit operations, the expected bit 983 complexity of one iteration of Line 6 is in $O_B(\log^{8.5} M)$. 984

In Line 7, O(d) coefficients of bitsize $O(\tau + \log d)$ are reduced modulo μ . Each reduction can be done in a bit complexity that is softly linear in the maximum bitsizes [vzGG13, Theorem 9.8], that is in a total bit complexity of $\widetilde{O}_B(d(\tau + \log d + \log M))$. Evaluating $\Upsilon(s)$ at a can then be done with O(d) arithmetic operations in \mathbb{Z}_{μ} and thus with $\widetilde{O}_B(d\log M)$ bit operations. The total bit complexity of one iteration of Line 7 is thus in $\widetilde{O}_B(d(\tau + \log M))$.

In Line 8, first notice that $\phi_{\mu}(H(t-ay,y)) = \phi_{\mu}(H(t-sy,y))\Big|_{s=a}$. Similarly as above, the $O(d^3)$ 990 coefficients of H(t-sy, y) of bitsize $\widetilde{O}(d+\tau)$ can be reduced modulo μ with $\widetilde{O}_B(d^3(d+\tau+\log M))$ 991 bit operations in total. The evaluation at s = a in \mathbb{Z}_{μ} then amounts to evaluating $O(d^2)$ univariate 992 polynomials in s of degree O(d). Similarly as above, this can be done with $O(d^3)$ arithmetic 993 operations \mathbb{Z}_{μ} and thus with $\widetilde{O}_B(d^3 \log M)$ bit operations. Thus, $\phi_{\mu}(H(t-ay,y))$ and similarly 994 $\phi_{\mu}(\frac{\partial H}{\partial y}(t-ay,y))$ can be computed with $\widetilde{O}_B(d^3(d+\tau+\log M))$ bit operations in the worst case. By 995 Lemma 4, their resultant $R_{\mu,a}(t)$ has degree $O(d^2)$, and it can be computed with $\widetilde{O}(d^3)$ arithmetic 996 operations in \mathbb{Z}_{μ} and thus with $\widetilde{O}_B(d^3 \log M)$ bit operations. The bit complexity of one iteration 997 of Line 8 is thus in $O_B(d^3(d + \tau + \log M))$ in the worst-case. 998

In Line 9, the squarefree part of $R_{\mu,a}(t)$, and thus its degree, can be computed with $O(d^2)$ arithmetic operations in \mathbb{Z}_{μ} (by Lemma 4) and thus with $\widetilde{O}_B(d^2 \log M)$ bit operations in the worst case.

Hence, the expected bit complexity of one iteration of the loop is in $\tilde{O}_B(d^3(d + \tau + \log M) + \log^{8.5} M)$, which is also in $\tilde{O}_B(d^3(d + \tau + \log^9 M))$. More precisely, at the end of the *j*-th iteration of the loop, $M = 2^{j+1}d^4$, thus the expected bit complexity of the *j*-th iteration of the loop is in $\tilde{O}_B(d^4 + d^3\tau + d^3j^9)$. The expected bit complexity of the entire loop is thus $\tilde{O}_B(d^4 + d^3\tau)$, by Lemmas 31 and 32. Summing up with the complexity of Lines 1 and 2, we obtain that the expected bit complexity of the algorithm is in $\tilde{O}_B(d^5 + d^4\tau)$.

1008 6 RUR decomposition

In this section, we consider that a separating form for the bivariate system $\{P, Q\}$ as been computed 1009 as shown in Section 5 and we focus on the computation of Rational Univariate Representations of 1010 the solutions. We present a new algorithm of worst-case bit complexity $O_B(d^6 + d^5\tau)$ for computing 1011 a RUR decomposition of $\{P, Q\}$, that is a sequence of RURs that encodes the solutions of $\{P, Q\}$ (see 1012 Definition 36 and Theorem 45). This algorithm is multi-modular and it relies on both the triangular 1013 decomposition and the luckiness certificate of Section 4. We also present a Las-Vegas version of 1014 this algorithm, of expected bit complexity $O_B(d^5 + d^4\tau)$ (Theorem 46), which only computes some 1015 coefficients of the above triangular decomposition and avoids computing the luckiness certificate. 1016

In Section 6.1, we first recall the definitions and main properties of RURs. We present our deterministic algorithm and its complexity analysis in Section 6.2 and its Las-Vegas version in 1019 Section 6.3.

1020 6.1 RUR definition and properties

Definition 34 ([Rou99, Definition 3.3]). Let $I \subset \mathbb{Q}[x, y]$ be a zero-dimensional ideal, $V(I) = \{\sigma \in \mathbb{C}^2, v(\sigma) = 0, \forall v \in I\}$ its associated variety, and let $(x, y) \mapsto x + ay$ be a linear form with a in \mathbb{Q} . The RUR-candidate of I associated to x + ay (or simply, to a), denoted RUR_{I,a}, is the following set of four univariate polynomials in $\mathbb{C}[t]$

$$f_{I,a}(t) = \prod_{\sigma \in V(I)} (t - x(\sigma) - ay(\sigma))^{\mu_I(\sigma)}$$

$$f_{I,a,v}(t) = \sum_{\sigma \in V(I)} \mu_I(\sigma)v(\sigma) \prod_{\varsigma \in V(I), \varsigma \neq \sigma} (t - x(\varsigma) - ay(\varsigma)), \quad \text{for } v \in \{1, x, y\}$$
(2)

where, for σ in V(I), $\mu_I(\sigma)$ denotes the multiplicity of σ in I. If $(x, y) \mapsto x + ay$ is injective on V(I), we say that the linear form x + ay separates V(I) (or is separating for I) and RUR_{I,a} is called a RUR (the RUR of I associated to a).

The following proposition states fundamental properties of RURs, which are all straightforward from the definition except for the fact that the RUR polynomials have rational coefficients [Rou99, Theorem 3.1].

Proposition 35 ([Rou99, Theorem 3.1]). If $I \subset \mathbb{Q}[x, y]$ is a zero-dimensional ideal and a in \mathbb{Q} , the four polynomials of the RUR-candidate RUR_{I,a} have rational coefficients. Furthermore, if x + ay separates V(I), the following mapping between V(I) and $V(f_{I,a}) = \{\gamma \in \mathbb{C}, f_{I,a}(\gamma) = 0\}$

$$\begin{array}{ccc} V(I) & \to & V(f_{I,a}) \\ (\alpha,\beta) & \mapsto & \alpha + a\beta \\ \left(\frac{f_{I,a,x}}{f_{I,a,1}}(\gamma), \frac{f_{I,a,y}}{f_{I,a,1}}(\gamma)\right) & \leftarrow & \gamma \end{array}$$

¹⁰³¹ is a bijection, which preserves the real roots and the multiplicities.

¹⁰³² Next, we define a RUR decomposition of an ideal.

Definition 36. Let $I \subset \mathbb{Q}[x, y]$ be a zero-dimensional ideal, $V(I) = \{\sigma \in \mathbb{C}^2, v(\sigma) = 0, \forall v \in I\}$ its associated variety, and let $(x, y) \mapsto x + ay$ be a linear form with a in \mathbb{Q} . A RUR-candidate decomposition of I is a sequence of RUR-candidates, associated to x + ay, of ideals $I_i \supseteq I$, $i \in \mathcal{I}$ such that V(I) is the disjoint union of the varieties $V(I_i)$, $i \in \mathcal{I}$. If x + ay separates $V(I_i)$ for all $i \in \mathcal{I}$, the RUR-candidate decomposition is a RUR decomposition of I.

The following proposition recalls an upper bound on the bitsize of a RUR of an ideal containing two coprime polynomials P and Q, that is a RUR parameterizing a subset of the solutions of the system $\{P, Q\}$. This bound applies to the RURs of our RUR decomposition and is used in Algorithm 6.

Proposition 37 ([BLPR15, Proposition 28]). Let P and Q in $\mathbb{Z}[x, y]$ be two coprime polynomials of total degree at most d and maximum bitsize τ , let a be a rational of bitsize τ_a , and let J be any ideal of $\mathbb{Z}[x, y]$ containing P and Q. The polynomials of the RUR-candidate of J associated to a have degree at most d^2 and bitsize in $\widetilde{O}(d^2\tau_a + d\tau)$. Note that according to Theorem 28, a separating form x + ay can be computed with an integer a of bitsize $O(\log d)$ and the bound in Proposition 37 becomes $\widetilde{O}(d^2 + d\tau)$. In addition, even if Proposition 37 only states an asymptotic upper bound, an explicit upper bound $C(d^2 + d\tau) \log^k d\tau$ with $C, k \in \mathbb{Z}$ can be obtained from straightforward, although unappealing, computations following the proof of that proposition. Indeed, this proof is based on Hadamard's inequality and Mignotte's lemma, which both state explicit bounds.

¹⁰⁵² Proposition 37 also yields the following bound on the total bisize of any RUR decomposition.

Corollary 38. Let P and Q in $\mathbb{Z}[x, y]$ be two coprime polynomials of total degree at most d and maximum bitsize τ , and let a be a rational of bitsize τ_a . The sum of the bitsizes of all coefficients of any RUR-candidate decomposition of $\langle P, Q \rangle$, associated to x + ay, is in $\widetilde{O}(d^4\tau_a + d^3\tau)$.

Proof. By Definition 36, the ideals I_i defining a RUR-candidate decomposition of $\langle P, Q \rangle$ are such 1056 that (i) the solutions of I_i (counted with multiplicity) are included in those of $\langle P, Q \rangle$ (since $I_i \supseteq$ 1057 $\langle P, Q \rangle$) and (ii) the sets $V(I_i)$ of (distinct) solutions of I_i are pairwise disjoint. Hence, the sum 1058 over all i of the number of solutions of I_i , counted with multiplicity, is at most d^2 , the Bézout 1059 bound of $\{P, Q\}$. By Definition 34, the sum over all *i* of the degrees of the first polynomial of the 1060 RUR-candidate of I_i is thus also at most d^2 . Moreover, still by Definition 34, the degree the first 1061 polynomial of a RUR-candidate bounds from above the degrees of the other polynomials of the 1062 RUR-candidate. Hence, the total number of coefficients of the RUR-candidate decomposition is 1063 $O(d^2)$. The result then follows from Proposition 37. 1064

1065 6.2 Decomposition algorithm

Algorithm 6 computes a RUR decomposition of a zero-dimensional system $\{P, Q\}$, by first computing a separating form x + ay as shown in Section 5 (Line 1). We then use this separating form to shear the system in generic position (Line 2) and compute the radical of a triangular decomposition of this system (Line 3). Then, using a multimodular approach, we compute RURs of each of the resulting radical systems (Lines 4–10) and return these RURs after a shear back (Line 11).

The section is organized as follows. We first prove some preliminary lemmas that are instrumen-1072 tal for the proof of correctness of Algorithm 6. We show in Lemma 39 that the ideals we compute 1073 in Line 3 are the radicals of the ideals output by the triangular decomposition of Algorithm 1. 1074 We then determine in Lemma 40 formulas for the RURs of these radical ideals. These formulas 1075 are valid over the rationals but, for complexity issues, we use these formulas in a multimodular 1076 setting, in Lines 4 to 10. For this purpose, Lemma 41 states conditions on primes μ under which the 1077 reductions modulo μ of the RURs of these ideals are equal to the RURs of the reductions modulo 1078 μ of these ideals. We also show in Lemma 42 how to compute the image of the computed RURs 1079 through the reverse shearing of the one performed in Line 2. With these lemmas, we prove in 1080 Propositions 43 and 44 the correctness and complexity of Algorithm 6. Theorem 45 finally gathers 1081 these results. 1082

Lemma 39. The radical \widehat{T}_i of ideal T_i is $\langle A_i, i \operatorname{sres}_i(\widetilde{P}, \widetilde{Q}) y + \operatorname{sres}_{i,i-1}(\widetilde{P}, \widetilde{Q}) \rangle$ where A_i is squarefree and coprime with $\operatorname{sres}_i(\widetilde{P}, \widetilde{Q})$.

Proof. Since x + ay separates the solutions of $\{P, Q\}$, the system $\{\tilde{P}, \tilde{Q}\}$ is in generic position in the sense that no two of its solutions are vertically aligned. The systems $T_i = \{A_i(t), B_i(t, y)\}$ of the triangular decomposition of $\{\tilde{P}, \tilde{Q}\}$ are thus also in generic position (since the set of solutions of $\{\tilde{P}, \tilde{Q}\}$ is the disjoint union of those of the T_i by Lemma 10). $B_i(t, y) = \operatorname{sres}_i(\tilde{P}, \tilde{Q}) y^i +$ sres_{*i*,*i*-1}(\tilde{P}, \tilde{Q}) $y^{i-1} + \cdots$ is of degree *i* in *y* and its leading coefficient $\operatorname{sres}_i(\tilde{P}, \tilde{Q})$ is coprime with Algorithm 6 RUR decomposition

Input: P, Q coprime in $\mathbb{Z}[x, y]$ of degree at most d and bitsize at most τ

