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► To cite this version:

David Baelde, Amina Doumane, Alexis Saurin. Infinitary proof theory: the multiplicative additive case . 2016. hal-01339037

HAL Id: hal-01339037 https://hal.archives-ouvertes.fr/hal-01339037

Preprint submitted on 29 Jun 2016

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Infinitary proof theory :

the multiplicative additive case

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Abstract -

Infinitary and regular proofs are commonly used in fixed point logics. Being natural intermediate 8 devices between semantics and traditional finitary proof systems, they are commonly found in completeness arguments, automated deduction, verification, etc. However, their proof theory 10 is surprisingly underdeveloped. In particular, very little is known about the computational 11 behavior of such proofs through cut elimination. Taking such aspects into account has unlocked 12 rich developments at the intersection of proof theory and programming language theory. One 13 would hope that extending this to infinitary calculi would lead, e.g., to a better understanding of 14 recursion and corecursion in programming languages. Structural proof theory is notably based 15 on two fundamental properties of a proof system: cut elimination and focalization. The first 16 one is only known to hold for restricted (purely additive) infinitary calculi, thanks to the work 17 of Santocanale and Fortier; the second one has never been studied in infinitary systems. In 18 this paper, we consider the infinitary proof system $\mu MALL^{\infty}$ for multiplicative and additive 19 linear logic extended with least and greatest fixed points, and prove these two key results. We 20 thus establish $\mu MALL^{\infty}$ as a satisfying computational proof system in itself, rather than just an 21 intermediate device in the study of finitary proof systems. 22

1 Introduction 23

Proof systems based on non-well-founded derivation trees arise naturally in logic, even more 24 so in logics featuring fixed points. A prominent example is the long line of work on tableaux 25 systems for modal μ -calculi, e.g., [16, 24, 14, 11], which have served as the basis for analysing 26 the complexity of the satisfiability problem, as well as devising practical algorithms for solving 27 it. One key observation in such a setting, and many others, is that one needs not consider 28 arbitrary infinite derivations but can restrict to regular derivation trees (also known as circular 29 proofs) which are finitely representable and amenable to algorithmic manipulation. Because 30 infinitary systems are easier to work with than the finitary proof systems (or axiomatizations) 31 based on Kozen-Park (co)induction schemes, they are often found in completeness arguments 32 for such finitary systems [16, 27, 28, 29, 15, 12]. We should note, however, that those 33 arguments are far from being limited to translations from (regular) infinitary to finitary 34 proofs, since such translations are very complex and only known to work in limited cases. 35 There are many other uses of infinite (or regular) derivations, e.g., to study the relationship 36 between induction and infinite descent in first-order arithmetic [8], to generate invariants for 37 program verification in separation logic [7], or as an intermediate between ludics' designs 38 and proofs in linear logic with fixed points [5]. Last but not least, Santocanale introduced 39 circular proofs [22] as a system for representing morphisms in μ -bicomplete categories [21, 23], 40 corresponding to simple computations on (co)inductive data. 41

Surprisingly, despite the elegance and usefulness of infinitary proof systems, few proof 42 theoretical studies are directly targetting these objects. More precisely, we are concerned 43 with an analysis of proofs that takes into account their computational behaviour in terms 44



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Leibniz International Proceedings in Informatics

LIPICS Schloss Dagstuhl – Leibniz-Zentrum für Informatik, Dagstuhl Publishing, Germany

of cut elimination. In other words, we would hope that the Curry-Howard correspondence 45 extends nicely to infinitary proofs. In this line of proof-theoretical study, two main properties 46 stand out: cut elimination and focalization; we shall see that they have been barely addressed 47 in infinitary proof systems. The idea of cut elimination is as old as sequent calculus, and at 48 the heart of the proof-as-program viewpoint, where the process of eliminating cuts (indirect 49 reasoning) in proofs is seen as computation. Considering logics with least and greatest 50 fixed points, the computational behavior of induction and coinduction is recursion and 51 corecursion, two important and complex programming principles that would deserve a logical 52 understanding. Note that the many completeness results for infinitary proof systems (e.g., 53 for modal μ -calculi) only imply cut admissibility, but say nothing about the computational 54 process of cut elimination. To our knowledge, leaving aside an early and very restrictive result 55 of Santocanale [22], cut elimination has only been studied by Fortier and Santocanale [13] 56 who considered an infinitary sequent calculus for lattice logic (purely additive linear logic with 57 least and greatest fixed points) and showed that certain cut reductions converge to a limit 58 cut-free derivation. Their proof involves a mix of combinatorial and topological arguments. 59 So far, it has resisted attempts to extend it beyond the purely additive case. The second key 60 property, much more recently identified than cut elimination, is focalization. It has appeared 61 in the work of [3] on proof search and logic programming in linear logic, and is now recognized 62 as one of the deep outcomes of linear logic, putting to the foreground the role of *polarity* 63 in logic. In a way, focalization generalizes the invertibility results that are notably behind 64 most deductive systems for classical μ -calculi, by bringing some key observations about 65 non-invertible connectives. Besides its deep impact on proof search and logical frameworks, 66 focalization resulted in important advances in all aspects of computational proof theory: 67 in the game-semantical analysis of logic [17, 19], the understanding of evaluation order of 68 programming languages, CPS translations, or semantics of pattern matching [10, 30], the 69 space compression in computational complexity [26, 6], etc. Briefly, one can say that while 70 proof nets have led to a better understanding of phenomena related to parallelism with 71 proof-theoretical methods, polarities and focalization have led to a fine-grained understanding 72 of sequentiality in proofs and programs. To the best of our knowledge, while reversibility 73 has since long been a key-ingredient in completeness arguments based on infinitary proof 74 systems, focalization has simply never been studied in such settings. 75

Organization and contributions of the paper. In this paper, we consider the logic μ MALL, that 76 is multiplicative additive linear logic extended with least and greatest fixed point operators. 77 It has been studied in finitary sequent calculus [4]: it notably enjoys cut elimination, and 78 focalization has been shown to extend nicely (though not obviously) to it. We give in 79 Section 2 a natural infinitary proof system for μ MALL, called μ MALL^{∞}, which notably 80 extends that of Santocanale and Fortier [13]. The system μ MALL^{∞} is also related to μ MALL 81 in the sense that any μ MALL derivation can be turned into a μ MALL^{∞} proof, with cuts. 82 We study the focalization of $\mu MALL^{\infty}$ in Section 3. We find out that, even though fixed 83 point polarities are not forced in the finitary sequent calculus for $\mu MALL$, they are uniquely 84 determined in μ MALL^{∞}. Despite some novel aspects due to the infinitary nature of our 85 calculus, we are able to re-use the generic focalization graph argument [20] to prove that 86 focalized proofs are complete. We then turn to cut elimination in Section 4 and show that 87 (fair) cut reductions converge to an infinitary cut free derivation. We could not apply any 88 standard cut elimination technique (e.g., induction on formulas and proofs, reducibility 89 arguments, topological arguments as in [13]) and propose instead an unusual argument in 90 which a coarse truth semantics is used to show that the cut elimination process cannot go 91 wrong. We also note here that, even for the regular fragment of $\mu MALL^{\infty}$, it would be 92

⁹³ highly non-trivial to obtain cut elimination from the result for μ MALL, since it is not known ⁹⁴ whether regular μ MALL^{∞} derivations can be translated to μ MALL derivations (even without ⁹⁵ requiring that this translation preserves the computational behaviour of proofs). We conclude ⁹⁶ in Section 5 with directions for future work. Appendices provide technical details, proofs, ⁹⁷ and additional background material.

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μ MALL and its infinitary proof system μ MALL $^{\infty}$

⁹⁹ In this section we introduce multiplicative additive linear logic extended with least and ¹⁰⁰ greatest fixed point operators, and an infinitary proof system for it.

▶ **Definition 1.** Given an infinite set of propositional variables $\mathcal{V} = \{X, Y, ...\}, \mu \mathsf{MALL}^{\infty}$ *pre-formulas* are built over the following syntax:

¹⁰³ $\varphi, \psi ::= \mathbf{0} \mid \top \mid \varphi \oplus \psi \mid \varphi \otimes \psi \mid \bot \mid \mathbf{1} \mid \varphi \otimes \psi \mid \varphi \otimes \psi \mid \mu X.\varphi \mid \nu X.\varphi \mid X$ with $X \in \mathcal{V}$. ¹⁰⁴ The connectives μ and ν bind the variable X in φ . From there, bound variables, free variables ¹⁰⁵ and capture-avoiding substitution are defined in a standard way. The subformula ordering is ¹⁰⁶ denoted \leq and fv(\bullet) denotes free variables. Closed pre-formulas are simply called *formulas*. ¹⁰⁷ Note that negation is not part of the syntax, so that we do not need any positivity condition ¹⁰⁸ on fixed point expressions.

¹⁰⁹ ► **Definition 2.** Negation is the involution on pre-formulas written φ^{\perp} and satisfying ¹⁰⁹ $(\varphi \otimes \psi)^{\perp} = \psi^{\perp} \otimes \varphi^{\perp}, \ (\varphi \oplus \psi)^{\perp} = \psi^{\perp} \otimes \varphi^{\perp}, \ \perp^{\perp} = \mathbf{1}, \ \mathbf{0}^{\perp} = \top, \ (\nu X.\varphi)^{\perp} = \mu X.\varphi^{\perp}, \ X^{\perp} = X.$

Having $X^{\perp} = X$ might be surprising, but it is harmless since our proof system will 111 only deal with closed pre-formulas. Our definition yields, e.g., $(\mu X.X)^{\perp} = (\nu X.X)$ and 112 $(\mu X.\mathbf{1} \oplus X)^{\perp} = (\nu X.X \otimes \perp)$, as expected [4]. Note that we also have $(\varphi[\psi/X])^{\perp} = \varphi^{\perp}[\psi^{\perp}/X]$. 113 Sequent calculi are sometimes presented with sequents as sets or multisets of formulas, but 114 most proof theoretical observations actually hold in a stronger setting where one distinguishes 115 between several occurrences of a formula in a sequent, which gives the ability to precisely trace 116 the provenance of each occurrence. This more precise viewpoint is necessary, in particular, 117 when one views proofs as programs. In this work, due to the nature of our proof system and 118 because of the operations that we perform on proofs and formulas, it is also crucial to work 119 with occurrences. There are several ways to formally treat occurrences; for the sake of clarity, 120 we provide below a concrete presentation of that notion which is well suited for our needs. 121

Definition 3. An *address* is a word over $\Sigma = \{l, r, i\}$, which stands for left, right and inside. We define a *duality* over Σ^* as the morphism satisfying $l^{\perp} = r$, $r^{\perp} = l$ and $i^{\perp} = i$. We say that α' is a *sub-address* of α when α is a prefix of α' , written $\alpha \sqsubseteq \alpha'$. We say that α and β are *disjoint* when α and β have no upper bound wrt. \sqsubseteq .

 \blacktriangleright Definition 4. A *(pre)formula occurrence* (denoted by F, G, H) is given by a (pre)formula 126 φ and an address α , and written φ_{α} . We say that occurrences are **disjoint** when their 127 addresses are. The occurrences φ_{α} and ψ_{β} are *structurally equivalent*, written $\varphi_{\alpha} \equiv \psi_{\beta}$, 128 if $\varphi = \psi$. Operations on formulas are extended to occurrences as follows: $(\varphi_{\alpha})^{\perp} = (\varphi^{\perp})_{\alpha^{\perp}}$; 129 for any $\star \in \{ \otimes, \otimes, \oplus, \otimes \}$, $F \star G = (\varphi \star \psi)_{\alpha}$ if $F = \varphi_{\alpha l}$ and $G = \psi_{\alpha r}$; for any $\sigma \in \{\mu, \nu\}$, 130 $\sigma X.F = (\sigma X.\varphi)_{\alpha}$ if $F = \varphi_{\alpha i}$; we also allow ourselves to write units as formula occurrences 131 without specifying their address, which can be chosen arbitrarily. Finally, substitution of 132 occurrences forgets addresses: $(\varphi_{\alpha})[\psi_{\beta}/X] = (\varphi[\psi/X])_{\alpha}$. 133

¹³⁴ ► **Example.** Let $F = \varphi_{\alpha l}$ and $G = \psi_{\alpha r}$. We have, on the one hand, $(F \otimes G)^{\perp} = ((\varphi \otimes \psi)_{\alpha})^{\perp} =$ ¹³⁵ $(\psi^{\perp} \otimes \varphi^{\perp})_{\alpha^{\perp}}$ and, on the other hand, $G^{\perp} \otimes F^{\perp} = (\psi^{\perp})_{\alpha^{\perp} l} \otimes (\varphi^{\perp})_{\alpha^{\perp} r} = (\psi^{\perp} \otimes \varphi^{\perp})_{\alpha^{\perp}}$. Thus,

$$\begin{array}{cccc} \displaystyle \frac{\vdash F, \Gamma & \vdash G, \Gamma}{\vdash F \otimes G, \Gamma} (\&) & \displaystyle \frac{\vdash F, G, \Gamma}{\vdash F \otimes G, \Gamma} (\boxtimes) & \displaystyle \frac{\vdash F_i, \Gamma}{\vdash F_1 \oplus F_2, \Gamma} (\oplus_i) & \displaystyle \frac{\vdash F, \Gamma & \vdash G, \Delta}{\vdash F \otimes G, \Gamma, \Delta} (\otimes) \\ \\ \hline \\ \displaystyle \overline{\vdash \top, \Gamma} (^{(\top)} & \displaystyle \frac{\vdash \Gamma}{\vdash \bot, \Gamma} (\bot) & (\text{no rule for } \mathbf{0}) & \hline \\ \hline \\ \displaystyle \frac{\vdash F[\mu X.F/X], \Gamma}{\vdash \mu X.F, \Gamma} (\mu) & \displaystyle \frac{\vdash G[\nu X.G/X], \Gamma}{\vdash \nu X.G, \Gamma} (\nu) & \displaystyle \frac{F \equiv G}{\vdash F, G^{\perp}} (Ax) & \displaystyle \frac{\vdash \Gamma, F & \vdash F^{\perp}, \Delta}{\vdash \Gamma, \Delta} (\text{Cut}) \end{array}$$

Figure 1 Rules of the proof system μ MALL^{∞}.

($F \otimes G$)^{\perp} = $G^{\perp} \otimes F^{\perp}$. We could have designed our system to obtain ($F \otimes G$)^{\perp} = $F^{\perp} \otimes G^{\perp}$ instead; this choice is inessential for the present work but makes our definitions suitable, in principle, for a treatment of non-commutative logic.

▶ Definition 5. The *Fischer-Ladner closure* of a formula occurrence F, denoted by FL(F), is the least set of formula occurrences such that $F \in FL(F)$ and, whenever $G \in FL(F)$, $G_1, G_2 \in FL(F)$ if $G = G_1 \star G_2$ for any $\star \in \{\oplus, \&, \heartsuit, \oslash\}$;

$$= B[G/X] \in FL(F) \text{ if } G = \sigma X.B \text{ for } \sigma \in \{\nu, \mu\}.$$

We say that G is a *sub-occurrence* of F if $G \in FL(F)$. Note that, for any F and α , there is at most one φ such that φ_{α} is a sub-occurrence of F.

