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# Dynamic Coupling of Photoacclimation and Photoinhibition in a Model of Microalgae Growth

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#### 10 Abstract

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The development of mathematical models that can predict photosynthetic productivity of microalgae under transient conditions is crucial for enhancing large-scale industrial culturing systems. Particularly important in outdoor culture systems, where the light irradiance varies greatly, are the processes of photoinhibition and photoacclimation, which can affect photoproduction significantly. The former is caused by an excess of light and occurs on a fast time scale of minutes, whereas the latter results from the adjustment of the light harvesting capacity to the incoming irradiance and takes place on a slow time scale of days. In this paper, we develop a dynamic model of microalgae growth that simultaneously accounts for the processes of photoinhibition and photoacclimation, thereby spanning multiple time scales. The properties of the model are analyzed in connection to PI-response curves, under a quasi steady-state assumption for the slow processes and by neglecting the fast dynamics. For validation purposes, the model is calibrated and compared against multiple experimental data sets from the literature for several species. The results show that the model can describe the difference in photosynthetic unit acclimation strategies between *D. tertiolecta* (n-strategy) and *S. costatum* (s-strategy).

<sup>11</sup> Keywords: microalgae, photosynthesis modeling, Droop model, Han model, acclimation

<sup>12</sup> strategy, PI curves

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#### 13 1. Introduction

Microalgae are often considered a promising alternative for production of renewable en-14 ergy [35]. Claimed advantages of this approach are a higher photosynthetic yield compared 15 to field crops, a reduction in fresh water consumption, and independence to agriculturally us-16 able land [36]. These advantages could lead to large-scale production of algal biomass that is 17 not in direct competition with food production. Moreover, microalgae culture systems can be 18 coupled with wastewater treatment technologies [29], can produce high added-value products 19 such as cosmetics, pharmaceuticals and nutraceuticals [6], and can even contribute to  $CO_2$ 20 mitigation due to their inherent ability to fix carbon during photosynthesis [32]. Nonethe-21 less, numerous problems need to be overcome on the path to a sustainable large-scale biofuel 22 production. Optimizing the entire production chain in order to reduce the production costs 23 as well as the environmental impact presents many challenges, and among them improv-24 ing the algal biomass production efficiency has top priority. As well as developing a better 25 understanding of the key mechanisms underlying photosynthesis, the development of more 26 accurate mathematical models combining mass-conservation principle and phenomenological 27 knowledge holds much promise in this context [5]. 28

Two key processes are involved in the way light conditions affect the photosynthetic yield. 29 *Photoinhibition* causes a loss of photosynthetic yield due to an excess of photons, which 30 damage some of the key proteins in the photosynthetic apparatus. *Photoacclimation*, the 31 process by which microalgae adjust their pigment content and composition to light intensity, 32 alters the rate of photosynthetic production. These two processes act on different time 33 scales: photoinhibition occurs on a time scale of minutes, whereas photoacclimation acts on 34 a time scale of days. In order to achieve optimal microalgae productivity, understanding the 35 processes of nutrient assimilation, photoinhibition and photoacclimation, together with their 36 interactions, is thus paramount. A number of mathematical models are available that account 37 for photoacclimation and nitrogen limitation at the slow time scale [15, 2, 16], yet they neglect 38 the dynamics of photoinhibition. Conversely, models describing photoinhibition in the fast 39 time scale have also been proposed [10, 17], but they do not account for photoacclimation. 40

The model by Camacho and coworkers [14], inspired from [37, 38], describes both photoin-41 hibition and photoacclimation in nitrogen replete conditions. In contrast, the main objective 42 of this paper to develop a dynamic model of microalgae growth that couples photoinhibi-43 tion and photoacclimation under nitrogen limitation. With regards to carbon and nitrogen 44 uptake, our model builds upon two well established models, which have been validated exper-45 imentally and whose mathematical properties are well established. Nutrient assimilation is 46 described by the well-accepted and validated Droop model [8]. Photoinhibition is described 47 by the model proposed by Han [17], originating in the work of Eilers and Peeters [10] who 48 first introduced the concept of photosynthetic factories—also known as photosynthetic units. 49 A related, yet simpler, coupling between a photoinhibition model and the Droop model has 50 been studied by Hartmann et al. [19]. An extension of this coupling incorporating photoac-51 climation processes constitutes the main novelty of the developed model. Specifically, we 52 propose a modification of the photosynthesis rate and pigment synthesis rate expressions to 53 account for photoacclimation effects, and we express both the effective cross-section and the 54 number of photosynthetic units—which are parameters in the Han model—as functions of 55 the chlorophyll content by means of empirical relations [12]. This approach leads to a simple 56 expression for the photosynthesis rate, which is readily amenable to mathematical analysis 57 under a quasi-steady-state approximation. This structure also makes the model easier to 58 calibrate, and we illustrate its prediction capabilities for three different species based on 59 literature data. 60

The remainder of this paper is organized as follows. Existing models of slow and fast processes, including nutrient limited growth, photoacclimation and photoinhibition, are first reviewed in Sect. 2. The dynamic model coupling these processes is described in Sect. 3, and the properties of the resulting PI-response model are analyzed. A calibration of the coupled model against several experimental data sets from the literature is presented in Sect. 4, followed by a discussion in Sect. 5. Finally, Sect. 6 concludes the paper and draws future research directions.

#### <sup>68</sup> 2. Modeling of Slow and Fast Processes in Microalgae

#### 69 2.1. Nutrient-Limited Growth – The Droop Model

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Droop [7] first observed that microalgae keep growing for some time after nutrients have 70 been depleted. Monod kinetics are unable to model this behavior and therefore are not suit-71 able for predicting microalgae growth under nutrient limitation. A better way to represent 72 nutrient-limited growth is by separating the nutrient uptake rate, denoted by  $\rho$  hereafter, 73 from the growth rate, denoted by  $\mu$ . This idea was followed by Droop [7, 8] in relating 74 the growth rate to the internal elemental nutrient quota. Since its introduction, the Droop 75 model has been widely studied [22, 3, 34] and thoroughly validated [8, 28, 4, 34]. A key 76 feature of our model in Sect. 3 is to build upon this model in order to inherit its structural 77 properties. 78

In a continuous and homogeneous microalgae culture, the mass-balance equations for the nutrient (inorganic nitrogen) concentration  $s [g_N m^{-3}]$  in the bulk phase, the biomass concentration  $x [g_C m^{-3}]$ , and the carbon-specific nitrogen quota  $q [g_N g_C^{-1}]$  of the cells are given by

$$\dot{s} = D s_{in} - \rho(s, q) x - D s$$

$$\dot{x} = \mu(q, \cdot) x - D x - R x$$

$$\dot{q} = \rho(s, q) - \mu(q, \cdot) q,$$
(1)

with D [s<sup>-1</sup>] and R [s<sup>-1</sup>] denoting the dilution rate and the endogenous respiration rate, respectively; and  $s_{\rm in}$  [g<sub>N</sub> m<sup>-3</sup>], the nutrient concentration in the feed.