Output: RUR decomposition of $\{P, Q\}$ of total bitsize $O(d^4 + d^3\tau)$

- 1: Compute a separating form x + ay for $\{P, Q\}$ with $a \in \mathbb{Z}$ of bitsize $O(\log d)$ such that the leading coefficients of P(t ay, y) and Q(t ay, y) with respect to y are coprime (see Theorem 28)
- 2: Compute $\tilde{P}(t, y) = P(t ay, y)$ and $\tilde{Q}(t, y) = Q(t ay, y)$, and let \tilde{d} and $\tilde{\tau}$ be their maximum degree and bitsize
- 3: Compute $\{T_i\}_{i \in \mathcal{I}} = \text{Algorithm } \mathbf{1}(\widetilde{P}, \widetilde{Q})$ Recall that $T_i = \{A_i(t), B_i(t, y)\}$ with $B_i(t, y) = \operatorname{sres}_i(\widetilde{P}, \widetilde{Q})(t) y^i + \operatorname{sres}_{i,i-1}(\widetilde{P}, \widetilde{Q})(t) y^{i-1} + \cdots$ Let $\widehat{T}_i = \langle A_i, i \operatorname{sres}_i(\widetilde{P}, \widetilde{Q}) y + \operatorname{sres}_{i,i-1}(\widetilde{P}, \widetilde{Q}) \rangle$ be the radical ideal of T_i (see Lemma 39)
- 4: Let $K = \lceil C(\tilde{d}^2 + \tilde{d}\tilde{\tau}) \log^k \tilde{d}\tilde{\tau} \rceil$ be an integer that bounds from above the bitsize of the coefficients of the RURs of the systems \hat{T}_i (see Proposition 37 and subsequent discussion) and let $\Pi =$ Algorithm $2(\tilde{P}, \tilde{Q})$. Compute the set \mathcal{L} of the 2K first prime numbers that are larger than \tilde{d} and that do not divide Π . Let $\Pi_{\mathcal{L}}$ be the product of all primes in \mathcal{L} .
- 5: for all i in \mathcal{I} do
- 6: for all μ in \mathcal{L} do
- 7: Compute $\phi_{\mu}(\widehat{T}_i)$ by reducing modulo μ the polynomials A_i , $\operatorname{sres}_i(\widetilde{P}, \widetilde{Q})$ and $\operatorname{sres}_{i,i-1}(\widetilde{P}, \widetilde{Q})$
- 8: Compute $\operatorname{RUR}_{i}^{\mu}$ the RUR in \mathbb{Z}_{μ} of $\phi_{\mu}(\widehat{T}_{i})$ associated to the separating form $(t, y) \mapsto t$ (see Lemma 40)
- 9: Lift $\{\operatorname{RUR}_{i}^{\mu}\}_{\mu \in \mathcal{L}}$ to $\operatorname{RUR}_{i}^{\Pi_{\mathcal{L}}}$ in $\mathbb{Z}_{\Pi_{\mathcal{L}}}$ using the Chinese Remainder Algorithm
- 10: Compute $\operatorname{RUR}_{i}^{\mathbb{Q}}$, the RUR in \mathbb{Q} of \widehat{T}_{i} associated to the separating form $(t, y) \mapsto t$, with a rational reconstruction from $\operatorname{RUR}_{i}^{\Pi_{\mathcal{L}}}$ (see the proof of Proposition 43)
- 11: return the image of $\operatorname{RUR}_{i}^{\mathbb{Q}}$, $i \in \mathcal{I}$, through the reverse shearing from (t, y) to (x, y) (see Lemma 42)

A_i (by Lemma 10). Hence, for any α solution of $A_i(t)$, $B_i(\alpha, y)$ has a unique root, which is of multiplicity *i*. This multiple root is thus also root of the (i-1)-th derivative of $B_i(\alpha, y)$, which is *i*! sres_i(\tilde{P}, \tilde{Q})(α) y + (i-1)! sres_{i,i-1}(\tilde{P}, \tilde{Q})(α). Hence, the distinct solutions of T_i are exactly those of \hat{T}_i . Finally, \hat{T}_i is radical because $A_i(t)$ is univariate and squarefree (by Lemma 10) and *i* sres_i(\tilde{P}, \tilde{Q}) $y + \text{sres}_{i,i-1}(\tilde{P}, \tilde{Q})$ has degree one in the other variable, y.

The next lemma states formulas for the RURs of the radical ideals \hat{T}_i . We state this lemma in general form because we also use it for computing the RURs of $\phi_{\mu}(\hat{T}_i)$.

Lemma 40. Let \mathbb{F} be a field, A, B_0, B_1 be three polynomials in $\mathbb{F}[t]$ and let $I = \langle A(t), B_1(t) y + B_0(t) \rangle$ be an ideal such that A is squarefree and coprime with B_1 . The linear form $(t, y) \mapsto t$ is separating for that ideal and its associated RUR is given by¹⁰

$$f_I = \frac{A}{\operatorname{Lc}(A)}$$
 $f_{I,1} = f'_I$ $f_{I,t} = t f_{I,1}$ rem A $f_{I,y} = -B_0 U f_{I,1}$ rem A

where $U \in \mathbb{F}[t]$ is the inverse of B_1 modulo A, defined by Bézout's identity $UB_1 + VA = 1$ and where f rem g denotes the remainder of the Euclidean division of f by g.

¹¹⁰² Proof. By Definition 34, the first polynomial of the RUR of I associated to the form $(t, y) \mapsto t$ ¹¹⁰³ is the unique monic polynomial that encodes the t-coordinates of the solutions of I, counted with

¹⁰We omit in the subscript of the polynomials of the RUR the reference to the parameter, 0, of the separating form $(t, y) \mapsto t + 0y$.

multiplicity in *I*. Since *A* is squarefree and coprime with B_1 , the solutions of *I* have multiplicity one and their *t*-coordinates are exactly the roots of *A*. Hence, since *A* is squarefree, the first polynomial of the RUR is $f_I = \frac{A}{\operatorname{Lc}(A)}$. It also follows from the definition of the RUR that if f_I is squarefree then $f_{I,1} = f'_I$.

By Proposition 35, $\frac{f_{I,t}}{f_{I,1}}(\alpha) = \alpha$ for any root α of $f_I = \frac{A}{\operatorname{Lc}(A)}$, since the separating form is (t, y) $\mapsto t$. Hence, $f_{I,t}(t) = t f_{I,1}(t) \mod A$. It follows that $f_{I,t} = t f_{I,1}$ rem A since $f_{I,t}$ has the degree of A minus 1 by Definition 34.

We also have by Proposition 35 that $f_{I,1} y - f_{I,y}$ is in I. Multiplying it by B_1 and substracting $f_{I,1} (B_1 y + B_0)$, which is also in I, we obtain that $B_1 f_{I,y} + f_{I,1} B_0$ is in I. This polynomial is univariate in t, hence it is equal to zero modulo A. On the other hand, since A and B_1 are coprime, by Bézout's identity, there exists a pair (U, V) of polynomials in $\mathbb{F}[t]$ such that $UB_1 + VA = 1$, and we have that $UB_1 = 1 \mod A$. It follows that $f_{I,y} + U f_{I,1} B_0 = 0 \mod A$ and thus that $f_{I,y} = -U f_{I,1} B_0$ rem A since $f_{I,y}$ has the degree of A minus 1 by Definition 34.

Even if the bitsize of the RUR of \widehat{T}_i is known to be in $\widetilde{O}(\tilde{d}^2 + \tilde{d}\tilde{\tau}) = \widetilde{O}(d^2 + d\tau)$ (Proposition 37) 1117 and [BLPR15, Lemma 7]), the naive computation of these RURs using the above formulas over 1118 the rationals would suffer from large intermediate bitsizes.¹¹ To overcome this difficulty, we use in 1119 Algorithm 6 a classical multimodular technique, which consists in first computing the polynomials 1120 modulo a set of primes whose product is larger than the bitsize of the output coefficients, then lifting 1121 the result using the Chinese Remainder Algorithm and finally performing a rational reconstruction. 1122 However, to output a correct result, this technique requires that, for any selected prime μ , the 1123 formulas of Lemma 40 commute with the reduction modulo μ . We show in Lemma 41 how to 1124 satisfy this requirement using the luckiness certificate output by Algorithm 2. This lemma is 1125 instrumental for the proof of correctness of Algorithm 6. 1126

Lemma 41. Let $\mu > i$ be a prime that does not divide Π . The ideals \widehat{T}_i and $\phi_{\mu}(\widehat{T}_i)$ satisfy the hypotheses of Lemma 40. In particular, the linear form $(t, y) \mapsto t$ is separating for both ideals. For this linear form, the RUR of $\phi_{\mu}(\widehat{T}_i)$ is equal to the reduction modulo μ of the RUR of \widehat{T}_i .

Proof. By Lemma 39, the ideal $\widehat{T}_i = \langle A_i, i \operatorname{sres}_i(\widetilde{P}, \widetilde{Q}) y + \operatorname{sres}_{i,i-1}(\widetilde{P}, \widetilde{Q}) \rangle$ is such that A_i is squarefree and coprime with $\operatorname{sres}_i(\widetilde{P}, \widetilde{Q})$. Lemma 40 thus applies and yields that the linear form $(t, y) \mapsto t$ is separating for ideal \widehat{T}_i and that the associated RUR can be computed with the given formulas.

In the following, we assume that $\mu > i$ is a prime that does not divide Π . We first show that 1133 the ideal $\phi_{\mu}(\widehat{T}_i) = \langle \phi_{\mu}(A_i), i \phi_{\mu}(\operatorname{sres}_i(\widetilde{P}, \widetilde{Q})) y + \phi_{\mu}(\operatorname{sres}_{i,i-1}(\widetilde{P}, \widetilde{Q})) \rangle$ also satisfies the hypotheses 1134 of Lemma 40. Recall the notation used in the proof of Lemma 14: let $(A_i^{\mu}(t), B_i^{\mu}(t, y))$ be the 1135 triangular systems computed by Algorithm 1 applied to $\phi_{\mu}(\tilde{P}(t,y))$ and $\phi_{\mu}(\tilde{Q}(t,y))$. Lemma 14 1136 implies that μ is lucky for the triangular decomposition of $\{\widetilde{P}, \widetilde{Q}\}$, hence $\phi_{\mu}(A_i) = A_i^{\mu}$ and $\phi_{\mu}(B_i) =$ 1137 B_i^{μ} , the latter being equivalent to $\phi_{\mu}(\operatorname{Sres}_i(\widetilde{P},\widetilde{Q})) = \operatorname{Sres}_i(\phi_{\mu}(\widetilde{P}),\phi_{\mu}(\widetilde{Q}))$. We thus have that 1138 $gcd(\phi_{\mu}(A_i), i \phi_{\mu}(sres_i(\widetilde{P}, \widetilde{Q}))) = gcd(A_i^{\mu}, i sres_i(\phi_{\mu}(\widetilde{P}), \phi_{\mu}(\widetilde{Q}))),$ which a non-zero constant in \mathbb{Z}_{μ} by 1139 Lemma 10. In addition, Lemma 10 implies that $\phi_{\mu}(A_i) = A_i^{\mu}$ is squarefree. Lemma 40 thus applies 1140 to the ideal $\phi_{\mu}(\widehat{T}_i)$. Hence, the linear form $(t,y) \mapsto t$ is separating for $\phi_{\mu}(\widehat{T}_i)$ and the associated 1141 RUR can be computed with the formulas of Lemma 40. 1142

Second, we prove that μ does not divide any denominator of the rational coefficients of the polynomials of the RUR of \hat{T}_i and thus that the images of these polynomials by ϕ_{μ} are well defined.

¹¹More precisely, the computation of the RURs using the formulas of Lemma 40 over the rationals would require $\tilde{O}_B(d^8 + d^7\tau)$ bit operations for each triangular system and $\tilde{O}_B(d^9 + d^8\tau)$ for all of them. This bit complexity corresponds roughly to the cost of multiplications and divisions involving the inverse of sres_i(\tilde{P}, \tilde{Q}) rem A_i , which is a polynomial of degree $O(d^2)$ and bitsize in $\tilde{O}(d^4 + d^3\tau)$.

By definition, A_i divides the resultant of \widetilde{P} and \widetilde{Q} , which is equal to $\operatorname{sres}_0(\widetilde{P}, \widetilde{Q})$. It follows that 1145 μ does not divide Lc(A_i) because μ does not divide Lc(sres₀(P, Q)) by definition of Π . Thus μ 1146 does not divide any denominator of the coefficients of the RUR polynomials $f_{\widehat{T}_i} = \frac{A_i}{\operatorname{Lc}(A_i)}$ and $f_{\widehat{T}_i,1} = f'_{\widehat{T}_i}$. On the other hand, if F is a polynomial in $\mathbb{Q}[t]$ such that μ does not divide the 1147 1148 denominators of its coefficients, then μ does not divide the denominators of the coefficients of 1149 F rem A_i (the denominator of a coefficient of the remainder is the product of $L_i(A_i)$ and some 1150 denominators of coefficients of F). It follows that μ does not divide the denominators of the 1151 coefficients of $f_{\hat{T}_i,t} = t f_{\hat{T}_i,1}$ rem A_i . Similarly, to prove that μ does not divide the denominators 1152 of the coefficients of $f_{\widehat{T}_{i,y}} = -\operatorname{sres}_{i,i-1}(\widetilde{P},\widetilde{Q}) U f_{\widehat{T}_{i,1}}$ rem A_i , it is sufficient to prove the μ does 1153 not divide the denominators of the coefficients of U, the inverse of $i \operatorname{sres}_i(\widetilde{P}, \widetilde{Q})$ modulo A_i (since 1154 $\operatorname{sres}_{i,i-1}(\widetilde{P},\widetilde{Q})$ has integer coefficients). By definition of Π , μ does not divide $\operatorname{Lc}(\operatorname{sres}_i(\widetilde{P},\widetilde{Q}))$. 1155 In addition, we have shown that μ does not divide $Lc(A_i)$, A_i and $i \operatorname{sres}_i(\widetilde{P}, \widetilde{Q})$ are coprime by 1156 Lemma 39 and we have shown above that $\phi_{\mu}(A_i)$ and $\phi_{\mu}(i \operatorname{sres}_i(\widetilde{P}, \widetilde{Q}))$ are also coprime. It follows 1157 that μ is lucky for $gcd(A_i, i \operatorname{sres}_i(\widetilde{P}, \widetilde{Q}))$ (Definition 6). Thus, by Lemma 7, μ does not divide 1158 $res = \operatorname{Res}_t(A_i, i\operatorname{sres}_i(\widetilde{P}, \widetilde{Q}))$. By [BPR06, Prop. 8.38.a] and since A_i and $i\operatorname{sres}_i(\widetilde{P}, \widetilde{Q})$ are coprime, 1159 there exist u, v in $\mathbb{Z}[t]$ such that, $d_t(u) < d_t(A)$ and $u i \operatorname{sres}_i(\widetilde{P}, \widetilde{Q}) + vA_i = res$, which is equivalent to 1160 $\frac{u}{res}i\operatorname{sres}_i(\widetilde{P},\widetilde{Q}) + \frac{v}{res}A_i = 1.$ By unicity of Bézout's coefficients in $\mathbb{Q}[t]$, U the inverse of $i\operatorname{sres}_i(\widetilde{P},\widetilde{Q})$ 1161 modulo A_i is equal to $\frac{u}{res}$ and μ does not divide any denominator of its coefficients. 1162

It is now clear that the image by ϕ_{μ} of the RUR polynomials $f_{\widehat{T}_{i}}, f_{\widehat{T}_{i},1}, f_{\widehat{T}_{i},t}$ are those of the RUR of $\phi_{\mu}(\widehat{T}_{i})$. For $f_{\widehat{T}_{i},y} = -\operatorname{sres}_{i,i-1}(\widetilde{P},\widetilde{Q}) U f_{\widehat{T}_{i},1}$ rem A_{i} , since we have shown that $\phi_{\mu}(\operatorname{Sres}_{i}(\widetilde{P},\widetilde{Q})) = \operatorname{Sres}_{i}(\phi_{\mu}(\widetilde{P}),\phi_{\mu}(\widetilde{Q}))$, it is sufficient to show that $\phi_{\mu}(U)$ is the inverse of $\phi_{\mu}(i\operatorname{sres}_{i}(\widetilde{P},\widetilde{Q}))$ modulo $\phi_{\mu}(A_{i})$. As shown above, $\phi_{\mu}(U)$ is well defined and the relation $\phi_{\mu}(\frac{u}{res})\phi_{\mu}(i\operatorname{sres}_{i}(\widetilde{P},\widetilde{Q})) + \phi_{\mu}(\frac{v}{res})\phi_{\mu}(A_{i}) = 1$ implies that it is the inverse of $\phi_{\mu}(i\operatorname{sres}_{i}(\widetilde{P},\widetilde{Q}))$ modulo $\phi_{\mu}(A_{i})$.