We are now ready to introduce our infinitary sequent calculus. Details regarding formula occurrences can be ignored at first read, and will only make full sense when one starts permuting inferences and eliminating cuts.

▶ **Definition 6.** A *sequent*, written $\vdash \Gamma$, is a finite set of pairwise disjoint, closed formula occurrences. A *pre-proof* of μ MALL[∞] is a possibly infinite tree, coinductively generated by the rules of Figure 1, subject to the following conditions: any two formulas occurrences appearing in different branches must be disjoint except if the branches first differ right after a (&) inference; if φ_{α} and $\psi_{\alpha^{\perp}}$ occur in a pre-proof, they must be the respective sub-occurrences of the formula occurrences *F* and *F*[⊥] introduced by a (Cut) rule.

The disjointness condition on sequents ensures that two formula occurrences from the 154 same sequent will never engender a common sub-occurrence, *i.e.*, we can define traces uniquely. 155 The disjointness condition on pre-proofs is there to ensure that the proof transformations 156 157 used in focusing and cut elimination preserve the disjointness condition on sequents. Note that these conditions are not restrictive. Clearly, the condition on sequents never prevents 158 the (backwards) application of a propositional rule. Moreover, there is an infinite supply of 159 disjoint addresses, e.g., $\{r^n l : n > 0\}$. One may thus pick addresses from that supply for 160 the conclusion sequent of the derivation, and then carry the remaining supply along proof 161 branches, splitting it on branching rules, and consuming a new address for cut rules. 162

Pre-proofs are obviously unsound: the pre-proof schema shown
on the right allows to derive any formula. In order to obtain proper
proofs from pre-proofs, we will add a validity condition. This
condition will reflect the nature of our two fixed point connectives.

 $\begin{array}{c} \vdots \\ \vdash \mu X.X \end{array} \stackrel{(\mu)}{} \quad \begin{array}{c} \vdots \\ \vdash \nu X.X,F \end{array}$ - (Cut) $\vdash F$

Definition 7. Let $\gamma = (s_i)_{i \in \omega}$ be an infinite branch in a pre-proof of μ MALL^{∞}. A *thread t* in γ is a sequence of formula occurrences $(F_i)_{i \in \omega}$ with $F_i \in s_i$ and $F_i \sqsubseteq F_{i+1}$. The set of formulas that occur infinitely often in $(F_i)_{i \in \omega}$ (when forgetting addresses) admits a minimum

wrt. the subformula ordering, denoted by $\min(t)$. A thread t is **valid** if $\min(t)$ is a ν formula and the thread is not eventually constant, *i.e.*, the formulas F_i are always eventually principal.

Definition 8. The *proofs* of μ MALL^{∞} are those pre-proofs in which every infinite branch contains a valid thread.

This validity condition has its roots in parity games and is very natural for infinitary proof systems with fixed points. It is somehow independent of the ambiant logic, and only deals with fixed points. It is commonly found in deductive systems for modal μ -calculi: see [11] for a closely related presentation, which yields a sound and complete sequent calculus for linear time μ -calculus. The validity conditions of Santocanale's circular proofs [22, 13], with and without cut, are also instances of the above notion.

In the rest of the paper, we work mostly with formula occurrences and will often simply call them formulas when it is not ambiguous. As usual in sequent calculus, (Ax) on a formula F can be expanded into axioms on its immediate subformulas. Repeating this process, one obtains an axiom-free and valid proof of the original sequent. In fact, this construction yields a *regular* derivation tree, the simplest kind of finitely representable infinite derivation.

Proposition 9. Rule (Ax) is admissible in μ MALL^{∞}.

This basic observation, proved in appendix A, justifies that the (A_X) rule will be ignored in the rest of the paper. In particular, we consider that axioms are expanded away before dealing with cut elimination. Our system $\mu MALL^{\infty}$ is naturally equipped with the cut elimination rules of MALL, extended with the obvious principal and auxiliary rules for fixed point connectives (we do not show symmetric cases):

$$\begin{array}{c} \displaystyle \frac{\vdash \Gamma, F[\mu X.F/X]}{\vdash \Gamma, \mu X.F} (\mu) & \displaystyle \frac{\vdash F^{\perp}[\nu X.F^{\perp}/X], \Delta}{\vdash \nu X.F^{\perp}, \Delta} (\nu) \\ \displaystyle \frac{\vdash \Gamma, F[\mu X.F/X]}{\vdash \Gamma, \Delta} (\mu) \\ \displaystyle \frac{\vdash \Gamma, F[\mu X.F/X] (\mu) + F^{\perp}[\nu X.F^{\perp}/X], \Delta}{\vdash \Gamma, \Delta} (\mu) \end{array} (\mu) \\ \end{array}$$

Natural numbers may be expressed as $\varphi_{nat} := \mu X. \mathbf{1} \oplus X$. Occurrences of that formula will be denoted N, N', etc. We give below a few examples of proofs/computations on natural numbers, shown using two sided sequents for clarity: $F_1, \ldots, F_n \vdash \Gamma$ should be read as $N \vdash N'' \qquad (\oplus 2)$

¹⁹⁰ $\vdash \Gamma, F_1^{\perp}, \ldots, F_n^{\perp}$ as usual. The proof π_{succ} , shown on the right, computes the successor on ¹⁹¹ natural numbers: if we cut it against a (necessarily finite) cut-free proof of N we obtain after ¹⁹² a finite number of cut elimination steps a proof of N' which is the right injection (rule (μ) ¹⁹³ followed by (\oplus_2), which represents the successor) of the original proof of N, relocated at the ¹⁹⁴ address of N''. Consider now the following pre-proof, called π_{dup} :

$$\frac{\frac{1}{\vdash N_{1}} \stackrel{(\mu),(\oplus_{1}),(\mathbf{1})}{(\perp),(\oplus_{1}),(\mathbf{1})} \quad \frac{(\mu),(\oplus_{1}),(\mathbf{1})}{(\perp),(\otimes)} \quad \frac{\frac{(\star)}{N'\vdash N_{1}'\otimes N_{2}'} \quad \frac{\pi_{\text{succ}}}{N_{1}'\otimes N_{2}'\vdash N_{1}\otimes N_{2}}}{N'\vdash N_{1}\otimes N_{2}} \stackrel{(\aleph),(\otimes)}{(\mathsf{Cut})}{(\mathsf{Cut})}$$

¹⁹⁵ Here, (\star) represents the cyclic repetition of the same proof, on a structurally equivalent ¹⁹⁶ sequent (same formulas, new adresses). The resulting pre-proof has exactly one infinite

¹⁹⁷ branch, validated by the thread starting with N. If we cut that proof against an arbitrary ¹⁹⁸ cut-free proof of N, and perform cut elimination steps, we obtain in finite time a cut-free ¹⁹⁹ proof of $N_1 \otimes N_2$ which consists of two copies (up-to adresses) of the original proof of N.

 $N \mid$

- Now let $\varphi_{\mathsf{stream}} = \nu X . \varphi_{\mathsf{nat}} \otimes X$
- ²⁰¹ be the formula representing in-
- 202 finite streams of natural num-
- 203 bers, whose occurrences will be
- 204 denoted by S, S', etc. Let us
- $_{\rm 205}$ $\,$ consider the derivation shown
- 206 on the right, where F is an ar-
- 207 bitrary, useless formula occur-
- ²⁰⁸ rence for illustrative purposes.

$$\frac{\pi_{\text{dup}}}{\frac{\pi_{\text{dup}}}{-N_{1}\otimes N_{2}}} \quad \frac{\frac{\overline{N_{1} \vdash N'}}{N_{2} \vdash N'}}{\frac{N_{1}, N_{2}, F \vdash N' \otimes S'}{N_{1} \otimes N_{2}, F \vdash N' \otimes S'}}{\frac{N, F \vdash N' \otimes S'}{N_{1} \otimes N_{2}, F \vdash N' \otimes S'}} \quad (\text{Cut})$$

$$\frac{\frac{N, F \vdash N' \otimes S'}{(\star) \quad N, F \vdash S}}{(\star) \quad N, F \vdash S}$$

It is a valid proof thanks to the thread on S. By cut elimination, the computational behaviour 209 of that proof is to take a natural number n, and some irrelevant f, and compute the stream 210 $n :: (n+1) :: (n+2) :: \dots$ However, unlike in the two previous examples, the result of the 211 computation is not obtained in finite time; instead, we are faced with a productive process 212 which will produce any finite prefix of the stream when given enough time. The presence of 213 the useless formula F illustrates here that weakening may be admissible in $\mu MALL^{\infty}$ under 214 some circumstances, and that cutting against some formulas (F in this case) will form a 215 redex that will be delayed forever. These subtleties will show up in the next two sections, 216 devoted to showing our two main results. 217

218 **3** Focalization

228

Focalization in linear logic. MALL connectives can be split in two classes: positive $(\otimes, \oplus, \mathbf{0}, \mathbf{1})$ 219 and *negative* $(\mathfrak{B}, \mathfrak{A}, \mathsf{T}, \bot)$ connectives. The distinction can be easily understood in terms 220 of proof search: negative inferences $(\aleph), (\&), (\top)$ and (\bot) are reversible (meaning that 221 provability of the conclusion transfers to the premisses) while positive inferences require 222 choices (splitting the context in (\otimes) or choosing between (\oplus_1) and (\oplus_2) rules) resulting in a 223 possible of loss of provability. Still, positive inferences satisfy the *focalization* property [3]: 224 in any provable sequent containing no negative formula, some formula can be chosen as a 225 *focus*, hereditarily selecting its positive subformulas as principal formulas until a negative 226 subformula is reached. It induces the following complete proof search strategy: 227

28	Sequent Γ contains a negative formula	Sequent Γ contains no negative formula
	Choose any negative formula (e.g. the leftmost one) and decompose it using	Choose some positive formula and decompose it (and its subformulas) hereditarily until
	the only possible negative rule.	we get to atoms or negative subformulas.

Focalization graphs. Focused proofs are complete for proofs, not only provability: any linear 229 proof is equivalent to a focused proof, up to cut-elimination. Indeed, focalization can be 230 proved by means of proof transformations [18, 20, 6] preserving the denotation of the proof. 231 A flexible, modular method for proving focalization that we shall apply in the next sections 232 has been introduced by Miller and the third author [20] and relies on *focalization graphs*. 233 The heart of the focalization graph proof technique relies on the fact the positive inference, 234 while not reversible, all permute with each other. As a consequence, if the positive layer of 235 some positive formula is completely decomposed within the lowest part of the proof, below 236 any negative inference, then it can be taken as a focus. Focalization graphs ensure that it is 237 always possible: their acyclicity provides a source which can be taken as a focus. 238

Focusing infinitary proofs. The infinitary nature of our proofs interferes with focalization 239 in several ways. First, while in μ MALL μ and ν can be set to have an arbitrary polarity, 240 we will see that in $\mu MALL^{\infty}$, ν must be negative. Second, permutation properties of the 241 negative inferences, which can be treated locally in μ MALL, now require a global treatment 242 due to infinite branches. Last, focalization graphs strongly rely on the finiteness of maximal 243 positive subtrees of a proof: this invariant must be preserved in $\mu MALL^{\infty}$. 244

For simplicity reasons, we restrict our attention to cut-free proofs in the rest of this 245 section. The result holds for proofs with cuts thanks to the usual trick of viewing cuts as \otimes . 246

3.1 Polarity of connectives 247

Let us first consider the question of polarizing $\mu MALL^{\infty}$ connectives. Unlike in $\mu MALL$, we 248 are not free to set the polarity of fixed points formulas: consider the proof π of sequent 249 $\vdash \mu X.X, \nu Y.Y$ which alternates inferences (ν) and (μ) . Assigning opposite polarities to 250 dual formulas (an invariant necessary to define properly cut-elimination in focused proof 251 systems), this sequent contains a negative formula; each polarization of fixed points induces 252 one focused pre-proof, either π_{μ} which always unrolls μ or π_{ν} which repeatedly unrolls ν . 253 Only π_{ν} happens to be valid, leaving but one possible choice, $\nu X.F$ negative and $\mu X.F$ 254 positive, resulting in the following polarization: 255

▶ Definition 10. Negative formulas are formulas of the form $\nu X.F$, $F \otimes G$, $F \otimes G$, \bot and 256 \top , positive formulas are formulas of the form $\mu X.F, F \otimes G, F \oplus G, \mathbf{1}$ and $\mathbf{0}$. A $\mu \mathsf{MALL}^{\infty}$ 257 sequent containing only positive formulas is said to be *positive*. Otherwise, it is *negative*. 258

The following proposition will be useful in the following: 259

▶ **Proposition 11.** An infinite branch of a pre-proof containing only negative (resp. positive) 260 rules is always valid (resp. invalid). 261

3.2 Reversibility of negative inferences 262

The following example with $F = \nu X (X \otimes X) \oplus \mathbf{0}$ shows that, unlike 263 in (MA)LL, negative inferences cannot be permuted down locally: no 264 occurrence of a negative inference (\otimes) on $P \otimes Q$ can be permuted below 265 a (&) since it is never available in the left premise. We thus introduce 266

$$\frac{\overrightarrow{(F,P\otimes Q)}}{\overrightarrow{(F\otimes F,P\otimes Q)}} \xrightarrow{(F,P\otimes Q)} (\overrightarrow{(F\otimes F)}) (\overrightarrow{(F\otimes F)})) (\overrightarrow{(F\otimes F)}) (\overrightarrow{(F\otimes F)}) (\overrightarrow{(F\otimes F)})) (\overrightarrow{(F\otimes F)}) (\overrightarrow{(F\otimes F)})) (\overrightarrow{(F\otimes F)}) (\overrightarrow{(F\otimes F)})) (\overrightarrow{($$

(*)

F F P O

a global proof transformation (which could be realized by means of cut, as is usual). 267

Negative rules have a uniform structure: $\frac{(\vdash \Gamma, \mathcal{N}_i^N)_{1 \leq i \leq n}}{\vdash \Gamma, N} (r_N).$ Sub-occurrence famil*ies* of N are thus defined as $\mathcal{N}(N) = (\mathcal{N}_i^N)_{1 \le i \le n}$, its *slicing index* being $\mathsf{sl}(N) = \#\mathcal{N}(N)$.