Recently, an extension of the Droop model has been proposed by Bernard [2] accounting for the effect of light conditions on the growth rate  $\mu$  in the form

$$\mu(q,\cdot) = \bar{\mu} \left(1 - \frac{Q_0}{q}\right) \phi(\cdot), \qquad (2)$$

where  $\bar{\mu}$  [s<sup>-1</sup>] stands for the maximal growth rate, i.e., the growth rate reached under nonlimiting conditions;  $Q_0$  [g<sub>N</sub> g<sub>C</sub><sup>-1</sup>], the minimal cell quota, so that  $\mu(Q_0, \cdot) = 0$  and  $q \ge Q_0$ ; and,  $\phi(\cdot)$  is a saturation function. In particular, an expression of  $\phi(\cdot)$  will be developed in Sect. 3 that accounts for the state of the photosynthetic units (PSUs). <sup>93</sup> The nutrient uptake rate  $\rho$ , on the other hand, can be expressed as [16]

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$$(s,q) = \bar{\rho} \, \frac{s}{s+k_s} \, \left(1 - \frac{q}{Q_l}\right),\tag{3}$$

where  $\bar{\rho} [g_N g_C^{-1} s^{-1}]$  stands for the maximal nutrient uptake rate;  $k_s [g_N m^{-3}]$ , the halfsaturation constant for substrate uptake; and  $Q_l [g_N g_C^{-1}]$ , the limit quota for the nitrogen uptake, so that  $\rho(s, Q_l) = 0$  and  $q \leq Q_l$ , with equality corresponding to nutrient-replete conditions.

#### 99 2.2. Pigment Content

Photoacclimation is the mechanism by which both the chlorophyll content and the pigment composition change in response to variations in the light irradiance. Such changes take place on a time scale of days, and it has been suggested that microalgae use photoacclimation as a means to optimize their growth at low irradiance as well as to minimize damage at high irradiance [12].

One way to describe photoacclimation is by accounting for the change in the chlorophyll content over time. Following Bernard [2], the chlorophyll concentration, c [g<sub>chl</sub> m<sup>-3</sup>], is assumed to be proportional to cellular protein concentration as a first approximation, which is itself represented by the particulate nitrogen concentration x q:

$$c = \psi(I_{\rm g}) \, x \, q, \tag{4}$$

where  $I_{\rm g}$  [ $\mu$ E m<sup>-2</sup> s<sup>-1</sup>] represents the light irradiance at which the cells are acclimated, also called *growth irradiance*. Introducing the carbon-specific chlorophyll quota  $\theta := c/x$ [ $g_{\rm chl} g_{\rm C}^{-1}$ ], the foregoing relation can be rewritten in the form

$$\theta = \psi(I_g) q. \tag{5}$$

Here, we choose to express  $\psi(\cdot)$  in the form of the hyperbolic function

$$\psi(I_{\rm g}) = \bar{\psi} \frac{k_I}{I_{\rm g} + k_I},\tag{6}$$

with parameters  $\bar{\psi}$  [g<sub>chl</sub> g<sub>N</sub><sup>-1</sup>] and  $k_I$  [ $\mu$ E m<sup>-2</sup> s<sup>-1</sup>]. Moreover, the dynamic evolution of  $I_g$  is

related to the current light irradiance I by the following equation

$$\dot{I}_{\rm g} = \delta \ \mu(q, \cdot) \ (I - I_{\rm g}) \,, \tag{7}$$

thereby assuming that the acclimation rate is proportional to the irradiance difference  $(I-I_g)$ as well as to the current growth rate  $\mu(\cdot)$ , with the constant proportionality coefficient  $\delta$  [-]. On the whole, a change in the current irradiance I affects  $I_g$  via (7), modifying the chlorophyll quota  $\theta$  via (5) in turn.

#### 123 2.3. Photosynthetic Production and Photoinhibition – The Han Model

The Han model [17], which is inspired by the model of Eilers and Peeters [9], describes the effect of light irradiance on microalgae growth. This model considers the damage of key proteins in PSUs to be the main contribution to photoinhibition. Particularly appealing in the Han model is the description of complex photosynthetic processes in terms of three possible states of the PSUs only, namely: open, A; closed, B; and, inhibited, C.

The equations giving the rates of change in the fractions of open, closed and inhibited PSUs are in order:

$$\dot{A} = -I \sigma A + \frac{B}{\tau}$$
  
$$\dot{B} = I \sigma A - \frac{B}{\tau} + k_r C - k_d \sigma I B$$
  
$$\dot{C} = -k_r C + k_d \sigma I B,$$
  
(8)

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with initial conditions such that 
$$A(0) + B(0) + C(0) = 1$$
. A number of remarks are:

• Photosynthetic production is described by the transition between open state and closed state. Excitation is assumed to occur at a rate of  $\sigma I$ , with  $\sigma$  [m<sup>2</sup>  $\mu$ E<sup>-1</sup>] the effective cross-section of the PSUs, whereas deexcitation is assumed to occur at a rate of  $\frac{1}{\tau}$ , with  $\tau$  [s] the turnover time of the electron transport chain.

• Photoinhibition occurring at high light irradiance corresponds to the transition from closed state to inhibited state. This process is assumed to occur at a rate of  $k_d \sigma I$ , with  $k_d$  [-] a damage constant. The reverse transition from inhibited state to closed state accounts for the repair of damaged PSUs by enzymatic processes in the cell, a mechanism that is assumed to occur at a constant rate  $k_r$  [s<sup>-1</sup>].

The Han model provides the second brick in our model in Sect. 3, also with the objective of keeping its structural properties. In particular, an interesting property of the Han model is that the fractions of open, closed and inhibited states can be computed analytically from (8) as a function of the irradiance I at steady state. For instance, the steady-state expression  $A^{\infty}$  for the open state A is given by:

$$A^{\infty}(I) = \frac{1}{1 + \tau \,\sigma \,I + K \,\tau \,\sigma^2 \,I^2} \,, \tag{9}$$

148 with  $K := k_d / k_r$ .

# <sup>149</sup> 3. Multi-Scale Model of Microalgae Growth Coupled with Photoinhibition and <sup>150</sup> Photoacclimation

The proposed model couples three dynamic processes, namely (i) the PSU dynamics, (ii) the dynamics of intracellular nitrogen content, and (iii) the dynamics of chlorophyll content. These processes span four different timescales ranging from milliseconds for the open-closed dynamics of the PSUs up to several days for the dynamics of intracellular nitrogen quota q.

#### 156 3.1. Coupling Between Growth, Photoinhibition and Photoacclimation

Our model builds upon the Droop-Han model of Hartmann et al. [19] and incorpo-157 rates photoacclimation processes via the dynamics of the chlorophyll quota  $\theta$  introduced in 158 Sect. 2.2. More specifically, we account for two possible ways that the term  $\phi(\cdot)$  in (2) can 159 depend on  $\theta$ . The first effect is a direct linear dependency of photosynthesis efficiency on 160 the chlorophyll content, which is in agreement with the work of Faugeras et al. [13]. Since 161 the probability of a photon encountering an open state is proportional to AI, a second, in-162 direct effect is via the dependence of the dynamics of A on  $\theta$ . This latter dependency results 163 from the fact that the parameter  $\sigma$  introduced in the Han model (8) can itself depend on 164 the current acclimation state. Indeed, Falkowski and Raven [12] describe photoacclimation 165

as a process that can follow either one of two strategies: the *n*-strategy corresponds to a change in the density (per biomass unit) of PSUs, denoted by N subsequently; the *s*-strategy corresponds to a change in the size of the PSUs, and is thus directly related to the effective cross-section  $\sigma$ . In practice, chlorophyll is thus used either to build new PSUs or to increase the size of the antenna in existing PSUs. These two acclimation strategies run concurrently, and both can be described by defining  $N(\cdot)$  and  $\sigma(\cdot)$  as functions of the chlorophyll quota  $\theta$ . Such relationships are further investigated in Sect. 3.3.