Lemma 42. Let $\{f_I, f_{I,1}, f_{I,t}, f_{I,y}\}$ be the RUR^{10} of an ideal I in $\mathbb{Q}[t, y]$ associated to the separating linear form $(t, y) \mapsto t$. Let J in $\mathbb{Q}[x, y]$ be the image of I through the mapping $(t, y) \mapsto (x = t - ay, y)$. The linear form $(x, y) \mapsto x + ay$ is separating for J and its associated RUR is given by

 $f_{J,a} = f_I,$ $f_{J,a,1} = f_{I,1},$ $f_{J,a,x} = f_{I,t} - a f_{I,y},$ $f_{J,a,y} = f_{I,y}.$

1172 Proof. By Definition 34, the RURs of I and J are defined by

$$f_{I}(t) = \prod_{\sigma \in V(I)} (t - t(\sigma))^{\mu_{J}(\sigma)} \qquad f_{I,v}(t) = \sum_{\sigma \in V(I)} \mu_{I}(\sigma)v(\sigma) \prod_{\varsigma \in V(I), \varsigma \neq \sigma} (t - t(\varsigma))$$

for $v \in \{1, t, y\}$,
$$f_{J,a}(t) = \prod_{\sigma' \in V(J)} (t - x(\sigma') - ay(\sigma'))^{\mu_{J}(\sigma')} \qquad f_{J,a,v}(t) = \sum_{\sigma' \in V(J)} \mu_{J}(\sigma')v(\sigma') \qquad \prod_{\varsigma' \in V(J), \varsigma' \neq \sigma'} (t - x(\varsigma') - ay(\varsigma'))$$

for $v \in \{1, x, y\}$.

The change of coordinates $(t, y) \mapsto (x = t - ay, y)$ induces an affine transformation of the solutions that preserves their multiplicities, such that, for every solution σ of I, there exists a unique solution σ' of J with the same multiplicity and satisfying $x(\sigma') = t(\sigma) - ay(\sigma)$ and $y(\sigma') = y(\sigma)$. This directly implies all four equalities of the lemma.

We finally prove the correctness and analyze the complexity of Algorithm 6 in the following two propositions. **Proposition 43** (Correctness of Algorithm 6). Algorithm 6 computes a RUR decomposition of $\{P,Q\}$ of total bitsize $\widetilde{O}(d^4 + d^3\tau)$.

Proof. The correctness of Line 1 follows directly from Theorem 28. In particular, the sheared polynomials \tilde{P} and \tilde{Q} are coprime and their leading coefficients with respect to y are also coprime. In Line 3, Algorithm 1 can thus be applied to compute the ideals T_i . By Lemma 39, \hat{T}_i is the radical ideal of T_i and, since both ideals have the same (distinct) solutions, the set of solutions of $\{\tilde{P}, \tilde{Q}\}$ is the disjoint union of the sets of solutions of all \hat{T}_i , by Lemma 10.

In Line 4, the upper bound K can be computed according Proposition 37 and the subsequent discussion.

In Line 8, the RUR of $\phi_{\mu}(\hat{T}_i)$ can be computed using the formulas of Lemma 40 (which applies by Lemma 41). Moreover, by Lemma 41, the RUR of $\phi_{\mu}(\hat{T}_i)$ is the reduction modulo μ of the RUR of \hat{T}_i . Thus, in Line 9, by the Chinese Remainder Theorem, $\text{RUR}_i^{\Pi_{\mathcal{L}}}$ is the reduction modulo $\Pi_{\mathcal{L}}$ of the RUR of \hat{T}_i .

Finally, in Line 10, we use a rational number reconstruction [vzGG13, Section 5.10] with pa-1192 rameter 2M with $M = 2^K$: for any coefficient c of $\operatorname{RUR}_i^{\Pi_{\mathcal{L}}}$ in $\mathbb{Z}_{\Pi_{\mathcal{L}}}$ a rational number $\frac{r}{t}$ with r, t in 1193 \mathbb{Z} is computed such that gcd(r,t) = 1, $gcd(t,\Pi_{\mathcal{L}}) = 1$, $rt^{-1} = c \mod \Pi_{\mathcal{L}}$, |r| < 2M, $0 < t \leq \frac{\Pi_{\mathcal{L}}}{2M}$. According to [vzGG13, Theorem 5.26 (iv)], there exists at most one solution such that |r| < M. On 1194 1195 the other hand, $\operatorname{RUR}_{i}^{\mathbb{Q}}$, the RUR of \widehat{T}_{i} computed in \mathbb{Q} defines such a solution for each coefficient. 1196 Indeed, let \tilde{r}/\tilde{t} be the coefficient in $\operatorname{RUR}_i^{\mathbb{Q}}$ corresponding to c, with $\operatorname{gcd}(\tilde{r},\tilde{t}) = 1$ and $\tilde{t} > 0$. By def-1197 inition, M is larger than $|\tilde{r}|$ and \tilde{t} . In Line 4, $\Pi_{\mathcal{L}}$ is defined such that $\Pi_{\mathcal{L}} > 2M^2$ (indeed, $\Pi_{\mathcal{L}}$ is the product of 2K primes and with K > 1 at least one is larger than 4 thus $\Pi_{\mathcal{L}} > 2^{2K+1} = 2M^2$), thus 1198 1199 $0 < \tilde{t} < M < \frac{\Pi_{\mathcal{L}}}{2M}$. On the other hand, we prove in Lemma 41 that, modulo a prime $\mu > i$ that does 1200 not divide Π , the reduction of $\operatorname{RUR}_i^{\mathbb{Q}}$ is well defined, thus $\operatorname{gcd}(\tilde{t}, \Pi_{\mathcal{L}}) = 1$. Finally, since, as shown 1201 above, $\operatorname{RUR}_{i}^{\Pi_{\mathcal{L}}}$ is the reduction modulo $\Pi_{\mathcal{L}}$ of $\operatorname{RUR}_{i}^{\mathbb{Q}}$, the RUR of \widehat{T}_{i} , we have that $c = \phi_{\Pi_{\mathcal{L}}}(\tilde{r}/\tilde{t})$, 1202 that is $\tilde{r}\tilde{t}^{-1} = c \mod \Pi_{\mathcal{L}}$. The unique solution of the rational reconstruction of $\mathrm{RUR}_{i}^{\Pi_{\mathcal{L}}}$ is thus 1203 well defined and equal to the RUR of \hat{T}_i in \mathbb{Q} . 1204

At the end of Line 10, we have thus computed the sequence of RURs of \widehat{T}_i associated to the separating form $(t, y) \mapsto t$, for all $i \in \mathcal{I}$. This is a RUR decomposition of $\langle \widetilde{P}, \widetilde{Q} \rangle$ since as shown above, the set of solutions of $\{\widetilde{P}, \widetilde{Q}\}$ is the disjoint union of the sets of solutions of all \widehat{T}_i and since $\langle \widetilde{P}, \widetilde{Q} \rangle \subseteq T_i \subseteq \widehat{T}_i$ by Lemma 10.

By definition of \tilde{P} and \tilde{Q} , the images of these RURs through the mapping $(t, y) \mapsto (x = t - ay, y)$ yield a RUR decomposition of $\langle P, Q \rangle$ associated to the form $(x, y) \mapsto x + ay$. This RUR decomposition is computed in Line 11 using the formulas of Lemma 42.

Finally, the total bitsize of $O(d^4 + d^3\tau)$ of all the coefficients of this RUR decomposition follows from Corollary 38 since the bitsize of a is in $O(\log d)$ by Theorem 28.

Proposition 44. Algorithm 6 computes a RUR decomposition of $\{P,Q\}$ with $\widetilde{O}_B(d^6 + d^5\tau)$ bit operations in the worst case.

Proof. The bit complexity of Line 1 is $\tilde{O}_B(d^6 + d^5\tau)$ by Theorem 28. In Line 2, since *a* has bitsize in $O(\log d)$, the sheared polynomials \tilde{P} and \tilde{Q} can be computed in bit complexity $\tilde{O}_B(d^4 + d^3\tau)$ and their maximum degrees \tilde{d} and bitsizes $\tilde{\tau}$ are in O(d) and $\tilde{O}(d + \tau)$, respectively (see e.g. [BLPR15, Lemma 7]).

In Lines 3 and 4, the bit complexities of Algorithms 1 and 2 applied on $\{\tilde{P}, \tilde{Q}\}$ are in $\tilde{O}_B(\tilde{d}^6 + \tilde{d}^5 \tilde{\tau})$ by Proposition 16. In Line 4, computing K has bit complexity $\tilde{O}_B(\log \tilde{d} \tilde{\tau})$ (since the constants C and k are known according to the discussion following Proposition 37). Still in Line 4, computing \mathcal{L} can be done by (i) computing the first $2K + \lceil \log \Pi \rceil$ primes larger than \tilde{d} , then (ii) reducing Π

modulo these primes using a remainder tree [MB74] and (iii) keeping the first 2K primes that do 1224 not dividing Π (there exists at least 2K primes that do not dividing Π since the number of primes 1225 that divide Π is smaller than $\lceil \log \Pi \rceil$). The bit complexity of computing the r first prime numbers 1226 is in $O_B(r)$ and their maximum is in O(r) [vzGG13, Theorem 18.10]. Hence, since Π has bitsize 1227 $\widetilde{O}(\tilde{d}^4 + \tilde{d}^3 \tilde{\tau})$ by Proposition 16, phase (i) has bit complexity $\widetilde{O}(\tilde{d}^4 + \tilde{d}^3 \tilde{\tau})$, every prime has bitsize 1228 $O(\log \tilde{d}\tilde{\tau})$ and their product has bitsize $\tilde{O}(\tilde{d}^4 + \tilde{d}^3\tilde{\tau})$. Phase (ii) can be computed in a bit complexity 1229 that is soft linear in the total bitsize of the input [MB74, Theorem 1], hence in $\widetilde{O}(\tilde{d}^4 + \tilde{d}^3\tilde{\tau})$ bit 1230 operations. Therefore, the bit complexity of Lines 3 and 4 is $\tilde{O}(\tilde{d}^4 + \tilde{d}^3\tilde{\tau})$. 1231

In Lines 5 and 6, the cardinality of \mathcal{I} is $O(\tilde{d})$ (see Algorithm 1) and the cardinality of \mathcal{L} is $2K = \tilde{O}(\tilde{d}^2 + \tilde{d}\tilde{\tau}).$

In Line 7, every subresultant of \widetilde{P} and \widetilde{Q} (including the resultant) has degree $O(\widetilde{d}^2)$ in t and its 1234 coefficients have bisize $O(\tilde{d}\tilde{\tau})$ by Lemma 3. Furthermore, A_i is factor of $\operatorname{Res}(\tilde{P}, Q)$ by construction. 1235 hence the bitsize of its coefficients is in $O(\tilde{d}^2 + \tilde{d}\tilde{\tau})$ by Mignotte's lemma (see e.g. [BPR06, Corollary 1236 10.12]). Hence, in Line 7, A_i , sres_i(\tilde{P}, \tilde{Q}) and sres_{i,i-1}(\tilde{P}, \tilde{Q}) have degree $O(\tilde{d}^2)$ and coefficients of 1237 bisize $\widetilde{O}(\tilde{d}^2 + \tilde{d}\tilde{\tau})$. For every *i*, the reductions of each of these coefficients modulo the $\widetilde{O}(\tilde{d}^2 + \tilde{d}\tilde{\tau})$ 1238 primes μ (of bitsize $O(\log d\tau)$) in \mathcal{L} can be done, using again a remainder tree, in bit complexity 1239 $O_B(\tilde{d}^2 + \tilde{d}\tilde{\tau})$. The reductions of all $O(\tilde{d}^2)$ coefficients for all $O(\tilde{d})$ $i \in \mathcal{I}$ and all $\mu \in \mathcal{L}$ can thus be 1240 done in bit complexity $O_B(\tilde{d}^5 + \tilde{d}^4\tilde{\tau})$. 1241

In Line 8, for every i and μ , we compute RUR_{i}^{μ} using the formulas of Lemma 40 where the input 1242 polynomials are $A = \phi_{\mu}(A_i), B_1 = \phi_{\mu}(\operatorname{sres}_i(\widetilde{P}, \widetilde{Q}))$ and $B_0 = \phi_{\mu}(\operatorname{sres}_{i,i-1}(\widetilde{P}, \widetilde{Q}))$ in $\mathbb{Z}_{\mu}[t]$. Following 1243 these formulas, computing $\operatorname{RUR}_{i}^{\mu}$ can be done with O(1) additions, multiplication and inverse 1244 computations in $\mathbb{Z}_{\mu}[t]/\langle A \rangle$ once B_0 and B_1 are reduced in $\mathbb{Z}_{\mu}[t]/\langle A \rangle$. These reductions amount 1245 to computing the remainders of the divisions of B_0 and B_1 by A, whose arithmetic complexity 1246 in \mathbb{Z}_{μ} is softly linear in their degrees $O(\tilde{d}^2)$ [vzGG13, Theorem 9.6]. Furthermore, the arithmetic 1247 complexity in \mathbb{Z}_{μ} of every operation in $\mathbb{Z}_{\mu}[t]/\langle A \rangle$ is softly linear in the degree $O(\tilde{d}^2)$ of A [vzGG13, 1248 Corollary 11.11]. Summing over all $i \in \mathcal{I}$ and all $\mu \in \mathcal{L}$, the $\widetilde{O}(\tilde{d}^3 + \tilde{d}^2 \tilde{\tau}) \operatorname{RUR}_i^{\mu}$ can be computed 1249 with $\widetilde{O}(\tilde{d}^5 + \tilde{d}^4 \tilde{\tau})$ arithmetic operations in $\mathbb{Z}_{\mu \in \mathcal{L}}$. Finally, since every $\mu \in \mathcal{L}$ has bitsize $O(\log \tilde{d} \tilde{\tau})$, 1250 the total bit complexity of Line 8 is $\widetilde{O}_B(\tilde{d}^5 + \tilde{d}^4\tilde{\tau})$. 1251

In Line 9, for any given *i*, the complexity of lifting $\{\text{RUR}_{i}^{\mu}\}_{\mu \in \mathcal{L}}$ to $\text{RUR}_{i}^{\Pi_{\mathcal{L}}}$ in $\mathbb{Z}_{\Pi_{\mathcal{L}}}$ is the complexity of lifting its $O(d^{2})$ coefficients. Every coefficient reconstruction in $\mathbb{Z}_{\Pi_{\mathcal{L}}}$ can be done using the Chinese Remainder Algorithm with $\widetilde{O}_{B}(\log \Pi_{\mathcal{L}}) = \widetilde{O}_{B}(\tilde{d}^{2} + \tilde{d}\tilde{\tau})$ bit operations [vzGG13, Theorem 10.25]. Summing over all coefficients and all *i*, the total bit complexity of Line 9 is thus in $\widetilde{O}_{B}(\tilde{d}^{5} + \tilde{d}^{4}\tilde{\tau})$.