N	$F_1 \otimes F_2$	\perp	$F_1 \& F_2$	Т	$\nu X.F$
$\mathcal{N}(N)$	$\{1 \mapsto \{F_1, F_2\}\}$	$\{1 \mapsto \emptyset\}$	$\{1 \mapsto \{F_1\}, 2 \mapsto \{F_2\}\}\$	Ø	$\{1 \mapsto \{F[\nu X.F/X]\}\}$

The following two definitions define what the reversibility of a proof π , rev (π) , is: 268

▶ Definition 12 ($\pi(i, N)$). Let π be a proof of $\vdash \Gamma$ of last rule (r) and premises π_1, \ldots, π_n . 269 If $1 \le i \le \mathfrak{sl}(N)$, we define $\pi(i, N)$ coinductively: 270

- if N does not occur in $\vdash \Gamma$, $\pi(i, N) = \pi$; 271
- if **r** is the inference on N, then $\pi(i, N) = \pi_i$; (which is legal since in this case $n = \mathfrak{sl}(N)$); 272

if **r** is not the inference on N, then $\pi(i, N) = \frac{\pi_1(i, N) \dots \pi_n(i, N)}{\vdash \Gamma, \mathcal{N}_i^N}$ (**r**). 273

Definition 13 (rev(π)). Let π be a μMALL[∞] proof of ⊢ Γ. rev(π) is a pre-proof nondeterministically defined as π if ⊢ Γ is positive and, otherwise, when $N \in \Gamma$ and n = sl(N), as rev(π) = $\frac{\text{rev}(π(1, N))}{⊢ \Gamma}$ (r_N).

 $\operatorname{rev}(\pi) = \frac{\pi(1,N)}{\vdash F, P \otimes Q} \quad (\otimes)$

 $\frac{\overrightarrow{\vdash F, P, Q} \qquad \overrightarrow{\vdash F, P, Q}}{\overrightarrow{\vdash F, P, Q}} \xrightarrow{i}_{i} \overrightarrow{\vdash F, P, Q} (i) \xrightarrow{i}_{i} \overrightarrow{\vdash F, P, Q}$

Figure 2 $rev(\pi)$

Reversed proofs formalize the requirement for the whole negative layer to be reversed:

▶ Definition 14. Reversed pre-proofs are defined to be
the largest set of pre-proofs such that: (i) every pre-proof of
a positive sequent is reversed; (ii) a pre-proof of a negative
sequent is reversed if it ends with a negative inference and
if each of its premises is reversed.

Example 15. rev is illustrated on the proof starting this subsection $(N = P \otimes Q, s|(N) = 1)$ in Figure 2

Theorem 16. Let π be a μ MALL^{∞} proof. rev(π) is a reversed proof of the same sequent.

288 3.3 Focalization Graph

²⁸⁹ In this section, we adapt the focalization graphs introduced

²⁹⁰ in [20] to our setting. Considering the permutability prop-

erties of positive inferences in μ MALL^{∞}, finiteness of positive trunks and acyclicity of focalization graphs will be sufficient to make the proof technique of [20] applicable. In order to illustrate this subsection, an example is fully explained in appendix B.5

Definition 17 (Positive trunk, positive border, active formulas). Let π be a μ MALL^{∞} proof of S. The **positive trunk** π^+ of π is the tree obtained by cutting (finite or infinite) branches of π at the first occurrence of a negative rule. The **positive border** of π is the collection of lowest sequents in π which are conclusions of negative rules. *P-active* formulas of π are those formulas of S which are principal formulas of an inference in π^+ .

▶ **Proposition 18.** The positive trunk of a μ MALL[∞] proof is always finite.

Definition 19 (Focalization graph). Given a μ MALL^{∞} proof π , we define its *focalization graph* $\mathcal{G}(\pi)$ to be the graph whose vertices are the P-active formulas of π and such that there is an edge from F to G iff there is a sequent \mathcal{S}' in the positive border containing a negative sub-occurrence F' of F and a positive sub-occurrence G' of G.

 μ MALL^{∞} positive inferences are those of MALL extended with (μ) which is not branching: this ensures both that any two positive inferences permute and that the proof of acyclicity of MALL focalization graphs can easily be adapted, from which we conclude that:

Proposition 20. Focalization graphs are acyclic.

Acyclicity of the focalization graph implies in particular that it has a source, that is a formula P of the conclusion sequent such that whenever one of its subformulas F appears in a border sequent, F is negative. This remark, together with the fact that the trunk is finite ensures that the positive layer of P is completely decomposed in the positive trunk.

³¹² ► **Definition 21** (foc(π , P)). Let π be a μ MALL[∞] proof of \vdash Γ , P with P a source of π 's ³¹³ focalization graph. One defines foc(π , P) as the μ MALL[∞] proof obtained by permuting down ³¹⁴ all the positive inferences on P and its positive subformulas (all occurring in π^+).

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▶ Proposition 22. Let S be a lowest sequent of $foc(\pi, P)$ which is not conclusion of a rule on a positive subformula of P. Then S contains exactly one subformula of P, which is negative.

317 3.4 Productivity and validity of the focalization process

Reversibility of the negative inferences and focalization of the positive inferences allow to consider the following (non-deterministic) proof transformation process:

Focalization Process: Let π be a μ MALL^{∞} proof of S. Define Foc(π) as follows:

Asynchronous phase: If S is negative, transform π into $rev(\pi)$ which is reversed. At least one negative inference has been brought to the root of the proof. Apply (corecursively) the synchronous phase to the proofs rooted in the lowest positive sequents of $rev(\pi)$.

Synchronous phase: If S is positive, let $P \in S$ be a source of the associated focalization graph. Transform π into a proof $\mathsf{foc}(\pi, P)$. At least one positive inference on P has been brought to the root of the proof. Apply (corecursively) the asynchronous phase to the proofs rooted in the lowest negative sequents of $\mathsf{foc}(\pi, P)$.

Each of the above phases produces one non-empty phase, the above process is thus productive. It is actually a pre-proof thanks to theorem 16 and by definition of $foc(\pi, P)$. It remains to show that the resulting pre-proof is actually a proof. The following property is easily seen to be preserved by both transformations foc and rev and thus holds for $Foc(\pi)$:

Proposition 23. Let π be a μMALL[∞] proof, r a positive rule occurring in π and r' be a negative rule occurring below r in π. If r occurs in Foc(π), then r' occurs in Foc(π), below r.

Lemma 24. For any infinite branch γ of Foc (π) containing an infinite number of positive rules, there exists an infinite branch in π containing infinitely many positive rules of γ .

Theorem 25. If π is a μ MALL^{∞} proof then Foc(π) is also a μ MALL^{∞} proof.

Proof sketch, see appendix. An infinite branch γ of $\mathsf{Foc}(\pi)$ may either be obtained by reversibility only after a certain point, or by alternating infinitely often synchronous and asynchronous phases. In the first case it is valid by proposition 11 while in the latter case, lemma 24 ensures the existence of a branch δ of π containing infinitely many positive rules of γ , with a valid thread t of minimal formula F_m : every rule \mathbf{r} of δ in which F_m is principal is below a positive rule occurring in γ . Thus \mathbf{r} occurs in γ , which is therefore valid.

4 Cut elimination

In this section, we show that any μ MALL^{∞} proof can be transformed into an equivalent cut-free derivation. This is done by applying the cut reduction rules described in Section 2, possibly in infinite reductions converging to cut-free proofs. As usual with infinitary reductions it is not the case that any reduction sequence converges: for instance, one could reduce only deep cuts in a proof, leaving a cut untouched at the root. We avoid this problem by considering a form of head reduction where we only reduce cuts at the root.

Cut reduction rules are of two kinds, *principal* reductions and *auxiliary* ones. In the infinitary setting, principal cut reductions do not immediately contribute to producing a cut-free pre-proof. On the contrary, auxiliary cut reductions are productive in that sense. In other words, principal rules are seen as internal computations of the cut elimination process, while auxiliary rules are seen as a partial output of that process. Accordingly, the former will be called *internal rules* and the latter *external rules*.

$$\begin{array}{cccc} \frac{\vdash \Gamma, F & \vdash F^{\perp}, \Delta}{\vdash \Gamma, \Delta} & (\operatorname{Cut}) & \longrightarrow & \frac{\vdash \Gamma, F & \vdash F^{\perp}, \Delta & \dots}{\vdash \Sigma} & (\operatorname{mcut}) \\ \\ \frac{\vdash \Gamma, F & }{\vdash \Gamma, F \oplus G} & \frac{\vdash G^{\perp}, \Delta & \vdash F^{\perp}, \Delta}{\vdash G^{\perp} \otimes F^{\perp}, \Delta} & \dots & (\operatorname{mcut}) & \longrightarrow & \frac{\vdash \Gamma, F & \vdash F^{\perp}, \Delta & \dots}{\vdash \Sigma} & (\operatorname{mcut}) \\ \\ \frac{I & \Gamma, F \oplus G & \frac{\vdash G^{\perp}, \Delta & \vdash F^{\perp}, \Delta}{\vdash G^{\perp} \otimes F^{\perp}, \Delta} & \dots & (\operatorname{mcut}) & \longrightarrow & \frac{\vdash \Gamma, F & \vdash F^{\perp}, \Delta & \dots}{\vdash \Sigma} & (\operatorname{mcut}) \\ \\ \frac{I & S_1 \dots S_n & \frac{\vdash \Gamma, F & \vdash \Gamma, G}{\vdash \Gamma, F \otimes G} & (\otimes) & \longrightarrow & \frac{I & \dots S_n & \vdash \Gamma, F}{\vdash \Sigma, F \otimes G} & (\operatorname{mcut}) & \frac{I & \dots & S_n & \vdash \Gamma, G}{\vdash \Sigma, F \otimes G} & (\operatorname{mcut}) \end{array}$$

Figure 3 (Cut)/(mcut) and $(\oplus_1)/(\&)$ internal reductions and (&)/(mcut) external reduction.

When analyzing cut reductions, cut commutations can be troublesome. A $\frac{s_1 \dots s_n}{s}$ (mcut) common way to avoid this technicality [13], which we shall follow, is to introduce a *multicut* rule which merges multiple cuts, avoiding cut commutations.

▶ Definition 26. Given two sequents s and s', we say that they are cut-connected on a formula occurrence F when $F \in s$ and $F^{\perp} \in s'$. We say that they are cut-connected when they are connected for some F. We define the *multicut* rule as shown above with conclusion s and premisses $\{s_i\}_i$, where the set $\{s_i\}_i$ is connected and acyclic with respect to the cut-connection relation, and s is the set of all formula occurrences F that appear in some s_i but such that no s_i is cut-connected to s_i on F.

From now on we shall work with $\mu MALL_m^{\infty}$ derivations, which are $\mu MALL^{\infty}$ derivations 365 in which the multicut rule may occur, though only at most once per branch. The notions 366 of thread and validity are unchanged. In $\mu MALL_m^{\infty}$ we only reduce multicuts, in a way that 367 is naturally obtained from the cut reductions of $\mu MALL^{\infty}$. A complete description of the 368 rules is given in Definition 49, appendix C.1; only the (Cut)/(mcut) and $(\oplus_1)/(\&)$ internal 369 reduction cases and the (&)/(mcut) external reduction case are shown in figure 3. As is 370 visible in the last reduction, applying an external rule on a multicut may yield multiple 371 multicuts, though always on disjoint subtrees. 372

We will be interested in a particular kind of multicut reduction sequences, the *fair* ones, which are such that any redex which is available at some point of the sequence will eventually have disappeared from the sequence (being reduced or erased), details are provided in appendix C.1. We will establish that these reductions eliminate multicuts:

Theorem 27. Fair multicut reductions on μ MALL^{∞} proofs produce μ MALL^{∞} proofs.

Additionnally, if all cuts in the initial derivation are above multicuts, the resulting 378 μ MALL^{∞} derivation must actually be cut-free: indeed, multicut reductions never produce 379 a cut. Thus Theorem 27 gives a way to eliminate cuts from any $\mu MALL^{\infty}$ proof π of $\vdash \Gamma$ 380 by forming a multicut with conclusion $\vdash \Gamma$ and π as unique subderivation, and eliminating 381 multicuts (and cuts) from that $\mu MALL_m^{\infty}$ proof. The proof of Theorem 27 is in two parts. We 382 first prove that fair internal multicut reductions cannot diverge (Proposition 37), hence fair 383 multicut reductions are productive, *i.e.*, reductions of $\mu MALL_m^{\infty}$ proofs converge to $\mu MALL^{\infty}$ 384 pre-proofs. We then establish that the obtained pre-proof is a valid proof (Proposition 38). 385

Regarding productivity, assuming that there exists an infinite sequence σ of internal cut-reductions from a given proof π of Γ , we obtain a contradiction by extracting from π a

proof of the empty sequent in a suitably defined proof-system. More specifically, we observe 388 that no formula of Γ is principal in the subtree π_{σ} of π visited by σ . Hence, by erasing every 380 formula of Γ from π_{σ} , local correctness of the proof is preserved, resulting in a tree deriving 390 the empty sequent. This tree can be viewed as a proof in a new proof-system $\mu MALL^{\infty}_{\tau}$ which 391 is shown to be sound (Proposition 34) with respect to the traditional boolean semantics of 392 the μ -calculus, thus the contradiction. The proof of validity of the produced pre-proof is 393 similar: instead of extracting a proof of the empty sequent from π we will extract, for each 394 invalid branch of π , a μ MALL $_{\tau}^{\infty}$ proof of a formula containing neither 1, \top , nor ν formulas, 395 contradicting soundness again. 396

³⁹⁷ 4.1 Extracting proofs from reduction paths

We define now a key notion to analyze the behaviour of multicut-elimination: given a 398 multicut reduction starting from π , we extract a (slightly modified) subderivation of π which 399 corresponds to the part of the derivation that has been explored by the reduction. More 400 precisely, we are interested in *reduction paths* which are sequences of proofs that end with 401 a multicut rule, obtained by tracing one multicut through its evolution, selecting only one 402 sibling in the case of (&) and (\bigotimes) external reductions. Given such a reduction path starting 403 with π , we consider the subtree of π whose sequents occur in the reduction path as premises 404 of some multicut. This subtree is obviously not always a μ MALL^{∞} derivation since some of 405 its nodes may have missing premises. We will provide an extension of $\mu MALL^{\infty}$ where these 406 trees can be viewed as proper derivations by first characterizing when this situation arises. 407

⁴⁰⁸ ► **Definition 28** (Useless sequents, distinguished formula). Let \mathcal{R} be a reduction path starting ⁴⁰⁹ with π . A sequent $s = (\vdash \Gamma, F)$ of π is said to be *useless* with *distinguished formula* F ⁴¹⁰ when in one of the following cases:

1. The sequent eventually occurs as a premise of all multicuts of \mathcal{R} and F is the principal formula of s in π . (Note that the distinguished formula F of a useless sequent s of sort (1) must be a sub-occurrence of a cut formula in π . Otherwise, the fair reduction path \mathcal{R} would eventually have applied an external rule on s. Moreover, F^{\perp} never becomes principal in the reduction path, otherwise by fairness the internal rule reducing F and F^{\perp} would have been applied.)

2. At some point in the reduction, the sequent is a premise of (&) on $F \otimes F'$ or $F' \otimes F$ which is erased in an internal $(\otimes)/(\oplus)$ multicut reduction. (In the $(\oplus_1)/(\otimes)$ internal reduction of figure 3, the sequent $\vdash G^{\perp}, \Delta$ is useless of sort (2).)