Based on the above, the growth rate  $\mu$  can be modeled as:

$$\mu(q,\theta,I) = \bar{\alpha} \left(1 - \frac{Q_0}{q}\right) \theta A(I,\theta) I$$

where  $\bar{\alpha}$  is a constant parameter. At this point, we shall introduce the rate of carbon uptake per chlorophyll unit,  $\mu_{chl}$  [g<sub>C</sub> g<sub>chl</sub><sup>-1</sup> s<sup>-1</sup>], as

$$\mu_{\rm chl}(q,\theta,I) = \frac{\mu(q,\cdot)}{\theta} = \bar{\alpha} \left(1 - \frac{Q_0}{q}\right) A(I,\theta) I, \qquad (10)$$

<sup>176</sup> which is also known as the chlorophyll-specific photosynthesis rate.

#### 177 3.2. Structural Analysis of the PI Response

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In experiments assessing photosynthetic efficiency of microalgae, the cells are photoacclimated to a given light irradiance  $I_{\rm g}$  for a sufficiently long time and under nutrient replete conditions, before exposing them to various light irradiances I. The instantaneous growth rates obtained under these conditions—ideally via consideration of the carbon fixation rate, but often based on the O<sub>2</sub> production rate too—are measured and yield the so-called PIresponse curve when plotted against I.

<sup>184</sup> A common assumption about PI-response curve experiments is that they are fast enough <sup>185</sup> for photoacclimation, substrate internalization and growth to be negligible; that is, time <sup>186</sup> variations in the variables  $\theta$ , q and x can all be neglected. In contrast, variations in the <sup>187</sup> fractions of open, closed and inhibited states in the Han model can be considered fast in the <sup>188</sup> time scale of PI-response curve experiments, and one can thus assume that the variables A, <sup>189</sup> B and C reach their steady states as in (9), without significantly impairing the PI response predictions (quasi-steady-state approximation). Under nutrient-replete conditions, these approximations lead to the following simplification of the chlorophyll-specific photosynthesis
 rate (10):

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$$\mu_{\rm chl}^{\rm PI}(\theta, I) = \bar{\alpha} \left( 1 - \frac{Q_0}{Q_{\rm max}(\cdot)} \right) \frac{I}{1 + \tau \,\sigma(\theta) \,I + K \,\tau \,\sigma^2(\theta) \,I^2} \,, \tag{11}$$

<sup>194</sup> where  $Q_{\text{max}}(\cdot)$  [g<sub>N</sub> g<sub>C</sub><sup>-1</sup>] denotes the maximal value of the nitrogen internal quota q under <sup>195</sup> nutrient replete conditions, a value that typically depends on the growth irradiance  $I_{\text{g}}$  [2]. <sup>196</sup> A further reformulation gives

<sup>197</sup> 
$$\mu_{\rm chl}^{\rm PI}(\theta, I) = \alpha(\cdot) \frac{I}{1 + \tau \,\sigma(\theta) \,I + K \,\tau \,\sigma^2(\theta) \,I^2}, \qquad (12)$$

with  $\alpha(\cdot) := \bar{\alpha} \left( 1 - \frac{Q_0}{Q_{\max}(\cdot)} \right) \left[ g_C g_{chl}^{-1} \mu E^{-1} m^2 \right]$  denoting the initial slope of the PI response curve, i.e., the rate of change of  $\mu_{chl}$  with respect to the light irradiance I for a vanishing irradiance.

Many authors concur to say that, for many microalgae species, the initial slope  $\alpha(\cdot)$ 201 can be considered to be independent of the value of  $\theta$  [23]. Nonetheless, we like to note 202 that the constant initial slope assumption is still debated; see, for instance, the paper 203 by Richardson et al. [27], where microalgae acclimation strategies are divided into six 204 different categories based on photosynthesis-irradiance response data. We shall come 205 back to this important point later on in Sect. 5, where it is argued that certain variations 206 in initial slopes may as well be explained by transient effects in the fraction of inhibited PSUs. 207 208

In the remainder of this subsection, we investigate structural properties of the PI-response curve under the foregoing assumptions of time-scale separation and constant initial slope. The optimal irradiance value  $I^*$  maximizing  $\mu_{chl}^{PI}$  can be determined from (12) as

$$I^{\star}(\theta) := \frac{1}{\sigma(\theta)\sqrt{K\tau}}.$$
(13)

 $_{213}$   $\,$  In turn, the maximal productivity rate  $\mu_{\rm chl}^{\rm PI\star}$  can be expressed in the form

$$\mu_{\rm chl}^{\rm PI\star}(\theta) := \alpha \, \frac{\sqrt{K\tau}}{\tau + 2\sqrt{K\tau}} \, I^{\star}(\theta) \,. \tag{14}$$

The following property follows readily from (14), provided that the Han model parameters  $\tau$  and K are independent of the acclimation state:

**Property 1.** The maximal growth rate  $\mu_{chl}^{PI\star}$  is proportional to the optimal irradiance  $I^{\star}$ regardless of the pre-acclimated state or the growth irradiance.

Although a direct consequence of the constant initial slope assumption, this property does not depend on a particular choice of the relationship between  $\sigma(\cdot)$  and  $\theta$ . Moreover, it is readily tested using data from experimental PI curves corresponding to different acclimation states—see Sect. 4.1.

223 3.3. Quantitative Analysis of the PI Response

In order to make quantitative predictions of the PI-response curve or, more generally, for numerical simulation of the coupled model, relationships for the effective cross-section  $\sigma(\cdot)$ and the density of PSUs  $N(\cdot)$  in terms of the chlorophyll quota  $\theta$  must be specified.

We start by noting that  $\sigma(\theta)$  and  $N(\theta)$  can both be related to the average size of a PSU in terms of chlorophyll content per PSU, denoted by  $\Gamma(\theta)$  subsequently. A simple relation for  $N(\theta)$  is:

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$$\Gamma(\theta) N(\theta) = \theta.$$
<sup>(15)</sup>

On the other hand, the relation between  $\sigma(\theta)$  and  $\Gamma(\theta)$  or  $N(\theta)$  is highly complex. As well as the geometric shape of the photosynthetic antennas, this relation must take into account the packaging effect and the synthesis of other accessory pigments. Here, we choose to use a simple relationship, whereby  $\sigma(\cdot)$  is expressed as a power law of  $\Gamma$ :

$$\sigma(\theta) = \sigma_0 \, \Gamma(\theta)^{\gamma} \, ,$$

with parameters  $\sigma_0$  and  $\gamma$ .

Now, assuming a general power law relationship between  $\sigma$  and  $\theta$  as:

$$\sigma(\theta) = \beta \, \theta^{\kappa} \,, \tag{16}$$

<sup>234</sup> and using (15), the density of PSUs is expressed as:

$$N(\theta) = \left(\frac{\sigma_0}{\beta}\right)^{1/\gamma} \theta^{1-\kappa/\gamma} , \qquad (17)$$

<sup>236</sup> and similarly the average size of a PSU is given by:

$$\Gamma(\theta) = \left(\frac{\beta}{\sigma_0}\right)^{1/\gamma} \theta^{\kappa/\gamma} \,. \tag{18}$$

Besides simplicity, expressions of  $\sigma(\theta)$ ,  $N(\theta)$  and  $\Gamma(\theta)$  in the form of power laws are also plausible from a biophysical standpoint. It is indeed expected that  $\sigma(\theta)$  should be a monotonically increasing function of  $\theta$ , due to a higher probability of photons absorption. In contrast, the expressions of  $N(\theta)$  and  $\Gamma(\theta)$  remain flexible enough with respect to  $\theta$ , and so the resulting acclimation model is capable of discrimination between the s-strategy and n-strategy of PSU acclimation.