In Line 10, for any given *i*, the complexity of the rational reconstruction of $\text{RUR}_i^{\mathbb{Q}}$ from $\text{RUR}_i^{\Pi_{\mathcal{L}}}$ is the complexity of the rational reconstructions with parameter $2M = 2^{K+1}$ of the $O(d^2)$ rationals 1257 1258 coefficients of $\operatorname{RUR}_{i}^{\mathbb{Q}}$ from those of $\operatorname{RUR}_{i}^{\Pi_{\mathcal{L}}}$ (see the proof of Proposition 43 for details). The rational 1259 reconstruction $r/t \in \mathbb{Q}$ of $c \in \mathbb{Z}_{\Pi_c}$ with parameter 2M is the cost of computing the first line of the 1260 Extended Euclidean Algorithm (EEA) for $\Pi_{\mathcal{L}}$ and c such that the remainder is smaller than 2M1261 [vzGG13, Theorem 5.26]. Using binary search, we can compute at most a logarithmic number of 1262 lines of the EEA. Since the total number of lines of the EEA and the bit complexity of computing 1263 one line of the EEA are (at most) softly linear in the bitsize of the input [vzGG13, Corollary 11.9], 1264 the rational reconstruction of one rational has bit complexity $\tilde{O}_B(\tilde{d}^2 + \tilde{d}\tilde{\tau})$. Summing over all 1265 coefficients and all *i*, the total bit complexity of Line 10 is thus in $\widetilde{O}_B(\tilde{d}^5 + \tilde{d}^4\tilde{\tau})$. In Line 11, for every *i*, the image of $\operatorname{RUR}_i^{\mathbb{Q}}$ through the reverse shearing can be computed 1266

In Line 11, for every *i*, the image of $\operatorname{RUR}_{i}^{\mathbb{Q}}$ through the reverse shearing can be computed with $O(\tilde{d}^{2})$ arithmetic operations on integers of bitsize $\tilde{O}(\tilde{d}^{2} + \tilde{d}\tilde{\tau})$ by Lemma 42. Hence, the bit complexity of Line 11 is trivially $\tilde{O}_{B}(\tilde{d}^{5} + \tilde{d}^{4}\tilde{\tau})$. (Note that in Lines 9, 10 and 11 an amortized analysis yields a complexity of $\tilde{O}_{B}(\tilde{d}^{4} + \tilde{d}^{3}\tilde{\tau})$ by observing that the degrees $\tilde{d}_{i} \in O(d^{2})$ of the first

Algorithm 6' RUR decomposition – Las-Vegas version

Input: P, Q coprime in $\mathbb{Z}[x, y]$ of degree at most d and bitsize at most τ

Output: RUR decomposition of $\{P, Q\}$ of total bitsize $O(d^4 + d^3\tau)$

- 1: Compute a separating form x + ay for $\{P, Q\}$ with $a \in \mathbb{Z}$ of bitsize $O(\log d)$ such that the leading coefficients of P(t ay, y) and Q(t ay, y) with respect to y are coprime (see Theorem 29)
- 2: Compute $\tilde{P}(t, y) = P(t ay, y)$ and $\tilde{Q}(t, y) = Q(t ay, y)$, and let \tilde{d} and $\tilde{\tau}$ be their maximum degree and bitsize
- 3: Compute the coefficients $\operatorname{sres}_i(\widetilde{P}, \widetilde{Q})(t)$ of subresultant sequence of \widetilde{P} and \widetilde{Q} with respect to y and, for i such that $\operatorname{sres}_i(\widetilde{P}, \widetilde{Q}) \neq 0$, compute $\operatorname{sres}_{i,i-1}(\widetilde{P}, \widetilde{Q})(t)$ (see Corollary 52)

Compute the polynomials $A_i(t)$, $i \in \mathcal{I}$, of the triangular decomposition of \tilde{P} and \tilde{Q} following Algorithm 1.

Let $\widehat{T}_i = \langle A_i, i \operatorname{sres}_i(\widetilde{P}, \widetilde{Q}) y + \operatorname{sres}_{i,i-1}(\widetilde{P}, \widetilde{Q}) \rangle$, $i \in \mathcal{I}$, be the radicals of the ideals output by Algorithm $1(\widetilde{P}, \widetilde{Q})$ (see Lemma 39 and note that, in Algorithm 1, $\operatorname{sres}_i(\widetilde{P}, \widetilde{Q}) \neq 0$ for $i \in \mathcal{I}$)

- 4: Let $K = \lceil C(\tilde{d}^2 + \tilde{d}\tilde{\tau}) \log^k \tilde{d}\tilde{\tau} \rceil$ be an integer that bounds from above the bitsize of the coefficients of the RURs of the systems \hat{T}_i (see Proposition 37 and subsequent discussion). Let U = 8Kand $\mathcal{L} = \emptyset$
- 5: repeat
- 6: Double U, choose uniformly at random 8K primes in [1, U], and let \mathcal{P} be the resulting set
- 7: For all $i \in \mathcal{I}, \mu \in \mathcal{P}$, reduce A_i and $i \operatorname{sres}_i(P, Q)$ modulo μ (using remainder trees)
- 8: Add in \mathcal{L} the $\mu \in \mathcal{P}$ such that, $\forall i, \phi_{\mu}(A_i)$ is squarefree and coprime with $\phi_{\mu}(i \operatorname{sres}_i(\widetilde{P}, \widetilde{Q}))$
- 9: **until** \mathcal{L} contains at least 2K distinct primes
- 10: **return** the image of $\operatorname{RUR}_{i}^{\mathbb{Q}}$, the RUR of \widehat{T}_{i} , $i \in \mathcal{I}$, through the reverse shearing from (t, y) to (x, y), as in Algorithm 6, Lines 5-11
- ¹²⁷¹ polynomials of RUR_{i}^{μ} sum up, over all i, to at most \tilde{d}^{2} .)

Finally, since \tilde{d} and $\tilde{\tau}$ are in O(d) and $\widetilde{O}(d+\tau)$, the total bit complexity of Algorithm 6 is in $\widetilde{O}_B(\tilde{d}^6 + \tilde{d}^5 \tilde{\tau})$.

Propositions 43 and 44 directly yield the following theorem.

Theorem 45. Let P, Q in $\mathbb{Z}[x, y]$ be of total degree at most d and maximum bitsize τ . Algorithm 6 computes, with $\widetilde{O}_B(d^6 + d^5\tau)$ bit operations in the worst case, a RUR decomposition of $\{P, Q\}$ of total bitsize $\widetilde{O}(d^4 + d^3\tau)$.

1278 6.3 Las-Vegas algorithm

¹²⁷⁹ We show here that the algorithm presented above for computing a RUR decomposition can ¹²⁸⁰ easily be transformed into an efficient Las-Vegas algorithm. We prove here the following.

Theorem 46. Let P, Q in $\mathbb{Z}[x, y]$ be of total degree at most d and maximum bitsize τ . Algorithm 6' computes, with $\widetilde{O}_B(d^5 + d^4\tau)$ bit operations on average, a RUR decomposition of $\{P, Q\}$ of total bitsize $\widetilde{O}(d^4 + d^3\tau)$.

Algorithm 6', our Las-Vegas version of Algorithm 6, is obtained from the latter with only three modifications. First, in Line 2, we use the Las-Vegas version of our algorithm for computing a separating linear form for $\{P, Q\}$, described in Section 5.5.

¹²⁸⁷ Second, in Line 3, we modify the way we compute the radicals \widehat{T}_i of the ideals T_i output by ¹²⁸⁸ Algorithm $1(\widetilde{P}, \widetilde{Q})$. We still use the formula $\widehat{T}_i = \langle A_i, i \operatorname{sres}_i(\widetilde{P}, \widetilde{Q}) y + \operatorname{sres}_{i,i-1}(\widetilde{P}, \widetilde{Q}) \rangle$ of Lemma 39 for computing these radical ideals, but instead of computing the T_i with Algorithm 1, we show in Section 6.3.1 that the subresultant coefficients $\operatorname{sres}_i(\widetilde{P}, \widetilde{Q})$ and $\operatorname{sres}_{i,i-1}(\widetilde{P}, \widetilde{Q})$ can be computed more efficiently.

Third, we modify the way we compute in Algorithm 6, Line 4, a set \mathcal{L} of 2K prime numbers $\mu > \tilde{d}$ that do not divide Π = Algorithm $2(\tilde{P}, \tilde{Q})$. Here, in Line 9, we weaken the constraints on these primes and we avoid, in particular, computing Π .

¹²⁹⁵ We prove Theorem 46 by first proving its correctness in Proposition 47 and then its complexity ¹²⁹⁶ in Proposition 50.

Proposition 47 (Correctness of Algorithm 6'). Algorithm 6' computes a RUR decomposition of $\{P,Q\}$ of total bitsize $\widetilde{O}(d^4 + d^3\tau)$.

Proof. As described above, Algorithm 6' is obtained with only three modifications from Algorithm 6, 1299 whose correctness is proved in Proposition 43. The first two modifications do not jeopardize the 1300 correctness of Algorithm 6' since we compute the same objects as in Algorithm 6 (in particular, 1301 we use the same formula for $\widehat{T}_i, i \in \mathcal{I}$). However, in the third modification, we weaken the 1302 constraints on the primes of \mathcal{L} . In the proof of correctness of Algorithm 6, the constraints on the 1303 primes of \mathcal{L} (that $\mu > \tilde{d}$ does not divide Π) are only used in Lemma 41. Furthermore, in proof of 1304 Lemma 41, these constraints are only used for proving that $\phi_{\mu}(\widehat{T}_i) = \langle \phi_{\mu}(A_i), \phi_{\mu}(i \operatorname{sres}_i(\widetilde{P}, \widetilde{Q})) y + \langle \phi_{\mu}(A_i), \phi_{\mu}(i \operatorname{sres}_i(\widetilde{P}, \widetilde{Q})) \rangle$ 1305 $\phi_{\mu}(\operatorname{sres}_{i,i-1}(\widetilde{P},\widetilde{Q}))$ satisfies the hypotheses of Lemma 40, that is that $\phi_{\mu}(A_i)$ is squarefree and 1306 coprime with $\phi_{\mu}(i \operatorname{sres}_{i}(\widetilde{P}, \widetilde{Q}))$, which are the constraints on μ we impose in Line 8 of Algorithm 6'. 1307 The correctness of Algorithm 6' thus follows from that of Algorithm 6. 1308

We now analyse the complexity of Algorithm 6'. A key step of this algorithm is the computation, in Line 3, of $\operatorname{sres}_i(\widetilde{P}, \widetilde{Q})$ and $\operatorname{sres}_{i,i-1}(\widetilde{P}, \widetilde{Q})$, which we postpone to Section 6.3.1. Before proving Proposition 50, which states the complexity of Algorithm 6', we prove two lemmas. The first one bounds the number of primes that are rejected in Line 8 and the second one will be instrumental for bounding the probability that the loop ends in Line 9.

Lemma 48. There are $\widetilde{O}(\tilde{d}^5 + \tilde{d}^4 \tilde{\tau})$ primes that are unlucky for $gcd(A_i, i \operatorname{sres}_i(\widetilde{P}, \widetilde{Q}))$ or $gcd(A_i, A'_i)$, for some *i*. Furthermore, if prime μ is lucky for these two gcds, for some *i*, then $\phi_{\mu}(A_i)$ is squarefree and coprime with $\phi_{\mu}(i \operatorname{sres}_i(\widetilde{P}, \widetilde{Q}))$.

¹³¹⁷ Proof. By Lemma 7, the unlucky primes for the gcd of two polynomials A and B in $\mathbb{Z}[t]$ are ¹³¹⁸ exactly the divisors of their leading coefficients and the divisors of sres_d(A, B) where d is the degree ¹³¹⁹ of gcd(A, B). In order to bound the number of unlucky primes, we bound the bitsizes of the relevant ¹³²⁰ coefficients.