3. The sequent is ignored at some point in the reduction path because it is not present in the selected multicut after a branching external reduction on $F \star F'$ or $F' \star F$, for $\star \in \{\otimes, \&\}$. (In the $(\&)/(\mathsf{mcut})$ external reduction of figure 3, if one is considering a reduction path that follows the multicut having $\vdash \Gamma, F$ as a premise, then the sequent $\vdash \Gamma, G$ is useless of sort (3), and vice versa.)

425 **4.** The sequent is ignored at some point in the reduction path because a $(\otimes)/(\text{mcut})$ external 426 reduction distributes *s* to the multicut that is not selected in the path. This case will be 427 illustrated next, and is described in full details in appendix C.1.

Note that, although the external reduction for \top erases sequents, we do not need to consider such sequents as useless: indeed, we will only need to work with useless sequents in infinite reduction paths, and the external reduction associated to \top terminates a path.

⁴³¹ ► **Example.** Consider a multicut composed of the last example of Section 2 and an arbitrary ⁴³² proof of $\vdash F, \Delta$ where F is principal. In the reduction paths which always select the right

⁴³³ premise of an external (\otimes)/(mcut) corresponding to the $N' \otimes S'$ formulas, the sequent $\vdash F, \Delta$ ⁴³⁴ will always be present and thus useless by case (1). In the reduction paths which eventually ⁴³⁵ select a left premise, the sequent $N_2, F \vdash S'$ is useless of sort (3) with S' distinguished, and ⁴³⁶ $\vdash F, \Delta$ is useless of sort (4) with F distinguished.

In order to obtain a proper pre-proof from the sequents occurring in a reduction path, we need to close the derivation on useless sequents. This is done by replacing distinguished formulas by \top formulas. However, a usual substitution is not appropriate here as we are really replacing formula occurrence, which may be distributed in arbitrarily complex ways among sub-occurrences.

Definition 29. A *truncation* τ is a partial function from Σ^* to $\{\top, \mathbf{0}\}$ such that:

For any
$$\alpha \in \Sigma^*$$
, if $\alpha \in \text{Dom}(\tau)$, then $\alpha^{\perp} \in \text{Dom}(\tau)$ and $\tau(\alpha) = \tau(\alpha^{\perp})^{\perp}$.

444 If $\alpha \in \text{Dom}(\tau)$ then for any $\beta \in \Sigma^+$, $\alpha.\beta \notin \text{Dom}(\tau)$.

▶ Definition 30 (Truncation of a reduction path). Let \mathcal{R} be a reduction path. The truncation τ associated to \mathcal{R} is defined by setting $\tau(\alpha) = \top$ and $\tau(\alpha^{\perp}) = 0$ for every formula occurrence φ_{α} that is distinguished in some useless sequent of \mathcal{R} .

The above definition is justified because F and F^{\perp} cannot both be distinguished, by fairness of \mathcal{R} . We can finally obtain the pre-proof associated to a reduction path, in a proof system slightly modified to take truncations into account.

▶ Definition 31 (Truncated proof system). Given a truncation τ , the infinitary proof system μ MALL[∞]_{τ} is obtained by taking all the rules of μ MALL[∞], with the proviso that they only apply when the address of their principal formula is not in the domain of τ , with the following extra rule: $\begin{array}{c} \vdash \tau(\alpha)_{\alpha i}, \Delta \\ \vdash F, \Delta \end{array} (\tau) \\ \text{if } \alpha \in \text{Dom}(\tau) \end{array}$

The address $\alpha.i$ associated with $\tau(\alpha)$ in the rule (τ) forbids loops on a (τ) rule. Indeed if $\alpha \in \text{Dom}(\tau)$ then $\alpha.i \notin \text{Dom}(\tau)$.

Definition 32 (Truncated proof associated to a reduction path). Let \mathcal{R} be a fair infinite reduction path starting with π and τ be the truncation associated to it. We define $TR(\mathcal{R})$ to be the μ MALL^{∞} proof obtained from π by keeping only sequents that occur as premise of some multicut in \mathcal{R} , using the same rules as in π whenever possible, and deriving useless sequents by rules (τ) and (\top).

This definition is justified by definition of τ and because only useless sequents may be selected without their premises (in π) being also selected. Notice that the dual F^{\perp} of a distinguished formula F may only occur in \mathcal{R} for distinguished formulas of type (1) and (4); in these cases F^{\perp} is never principal in \mathcal{R} by fairness. Thus, there is no difficulty in constructing $TR(\mathcal{R})$ with a truncature defined on the address of F^{\perp} . Finally, note that $TR(\mathcal{R})$ is indeed a valid $\mu MALL^{\infty}_{\tau}$ pre-proof, because its infinite branches are infinite branches of π .

- ▲666 ► Example. Continuing the previous example, we consider the path where the left premise of the tensor is selected immediately. The associated truncation is such
- ⁴⁶⁷ that $\tau(S') = \top$ and $\tau(F) = \top$ by (3) and (4) respectively. The derivation $TR(\mathcal{R})$ is shown below, where Π_{ax} denotes the expansion of the axiom given by Prop 9.

$$\frac{\Pi_{\text{ax}}}{\prod_{\text{dup}}} \frac{\frac{\Pi_{\text{ax}}}{N_1 \vdash N'} - \frac{1}{N_2, F \vdash S'}}{\frac{N_1, N_2, F \vdash N' \otimes S'}{N_1 \otimes N_2, F \vdash N' \otimes S'}}{\frac{N, F \vdash N' \otimes S'}{N, F \vdash S}} (\text{Cut})$$

4.2 **Truncated truth semantics** 468

We fix a truncation τ and define a truth semantics with respect to which $\mu MALL_{\tau}^{\infty}$ will be 469 sound. The semantics is classical, assigning a boolean value to formula occurrences. For 470 convenience, we take $\mathcal{B} = \{\mathbf{0}, \top\}$ as our boolean lattice, with \wedge and \vee being the usual meet 471 and join operations on it. The following definition provides an interpretation of μ MALL 472 formulas which consists in the composition of the standard interpretation of μ -calculus 473 formulas with the obvious linearity-forgetting translation from μ MALL to classical μ -calculus. 474

▶ **Definition 33.** Let φ_{α} be a pre-formula occurrence. We call *environment* any function 475 \mathcal{E} mapping free variables of φ to (total) functions of $E := \Sigma^* \to \mathcal{B}$. We define $[\varphi_{\alpha}]^{\mathcal{E}} \in \mathcal{B}$, the 476 *interpretation* of φ_{α} in the environment \mathcal{E} , by $[\varphi_{\alpha}]^{\mathcal{E}} = \tau(\alpha)$ if $\alpha \in \text{Dom}(\tau)$, and otherwise: 477 $[X_{\alpha}]^{\mathcal{E}} = \mathcal{E}(X)(\alpha), \ [\top_{\alpha}]^{\mathcal{E}} = [\mathbf{1}_{\alpha}]^{\mathcal{E}} = \top \text{ and } [\mathbf{0}_{\alpha}]^{\mathcal{E}} = [\bot_{\alpha}]^{\mathcal{E}} = \mathbf{0}.$ 478

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 $= [(\mu_{\alpha}] - \mathcal{C}(\mathcal{X})(\alpha), [+\alpha] - [\alpha] = -4 \operatorname{und} [\mathbf{0}_{\alpha}] - [\mathbf{1}_{\alpha}] = -6.$ $= [(\varphi \otimes \psi)_{\alpha}]^{\mathcal{E}} = [\varphi_{\alpha,l}]^{\mathcal{E}} \land [\psi_{\alpha,r}]^{\mathcal{E}}, \text{ for } \otimes \in \{\&, \otimes\}.$ $= [(\varphi \otimes \psi)_{\alpha}]^{\mathcal{E}} = [\varphi_{\alpha,l}]^{\mathcal{E}} \lor [\psi_{\alpha,r}]^{\mathcal{E}}, \text{ for } \otimes \in \{\oplus, \otimes\}.$ $= [(\mu_{X}.\varphi)_{\alpha}]^{\mathcal{E}} = \operatorname{lfp}(f)(\alpha) \text{ and } [(\nu_{X}.\varphi)_{\alpha}]^{\mathcal{E}} = \operatorname{gfp}(f)(\alpha) \text{ where } f : E \to E \text{ is given by } f : h \mapsto \beta \mapsto (\tau(\beta) \text{ if } \beta \in \operatorname{Dom}(\tau) \text{ and } [\varphi_{\beta,i}]^{\mathcal{E}::X \mapsto h} \text{ otherwise}).$ 481 482

When F is closed, we simply write [F] for $[F]^{\emptyset}$. 483

We refer the reader to the appendix for details on the construction of the interpretation. 484 We simply state here the main result about it. 485

▶ **Proposition 34.** *If* $\vdash \Gamma$ *is provable in* μ MALL[∞], *then* $[F] = \top$ *for some* $F \in \Gamma$. 486

We only sketch the soundness proof (see appendix C for details) which proceeds by 487 contradiction. Assuming we are given a proof π of a formula F such that $[F] = \mathbf{0}$, we exhibit 488 a branch β of π containing only formulas interpreted by **0**. A validating thread of β unfolds 489 infinitely often some formula $\nu X \varphi$. Since the interpretation of $\nu X \varphi$ is defined as the gfp of 490 a monotonic operator f we have, for each occurrence $(\nu X.\varphi)_{\alpha}$ in β , an ordinal λ such that 491 $[(\nu X.\varphi)_{\alpha}] = f^{\lambda}(\bigvee E)(\alpha)$, where $\bigvee E$ is the supremum of the complete lattice E. We show 492 that this ordinal can be forced to decrease along β at each fixed point unfolding, contradicting 493 the well-foundedness of the class of ordinals. 494

▶ Definition 35. A truncation τ is *compatible* with a formula φ_{α} if $\alpha \notin dom(\tau)$ and, for 495 any $\alpha \sqsubseteq \beta . d \in \text{Dom}(\tau)$ where $d \in \{l, r, i\}$, we have that φ_{α} admits a sub-occurrence ψ_{β} with 496 \otimes or \otimes as the toplevel connective of ψ , $d \in \{l, r\}$, and $\alpha.d' \notin Dom(\tau)$ for any $d \neq d'$. 497

In other words, a truncation τ is compatible with a formula F if it truncates only sons of 498 \otimes or \otimes nodes in the tree of the formula F and at most one son of each such node. 499

▶ **Proposition 36.** If F is a formula compatible with τ and containing no ν binders, no \top 500 and no 1, then $[F] = \mathbf{0}$. 501

4.3 Proof of cut elimination 502

Multicut reduction is shown productive and then to result in a valid cut-free proof. 503

▶ **Proposition 37.** Any fair reduction sequence produces a μ MALL[∞] pre-proof. 504

Proof. By contradiction, consider a fair infinite sequence of internal multicut reductions. 505 This sequence is a fair reduction path \mathcal{R} . Let τ and $TR(\mathcal{R})$ be the associated truncations 506 and truncated proof. Since no external reduction occurs, it means that conclusion formulas 507 of $TR(\mathcal{R})$ are never principal in the proof, thus we can transform it into a proof of the empty 508 sequent, which contradicts soundness of $\mu MALL_{\tau}^{\infty}$. 509

Proposition 38. Any fair meut-reduction produces a μ MALL^{∞} proof.

Proof. Let π be a μ MALL^{∞} proof of conclusion $\vdash \Gamma$, and π' the cut-free pre-proof obtained by Prop. 37, *i.e.*, the limit of the multicut reduction process. Any branch of π' corresponds to a multicut reduction path. For the sake of contradiction, assume that π' is invalid. It must thus have an invalid infinite branch, corresponding to an infinite reduction path \mathcal{R} . Let τ and $\theta := TR(\mathcal{R})$ be the associated truncation and truncated proof in μ MALL^{∞}.

⁵¹⁶ We first observe that formulas of Γ cannot have suboccurrences of the form $\mathbf{1}_{\alpha}$ or \top_{α} ⁵¹⁷ that are principal in π' . Indeed, this could only be produced by an external rule $(\top)/(\mathsf{mcut})$ ⁵¹⁸ in the reduction path \mathcal{R} , but that would terminate the path, contradicting its infiniteness.

⁵¹⁹ Next, we claim that all threads starting from formulas in Γ are invalid. Indeed, all rules ⁵²⁰ applied to those formulas are transferred (by means of external rules) to the branch produced ⁵²¹ by the reduction path. The existence of a valid thread starting from the conclusion sequent ⁵²² in θ would thus imply the existence of a valid thread in our branch of π' .

⁵²³ By the first observation, we can replace all $\mathbf{1}$ and \top subformulas of Γ by $\mathbf{0}$ without changing ⁵²⁴ the derivation, and obviously without breaking its validity. By the second observation, we ⁵²⁵ can further modify Γ by changing all ν combinators into μ combinators. The derivation ⁵²⁶ is easily adapted (using rule (μ) instead of (ν)) and it remains valid, since the validity of θ ⁵²⁷ could not have been caused by a valid thread starting from the root. We thus obtain a valid ⁵²⁸ pre-proof θ' of $\vdash \Gamma'$ in μ MALL^{∞}, where Γ' contains no ν , $\mathbf{1}$ and \top .

We finally show that τ is compatible with any formula occurrence from Γ . Indeed, if $\tau(\beta)$ is defined for some suboccurrence ψ_{β} of a formula $\varphi_{\alpha} \in \Gamma$, then it can only be because of a useless sequent of sort (3), *i.e.*, a truncation due to the fact that the reduction path has selected only one sibling after a branching external rule. We thus conclude, by Proposition 36, that all formulas of Γ are interpreted as **0** in the truncated semantics associated to τ , which contradicts the validity of θ' and Proposition 34.

535 **5** Conclusion

⁵³⁶ We have established focalization and cut elimination for μ MALL^{∞}, the infinitary sequent ⁵³⁷ calculus for μ MALL. Our cut elimination result extends that of Santocanale and Fortier [13], ⁵³⁸ but this extension has required the elaboration of a radically different proof technique.

An obvious direction for future work is now to go beyond linear logic, and notably 539 handle structural rules in infinitary cut elimination. But many interesting questions are 540 also left in the linear case. First, it will be natural to relax the hypothesis on fairness in 541 the cut-elimination result. Other than cut elimination, the other long standing problem 542 regarding $\mu MALL^{\infty}$ and similar proof systems is whether regular proofs can be translated, in 543 general, to finitary proofs. Further, one can ask the same question, requiring in addition 544 that the computational content of proofs is preserved in the translation. It may well be that 545 regular $\mu MALL^{\infty}$ contains more computations than $\mu MALL$; even more so if one considers 546 other classes of finitely representable infinitary proofs. It would be interesting to study how 547 this could impact the study of programming languages for (co)recursion, and understanding 548 links with other approaches to this question [1, 2]. In this direction, we will be interested 549 in studying the computational interpretation of focused cut-elimination, providing a logical 550 basis for inductive and coinductive matching in regular and infinitary proof systems. 551

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A Appendix relative to Section 2

In this appendix we provide a proof of Proposition 9, but also supplementary material that may be useful to better understand μ MALL^{∞}, its validity condition and its relationship to μ MALL. Most of this material is adapted directly from classical observations about μ -calculi, with the exception of the translation from μ MALL to μ MALL^{∞}: it is unpublished, but we view it more as folklore than as a contribution of this paper.