We note that Camacho and coworkers [14] have used a similar modeling approach and proposed a monotonically increasing relation between the chlorophyll content  $\theta$  and the density of PSUs  $N(\theta)$  (which are both decreasing functions of the growth irradiance  $I_{\rm g}$ ). Our model is more flexible in the sense that it enables strategies whereby the chlorophyll content increases while the density of PSUs decreases.

Substituting the power law (16) in the expression of  $I^*$  in (13), and log-linearizing the resulting expression gives:

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$$\log I^{\star}(\theta) = -\kappa \log \theta - \log(\beta \sqrt{K\tau}).$$
<sup>(19)</sup>

<sup>252</sup> The following property follows directly from (19):

**Property 2.** The exponent  $\kappa$  in the power laws (16) corresponds to the (negative) slope in a log-log plot of  $I^*$  versus  $\theta$ .

Like Property 1, the linearity of the relationship between  $\log I^*$  and  $\log \theta$  can be readily tested using data from experimental PI curves corresponding to different acclimation states. To summarize, a complete expression of the model predicting the PI responses of a given microalgae at various pre-acclimated states is:

$$\mu_{\rm chl}^{\rm PI}(q,\theta,I) = \bar{\alpha} \left(1 - \frac{Q_0}{Q_{\rm max}(\cdot)}\right) \frac{I}{1 + \tau \,\beta \,\theta^{\kappa} \,I + K \,\tau \,\beta^2 \,\theta^{2\kappa} \,I^2} \,. \tag{20}$$

This expression is of the Haldane type with respect to the light intensity I, and it comprises the following parameters: K and  $\tau$  from the Han model;  $\beta$  and  $\kappa$  from the acclimation model; and the initial slope  $\bar{\alpha}$  together with the minimal and maximal nitrogen quotas  $Q_0$ and  $Q_{\text{max}}$ —or alternatively  $\alpha$  in its simplified version.

#### <sup>265</sup> 4. Calibration and Confidence Analysis using Data Sets from the Literature

A calibration of the new features in the coupled model is carried out in this section, using experimental data sets from the works of Anning et al. [1] and Falkowski and Owens [11]. The focus is on the chlorophyll-specific photosynthesis rate (20), the density and size acclimation laws (17)-(18), and the saturation function  $\psi$  in the nitrogen-quota-to-chlorophyll-quota relationship (5).

#### 271 4.1. Data for Skeletonema costatum

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Experimental data by Anning et al. [1] are for the diatom *Skeletonema costatum*. They comprise two acclimation states at different growth irradiances  $I_{\rm g}$ , namely 50  $\mu$ E m<sup>-2</sup> s<sup>-1</sup> (LL) and 1500  $\mu$ E m<sup>-2</sup> s<sup>-1</sup> (HL). The LL irradiance corresponds to a chlorophyll quota of  $\theta = 0.082 \text{ g}_{chl} \text{ g}_{C}^{-1}$ , and the HL irradiance to  $\theta = 0.018 \text{ g}_{chl} \text{ g}_{C}^{-1}$ . Measurements of the number and size of PSUs are also available for four acclimation states in Falkowski and Owens [11]. Only the number of photosystems I (PSI) is reported and we assume the number of PSUs to be proportional here.

<sup>279</sup> Calibration of PI-Response Curves. We neglect variations of the term  $\alpha$  in (20) as a first <sup>280</sup> approximation, and we consider a nonlinear regression approach based on least-square mini-<sup>281</sup> mization to estimate the values of parameters  $\beta$ ,  $\kappa$  and  $\alpha$ . On the other hand, we use default <sup>282</sup> values for the Han model parameters  $k_r$ ,  $k_d$  and  $\tau$ ; these values are obtained by averaging <sup>283</sup> over the parameter ranges reported in [18] and can be found in Table I.

Param.	Value		Source
au	$5.50 \times 10^{-3}$	[s]	Ref. [18]
$k_r$	$1.40 \times 10^{-4}$	$[s^{-1}]$	Ref. [18]
$k_d$	$5.00 \times 10^{-6}$	[-]	Ref. [18]
$\alpha$	$1.60\times 10^{-2}$	$[g_C g_{chl}^{-1} \mu E^{-1} m^2]$	estimated
$\beta$	$4.92\times 10^{-1}$	$[\mu E^{-1} m^2 g_{chl}^{1/\kappa} g_C^{-1/\kappa}]$	estimated
$\kappa$	$4.69\times10^{-1}$	[-]	estimated

Table I: Default parameter values in the Han model and parameter estimates in the photosynthesis rate (20) for *S. costatum*.

In order to certify global optimality of the parameter estimates, we use the global optimization solver BARON [33] in the GAMS modeling environment. The resulting parameter estimates are given in Table I, and the fitted PI-response curves (20) are plotted against the available experimental data in Fig. 1. The predictions are in excellent agreement with this experimental data sets at both light irradiances, also with regards to Property 1, thereby providing a first validation of the structural assumptions in (20).



Figure 1: PI-response curves for *S. costatum* based on the data by Anning et al. [1]. The blue and red points correspond to acclimation at LL and HL, respectively. The predicted PI responses are depicted in gray solid lines. The dashed line connects the maxima of both PI curves per Property 1.

In order to assess the confidence of the parameter estimates in Table I, we apply setmembership parameter estimation in the bounded-error sense [21]. To conduct the analysis, we consider variations around the available photosynthesis rate measurements, here variations of  $\pm 5\%$ . A large number of scenarios is generated by sampling the resulting measurement ranges—using Sobol sequences and assuming no correlation between the different measurements—and globally optimal estimates for  $\beta$ ,  $\kappa$  and  $\alpha$  are then computed for every scenario. This way, we obtain the set of all possible parameter values that are consistent with the available measurements within a  $\pm 5\%$  error.

The results obtained for the data set by Anning et al. [1] are shown in Fig. 2. Projec-298 tions of the confidence region onto the  $(\beta, \kappa)$ ,  $(\beta, \alpha)$  and  $(\kappa, \alpha)$  subspaces provide parameter 299 confidence ranges as  $\beta \in [0.45, 0.54], \kappa \in [0.44, 0.5]$  and  $\alpha \in [0.0158, 0.0172]$ . Moreover, 300 these projections reveal the existence of a significant correlation between the parameters  $\beta$ 301 and  $\kappa$  of the acclimation model, whereas correlations of  $\beta$  or  $\kappa$  with  $\alpha$  are rather small. The 302 envelopes of both PI-response curves obtained for parameter values in the confidence region 303 are shown on the bottom-right plot of Fig. 2 as well, confirming the good agreement with 304 the experimental data. 305

<sup>306</sup> Calibration of Density and Size Acclimation Laws. Since experimental information is avail-<sup>307</sup> able for both the density and size of PSUs at four different acclimation states, values of the <sup>308</sup> acclimation parameters  $\sigma_0$  and  $\kappa$  in the power laws (17)-(18) can be estimated for this data <sup>309</sup> set too. Note that these relationships can be rewritten in the form

$$1/\gamma \log\left(\frac{\sigma_0}{\beta}\right) - \kappa/\gamma \log \theta = \log N - \log \theta,$$

311

$$1/\gamma \log\left(\frac{\sigma_0}{\beta}\right) - \kappa/\gamma \log \theta = -\log \Gamma$$

thus making it possible to use a simple linear regression approach for estimating the values of  $1/\gamma \log \left(\frac{\sigma_0}{\beta}\right)$  and  $\kappa/\gamma$ . Estimates for the parameters  $\sigma_0$  and  $\kappa$ , as reported in Table II, can be obtained in turn by using the estimates for  $\beta$ ,  $\kappa$  and  $\alpha$  in Table I.