By Lemma 10, A_i divides the resultant $\operatorname{Res}(\tilde{P}, \tilde{Q})$. Thus, A_i has degree $O(\tilde{d}^2)$ and coefficients of bitsize $\tilde{O}(\tilde{d}^2 + \tilde{d}\tilde{\tau})$, as shown in the proof of Proposition 44. It follows that the same bounds also apply to A'_i . On the other hand, $i \operatorname{sres}_i(\tilde{P}, \tilde{Q})$ has degree $O(\tilde{d}^2)$ and coefficients of bitsize $\tilde{O}(\tilde{d}\tilde{\tau})$, by Lemma 3. Still by Lemma 3, the coefficients of the subresultant polynomials of any two of these polynomials have bitsize $\tilde{O}(\tilde{d}^2(\tilde{d}^2 + \tilde{d}\tilde{\tau}))$. The number of primes divisor of any such coefficient is thus also in $\tilde{O}(\tilde{d}^4 + \tilde{d}^3\tilde{\tau})$. Since i varies from 1 to at most \tilde{d} (see Algorithm 1), the number of unlucky primes is in $\tilde{O}(\tilde{d}^5 + \tilde{d}^4\tilde{\tau})$.

Finally, for any *i*, both $gcd(A_i, i \operatorname{sres}_i(\widetilde{P}, \widetilde{Q}))$ and $gcd(A_i, A'_i)$ are equal to constants, by Lemma 10. Furthermore, if μ is lucky for these gcds, these gcds commute with ϕ_{μ} , by Lemma 7. Hence, $\phi_{\mu}(A_i)$ is squarefree and coprime with $\phi_{\mu}(i \operatorname{sres}_i(\widetilde{P}, \widetilde{Q}))$.

Lemma 49. Let n_{2p} be the random variable that represents the number of distinct elements obtained by choosing uniformly at random 2p elements among n with replacement. If $n \ge 2p \ge 4$, then the probability that $n_{2p} > p$ is larger than $\frac{1}{2}$. Proof. Consider one of the $\binom{n}{d}$ sets of d distinct elements among n. Denote it by S_d , denote the set of p random elements by S and its cardinal by |S|. The probability that $S \subseteq S_d$, which is the probability that the p random elements in S are all in S_d is $\left(\frac{d}{n}\right)^p$. On the other hand, $\Pr(|S| \leq d)$ is less than the sum of all $\Pr(S \subseteq S_d)$ for the $\binom{n}{d}$ choices of sets S_d . Hence, $\Pr(|S| \leq d) < \binom{n}{d} \left(\frac{d}{n}\right)^p$ and $\Pr(|S| > d) \geq 1 - \binom{n}{d} \left(\frac{d}{n}\right)^p$.

Setting p = 2d and using Stirling's approximation $\sqrt{2\pi} n^{n+1/2} e^{-n} \leq n! \leq e n^{n+1/2} e^{-n}$, we obtain that

$$\binom{n}{d} \left(\frac{d}{n}\right)^{2d} = \frac{n!}{d!(n-d)!} \frac{d^{2d}}{n^{2d}} \tag{3}$$

$$\leq \frac{e n^{n+\frac{1}{2}} e^{-n}}{2\pi d^{d+\frac{1}{2}} e^{-d} (n-d)^{n-d+\frac{1}{2}} e^{-(n-d)}} \frac{d^{2d}}{n^{2d}} = \frac{e}{2\pi} \frac{n^{n+\frac{1}{2}-2d} d^{d-\frac{1}{2}}}{(n-d)^{n-d+\frac{1}{2}}}.$$
(4)

1339 Replacing n by kd with $k \ge 2$, we get

$$\binom{n}{d} \left(\frac{d}{n}\right)^{2d} \leqslant \frac{e}{2\pi} \frac{\left(\frac{k}{k-1}\right)^{(k-2)d+\frac{1}{2}}}{d^{\frac{1}{2}}(k-1)^d} \tag{5}$$

and the derivative with respect to d of the right-hand side of the inequality is

$$\frac{e}{2\pi} \frac{\left(\frac{k}{k-1}\right)^{(k-2)d+\frac{1}{2}}}{d^{\frac{3}{2}}(k-1)^d} \left(-1 + 2d\ln\frac{k^{k-2}}{(k-1)^{k-1}}\right).$$
(6)

It is straightforward to prove that the function $k \mapsto \frac{k^{k-2}}{(k-1)^{k-1}}$ is decreasing for $k \ge 2$, hence $\ln \frac{k^{k-2}}{(k-1)^{k-1}}$ is negative for k > 2 and (6) is negative for $k \ge 2$. It follows that, for d > 2, the righthand side of (5) is smaller than $\frac{e}{2\pi} \frac{\left(\frac{k}{k-1}\right)^{2k-\frac{7}{2}}}{\sqrt{2}(k-1)^2}$. It is straightforward to show that this is decreasing for $k \ge 2$ and it is thus less than $\frac{e}{2\pi} \frac{\sqrt{2}}{\sqrt{2}} = \frac{e}{2\pi} < \frac{1}{2}$. Therefore, for $n \ge 2d$ and $d \ge 2$, $\binom{n}{d} \left(\frac{d}{n}\right)^{2d} < \frac{1}{2}$ and thus $\Pr(|S| > d) > \frac{1}{2}$.

Proposition 50. Algorithm 6' computes a RUR decomposition of $\{P,Q\}$ with $\widetilde{O}_B(d^5 + d^4\tau)$ bit operations on average.

Proof. The expected bit complexity of Line 1 is $\widetilde{O}_B(d^5+d^4\tau)$ by Theorem 29 and, as in Algorithm 6, the (worst-case) bit complexity of Line 2 is $\widetilde{O}_B(d^4+d^3\tau)$ and \widetilde{P} and \widetilde{Q} have maximum degree $\widetilde{d} \in O(d)$ and maximum bitsize $\tilde{\tau} \in \widetilde{O}(d+\tau)$ (see the proof of Proposition 44).

In Line 3, the sequence of coefficients $\operatorname{sres}_i(\widetilde{P}, \widetilde{Q})$ and, for those that do not identically vanish, the coefficients $\operatorname{sres}_{i,i-1}(\widetilde{P}, \widetilde{Q})$ can be computed in $\widetilde{O}_B(\widetilde{d}^4 \widetilde{\tau})$ bit operations by Corollary 52. Hence, the sequence of polynomials A_i can be computed in $\widetilde{O}_B(\widetilde{d}^5 + \widetilde{d}^4 \widetilde{\tau})$ bit operations by Remark 17. We thus get, in Line 3, the sequence of ideals \widehat{T}_i in $\widetilde{O}_B(\widetilde{d}^5 + \widetilde{d}^4 \widetilde{\tau})$ bit operations.

In Line 4, the complexity of computing K and U is $O_B(\log d\tilde{\tau})$, as in Algorithm 6.

In Line 6, we choose uniformly at random, one at a time, 8K primes in [1, U]. Some primes might be chosen more than once and thus the resulting set of primes, \mathcal{P} , may be of cardinality smaller than 8K. The analysis is similar to the one in Proposition 33. A random integer in [1, U]can be computed in $O_B(\log U)$ bit operations. There are at least $\frac{U}{\ln U}$ primes in [1, U] [vzGG13, Theorem 18.7]. The probability that a randomly chosen integer in [1, U] is prime is thus at least $\frac{1}{\ln U}$ and a prime is thus found after at most $\ln U$ trials on average. Testing whether an integer in [1, U]is prime can be done with a polynomial bit complexity in the bitsize of U, $\tilde{O}_B(\log^{7.5} U)$ [AKS04]. The expected bit complexity of computing a prime in Line 7 is thus $\tilde{O}_B(\log^{8.5} U)$ and the expected bit complexity of computing $8K \in \tilde{O}(\tilde{d}^2 + \tilde{d}\tilde{\tau})$ primes in Line 7 is thus in $\tilde{O}_B((\tilde{d}^2 + \tilde{d}\tilde{\tau})\log^{8.5} U)$.

In Line 7, each of the $O(\tilde{d})$ polynomials A_i and $i \operatorname{sres}_i(\tilde{P}, \tilde{Q})$ have $O(\tilde{d}^2)$ coefficients of bitsize $\tilde{O}(\tilde{d}^2 + \tilde{d}\tilde{\tau})$, as shown in the proof of Lemma 48. Using remainder trees [MB74], the reductions of one coefficient modulo all the primes in \mathcal{L} can be done in a bit complexity that is softly linear in the maximum bitsize of the coefficient and the product of the primes, that is in $\tilde{O}_B((\tilde{d}^2 + \tilde{d}\tilde{\tau}) + (\tilde{d}^2 + \tilde{d}\tilde{\tau})\log U)$. Hence, the bit complexity of Line 7 is $\tilde{O}_B((\tilde{d}^5 + \tilde{d}^4\tilde{\tau})\log U)$.

In Line 8, for any *i*, $gcd(\phi_{\mu}(A_i), \phi_{\mu}(i \operatorname{sres}_i(\widetilde{P}, \widetilde{Q})))$ and $gcd(\phi_{\mu}(A_i), \phi_{\mu}(A'_i))$ can be computed in $\widetilde{O}_B(d^4 \log U)$ bit operations, by Lemma 4, since the polynomials have degree $O(\tilde{d}^2)$ and μ has bitsize $O(\log U)$. Hence, the bit complexity of Line 8 is $\widetilde{O}_B(\tilde{d}^5 \log U)$. (Note that considering the degrees d_i of the A_i , which sum up to $O(d^2)$ yields a finer bound of $\widetilde{O}_B(\tilde{d}^4 \log U)$.)

¹³⁷⁴ We have shown that the expected bit complexity of one iteration of the loop in Lines 5 to 9 is in ¹³⁷⁵ $\widetilde{O}_B((\tilde{d}^5 + \tilde{d}^4 \tilde{\tau}) \log^9 U)$. At the end of the *j*-th iteration of the loop, $U = 2^j \cdot 8K$, thus the expected ¹³⁷⁶ bit complexity of the *j*-th iteration of the loop is in $\widetilde{O}_B((\tilde{d}^5 + \tilde{d}^4 \tilde{\tau})j^9)$.

¹³⁷⁷ We now bound the total expected bit complexity of all the iterations of the loop in Lines 5 to 9. ¹³⁷⁸ By Lemma 48, the primes that are rejected in Line 8 are unlucky for some $gcd(A_i, i \operatorname{sres}_i(\tilde{P}, \tilde{Q}))$ or ¹³⁷⁹ $gcd(A_i, A'_i)$ and there are less than $\Gamma = C'(\tilde{d}^5 + \tilde{d}^4\tilde{\tau}) \log^{k'} \tilde{d}\tilde{\tau}$ such unlucky primes for some constants ¹³⁸⁰ C' and k'. We refer in the rest of the proof to *these* unlucky primes simply as unlucky primes. ¹³⁸¹ It follows that the probability that the loop ends in Line 9 is larger than the probability that \mathcal{P} ¹³⁸² contains at least 2K distinct lucky primes. Furthermore,

 $\Pr(\mathcal{P} \text{ contains } 2K \text{ lucky primes}) \ge \Pr(\mathcal{P} \text{ contains } 2K \text{ lucky primes and } 4K \text{ primes})$

 $\geq \Pr(\mathcal{P} \text{ contains } 4K \text{ primes})$

 $\cdot \Pr(\mathcal{P} \text{ contains } 2K \text{ lucky primes } | \mathcal{P} \text{ contains } 4K \text{ primes}).$

As seen above, [1, U] contains at least $\frac{U}{\ln U}$ primes. Thus, when $\frac{U}{\ln U} \ge 8K$, \mathcal{P} contains at least 4K distinct primes with probability at least $\frac{1}{2}$, by Lemma 49. On the other hand, the primes in \mathcal{P} are chosen uniformly at random among at least $\frac{U}{\ln U}$ primes, thus if $\frac{U}{\ln U} \ge 2\Gamma$, the primes in \mathcal{P} are lucky with probability at least $\frac{1}{2}$. Thus, if $\frac{U}{\ln U} \ge 2\Gamma$, given that \mathcal{P} contains at least 4K primes, the probability that \mathcal{P} contains at least 2K lucky primes is at least $\frac{1}{2}$. We thus have proved that, if $\frac{U}{\ln U} \ge \max(8K, 2\Gamma)$, the loop ends in Line 9 with probability at least $\frac{1}{4}$.

There are $O(\log \tilde{d}\tilde{\tau})$ loop iterations that are performed while $\frac{U}{\ln U}$ is smaller than max $(8K, 2\Gamma)$. Indeed, $\log U \in O(\log \tilde{d}\tilde{\tau})$ while $\frac{U}{\ln U} < \max(8K, 2\Gamma) \in \tilde{O}(\tilde{d}^5 + \tilde{d}^4\tilde{\tau})$ since $\sqrt{U} < \frac{U}{\ln U}$. The overall bit complexity of these iterations is thus in $\tilde{O}_B(\tilde{d}^5 + \tilde{d}^4\tilde{\tau})$. It follows that the expected bit complexity of the entire loop is in $\tilde{O}_B(d^5 + d^4\tau)$, by Lemma 32.

Summing up the complexities of all lines and since $\tilde{d} \in \tilde{O}(d)$ and $\tilde{\tau} \in \tilde{O}(d+\tau)$, we obtain that the expected bit complexity of the algorithm is $\tilde{O}_B(d^5 + d^4\tau)$.

1395 6.3.1 Computation of subresultant coefficients

A key step of Algorithm 6' is the computation of the coefficients $\operatorname{sres}_i(\tilde{P}, \tilde{Q})$ and the computation of $\operatorname{sres}_{i,i-1}(\tilde{P}, \tilde{Q})$ when $\operatorname{sres}_i(\tilde{P}, \tilde{Q}) \neq 0$. We show that all these coefficients can be computed in $\tilde{O}_B(d^4\tau)$ bit complexity in Theorem 51 and Corollary 52. This result generalizes [vzGG13, Corollary 11.18] to the case where one wants to compute the k terms of greater degrees in the sequence of remainders in the Euclidean algorithm. Given two polynomials $P, Q \in \mathbb{F}[y]$ such that $\deg(P) \ge \deg(Q)$, we denote by r_j and q_j the polynomials appearing in the Euclidean algorithm such that $r_0 = P, r_1 = Q$ and $r_{i-1} = q_i r_i + r_{i+1}$. For any polynomial $P \in \mathbb{F}[y]$ and any integer n, we denote by $P_{|n}$ the coefficient of its term of degree $\deg(P) - n$, if any, and 0 otherwise. It follows that $r_{i|j}$ denotes the coefficient of the term of r_i of degree $\deg(r_i) - j$.