⁶³⁴ A.1 Details on the validity condition

We first provide more details and intuitions about the notion of valid thread. If a thread 635 $(F_i)_{i \in \omega}$ is eventually constant in terms of formula occurrences, it simply means that it traces 636 a formula that is never principal in the branch: this formula plays no role in the proof, and 637 there is no reason to declare the thread valid. Otherwise, addresses keep growing along 638 the thread: at any point in the thread there is a later point where the address increases. 639 Forgetting addresses and considering the set S of formulas that appear infinitely often in the 640 thread, we immediately see that any two formulas $\varphi, \psi \in S$ are *co-accessible*, *i.e.*, $\psi \in FL(\varphi)$. 641 Indeed, if $F_i = \varphi_{\alpha}$, there must be some j > i such that $F_j = \psi_{\beta}$. In that case, the thread 642 is valid iff the minimum of S wrt. the subformula ordering is a ν -formula. As we shall see, 643 this definition makes sense because that minimum is always defined. Moreover, it is always 644 a fixed point formula, so what the definition really says is that this minimum fixed point 645 must be a greatest fixed point for the thread to be valid. All this is justified by the following 646 classical observation about μ -calculi, which we restate next in our setting. 647

For Proposition 39. Let $t = (F_i)_{i \in \omega}$ be a thread that is not eventually constant. The set S of formulas that occur infinitely often in t admits a minimum with respect to the subformula ordering, and that minimum is a fixed point formula.

Proof. We assume that all formulas of t occur infinitely often in t, and that $F_i = \psi_{\alpha}$ implies $F_{i+1} = \psi'_{\alpha a}$ for some $a \in \Sigma$, *i.e.*, F_{i+1} is an immediate descendant of F_i . This is without loss of generality, by extracting from t the infinite sub-thread of occurrences F_i whose formulas are in S and which are principal, *i.e.*, for which $F_{i+1} \not\equiv F_i$.

Let $|\varphi|$ be the size of a formula, *i.e.*, the number of connectives used to construct the formula. Take any $\varphi \in S$ that has minimum size, *i.e.*, $|\varphi| \leq |\psi|$ for all $\psi \in S$. We shall establish that φ must in fact be a minimum for the subformula ordering, *i.e.*, $\varphi \leq \psi$ for all $\psi \in S$. It suffices to prove that if $F_i = \psi_{\alpha}$ and $F_j = \varphi_{\alpha\beta}$, then $\varphi \leq \psi$. We proceed by induction on β . The result is obvious if β is empty, since one then has $\varphi = \psi$. Otherwise, we distinguish two cases:

If $\psi = \psi^l \star \psi^r$ and $F_{i+1} = (\psi^a)_{\alpha a}$ for some $a \in \{l, r\}$, we have $\beta = a\beta'$. By induction hypothesis (with $\alpha := \alpha a$ and $\beta := \beta'$) we obtain that $\varphi \leq \psi^a$, and thus $\varphi \leq \psi$.

⁶⁶³ Otherwise, $\psi = \sigma X.\psi'$, $F_{i+1} = (\psi'[\psi/X])_{\alpha i}$ and $\beta = i\beta'$. By induction hypothesis, ⁶⁶⁴ $\varphi \leq \psi'[\psi/X]$. Since $|\varphi| \leq |\psi|$, φ is a subformula of $\psi'[\psi/X]$ which cannot strictly contain ⁶⁶⁵ ψ . Thus we either have $\varphi = \psi$ or $\varphi \leq \psi'$. In both cases, we conclude immediately.

We finally show that φ must be a fixed point formula. Take any *i* such that $F_i = \varphi_\alpha$. We have $F_{i+1} = \psi_{\alpha a}$. Assuming that φ is not a fixed point expression, it would be of the form $\varphi_1 \star \varphi_2$ with $\psi = \varphi_i$ for some $1 \le i \le 2$, contradicting $|\varphi| \le |\psi|$.

669 A.2 Admissibility of the axiom

⁶⁷⁰ We now prove the admissibility of (Ax), by showing that infinite η -expansions are valid.

• **Proposition (9).** Rule (Ax) is admissible in μ MALL^{∞}.

Proof. As is standard, any instance of (Ax) can be expanded by introducing two dual connect-672 ives and concluding by (Ax) on the sub-occurrences. For instance, (Ax) on $\vdash (\varphi \otimes \psi)_{\alpha}, (\psi^{\perp} \otimes \varphi^{\perp})_{\beta}$ 673 is expanded by using rules (\otimes), (\otimes), and then axioms on $\vdash \varphi_{\alpha l}, \varphi_{\beta r}^{\perp}$ and $\vdash \psi_{\alpha r}, \psi_{\beta l}^{\perp}$. In $\mu \mathsf{MALL}^{\infty}$ 674 we can co-iterate this expansion to obtain an axiom-free pre-proof from any instance of (Ax)675 on $\vdash F, G^{\perp}$. On any infinite branch of that pre-proof, there are exactly two threads and 676 they are not eventually constant. Let $t = (F_i)_{i \in \omega}$ and $t' = (G_i)_{i \in \omega}$ be the corresponding 677 sequences of distinct sub-occurrences, *i.e.*, keeping an occurrence only when it is principal. 678 We actually have that, for all $i, F_i \equiv G_i^{\perp}$. The minimum of a thread that is not eventually 679 constant is necessarily a fixed point formula, thus $\min(t)$ is a ν formula iff $\min(t')$ is a μ , and 680 one of the two threads validates the branch. 681 4

682 A.3 Translating from μ MALL to μ MALL^{∞}

Generalizing the previous construction, we now introduce the functoriality construction, which shall be useful to present the translation from the finitary sequent calculus μ MALL to its infinitary counterpart μ MALL^{∞}.

Definition 40. Let F be a pre-formula such that $fv(F) \subseteq \{X_i\}_{1 \le i \le n}$, and let $\vec{\Pi} = (\Pi_i)_{1 \le i \le n}$ be a collection of pre-proofs of respective conclusions $\vdash P_i, Q_i$. We define coinductively the pre-proof $F(\vec{\Pi})$ of conclusion $\vdash F^{\perp}[P_i/X_i]_{1 \le i \le n}$, $F[Q_i/X_i]_{1 \le i \le n}$ as follows:

⁶⁸⁹ If $F = X_i$ then $F(\vec{\Pi}) = \Pi_i$ up to relocalization, *i.e.*, changing the addresses of occurrences ⁶⁹⁰ in Π_i to match the required ones.

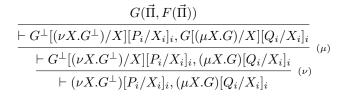
If $F = F_1 \otimes F_2$, then $F(\vec{\Pi})$ is:

$$\frac{F_{1}(\vec{\Pi})}{\frac{\vdash F_{1}^{\perp}[P_{i}/X_{i}]_{i}, F_{1}[Q_{i}/X_{i}]_{i}}{\vdash F_{2}^{\perp}[P_{i}/X_{i}]_{i}, F_{2}[Q_{i}/X_{i}]_{i}}} \frac{F_{2}(\vec{\Pi})}{\frac{\vdash F_{2}^{\perp}[P_{i}/X_{i}]_{i}, F_{1}^{\perp}[P_{i}/X_{i}]_{i}, (F_{1}\otimes F_{2})[Q_{i}/X_{i}]_{i}}{\vdash (F_{2}^{\perp}\otimes F_{1}^{\perp})[P_{i}/X_{i}]_{i}, (F_{1}\otimes F_{2})[Q_{i}/X_{i}]_{i}}} (\otimes)}$$

If $F = F_1 \oplus F_2$, then $F(\vec{\Pi})$ is:

$$\frac{F_{1}(\vec{\Pi})}{\vdash F_{1}^{\perp}[P_{i}/X_{i}]_{i}, F_{1}[Q_{i}/X_{i}]_{i}} \xrightarrow{(\oplus_{1})} \frac{F_{2}(\vec{\Pi})}{\vdash F_{2}^{\perp}[P_{i}/X_{i}]_{i}, F_{2}[Q_{i}/X_{i}]_{i}}}{\vdash F_{1}^{\perp}[P_{i}/X_{i}]_{i}, (F_{1} \oplus F_{2})[Q_{i}/X_{i}]_{i}} \xrightarrow{(\oplus_{2})}{\vdash F_{2}^{\perp}[P_{i}/X_{i}]_{i}, (F_{1} \oplus F_{2})[Q_{i}/X_{i}]_{i}}} \xrightarrow{(\oplus_{2})}{(\otimes_{2})}$$

If $F = \mu X.G$ then $F(\vec{\Pi})$ is obtained from applying functoriality on G with $F(\vec{\Pi})$ as the derivation for the new free variable $X_{n+1} := X$:



⁶⁹¹ If $F = \mathbf{0}$ then $F(\vec{\Pi})$ is directly obtained by applying (\top) on $F^{\perp}[P_i/X_i]_i$.

⁶⁹² If $F = \mathbf{1}$ then $F(\vec{\Pi})$ is obtained by applying rule (\perp) followed by (1).

⁶⁹³ Other cases are treated symmetrically.

As said above, the construction $F(\vec{\Pi})$ is a generalization of the infinitary η -expansion, where the derivations Π_i are plugged where free variables are encountered. In fact, if F is a closed pre-formula, then F() is the derivation constructed in the proof of Proposition 9.

⁶⁹⁷ Also note that, since only finitely many sequents may arise in the process of constructing ⁶⁹⁸ $F(\vec{\Pi})$, and since the construction is entirely guided by its end sequent, the derivation $F(\vec{\Pi})$ ⁶⁹⁹ is actually regular as long as the derivations Π_i are regular as well.

An infinite branch of $F(\Pi)$ either has an infinite branch of some Π_i as a suffix, or is only 700 visiting sequents of $F(\vec{\Pi})$ that are not sequents of the input derivations $\vec{\Pi}$. In the former 701 case, the branch is valid provided that the input derivations are valid. In the latter case, the 702 branch contains exactly two dual threads (as in the proof of Proposition 9), one of which must 703 be valid. Thus, $F(\vec{\Pi})$ is a proof provided that the input derivations are proofs. This result is 704 however not usable directly to prove the validity of a pre-proof in which we make repeated 705 use of functoriality, *i.e.*, one where branches may go through infinitely many successive uses 706 of functoriality. 707

We now make use of functoriality to translate finitary μ MALL proofs (corresponding to the propositional fragment of [4]) to infinitary derivations.

▶ Definition 41 (μ MALL sequent calculus). The sequent calculus for the propositional fragment of μ MALL is a finitary sequent calculus whose rules are the same as those of μ MALL^{∞}, except that the ν rule is as follows:

$$\frac{\vdash S^{\perp}, F[S/X]}{\vdash S^{\perp}, \nu X.F}$$

The ν rule corresponds to reasoning by coinduction. In [4] it is found in a slightly different form, which can be obtained from the above version by means of cut:

$$\frac{\vdash \Gamma, S \quad \vdash S^{\perp}, F[S/X]}{\vdash \Gamma, \nu X.F}$$

- ⁷¹⁰ ► **Definition 42** (Translation from μ MALL to μ MALL[∞]). Given a μ MALL proof Π of $\vdash \Gamma$, we ⁷¹¹ define coinductively the μ MALL[∞] pre-proof Πⁱ of $\vdash \Gamma$, as follows:
 - If Π starts with an inference that is present in μ MALL^{∞}, we use the same inference and proceed co-recursively. For instance,

$$\Pi = \frac{\Pi_1}{\vdash \Gamma', F} \xrightarrow{\Pi_2}_{\vdash G, \Gamma''} \quad \text{yields} \quad \Pi^i = \frac{\Pi_1^i}{\vdash \Gamma', F} \xrightarrow{\Pi_2^i}_{\vdash G, \Gamma''} \quad .$$

• Otherwise, Π starts with an instance of the ν rule of μ MALL:

$$\Pi = \frac{\Pi_1}{\vdash S^{\perp}, F[S/X]} \\ + S^{\perp}, \nu X.F$$

We transform it as follows, where (F) denotes a use of the functoriality construction:

$$\Pi^{i} = \frac{\Pi^{i}_{1}}{\vdash S^{\perp}, F[S/X]} \xrightarrow{\vdash F^{\perp}[S^{\perp}, \nu X.F]} (F)$$

$$\frac{\vdash S^{\perp}, F[S/X]}{\vdash F^{\perp}[S^{\perp}/X], F[(\nu X.F)/X]} \xrightarrow{(Cut)} (F)$$

$$\frac{\vdash S^{\perp}, F[(\nu X.F)/X]}{\vdash S^{\perp}, \nu X.F}$$

This construction induces infinite branches, some of which being contained in the functori-

- ality construct, and some of which that encounter infinitely often the sequent $\vdash S^{\perp}, \nu X.F$
- (up-to structural equivalence). Note that a branch that eventually goes to the left of
- the above (Cut) cannot cycle back to $\vdash S^{\perp}, \nu X.F$ anymore. It may still be infinite, going
- through other cycles obtained from the translation of other coinduction rules in Π_1 .

71

As a side remark, note that if Π is cut-free, then so is Π^i . Of course, if Π is cut-free but uses the version of the ν rule that embeds a cut, this is not true anymore.

Proposition 43. For any μ MALL derivation Π , its translation Π^i is a μ MALL^{∞} proof.

Proof sketch. We have to check that all infinite branches of Π^i are valid. Consider one such 720 infinite branch. After a finite prefix, the branch must be contained in the pre-proof obtained 721 from the translation of a coinduction rule (second case in the above definition). If the branch 722 is eventually contained in a functoriality construct, then it contains two dual threads, and is 723 thus valid. Otherwise, the branch visits infinitely often (up-to structural equivalence) the 724 sequent $\vdash S^{\perp}, \nu X.F$ corresponding the our translated coinduction rule. The branch in Π^i 725 contains a thread that contains the successive sub-occurrences of $\nu X.F$ in those sequents. 726 More specifically, that formula is principal infinitely often in the thread. It only remains to 727 show that it is minimal among formulas that appear infinitely often: this simply follows from 728 the fact that formulas encountered along the thread inside the functoriality construct (F) all 729 contain $\nu X.F$ as a subformula. 730

⁷³¹ **B** Appendix relative to Section 3

- In this appendix, we first prove results corresponding to Section 3 and then develop a
 complete example of focusing process, in order to examplify the different concepts and objects
 defined in Section 3:
- ⁷³⁵ reversibility of negative inference;
- ⁷³⁶ focalization graph;
- 737 💼 focusing on positive inference;
- stepwise construction, by alternation of the two above asynchronous and synchronous –
 phases, of a focusing proof from any given proof.