Table II: Parameter estimates in the density and size acclimation laws (17)-(18) for S. costatum.

Parameter	Value
$\sigma_0$	$1.63 \times 10^{-1} \ [\mu E^{-1} m^2 g_{chl}^{-\gamma} PSU^{\gamma}]$
$\gamma$	$1.18 \times 10^{-1} \ [-]$



Figure 2: Confidence region of the parameter estimates  $\beta$ ,  $\kappa$  and  $\alpha$  with  $\pm 5\%$  deviations and corresponding envelopes of PI curves for *S. costatum*.

Following a set-membership estimation approach, confidence in the foregoing parameter 315 estimates is assessed by computing the set of all values for  $\sigma_0$  and  $\kappa$  that are consistent 316 with the available measurement of density and size of PSU (within variations of  $\pm 5\%$ ), while 317 simultaneously accounting for the uncertainty in the values of  $\beta$ ,  $\kappa$  and  $\alpha$  (Fig. 2). The 318 resulting confidence region is shown on the left plot in Fig. 3, and the set of corresponding 319 model fits for the experimental data on the right plot. Parameter confidence ranges are 320 obtained as  $\sigma_0 \in [0.12, 0.51]$  and  $\gamma \in [0.08, 0.39]$ . Despite being quite conservative, these 321 bounds allow to confidently conclude that the parameter  $\gamma$  is indeed positive for S. costatum. 322 This finding will be discussed further in Sect. 5. 323



Figure 3: Confidence region of the parameter estimates  $\gamma$  and  $\sigma_0$  for the measurement data ranges of PSU size and number (left plot) and corresponding fit envelopes (right plots) for *S. costatum*.

#### 324 4.2. Data for Dunaliella tertiolecta

Experimental data by Falkowski and Owens [11] are for the chlorophyte Dunaliella ter-325 tiolecta. Amongst the available data, four PI curves are selected that were not affected by 326 'bleaching', corresponding to acclimation states at growth irradiances  $I_{\rm g}$  of 60  $\mu \rm E~m^{-2}~s^{-1}$ 327 (L<sub>1</sub>), 120  $\mu E m^{-2} s^{-1}$  (L<sub>2</sub>), 200  $\mu E m^{-2} s^{-1}$  (L<sub>3</sub>), and 400  $\mu E m^{-2} s^{-1}$  (L<sub>4</sub>). Measurements 328 of carbon, nitrogen and chlorophyll content per cell for all four acclimation states make it 329 possible to determine lower and upper ranges for both the nitrogen quota q and the chloro-330 phyll quota  $\theta$  as well, as given in Table III. Moreover, measurements of the number and size 331 of PSUs are also available at four acclimation states, assuming that the number of PSUs is 332 proportional to the measured number of PSIs. 333

Table III: Ranges of nitrogen and chlorophyll quotas from the experimental data by Falkowski and Owens [11] at acclimation states  $L_1$ ,  $L_2$ ,  $L_3$  and  $L_4$ .

Growth irradiance $I_{\rm g}$	Nitrogen quota $Q_{\max}$	Chlorophyll quota $\theta$
$[\mu E m^{-2} s^{-1}]$	$[\mathrm{g_N}~\mathrm{g_C}^{-1}]$	$[{\rm g_{chl}}~{\rm g_C}^{-1}]$
$L_1: 60$	$Q_{\max} \in [0.250, 0.357]$	$\theta \in [0.0774, 0.0820]$
$L_2: 120$	$Q_{\max} \in [0.222, 0.323]$	$\theta \in [0.0654, 0.0682]$
$L_3: 200$	$Q_{\max} \in [0.213, 0.286]$	$\theta \in [0.0436, 0.0453]$
$L_4:$ 400	$Q_{\max} \in [0.172, 0.208]$	$\theta \in [0.0355, 0.0373]$

Calibration of PI-Response Curves. Since experimental information is available for the ni-334 trogen quota q in all acclimation states, variations of the term  $(1 - Q_0/Q_{\text{max}})$  in (20) can be 335 accounted for with this data set—we consider a value of  $Q_0 = 0.05 \text{ g}_{\text{N}} \text{ g}_{\text{C}}^{-1}$  for the minimal 336 nitrogen quota throughout [16, 2]. Like previously, we use a nonlinear regression approach 337 based on least-square minimization to estimate the values of parameters  $\bar{\alpha}$ ,  $\beta$  and  $\kappa$ , and we 338 define extra variables for the nitrogen and chlorophyll quotas in the regression problem with 339 bounds as defined in Table III. As far as the Han model parameters are concerned, we use 340 the default values of  $\tau$  and  $k_r$  in Table I. On the other hand, the default value for  $k_d$  is not 341 deemed suitable as photoinhibition effects are not observed on the available PI-curve data, 342 so  $k_d$  is considered an extra variable in the regression problem with bounds  $[0, 10^{-7}]$  initially. 343 More data at higher light irrandiance would be needed for a better calibration. 344

Table IV: Parameter estimates in the photosynthesis rate (20) for D. tertiolecta.

Param.	Value	Nitrogen quota	Chlorophyll quota
$\bar{\alpha}$	$5.50 \times 10^{-2} \text{ g}_{\text{C}} \text{g}_{\text{chl}}^{-1} \mu \text{E}^{-1} \text{ m}^2$	$Q_{\rm max}^{60} = 0.250 \ {\rm g_N \ g_C^{-1}}$	$\theta^{60} = 0.082 \text{ g}_{\text{chl}} \text{ g}_{\text{C}}^{-1}$
$\beta$	$5.48 \times 10^1  \mu E^{-1} m^2 g_{chl}^{1/\kappa} g_C^{-1/\kappa}$	$Q_{\rm max}^{120} = 0.322 \ {\rm g_N \ g_C^{-1}}$	$\theta^{120} = 0.065 \ g_{chl} \ g_{C}^{-1}$
$\kappa$	$1.54 \times 10^{0}$ –	$Q_{\rm max}^{200} = 0.266 \ {\rm g_N \ g_C^{-1}}$	$\theta^{200} = 0.045 \text{ g}_{chl} \text{ g}_{C}^{-1}$
$k_d$	$1.27 \times 10^{-8}$ –	$Q_{\rm max}^{400} = 0.208 \ {\rm g_N \ g_C^{-1}}$	$\theta^{400} = 0.036 \text{ g}_{chl} \text{ g}_{C}^{-1}$

The solver BARON [33] in the GAMS modeling environment is again used to guarantee globally optimal parameter estimates. These estimates are reported in Table IV, and the fitted PI-response curves (20) are plotted against the available experimental data in Fig. 4 in gray solid lines. The predicted responses are generally in good agreement with the experimental data, thereby confirming the ability of the model to capture the photosynthetic activity of *D. tertiolecta*.