Theorem 51. Let k be an integer and $P, Q \in \mathbb{F}[y]$ be two polynomials with $d = \deg(P) \ge \deg(Q)$. We can compute, for all $0 \le j \le k$ and for all the remainders r_i appearing in the Euclidean algorithm, the coefficients $r_{i|j}$ in $O(k^2d + M(d)\log d)$ arithmetic operations, where M(d) is the complexity of the multiplication of degree d polynomials.

Proof. First, all the quotients q_i appearing in the remainder sequence can be computed in $O(M(d) \log d)$ arithmetic operations ([vzGG13, Corollary 11.9]). Then, for k = 0, we have directly the coefficients $r_{0|0}$ and $r_{1|0}$, and from the formula

 $r_{i-1} = q_i r_i + r_{i+1}$ such that $\deg(r_{i+1}) < \deg(r_i)$

we deduce that $r_{i|0} = \frac{r_{i-1|0}}{q_{i|0}}$. Thus we can compute by recurrence all the $r_{i|0}$ with less than ddivisions.

Assume now that we have computed the coefficients $r_{i|j}$ for all i and $0 \le j \le k-1$. We show that in this case, we can compute the coefficients $r_{i|k}$, for all i, in O(kd) arithmetic operations.

From the recurrence formula in the Euclidean algorithm, we can derive the following equality:

$$r_{i-1|k} = r_{i|k}q_{i|0} + \dots + r_{i|0}q_{i|k} + r_{i+1|l}$$

where $l = k + \deg(r_{i+1}) - \deg(r_{i-1}) < k$. Thus,

$$r_{i|k} = \frac{r_{i-1|k} - r_{i|k-1}q_{i|1} - \dots - r_{i|0}q_{i|k} - r_{i+1|l}}{q_{i|0}},$$

which yields $r_{i|k}$ from the values of $r_{i-1|k}$, $r_{i|j}$, $r_{i+1|l}$, with $j, l \leq k-1$, in 2k+2 arithmetic operations. Thus, given the coefficients $r_{i|j}$ for all i and $0 \leq j \leq k-1$, we can compute the $r_{i|k}$, for all i, in O(kd) arithmetic operations, which trivially concludes the proof.

¹⁴¹⁷ We can now state the corollary that we use in the analysis of Algorithm 6'.

Corollary 52. Let $P, Q \in \mathbb{Z}[x, y]$ be of degree at most d with coefficients of bitsize at most τ . We can compute in $\widetilde{O}_B(d^4\tau)$ bit operations in the worst case the sequence of all subresultant coefficients sres_i(P,Q) and, for i such that sres_i(P,Q) $\neq 0$, the coefficients sres_{i,i-1}(P,Q).

Proof. We compute the subresultant coefficients using multimodular and interpolation techniques. First, we select pairs (μ, k) with μ prime and k a value in \mathbb{Z}_{μ} satisfying the specialization property of the subresultants. Second, we compute the subresultant coefficients $\operatorname{sres}_i(P,Q)$ and $\operatorname{sres}_{i,i-1}(P,Q)$ evaluated at x = k in \mathbb{Z}_{μ} . Third, we interpolate the results in $\mathbb{Z}_{\mu}[x]$ and apply the Chinese remainder algorithm to recover the final results in $\mathbb{Z}[x]$.

To use the specialization property of subresultants, the leading coefficients of P and Q seen as polynomials in y, $\operatorname{Lc}_y(P)$ and $\operatorname{Lc}_y(Q)$, must not vanish when evaluated at x = k in \mathbb{Z}_{μ} . The coefficients of P and Q being of bitsize at most τ , there are at most 2τ primes μ such that $\operatorname{Lc}_y(P)$ or $\operatorname{Lc}_y(Q)$ identically vanish modulo μ . When both do not identically vanish modulo μ , they are polynomials of degree at most d, hence there are at most 2d values in \mathbb{Z}_{μ} at which one of them vanishes. In \mathbb{Z}_{μ} , we will compute the subresultant coefficients via evaluation and interpolation. The number of evaluation values must be larger than the degrees of the subresultant coefficients sres_i(P,Q) and sres_{i,i-1}(P,Q), which are at most $2d^2$. It is sufficient to consider primes μ larger than $2d^2 + 2d$ because, then, there are at least $2d^2$ values in \mathbb{Z}_{μ} such that none of $\operatorname{Lc}_y(P)$ and $\operatorname{Lc}_y(Q)$ vanishes modulo μ . For lifting the subresultants using the Chinese remainder algorithm, the sum of the bitsizes of the primes must be larger than the bitsizes of the subresultants coefficients $\operatorname{sres}_i(P,Q)$ and $\operatorname{sres}_{i,i-1}(P,Q)$, which are at most $N = 2d(\tau + 2\log d)$ [BPR06, Proposition 8.46].

According to [vzGG13, Theorem 18.10], we can compute the M first primes $\mu_j \in \mathbb{Z}$ of bitsizes τ_j in $\widetilde{O}_B(M)$ bit operations and their maximum bitsize is in $O(\log M)$. Among this set, the constraint for the specialization property of subresultants discards at most 2τ primes, and the constraint for the interpolation discards at most the first $2d^2+2d$ primes. Choosing $M = N+2d^2+2d+2\tau = O(d^2+d\tau)$ is thus sufficient to select a set of N primes satisfying these constraints. In addition, the sum of the bitsizes of these N primes is larger than N and in $O(N \log M) = \widetilde{O}(d\tau)$.

We now analyze the complexity of selecting N primes μ_i satisfying the above constraints and 1444 specializing P and Q at $2d^2$ values x = k in $\mathbb{Z}_{\mu_j}[y]$. The reduction of one coefficient of P and Q 1445 modulo all the $N + 2\tau$ primes larger than $2d^2 + 2d$ can be computed via a remainder tree in a 1446 bit complexity that is soft linear in the total bitsize of the input [MB74, Theorem 1], which is in 1447 $O(d\tau)$. The reductions of all the $O(d^2)$ coefficients of P and Q can hence be done in $O_B(d^3\tau)$ bit 1448 operations. We select N primes μ_j such that $\operatorname{Lc}_y(P)$ and $\operatorname{Lc}_y(Q)$ do not identically vanish modulo 1449 μ_j . For a given prime μ_j , the evaluation of the reduction of P(x,y) in $\mathbb{Z}_{\mu_j}[x,y]$ at $2d^2 + 2d$ values 1450 $x = k_{\ell} \in \mathbb{Z}_{\mu_j}$ involves $O(d^2)$ evaluations of O(d) polynomials of degree O(d) in $\mathbb{Z}_{\mu_j}[x]$. For a given 1451 prime μ_j , this can be done using multi-evaluation in $\widetilde{O}(d^3)$ arithmetic operations in \mathbb{Z}_{μ_i} [vzGG13, 1452 Corollary 10.8] and thus with $\widetilde{O}_B(d^3\tau_j) = \widetilde{O}_B(d^3\log M) = \widetilde{O}_B(d^3\log d\tau)$ bit operations. For all 1453 N primes, the total bit complexity of these evaluations is thus in $\widetilde{O}_B(Nd^3\log d\tau) = \widetilde{O}_B(d^4\tau)$. For 1454 each prime μ_j , we select $2d^2$ values k_ℓ , among the $2d^2 + 2d$ values considered in \mathbb{Z}_{μ_j} , at which 1455 neither $\operatorname{Lc}_y(P)$ nor $\operatorname{Lc}_y(Q)$ vanishes when evaluated at $x = k_{\ell}$ in \mathbb{Z}_{μ_j} . 1456

In this paragraph, all polynomials are considered evaluated at x = k and in $\mathbb{Z}_{\mu_i}[y]$ and, to 1457 clarify the presentation, any polynomial \widetilde{K} refers to $K(k, y) \mod \mu_i$. Then computing, for all 1458 i, sres_i(\tilde{P}, \tilde{Q}) can be done in a total of $\tilde{O}_B(d\tau_i)$ bit operations [vzGG13, Corollary 11.18]. If 1459 $\operatorname{sres}_i(\widetilde{P},\widetilde{Q}) \neq 0$, let r be the remainder of degree i appearing in the Euclidean algorithm of \widetilde{P} 1460 and \widetilde{Q} . We know that r and $\operatorname{Sres}_i(\widetilde{P},\widetilde{Q})$ are equal up to a constant [BPR06, Corollary 8.34], 1461 thus $\operatorname{Sres}_i(\widetilde{P}, \widetilde{Q}) = \frac{\operatorname{Lc}_y(\operatorname{Sres}_i(\widetilde{P}, \widetilde{Q}))}{\operatorname{Lc}_y(r)}r = \frac{\operatorname{sres}_i(\widetilde{P}, \widetilde{Q})}{r_{|0}}r$, which directly implies that $\operatorname{sres}_{i,i-1}(\widetilde{P}, \widetilde{Q}) =$ 1462 $\frac{\operatorname{sres}_i(\widetilde{P},\widetilde{Q})}{r_{|0}}r_{|1}$. Using Theorem 51, we can compute $r_{|0}$ and $r_{|1}$ in $\mathbb{Z}_{\mu_j}[y]$ in $\widetilde{O}_B(d\tau_j)$ bit operations, 1463 which yields $\operatorname{sres}_{i,i-1}(\widetilde{P},\widetilde{Q})$. 1464

Thus, for a given μ_j , computing the two first subresultant coefficients $\operatorname{sres}_i(\widetilde{P}, \widetilde{Q})$ and sres_{*i*,*i*-1}($\widetilde{P}, \widetilde{Q}$) for 2*d*² values of *k* in \mathbb{Z}_{μ_j} costs $\widetilde{O}_B(d^3\tau_j)$ bit operations. Then using fast interpolation [vzGG13, Corollary 10.12], we can recover $\operatorname{sres}_i(P,Q) \mod \mu_j$ and $\operatorname{sres}_{i,i-1}(P,Q) \mod \mu_j$ in $\widetilde{O}_B(d^3\tau_j) = \widetilde{O}_B(d^3\log d\tau)$ bit operations, which sums up to $\widetilde{O}_B(d^4\tau)$ for all $N = \widetilde{O}(d\tau)$ values of μ_j . Finally, recovering all the $O(d^3)$ coefficients of $\operatorname{sres}_i(P,Q)$ and $\operatorname{sres}_{i,i-1}(P,Q)$ (whose bitsizes are smaller than N) can be done with $\widetilde{O}_B(d^3N\log M) = \widetilde{O}_B(d^4\tau)$ bit operations with the Chinese remainder algorithm [vzGG13, Theorem 10.25].

¹⁴⁷² 7 Computing isolating boxes from a RUR decomposition

¹⁴⁷³ By definition, the RUR of an ideal I defines a mapping between the roots of a univariate poly-¹⁴⁷⁴ nomial and the solutions of I. Based on this mapping, Algorithm 9 computes isolating boxes using univariate isolation and approximate polynomial evaluation. Section 7.1 recalls or proves
several complexity results on isolation and evaluation of univariate polynomials. In Section 7.2,
the isolation algorithm using fast approximate multipoint evaluation is presented and analyzed in
Theorem 61.

1479 7.1 Preliminaries

We start with some basic definitions. In addition, we recall some bounds on univariate polynomial roots and their separation (for a single root and also amortized over all the roots), the complexity of isolating the roots of a univariate polynomial, and elementary results on approximate polynomial evaluation.

For an arbitrary complex value x, we define $M(x) = \max(1, |x|)$. In addition, let L be an arbitrary positive integer. Then, we define $\tilde{x} \in \mathbb{Q} + i\mathbb{Q}$ to be an absolute dyadic L-bit approximation of x (or just L-bit approximation for short) if \tilde{x} is of the form $\tilde{x} = (m_{\Re} + im_{\Im}) \cdot 2^{-L-2}$, with $m_{\Re}, m_{\Im} \in \mathbb{Z}$, and $|x - \tilde{x}| < 2^{-L}$. Notice that an L-bit approximation $\tilde{x} = (m_{\Re} + im_{\Im}) \cdot 2^{-L-2}$ of some point $x \in \mathbb{C}$ naturally defines a box

$$B(\tilde{x}) = \frac{[m_{\Re} - 4, m_{\Re} + 4]}{2^{L+2}} + i \cdot \frac{[m_{\Im} - 4, m_{\Im} + 4]}{2^{L+2}} \subset \mathbb{C}$$
(7)

1484 of width 2^{-L+1} in \mathbb{C} that contains x.

For a complex root γ of a polynomial $f \in \mathbb{Z}[x]$ and an arbitrary positive integer L, we say that a connected region D in \mathbb{C} (typically, we consider a disk or a box) is isolating for γ (or that D isolates γ) if it contains γ but no other root of f. We define the *separation of* γ (with respect to f), denoted sep(γ, f), to be the minimal distance between γ and any root γ' of f, with $\gamma' \neq \gamma$. The *separation* f is defined as sep(f) = min_{$\gamma:f(\gamma)=0$} sep(γ, f). The same notions for a zero-dimensional ideal of $\mathbb{Z}[x, y]$ are also naturally defined.

We now recall some well-known facts about the separations and the magnitudes of the complex roots of a univariate polynomial f of degree d with integer coefficients of bitsize at most τ .

1493 Lemma 53 ([Yap00, §6.2 Lemma 6.5)]). For any root $\gamma \in \mathbb{C}$ of $f, M(\gamma) = 2^{O(\tau)}$.

1494 Lemma 54 ([SY11]). If f is squarefree, $\prod_{\{\gamma \text{ root of } f\}} \min(1, \operatorname{sep}(\gamma, f)) = 2^{-\widetilde{O}(d\tau)}$.

Lemma 55 ([Yap00, Lemma 6.34]). Let f and g be coprime polynomials of degree at most d with integer coefficients of bitsize at most τ . Then, for any root $\gamma \in \mathbb{C}$ of f, $|g(\gamma)| = 2^{-O(d(\tau + \log d))}$.

1497 **Lemma 56** ([MSW15, Theorem 5]). We can compute isolating disks D_i with radius $r_i < \frac{\text{sep}(\gamma_i, f)}{64d}$ 1498 for all complex roots γ_i of f using $\widetilde{O}_B(d^3 + d^2\tau)$ bit operations. For an arbitrary positive integer 1499 L, we can compute corresponding L-bit approximations $\widetilde{\gamma}_i$ for all roots using $\widetilde{O}_B(d^3 + d^2\tau + dL)$ bit 1500 operations.