740 B.1 Polarity of connectives

Proposition (11). An infinite branch of a pre-proof containing only negative (resp. positive)
 rules is always valid (resp. invalid).

⁷⁴³ **Proof.** An infinite negative branch contains only greatest fixed points. Among the threads, ⁷⁴⁴ some are not eventually constant and their minimal formulas are ν -formulas: they are valid ⁷⁴⁵ threads.

An infinite positive branch cannot be valid since for any non-constant thread t, min(t), its minimal formula, is a μ -formula.

748 B.2 Reversibility

⁷⁴⁹ Before proving that **rev** actually builds a reversed proof, we first consider a simplified proof ⁷⁵⁰ transformation for a proof π of a sequent $\vdash \Gamma, N$, $\text{rev}_0(\pi, N)$, the effect of which being to ⁷⁵¹ reverse only the topmost connective of N. It is defined similarly to **rev** except that the ⁷⁵² procedure is not called on the subproofs contrarily to definition 13.

▶ **Definition 44** (rev₀(π , N)). We define rev₀(π , N) to be the pre-proof

$$\frac{\pi(1,N) \quad \dots \quad \pi(\mathsf{sl}(N),N)}{\vdash \Gamma,N} \ _{(\mathsf{r}_{\mathsf{N}})}$$

Proposition 45. Let π be a μ MALL^{∞} proof of $\vdash \Gamma$, N. rev₀(π , N) is a μ MALL^{∞} proof.

Proof. The reader will easily check that any infinite branch β of $\operatorname{rev}_0(\pi, N)$ is obtained from a branch α of π , either of the form $(\mathbf{r}_N) \cdot \alpha$ when α does not contain an inference on N or $(\mathbf{r}_N) \cdot \alpha_1 \dots \alpha_{n-1} \cdot \alpha_{n+1} \dots$ where α_n has N a principal formula (occurrence). Validating threads are therefore preserved.

⁷⁵⁸ We can now consider the general case of rev:

Theorem (16). Let π be a μ MALL^{∞} proof. rev (π) is a reversed proof of the same sequent.

⁷⁶⁰ **Proof.** rev is obviously productive: each recursive call is guarded. Inferences of $rev(\pi)$ are ⁷⁶¹ locally valid: if π is a preproof, so is $rev(\pi)$.

If moreover π is a proof, infinite branches of $rev(\pi)$ are valid: indeed, infinite branches of rev (π) are either fully negative (and therefore valid) or after a certain point they coincide with inferences of an infinite branch of π and their validity follows that of π .

The resulting proof is obviously shown to be reversed: we do not find any positive inference on any branch of $rev(\pi)$, until the first positive sequent is reached.

767 B.3 Focalization graphs

Proposition (18). The positive trunk of a μ MALL^{∞} proof is always finite.

⁷⁶⁹ **Proof.** The positive trunk of a proof cannot have infinite branches, because they would be ⁷⁷⁰ infinite positive branches of the original proof, thus necessarily invalid by proposition 11. \blacktriangleleft

Proposition (20). Focalization graphs are acyclic.

Even though the proof directly adapts the argument from [20], we provide it for completeness:

Proof. We prove the result by *reductio ad absurdum*. Let S be a positive sequent with a proof π . Let π^+ be the corresponding positive trunk and G the associated Focalization Graph. Suppose that G has a cycle and consider such a cycle of minimal length $(F_1 \to F_2 \to \cdots \to F_n \to F_1)$ in G and let us consider S_1, \ldots, S_n sequents of the border justifying the arrows of the cycle.

These sequents are actually uniquely defined or the exact same reason as in MALL [20]. With the same idea we can immediately notice that the cycle is necessarily of length $n \ge 2$ since two \prec -subformulas of the same formula can never be in the same sequent in the border of the positive trunk.

Let S_0 be $\bigwedge_{i=1}^n S_i$ be the highest sequent in π such that all the S_i are leaves of the tree rooted in S_0 . We will obtain the contradiction by studying S_0 and we will reason by case on the rule applied to this sequent S_0 :

the rule cannot be (1) rule since this rule produces no premiss and thus we would have
an empty cycle which is non-sens. Any rule with no premiss would lead to the same
contradiction.

- ⁷⁸⁹ If the rule is one of (\oplus_i) or (μ) , then the premiss \mathcal{S}'_0 of the rule would also satisfy ⁷⁹⁰ the condition required for \mathcal{S}_0 (all the \mathcal{S}_i would be part of the proof tree rooted in \mathcal{S}'_0) ⁷⁹¹ contradicting the maximality of \mathcal{S}_0 . If the rule is any other non-branching rule, maximality ⁷⁹² of \mathcal{S}_0 would also be contradicted.
- Thus the rule shall be branching: it shall be a (\otimes). Write S_L and S_R for the left and right premisses of S_0 . Let $G = G_L \otimes G_R$ be the principal formula in S_0 and let F be the active formula of the Trunk such that $F \prec G$. There are two possibilities:
- 796 797
 - (i) either $F \in \{F_1, \ldots, F_n\}$ and F is the only formula of the cycle having at the same time \prec -subformulas in the left premiss and in the right premiss,
- 799 800

798

(ii) or $F \notin \{F_1, \ldots, F_n\}$ and no formula of the cycle has \prec -subformulas in both premisses. 801 Let thus I_L (resp. I_R) be the sets of indices of the active formulas of the root S having 802 (\prec -related) subfomulas only in the left (resp. right) premiss. Clearly neither I_L nor I_R 803 is empty since it would contradict the maximality of \mathcal{S}_0 . Indeed if $I_L = \emptyset$, then \mathcal{S}_R 804 satisfies the condition of being dominated by all the $S_i, 1 \leq i \leq n$ and S_0 is not maximal 805 anymore. By definition of the two sets of indices we have of course $I_L \cap I_R = \emptyset$ and the 806 only formula of the cycle possibly not in $I_L \cup I_R$ is F if we are in the case (i): all other 807 formulas in the cycle have their index either in I_L or in I_R . 808

As a consequence there must be an arrow in the cycle (and thus in the graph) from a formula in I_L to a formula in I_R (or the opposite). Let $i \in I_L$ and $j \in I_R$ be such indexes (say for instance $F_i \to F_j$ in \mathcal{G}) and let \mathcal{S}' be the sequent of the border responsible for this edge. \mathcal{S}' contains F'_i and F'_j and by definition of the sets I_L and I_R , \mathcal{S}' cannot be in the tree rooted in \mathcal{S}_0 which is in contradiction with the way we constructed \mathcal{S}_0 .

⁸¹⁴ Then there cannot be any cycle in the focalization graph.

◀

Proposition (22). Let S be a lowest sequent of $foc(\pi, P)$ which is not conclusion of a rule on a positive subformula of P. Then S contains exactly one subformula of P, which is negative.

⁸¹⁸ **Proof.** foc (π, P) is such that the maximal prefix containing only rules applied to P and ⁸¹⁹ its positive subformulas decomposes P up to its negative subformulas. Uniqueness of the ⁸²⁰ subformula in the case of MALL, treated in [20], can be directly adapted here.

B.4 Productivity and validity of the focalization process

▶ Proposition (23). Let π be a μ MALL[∞] proof, r a positive rule occurring in π and r' be a negative rule occurring below r in π . If r occurs in Foc(π), then r' occurs in Foc(π), below r.

Proof. The proposition amounts to the simple remark that none of the transformation we
 do, for foc and rev, will ever permute a positive *below* a negative.

The proposition is thus satisfied by both transformations foc and rev and thus holds for Foc(π) which results from the iteration of the reversibility and focalization processes.

Lemma (24). For any infinite branch γ of Foc (π) containing an infinite number of positive rules, there exists an infinite branch in π containing infinitely many positive rules of γ .

⁸³⁰ **Proof.** The lemma results from a simple application of Koenig's lemma.

Theorem (25). If π is a μ MALL^{∞} proof then Foc(π) is also a μ MALL^{∞} proof.

⁸³² **Proof.** Let γ be an infinite branch of Foc(π). If, at a certain point, γ is obtained by ⁸³³ reversibility only, then it contains only negative rules and is therefore valid.

Otherwise, γ has been obtained by alternating infinitely often focalization phases foc and reversibility phases rev as described above. It therefore contains infinitely many positive inferences. By Lemma 24, there exists an infinite branch δ of π containing an infinite number of positive rules of γ . Since δ is valid, it contains a valid thread t.

Let F_m be the minimal formula of thread t, a ν -formula, and $(\mathbf{r}_i)_{i \in \omega}$ the rules of δ in which F_m is the principal formula.

For any *i*, there exists a positive rule r'_i occurring in γ which is above r_i and r_i therefore also appears in γ by Proposition 23, which is therefore valid.

842 B.5 An Example of Focalization

To conclude this section of the appendices, we present a detailed example of a focalization process in order to illustrate the material developped in the section of the paper devoted to focalization.

846

Let us consider the following proof of sequent

$$\vdash \mathbf{0} \oplus ((\nu X.X) \otimes (\nu X.X)), (\nu X.X) \otimes (\mathbf{1} \otimes \mathbf{0}), (\mu X.X) \otimes \mathbf{1}$$

$$\begin{array}{c|c} \vdots \\ \hline \nu X.X, \mathbf{1} \end{array} (\nu) & \vdots \\ \hline \nu X.X, \mathbf{0} \end{array} (\nu) \\ \hline \vdots \\ \hline \nu X.X) \otimes (\nu X.X), \mathbf{1}, \mathbf{0} \\ \hline (\nu X.X) \otimes (\nu X.X), \mathbf{1} \otimes \mathbf{0} \end{array} (\otimes) & \vdots \\ \hline \nu X.X, \mu X.X \\ \hline (\nu) \\ \hline (\nu X.X) \otimes (\nu X.X), \mathbf{1} \otimes \mathbf{0} \end{array} (\otimes) & \hline (\nu) \\ \hline (\nu X.X) \otimes (\nu X.X), (\nu X.X) \otimes (\mathbf{1} \otimes \mathbf{0}), \mu X.X \\ \hline (\nu) \\ (\nu) \\ \hline (\nu) \\ \hline (\nu) \\ (\nu) \\ \hline (\nu) \\ (\nu) \\ \hline (\nu) \\ (\nu) \\$$

The Positive Trunk corresponding to this proof is:

$$\begin{array}{c} \frac{\vdash (\nu X.X) \otimes (\nu X.X), \mathbf{1} \otimes \mathbf{0} \quad \vdash \nu X.X, \mu X.X}{\vdash (\nu X.X) \otimes (\nu X.X), (\nu X.X) \otimes (\mathbf{1} \otimes \mathbf{0}), \mu X.X} \quad (\otimes) \\ \overline{\vdash \mathbf{0} \oplus ((\nu X.X) \otimes (\nu X.X)), (\nu X.X) \otimes (\mathbf{1} \otimes \mathbf{0}), \mu X.X} \quad (\oplus_2) \\ \overline{\vdash \mathbf{0} \oplus ((\nu X.X) \otimes (\nu X.X)), (\nu X.X) \otimes (\mathbf{1} \otimes \mathbf{0}), \mu X.X} \quad (\mu) \quad \overline{\vdash \mathbf{1}} \quad (\mathbf{1}) \\ \overline{\vdash \mathbf{0} \oplus ((\nu X.X) \otimes (\nu X.X)), (\nu X.X) \otimes (\mathbf{1} \otimes \mathbf{0}), (\mu X.X) \otimes \mathbf{1}} \quad (\otimes) \end{array}$$

and the Border is made of only two sequents:

 $\{\vdash (\nu X.X) \otimes (\nu X.X), \mathbf{1} \ensuremath{\boxtimes} \mathbf{0} \quad ; \quad \vdash \nu X.X, \mu X.X \}$

the Active Formulas of the positive trunk are thus:

851 $(\mu X.X) \otimes \mathbf{1}$

the Focalization Graph, which has thus those three formulas as vertices, is the following:

$$(\mu X.X) \otimes \mathbf{1} \longleftarrow (\nu X.X) \otimes (\mathbf{1} \otimes \mathbf{0}) \longrightarrow \mathbf{0} \oplus ((\nu X.X) \otimes (\nu X.X))$$

which is indeed acyclic and has a single source, $(\nu X.X) \otimes (\mathbf{1} \otimes \mathbf{0})$, which we pick as focus. By rewriting the Prositive Trunk we arrive at

$$\begin{array}{c|c} & \pi_1 & \pi_2 \\ \hline \mathbf{0} \oplus ((\nu X.X) \otimes (\nu X.X)), \mathbf{1} \otimes \mathbf{0} & \hline \nu X.X, (\mu X.X) \otimes \mathbf{1} \\ \hline \mathbf{0} \oplus ((\nu X.X) \otimes (\nu X.X)), (\nu X.X) \otimes (\mathbf{1} \otimes \mathbf{0}), (\mu X.X) \otimes \mathbf{1} \end{array} (\otimes)$$

with

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$$\pi_{1} = \overbrace{\vdash \mathbf{0} \oplus ((\nu X.X) \otimes (\nu X.X), \mathbf{1} \otimes \mathbf{0}}^{\vdots} (\nu) \xrightarrow[\vdash \nu X.X, \mathbf{0}]{(\otimes)} (\otimes) \\ \xrightarrow{\vdash (\nu X.X) \otimes (\nu X.X), \mathbf{1} \otimes \mathbf{0}} (\otimes) \\ \xrightarrow{\vdash (\nu X.X) \otimes (\nu X.X), \mathbf{1} \otimes \mathbf{0}} (\otimes) \\ (\oplus_{2}) \qquad \text{and} \qquad \pi_{2} = \frac{\overbrace{\vdash \nu X.X, \mu X.X}^{\vdots} (\nu), (\mu)}{\vdash \nu X.X, \mu X.X} (\mu) \xrightarrow[\vdash \mathbf{1}]{(\otimes)} (\otimes)$$

and we continue by focalizing π_1 and π_2 . As for π_1 , its conclusion is a negative sequent, so that one first considers $rev(\pi_1)$:

$$\begin{split} & \frac{\vdots}{\vdash \nu X.X,\mathbf{1}} \stackrel{(\nu)}{\vdash \nu X.X,\mathbf{0}} \stackrel{(i)}{\vdash \nu X.X,\mathbf{0}} \stackrel{(\nu)}{\otimes} \\ & \frac{\vdash (\nu X.X) \otimes (\nu X.X),\mathbf{1},\mathbf{0}}{\vdash \mathbf{0} \oplus ((\nu X.X) \otimes (\nu X.X)),\mathbf{1},\mathbf{0}} \stackrel{(\oplus_2)}{\otimes} \\ & \text{rev}(\pi_1) = \frac{\vdash \mathbf{0} \oplus ((\nu X.X) \otimes (\nu X.X)),\mathbf{1} \otimes \mathbf{0}}{\vdash \mathbf{0} \oplus ((\nu X.X) \otimes (\nu X.X)),\mathbf{1} \otimes \mathbf{0}} \stackrel{(\bigotimes)}{\otimes} \end{split} \end{split}$$

 $rev(\pi_1)$ is actually already focused: the conclusion of

$$\frac{\stackrel{\vdots}{\vdash} \nu X.X, \mathbf{1}}{\vdash} \stackrel{(\nu)}{\vdash} \stackrel{\stackrel{\vdots}{\vdash} \nu X.X, \mathbf{0}}{\vdash} \stackrel{(\nu)}{\otimes} \\ \frac{\stackrel{(\nu)}{\vdash} (\nu X.X) \otimes (\nu X.X), \mathbf{1}, \mathbf{0}}{\vdash} \stackrel{(\otimes)}{\mathbf{0} \oplus} ((\nu X.X) \otimes (\nu X.X)), \mathbf{1}, \mathbf{0}} \stackrel{(\oplus_2)}{(\oplus_2)}$$

is a positive sequent and its positive trunk is:

$$\frac{ \begin{array}{ccc} \vdash \nu X.X, \mathbf{1} & \vdash \nu X.X, \mathbf{0} \\ \hline \vdash (\nu X.X) \otimes (\nu X.X), \mathbf{1}, \mathbf{0} \end{array} (\otimes) \\ \hline \vdash \mathbf{0} \oplus ((\nu X.X) \otimes (\nu X.X)), \mathbf{1}, \mathbf{0} \end{array} (\oplus_2)$$

This positive trunk contains only one active formula which therefore is automatically chosen as a focus (and the positive trunk actually already focused on it).