For sake of comparison, we also plot in gray dotted lines on Fig. 4 the fitted PI responses without accounting for variations of the term  $(1 - \frac{Q_0}{Q_{\text{max}}})$  in (20); that is, the parameter  $\alpha$  is estimated in lieu of  $\bar{\alpha}$ . These fits, although slightly degraded, remain accurate. Moreover, the corresponding parameter estimates,  $\beta \approx 32.4$ ,  $\kappa \approx 1.4$ , and  $\alpha \approx 0.042$ , are in good agreement with the values in Table IV as well as with the confidence analysis that follows.



Figure 4: PI-response curves for *D. tertiolecta* based on the data by Falkowski and Owens [11]. The cyan, magenta, red, and blue points correspond to acclimation at L<sub>1</sub>, L<sub>2</sub>, L<sub>3</sub> and L<sub>4</sub>, respectively. The predicted PI responses are depicted in gray lines, with and without accounting for variations of the term  $(1 - Q_0/Q_{\text{max}})$  in solid lines and dotted lines, respectively.

This shows that the PI-response model (20) is robust towards uncertainty in the nitrogen maximal quota  $Q_{\text{max}}$ .

As previously with *S. costatum*, we assess the confidence of the estimates obtained for the acclimation parameters  $\bar{\alpha}$ ,  $\beta$  and  $\kappa$  in Table IV. We consider variations of  $\pm 5\%$  around the available photosynthesis rate measurements and we compute the set of all possible values for  $\bar{\alpha}$ ,  $\beta$  and  $\kappa$  that are consistent with these measurement-error ranges.

The results obtained for the data set by Falkowski and Owens [11] are shown in Fig. 5. 362 Projections of the confidence region onto the  $(\beta, \kappa)$ ,  $(\beta, \bar{\alpha})$  and  $(\kappa, \bar{\alpha})$  subspaces provide 363 parameter confidence ranges as  $\beta \in [32, 65], \kappa \in [1.35, 1.6]$  and  $\bar{\alpha} \in [0.052, 0.058]$ . These 364 projections also reveal the existence of a strong correlation between the parameters  $\beta$  and  $\kappa$ , 365 which is likely due to the absence of photoinhibition effects in this data set. In contrast, the 366 correlations of  $\beta$  or  $\kappa$  with  $\bar{\alpha}$  appear to be rather small. The envelopes of all four PI-response 367 curves obtained for parameter values in the confidence region are shown on the bottom-right 368 plot of Fig. 2, confirming a good agreement with the experimental data. 369



Figure 5: Confidence region of the parameter estimates  $\bar{\alpha}$ ,  $\beta$  and  $\kappa$  with  $\pm 5\%$  deviations and corresponding envelopes of PI curves for *D. tertiolecta*.

Calibration of Density and Size Acclimation Laws. Since experimental information is avail-370 able for both the density and size of PSUs at all four acclimation states, values of the 371 acclimation parameters  $\sigma_0$  and  $\kappa$  in the power laws (17)-(18) can be estimated for this data 372 set too. We apply the same linear regression approach and confidence analysis as for S. 373 costatum in Sect. 4.1. The estimates for the parameters  $\sigma_0$  and  $\kappa$  in Table II are obtained 374 by using the estimates for  $\beta$ ,  $\kappa$  and  $\alpha$  in Table IV. Then, confidence in these estimates is 375 assessed by computing the set of all values for  $\sigma_0$  and  $\kappa$  that are consistent with the available 376 measurement of density and size of PSU (within variations of  $\pm 5\%$ ), while simultaneously 377 accounting for the uncertainty in the values of  $\beta$ ,  $\kappa$  and  $\alpha$  (Fig. 5). 378

The resulting confidence region is shown on the left plot in Fig. 6, and the set of corre-

sponding model fits for the experimental data on the right plot. Here, parameter confidence ranges are obtained as  $\sigma_0 \in [0, \infty)$  and  $\gamma \in (-\infty, -3.5]$ . Clearly, the parameter  $\sigma_0$  is not identifiable for this data, which is due to the fact that the size of PSU remains about constant at various acclimation states, and the range for  $\gamma$  is unbounded from below. Nonetheless, the upper bound for  $\gamma$  still allows to confidently conclude that this parameter is indeed negative for *D. tertiolecta*; see Sect. 5 for further discussion.

Table V: Parameter estimates in the density and size acclimation laws (17)-(18) for D. tertiolecta.



Figure 6: Confidence region of the parameter estimates  $\gamma$  and  $\sigma_0$  for the measurement data ranges of PSU size and number (left plot) and corresponding fit envelopes (right plots) for *D. tertiolecta*.

Calibration of Nitrogen-Quota-to-Chlorophyll-Quota Relationship. Since experimental information is available for both the nitrogen quota q and the chlorophyll quota  $\theta$  in all four acclimation states, values of the parameters  $\bar{\psi}$  and  $k_I$  in the nitrogen-quota-to-chlorophyllquota relationship (5)-(6) can be estimated from this data set as well. We apply a similar linear regression approach and confidence analysis as for the density and size acclimation law, noting that the relationships (5)-(6) can be rewritten in the form:

$$\bar{\psi}\frac{q}{\theta} - \frac{1}{k_I}I_{\rm g} = 1\,.$$

thus giving estimates for  $\bar{\psi}$  and  $\frac{1}{k_I}$ , as reported in Table VI. For consistency with the previous 386 PI-curve calibration, we use the estimated values of nitrogen and chlorophyll quotas in 387 Table III to carry out the estimation. The nitrogen-quota-to-chlorophyll-quota predictions 388 (black points) are plotted against the available experimental data (red circles) in Fig. 7; 389 the gray dotted line on this plot is merely an interpolation between the predictions, since 390 nitrogen or chlorophyll quotas are not available at intermediate irradiances. Despite some 391 discrepancies at higher light irradiances, these results confirm the ability of the acclimation 392 model (5)-(6) to capture the general trend of the data. 393

Table VI: Parameter estimates in the nitrogen-quota-to-chlorophyll-quota relationship (5)-(6) for *D. tertiolecta*.

Parameter	Value
$ar{\psi}$	$0.31  \mathrm{g_{chl}  g_N^{-1}}$
$k_I$	$440 \ \mu E m^{-2} s^{-1}$

Finally, confidence in the foregoing parameter estimates is assessed by computing the set 394 of all values for  $\bar{\psi}$  and  $k_I$  that are consistent with the available measurement ranges of the 395 nitrogen quota q and of the chlorophyll quota  $\theta$  in all four acclimation states. The resulting 396 confidence region is shown on the left plot in Fig. 8, providing parameter confidence ranges 397 as  $\bar{\psi} \in [0.2, 0.35]$  and  $k_I \in [400, \infty)$ . The bounds on  $\bar{\psi}$ , although wide, confirm the order 398 of magnitude for this parameter. On the other hand,  $k_I$  can take on arbitrary large values, 399 a result which is best understood from the upper-right plot in Fig. 8, where a horizontal 400 line can indeed be seen to provide a good fit of the data point due to the large uncertainty 401 in the nitrogen-quota measurements. This uncertainty is also reflected in the rather loose 402 model-prediction envelopes on the lower-right plot. 403



Figure 7: Relation between chlorophyll quota and growth irradiance for *D. tertiolecta*. The red circles correspond to measurements by Falkowski and Owens [11] at acclimation states  $L_1$ ,  $L_2$ ,  $L_3$  and  $L_4$ , respectively. The black points are computed from the calibrated nitrogen-quota-to-chlorophyll-quota relationship (5)-(6), interpolated by the gray dotted line.