Proof. The first part follows directly from [MSW15, Theorem 5]. In addition, [MSW15, Theorem 5] also states that we can further refine the disks D_i such that each of them has radius less than 2^{-L-2} using $\tilde{O}_B(d^3 + d^2\tau + dL)$ bit operations. In addition, the centers of the disks are computed in dyadic form. We can thus round the center of each disk D_i to an absolute precision of size 2^{-L-2} to obtain an *L*-bit approximation $\tilde{\gamma}_i$ of each root γ_i of *f*. The bit complexity of rounding all the disks' centers is linear in the total bitsize of the dyadic coordinates, which is bounded by $\tilde{O}_B(d^3 + d^2\tau + dL)$, the complexity of the algorithm that computes them. ¹⁵⁰⁸ We further remark that there also exist dedicated real root isolation and refinement meth-¹⁵⁰⁹ ods [SM15, KS15a] that compute isolating intervals of size 2^{-L} for all real roots of f with a number ¹⁵¹⁰ of bit operations that is comparable to the bound stated in Lemma 56. When computing the solu-¹⁵¹¹ tions of a bivariate system (see Section 7.2), the choice of an efficient univariate solver is critical, ¹⁵¹² and thus we propose to use a dedicated method for real root finding if only the real solutions of ¹⁵¹³ the bivariate system are asked for.

Now, suppose that we want to approximately evaluate a polynomial $g \in \mathbb{Z}[x]$ of degree d_g with integer coefficients of bitsize τ_g at all roots of f. More precisely, for a given positive integer L, we are aiming for L-bit approximations \tilde{y}_i of the values $y_i = g(\gamma_i)$, where $\gamma_1, \ldots, \gamma_d$ denote the roots of f. For this, we use fast approximate multipoint evaluation.

Lemma 57 ([KS15b, Theorem 22]). Let $x_1, \ldots, x_{d_g} \in \mathbb{C}$ such that, for each of them, an L'bit approximation can be accessed in $O_B(L')$ bit operations. For any positive integer L, we can compute L-bit approximations of all values $y_i = g(x_i)$ using $\widetilde{O}_B(d_g(L + \tau_g + d_g\Gamma))$ bit operations, where $\Gamma \ge 1$ is an upper bound on the maximum of all values $\log M(x_i)$. For the computation, we need L'-bit approximations of all points x_i , where $L' = L + \widetilde{O}(\tau_g + d_g\Gamma)$.

¹⁵²³ We remark that the multipoint evaluation algorithm from [KS15b] uses certified interval arith-¹⁵²⁴ metic based on fixed-point computations. It adaptively increases the (absolute) working precision ¹⁵²⁵ L' during the computation. That is, in each iteration, it asks for L'-bit approximations \tilde{x}_i of the ¹⁵²⁶ points x_i , and if it does not succeed to compute L-bit approximations \tilde{y}_i of the values y_i , it doubles ¹⁵²⁷ the precision and restarts. Hence, the algorithm might also succeed with a smaller precision than ¹⁵²⁸ the precision predicted in the worst-case.

Lemma 58. Let $f \in \mathbb{Z}[x]$ be a polynomial of degree d with integer coefficients of bitsize at most τ and let $\gamma_1, \ldots, \gamma_d$ denote the roots of f. Let $g \in \mathbb{Z}[x]$ be a polynomial of degree $d_g = O(d)$ with integer coefficients of bitsize at most τ_g . Then, for any given positive integer L, we can compute L-bit approximations of all values $g(\gamma_i)$ using a number of bit operations bounded by $\widetilde{O}_B(d^3 + d^2\tau + d(L + \tau_g))$.

Proof. Applying Lemma 57 $\lceil d/d_g \rceil$ times, *L*-bit approximations of *d* values $g(x_i)$ can be computed with $\widetilde{O}_B(\lceil d/d_g \rceil d_g(L + \tau_g + d_g \Gamma))$ bit operations assuming that we can access each *L'*-bit approximation of x_i in $O_B(L')$ bit operations. Moreover, as mentionned above, the *L*-bit approximations of the $g(x_i)$ are computed iteravely by doubling *L'* at every iteration and the algorithm stops with $L' = L + \widetilde{O}(\tau_g + d_g \Gamma)$. Thus, the number of iterations is in $O(\log(L + \tau_g + d_g \Gamma))$.

By Lemma 56, L'-bit approximations of the d roots of f can be computed in $\widetilde{O}_B(d^3 + d^2\tau + dL')$ bit operations. Thus, these approximations can be computed for all iterations in $\widetilde{O}_B(d^3 + d^2\tau + dL')$ $d(L + \tau_q + d_q\Gamma)$ bit operations.

The total complexity is thus in $\widetilde{O}_B(\lceil d/d_g \rceil d_g(L + \tau_g + d_g \Gamma)) + \widetilde{O}_B(d^3 + d^2\tau + d(L + \tau_g + d_g \Gamma)).$ The result follows since $d_g = O(d)$ and since $\Gamma = O(\tau)$ by Lemma 53.

¹⁵⁴⁴ We can further extend the above result to the evaluation of a fraction $G = \frac{g_1}{g_2}$ at the roots γ_i ¹⁵⁴⁵ of f, where g_1 and g_2 are both polynomials of degree bounded by O(d) with integer coefficients of ¹⁵⁴⁶ bitsize less than τ_G , and g_2 is coprime with f.

Lemma 59. Let $G = \frac{g_1}{g_2}$, with $g_1, g_2 \in \mathbb{Z}[x]$ polynomials of degree at most $d_G = O(d)$ with coefficients of bitsize at most τ_G . Suppose that g_2 does not vanish at any of the roots $\gamma_1, \ldots, \gamma_d$ of f. Then, for any given positive integer L, we can compute L-bit approximations of all values $y_i = G(\gamma_i)$ using a number of bit operations bounded by $\widetilde{O}_B(d^3 + d^2(\tau + \tau_G) + dL)$. Proof. According to Lemma 55, it holds that $|g_2(\gamma_i)| = 2^{-\widetilde{O}(d(\tau+\tau_G))}$ for all *i*. Now, in a first step, we compute L'-approximations $\tilde{y}_{2,i}$ of all $y_{2,i} = g_2(\gamma_i)$ for $L' = 1, 2, 4, \ldots$ until $|\tilde{y}_{2,i}| > 2^{-L'+1}$, and thus $2|\tilde{y}_{2,i}| > |y_{2,i}| > |\tilde{y}_{2,i}| / 2$. Notice that we succeed in doing so for an $L' = L'_0$ in $\widetilde{O}(d(\tau+\tau_G))$. Then, for an $L' \ge L'_0$, we can compute L'-approximations $\tilde{y}_{1,i} = 2^{-L'-2} \cdot (m_{1,i} + i \cdot n_{1,i})$ and $\tilde{y}_{2,i} = 2^{-L'-2} \cdot (m_{2,i} + i \cdot n_{2,i})$ of the values $y_{1,i} = g_1(\gamma_i)$ and $y_{2,i}$, respectively, with $m_{1,i}, m_{2,i}, n_{1,i}, n_{2,i} \in \mathbb{Z}$. Notice that each of the latter integers has bitsize $\widetilde{O}(d(\tau+\tau_G))$ as $|g_1(\gamma_i)|, |g_2(\gamma_i)| \le (d_G+1) \cdot 2^{\tau_G} \cdot M(\gamma_i)^{d_G} \le 2^{O(\log d+\tau_G+d\tau)}$ for all *i*. Hence, we conclude that

$$\begin{aligned} \left| \frac{\tilde{y}_{1,i}}{\tilde{y}_{2,i}} - G(x_i) \right| &= \frac{\left| \tilde{y}_{1,i} \cdot y_{2,i} - y_{1,i} \cdot \tilde{y}_{2,i} \right|}{\left| y_{2,i} \cdot \tilde{y}_{2,i} \right|} \leqslant \frac{\left| \tilde{y}_{1,i} - y_{1,i} \right| \cdot \left| y_{2,i} \right| + \left| y_{1,i} \right| \cdot \left| y_{2,i} - \tilde{y}_{2,i} \right|}{\left| y_{2,i} \cdot \tilde{y}_{2,i} \right|} \\ &\leqslant 2^{-L'} \cdot \frac{4}{\left| \tilde{y}_{2,i} \right|^2} \cdot (d_G + 1) \cdot 2^{\tau_G} \cdot M(\gamma_i)^{d_G} = 2^{-L'} \cdot 2^{\widetilde{O}(d(\tau + \tau_G))}. \end{aligned}$$

Notice that the above bound on the approximation error is explicit (i.e. computable). Thus, we 1551 can directly estimate the error from the given values L', $|\tilde{y}_{2,i}|$, d_G , τ_G , and $M(\gamma_i)$. Hence, we 1552 may consider $L' = L'_0, L'_0 + 2, L'_0 + 4, \dots$ until we can guarantee that $\left|\frac{\tilde{y}_{1,i}}{\tilde{y}_{2,i}} - G(x_i)\right| < 2^{-L-2}$. For 1553 this, we need to increase L' at most $O(\log(d(\tau + \tau_G)))$ many times, and we succeed for an L' in $\widetilde{O}(L + d(\tau + \tau_G))$. Then, we approximate each fraction $\frac{\tilde{y}_{1,i}}{\tilde{y}_{2,i}} = \frac{m_{1,i} + i \cdot n_{1,i}}{m_{2,i} + i \cdot n_{2,i}}$ by a corresponding (L + 1)-bit approximation to obtain an L-bit approximation of $G(x_i)$. Due to Lemma 58, the total bit complexity for computing the fractions $\frac{\tilde{y}_{1,i}}{\tilde{y}_{2,i}}$ is in $\widetilde{O}_B(d^3 + d^2\tau + d(L + d(\tau + \tau_G) + \tau_G)) = \widetilde{O}_B(d^3 + d^2\tau + d(L + d(\tau + \tau_G) + \tau_G)) = \widetilde{O}_B(d^3 + d^2\tau + d(L + d(\tau + \tau_G) + \tau_G)) = \widetilde{O}_B(d^3 + d^2\tau + d(L + d(\tau + \tau_G) + \tau_G)) = \widetilde{O}_B(d^3 + d^2\tau + d(L + d(\tau + \tau_G) + \tau_G)) = \widetilde{O}_B(d^3 + d^2\tau + d(L + d(\tau + \tau_G) + \tau_G)) = \widetilde{O}_B(d^3 + d^2\tau + d(L + d(\tau + \tau_G) + \tau_G)) = \widetilde{O}_B(d^3 + d^2\tau + d(L + d(\tau + \tau_G) + \tau_G)) = \widetilde{O}_B(d^3 + d^2\tau + d(L + d(\tau + \tau_G) + \tau_G)) = \widetilde{O}_B(d^3 + d^2\tau + d(L + d(\tau + \tau_G) + \tau_G)) = \widetilde{O}_B(d^3 + d^2\tau + d(L + d(\tau + \tau_G) + \tau_G)) = \widetilde{O}_B(d^3 + d^2\tau + d(L + d(\tau + \tau_G) + \tau_G)) = \widetilde{O}_B(d^3 + d^2\tau + d(L + d(\tau + \tau_G) + \tau_G)) = \widetilde{O}_B(d^3 + d^2\tau + d(L + d(\tau + \tau_G) + \tau_G)) = \widetilde{O}_B(d^3 + d^2\tau + d(L + d(\tau + \tau_G) + \tau_G)) = \widetilde{O}_B(d^3 + d^2\tau + d(L + d(\tau + \tau_G) + \tau_G)) = \widetilde{O}_B(d^3 + d^2\tau + d(L + d(\tau + \tau_G) + \tau_G)) = \widetilde{O}_B(d^3 + d^2\tau + d(L + d(\tau + \tau_G) + \tau_G))$ 1554 1555 1556 1557 $d^2\tau + d^2\tau_G + dL$), whereas the total bit complexity for computing the (L+1)-bit approximations 1558 of the fractions $\frac{\tilde{y}_{1,i}}{\tilde{y}_{2,i}}$ is in $\tilde{O}_B(d^2(\tau + \tau_G) + dL)$. Indeed, using fast integer division, computing an L-bit approximation from a rational has a bit complexity that is softly linear in L and the bitsize 1559 1560 of the rational. 1561

1562 7.2 Isolating boxes

We now give a method for computing disjoint isolating boxes for the solutions $\sigma \in \mathbb{C}^2$ of a zero-1563 dimensional system P = Q = 0, where $P, Q \in \mathbb{Z}[x, y]$ are coprime polynomials of total degree 1564 at most d with integer coefficients of bitsize at most τ . More specifically, for a given L, we first 1565 compute L-bit approximations¹² $\tilde{\sigma}_{i,j}$ of the solutions $\sigma_{i,j} = (x_{i,j}, y_{i,j}), 1 \leq j \leq d_i = \deg f_i$, of each 1566 factor $\operatorname{RUR}_i = (f_i, f_{i,1}, f_{i,x}, f_{i,y})$ in the RUR decomposition $(\operatorname{RUR}_i)_{i \leq d}$ of $\{P, Q\}$ as computed by 1567 Algorithm 6 or 6'. This is achieved by first computing sufficiently small isolating disks for the roots 1568 $\gamma_{i,j}$ of the univariate polynomial $f_i \in \mathbb{Z}[x]$ in RUR_i, and then evaluating the fractions $\frac{f_{i,x}}{f_{i,1}}$ and $\frac{f_{i,y}}{f_{i,1}}$ 1569 at the roots $\gamma_{i,j}$ to an absolute error less than 2^{-L} . From the corresponding *L*-bit approximations 1570 $\tilde{x}_{i,j}$ and $\tilde{y}_{i,j}$, we can then derive boxes $B_{i,j} = B(\tilde{\sigma}_{i,j}) = B(\tilde{x}_{i,j}) \times B(\tilde{y}_{i,j}) \subset \mathbb{C}^2$ of width 2^{-L+1} 1571 containing all solutions of RUR_i; see (7) for the definition of $B(\tilde{x}_{i,j})$ and $B(\tilde{y}_{i,j})$. If, for all i and 1572 j, the boxes $B_{i,j}$ do not overlap, then they are already isolating for the solutions of P = Q = 0. 1573 Otherwise, we have to increase L until the boxes do not overlap. We give details in Algorithm 9. 1574

In order to bound the complexity of the above approach, we first need to derive bounds on the separations of the solutions $\sigma_{i,j} = (x_{i,j}, y_{i,j})$ of the factor RUR_i. In addition, we derive amortized bounds on the separations of all solutions of the system P = Q = 0.