Subproofs

$$\frac{\vdots}{\vdash \nu X.X, \mathbf{1}} \ (\nu) \qquad \frac{\vdots}{\vdash \nu X.X, \mathbf{0}} \ (\nu)$$

are infinite negative branches and therefore reversed, focused proofs.

As for π_2 , its conclusion is also a negative sequent so that we build $rev(\pi_2)$ which turns out to be focused as it is reduced to an infinite negative branch of (ν) rules:

$$\operatorname{rev}(\pi_2) = \frac{\vdots}{\vdash \nu X.X, (\mu X.X) \otimes \mathbf{1}} (\nu)$$

To sum up, the focused proof associated with our starting proof object is:

$$\begin{array}{c} \vdots \\ \hline \begin{array}{c} & \vdots \\ \hline \nu X.X, \mathbf{1} \end{array}^{(\nu)} \end{array} \xrightarrow{\vdots} \\ \hline \nu VX.X, \mathbf{0} \end{array}^{(\nu)} \\ \hline \begin{array}{c} & (\nu X.X) \otimes (\nu X.X), \mathbf{1}, \mathbf{0} \end{array}^{(\otimes)} \\ \hline \begin{array}{c} & (\nu X.X) \otimes (\nu X.X), \mathbf{1}, \mathbf{0} \end{array} \end{array} \xrightarrow{(\otimes)} \\ \hline \begin{array}{c} & (\psi X.X) \otimes (\nu X.X)), \mathbf{1}, \mathbf{0} \end{array} \xrightarrow{(\otimes)} \\ \hline \begin{array}{c} & (\psi X.X) \otimes (\nu X.X)), \mathbf{1} \otimes \mathbf{0} \end{array} \xrightarrow{(\otimes)} \\ \hline \begin{array}{c} & \vdots \\ \hline & \nu X.X, (\mu X.X) \otimes \mathbf{1} \end{array} \xrightarrow{(\nu)} \\ \hline \end{array} \xrightarrow{(\otimes)} \\ \hline \begin{array}{c} & (\psi X.X) \otimes (\nu X.X)), \mathbf{1} \otimes \mathbf{0} \end{array} \xrightarrow{(\otimes)} \end{array} \xrightarrow{(\otimes)} \end{array} \xrightarrow{(\otimes)}$$

⁸⁶¹ C Appendix relative to Section 4

862 C.1 Detailed definitions

We first give a detailed description of the multicut reduction rules. In order to treat the external reduction for the tensor, we first need to introduce a few preliminary definitions. Given a sequent $\vdash \Gamma, \Delta, F \otimes G$ that is a premise of a multicut, we need to define which part of the multicut is connected to Γ and which part is connected to Δ . These two sub-nets, respectively called C_{Γ} and C_{Δ} , will be split apart in the external tensor reduction.

Definition 46. We call *cut net* any set of sequents $\{s_i\}_i$ that forms a valid set of premises for the multicut rule, *i.e.*, a connected acyclic graph for the cut-connection relation. The conclusion of a cut net is the conclusion that the multicut rule would have with the cut net as premise, *i.e.*, the set of formula occurrences that appear in the net but not as cut formulas.

▶ Definition 47. Let \mathcal{M} be a cut net, and F be a formula occurrence appearing in some $s \in \mathcal{M}$. We define $\mathcal{C}_F \subseteq \mathcal{M}$ as follows. If $F^{\perp} \in s'$ for some $s' \in \mathcal{M}$, then \mathcal{C}_F is the connected component of $\mathcal{M} \setminus \{s\}$ containing s'. Otherwise, $\mathcal{C}_F = \emptyset$. If Δ is a set of formula occurrences, we define $\mathcal{C}_\Delta := \bigcup_{F \in \Delta} \mathcal{C}_F$.

⁸⁷⁶ ► Proposition 48. Let $s = \vdash F, \Delta, \Gamma$ be a sequent, and $\mathcal{M} = \{s\} \cup \mathcal{C}$ be a cut net of conclusion ⁸⁷⁷ $\vdash F, \Sigma$. One has $\mathcal{C} = \mathcal{C}_{\Delta} \biguplus \mathcal{C}_{\Gamma}$. Moreover, $\{\vdash \Gamma\} \cup \mathcal{C}_{\Gamma}$ and $\{\vdash \Delta\} \cup \mathcal{C}_{\Delta}$ are cut nets and, if ⁸⁷⁸ Σ_{Γ} and Σ_{Δ} are their respective conclusions, we have $\Sigma = \Sigma_{\Delta} \biguplus \Sigma_{\Gamma}$.

▶ Definition 49 (Multicut reduction rules). Principal and external reductions are respectively defined in Figure 4 and 5. Internal reduction is the union of merge and principal reductions. Merge reduction is defined as follows, with $r = (merge, \{F, F^{\perp}\})$:

$$\underbrace{ \mathcal{C} \qquad \stackrel{\vdash \Delta, F \qquad \vdash \Gamma, F^{\perp}}{\vdash \Delta, \Gamma}_{\text{(mcut)}} \stackrel{(\text{Cut)}}{\longrightarrow} \xrightarrow{r} \underbrace{ \mathcal{C} \qquad \vdash \Delta, F \qquad \vdash \Gamma, F^{\perp}}_{\vdash \Sigma} \text{(mcut)}$$

⁸⁷⁹ We can now provide more explicit notions of reduction sequences and fairness.

Definition 50. A multicut reduction sequence is a finite or infinite sequence $\sigma = (\pi_i, r_i)_{i \in \lambda}$, with $\lambda \in \omega + 1$, where the π_i, r_i are pairs of $\mu \mathsf{MALL}_{\mathsf{m}}^{\infty}$ proofs and r_i is label identifying a multicut reduction rule and, whenever $i + 1 \in \lambda$, $\pi_i \xrightarrow{r} \pi_{i+1}$.

The following definition of fair reduction is standard from rewriting theory (see for instance chapter 9 of [25], definition 4.9.10):

Figure 4 Principal reductions, where $r = (\text{principal}, \{F, F^{\perp}\})$ with $\{F, F^{\perp}\}$ the principal formulas that have been reduced.

Figure 5 External reductions rules, where r = (ext, F) and F is the formula occurrence that is principal after the rule application.

Definition 51 (Fair reduction sequences). A multicut reduction sequence $(\pi_i, r_i)_{i \in \lambda}$ is fair if for every $i \in \lambda$ and r such that $\pi_i \xrightarrow{r} \pi'$, there is some $j \ge i, j \in \lambda$, such that π_j contains no residual of r.

Fairness is defined in the same way for a reduction path rather than a reduction sequence. In that case, fairness can be rephrased in a simpler way: A *multicut reduction path* $(\pi_i, r_i)_{i \in \lambda}$ is *fair* if for every $i \in \lambda$ and r such that $\pi_i \xrightarrow{r} \pi'$, there is some $j \ge i, j \in \lambda$, such that r has disappeared from π_{j+1} (or: r_j is r or r_j erases r).

⁸⁹² Note that reduction paths issued from a fair reduction sequence are always fair.

We end this section with more details on definition 28, which defines useless sequents. Useless sequents of sort (3) and (4) are useless only because we are considering a reduction path and not a reduction sequence. Writing \Rightarrow for the reduction steps associated to reduction paths, we can more explicitly say that the sequent $\vdash \Gamma, F_i$ is useless of sort (3) with distinguished formula F_i if, at some point in the reduction path, one of the following reductions is performed (with $\{i, j\} = \{1, 2\}$):

$$\begin{array}{ccc} & \frac{\vdash \Gamma, F_1 & \vdash \Gamma, F_2}{\vdash \Gamma, F_1 \otimes F_2} (\&) & \Longrightarrow & \frac{\mathcal{C} & \vdash \Gamma, F_j}{\vdash \Sigma, F_j} (\operatorname{mcut}) \\ \end{array} \\ & \frac{\mathcal{C} & \frac{\vdash \Gamma, F_i & \vdash \Delta, F_j}{\vdash \Delta, \Gamma, F_1 \otimes F_2} (\otimes) \\ & \frac{\vdash \Sigma_{\Delta}, \Sigma_{\Gamma}, F_1 \otimes F_2}{\vdash \Sigma_{\Delta}, \Sigma_{\Gamma}, F_1 \otimes F_2} (\operatorname{mcut}) & \stackrel{\longrightarrow}{\longrightarrow} & \frac{\mathcal{C}_{\Delta} & \vdash \Delta, F_j}{\vdash \Sigma_{\Delta}, F_j} (\operatorname{mcut}) \end{array}$$

Moreover, the second reduction renders all sequents of C_{Γ} useless of sort (4). Their distinguished formulas are cut formulas, chosen based on a traversal of the acyclic graph C_{Γ} , in a way which ensures that G and G^{\perp} are never both distinguished. In particular, for each $s' \in C_{\Gamma}$ that is cut-connected to $\vdash \Gamma, F_i$ on G, we choose G^{\perp} as the distinguished formula of s'. More precisely, we define the distinguished formulas of C_{Γ} inductively as follows:

⁸⁹⁸ The distinguished formula of Γ , F_i is F_i .

If the distinguished formula of a sequent s has been defined, and if s' cut-connected to s on $G \in s'$, we choose G as the distinguished formula of s'.

Notice that two dual cut formulas G and G^{\perp} can never both be distinguished.

902 C.2 Truncated truth semantics

In order to develop the soundness argument for the interpretation of truncated formula
 occurrences, we need to work with a slightly enriched notion of formula. We thus introduce
 below a generalization of formulas and of the interpretation of Definition 33.

▶ **Definition 52.** *Marked pre-formulas* are built over the following syntax, where θ is an ordinal:

 $\varphi, \psi ::= \mathbf{0} \mid \top \mid \varphi \oplus \psi \mid \varphi \otimes \psi \mid \bot \mid \mathbf{1} \mid \varphi \otimes \psi \mid \varphi \otimes \psi \mid \mu X.\varphi \mid \nu^{\theta} X.\varphi \mid X \text{ with } X \in \mathcal{V}.$

⁹⁰⁶ A marked formula is a marked pre-formula with no free variables. A marked formula ⁹⁰⁷ occurrence is given by a marked formula φ and an address α and is written φ_{α} .

Definition 53. Let $\bigvee E$ be the truncation $\alpha \mapsto \top$. Let f be an operator over E. We define the iterations of f starting from $\bigvee E$ by:

 $f^{0}(\bigvee E) = \bigvee E;$ 910 • $f^{\delta}(\bigvee E) = f(f^{\lambda}(\bigvee E))$ for every successor ordinal $\delta = \lambda + 1$; $= f^{\delta}(\bigvee E) = \bigcap f^{\lambda}(\bigvee E) \text{ for every limit ordinal } \delta.$ 912

We define the interpretation of a marked formula occurrence as follows, generalizing 913 Definition 33: 914

▶ **Definition 54.** Let φ_{α} be a marked formula occurrence and \mathcal{E} be an environment, *i.e.*, 915 a function mapping every free variable of φ to an element of E. We define $[\varphi_{\alpha}]^{\mathcal{E}} \in \mathcal{B}$, the 916 interpretation of φ_{α} in the environment \mathcal{E} as follows: if $\alpha \in \text{Dom}(\tau)$ then $[\varphi_{\alpha}]^{\mathcal{E}} = \tau(\alpha)$; 917 otherwise: 918

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- 920
- $[X_{\alpha}]^{\mathcal{E}} = \mathcal{E}(X)(\alpha), [\top_{\alpha}]^{\mathcal{E}} = \top, [\mathbf{0}_{\alpha}]^{\mathcal{E}} = \mathbf{0}, [\mathbf{1}_{\alpha}]^{\mathcal{E}} = \top \text{ and } [\bot_{\alpha}]^{\mathcal{E}} = \mathbf{0}.$ $[(\varphi \otimes \psi)_{\alpha}]^{\mathcal{E}} = [\varphi_{\alpha,l}]^{\mathcal{E}} \wedge [\psi_{\alpha,r}]^{\mathcal{E}}, \text{ for } \otimes \in \{\&, \otimes\}.$ $[(\varphi \otimes \psi)_{\alpha}]^{\mathcal{E}} = [\varphi_{\alpha,l}]^{\mathcal{E}} \vee [\psi_{\alpha,r}]^{\mathcal{E}}, \text{ for } \otimes \in \{\oplus, \otimes\}.$ $[(\mu X.\varphi)_{\alpha}]^{\mathcal{E}} = \mathrm{lfp}(f)(\alpha) \text{ and } [(\nu X^{\theta}.\varphi)_{\alpha}]^{\mathcal{E}} = f^{\theta}(\bigvee E)(\alpha) \text{ where } f: E \to E \text{ is defined by:}$ 921

$$f: h \mapsto \beta \mapsto \begin{cases} \tau(\beta) & \text{if } \beta \in \text{Dom}(\tau) \\ [\varphi_{\beta,i}]^{\mathcal{E}, X \mapsto h} & \text{otherwise.} \end{cases}$$

We denote by $\mathcal{O}(\varphi, X, \mathcal{E})$ the operator f and we set $[\varphi]^{\mathcal{E}} := (\alpha \mapsto [\varphi_{\alpha}]^{\mathcal{E}}).$ 922

As is standard, the least fixed point of f is guaranteed to exist in the above definition 923 because $[\varphi]^{\mathcal{E}}$ is a monotonic operator in the complete lattice E, obtained by lifting the lattice 924 \mathcal{B} where $\mathbf{0} \leq \top$ with a pointwise ordering. 925