#### 404 5. Discussion

#### 405 5.1. Model Extensions and Simplifications

The proposed model in Sect. 3 assumes that only the effective cross-section  $\sigma$  in the Han 406 model is affected by the photoacclimation processes. However, other parameters are likely 407 to vary in response to a change in  $\theta$ . In particular, there is strong experimental evidence 408 supporting a variation of the parameter  $\tau$  with the growth irradiance [26, 31]. A more 409 complex model encompassing adaptation of this parameter at the slow time-scale could be 410 considered, for instance by making  $\tau$  a function of  $\theta$ . As well as increasing complexity, 411 this extension would nonetheless introduce extra parameters, while the data available for 412 calibration are still scarce. Closer inspection of the model reveals that  $\sigma$  and  $\tau$  always 413 appear together in (12), in the product terms  $\sigma\tau$  and  $\sigma\tau^2$  (the latter being more important 414 for describing photoinhibition). It is therefore likely that our modeling of  $\sigma$  with respect to 415  $\tau$  indirectly accounts for the variation of  $\tau$ , and that the estimated parameter is effectively 416  $\sigma\tau$ . This hypothesis could however reach its limit in case of strong photoinhibition, as the 417 term  $\sigma \tau^2$  may become the dominant one. 418

It is also important to note that photoacclimation acts at different levels in the proposed



Figure 8: Confidence region of the parameter estimates  $\bar{\psi}$  and  $k_I$  for the measurement data ranges of nitrogen and chlorophyll quotas given in Table III (left plot) and corresponding fit envelopes (right plots) for *D. tertiolecta*.

model. Direct effect of photoacclimation on growth is via the light-dependent term  $\phi$  in 420 (2), multiplying Droop's classical growth rate. In doing so, we preserve the structure of 421 the Droop model and our model inherits many of its properties. However, both terms  $\phi$ 422 and  $(1 - Q_0/q)$  in (2) are increasing functions of the nitrogen quota. It is therefore likely 423 that a simpler model, whereby the term  $(1 - Q_0/q)$  is replaced by a constant, may also 424 be capable of accurate predictions. Such a model would in fact be close to the model in 425 [13], which provides a rather simple description of photosynthesis. It is the authors' opinion 426 however that a more structured model as the one in Sect. 3 is preferable given the amount of 427 mathematical analysis that has been devoted to the Droop model over the past few decades. 428

#### 429 5.2. Accurate Description of Acclimation Strategies and Parameters

The fits obtained by estimating the parameters  $\beta$ ,  $\kappa$  and  $\bar{\alpha}$  (or  $\alpha$ ) in the chlorophyll-430 specific photosynthesis rate (20) are in good agreement with the two data sets by Anning 431 et al. [1] (Fig. 1) and Falkowski and Owens [11] (Fig. 4). Moreover, the resulting parameter 432 estimates are found to be rather reliable in view of the confidence regions (Figs. 2 and 5), 433 despite the presence of a significant correlation between the acclimation parameters  $\beta$  and 434  $\kappa$ . For both data sets, information relative to the density and size of PSUs is also available, 435 which allows estimation of the parameters  $\gamma$  and  $\sigma_0$  as well. Here, the confidence analysis 436 (Figs. 3 and 6) has revealed that  $\sigma_0$  may turn out to be unidentifiable when the density of 437

PSU is mainly unaffected by the chlorophyll quota, yet the range of  $\gamma$  can be more reliably estimated. This provides a means of cross-checking the main acclimation mechanism at play, namely the n-strategy versus the s-strategy:

• For S. costatum [1], the estimated value and confidence range of  $\kappa$  suggest that the 441 effective cross-section  $\sigma$  is an increasing function of  $\theta$  per (16), although the rate of 442 increase  $\sigma'$  is slowing down with  $\theta$  (concave shape);  $\sigma$  is therefore also a decreasing 443 function of the acclimation light  $I_{\rm g}$ . Because of the low small, positive  $\gamma$  value, the 444 average size of PSU is fast increasing with  $\theta$ , while the density of PSU is fast decreas-445 ing. This behavior can thus be interpreted as a mixed n-s acclimation strategy, with 446 predominance of the s-type acclimation, in agreement with Falkowski and Owens [11]. 447 Also worth noting is the fact that the effective cross-section decreases much less rapidly 448 with the acclimation light than the average PSU size, suggesting a reduced packaging 449 effect, possibly due to the relatively small size of this species [24]. 450

• For D. tertiolecta [11], the estimated value and confidence range of  $\kappa$  suggest that  $\sigma$  is 451 increasing with  $\theta$ , but the rate of increase  $\sigma'$  is itself increasing (convex). The average 452 size of PSU remains about constant with  $\theta$ , while the density of PSU is fast increasing 453 with  $\theta$ —or, equivalently, fast decreasing with the acclimation light  $I_{\rm g}$ . According 454 to this analysis D. tertiolecta would preferentially follow the n-strategy, which is in 455 agreement with [11]. The fact that the effective cross-section is fast decreasing with 456 the acclimation light, while the average size of PSU is about constant, suggests a 457 strategy combining packaging effect and synthesis of accessory pigments in order to 458 protect the cells from high irradiance [30]. 459

In sum, the model represents these two different behaviors, illustrating well its potential to distinguish between competing acclimation strategies for their light harvesting capacity at various irradiance levels. The fundamental differences between such strategies can in fact be related to the ecological niches occupied by both species [11]: *D. tertiolecta* is primarily found in shallow waters at low latitudes, and must therefore deal with high light. *S. costatum* lives in deeper, cooler waters and has to deal with low light intensity.

Regarding the photoacclimation kinetics, the fits obtained by estimating the parameters  $\psi$ 466 and  $k_I$  in the nitrogen-quota-to-chlorophyll-quota relationship (5)-(6) show a good agreement 467 with the data sets by Falkowski and Owens [11] (Fig. 7). It is worth mentioning here that the 468 estimated values of  $k_I$  and  $\bar{\psi}$  (Table IV) are consistent with those reported in previous work 469 [e.g., 2]. Nonetheless, a more careful confidence analysis (Fig. 8) reveals that the nitrogen-470 quota measurements carry too much uncertainty to determine reliable estimates, especially 471 for the parameter  $k_I$  whose confidence range happens to be unbounded. These calibration 472 results, although promising, clearly delineate the need for more accurate and richer data sets 473 in order to fully validate the proposed model. 474

#### 475 5.3. Can the Dynamic Model Predict the Data of Neidhardt et al. [25]?

In this subsection, we consider another set of experimental data from Neidhardt et al. [25] for the microalgae *Dunaliella salina*. They comprise two acclimation states at different growth irradiances of 50  $\mu$ E m<sup>-2</sup> s<sup>-1</sup> (LL) and 2000–2500  $\mu$ E m<sup>-2</sup> s<sup>-1</sup> (HL). Estimation of primary production is via the O<sub>2</sub> production rate by exposing the pre-acclimated microalgae to a sequence of increasing light irradiances between 4.7 and 4900  $\mu$ E m<sup>-2</sup> s<sup>-1</sup>, during 150 s at each irradiance level. Moreover, neither the nitrogen quotas nor the chlorophyll quotas are reported.