Lemma 60. Let $P, Q \in \mathbb{Z}[x, y]$ and $\operatorname{RUR}_i = (f_i, f_{i,1}, f_{i,x}, f_{i,y})$, $i \in \mathcal{I}$, be as defined in the input of Algorithm 9 and let d_i and τ_i be the maximum degree and bitsize of the polynomials in RUR_i . In

¹²We extend the definition of an *L*-bit approximation \tilde{x} of a point $x \in \mathbb{C}$ to that of an *L*-bit approximation (\tilde{x}, \tilde{y}) of a point $(x, y) \in \mathbb{C}^2$ by requiring that both \tilde{x} and \tilde{y} are *L*-bit approximations of *x* and *y*, respectively.

addition, let $I_i \supseteq I = \langle P, Q \rangle$ be the ideal corresponding to RUR_i and $V(I_i)$ be the corresponding set of solutions. Then,

1582 (a)
$$\sum_{\sigma \in V(I_i)} \log M(\operatorname{sep}(\sigma, I_i)^{-1}) = \widetilde{O}(d_i\tau_i) = \widetilde{O}(d_i(d^2 + d\tau)) = \widetilde{O}(d^4 + d^3\tau),$$

(b)
$$\log M(|\sigma|) = O(d\tau)$$
 for all $\sigma = (\sigma_x, \sigma_y) \in V(I_i)$, where $|\sigma| = \max(|\sigma_x|, |\sigma_y|)$,

1584 (c)
$$\sum_{\sigma \in V(I)} \log M(\operatorname{sep}(\sigma, I)^{-1}) = \widetilde{O}(d^4 + d^3\tau).$$

Proof. Let $(x, y) \mapsto x + ay$ be the separating form for $\{P, Q\}$ with $a \in \mathbb{Z}$ of bitsize $O(\log d)$, 1585 as computed by Algorithm 6 or 6' as part of the input of Algorithm 9. This separating 1586 form defines a one-to-one mapping from the set of solutions $\sigma \in V(I_i)$ to the set of roots 1587 γ of f_i . Now let $\sigma = (\sigma_x, \sigma_y) \in V(I_i)$ and $\sigma' = (\sigma'_x, \sigma'_y) \in V(I_i)$ be two solutions with 1588 $sep(\sigma, I_i) = |\sigma - \sigma'|$, and let γ and γ' be the corresponding roots of f_i . Then, we have 1589 $\sup(\gamma, f_i) \leqslant |\sigma_x - \sigma'_x| + |a| \cdot |\sigma_y - \sigma'_y| \leqslant (|a| + 1) \operatorname{sep}(\sigma, I_i), \text{ or equivalently } \operatorname{sep}(\sigma, I_i)^{-1} \leqslant (|a| + 1) \operatorname{sep}(\gamma, f_i)^{-1}.$ We thus have $\log M(\operatorname{sep}(\sigma, I_i)^{-1}) \leqslant \log(|a| + 1) + \log M(\operatorname{sep}(\gamma, f_i)^{-1}).$ On the 1590 1591 other hand, f_i is squarefree since it is the first polynomial of the RUR of a radical ideal (see Algo-1592 rithm 6 or 6'). Thus, Lemma 54 yields that $\prod_{\{\gamma \text{ root of } f_i\}} \min(1, \operatorname{sep}(\gamma, f_i))^{-1} = 2^{\widetilde{O}(d_i\tau_i)}$ and thus 1593 $\sum_{\{\gamma \text{ root of } f_i\}} \log M(\operatorname{sep}(\gamma, f_i)^{-1}) = \widetilde{O}(d_i \tau_i). \text{ Part (a) follows directly since } a \text{ has bitsize } O(\log d)$ 1594 and, by Theorem 37, $d_i \leq d^2$ and $\tau_i = \widetilde{O}(d^2 + d\tau)$. 1595

Part (b) follows directly from the fact that each coordinate of a solution σ is a root of either the 1596 resultant polynomial $\operatorname{Sres}_{x,0}(P,Q) \in \mathbb{Z}[y]$ or $\operatorname{Sres}_{y,0}(P,Q) \in \mathbb{Z}[x]$, and both of these polynomials 1597 have integer coefficients of bitsize $O(d\tau)$ by Lemma 3. For part (c), notice that, by definition of 1598 RUR decompositions (Definition 36), the roots of $f = \prod_i f_i$ are exactly the images of the solutions 1599 of $\{P, Q\}$ through the mapping $(x, y) \mapsto x + ay$. The degree of f is thus at most d^2 . Furthermore, 1600 the f_i are monic (by Definition 34), thus f is monic and the bitsize of its coefficients is at most that 1601 of the resultant of the sheared polynomials P(t-ay, y) and Q(t-ay, y) with respect to y, which 1602 bitsize is in $O(d^2 + d\tau)$ (see e.g. [BLPR15, Lemma 7]). Hence, the same argument as for the proof 1603 of part (a) yields that $\operatorname{sep}(\gamma, f) \leq (|a|+1) \operatorname{sep}(\sigma, I)$ and then the result. 1604

The following theorem analyzes the complexity of the isolation of a system $\{P, Q\}$ from a RUR decomposition as computed in Section 6.

Theorem 61. Let $P, Q \in \mathbb{Z}[x, y]$ be coprime polynomials of degree at most d with integer coefficients of bitsize at most τ . Algorithm 9 computes isolating boxes for all complex solutions of P = Q = 0using $\widetilde{O}_B(d^6 + d^5\tau)$ bit operations.

Proof. As argued at the end of the proof of Lemma 60, f is a polynomial of degree at most d^2 1610 with coefficients of bitsize $\widetilde{O}(d^2 + d\tau)$, and thus the bit complexity of Step 2 in Algorithm 9 is 1611 $\widetilde{O}_B(d^6 + d^5\tau)$ (Lemma 56). In addition, the degree of all polynomials $f_{i,y}$ and $f_{i,1}$ is at most the 1612 degree d_i of f_i (Definition 34), and the bitsize of their coefficients is in $\widetilde{O}(d^2 + d\tau)$ (Theorem 37). 1613 According to Lemma 60(c), the distance between any two solutions of P = Q = 0 is lower bounded 1614 by $2^{-\widetilde{O}(d^4+d^3\tau)}$, which implies that Algorithm 9 terminates with L in $\widetilde{O}(d^4+d^3\tau)$. We also have 1615 that the loop in Line 5 is executed $\log L = O(\log d\tau)$ times and thus, ignoring the polylogarithmic 1616 factors, it is sufficient to study the complexity of the last call to this loop. From Lemma 59, the 1617 bit complexity of computing the L-bit approximations $\tilde{\sigma}_{\gamma,x}$ and $\tilde{\sigma}_{\gamma,y}$ for all roots of the factor f_i 1618 in Step 8 is in $\widetilde{O}_B(d_i^3 + d_i^2(d^2 + d\tau) + d_i(d^4 + d^3\tau)) = \widetilde{O}_B(d_i^3 + d_i(d^4 + d^3\tau))$. Summing over all 1619 *i* yields the bound $\tilde{O}_B(d^6 + d^5\tau)$ for the total bit complexity of this step, since the sum of all d_i 1620 is at most d^2 . In Step 9, consider a fixed pair (γ, γ') of distinct roots of f and let σ and σ' be 1621

Algorithm 9 Isolating boxes for the solutions of P = Q = 0

Input: P, Q coprime in $\mathbb{Z}[x, y]$ of degree at most d and bitsize at most τ and $(\operatorname{RUR}_i)_{i \in \mathcal{I}} = (f_i, f_{i,1}, f_{i,x}, f_{i,y})_{i \in \mathcal{I}}$ the RUR decomposition of $\{P, Q\}$ as computed computed by Algorithm 6 or 6'

Output: Isolating boxes for all solutions of P = Q = 0

1: $f = \prod_{i \in \mathcal{I}} f_i$

2: Compute isolating disks $D_{\gamma} \subset \mathbb{C}$ for all complex roots γ of f

3: $S = \{(\gamma, \gamma') \mid \gamma \text{ and } \gamma' \text{ distinct roots of } f\}$

- 4: L = 1
- 5: repeat
- $6: \quad L = 2L$
- 7: for $i \in \mathcal{I}$ do

8: For all roots γ of f_i, compute L-bit approximations σ̃_{γ,x} and σ̃_{γ,y} of σ_{γ,x} = f_{i,x}(γ)/f_{i,1}(γ) and σ_{γ,y} = f_{i,y}(γ)/f_{i,1}(γ), respectively (Lemma 59)
9: until for all pairs (γ, γ') ∈ S, |σ̃_{γ,x} − σ̃_{γ',x}| > 2^{-L+2} or |σ̃_{γ,y} − σ̃_{γ',y}| > 2^{-L+2}
10: return {B(σ̃_{γ,x}) × B(σ̃_{γ,y}) | γ root of f}

the corresponding solutions in $I = \langle P, Q \rangle$. From Definition (7) of the box associated to an L-bit 1622 approximation, the inequalities $|\tilde{\sigma}_{\gamma,x} - \tilde{\sigma}_{\gamma',x}| > 2^{-L+2}$ or $|\tilde{\sigma}_{\gamma,y} - \tilde{\sigma}_{\gamma',y}| > 2^{-L+2}$ imply that the boxes $B(\tilde{\sigma}_{\gamma,x}) \times B(\tilde{\sigma}_{\gamma',x}) \times B(\tilde{\sigma}_{\gamma',y})$ do not overlap, which implies the correctness of the 1623 1624 algorithm. Testing these inequalities can be done in $O_B(\log(M(|\sigma|) + M(|\sigma'|)) + \log M(|\sigma - \sigma'|^{-1}))$ 1625 bit operations (where $|\sigma| = \max(|\sigma_x|, |\sigma_y|)$) because, for each comparison, the first term bounds 1626 the number of bits before the binary point, and in the case where these bits coincide, the second 1627 term bounds the number of bits after the binary point that need to be considered. Notice that 1628 the sum of $\log M(|\sigma - \sigma'|^{-1})$ over the $O(d^4)$ pairs (σ, σ') is at most $d^2 \sum_{\sigma \in V(I)} \log M(\operatorname{sep}(\sigma, I)^{-1})$. 1629 Thus, summing over the $O(d^4)$ pairs and using Lemma 60 yields the bound $\widetilde{O}_B(d^6 + d^5\tau)$ for the 1630 total bit complexity of Step 9, which concludes the proof. 1631

Remark 62. Algorithm 9 computes isolating boxes for only the solutions of one specific RUR_i if we set $f = f_i$ in Step 1. Following the proof of Theorem 61, it is straightforward to prove that the bit complexity of the algorithm then decreases to $\widetilde{O}_B(d_i^2(d^2 + d\tau))$ where the degree d_i of RUR_i can be much smaller than d^2 .

Remark 63. In order to isolate only the real solutions of P = Q = 0, it suffices to iterate in Algorithm 9 over the real roots of f since the separating form $(x, y) \mapsto x + ay$ is a one-to-one mapping between the real solutions of P = Q = 0 and the real roots of f (see Proposition 35). Note that, in this case, it is preferable to consider a dedicated real root isolation method in Step 2 for computing the real roots of f.

1641 **Remark 64.** In order to achieve the complexity bound $\widetilde{O}_B(d^6 + d^5\tau)$ in Step 8, we used an asymp-1642 totically fast method for the evaluation of a polynomial at many points (in Lemma 57). In practice, 1643 such methods have not proven to be very efficient, and thus sequential evaluation is typically used 1644 instead. Without detailling the proof, we claim that a more careful analysis would show that even 1645 sequential evaluation yields the same complexity bound. The main reason is that, for each solutions 1646 σ of P = Q = 0, it suffices to compute a box of a size that is not much smaller than the separation 1647 $\operatorname{sep}(\sigma, I)$ of σ . Hence, in the algorithm, it is sufficient to stop the refinement of the approximation of σ as soon as the corresponding box is already isolated from all other boxes. Then, using amortized bounds instead of those given in Lemmas 53 and 55 yields the claimed bound.

1650 8 Conclusion

We have studied the problem of solving a bivariate system of two polynomials of degree bounded 1651 by d and bitsize bounded by τ via a combination of triangular decomposition and RUR. We have 1652 designed algorithms of worst-case complexity $\widetilde{O}_B(d^6 + d^5\tau)$ for all the steps: finding a separating 1653 linear form, computing a RUR decomposition and computing isolating boxes of the solutions. This 1654 worst-case upper bound is not likely to be easily improved since it is also the best one known for the 1655 isolation of the roots of the resultant of the input polynomials [MSW15, Theorem 5]. However, one 1656 hope to improve this complexity is to consider an adaptive complexity that depends on geometric 1657 parameters such as the minimal distance between two roots, as in [KS15a] for example. 1658

In the Las Vegas setting, we also proposed an algorithm of expected complexity $O_B(d^5 + d^4\tau)$ 1659 for finding a separating form and computing a RUR decomposition. In the radical and generic 1660 case, the Monte-Carlo algorithm of [LMS13] computes a modified equiprojectable decomposition 1661 that coincides in this case to a RUR. Even if it restricted to the radical case, this algorithm is 1662 remarkable since its complexity is $\widetilde{O}_B(d^{4+\varepsilon} + d^{3+\varepsilon}\tau)$, for $\varepsilon > 0$ arbitrarily small, which almost 1663 matches the upper bound $\widetilde{O}(d^4 + d^3\tau)$ on the size of the output (see Corollary 38) which is most 1664 likely tight in the worst case. One natural question is whether it is possible to achieve such a 1665 complexity in the Las Vegas setting. 1666

Finally, we note that, for computing a separating linear form of an *arbitrary* system $\{P, Q\}$, the algorithm presented here is likely to be purely theoretical because (i) considering the system $\{PQ, \frac{\partial PQ}{\partial y}\}$ instead $\{P, Q\}$ essentially doubles the degree of the input polynomials, and (ii) the shearing of the coordinate systems, done to avoid vertical asymptotes, spoils the sparsity of the coefficients and increases their bitsize, which is not efficient in practice. However, for the problem of computing the critical points of a curve, there is some hope that our algorithm can be efficient not only in theory but also in practice.

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