▶ Proposition 55 (Cousot & Cousot). Let λ the least ordinal such that the class $\{\delta : \delta \in \lambda\}$ 926 has a cardinality greater than the cardinality Card(E). Let f be a monotonic operator over 927 E. The sequence $(f^{\delta}(\bigvee E))_{\delta \in \lambda}$ is a stationary decreasing chain, its limit $f^{\lambda}(\bigvee E)$ is the 928 greatest fixed point of f. 929

Let \overline{F} be the marked formula occurrence obtained from F by marking every ν binder by 930 λ . As a consequence of Proposition 55, one has that $[F] = [\overline{F}]$. 931

▶ Lemma 56. Let φ, ψ be marked pre-formulas such that $X \notin fv(\psi)$. One has:

$$[\varphi_{\alpha}]^{\mathcal{E}, X \mapsto [\psi]^{\mathcal{E}}} = [(\varphi[\psi/X])_{\alpha}]^{\mathcal{E}}$$

- **Proof.** The proof is by induction on φ . We treat only the cases where φ is a fixed point 932 formula; the other cases are immediate. 933
- Suppose that $\varphi = \nu Y^{\theta} \xi$ and let $f = \mathcal{O}(\xi, Y, \mathcal{E}, X \mapsto [\psi]^{\mathcal{E}})$ and $g = \mathcal{O}(\xi[\psi/X], Y, \mathcal{E})$. By 934 induction hypothesis one has $f^{\theta}(\bigvee E) = q^{\theta}(\bigvee E)$, which concludes this case. 935

Suppose now that $\varphi = \mu Y.\xi$, then we have:

$$\begin{split} [(\mu Y.\xi)_{\alpha}]^{\mathcal{E},X\mapsto[\psi]^{\mathcal{E}}} &= \operatorname{lfp}(\mathcal{O}(\xi,Y,\mathcal{E},X\mapsto[\psi]^{\mathcal{E}}))(\alpha) \\ &\stackrel{*}{=} \operatorname{lfp}(\mathcal{O}(\xi,Y,\mathcal{E},X\mapsto[\psi]^{\mathcal{E},Y\mapsto h}))(\alpha) \\ &\stackrel{IH}{=} \operatorname{lfp}(\mathcal{O}(\xi[\psi/X],Y,\mathcal{E}))(\alpha) \\ &= [(\mu Y.\xi[\psi/X])_{\alpha}]^{\mathcal{E}} \end{split}$$

(*) We are considering capture-free substitutions, hence $Y \notin fv(\psi)$ and $[\psi]^{\mathcal{E},Y \mapsto f} = [\psi]^{\mathcal{E}}$. 936

An immediate consequence of this proposition is that the interpretation of a least fixed 937 point formula is equal to the interpretation of its unfolding: 938

⁹³⁹ ► Lemma 57. If $\alpha \notin \text{Dom}(\tau)$, $[(\mu X.\varphi)_{\alpha}]^{\mathcal{E}} = [(\varphi[\mu X.\varphi/X])_{\alpha.i}]^{\mathcal{E}}$

Proof. We set $f = \mathcal{O}(\varphi, X, \mathcal{E})$. Let us notice first that for all $\alpha \in \Sigma^*$, one has $[(\mu X.\varphi)_{\alpha}]^{\mathcal{E}} = lfp(f)(\alpha)$. Indeed, one has the equality by definition when $\alpha \notin Dom(\tau)$ and it is easy to prove it when $\alpha \in Dom(\tau)$ since both sides are equal to $\tau(\alpha)$.

$$[(\mu X.\varphi)_{\alpha}]^{\mathcal{E}} = \operatorname{lfp}(f)(\alpha)$$

= $[\varphi_{\alpha,i}]^{\mathcal{E},X\mapsto\operatorname{lfp}(f)}$
= $[\varphi_{\alpha,i}]^{\mathcal{E},X\mapsto[\mu X.\varphi]^{\mathcal{E}}}$
= $[(\varphi[\mu X.\varphi/X])_{\alpha,i}]^{\mathcal{E}}$

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P41 ► Lemma 58. If $[(\nu X^{\theta}.\varphi)_{\alpha}]^{\mathcal{E}} = \mathbf{0}$ and $\alpha \notin \text{Dom}(\tau)$ then there is an ordinal $\gamma < \theta$ s.t. P42 $[(\varphi[\nu X^{\gamma}.\varphi/X])_{\alpha,i}]^{\mathcal{E}} = \mathbf{0}.$

Proof. We set $f = \mathcal{O}(\varphi, X, \mathcal{E})$. If θ is a successor ordinal $\delta + 1$, then:

$$\begin{aligned} [(\nu X^{\theta}.\varphi)_{\alpha}]^{\mathcal{E}} &= f^{\delta+1}(\bigvee E)(\alpha) \\ &= [\varphi_{\alpha.i}]^{\mathcal{E},X\mapsto f^{\delta}}(\bigvee E) \\ &= [\varphi_{\alpha.i}]^{\mathcal{E},X\mapsto [\nu X^{\delta}.\varphi]^{\mathcal{E}}} \\ &= [(\varphi[\nu X^{\delta}.\varphi/X])_{\alpha.i}]^{\mathcal{E}} \end{aligned}$$

We take γ to be the ordinal δ and we have obviously that $[(\varphi[\nu X^{\gamma}.\varphi/X])_{\alpha.i}]^{\mathcal{E}} = \mathbf{0}$. If θ is a limit ordinal, then:

$$[(\nu X^{\theta} . \varphi)_{\alpha}]^{\mathcal{E}} = f^{\theta} (\bigvee E)(\alpha)$$

=
$$\bigcap_{\beta < \theta} f^{\beta} (\bigvee E)$$

=
$$\bigcap_{\delta + 1 < \theta} f^{\delta + 1} (\bigvee E)$$

Hence there is a successor ordinal $\delta + 1$ such that $[(\nu X^{\theta}.\varphi)_{\alpha}]^{\mathcal{E}} = f^{\delta+1}(\bigvee E)(\alpha)$ and we continue as before.

- We prove easily the following lemma by induction on F:
- ▶ Lemma 59. Let F be an (unmarked) formula occurrence. One has $[F^{\perp}] = [F]^{\perp}$.

⁹⁴⁸ We can finally establish our soundness result:

Proposition (34). *If* \vdash Γ *is provable in* μMALL[∞]_τ, *then* [*F*] = \top *for some F* ∈ Γ.

Proof. If F is a marked formula occurrence, we denote by F^* the formula occurrence obtained by forgetting the marking information.

Suppose that $\vdash \Gamma$ has a $\mu \mathsf{MALL}_{\tau}^{\infty}$ proof π and that $[F] = \mathbf{0}$ for all $F \in \Gamma$. We will construct a branch $\gamma = s_0 s_1 \dots$ of π and a sequence of functions f_0, f_1, \dots where f_i maps every formula occurrence G of s_i to a marked formula occurrence $f_i(G)$ such that $[f_i(G)] = \mathbf{0}$ and $f_i(G)^* = G$ unless $G = \varphi_{\alpha,i}$ with $\alpha \in \mathsf{Dom}(\tau)$. We set $s_0 = \Gamma$ and $f_0(F) = \overline{F}$. One has $[\overline{F}] = [F] = \mathbf{0}$. Suppose that we have constructed s_i and f_i . We construct s_{i+1} depending on the rule applied to s_i :

⁹⁵⁸ If the rule is a logical rule, G being principal in s_i , we set $G_m := f_i(G)$, we have the ⁹⁵⁹ following cases:

- ⁹⁶⁰ If $G = H \otimes K$, then G_m is of the form $G_m = H_m \otimes K_m$. We set s_{i+1} to be the ⁹⁶¹ unique premise of s_i , $f_{i+1}(H) = H_m$ and $f_{i+1}(K) = K_m$. Since $[G_m] = \mathbf{0}$ and ⁹⁶² $[G_m] = [H_m] \vee [K_m]$, one has $[G_m] = \mathbf{0}$ and $[K_m] = \mathbf{0}$. For every other formula ⁹⁶³ occurrence L of s_{i+1} we set $f_{i+1}(L) = f_i(L)$.
- If $G = H \oplus K$, we proceed exactly in the same way as above.
- ⁹⁶⁵ If $G = H \otimes K$, then G_m is of the form $G_m = H_m \otimes K_m$. Since $[G_m] = \mathbf{0}$ and $[G_m] =$ ⁹⁶⁶ $[H_m] \wedge [K_m]$, one has $[H_m] = \mathbf{0}$ or $[K_m] = \mathbf{0}$. Suppose wlog that $[H_m] = \mathbf{0}$. We set ⁹⁶⁷ s_{i+1} to be the premise of s_i that contains H and $f_{i+1}(H) = H_m$. For every other ⁹⁶⁸ formula occurrence L of s_{i+1} we set $f_{i+1}(L) = f_i(L)$.
- ⁹⁶⁹ If $G = H \otimes K$, we proceed exactly in the same way as above.
- ⁹⁷⁰ If $G = \mu X.K$, then G_m is of the form $G_m = \mu X.K_m$. We set s_{i+1} to be the unique ⁹⁷¹ premise of s_i and $f_{i+1}(K[G/X]) = K_m[G_m/X]$. By Corollary 57 and since $[G_m] = \mathbf{0}$, ⁹⁷² one has $[K_m[G_m/X]] = \mathbf{0}$. For every other formula occurrence L of s_{i+1} , we set ⁹⁷³ $f_{i+1}(L) = f_i(L)$.
- ⁹⁷⁴ If $G = \nu X.H$, then G_m is of the form $G_m = \nu X^{\theta}.K_m$. Let s_{i+1} be the unique ⁹⁷⁵ premise of s_i . By corollary 58 and since $[G_m] = \mathbf{0}$, there is an ordinal $\delta < \theta$ such that ⁹⁷⁶ $[K_m[\nu X^{\delta}.K_m/X]] = \mathbf{0}$. We set $f_{i+1}(H[G/X]) = K_m[\nu X^{\delta}.K_m/X]$ and for every other ⁹⁷⁷ formula occurrence L of s_{i+1} , we set $f_{i+1}(L) = f_i(L)$.
- Suppose that the rule applied to s_i is a cut on the formula occurrence G. By Lemma 59, either $[G] = \mathbf{0}$ or $[G^{\perp}] = \mathbf{0}$, suppose wlog that $[G] = \mathbf{0}$. We set s_{i+1} to be the premise of s_i containing G, $f_{i+1}(G) \equiv \overline{G}$ and for every other formula occurrence L of s_{i+1} , we set $f_{i+1}(L) \equiv f_i(L)$.
- ⁹⁸² If the rule applied to s_i is the rule (τ) with a principal formula $G = \varphi_{\alpha}$, then $\alpha \in \text{Dom}(\tau)$ and $f_i(G) = \psi_{\alpha}$ where $\psi^* = \varphi$. Hence $[f_i(G)] = \tau(\alpha)$. By construction $[f_i(G)] = \mathbf{0}$, hence $\tau(\alpha) = \mathbf{0}$ and $[\tau(\alpha)_{\alpha,i}] = \mathbf{0}$. We set s_{i+1} to be the unique premise of s_i .

Since π is a valid pre-proof, its branch γ must contain a valid thread $t = F_0 F_1 \dots$ Let $\nu X.\varphi$ be the minimal formula of t and $i_0 i_1 \dots$ be the sequence of indices where $\nu X.\varphi$ gets unfolded. By construction, for all k > 0 one has $f_{i_k}(F_{i_k}) = \nu X^{\theta_k}.G_k$ and the sequence of ordinals $(\theta_k)_k$ is strictly decreasing, which contradicts the well-foundedness of ordinals.

⁹⁸⁹ We finally prove Proposition 36, generalized as follows:

Proposition 60. Let φ_{α} be a pre-formula occurrence compatible with τ and containing no ν binders, no \top and no 1 subformulas. Let \mathcal{E} be an environment such that for all β ∉ Dom(τ), $\mathcal{E}(X)(\beta) = \mathbf{0}$. We have $[\varphi_{\alpha}]^{\mathcal{E}} = \mathbf{0}$.

- **Proof.** The proof is by induction on φ .
- 994 The cases when $\varphi = \mathbf{0}$ or \bot are trivial.
- ⁹⁹⁵ If $\varphi = X$, then $[X_{\alpha}]^{\mathcal{E}} = \mathcal{E}(X)(\alpha) = \mathbf{0}$ by hypothesis on \mathcal{E} and since $\alpha \notin \text{Dom}(\tau)$ by ⁹⁹⁶ compatibility with τ .
- ⁹⁹⁷ If $\varphi = \xi \otimes \psi$, where $\otimes \in \{\oplus, \otimes\}$, then $[(\xi \otimes \psi)_{\alpha}]^{\mathcal{E}} = [\xi_{\alpha,l}]^{\mathcal{E}} \vee [\psi_{\alpha,r}]^{\mathcal{E}}$. Since $(\xi \otimes \psi)_{\alpha}$ ⁹⁹⁸ is compatible with τ , one has $\alpha.l \notin \text{Dom}(\tau)$ and $\alpha.r \notin \text{Dom}(\tau)$. Indeed, if a formula ⁹⁹⁹ is compatible with a truncation τ , then τ cannot truncate a son of \oplus or a \otimes node. ¹⁰⁰⁰ We can thus apply our induction hypothesis, obtaining $[\xi_{\alpha,l}]^{\mathcal{E}} = [\psi_{\alpha,r}]^{\mathcal{E}} = \mathbf{0}$, hence ¹⁰⁰¹ $[(\xi \otimes \psi)_{\alpha}]^{\mathcal{E}} = \mathbf{0}$.
- In $\varphi = \xi \otimes \psi$, where $\otimes \in \{\&, \otimes\}$, then $[(\xi \otimes \psi)_{\alpha}]^{\mathcal{E}} = [\xi_{\alpha,l}]^{\mathcal{E}} \wedge [\psi_{\alpha,r}]^{\mathcal{E}}$. Since $(\xi \otimes \psi)_{\alpha}$ is compatible with τ , one has $\alpha.l \notin \text{Dom}(\tau)$ or $\alpha.r \notin \text{Dom}(\tau)$. Indeed, if a formula is compatible with a truncation τ , then τ cannot truncate both sons of a & or a \otimes node. We conclude by induction as before on the subformula that is not truncated, and which is thus still compatible with τ .

1007 If $\varphi = \mu X.\psi$, then $[\mu X.B]^{\mathcal{E}} = lfp(f)(\tau)$ where f is as in the definition 33. By Cousot's 1008 theorem [9], $[(\mu X.B)_{\alpha}]^{\mathcal{E}} = \bigvee_{\delta < \lambda} \varphi^{\delta}(\bigwedge E)(\alpha)$. We show by an easy transfinite induction 1009 that for all $\delta < \lambda$ and $\beta \notin Dom(\tau)$, we have $\varphi^{\delta}(\bigwedge E)(\beta) = \mathbf{0}$. This concludes the proof. 1010