As seen from Fig. 9, the initial slopes of the PI-response curves for cultures pre-acclimated 483 at LL and HL differ greatly, which is in apparent contradiction with the constant initial slope 484 assumption discussed in Sect. 3.2. Also reported on this figure (solid lines) are the results 485 of a preliminary calibration showing that such a variation in initial slope can nonetheless 486 be predicted accurately by the proposed model. More specifically, we simulated the experi-487 mental protocol in [25], to more accurately account for the actual repair dynamics. In this 488 context, it is not assumed that a quasi steady state is reached for C. The calibration pro-489 cedure was carried out on this basis. It is important to do so here, because each stage of 490 the PI-response protocol (150 s) may be too short for the dynamics of PSU inhibition to 491 fully equilibrate, especially for a larger chlorophyll quota (LL pre-acclimated state). The 492 initial slope expression is given by (10), with A = 1 - C. When microalgae are acclimated 493

at a high growth irradiance, A is smaller than one, even at very low light intensities, since a fraction of C is still not fully repaired. The lower slope is thus an index of the fraction of damaged PSU. The simulations on Fig. 9 show that, respecting the exact experimental protocol, this behavior can be reproduced by the model. These results illustrate the capability of the proposed model to describe complex couplings between photoinhibition kinetics and photoacclimation.



Figure 9: Dependency between growth rate and acclimation for D. salina [25]: blue crosses: experimental data for LL acclimation; blue curve: model simulations for LL acclimation; red crosses: experimental data for HL acclimation; red curve: model simulation for HL acclimation.

#### 500 6. Conclusions and Future Directions

The dynamic model presented in this paper couples photosynthetic processes that act 501 on different time scales. Photosynthetic production and inhibition act on fast time scales of 502 seconds to minutes, while the dynamics of intracellular nitrogen and chlorophyll contents are 503 bound to slow time scales of hours or days. Our model builds upon the well-accepted Droop 504 model describing nitrogen utilization and microalgae growth, together with the Han model 505 describing photoproduction and photoinhibition in terms of PSU states, thereby inheriting 506 their respective properties. The main novelty lies in the use of the chlorophyll quota to 507 relate both the acclimation and growth processes with the states of the PSUs. Combined 508

with previous (validated) models describing the dynamics of the PSUs (Han model), nitrogen content (Droop model), and chlorophyll content (Geider et al. [15], Bernard [2]), our model is the first of its kind to link photoinhibition, photoacclimation and nutrient-limited growth all together.

Preliminary calibrations and confidence analyses based on PI response data from the 513 literature give encouraging results. By making the link among different PI curves, while 514 preserving a simple structure, the proposed model can serve as a tool for hypothesis testing. 515 Particularly insightful in this context is the ability to distinguish between the s-strategy 516 and the n-strategy of PSU acclimation, which sheds light on the mechanisms that underly 517 photoacclimation in various microalgae species. In order to further discriminate between the 518 n-strategy and s-strategy, more experimental data would be needed nonetheless, especially 519 data covering a wider range of acclimation states and other species. Measuring a larger 520 set of physiological variables, such as the effective cross-section [20], would also be helpful. 521 Another valuable insight from the proposed model has been that the experimental protocols 522 used for producing PI response curves may not allow enough time at each irradiance level for 523 the photoinhibition dynamics to fully develop. In practice, this may lead to overestimating 524 the actual rate of photosynthesis and could explain the variations in initial slopes that are 525 observed between PI response curves at different acclimation states in some experimental 526 studies. Finally, a mathematical analysis of the proposed model could provide valuable 527 insight into the inherent trade-offs and eventually help to identify strategies for enhancing 528 microalgae productivity in large-scale industrial systems. 529

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s inorganic nitrogen concentration $g_N m^{-3}$	
$s_{in}$ inorganic nitrogen inlet concentration $g_N m^{-3}$	
x biomass concentration $g_{\rm C}  {\rm m}^{-3}$	
c chlorophyll-a concentration $g_{chl} m^{-3}$	
q carbon-specific nitrogen quota $g_N g_C^{-1}$	
$Q_0$ minimal carbon-specific nitrogen quota $g_N g_C^{-1}$	
$Q_l$ nitrogen uptake limit of carbon-specific nitrogen quota $g_N g_C^{-1}$	
$Q_{max}$ maximal carbon-specific nitrogen quota $g_N g_C^{-1}$	
$\psi$ nitrogen-specific chlorophyll quota $g_{chl} g_N^{-1}$	
$\bar{\psi}$ maximal nitrogen-specific chlorophyll quota $g_{chl} g_N^{-1}$	
$\theta$ carbon-specific chlorophyll quota $g_{chl} g_{C}^{-1}$	
D dilution rate $s^{-1}$	
$\mu$ biomass growth rate s <sup>-1</sup>	
$\bar{\mu}$ biomass growth rate under nutrient-replete conditions s <sup>-1</sup>	
$\mu_{chl}, \mu_{chl}^{PI}$ chlorophyll-specific photosynthesis rate $g_{C}g_{chl}^{-1}s$	$s^{-1}$
$\mu_{\rm chl}^{\rm PI_{\star}}$ optimal chlorophyll-specific photosynthesis rate $g_{\rm C} g_{\rm chl}^{-1}$	$3^{-1}$
R biomass respiration rate $s^{-1}$	
$\rho$ inorganic nitrogen uptake rate $g_N g_C^{-1} s^2$	-1
$\bar{\rho}$ inorganic nitrogen maximal uptake rate $g_N g_C^{-1} s^{-1}$	-1
$k_s$ half-saturation constant for inorganic nitrogen uptake rate $g_N m^{-3}$	
$\phi$ light-dependent growth term –	
$k_{\rm I}$ saturation parameter of the nitrogen-specific chlorophyll-a quota $\mu {\rm E} {\rm m}^{-2} {\rm s}^{-1}$	-1
Ig growth irradiance $\mu E m^{-2} s^{-1}$	-1
I instantaneous light intensity $\mu E m^{-2} s^{-1}$	-1
I* optimal acclimation irradiance $\mu E m^{-2} s^{-1}$	-1
$\delta$ photoacclimation time constant –	
A fraction of photosynthetic units in open state –	
$A^{\infty}$ steady-state fraction of photosynthetic units in open state –	
B fraction of photosynthetic units in closed state –	
C fraction of photosynthetic units in inhibited state –	
$\sigma$ effective cross-section of a photosynthetic unit $m^2 \mu E^{-1}$	
au turnover time of a photosynthetic unit s	
k <sub>d</sub> damage constant of a photosynthetic unit –	
$k_r$ repair constant of a photosynthetic unit $s^{-1}$	
K ratio of damage to repair constants s	
$\alpha, \bar{\alpha}$ initial slope of the photosynthesis-irradiance response curve $g_C g_{chl}^{-1} \mu E$	$^{-1} {\rm m}^2$
$\Gamma$ size of a photosynthetic unit $g_{chl} PSU^-$	1
N number of photosynthetic units $PSU g_C^{-1}$	
$\gamma$ exponent of photosynthetic unit size equation –	
$\sigma_0$ pre-exponential factor of photosynthetic unit size equation $\mu E^{-1} m^2 g$	$\sum_{n=1}^{-\gamma} PSU^{\gamma}$
$\kappa$ exponent of effective cross-section equation –	·CIII
$\beta$ pre-exponential factor of effective cross-section equation $\mu E^{-1} m^2 c$	$1/\kappa \ \sigma^{-1/\kappa}$
PSI photosystem I	ochi SC
PSU photosynthetic unit	
PI photosynthesis-irradiance	

#### Table VII: List of symbols and acronyms.